

DFA

deterministic

→ two answers

→ 1 or 0

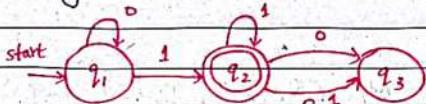
→ simplest model  
of computation  
→ very limited  
memory

\* no storage in  
automata.

## DETERMINISTIC FINITE AUTOMATA

→ A finite automaton is a model for computation.

→ examples include: automatic doors which swing open when they sense same person.



→ call it  $M_1$  it has 3 states,  $q_1$ ,  $q_2$  and  $q_3$

→ initial state:  $q_1$  (with an incoming arrow)

→ Accepting State:  $q_2$  (with double circle)

→ Arrows shows transitions

→ Input sequence: 1101 to  $M_1$  (Accepted)

→ A finite automata is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$  where

$Q$  is a finite set called states.

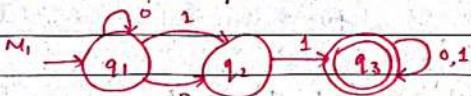
$\Sigma$  is a finite set called alphabet symbols.  $\{0, 1\}$

$\delta: Q \times \Sigma \rightarrow Q$  is a transition function.

$q_0$  is the start state.

$F$  is a set of accept states (or final states)

$$F \subseteq Q$$



$$M_1 = (Q, \Sigma, \delta, q_0, F)$$

$$Q = \{q_1, q_2, q_3\}$$

$$\Sigma = \{0, 1\}$$

$$F = \{q_2\}$$

$\delta =$	0	1
$q_1$	$q_1$	$q_2$
$q_2$	$q_1$	$q_3$
$q_3$	$q_3$	$q_2$

Power of  $\Sigma$

$\Sigma^* = 2^\Sigma$

$\Sigma^0 = \text{Set of all strings with length '0'} = \{\epsilon\}, \Sigma^1 = \{a, b\} \Rightarrow 2^1$

$\Sigma^* = \{a, b\}^*$  (Kleene Closure)  $\rightarrow$  Infinite language.  $\Rightarrow 2^\Sigma$

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→ A **string** is finite sequence of symbol in  $\Sigma$

→ A **language** is a set of strings (finite or infinite)

→ The **empty string**  $\epsilon$  is the string of length 0

→ The **empty language**.  $\emptyset$  is the set with no strings.

→ If  $A$  is the set of all strings that machine  $M$  accepts we say that  $A$  is the **language of machine**.  $M$

→ We write  $L(M) = A$  & say  $M$  accepts  $A$  or  $M$  recognizes  $A$ .

→ A **machine** may accept several strings but it recognizes only one language.

$$L(M) = A = \{w \mid M \text{ accepts } w\}$$

→ The **concatenation** of strings  $x$  and  $y$  is written  $xy$ .

→  $x^k$  denotes the concatenation of  $x$  with itself  $k$  times

$$01^50 = 0111110$$

→ Union & intersection

$$A \cup B = \{x \mid x \in A \text{ or } x \in B\}$$

$$A \cap B = \{x \mid x \in A \text{ and } x \in B\}$$

→ Complement

$$A^c = \{x \in \Sigma^* \mid x \notin A\}$$

→ Concatenation

$$AB = \{xy \mid x \in A \text{ and } y \in B\}$$

$$A^* = A^0 \cup A^1 \cup A^2 \cup \dots$$

$$\Sigma^* = \Sigma^+ + \Sigma^0 \text{ OR } \Sigma^+ + \epsilon$$

$$\Sigma^* - \epsilon = \Sigma^+$$

$\xrightarrow{x} \xrightarrow{x}$

Finite automata

FA with output  
Moore Mealy

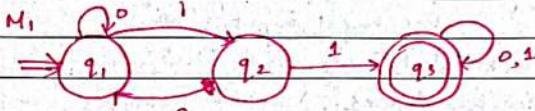
FA without output  
DFA NFA G-NFA

regular languages  $\rightarrow$  DFA ✓

So what languages are  
NOT REGULAR?  
 $\rightarrow$  lang. which are not recognized  
by any FSM  
 $\rightarrow$  which require memory.

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$\rightarrow$  A language is called regular language if some finite automaton recognizes it.



$L(M_1) = \{w \mid w \text{ contain substring } 11\} = A$   
Therefore A is regular.

## Regular Operations

Let A, B be languages:

**UNION**  $A \cup B = \{w \mid w \in A \text{ or } w \in B\}$

**CONCATENATION**  $A \circ B = \{xy \mid x \in A \text{ and } y \in B\} = AB$

(Kleene closure)  
**STAR.**  $A^* = \{x_1 \dots x_k \mid \text{each } x_i \in A \text{ for } k \geq 0\}$

## Regular Expressions

$\rightarrow$  Built from  $\Sigma$ , numbers  $\Sigma, \phi, \epsilon$  [Atomic]

$\rightarrow$  By using  $U, 0, *$  [composite]

### Examples

$\rightarrow (0 \cup 1)^* = \Sigma^*$  gives all strings over  $\Sigma$ .

$\rightarrow \Sigma^* 1$  gives all strings that ends with 1

$\rightarrow \Sigma^* 11 \Sigma^* =$  all strings that contain 11 =  $L(M_1)$

\* The class of regular languages is closed under:

① Union Operation

② Concatenation Operation.

$\Sigma^*$

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THEOREM 1.25

$$A \cup B = C$$

The class of regular languages is closed under union operation.  
In other words, if  $A_1$  and  $A_2$  are regular languages, so is  
 $A_1 \cup A_2$

PROOF:

Let  $M_1$  recognize  $A_1$ , where  $M_1 = (\mathcal{Q}_1, \Sigma, \delta_1, q_1, F_1)$  and

$M_2$  recognize  $A_2$ , where  $M_2 = (\mathcal{Q}_2, \Sigma, \delta_2, q_2, F_2)$ .

