

Thermo-electrical modelling of the ATLAS ITk Strip Detector

Graham Beck^a, Kurt Brendlinger^b, Yu-Heng Chen^b, Georg Viehhauser^c

^a*Queen Mary University of London, Mile End Road, London E1 4NS, UK*

^b*Deutsches Elektronen-Synchrotron DESY, Notkestraße 85, 22607 Hamburg*

^c*University of Oxford, Keble Rd, Oxford OX1 3RH, UK*

Abstract

In this paper we discuss the use of linked thermal and electrical network models to predict the behaviour of a complex silicon detector system. We use the silicon strip detector for the ATLAS Phase-II upgrade to demonstrate the application of such a model and its performance. With this example, a thermo-electrical model is used to test design choices, validate specifications, predict key operational parameters such as cooling system requirements, and optimize operational aspects like the temperature profile over the lifetime of the experiment. The model can reveal insights into the interplay of conditions and components in the silicon module, and it is a valuable tool for estimating the headroom to thermal runaway, all with very moderate computational effort.

Keywords: Silicon detector, Thermal runaway, Thermal management, Cooling

1. Introduction

The temperatures in silicon detector systems are critically important to their performance. Fundamentally, the leakage current of a silicon sensor has a pronounced temperature dependence

$$I \propto T_S^2 e^{-T_A/T_S}, \quad (1)$$

where T_S is the sensor temperature and $T_A \simeq 7000$ K [1]. Leakage currents in the silicon sensor can become particularly significant after irradiation, and the heat generated by these leakage currents, together with the heat from front-end electronic components on the detector, needs to be removed by a cooling system. The capability of the cooling system to remove this heat is limited by the temperature of the local cold sink (typically a circulated fluid) and the thermal impedance of the heat path between the source (electronics and sensor) and the sink. Due to the strong growth of leakage power with temperature, there is a critical temperature T_{crit} above which the heat cannot be removed quickly enough, and the detector becomes thermally unstable ('thermal runaway')¹. Understanding the thermal behaviour and the headroom to thermal runaway is crucial for the design of a silicon detector system. Even before the limit of thermal stability is reached, temperatures in silicon detector systems have a major impact on key system parameters such as power supply capacity and cable dimensions, necessitating an accurate estimate.

In addition to the silicon, there can be aspects of the front-end electronics that have a temperature dependence. In the strip system for the ATLAS Phase-II upgrade [2], which is the subject of this case study, there are two additional temperature-dependent heat sources. The first is a radiation damage effect in the readout electronics, which leads to an increase in the digital power of the chip whose magnitude depends on the total ionisation dose (TID) and the temperature of the chip [2]. This phenomenon was first observed in the ATLAS IBL [3]. The other temperature dependence of a power source stems from the converter chip (FEAST [4, 5]) used in the on-detector DC-DC converter system supplying power to the front-end electronics. The FEAST chip has an efficiency that decreases at higher temperatures; its efficiency also depends on the magnitude of the load current.

¹In a real detector system, the resulting growth of sensor temperature would be arrested by overcurrent limits in the power supplies, resulting in a reduction of the bias voltage. At the same time, the increased current leads to an increase of the noise, such that the overall result is a degradation of the S/N performance of the system.

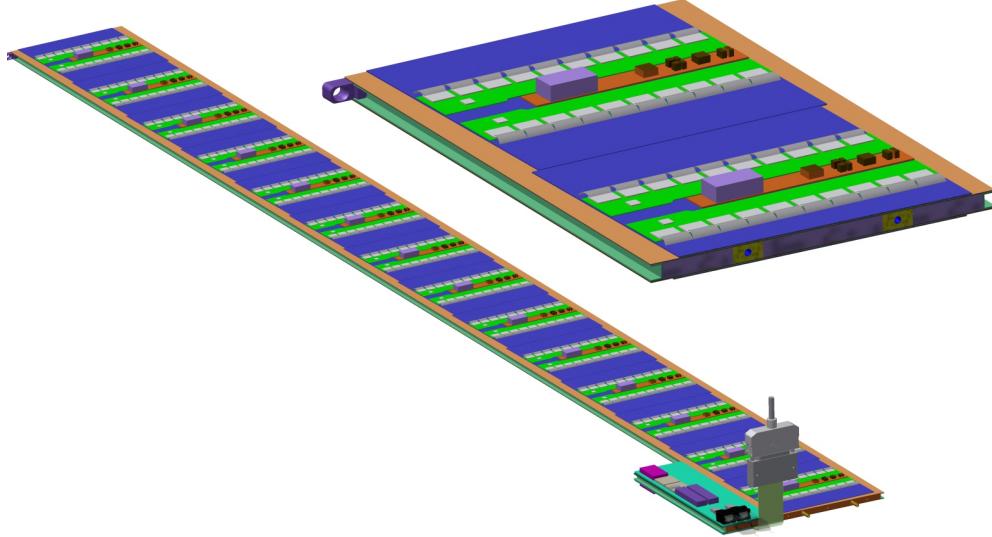


Figure 1: Strip barrel local support geometry. On the left, a complete stave is shown (EOS card in the foreground). The right picture shows a cross-section of the stave with the two cooling pipes visible inside the core.

31 In principle, the temperatures in the system for a given set of operational parameters (power density,
 32 thermal conductivities, etc.) can be predicted using finite element analysis (FEA) to an accuracy that is
 33 limited only by the quality of the input parameters. However, this is a time-consuming process and can be
 34 prohibitively difficult if a number of local heat sources depend non-linearly on temperature. A simplification
 35 to this problem that allows for an analytical solution in the case of a simple heat source topology has been
 36 developed in [6]. Here we develop this method further to include several temperature-dependent non-linear
 37 heat sources in the front-end electronics. The resulting set of equations cannot be solved analytically anymore,
 38 but the solution can be found with little effort using numerical problem solvers. This enables us to predict
 39 with some confidence the temperatures and power requirements in the ATLAS strip system throughout
 40 Phase-II operation. The results from this prediction have been used throughout the ATLAS strip project to
 41 consistently dimension the different systems (cooling, power, services, etc.), including an appropriate margin
 42 due to the inclusion of a common set of safety factors. This method can be easily adapted to any other
 43 system by adjusting the model to the system-specific geometries and parameters.

44 1.1. The ATLAS strip system

45 The strip system for the ATLAS Phase-II upgrade consists of two parts: the barrel system, comprised of
 46 four concentric cylindrical barrels, and two endcaps consisting of six disks each.

47 The basic unit of the detector is the silicon-strip module. Modules are composed of sensors with hybrids
 48 on top, which host the front-end chips as well as circuitry to convert the supply voltage to the chip voltages.
 49 The modules are glued onto both sides of a composite sandwich (local support) that contains two parallel
 50 thin-wall titanium cooling pipes embedded in carbon foam (Allcomp K9) between two facesheets of ultra-
 51 high-modulus carbon fibre (3 layers of K13C2U/EX1515) co-cured together with a Kapton/copper low-mass
 52 tape. During operation, cooling will be achieved by evaporating CO₂ in the cooling pipes with a final target
 53 temperature no higher than -35°C anywhere along the local support.

54 In the barrel, the local support is called a stave, onto which 14 modules made with square sensors
 55 ($96.85 \times 96.72 \text{ mm}^2$) are loaded. Two types of module are used in the barrel: modules with ‘short-strip’
 56 sensors of length 24.1 mm, and ‘long-strip’ sensor modules with 48.2 mm strip lengths. Short-strip modules
 57 are used in the two innermost barrel layers, and long-strip modules in the two outermost barrel layers, mainly
 58 for hit occupancy considerations. A model of the short-strip barrel stave geometry is shown in Fig. 1.

59 The geometry of the barrel stave is uniform along its length, with the exception of the end region of the
 60 stave, where an End-Of-Substructure (EOS) card is mounted on both surfaces. The EOS card shares part of

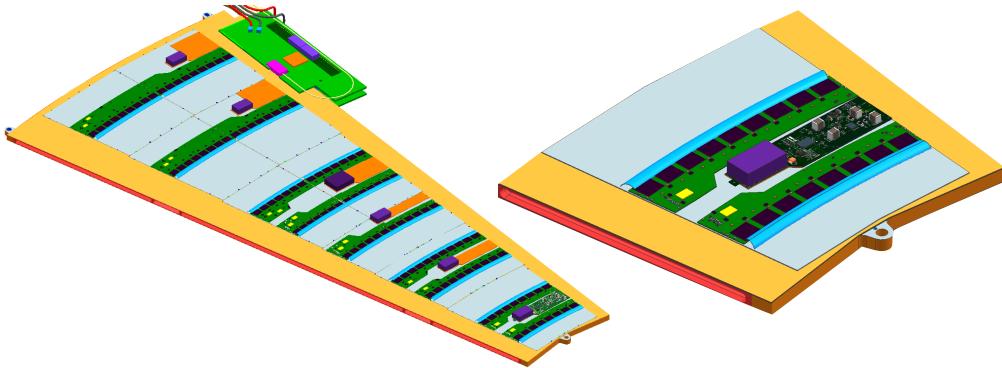


Figure 2: The geometry of the endcap strip petal, featuring 6 distinct module designs. A close-up of the R0 module is shown on the right.

