optim.py related code sections

```
def sgd_momentum(w, dw, config=None):
   Performs stochastic gradient descent with momentum.
  config format:
   - learning rate: Scalar learning rate.
   - momentum: Scalar between 0 and 1 giving the momentum value.
    Setting momentum = 0 reduces to sgd.
   - velocity: A numpy array of the same shape as w and dw used to store a moving
    average of the gradients.
   if config is None: config = {}
  config.setdefault('learning_rate', 1e-2)
   config.setdefault('momentum', 0.9) # set momentum to 0.9 if it wasn't there
   v = config.get('velocity', np.zeros_like(w)) # gets velocity, else sets it to zero.
   # ----- #
   # YOUR CODE HERE:
   # Implement the momentum update formula. Return the updated weights
   # as next_w, and the updated velocity as v.
   v = config['momentum'] * v - config['learning_rate'] * dw
   # END YOUR CODE HERE
   config['velocity'] = v
   return next_w, config
```

```
def sgd_nesterov_momentum(w, dw, config=None):
   Performs stochastic gradient descent with Nesterov momentum.
   config format:
   - learning rate: Scalar learning rate.
   - momentum: Scalar between 0 and 1 giving the momentum value.
    Setting momentum = 0 reduces to sgd.
   - velocity: A numpy array of the same shape as w and dw used to store a moving
    average of the gradients.
   if config is None: config = {}
   config.setdefault('learning_rate', 1e-2)
   config.setdefault('momentum', 0.9) # set momentum to 0.9 if it wasn't there
   v = config.get('velocity', np.zeros_like(w)) # gets velocity, else sets it to zero.
   # YOUR CODE HERE:
   # Implement the momentum update formula. Return the updated weights
   # as next_w, and the updated velocity as v.
   # ----- #
   v_old = v
   v = config['momentum'] * v - config['learning_rate'] * dw
   next_w = w + v + config['momentum'] * (v-v_old)
   # END YOUR CODE HERE
   config['velocity'] = v
   return next_w, config
```

```
def rmsprop(w, dw, config=None):
   Uses the RMSProp update rule, which uses a moving average of squared gradient
   values to set adaptive per-parameter learning rates.
   config format:
   - learning_rate: Scalar learning rate.
   - decay_rate: Scalar between 0 and 1 giving the decay rate for the squared
    gradient cache.
   - epsilon: Small scalar used for smoothing to avoid dividing by zero.
   - beta: Moving average of second moments of gradients.
   if config is None: config = {}
   config.setdefault('learning_rate', 1e-2)
   config.setdefault('decay_rate', 0.99)
   config.setdefault('epsilon', 1e-8)
   config.setdefault('a', np.zeros_like(w))
   next_w = None
   # ------ #
   # YOUR CODE HERE:
   # Implement RMSProp. Store the next value of w as next_w. You need
   # to also store in config['a'] the moving average of the second
   # moment gradients, so they can be used for future gradients. Concretely,
   # config['a'] corresponds to "a" in the lecture notes.
   # ----- #
   config['a'] = config['decay_rate'] * config['a'] + (1 - config['decay_rate']) * dw * dw
   next_w = w - config['learning_rate'] * dw / (np.sqrt(config['a']) + config['epsilon'])
   # END YOUR CODE HERE
   return next w, config
```

```
def adam(w, dw, config=None):
   Uses the Adam update rule, which incorporates moving averages of both the
   gradient and its square and a bias correction term.
   config format:
   - learning rate: Scalar learning rate.
   - beta1: Decay rate for moving average of first moment of gradient.
   - beta2: Decay rate for moving average of second moment of gradient.
   - epsilon: Small scalar used for smoothing to avoid dividing by zero.
   - m: Moving average of gradient.
   - v: Moving average of squared gradient.
   - t: Iteration number.
   if config is None: config = {}
   config.setdefault('learning rate', 1e-3)
   config.setdefault('beta1', 0.9)
   config.setdefault('beta2', 0.999)
   config.setdefault('epsilon', 1e-8)
   config.setdefault('v', np.zeros_like(w))
   config.setdefault('a', np.zeros_like(w))
   config.setdefault('t', 0)
   next w = None
   # ----- #
   # YOUR CODE HERE:
   # Implement Adam. Store the next value of w as next_w. You need
   # to also store in config['a'] the moving average of the second
   # moment gradients, and in config['v'] the moving average of the
   # first moments. Finally, store in config['t'] the increasing time.
   # ----- #
   b1 = config['beta1']
   b2 = config['beta2']
   config['t'] += 1
   config['v'] = b1 * config['v'] + (1-b1) * dw
   config['a'] = b2 * config['a'] + (1-b2) * dw * dw
   v_u = config['v'] / (1-b1**config['t'])
   a u = config['a'] / (1-b2**config['t'])
   next_w = w - config['learning_rate'] * v_u / (np.sqrt(a_u) + config['epsilon'])
   # END YOUR CODE HERE
   # ----- #
   return next w, config
```

```
layers.py related code sections
def affine_forward(x, w, b):
 Computes the forward pass for an affine (fully-connected) layer.