Construct  $M$  to recognize  $A_1 \cup A_2$ , where  $M = (\mathcal{Q}, \Sigma, \delta, q_0, F)$

(1)  $\mathcal{Q} = \{(r_1, r_2) \mid r_1 \in \mathcal{Q}_1 \text{ and } r_2 \in \mathcal{Q}_2\}$

This set is Cartesian Product of sets  $\mathcal{Q}_1$  and  $\mathcal{Q}_2$  and is written  $\mathcal{Q}_1 \times \mathcal{Q}_2$ . It is the set of all pairs of states, the first from  $\mathcal{Q}_1$  and the second from  $\mathcal{Q}_2$ . - (tells maximum number of states)

(2)  $\Sigma$ , the alphabet is the same as in  $M_1$  and  $M_2$ . In this theorem and in all subsequent similar theorems we assume for simplicity that both  $M_1$  and  $M_2$  have same input alphabet  $\Sigma$ . The theorem remains true if they have different alphabets,  $\Sigma_1$  and  $\Sigma_2$ . We would then modify the proof to let  $\Sigma = \Sigma_1 \cup \Sigma_2$ .

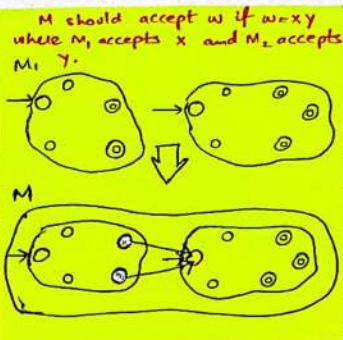
(3)  $\delta$ , the transition function, is defined as follows. For each  $(r_1, r_2) \in \mathcal{Q}$  and each  $a \in \Sigma$  let

$$\delta((r_1, r_2), a) = (\delta_1(r_1, a), \delta_2(r_2, a))$$

Hence  $\delta$  gets a state of  $M$  (which actually is pair of states from  $M_1$  and  $M_2$ ) together with an input symbol and returns  $M$ 's next state.

\* cannot make repeating states.

(CONCATENATION) →



④  $q_0$  is the pair  $(q_1, q_2)$  (

⑤ F is the set of pairs in w  
accept state of  $M_1$  or  $M_2$   
 $F = \{(r_1, r_2) \mid r_i \in$

This expression is same as  $F =$   
is not same as  $F = F_1 \times F_2$ . The final states of DFA should  
contain final state of  $M_1$  and  $M_2$ .

### THEOREM 1.26

The class of regular languages is closed under **concatenation**  
operation.

In other words, if  $A_1$  and  $A_2$  are regular languages then so  
is  $A_1 \circ A_2$ . \* DFA does not have memory.

#### PROOF:

To prove this theorem, let's try something along the lines  
of proof of union case. As before we can start with finite  
automata  $M_1$  and  $M_2$  recognizing the regular languages  
 $A_1$  and  $A_2$ . But now, instead of constructing automaton  
 $M$  to accept its input if either  $M_1$  or  $M_2$  accepts it must  
accept if its input can be broken into two pieces  
where  $M_1$  accepts first piece and  $M_2$  accepts second  
piece. The problem is that  $M$  doesn't know where to  
break its input.

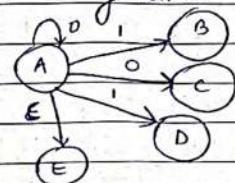
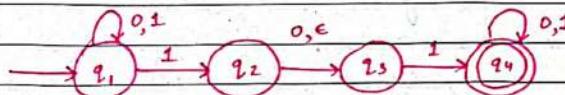
\* if NFA has  $n$  states and DFA has  $m$  states, then  $m \leq 2^n$ , depending on the number of unreachable states in DFA.

\* Nondeterminism doesn't correspond to physical machine we can build. However, it is useful mathematically.

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## NON-DETERMINISTIC FINITE AUTOMATA

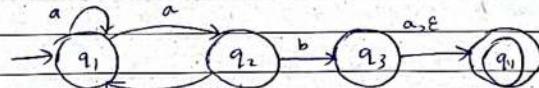
- Nondeterminism is a useful concept.
- In our automata every step of computation follows in a unique way from preceding step.
- Given a state and the next input symbol we know exactly what will be next state of machine.
- In nondeterministic machines several choices may exist for next state at any point.



- ① multiple paths possible (0, 1 or many at each step)
- ②  $\epsilon$ -transitions is a "free" move without reading input
- ③ Accept input if some path leads to ④ accept
- ④ Next state may be chosen at random

### Example Inputs

- ab (accept)
- aa (rejects)
- aba (accept)
- abb. (reject)



→ A nondeterministic finite automaton (NFA) is a 5-tuple

$(Q, \Sigma, \delta, q_0, F)$

→ all same as before except  $\delta$

$$\rightarrow \delta: Q \times \Sigma^* \rightarrow P(Q) = \{ R | R \subseteq Q \}$$

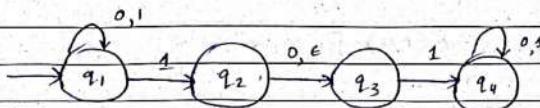
$\sum^* \subseteq \{e\}^*$  power subset of  $Q$

2<sup>Q</sup>

if two states then  
4 possible states

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### EXAMPLE NFA



1)  $Q = \{q_1, q_2, q_3, q_4\}$

2)  $\Sigma = \{0, 1\}$

3) Transition function  $\delta$ :

	0	1	$\epsilon$
$q_1$	$\{q_1\}$	$\{q_1, q_2\}$	$\emptyset$
$q_2$	$\{q_3\}$	$\emptyset$	$\{q_3\}$
$q_3$	$\emptyset$	$\{q_4\}$	$\emptyset$
$q_4$	$\{q_4\}$	$\{q_4\}$	$\emptyset$

4)  $q_1$  is the start state and

5)  $F = \{q_4\}$

→ Let  $N = \{Q, \Sigma, \delta, q_0, F\}$  be an NFA

→  $w = y_1 y_2 \dots y_m$  over some alphabet  $\Sigma$

→  $r_0, r_1, \dots, r_m$  exists in  $Q$  such that:

1)  $r_0 = q_0$

2)  $r_{i+1} \in \delta(r_i, y_{i+1})$  for  $i=0, \dots, m-1$  and.

3)  $r_m \in F$

→ Then we say  $N$  accepts  $w$

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## DIFFERENCE B/W DFA & NFA

- ① More than one transition from same symbol is possible.
- ② Empty transitions are allowed in NFA.
- ③ Not all transitions need to be drawn.

### THEOREM 1.39.

Every nondeterministic finite automaton has an equivalent deterministic finite automaton.