61 its heat path with the adjacent module; underneath this module (hereafter referred to as an ‘EOS module’),
 62 the thermal path is degraded by the presence of electrically-insulating ceramic pipe sections. The thermal
 63 and electrical properties of an EOS module are sufficiently different from other modules along the length of
 64 the stave (‘normal modules’) to warrant separate treatment in the thermo-electrical model of the barrel.

65 The endcap system consists of two endcaps composed of 6 disks each. Each disk contains 32 ‘petals,’ the
 66 local substructure depicted in Fig. 2. Both sides of the petal are loaded with 6 modules, each with a distinct
 67 design, located at increasing radius from the beam pipe and labelled R0 through R5 (where ‘R’ stands for
 68 ring). Each endcap module consists of one or two wedge-shaped silicon sensors and a varying number of
 69 front-end chips and DC-DC converters. The EOS card is located adjacent to the R5 module, but the cooling
 70 pipes run directly underneath it without a shared heat path, in contrast to the barrel EOS card. Because
 71 of the unique geometry of each module in a petal, each of the six different types of module is modelled
 72 separately in the thermo-electrical model.

73 1.2. Radiation environment

74 A key input to the thermo-electrical calculation is the radiation environment of the strip system, as several
 75 inputs depend on radiation damage effects. The sensor leakage current can be parametrized as a function
 76 of the fluence expressed in 1 MeV neutron-equivalents, and the TID effect on the digital chip current will
 77 be described as a function of the total ionizing dose rate (more details on its dependencies can be found in
 78 Section 7).

79 Predictions for both of these quantities have been generated for each point in the ITk using the FLUKA
 80 particle transport code and the PYTHIA8 event generator (Fig. 3) [7]. In the barrel system, both of these
 81 distributions display a strong dependence on r but a weak z -dependence. Accordingly, we make the simplifying
 82 assumption that modules within the same barrel layer have identical fluence and TID, and model four
 83 different radiation profiles (one for each barrel layer). In the endcaps, the radiation levels vary significantly
 84 over the length of the petals and from disk to disk; therefore, we model each disk and ring position separately
 85 (36 in total).

86 2. The electrical model

87 The electrical model consists of low-voltage (LV) and high-voltage (HV) circuits, depicted in Fig. 4.
 88 The LV current (supplied at 11 V) is used to power the hybrid controller chips (HCCs) [2], ATLAS Binary
 89 Chips (ABCs) [8] and Autonomous Monitoring and Control chip (AMAC) located on PCBs that are glued
 90 directly onto the surface of the sensor. These chips require between 1.5 and 3.3 V, which are provided
 91 by the temperature-dependent FEAST DC-DC converter² and an LDO (low-dropout) regulator (labelled

² In the final version of the ITk strip system the FEAST chip is meant to be replaced by a chip with similar functionality and behaviour, called the bPOL12V chip; we use the term FEAST throughout this paper.

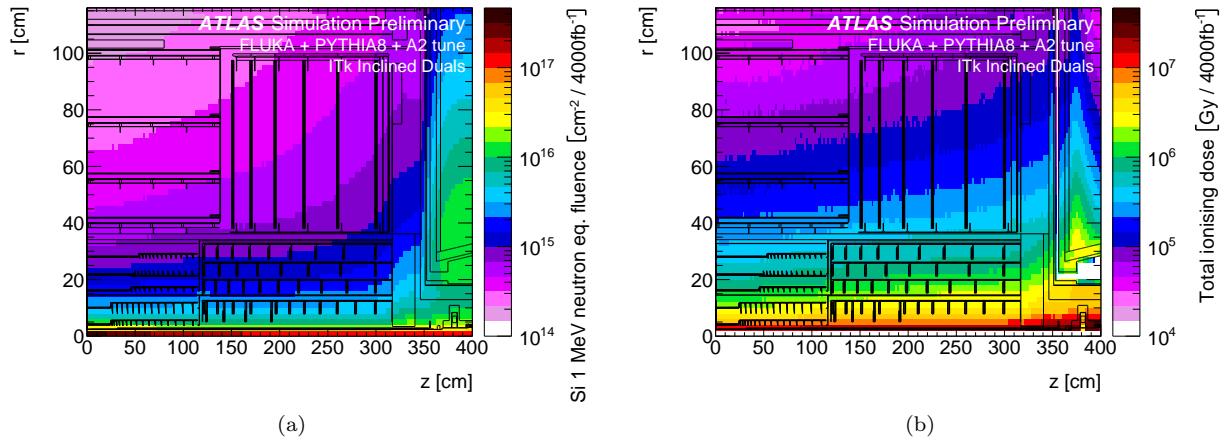


Figure 3: The ATLAS ITk radiation environment. (a) 1 MeV neutron equivalent fluence and (b) total ionizing dose. Both plots are for an integrated luminosity of 4000 fb^{-1} [7]. The figures use a rainbow colour gradient, with violet indicating the lowest values and red the highest values of fluence or ionizing dose.

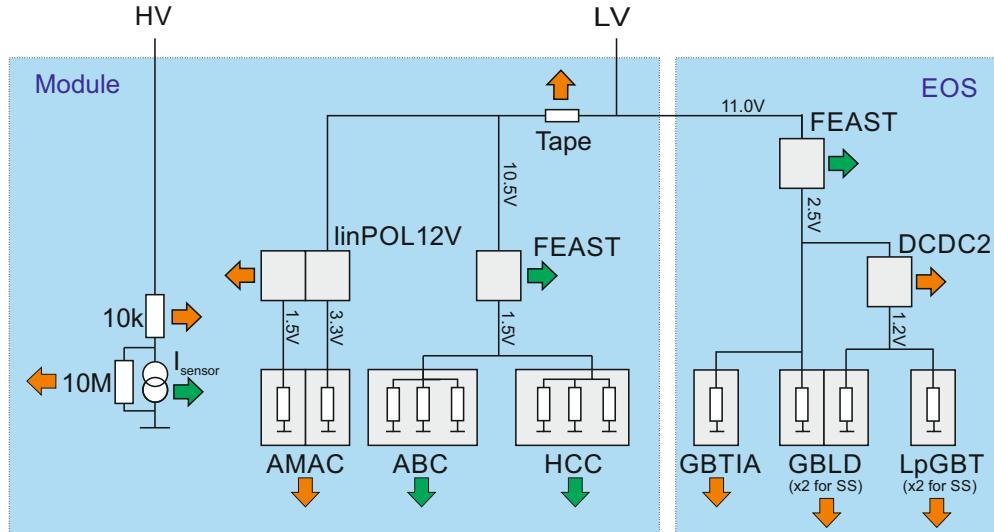


Figure 4: The electrical model of the ITk Strip barrel and endcap modules. Green arrows represent temperature-dependent heat sources, while orange arrows are temperature-independent. Grey squares are chips.

92 linPOL12V in Fig. 4). The number of chips and converters on each module vary according to the design
93 of each different module type (barrel short-strip and long-strip modules, and six different endcap
94 module designs). A barrel or endcap module contains 10–28 ABC chips, 1–4 HCCs, and 1–2 of each of the other
95 components (linPOL12V/FEAST/AMAC).

96 The LV current is also delivered to the EOS card to power various data transfer components: the Gigabit
97 Laser Driver (GBLD), low power GigaBit Transceiver (LpGBT) and Gigabit Trans-impedance Amplifier
98 (GBTIA) chips. A FEAST identical to the one used on the module is used to step the voltage down from
99 11 V to 2.5 V, and an additional DC-DC converter ('DCDC2') brings the voltage down further for some
100 components. The short-strip barrel staves contain two GBLD and LpGBT chips.