 The input x has shape (N, d, ..., d, k) and contains a minibatch of N
 examples, where each example x[i] has shape (d_1, ..., d_k). We will
 reshape each input into a vector of dimension D = d_1 * ... * d_k, and
 then transform it to an output vector of dimension M.
 Inputs:
 - x: A numpy array containing input data, of shape (N, d 1, ..., d k)
 - w: A numpy array of weights, of shape (D, M)
 - b: A numpy array of biases, of shape (M,)
 Returns a tuple of:
 - out: output, of shape (N, M)
 - cache: (x, w, b)
 # ----- #
 # YOUR CODE HERE:
   Calculate the output of the forward pass. Notice the dimensions
     of w are D x M, which is the transpose of what we did in earlier
     assignments.
 N = x.shape[0]
      # N x D
 out = (x.reshape(N, -1) @ w) + b # N x M
 # ------ #
 # END YOUR CODE HERE
 # ----- #
 cache = (x, w, b)
 return out, cache
```

```
def affine_backward(dout, cache):
 Computes the backward pass for an affine layer.
 Inputs:
 - dout: Upstream derivative, of shape (N, M)
 - cache: Tuple of:
   - x: Input data, of shape (N, d_1, ... d_k)
   - w: Weights, of shape (D, M)
 Returns a tuple of:
 - dx: Gradient with respect to x, of shape (N, d1, ..., d_k)
 - dw: Gradient with respect to w, of shape (D, M)
 - db: Gradient with respect to b, of shape (M,)
 x, w, b = cache
 dx, dw, db = None, None, None
 # ----- #
 # Calculate the gradients for the backward pass.
 # dout is N x M
 # dx should be N x d1 x \dots x dk; it relates to dout through multiplication with w, which is D x M
 # dw should be D x M; it relates to dout through multiplication with x, which is N x D after reshaping
 # db should be M; it is just the sum over dout examples
 N = x.shape[0]
 new_x = x.reshape(N, -1) # N x D
 dx = (dout @ w.T).reshape(x.shape) # N x d1 x ... x dk
 dw = new_x.T @ dout # D x M
 db = np.sum(dout.T, axis=1) # M * 1
 # ----- #
 # END YOUR CODE HERE
 return dx, dw, db
```

```
def relu_forward(x):
  Computes the forward pass for a layer of rectified linear units (ReLUs).
  Input:
  - x: Inputs, of any shape
  Returns a tuple of:
  - out: Output, of the same shape as x
  cache: x
  # YOUR CODE HERE:
    Implement the ReLU forward pass.
  out = np.maximum(0, x)
  # END YOUR CODE HERE
  cache = x
  return out, cache
def relu backward(dout, cache):
  Computes the backward pass for a layer of rectified linear units (ReLUs).
  Input:
  - dout: Upstream derivatives, of any shape
  - cache: Input x, of same shape as dout
  Returns:
  - dx: Gradient with respect to x
  x = cache
  # ----- #
  # YOUR CODE HERE:
  # Implement the ReLU backward pass
  dx = (x > 0) * dout
  # END YOUR CODE HERE
```

return dx

```
def batchnorm_forward(x, gamma, beta, bn_param):
  Forward pass for batch normalization.
if mode == 'train':
   # ------ #
   # YOUR CODE HERE:
     A few steps here:
       (1) Calculate the running mean and variance of the minibatch.
       (2) Normalize the activations with the running mean and variance.