#### PROOF:

- ① Assuming no  $\epsilon$  transitions.

Let  $N = (\mathcal{Q}, \Sigma, \delta, q_0, F)$  be the NFA recognizing some language  $A$ .  
We construct a DFA,  $M = (\mathcal{Q}', \Sigma, \delta', q_0', F')$  recognizing  $A$ .

$$\rightarrow \mathcal{Q}' = P(\mathcal{Q})$$

$\rightarrow$  For  $R \in \mathcal{Q}'$  and  $a \in \Sigma$ , let  $\delta'(R, a) = \{q \in \mathcal{Q} \mid q \in \delta(r, a)$   
for some  $r \in R\}$ .

$$\delta'(R, a) = \bigcup_{r \in R} \delta(r, a)$$

$$\rightarrow q_0' = \{q_0\}$$

$$\rightarrow F' = \{R \in \mathcal{Q}' \mid R \text{ contains an accept state of } N\}$$

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## COROLLARY 1.40

A language is regular if and only if some nondeterministic finite automaton recognizes it.

## CONVERTING NFAs to DFAs.

### THEOREM.

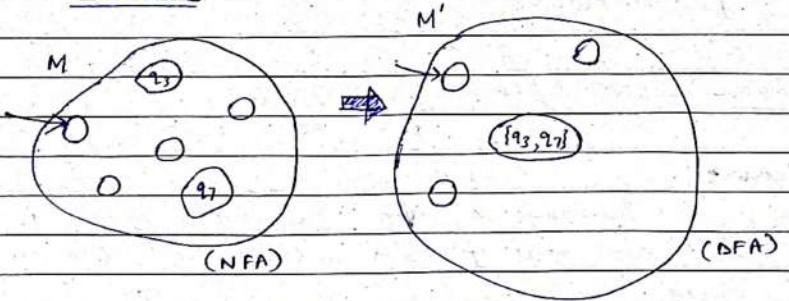
If an NFA recognizes A then A is regular.

### PROOF:

Let NFA  $M = (\mathcal{Q}, \Sigma, \delta, q_0, F)$  recognize A. Construct DFA  $M' = (\mathcal{Q}', \Sigma, \delta', q'_0, F')$  recognizing A.

(Ignore the  $\epsilon$ -transitions, can easily modify to handle them.)

IDEA: DFA  $M'$  keeps track of the subset of possible states in NFA  $M$ .



Q) If  $M$  has  $n$  states,  
how many  
states does  $M'$  have by  
this construction?

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Construction of  $M'$ :

$$Q' = P(Q)$$

$$S'(R, a) = \{ q \mid q \in \delta(r, a) \text{ for some } r \in R \}$$

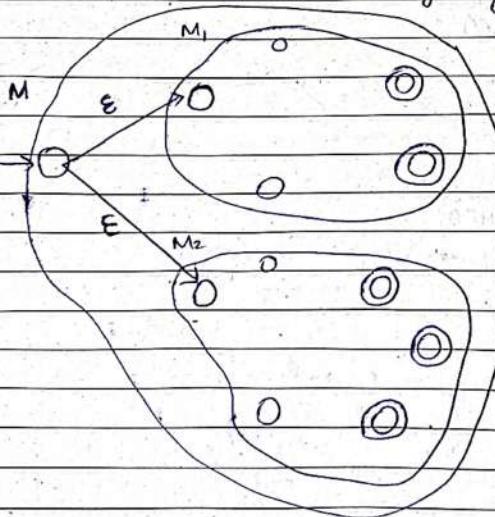
$\downarrow$   
 $R \subseteq Q$

$$q'_0 = \{ q_0 \}$$

$$F' = \{ R \in Q' \mid R \text{ intersects } F \}$$

RECALL If  $A_1, A_2$  are regular languages, so is  $A_1 \cup A_2$  (The class of regular languages is closed under union)

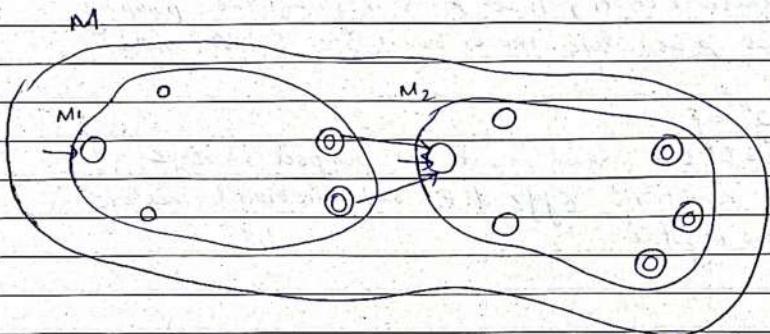
New Proof: Given DFAs  $M_1$  and  $M_2$  recognizing  $A_1$  and  $A_2$ , construct NFA  $M$  recognizing  $A_1 \cup A_2$



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RECALL If  $A_1, A_2$  are regular languages, so is  $A_1 A_2$ .

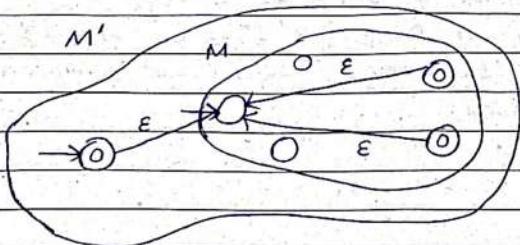
Proof Sketch: Given DFAs  $M_1$  and  $M_2$  recognizing  $A_1$  and  $A_2$ .  
Construct NFA  $M$  recognizing  $A_1 A_2$ .