101 The bus tape, which carries both LV and HV currents, has a small ohmic resistance, which impacts the
102 module in two ways. First, the tape itself will generate some heat according to the amount of current passing
103 through it; this source of heat is accounted for in the model, however the contribution to the total module

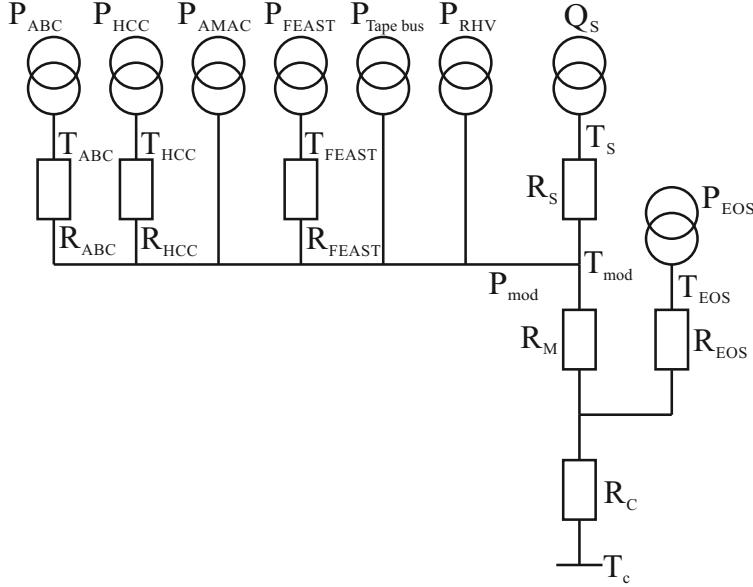


Figure 5: Thermal network model.

power is negligible. Second, due to the voltage loss along the traces, there is a slight reduction in voltage supplied to successive modules along the substructure. The treatment of this effect is slightly different in the barrel and endcap models: in the barrel, the voltage delivered to every module is averaged to 10.5 V; in the endcap, the ΔV is estimated based on the calculated expected power loss along the tape for each module and varies between 10.8 and 11 V. In both the barrel and endcap systems, the impact of using a different treatment is small.

Finally, the HV current provides the bias voltage on the silicon sensors. An HV multiplexer switch (HVMUX) can be used to disconnect the sensor from the bias line (it requires a $10 \text{ M}\Omega$ resistor parallel to the sensor in order to function). Two HV filters with an effective resistance of $10 \text{ k}\Omega$ are situated in series with the sensor. The nominal operating voltage of the sensor is expected to be 500V, but the system is designed to handle a bias voltage of up to 700V.

3. The thermal model

The thermal network consists of heat sources (some of which are temperature-dependent) and thermal resistances. The latter are given by the properties of the mechanical design (heat conductivities of the materials) and the geometry of the heat path. The geometry is generally 3-dimensional, but it is the strategy of the simple network models to lump the 3D behaviour into one thermal resistance parameter. In the models discussed here, we have used a granularity corresponding to single detector modules for which the thermal resistance has been modelled. The temperatures in the model are then given for the nodes in the network in analogy to the potentials in an electrical network³.

The complexity of the thermal network used in this study, depicted in Fig. 5, is given by the variety of temperature-dependent heat sources in the ATLAS strip system: the digital power for each type of chip, the FEAST chip providing the on-detector DC-DC conversion, and the sensor leakage power. In the ATLAS ITk strip modules, all of these components are located on top of the sensors, such that the heat generated in them flows through the sensor into the support structure, the stave (barrel) or petal (endcap) core with the embedded cooling pipe. In the network model, the heat flow from these sources combines and travels through a common impedance R_M to the sink at a temperature T_C . For each of the temperature-dependent

³The similarity of the electrical and thermal networks we are using in our approach has been exploited before: historically, Fourier's description of heat conduction pre-dated and inspired Ohm's work on electrical resistive networks. Here we followed the opposite direction.

130 heat sources (ABC, HCC, FEAST and the sensor) we have added a resistance from the common temperature
131 T_{mod} to allow for a finite and different heat path for each of them. Finally, the EOS card adjacent to the last
132 module on the barrel stave or endcap petal is modeled as an additional source of heat with an independent
133 impedance for its unique thermal path.

134 This is a more complex thermal network than the one studied in Ref. [6], for which an analytical solution
135 for the determination of thermal stability is given. In particular, because of the non-linear temperature
136 dependence of some of the heat sources, it is not possible in the present case to solve the set of equations
137 describing the model analytically. However, the set of equations is still sufficiently small to solve numerically
138 using any modern mathematical software. We do not expect the numerical method to introduce a loss of
139 precision on the scale of the model approximations.

140 4. Obtaining thermal impedances using FEA

141 The cooling path between the sources dissipating electrical power and the cooling fluid is 3-dimensional
142 and includes components with orthotropic thermal conductivity. Hence the prediction of temperature at any
143 node of the model requires a 3D thermal FEA [9, 10]. However, the thermal conductivities of the components
144 along the path are approximately constant, so that the temperature rise ΔT_i above the coolant temperature
145 of any node i ($i = \text{ABC, HCC, AMAC, FEAST, tape, RHV, or sensor}$) in the thermal network model is
146 adequately described by a linear sum of contributions from individual sources, i.e:

$$\Delta T_i \equiv T_i - T_C = R_i P_i + (R_C + R_M) \sum_j P_j, \quad (2)$$

147 where the index j runs over all powered nodes. (We have momentarily disregarded the contribution from the
148 EOS card.) The expression includes an impedance term $R_{\text{CM}} \equiv R_C + R_M$, the common thermal pathway
149 shared by the components. We omit the sensor thermal impedance in the definition of R_{CM} because its
150 contribution is negligible (roughly $0.02 \text{ }^{\circ}\text{C/W}$).

151 In order to extract the thermal impedances for the thermal network model, the finite element model is run
152 multiple times, with each heat source (or group of similar sources) switched on in turn with a representative
153 amount of heat. In each of these cases, the temperature is calculated for all nodes in the thermal network
154 model (Fig. 5). The temperature of a node is here taken as the average of the temperatures for all the
155 grid points in the FEA model within the volume of the object corresponding to the node⁴. The thermal
156 impedances are then obtained from a fit of Eq. 2 using the temperature data for all nodes for all cases of
157 heat injection.

158 Because of the nature of the network, the fitted value for the common impedance R_{CM} is determined by
159 the observed temperature rises of components where no heat is injected. The linearity of this relationship is
160 illustrated in Fig. 6. The value of each component-specific impedance is determined from the temperature
161 rise observed when heat is injected into that component. The linear approximation of the model reasonably
162 describes the FEA simulation, and the level of disagreement, discussed below, is taken as an uncertainty that
163 is assessed as a safety factor as described in Section 7.2.

164 For the barrel, this procedure is performed for both an EOS module and a normal module, for short-
165 strip and long-strip module types and for 6 different heat sources (24 module FEA simulations in total). In
166 all cases, the agreement of the network temperatures using the thermal impedances from the fit with the
167 data from FEA is better than $0.5 \text{ }^{\circ}\text{C}$ for all nodes. The thermal impedance from the sensor to the sink
168 (R_{CM}) is consistently between 1.1 and $1.4 \text{ }^{\circ}\text{C/W}$, but higher values (between 10 and $20 \text{ }^{\circ}\text{C/W}$) are found
169 for other impedances in the network (R_{HCC} and R_{FEAST}), mostly because these are for components with a
170 small footprint constituting a bottleneck for the heat flow. A 10% uncertainty is assigned to the thermal
171 impedances in the barrel modules for the purposes of assessing safety factors.

172 For the endcap, the procedure to determine the thermal impedances for each of the 6 module types used
173 simulations of an endcap petal with 3 different heat sources (requiring 3 FEA simulations of a full petal).

⁴This is particularly interesting in the case of the sensor, which fills a large volume, with a potentially large range of temperatures. In Ref. [6] the analytic model parameters were extracted from the maximum sensor temperature predicted by FEA. Further comparisons after the publication of that paper indicated that the thermal stability limit is predicted more accurately if the average sensor temperature is used.