       (3) Scale and shift the normalized activations. Store this
          as the variable 'out'
       (4) Store any variables you may need for the backward pass in
          the 'cache' variable.
   sample mean = np.mean(x, axis=0)
   sample_var = np.var(x, axis=0)
   running_mean = momentum * running_mean + (1 - momentum) * sample_mean
   running_var = momentum * running_var + (1 - momentum) * sample_var
   x_hat = (x - sample_mean) / np.sqrt(sample_var + eps)
   out = gamma * x hat + beta
   cache = sample_mean, sample_var, x_hat, x, gamma, eps
   # ------ #
   # END YOUR CODE HERE
   # ------ #
elif mode == 'test':
   # ----- #
   # YOUR CODE HERE:
   # Calculate the testing time normalized activation. Normalize using
   # the running mean and variance, and then scale and shift appropriately.
   # Store the output as 'out'.
   x_hat = (x - running_mean) / np.sqrt(running_var + eps)
   out = gamma * x hat + beta
   # ------ #
   # END YOUR CODE HERE
   # ----- #
```

```
def batchnorm_backward(dout, cache):
   Backward pass for batch normalization.
dx, dgamma, dbeta = None, None, None
# YOUR CODE HERE:
  Implement the batchnorm backward pass, calculating dx, dgamma, and dbeta.
# ------ #
sample_mean, sample_var, x_hat, x, gamma, eps = cache
N, D = dout.shape
dbeta = np.sum(dout, axis=0)
dgamma = np.sum(dout * x_hat, axis=0)
dl dxhat = dout * gamma
b = 1 / np.sqrt(sample_var + eps)
a = x - sample_mean
e = sample_var + eps
dl da = dl dxhat * b
dl_db = dl_dxhat * a
dl_de = -0.5 * dl_db / e^{**}(3/2)
dl dvar = np.sum(dl de, axis=0)
dl_dmu = -b * np.sum(dl_dxhat, axis=0) - dl_dvar * 2 * np.sum(x-sample_mean) / N
dx = dl_da + 2 * (x-sample_mean) * dl_dvar / N + dl_dmu / N
# ------ #
# END YOUR CODE HERE
return dx, dgamma, dbeta
```

```
def dropout_forward(x, dropout_param):
  Performs the forward pass for (inverted) dropout.
if mode == 'train':
  # YOUR CODE HERE:
    Implement the inverted dropout forward pass during training time.
    Store the masked and scaled activations in out, and store the
    dropout mask as the variable mask.
  mask = (np.random.rand(*x.shape) < p) / p</pre>
  out = x * mask
  # ----- #
  # END YOUR CODE HERE
  elif mode == 'test':
  # ------ #
  # YOUR CODE HERE:
    Implement the inverted dropout forward pass during test time.
  # ----- #
  # ----- #
  # END YOUR CODE HERE
```

```
def dropout_backward(dout, cache):
  Perform the backward pass for (inverted) dropout.
  Inputs:
  - dout: Upstream derivatives, of any shape
  - cache: (dropout_param, mask) from dropout_forward.
  dropout_param, mask = cache
  mode = dropout_param['mode']
  dx = None
  if mode == 'train':
    # ----- #
    # YOUR CODE HERE:
      Implement the inverted dropout backward pass during training time.
    dx = dout*mask
    # END YOUR CODE HERE
    elif mode == 'test':
    # YOUR CODE HERE:
      Implement the inverted dropout backward pass during test time.
    # END YOUR CODE HERE
    return dx
```

layers_utils.py (implemented affine_batchnorm_relu_forward and affine_batchnorm_relu_backward)

```
def affine_batchnorm_relu_forward(x, w, b, gamma, beta, bn_param):
    a, fc_cache = affine_forward(x, w, b)
    out, bn_cache = batchnorm_forward(a, gamma, beta, bn_param)
    out, relu_cache = relu_forward(out)

    cache = fc_cache, bn_cache, relu_cache
    return out, cache

def affine_batchnorm_relu_backward(dout, cache):
    fc_cache, bn_cache, relu_cache = cache
    da = relu_backward(dout, relu_cache)
    dx, dgamma, dbeta = batchnorm_backward(da, bn_cache)
    dx, dw, db = affine_backward(dx, fc_cache)
    return dx, dw, db, dgamma, dbeta
```

fc net.py related code sections

```
class FullyConnectedNet(object):
   A fully-connected neural network with an arbitrary number of hidden layers,
   ReLU nonlinearities, and a softmax loss function. This will also implement
   dropout and batch normalization as options. For a network with L layers,
   the architecture will be
   {affine - [batch norm] - relu - [dropout]} x (L - 1) - affine - softmax
   where batch normalization and dropout are optional, and the {...} block is
   repeated L - 1 times.
   Similar to the TwoLayerNet above, learnable parameters are stored in the
   self.params dictionary and will be learned using the Solver class.
   def __init__(self, hidden_dims, input_dim=3*32*32, num_classes=10,
             dropout=1, use_batchnorm=False, reg=0.0,
            weight_scale=1e-2, dtype=np.float32, seed=None):
      Initialize a new FullyConnectedNet.
