Running head: MUSIC DESCRIPTOR SPACE

1

Cognitive Music Listening Space: A Multivariate Approach

Brendon Mizener<sup>1</sup>, Mathilde Vandenberghe<sup>2</sup>, Herve Abdi<sup>1</sup>, & Sylvie Chollet<sup>2</sup>

<sup>1</sup> University of Texas at Dallas

<sup>2</sup> YNCREA

Author Note

- Add complete departmental affiliations for each author here. Each new line herein must be indented, like this line.
- 8 Enter author note here.
- The authors made the following contributions. Brendon Mizener: Stimuli creation,
- Survey design & creation, Data collection & processing, Statistical analyses, Writing -
- Original draft preparation; Mathilde Vandenberghe: Original concept, Survey design &
- creation; Herve Abdi: Writing Review & Editing, Statistical guidance; Sylvie Chollet:
- Original concept.

1

- 14 Correspondence concerning this article should be addressed to Brendon Mizener, 800
- W. Campbell Rd., Richardson Tex. E-mail: bmizener@utdallas.edu

Abstract

Participants with either French or American nationality responded to surveys featuring

18 novel music stimuli and evaluated those musical excerpts using either adjectives or

quantitative musical dimensions. We opted during the design phase of this study to permit

lesser control of various parameters in order to reach a greater sample. We did not control

21 how participants listened to the stimuli, but they were encouraged to use headphones or

22 listen in a quiet listening environment. Participants were also able to complete the survey

using a mobile device. Results were analyzed using correspondence analysis (CA),

<sup>24</sup> Hierarchical cluster analysis (HCA), Multiple Factor Analysis (MFA), and Partial Least

<sup>25</sup> Squares Correlation (PLSC). All except the HCA used Bootstrapping and Permutation

testing for inferences. Significant differences were revealed in how French and American lay

27 listeners responded to the excerpts.

28 Keywords: keywords

Word count: X

30

## Cognitive Music Listening Space: A Multivariate Approach

#top Music listening is a complex cognitive activity that involves many judgments per 31 second. Listeners continuously evaluate incoming information and compare it with that 32 which came before. These judgments involve many different dimensions of music related to 33 both the technical and affective aspects of this acoustic medium. While these two aspects of music are theoretically distinct, in practice there is a great deal of interplay between the two. Listeners respond affectively to technical aspects of music, and composers use those technical things to reflect their internal emotional states. Assessing the interplay between the two is quite a task, because it's difficult to isolate specifically which musical mechanisms affect listeners in specific ways, to say nothing of the individual associations that participants bring to the table. Research into the emotion of music, specifically, is a well-trod topic. See, for example, (Juslin2010?). With advances in computational power and complexity, this research domain has given rise to studies in the realms of computational neuroscience and electrical engineering, as researchers attempt to classify which physical characteristics of music correspond to which emotions in music. This 'Music Emotional Retrieval' (MER) is an interesting computational exercise, it ignores the semantics and associations of music that resonate with listeners. In the behavioral domain, researchers focus on asking participants to rate music with sliders, specifically asking the participants to evaluate 'arousal' and 'valence,' features that were found very early to be defining elements of the first two dimensions of music affective perception [Osgood; Wedin]. This is useful, but limiting, as it provides fine-grained detail on the level of arousal or valence a given stimulus provides, but does not qualify that information. Similarly, studies that ask participants to cluster stimuli depend on greater levels of interpolation from the researchers in determining affective impact. A review of the literature surrounding music perception quickly reveals a limited perspective. Firstly, the participants in these experiments are largely WEIRD (Western, Educated, Industrialized, Rich, and Democratic). The participant pool becomes even smaller when you realize that researchers commonly use students in their departments as participants, either psychology or