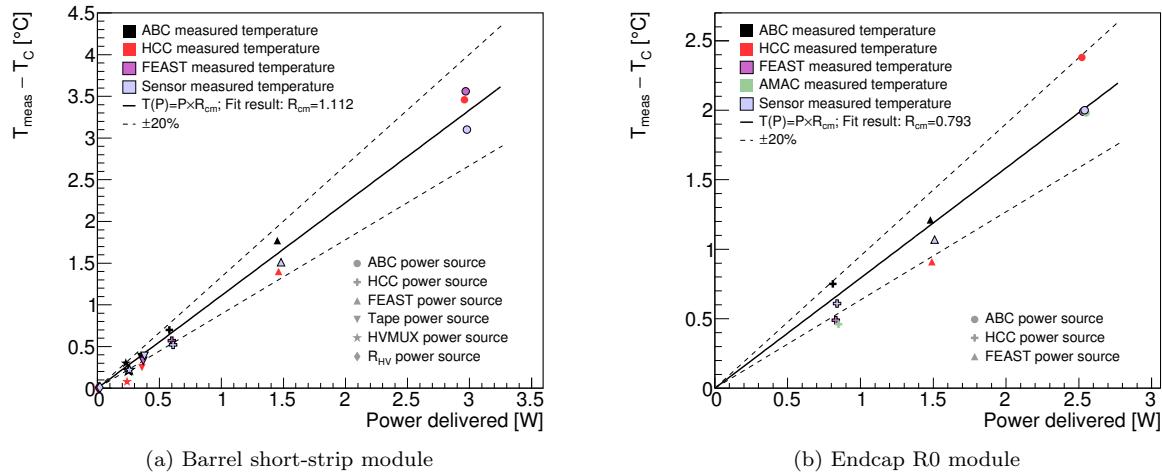


Figure 6: The relationship between the temperature rise observed in the FEA for a specific component and the heat injected in another component. The slope of the fitted line is the estimate for R_{CM} . (a) The fit for a short-strip barrel module adjacent to the EOS card. (b) The fit for the endcap R0 module. For each data point marker, the source of power is indicated by the shape, and the measured component is indicated by the colour (black: ABC, red: HCC, magenta: FEAST, green: AMAC, light blue: silicon sensor). The dashed lines represent a $\pm 20\%$ error band on the fit for R_{CM} .

R_{CM} ranges from 0.6 to 1.4 °C/W, with other nodes between 5 and 20 °C/W. Because the location of powered components is more irregular on an endcap module, the difference between the predicted temperatures of the linear network and the FEA can reach up to 1.2 °C for key temperature-dependent nodes. Therefore, a 20% uncertainty is assigned to the thermal impedances in the endcap modules.

There are two recognised departures from linearity of the thermal path: the rise in thermal conductivity of the silicon sensor with decreasing temperature, and the rise in heat transfer coefficient (HTC) of the evaporating CO₂ coolant with increasing thermal flux. The FEA models are run using mean values for these quantities appropriate to the operating conditions, and the thermo-electrical model results are insensitive to the variations expected in practice. However, if this level of realism is required and if reliable parametrizations for these dependencies can be obtained, then the inclusion of such variations in the model is possible.

5. Other model inputs

The three temperature-dependent elements of the thermo-electrical model—the radiation-induced digital current increase in the front-end chips, the efficiency of the FEAST-controlled DC-DC converter, and the sensor leakage current—are described in this section. Each effect is studied experimentally and fit with functional forms in order to accurately represent them in the model. The uncertainty in the experimental data, and in our modelling assumptions, are estimated here and considered in the evaluation of safety factors, described in detail in Section 7.2.

5.1. DC-DC converter

The DC-DC converter, which features an air-core inductor and is controlled by the FEAST chip, supplies a low-voltage (1.5 V) current to the ABC130 and HCC front-end chips on the module. The efficiency of the FEAST depends on its temperature as well as the output (load) current load delivered to the front-end chips. To correctly model the FEAST efficiency, experimental measurements have been performed to characterize the dependence and fitted with a functional form⁵.

⁵ Because of the nearly identical functionality and behaviour expected of the bPOL12V chip that will replace the FEAST in the final version of the ITk strip system, the FEAST data and the resulting fit shown here is expected to accurately reflect the bPOL12V case.

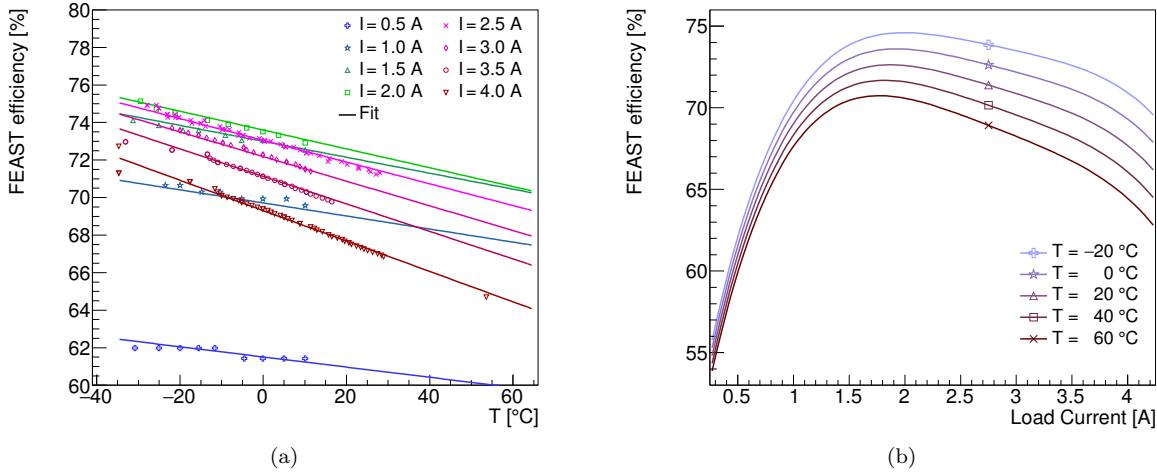


Figure 7: The FEAST efficiency model based on experimental data. (a) The experimental data points characterizing the FEAST efficiency are plotted as markers and coded by colour and marker style for load current. The data is compared to the analytic fit, evaluated in curves of equal current. (b) The same analytic fit, presented as a function of current load for curves of equal temperature.

For the measurement, the FEAST power board was glued to an aluminum cold plate, cooled with CO₂, and powered with the nominal working input and output voltages (11 V input, 1.5 V output). The temperature of the FEAST was measured with an NTC (negative temperature coefficient) thermistor and a PTAT (proportional to absolute temperature) sensor residing on the FEAST for a range of load currents up to the maximum design current of 4A.

The data was then fit with a function with sufficient parameters to ensure reasonable agreement; the choice of functional form has no physical interpretation. Fig. 7 depicts the FEAST efficiency data and the parametrized fit used in the model. The parametrization fits the data with an accuracy better than 1%; this uncertainty in the FEAST efficiency modelling is small compared to other uncertainty sources, and is therefore neglected in our model.

5.2. Digital current increase of chips using 130 nm CMOS technology

The ABC and HCC chips, designed using IBM 130 nm CMOS 8RF technology, are known to suffer from an increase in digital current when subjected to a high-radiation environment [2]. This phenomenon, known as the ‘TID bump,’ is well-studied [11, 12] and has a characteristic shape whereby the effect reaches a maximum as a function of the accumulated dose and then gradually diminishes (see Fig. 8).

In an effort to characterize the nature of the TID bump in the ABC and HCC chips empirically, many irradiation campaigns have been conducted using a variety of radiation sources, testing the effect at different temperatures and dose rates. The data collected from these studies was used to develop a model of the TID bump that estimates the digital current increase given the total ionizing dose, the dose rate, and the operating temperature of the chip. The data was fitted using a 5-parameter model which was guided by assumptions on the underlying damage mechanisms, although the fit function had to be adjusted to achieve a satisfactory match to the data. The result of this parametrization, which is depicted in Fig 8, is used as an input to the thermo-electrical model in order to correctly model the ABC and HCC currents. The TID bump is assumed to fully apply to the HCC digital current, and apply to 69% of the ABC digital current⁶.

The TID bump displays certain key features, which are reflected in the parametrization: first, the effect is larger at colder temperatures and higher dose rates. This means it can be mitigated by operating the chips at

⁶ The primary source of the additional digital current is leakage in a certain type of transistor on the chip, which is only used in parts of the ABC. We have introduced the fraction factor described in the text to allow flexibility to scale this leakage current for different chip designs.