Theorem:

If  $A$  is regular language, so is  $A^*$

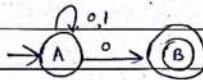
PROOF: Given DFA  $M$  recognizing  $A$ . Construct NFA  $M'$  recognizing  $A^*$



Make sure  $M'$  accepts  $\epsilon$

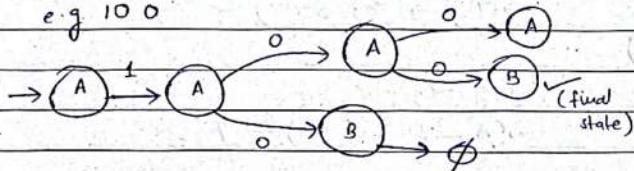
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### NFA Example

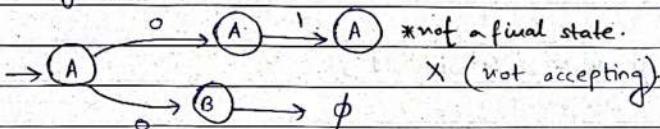


$L = \{ \text{set of all strings that end with } 0^k \}$

e.g. 100



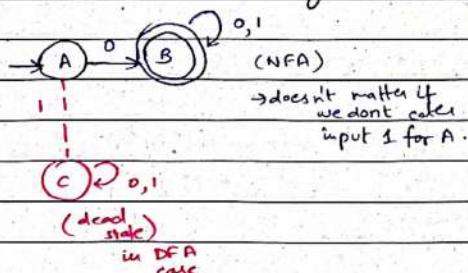
e.g. 01



\* if there is any way to run the machine that ends in any set of states out of which atleast one state is final state, then the NFA accepts.

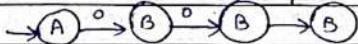
### Example 2

$L = \{ \text{set of all strings that start with } 0^k \}$



→ doesn't matter if we don't take input 1 for A.

e.g. 001



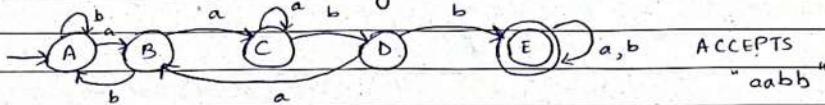
e.g. 101



dead configuration

### DFA Example

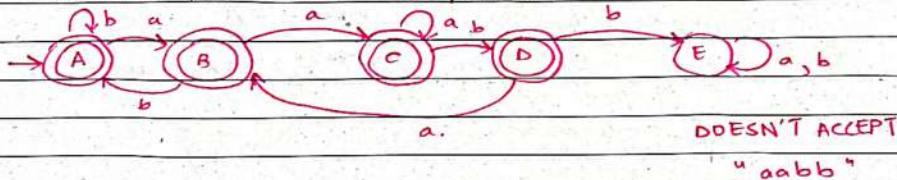
Construct a DFA that accepts any string over  $\{a, b\}$  that does not contain string "aabb" in it.



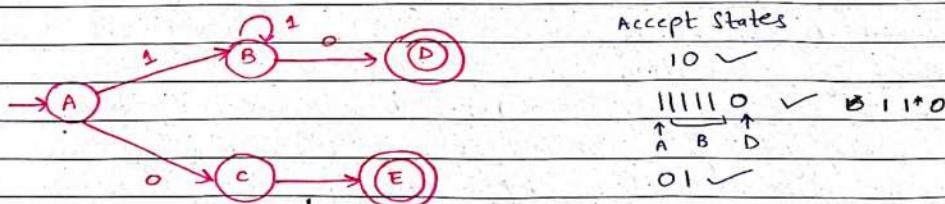
→ flip states

→ make final states into non final state

→ make non final state into final states.



How to recognize figure out what DFA recognizes?



$L = \{ \text{Accepts the string } 01 \text{ or a string of atleast one '1' followed by a '0'} \}$ .

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→ Every DFA is an NFA but not vice versa.

$$\underline{\text{DFA}} \quad \delta = Q \times \Sigma \rightarrow Q$$

$$\underline{\text{NFA}} \quad \delta = Q \times \Sigma \rightarrow 2^Q$$

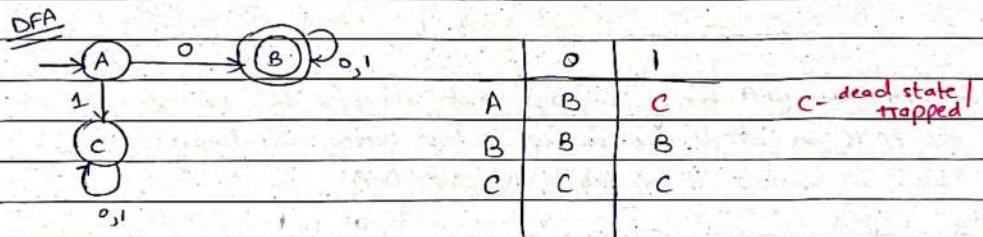
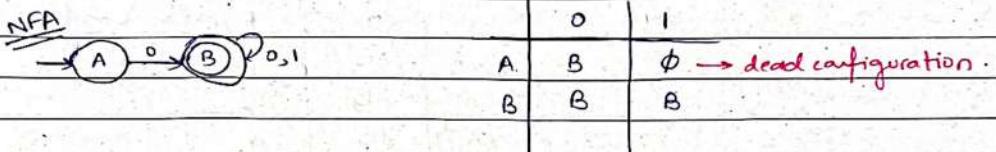
$$Q \subseteq 2^\Sigma$$

$$\text{NFA} \cong \text{DFA}$$

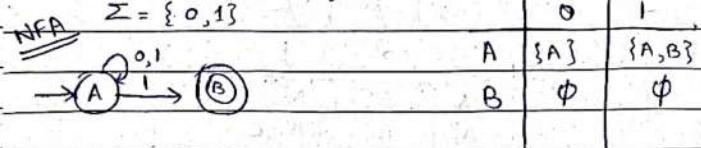
$$m \leq 2^n$$

$L = \{ \text{Set of all strings over } \{0,1\} \text{ that starts with '0'} \}$

$$\Sigma = \{0,1\}$$



$L = \{ \text{Set of all strings over } \{0,1\} \text{ that ends with '1'} \}$

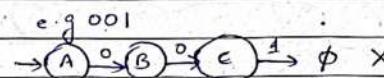
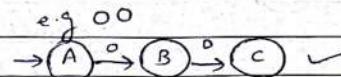
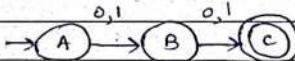


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Q) Construct an NFA that accepts sets of all strings over  $\{0, 1\}$  of length 2.