music, or in some cases marketing or business. This practice inherently biases the sample towards wealth and the ethnic majority, as representation and access to higher education remains an issue. In terms of stimuli, although there is a database of over 20000 previously 59 used musical excerpts (Warrenburg2020?), the vast majority of those are either western or 60 popular music. These stimuli are also often presented under strict laboratory control, which 61 we respectfully submit does not reflect an ecologically valid process for listening. 62 Multidimensional scaling (MDS) was introduced fairly early in this field as a means of 63 evaluating the perceptual space around musical excerpts (Wedin1969?; Wedin1972?). Studies in this vein have continued to date, including examples like (Droit-Volet2013?) or (Roda2014?), which continue to provide evidence supporting the existence of the valence-arousal plane. (Roda2014?) specifically investigates what the dimensions beyond valence and arousal may be. However, these studies and their analyses have been limited in their attempts at analyzing and visualizing the factor space of their stimuli. These and others plot the stimuli in a factor space, using the valence-arousal plane as a priori defined axes. The use of the a priori defined axes is not per se a negative aspect of this, but the fact that these analyses are unable to evaluate both the music and semantic dimensions simultaneously. It's difficult therefore to evaluate the semantic and holistic music cognitive/emotional sensory space. Earlier studies in this domain evaluated how various 74 technical aspects of music correspond to emotions for the purpose of induction, (see 75 (BrunerII1990?) for a summary) but the musical characteristics listed and they way they 76 were investigated don't fully capture the dimensionality that composers consider when 77 writing music. Also, many of the studies that investigate from this perspective impose strict 78 limitations on how the stimuli vary, which is useful for illuminating very specific effects of a single musical element or characteristic, but makes it impossible to evaluate interactions between any musical variables. Assessing the interplay between the technical aspects of 81 music and descriptive/affective requires a fine - grained approach that is able to evaluate the correlations and covariates between many dimensions simultaneously. The gradual increase

in complexity of studies in behavior and cognition, coupled with the rise of questions about
the universality of experience and the democratization of science, compels us to find novel
ways of investigating the experience of music. We as researchers need to develop
experimental paradigms that are robust to violations in experimental procedure, in order to
access a larger part of the population, The burden to define testing parameters and provide
clear analysis becomes much greater. Controlling for extraneous variables becomes a problem
in and of itself. The balance between establishing a broad research question and analyzing
with precision is very delicate. Additionally, accessing a broader population is necessary, but
the concerns of parametrization and control are similar.

## 93 Present questions & methods of analysis

109

In this study, we attempt to address three specific issues with the field as a whole: 94 mode of investigation, sample & size, and analysis. The initial motivation for this study came from a cross-modal study investigating cross modal sensory mapping between gustation perception, specifically beer, and music perception. Prior iterations of this experiment 97 suggest that a wide variety in musical stimuli was necessary to determine any correlations or differences. As such, this study is designed to investigate whether a music cognitive listening space can be established using this paradigm, to allow cross-modal comparison. Details on 100 participant sample and sample size are included below. Additionally, we present methods of 101 analysis drawn from other domains that we have found to be useful in illuminating various 102 new aspects of these questions that may help guide future hypothesis testing. Additional 103 questions arise from the study itself: are there significant differences in how participants from different nationalities (and by extension musical cultures) perceive, or, more precisely, describe music? Are there parallels in how music is evaluated using music non-specific descriptors and music-specific qualities? Because this study was designed to be exploratory 107 in nature, we feel it would be poor scientific practice to present specific hypotheses. 108

## Analysis For the main analyses of the first two experiments we used

Correspondence Analysis, which is similar to Principal Components Analysis (PCA), except that it allows for the analysis of qualitative data. This analysis technique was selected 111 because it allows for biplots; the simultaneous display of row and column factor scores in the 112 same factor space. The biplot specifically allows plotting the excerpts in the same space as 113 the descriptors, which provides a clear, quick, visual reference for what excerpts or musical 114 pieces fall in to what quadrant or area of the cognitive space. The third experiment required 115 a different analytical technique. Because we were comparing two data tables, we used a 116 Partial Least Squares Correlation (PLSC), a technique commonly used in imaging studies to 117 compare brain fMRI and behavioral data. Other analyses included MDS, to plot the 118 participants' individual factor scores in their own factor space, as well hierarchical cluster 119 analyses on both excerpts and adjectives to see what clusters arose during ratings. We also 120 performed a post-hoc multiple factor analysis using the results of the second survey after 121 seeing that there were significant differences between french and american participants. This 122 is included in the discussion section of the second experiment.