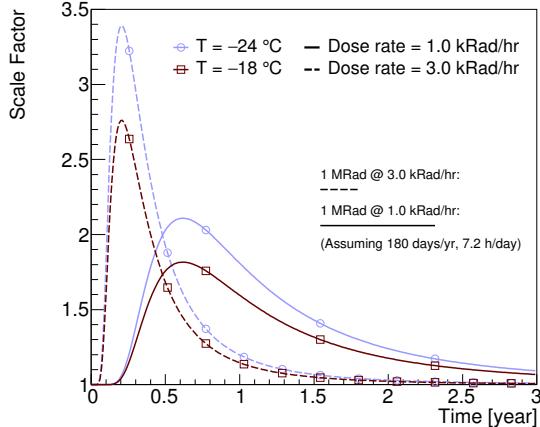


Figure 8: Parameterization of the impact of ionizing radiation on the magnitude of the front-end chip digital current (the TID bump), presented as a function of time elapsed during detector operation. The current is multiplied by a scale factor that is modeled as a function of total ionizing dose, dose rate, and temperature, using a fit to experimental data. The figure presents four scenarios using two different chip temperatures and dose rates. The relationship between time and total ionizing dose is calculated assuming the detector operates for 180 days per year, 7.2 hours per day. The time that it takes to reach 1 MRad of total ionizing radiation is indicated on the figure for both dose rates.

higher temperature (note that the dose rate is determined by the LHC operational conditions). Second, the figure illustrates how chips receiving different dose rates will reach their maximum digital current increase at different times. This feature is particularly important when modelling the total power consumed by the barrel and endcap systems. In both systems, the dose rate varies significantly depending on the position of the module in the detector. The effect means that the maximum system power will be smaller than the sum of the maximum power of each module, as each chip reaches its maximum at a different point in time (see Section 7.4).

The TID bump is an important source of uncertainty in our model. The experimental data exhibit a relatively large variation in the TID bump effect, in particular between different batches of the same type of chip delivered by the manufacturer, suggesting an unknown effect in the fabrication process. To estimate the uncertainty in the TID bump, the parametrized function is fit again using only the worst-performing data (defined as having the largest TID bump effect). This ‘pessimistic’ parametrization is used as a safety factor to estimate the detector performance in worst-case scenarios.

The irradiation of individual chips have typically been performed at constant dose rate and temperature. However, both of these parameters will vary as a function of time in the scenarios that we attempt to model. In our current parametrization, we use only the instantaneous value of these two parameters, thus neglecting any possible history of the TID effect for a given chip. We also ignore any short-term effects due to variations in the dose rate on the scale of hours or days. This approach is mandated by the lack of more varied experimental data and the absence of a good theoretical model for this effect. This probably constitutes the largest source of uncertainty in our model.

5.3. Radiation-dependent leakage current

The radiation-induced sensor leakage current can be parametrized as a function of the hadron fluence expressed in 1 MeV equivalent neutrons. We have used linear parametrizations obtained from fits to experimental data taken at 500 V and 700 V at -15°C , and scale them to a given sensor temperature using Eq. 1.

6. Running the model

The thermo-electrical model constructs a profile of the sensor module operation conditions over the lifetime of the detector in the following manner. First, the total module power (including all components,

but excluding the sensor leakage power) and the sensor temperature assuming no leakage current (T_0) are calculated using a reasonable set of initial component temperatures. The initial value for the module power is used to solve for the sensor power and temperature accounting for leakage current, using the thermal balance equation and the relationship from Eq. 1. Using this calculated sensor leakage current and temperature, the power and temperature of the module components are updated given the initial (year 0, month 0) startup parameters.

Next, the module conditions of the following month (year 0, month 1) are calculated. Using the component temperatures calculated from the previous month and the operational parameters (ionizing dose and dose rates) from the current month, the module total power (excluding sensor leakage) is again calculated, and subsequently the sensor temperature and leakage current are computed. Following this, the module component temperatures and power values are derived for this month. This process is repeated in one-month steps until the final year of operation, or until a real solution for the sensor temperature does not exist, indicating that thermal runaway conditions have been reached.

In the barrel subsystem, the above procedure is performed four separate times to represent the radiation conditions of the four barrel layers located at different radii from the beam axis⁷ for both a normal and an EOS-type module. Thus, eight modules are simulated in total for the barrel (4 layers \times normal/EOS), and they are combined in their proper proportion to simulate the entire barrel system.

In the endcap subsystem, the total ionizing dose and dose rates vary significantly depending on the position of the module; furthermore, the design of each module on a petal differs significantly. Therefore, all 36 module types (6 rings \times 6 disks) are simulated independently, and combined to represent the full endcap.

We have implemented this algorithm separately for the barrel and endcaps. In both cases, the calculation for a set of operating conditions over the full lifetime of the LHC, in steps of one month, takes between 5 and 10 minutes on a standard PC. The results represent the equivalent of simulating 1152 barrel modules and 5184 endcap modules, if the same time granularity would be required⁸. For comparison, the processing time for a single steady-state FEA simulation of an endcap petal is about 20 minutes (not taking into account the time needed to set the parameters of the simulation). Thus, the thermo-electrical model enables a quick turn-around for systematic studies of the parameter space that would not be possible using FEA alone.

7. Outputs of the thermo-electrical model

The thermo-electrical model provides a wide range of predictions for the operation of the strip system. A detailed discussion of all results is beyond the scope of this article; instead, we present here a subset of the results to demonstrate the capabilities and use of the thermo-electrical model for the design of the detector system.

7.1. Operational scenarios

To study the different aspects of our predictions for the operation of the ITk strip system throughout its lifetime, we performed the calculation of the system parameters over the expected 14 years of operation in monthly steps as outlined in Section 6. Time-dependent operational inputs to the calculation were taken from the expected performance of the HL-LHC (Fig. 9a) [13]. For the cooling, which can be adjusted during data taking using detector control systems, we studied flat (constant) coolant temperature profiles ranging from 0 °C to –35 °C, the lowest evaporation temperature achievable with the ITk evaporative CO₂ cooling system. We also studied a ‘ramp’ scenario in which the coolant temperature starts at 0 °C and is gradually lowered down to –35 °C over the course of 10 years (Fig. 9b).

7.2. Safety factors

To ensure the robustness of the system design against uncertainties in the assumptions used in the model, we also evaluate the model using a set of input parameters with some key inputs degraded. The set of safety factors used is given in Table 1. Each safety factor has been estimated individually based on experience, the

⁷The correct module type, short-strip in the inner two layers and long-strip for the outer two layers, is used for each layer.

⁸Recall from Section 4 that the thermo-electrical model requires 24 barrel module FEA simulations and 3 endcap petal FEA simulations to extract the thermal impedances, but this procedure is only performed once.

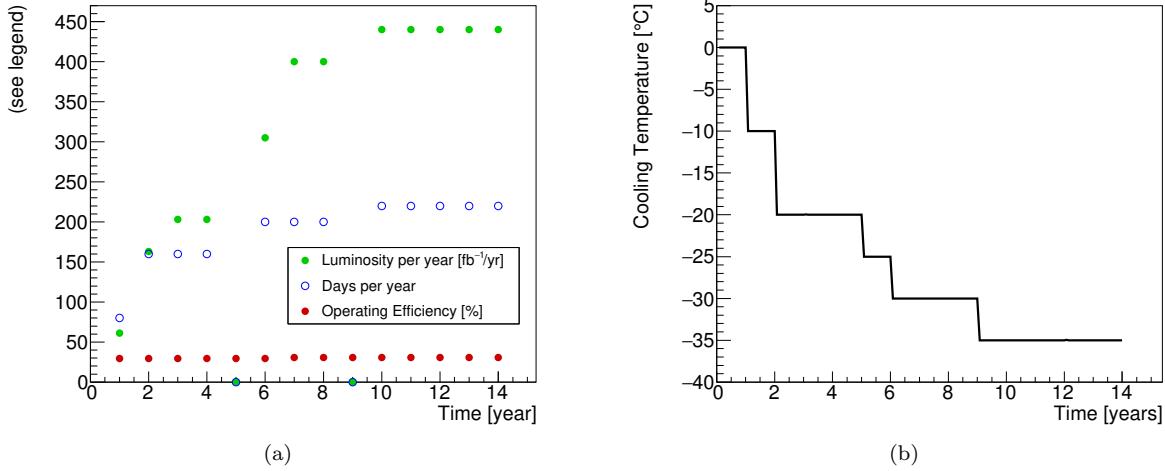


Figure 9: (a) Expected HL-LHC performance and (b) ‘cooling ramp’ scenario for the coolant temperature. Year-long shutdowns of the LHC are anticipated in years 5 and 9.

complexity of the system aspect described by the parameter, and from available data or the absence of such data. Note that the model can be evaluated with all the safety factors listed in Table 1 used together, a situation that is unlikely to occur in the real system, to provide a worst-case estimate for the performance of the ITk strip system. The individual effects of the different safety factors are demonstrated in Fig. 10.

Table 1: Safety factors.