# ----- #
# YOUR CODE HERE:
    Initialize all parameters of the network in the self.params dictionary.
    The weights and biases of layer 1 are W1 and b1; and in general the
    weights and biases of layer i are Wi and bi. The
    biases are initialized to zero and the weights are initialized
    so that each parameter has mean 0 and standard deviation weight scale.
#
   BATCHNORM: Initialize the gammas of each layer to 1 and the beta
#
    parameters to zero. The gamma and beta parameters for layer 1 should
   be self.params['gamma1'] and self.params['beta1']. For layer 2, they
   should be gamma2 and beta2, etc. Only use batchnorm if self.use_batchnorm
   is true and DO NOT do batch normalize the output scores.
dims = [input_dim] + hidden_dims + [num_classes]
for i in range(self.num layers):
  if self.use_batchnorm and i < self.num_layers - 1:</pre>
    self.params['gamma' + str(i + 1)] = np.ones(dims[i + 1])
    self.params['beta' + str(i + 1)] = np.zeros(dims[i + 1])
  self.params['W' + str(i + 1)] = weight_scale * np.random.randn(dims[i], dims[i + 1])
  self.params['b' + str(i + 1)] = np.zeros(dims[i + 1])
# END YOUR CODE HERE
```

```
def loss(self, X, y=None):
   Compute loss and gradient for the fully-connected net.
  # ----- #
  # YOUR CODE HERE:
  # Implement the forward pass of the FC net and store the output
  # scores as the variable "scores".
  # BATCHNORM: If self.use batchnorm is true, insert a bathnorm layer
  # between the affine_forward and relu_forward layers. You may
    also write an affine_batchnorm_relu() function in layer_utils.py.
  #
  # DROPOUT: If dropout is non-zero, insert a dropout layer after
  # every ReLU layer.
  a = \{\}
  d cache = {}
  a[0] = [X]
  for i in range(self.num_layers - 1):
     if self.use batchnorm:
        a[i+1] = affine batchnorm relu forward(a[i][0], self.params['W'+str(i+1)],
                                                self.params['b'+str(i+1)],
                                                self.params['gamma'+str(i+1)],
                                                self.params['beta'+str(i+1)],
                                                self.bn params[i])
     else:
         a[i+1] = affine_relu_forward(a[i][0], self.params['W'+str(i+1)], self.params['b'+str(i+1)])
     if self.use_dropout:
        out, d_cache[i+1] = dropout_forward(a[i+1][0], self.dropout_param)
         a[i+1] = out, a[i+1][1]
  # last layer has no relu
  a[self.num layers] = affine forward(a[self.num layers - 1][0], self.params['W' + str(self.num layers)],
                                self.params['b' + str(self.num_layers)])
  scores = a[self.num_layers][0]
  scores_cached = a[self.num_layers][1]
  # ----- #
  # END YOUR CODE HERE
  # ------ #
```

```
# YOUR CODE HERE:
# Implement the backwards pass of the FC net and store the gradients
        in the grads dict, so that grads[k] is the gradient of self.params[k]
# Be sure your L2 regularization includes a 0.5 factor.
# BATCHNORM: Incorporate the backward pass of the batchnorm.
# DROPOUT: Incorporate the backward pass of dropout.
# ----- #
loss, dx = softmax_loss(scores, y)
for i in range(self.num_layers):
          loss += 0.5 * self.reg * np.sum(self.params['W' + str(i+1)] ** 2)
da[self.num_layers], grads['W' + str(self.num_layers)], grads['b' + str(self.num_layers)] = affine_backward(dx, scores_cached)
for i in range(self.num_layers - 1, 0, -1):
          if self.use_dropout:
                   da[i+1] = dropout_backward(da[i+1], d_cache[i])
          if self.use_batchnorm:
                   da[i], grads['W'+str(i)], grads['b'+str(i)], grads['gamma'+str(i)], grads['beta'+str(i)] = affine_batchnorm_relu_backward(
                                                                                                                                                                                                                                                                   da[i+1], a[i][1])
          else:
                   \label{eq:da_i} \mbox{da[i], grads['b'+str(i)] = affine\_relu\_backward(da[i+1], a[i][1])} \\ \mbox{da[i], grads['b'+str(i)] = affine\_relu\_backward(da[i+1], a[i][1])} \\ \mbox{da[i], grads['b'+str(i)], grads['b'
for i in range(self.num_layers):
          grads['W' + str(i+1)] += self.reg * self.params['W' + str(i+1)]
# ----- #
# END YOUR CODE HERE
```

return loss, grads