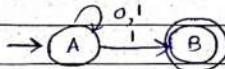
$$\Sigma = \{0, 1\}$$

$$L = \{00, 01, 10, 11\}$$

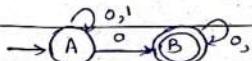


### Example 3

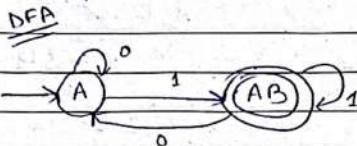
① L1 = {set of all strings that ends with '1'}



② L2 = {set of all strings that contain '0'}



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	0	1	AB - single state.
A	{A}	{A,B} \ {AB}	
AB	{AB}	{AB}	* we cannot reach B state

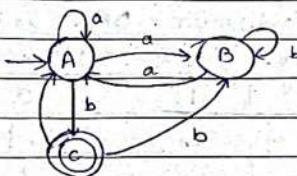
perform

union of A and B (from NFA transition table)

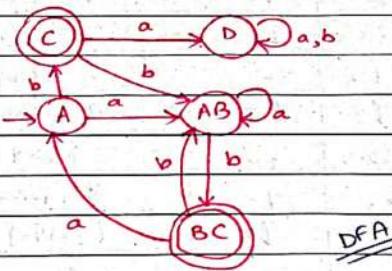
- Q) Find the equivalent DFA for NFA given by  $M = \{A, B, C\}, \{\alpha, \beta\}, \delta$
- q.  $\delta(A, \{c\}) = C$  where  $\delta$  is given by:

~~NFA~~

	a	b
$\rightarrow A$	A, B	C
B	A	B
C	-	A, B



	a	b
$\rightarrow A$	AB	C
AB	AB	BC
final states since it contains C	C	A
D	D	AB
D	D	D



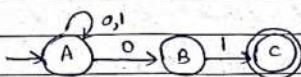
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Example 4:

$L = \{\text{Set of all strings over } \{0,1\} \text{ that ends with '01'}\}$

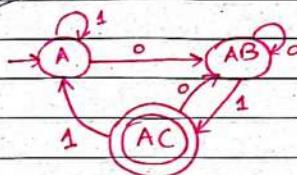
Construct equivalent DFA

NFA



	0	1
$\rightarrow A$	A,B	A
B	$\emptyset$	C
$\circlearrowleft C$	$\emptyset$	$\emptyset$

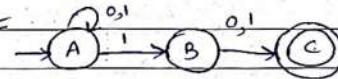
DFA



	0	1
$\rightarrow A$	AB	A
AB	AB	AC
$\circlearrowleft AC$	AB	A

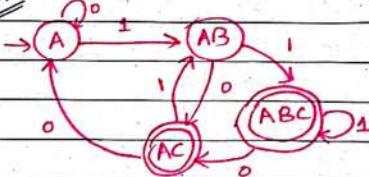
Q) Design an NFA for a language that accepts all strings over  $\{0,1\}$  in which the second & last symbol is always '1'. Then convert it to its equivalent DFA.

NFA



	0	1
$\rightarrow A$	{A}	{A,B}
B	{C}	{C}
$\circlearrowleft C$	$\emptyset$	$\emptyset$

DFA



	0	1
A	{A}	AB
AB	AC	ABC
AC	A	AB
$\circlearrowleft ABC$	AC	ABC

Date:

## MINIMISATION OF DFA

Minimisation of DFA is required to obtain minimal version of any DFA which consists of minimum number of states possible.

DFA

5 states

4 states

00 000

equivalent

Two states 'A' and 'B' are said to be equivalent if

$$\delta(A, x) \rightarrow F \quad \text{and}$$

$$\delta(A, x) \rightarrow F \quad \text{where } 'x' \text{ is any input string.}$$

$$\delta(B, x) \rightarrow F$$

$$\delta(B, x) \rightarrow F$$

→ If  $|x| = 0$ , then A and B are said to be 0 equivalent

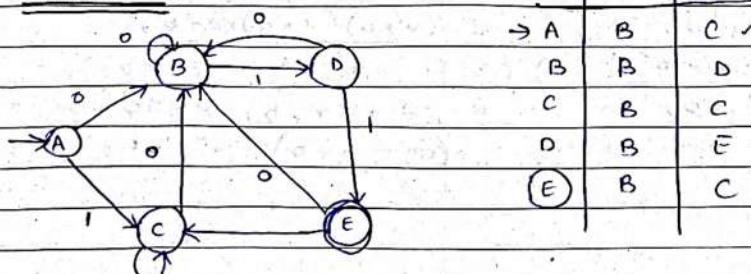
→ If  $|x| = 1$ , then A and B are said to be 1 equivalent

→ If  $|x| = 2$ , then A and B are said to be 2 equivalent

⋮

→ If  $|x| = n$ , then A and B are said to be n equivalent.

### EXAMPLE 1 :



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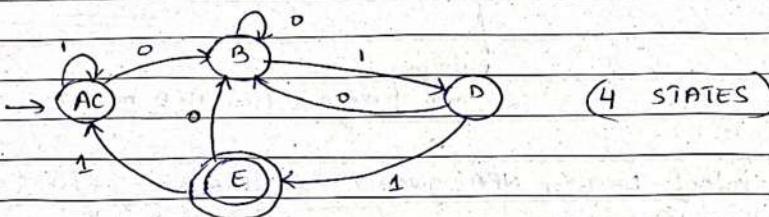
0 Equivalence:  $\{A, B, C, D\}$      $\{E\}$ 

A, B ✓

because  
C and B  
are in  
same set1 Equivalence:  $\{A, B, C\}$      $\{D\}$      $\{E\}$ 2 Equivalence:  $\{A, C\}$      $\{B\}$      $\{D\}$      $\{E\}$ 3. Equivalence:  $\{A, C\}$      $\{B\}$      $\{D\}$      $\{E\}$ 

A, C ✓

C, D X

because  
E is outside

- Q) construct a minimum DFA equivalent to the DFA described by.

	0	1	0 Equivalence
$\rightarrow q_0$	$q_1$	$q_5$	$\{q_0, q_1, q_3, q_4, q_5, q_6, q_7\}$ $\{q_2\}$
$q_1$	$q_6$	$q_2$	1 - equivalence.
$q_2$	$q_0$	$q_2$	$\{q_0, q_4, q_6\}$ $\{q_1, q_7\}$
$q_3$	$q_2$	$q_6$	$\{q_3, q_5\}$ $\{q_2\}$ .
$q_4$	$q_7$	$q_5$	2 Equivalence.
$q_5$	$q_2$	$q_6$	$\{q_0, q_4\}$ $\{q_6\}$ $\{q_1, q_7\}$ $\{q_3, q_5\}$ $\{q_2\}$
$q_6$	$q_6$	$q_4$	3 Equivalence
$q_7$	$q_6$	$q_2$	$\{q_0, q_4\}$ $\{q_6\}$ $\{q_1, q_7\}$ $\{q_3, q_5\}$ $\{q_2\}$

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## REGULAR EXPRESSIONS

Regular Expression are used for representing certain sets of strings in an algebraic fashion.