Safety factor	Value	Reason
Fluence	50%	Accuracy of fluence calculations and uncertainties in material distributions
Thermal impedance	10% barrel, 20% endcap	Local support build tolerances, thermal network assumptions
Digital current	20%	Final chip performance and parametrization of TID effect
Analog current	5%	Final chip performance
Tape electrical impedance	10%	Electrical tape manufacturing tolerances
Bias voltage	700 V	Increased bias voltage from nominal 500 V to maintain S/N
TID parametrization	Nominal/Pessimistic	Different data sets for fit of TID bump

It is important to note that combining multiple safety factors can have a compounding effect on the system. As an example, the effect of an increased bias voltage combined with a larger digital current will result in a much higher sensor leakage current at the detector end-of-life than either situation occurring individually. The analytical model presented here allows for scenarios like these to be examined quickly and effectively.

7.3. Module properties

Several module properties predicted by the thermo-electrical model are shown in Figs. 11 and 12 for the barrel system. The different radiation-dependent effects occur on different timescales. The maximum in the digital chip power due to the TID effect occurs relatively early (in year 1 to 4), although the bump has a long tail, particularly in the outer layers of the barrel. The sensor leakage power, on the other hand, grows towards the end of the lifetime of the ITk. If the leakage current continued to increase in the case of further irradiation, or if the cooling temperature were raised, this growth would ultimately lead to thermal runaway. Due to the radial dependence of the radiation environment, the radiation-induced effects are most pronounced in the innermost barrel layers.

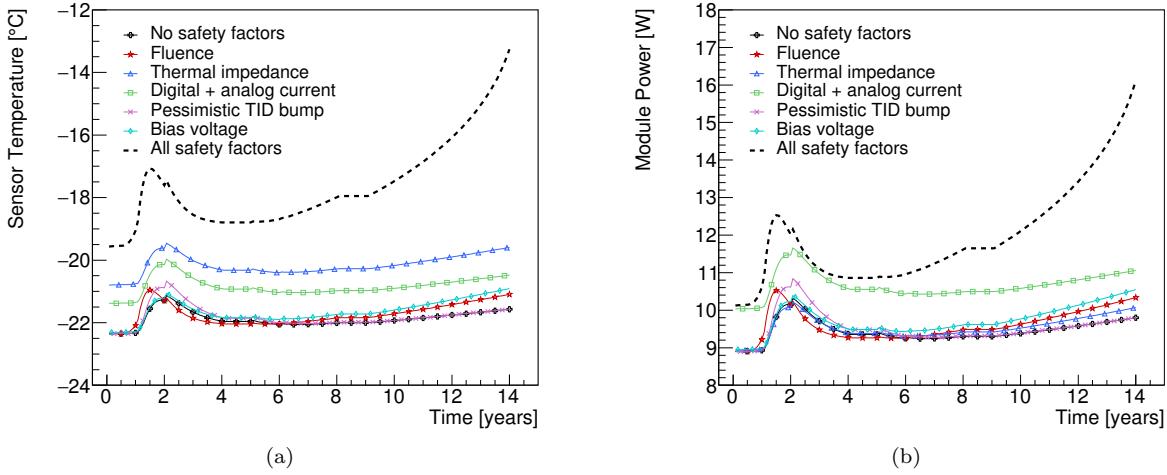


Figure 10: Comparing the impact of different safety factors on (a) the sensor temperature and (b) the module power for the endcap R3-type module, using a flat cooling scenario ($-30\text{ }^{\circ}\text{C}$). The dotted line depicts the effect of all safety factors applied at once.

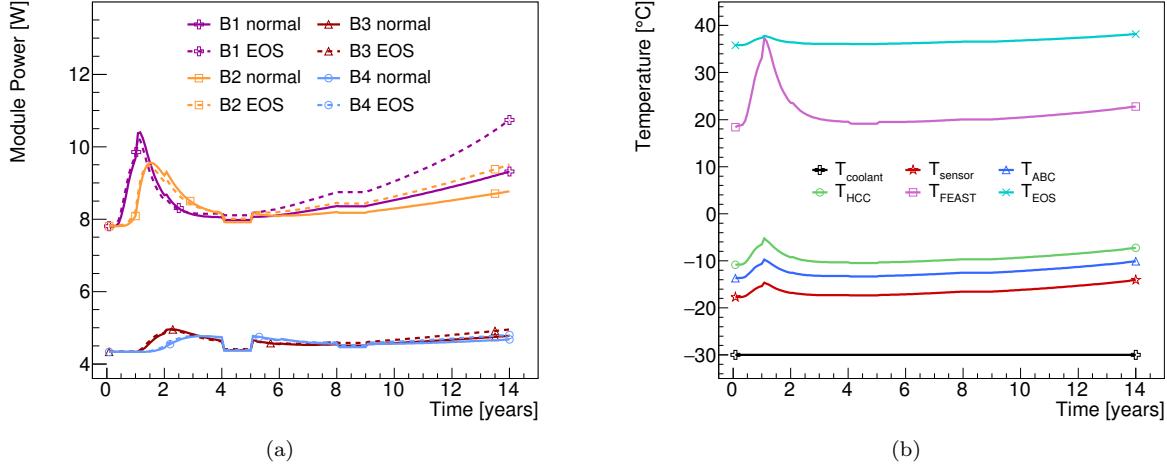


Figure 11: Examples of barrel module performance predictions for a flat cooling scenario ($-30\text{ }^{\circ}\text{C}$) including safety factors. (a) Power per module. (b) Temperatures for different nodes of an end-of-stave barrel module in the innermost barrel. The discontinuities in year 5 and 9 are due to anticipated year-long shutdowns of the LHC.

314 7.4. System properties

315 One of the key concerns for the design of the strip system is thermal stability of the system. If the cooling
 316 temperature is too high to limit the leakage power from the radiation-damaged sensors to a level where
 317 the heat can still be removed, the system is unstable (it goes into ‘thermal runaway’). To find the cooling
 318 temperature T_C at which this condition is reached, we make repeated simulations of the ITk strip system
 319 using the thermo-electrical model, with each simulation representing the full 14-year operation of the ITk at
 320 a fixed T_C . Between simulations, T_C is increased in steps of $5\text{ }^{\circ}\text{C}$ until the model finds thermal runaway.
 321 In the numeric evaluation of the thermo-electrical model this manifests itself in the absence of a solution to
 322 the system of equations. In the endcap strip system, this occurs at a cooling temperature of $-15\text{ }^{\circ}\text{C}$ under
 323 nominal conditions (i.e. with no safety factors applied); in this scenario, thermal runaway would be reached
 324 in the 12th year of operation. With all safety factors applied, thermal runaway would occur at a cooling

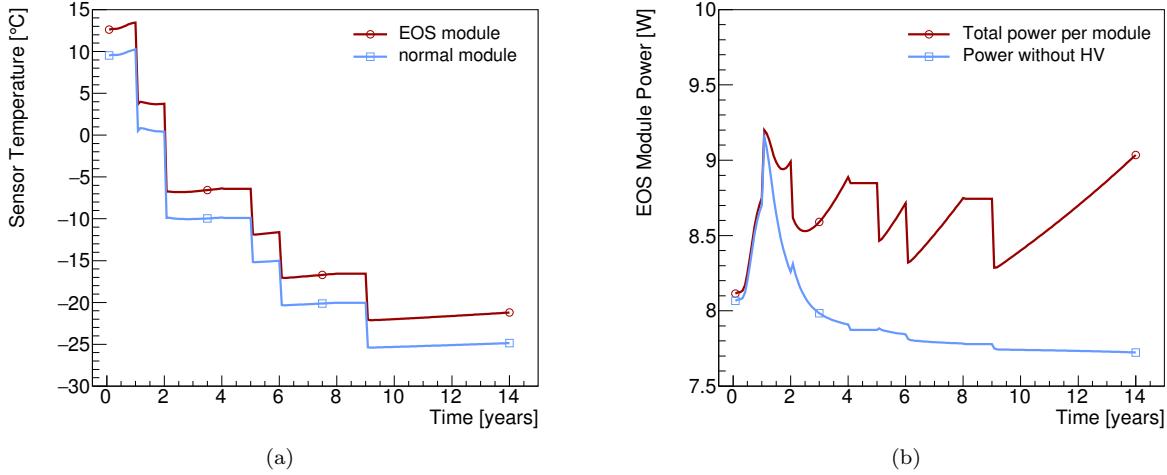


Figure 12: Examples of barrel module performance predictions for the ramp cooling scenario including safety factors. (a) Sensor temperature in the innermost barrel modules. (b) Power in an end-of-stave barrel module in the innermost layer.

temperature of -25°C (in year 10). In the barrel system, where the radiation environment is slightly less intense, the conditions for thermal runaway occur at the same cooling temperatures, but a few years later than in the endcaps: in the final year of operation and a cooling temperature of -15°C under nominal conditions, and at -25°C (in year 13) with safety factors applied. As the design cooling temperature of the ITk cooling system is -35°C , we have confidence that the ITk strip system has a sufficient margin for thermal stability.