(a, b, c, ..., A, φ) ① Any terminal symbol ie symbols  $\in \Sigma$

including A and φ are regular expressions.

$R_1, R_2, (R_1 + R_2)$  ② The Union of two regular expressions is also regular expression

$R_1, R_2 \rightarrow (R_1, R_2)$  ③ The Concatenation of two regular expressions is also regular expression.

$R \rightarrow R^*$  ④ The Iteration (or Closure) of regular expression is also regular expression.

⑤ The regular expression over  $\Sigma$  are precisely those obtained recursively by application of above rules once or several times.

## IDENTITIES OF REGULAR EXPRESSIONS

$$① \phi + R = R$$

$$⑦ RR^* = R^*R$$

$$② \phi R + R\phi = \phi$$

$$⑧ (R^*)^* = R^*$$

$$③ ER = RE = R$$

$$⑨ E + RR^* = E + R^*R = R^*$$

$$④ E^* = E \text{ and } \phi^* = E$$

$$⑩ (PQ)^* P = P(QP)^*$$

$$⑤ R + R = R$$

$$⑪ (P+Q)^* = (P^*Q^*)^* = (P^* + Q^*)^*$$

$$⑥ R^* R^* = R^*$$

$$⑫ (P+Q) R = PR + QR \text{ and}$$

$$R(P+Q) = RP + RQ$$

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Q) Prove that  $(1 + 00^* 1) + (1 + 00^* 1)(0 + 10^* 1)^*(0 + 10^* 1)$   
is equal to  $0^* 1 (0 + 10^* 1)^*$

LHS:

$$\begin{aligned} (1 + 00^* 1) &= (1 + 00^* 1) + (1 + 00^* 1)(0 + 10^* 1)^*(0 + 10^* 1) \\ &= (1 + 00^* 1)[\epsilon + (0 + 10^* 1)^*(0 + 10^* 1)] \quad \therefore \epsilon \cdot R = R \\ &= (1 + 00^* 1)(0 + 10^* 1)^* \\ &= (\epsilon \cdot 1 + 00^* 1)(0 + 10^* 1)^* \quad \therefore \epsilon \cdot R = R \\ &= (\epsilon + 00^*) 1 (0 + 10^* 1)^* \\ &= 0^* 1 (0 + 10^* 1)^* = \underline{\text{RHS}} \end{aligned}$$

### DESIGN REGULAR EXPRESSION

Q) Design regular expression for following languages over  $\{a, b\}$

- (1) Language accepting strings of length exactly 2
- (2) Language accepting strings of length atleast 2
- (3) Language accepting strings of length atmost 2

1)  $L_1 = \{aa, ab, ba, bb\}$

$$R = aa + ab + ba + bb$$

$$\begin{aligned} &= a(a+b) + b(a+b) \\ &= (a+b)(a+b) \end{aligned}$$

2)  $L_1 = \{aa, ab, ba, bb, aaa, \dots\}$

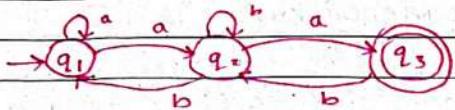
$$R = (a+b)(a+b)(a+b)^*$$

3)  $L_1 = \{\epsilon, a, b, aa, ab, ba, bb\}$

$$\begin{aligned} R &= \epsilon + a + b + aa + ab + ba + bb \\ &= (\epsilon + a + b)(\epsilon + a + b) \end{aligned}$$

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Q) Find the Regular Expression for following NFA.



$$q_3 = q_2 a \rightarrow ①$$

$$q_2 = q_1 a + q_2 b + q_3 b \rightarrow ②$$

$$q_1 = \epsilon + q_1 a + q_2 b \rightarrow ③$$

$$\begin{aligned} ① \quad q_3 &= q_2 a \\ &= (q_1 a + q_2 b + q_3 b) a \\ &= q_1 aa + q_2 ba + q_3 ba \end{aligned}$$

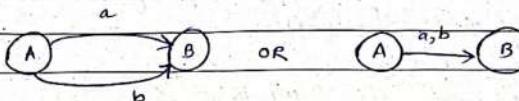
$$\begin{aligned} ② \quad q_2 &= q_1 a + q_2 b + q_3 b \quad \text{putting value of } q_3 \text{ from } ① \\ &= q_1 a + q_2 b + (q_2 a) b \\ &= q_1 a + q_2 b + q_2 ab \\ q_2 &= q_1 a + q_2 (b + ab) \\ q_2 &= (q_1 a) (b + ab)^* \end{aligned}$$

$$\begin{aligned} ③ \quad q_1 &= \epsilon + q_1 a + q_2 b \\ q_1 &= \epsilon + q_1 a + ((q_1 a)(b + ab)^*) b \\ \therefore \quad &\text{left } \underline{\text{TBD}} \end{aligned}$$

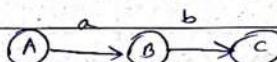
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### CONVERSION OF REGULAR EXPRESSION TO FINITE AUTOMATA.

$(a+b)$



$(a \cdot b)$

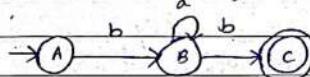


$a^*$

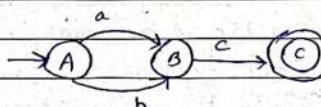


①  $ba^*b$ .

$bb, bab, baab, \dots$

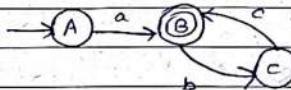


②  $(a+b)c$ .



③  $a(bc)^*$

$a, abc, abcbc, abebcbc, \dots$



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## PUMPING LEMMA

→ Pumping lemma is used to prove that a language is  
**NOT REGULAR**

→ it cannot be used to prove that language is Regular.

If A is a Regular Language, then A has Pumping length 'P' such that any string 's' where  $|s| \geq P$  may be divided into 3 parts  $s = xyz$  such that following conditions must be true:

- (1)  $xy^iz \in A$  for every  $i \geq 0$
- (2)  $|y| > 0$
- (3)  $|xy| \leq P$ .

To prove that a language is not Regular using PUMPING LEMMA follow below steps:

(We prove using contradiction).

→ Assume that A is Regular

→ It has to have Pumping length (say P).

→ All strings longer than P can be pumped  $|s| \geq P$

→ Now find a string  $\alpha$  's' in A such that  $|s| \geq P$

→ Divide s into xyz.

→ Show that  $xy^iz \notin A$  for some i.

→ Then consider all ways that s can be divided into xyz.

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- Show that none of these can satisfy all the 3 pumping conditions at same time.  
→ S cannot be Pumped == CONTRADICTION.

### EXAMPLE 1:

Use Pumping Lemma prove that the language  $A = \{a^n b^n \mid n \geq 0\}$  is NOT REGULAR.

Proof:

Assume that A is Regular

Pumping Length = P

$$S = a^P b^P \Rightarrow aaaaabbbbbbb$$

↓  
+

x y z

$P=7$

CASE 1: The  $y$  is in the 'a' part

$$\underline{\hspace{3cm}} \quad \underline{\hspace{1cm}} \quad \underline{\hspace{3cm}}$$

x y z

CASE 2: The  $y$  is in the 'b' part

$$\underline{\hspace{3cm}} \quad \underline{\hspace{1cm}} \quad \underline{\hspace{3cm}}$$

x y z

CASE 3: The  $y$  is in the 'a' and 'b' part

$$\underline{\hspace{1cm}} \quad \underline{\hspace{1cm}} \quad \underline{\hspace{3cm}}$$

x y z

CASE 1

$$xy^iz \Rightarrow xy^2z$$

$$aaaaaaa \quad aaaaabb bbbbbbb$$

$11 \neq 7$  does not lie in lang.

CASE 2

$$xy^iz \Rightarrow xy^2z$$

$$aaaaaaaabb bbbbb bbbb b$$

$7 \neq 11$  does not lie in lang.

CASE 3

$$xy^iz \Rightarrow xy^2z$$

$$a^n b^n$$

$$aaaaaa aabb aabb bbbbb$$

does not follow  $a^n b^n$  so does not lie in language.

CASE 1

$$|xy| \leq P \rightarrow |y| \leq 7$$

CASE 2

$$13 \leq 7 \times$$

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- 1)  $xy^iz \in A$  for all  $i \geq 0$
- 2)  $y \neq \epsilon$
- 3)  $|xy| \leq p$

$$y^i = \underbrace{yy\cdots y}_i$$

$$x = 0^a, y = 0^b, z = 0^{p-a-b}1^p$$

$$i=2 \rightarrow s' = xy^2z$$

$$0^a 0^{2b} 0^{p-a-b} 1^p$$

$$0^{a+2b+(p-a-b)} 1^p$$

$0^{p+b} 1^p \therefore$  has excess 0s.

### EXAMPLE 1:

$$\text{Let } D = \{0^k 1^k \mid k \geq 0\}$$

Show:  $D$  is not regular.

Proof By contradiction:

Assume (to get contradiction) that  $D$  is regular. The pumping lemma gives  $p$  as above. Let  $s = 0^p 1^p \in D$ . Pumping lemma says that can divide  $s = xyz$  satisfying the 3 conditions. But  $xyyz$  has excess 0s and thus  $xyyz \notin D$  contradicting the pumping lemma. Therefore our assumption ( $D$  is regular) is false. We conclude that  $D$  is not regular.

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### EXAMPLE 2:

Let  $F = \{ww^R \mid w \in \Sigma^*\}$ . Say  $\Sigma^* = \{0, 1\}$ .

Show:  $F$  is not regular.

Proof By Contradiction:

Assume (for contradiction) that  $F$  is regular. The pumping lemma gives  $p$  as above. Need to choose  $s \in F$ . Which  $s$ ?

Try  $s = 0^p 0^p \epsilon F$

Try  $s = 0^p 1 0^p \epsilon F$ . Show cannot be pumped  $s = xyz$  satisfying 3 conditions  $xyyz \notin F$  contradiction! Therefore  $P$  is not regular.

### EXAMPLE 3:

Let  $B = \{w \mid w \text{ has equal numbers of } 0s \text{ and } 1s\}$

Show:  $B$  is not regular.

Proof By Contradiction:

Assume (for contradiction) that  $B$  is regular.  
we know that  $0^* 1^*$  is regular so  $B \cap 0^* 1^*$  is regular  
(closure under intersection). But  $D = B \cap 0^* 1^*$  & we already  
showed  $D$  is not regular. contradiction! Therefore our assumption  
is false, so  $B$  is not regular.

## CHAPTER 2

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### Grammar

A Grammar "G" can be formally described using 4 tuples as

$$G = (V, T, S, P) \text{ where}$$

V = set of variables or non-terminal symbols

T = set of Terminal Symbols.

S = Start Symbol

P = Production rules for Terminals and Non-Terminals.

A production rules has form  $a \rightarrow \beta$  where a and  $\beta$  are strings on VUT and atleast one symbol of  $\beta$  belongs to V.

$$\text{Example: } G = (\{S, A, B\}, \{a, b\}, S, \{S \rightarrow AB, A \rightarrow a, B \rightarrow b\})$$

$$V = \{S, A, B\}$$

$$T = \{a, b\}$$

$$S = S$$

$$P = S \rightarrow AB, A \rightarrow a, B \rightarrow b$$

$$\text{Eg. } S \rightarrow AB$$

$$\rightarrow aB$$

$$\rightarrow ab$$

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EXAMPLE 2:

Using Pumping Lemma prove that the language  $A = \{yy \mid y \in \{0,1\}^*\}$  is Not Regular.