Beyond the issue of stability, the thermo-electrical model delivers predictions for the development of current and power requirements for the overall system. Some of the predictions are shown in Fig. 13. Again, the different timescales of the various radiation-induced effects are visible; ignoring this time dependence could lead to over-specification of some system aspects. Taking Fig. 13b as an example, the average module power (indicated by the thick black line) is 6.6 W in the beginning of operation and reaches 8.0 W at the TID bump, in the second year. If we naively summed the maxima of the TID bumps, neglecting time dependence, we would arrive at 8.6 W, overestimating the power at the TID bump by 7.5% and overestimating the effect of the TID bump by 43%. The difference, multiplied in the endcap by 4608 modules, amounts to nearly 3 kW of power and impacts the specifications of e.g. the cooling system.

The predictions from this model are now used throughout the strip project to consistently size the power supply and cooling systems. Including safety factors in the predictions gives us some confidence that the designs are robust; by using commonly agreed safety factors, we ensure a consistent use of safety factors throughout the project and prevent safety factor creep.

Because of the different timescales for the peak power due to the TID effect and the radiation-induced sensor leakage, there is room to optimize the cooling temperature profile to minimize the total power in the strip system while avoiding thermal runaway. The thermo-electrical model is a powerful tool to plan such an optimized cooling profile. In fact, the cooling ‘ramp’ scenario introduced in Section 7.1 is the result of such an optimization. In this scenario, depicted in Fig. 14, the cooling temperature begins at a relatively high value (0°C) to minimize the impact of the TID bump in the first two years of operation, thus avoiding a peak in the module power (see Fig. 14a). In subsequent years, T_C is steadily decreased to maintain a sensor current at or below about 1 mA, as illustrated in Fig. 14b, in the interest of both minimizing the module power and avoiding thermal runaway.

8. Model performance verification

The accuracy of the predictions of the thermo-electrical model is affected by two major factors: the quality of the input parameters, and the error introduced by reducing the complex 3D geometry into a linear thermal

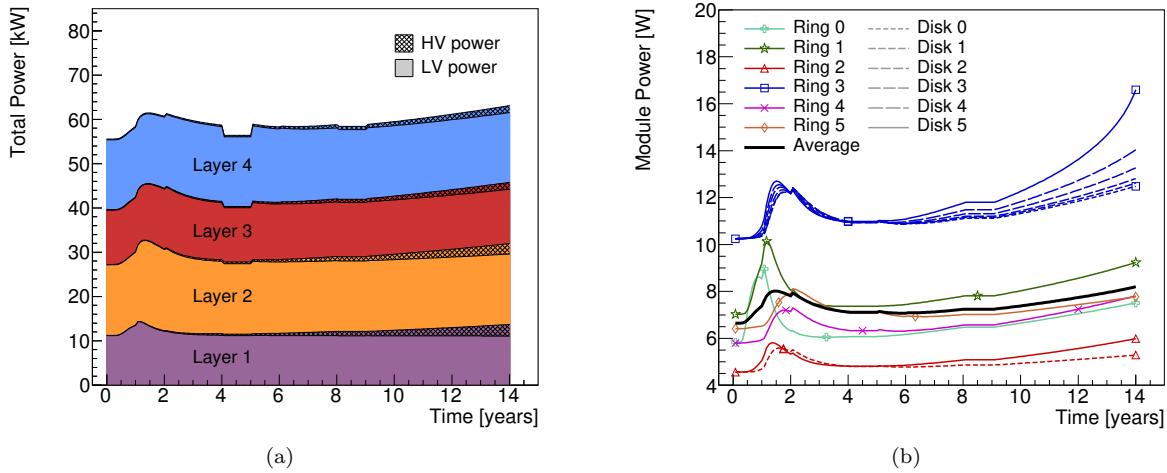


Figure 13: Examples of system performance predictions. (a) Barrel total power requirements. The plot shows the stacked power requirements for the four barrel layers (purple: layer 1, orange: layer 2, red: layer 3, blue: layer 4). Full colour indicates power from the front-end electronics, and hatched parts are contributions from HV power for the four barrels. The discontinuities in year 5 and 9 are due to anticipated year-long shutdowns of the LHC. (b) The power requirements for 12 of the 36 simulated endcap modules, labelled according to their ring type and disk position. (Some modules are omitted to improve the clarity of the figure.) The solid black line indicates the average module power. Both predictions use a scenario with flat -30°C cooling and including all safety factors.

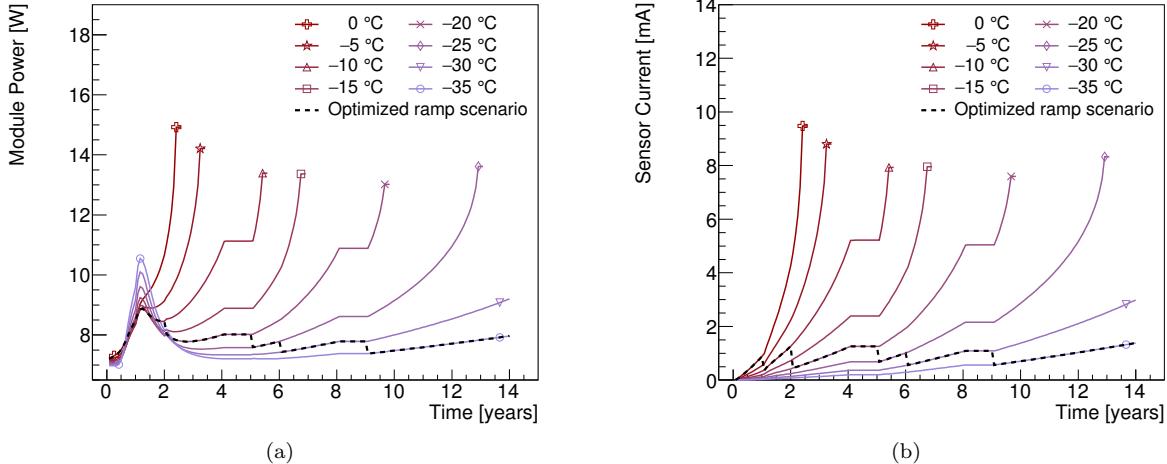


Figure 14: (a) Total power and (b) sensor leakage current of the endcap R1-type module for eight different flat cooling profiles, ranging from 0°C to -35°C , as well as the cooling ramp scenario specified in Fig. 9b (dashed curve). The curves that are discontinued before year 14 correspond to scenarios that have reached thermal runaway. The cooling ramp scenario has been selected to minimize the module power while keeping the sensor leakage current stable throughout the lifetime of the ITk. All safety factors are applied in these plots.

356 impedance network. The former has been discussed throughout this paper where the different inputs have
 357 been presented. For the latter, we have studied the agreement of predictions from the network model with
 358 the more accurate results obtained from FEA for selected states of the system.

359 To verify the level of this agreement, we have calculated the sensor temperature curve for a barrel EOS-

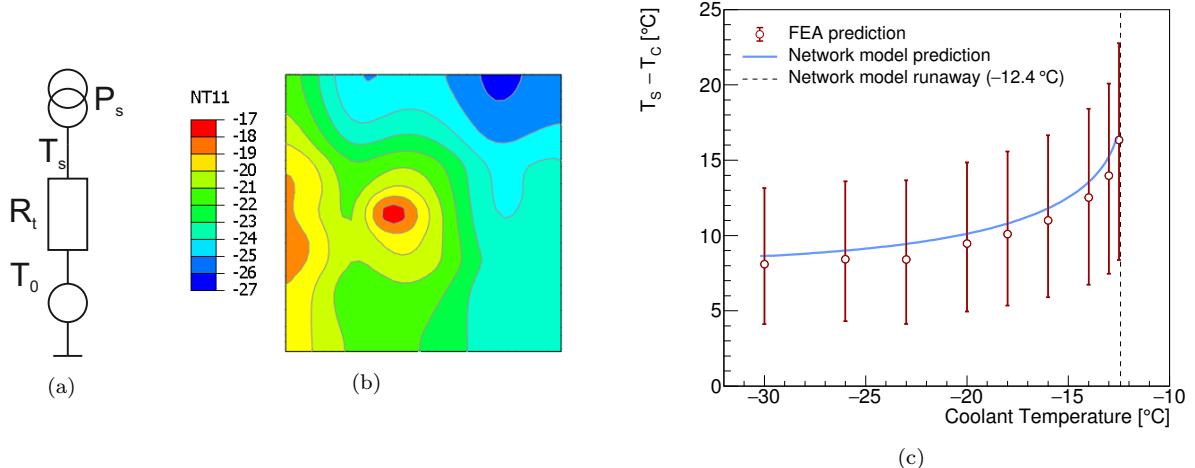


Figure 15: (a) Thévenin equivalent of the thermal network. (b) Result of sensor surface temperature calculations using FEA, assuming zero sensor power. The EOS card is to the left of the module, and the cooling pipes run from top to bottom about a quarter of the module width from each edge. The figure uses a rainbow colour gradient, with blue indicating the lowest temperatures and red the highest temperatures. (c) Difference of average sensor and coolant temperature, comparing FEA (red points) and the network model prediction (blue curve). The bars on the FEA data indicate minimum and maximum sensor temperature. The dotted vertical line indicates the critical temperature derived analytically using the network model (-12.4 °C).

360 type module up to thermal runaway, both in the full FEA and in the network model. For this exercise, we do
 361 not vary any of the input parameters in the model other than the sensor leakage power with its temperature
 362 dependence. The resistor values in the network model are the same as used throughout for our model,
 363 obtained as described in Section 4. For the power from the various electronics components, the FEAST
 364 efficiency and the TID scale factor we have used representative nominal values.

365 Because the variable model inputs are kept constant for this study, we can reduce the complex thermal
 366 network to its Thévenin equivalent, which is identical to the network studied in Ref. [6], and use the analytical
 367 expressions given there. The reduced network is described by the base temperature T_0 , defined as the sum
 368 of the coolant temperature and the temperature rise due to the front-end electronics alone, and the total
 369 thermal impedance R_t from the sensor to the coolant. Using the nominal resistances and representative power
 370 numbers from the module, $T_0 = -21.9$ °C and $R_t = 1.132$ K/W in the network model, compared to -22.4 °C
 371 and 1.147 K/W obtained directly from the FEA. The comparison of the predicted sensor temperatures for
 372 both cases is shown in Fig. 15. Despite a large temperature variation of about 10 °C across the sensor,
 373 the network model runaway prediction agrees well with the FEA⁹. This gives us confidence that the use
 374 of a thermal network model is not likely to significantly degrade the predictions beyond the uncertainties
 375 introduced by other inputs to the model.

376 9. Conclusions

377 We have developed a model of the ATLAS ITk strip system that is based on the interplay between a
 378 thermal and an electrical network model. The set of equations in the model can be numerically solved using
 379 standard data analysis software in a short time, allowing for a quick turn-around for systematic studies of the
 380 system performance. The complexity of these networks is given by the number of interconnected components
 381 between the networks, many of which have a non-linear dependence on the temperature or electrical power.
 382 This approach can be easily adopted for any other silicon detector system.

⁹The critical temperature here is -12.4 °C, which is higher than the numbers given in Section 7.4, because the study here ignores temperature effects such as the FEAST efficiency, which can only be modelled in the network model.

383 In the case of the ATLAS strip system, several temperature-dependent heat sources had to be modeled.
384 In addition to the sensor leakage current, these are the radiation-induced increase of the digital front-end
385 power ('TID bump') and the efficiency of the DC-DC conversion system. The outputs of the model give
386 us confidence that the ITk strip system will be thermally stable until the end of LHC Phase-II operation,
387 even with the inclusion of safety factors on key inputs. Furthermore, the model provides information for
388 benchmark system parameters like cooling, supply power and currents in power cables, which is used in the
389 specification of these systems. The use of the model outputs throughout the strip project ensures consistent
390 specifications, including a common strategy on safety factors. Using the thermo-electrical model, we can also
391 propose an optimized cooling temperature 'ramp' scenario, which stabilizes leakage power throughout the
392 lifetime of the experiment while minimizing the TID bump.

393 We have verified the performance of the thermal network model compared to a full FEA treatment, and we
394 are confident that the level of disagreement is smaller than the uncertainty introduced by the model inputs.
395 Among the inputs, the most likely source of uncertainty stems from the limitations in our understanding of
396 the parametrization of the TID effect.

397 10. Acknowledgements

398 The evaluation of the thermo-electrical model depends critically on the input parameters to the model.
399 To capture the whole of the system, these need to distill all that is known of the system, and we are therefore
400 indebted to the whole of the ITk strip community. In particular, we would like to thank Tony Affolder, Kyle
401 Cormier, Ian Dawson, Sergio Diez Cornell, Laura Gonella, Ashley Greenall, Alex Grillo, Paul Keener, Steve
402 McMahon, Paul Miyagawa, Craig Sawyer, Francis Ward and Tony Weidberg for all their inputs to this work.

403 The research was supported and financed in part by the UK's Science and Technology Facilities Council
404 and the Helmholtz Association (HGF) in Germany.

405 References

- 406 [1] A. Chilingarov, Temperature dependence of the current generated in Si bulk, Journal of Instrumentation
407 8 (10) (2013) P10003–P10003. doi:10.1088/1748-0221/8/10/p10003.
408 URL <https://doi.org/10.1088/1748-0221/8/10/p10003>
- 409 [2] A. Collaboration, Technical Design Report for the ATLAS Inner Tracker Strip Detector, Tech. Rep.
410 CERN-LHCC-2017-005, ATLAS-TDR-025, CERN, Geneva (Apr 2017).
411 URL <https://cds.cern.ch/record/2257755>
- 412 [3] Radiation induced effects in the ATLAS Insertable B-Layer readout chip, Tech. Rep. ATL-INDET-PUB-
413 2017-001, CERN, Geneva (Nov 2017).
414 URL <https://cds.cern.ch/record/2291800>
- 415 [4] A. Affolder, B. Allongue, G. Blanchot, F. Faccio, C. Fuentes, A. Greenall, S. Michelis, DC-DC converters
416 with reduced mass for trackers at the HL-LHC, Journal of Instrumentation 6 (11) (2011) C11035.
417 URL <http://stacks.iop.org/1748-0221/6/i=11/a=C11035>
- 418 [5] Development of DCDC converters @ CERN [cited 2020-02-17].
419 URL <https://project-dcdc.web.cern.ch/project-dcdc/>
- 420 [6] G. Beck, G. Viehhauser, Analytic model of thermal runaway in silicon detectors, Nucl. Instrum. Meth.
421 A618 (2010) 131–138. doi:10.1016/j.nima.2010.02.264.
- 422 [7] ATLAS Experiment - Radiation Simulation Public Results [cited 2020-02-17].
423 URL https://twiki.cern.ch/twiki/bin/view/AtlasPublic/RadiationSimulationPublicResults#FLUKA_Simulations
- 425 [8] N. Lehmann, Tracking with self-seeded Trigger for High Luminosity LHC, Master's thesis, Section of
426 Electrical and Electronical Engineering, École Polytechnique Fédérale de Lausanne, Lausanne Switzerland
427 (2014).
428 URL https://documents.epfl.ch/users/n.nl/nlehmann/www/SelfSeededTrigger_MasterThesis/SelfSeededTrigger_NiklausLehmann_Thesis.pdf

- 430 [9] M. Smith, ABAQUS/Standard User's Manual, Version 6.9, Simulia, 2009.
- 431 [10] ANSYS, Inc., ANSYS Academic Research Mechanical, Release 18.2.
- 432 URL <http://www.ansys.com/>
- 433 [11] F. Faccio, G. Cervelli, Radiation-induced edge effects in deep submicron CMOS transistors, IEEE Transactions on Nuclear Science 52 (6) (2005) 2413–2420. doi:[10.1109/TNS.2005.860698](https://doi.org/10.1109/TNS.2005.860698).
- 434 [12] F. Faccio, H. J. Barnaby, X. J. Chen, D. M. Fleetwood, L. Gonella, M. McLain, R. D. Schrimpf, Total ionizing dose effects in shallow trench isolation oxides, Microelectronics Reliability 48 (7) (2008) 1000 – 1007, 2007 Reliability of Compound Semiconductors (ROCS) Workshop. doi:<https://doi.org/10.1016/j.microrel.2008.04.004>.
- 435 URL <http://www.sciencedirect.com/science/article/pii/S0026271408000826>
- 440 [13] G. Apollinari, I. Béjar Alonso, O. Brüning, P. Fessia, M. Lamont, L. Rossi, L. Tavian, High-Luminosity Large Hadron Collider (HL-LHC), CERN Yellow Rep. Monogr. 4 (2017) 1–516. doi:[10.23731/CYRM-2017-004](https://doi.org/10.23731/CYRM-2017-004).
- 441
- 442