Proof:

Assume that A is Regular

then it must have Pumping Length = P

$$s = 0^p 1 0^p 1$$

$$\begin{array}{ccccccc} 1 & & 1 & & & & \\ \downarrow & & \downarrow & & & & \\ x & y & z & & & & \end{array} \quad p=7$$

$$x y^i z \Rightarrow x y^2 z$$

00 0000 0000 01 00000001

00000001 00000001

x y z

$\notin A$

$$|xy| \leq p$$

A is NOT REGULAR.

$$6 \leq 7 \quad \checkmark$$

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### Regular Grammar

Regular Grammar can be divided into two types:

#### Right Linear Grammar

A grammar is said to be Right Linear if all productions are of the form

$$A \rightarrow xB$$

$$A \rightarrow x$$

where  $A, B \in V$  and  $x \in T$

#### Left Linear Grammar

A grammar is said to be Left Linear if all productions are of form

$$A \rightarrow Bx$$

$$A \rightarrow x$$

where  $A, B \in V$  and  $x \in T$

Eg.  $S \rightarrow abS \mid b \rightarrow$  Right linear grammar.

$S \rightarrow Sbb \mid b \rightarrow$  Left linear grammar

### Derivations from a Grammar

The set of all strings that can be derived from a grammar is said to be LANGUAGE generated from that Grammar.

Example 1 Consider the Grammar  $g1 = \{S, A\}, \{a, b\}, S, \{S \rightarrow aAb, A \rightarrow aaAb, A \rightarrow \epsilon\}$

$S \rightarrow aAb$  (by  $S \rightarrow aAb$ )

$\rightarrow aaAbb$  (by  $aA \rightarrow aaAb$ )

$\rightarrow aaaAbbb$  (by  $aA \rightarrow aaAb$ )

$\rightarrow aaabb$  (by  $A \rightarrow \epsilon$ )

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Example 2  $G_2 = (\{S, A, B\}, \{a, b\}, S, \{S \rightarrow AB, A \rightarrow a, B \rightarrow b\})$

$S \rightarrow AB$   
 $\rightarrow ab$   
 $L(G_2) = \{ab\}$

### CONTEXT FREE LANGUAGE

→ In formal language theory, a context free language is a language generated by some Context Free Grammar.

The set of all CFL is identical to set of languages accepted by Pushdown Automata.

Context Free Grammar is defined by 4 tuples as

$$G = \{V, \Sigma, S, P\} \text{ where}$$

$V$  = Set of variables or Non-Terminal Symbols.

$\Sigma$  = Set of Terminal Symbols

$S$  = Start Symbol.

$P$  = Production Rule.

Context Free Grammar has Production Rule of form

$$A \rightarrow a.$$

where,  $a = \{V \cup \Sigma\}^*$  and  $A \in V$ .

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Example:

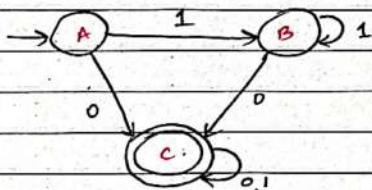
For generating a language that generates equal number of a's and b's in the form  $a^n b^n$ , the CFG will be defined as.

$$q = \{ (S, A), (a, b), (S \rightarrow aAb, A \rightarrow aAb \mid \epsilon) \}$$

$$\begin{aligned} S &\rightarrow aAb \\ &\rightarrow aaAbb \quad (\text{by } A \rightarrow aAb) \\ &\rightarrow aaaAbbb \quad ("") \\ &\rightarrow aaabbbb \quad (\text{by } A \rightarrow \epsilon) \\ &\rightarrow a^3b^3 \Rightarrow a^n b^n \end{aligned}$$

REGULAR LANGUAGES AND FINITE AUTOMATA

Q) Consider the DFA A given below:



$$C = A0 + B0 + C0 + C1 \quad \text{--- (I)}$$

$$B = A1 + B1 \quad \text{--- (II)}$$

$$A = \epsilon \quad \text{--- (III)}$$

$$B = \epsilon 1 + B1$$

$$\overbrace{B = 1 + B1}^{\substack{\square \\ \square}} \quad R \quad Q \quad R \quad P = QP^*$$

$$B = 11^*$$

$$\overbrace{C = 0 + 11^* 0}^R + \overbrace{C(0+1)}^{Q \quad R \quad P}$$

$$C = 0 + 11^* 0 (0+1^*)^*$$

Q) Which of the following are FALSE?

✓ ① complement of  $L(A)$  is context free

✓ ②  $L(A) = L((11^* 0 + 0)(0+1)^* 0^*)^*$

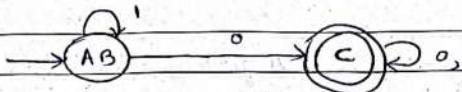
✗ ③ For language accepted by A, A is minimal DFA.

✗ ④ A accepts all strings over  $\{0, 1\}$  of length at least 2.

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③ 0 Equivalence:  $\{A, B\} \setminus \{C\}$

1 Equivalence:  $\{A, B\} \setminus \{C\}$



Q) Which of the following languages over alphabet  $\{0, 1\}$  is described by the regular expression:  $(0+1)^* 0 (0+1)^*$   
 $0 (0+1)^* ?$

O OR 1

- A The set of all strings containing the substring 00.
- B The set of all strings containing at most two 0's
- C The set of all strings containing at least two 0's
- D The set of all strings that begin & end with either 0 or 1.

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### METHOD TO FIND WHETHER A STRING BELONGS TO GRAMMAR OR NOT

- ① Start with start symbol & choose the closest production that matches to the given string.
- ② Replace the variables with its most appropriate production Repeat the process until the string is generated or until no other productions are left.

Example: Verify whether the Grammar  $S \rightarrow 0B \mid 1A$ ,  $A \rightarrow 0 \mid 0S \mid 1AA^*$ ,

$B \rightarrow 1 \mid 1S \mid 0BB$  generates string 00110101

$S \rightarrow 0B \quad (S \rightarrow 0B)$

$\rightarrow 00BB \quad (B \rightarrow 0BB)$

$\rightarrow 001B \quad (B \rightarrow 1)$

$\rightarrow 0011S \quad (B \rightarrow 1S)$

$\rightarrow 00110B \quad (S \rightarrow 0B)$

$\rightarrow 001101S \quad (B \rightarrow 1S)$

$\rightarrow 0011010B \quad (S \rightarrow 0B)$

$\rightarrow 00110101 \quad (B \rightarrow 1)$

Example: Verify whether the Grammar  $S \rightarrow aAb$ ,  $A \rightarrow aAb \mid ^*$  generates the string aabbb.

$S \rightarrow aAb$

$\rightarrow aaAb \quad (A \rightarrow aAb)$

$\rightarrow$