After cleaning and preprocessing, the data for each participant will take the form of a 124 pseudo contingency table. These individual tables are all compiled into a 'brick,' or 125 three-dimensional array of data with Observations (stimuli) on the rows, variables (musical 126 qualities or adjectives) on the columns, and participants on the third dimension, which we 127 will refer to as 'pages' here. This brick is then summed across pages into a single table, so 128 that any given cell contains the total number of times a participant selected a given adjective or quality to match with a stimulus. From this point there are two sets of data that can be 130 analyzed. The first is the 3D array, which can be analyzed using various distance analyses, 131 to evaluate differences between the participants using grouping variables extracted from the 132 demographics surveys. The other is the pseudo contingency table, which can be analyzed 133 using various multivariate techniques. - what processing steps are needed

135 Methods

# 36 Participants

Participants (N = 604) were recruited similarly for both Experiments 1 and 2, and thus 137 are discussed simultaneously here. Participants for this study were recruited in multiple ways, 138 all of which represent convenience sampling. The participants in the United States (n = 292) 139 were recruited using the traditional method of offering experimental participation credit, and also via social media. French participants (n = 312) were recruited by word of mouth, email, 141 and social media. The only restrictions on participation were that the participant must have 142 self-reported normal hearing. We recognize that although we suggest that data collected in 143 this way have a much greater hypothetical reach, the data here represent a) a convenience 144 sample, b) that is limited to participants that have access to the internet. Both of these 145 specific limitations could be remedied when designing and implementing future research. 146 The population we recruited was different for the two experiments. For Experiment 1, 147 we specifically sought out highly trained musicians (n = 84) with ten years or more of music 148 training. We recruited this population for two reasons: firstly, as a validation step, to 149 ascertain whether the stimuli truly reflected the composer's intent. Secondly, we had the 150 goal of evaluating how the musical qualities of the stimuli, as evaluated by the trained 151 participants, correlated with the adjectives selected by those who participated in the 152 adjectives survey. Participants were recruited for Experiment 2 (n = 520) without regard to 153 level of music training. 154 Of the responses to Experiment 1, 51 were removed to incomplete data (nf = 45, nA =155 6), leaving a total of 33 for the analysis. Of the responses to experiment 2, 160 were removed for not completing the survey (nF = 140, nA = 20), leaving a total of 360. Of the responses 157 to the survey administered in the US, participants were excluded from analysis if they indicated a nationality other than American. "Asian-American," for example, was included, 159 but "Ghanian" was not. This left a total of 312 participants for analysis across both 160 experiments. All recruitment measures were approved by the UT Dallas IRB. 161

#### 162 Material

All stimuli were original musical excerpts composed for this study. They 163 were designed to evaluate a number of musical dimensions and control for others (e.g., 164 timbre). The stimuli were all string quartets, in order to control for the confounding factor 165 that different instruments are fundamentally described in different ways. All stimuli were 166 between 27s and 40s long, with an average length of 32.4s. The intent was to have all stimuli 167 be around 30s long while preserving musical integrity. All stimuli were composed between 168 April 13 and June 18, 2020. 169 There were two separate surveys presented to participants. The survey 170 used in Experiment 1 (hereafter: Qualities Survey/QS) evaluated the musical stimuli on 171 concrete musical qualities like meter and genre. The survey used in Experiment 2 (hereafter: Adjectives Survey/AS) asked participants to evaluate the stimuli using adjectives using the 173 CATA paradigm. Both surveys also captured participants' demographic data, including age, 174 gender, nationality, occupation, and musical experience. 175 The qualities assessed in the QS were selected from standard music-theoretical 176 descriptors of music. For example, when rating the excerpts on tempo, participants were 177 asked to rate the excerpt using the scale Very Slow, Slow, Moderately Slow, Moderate, 178 Moderately Fast, Fast, and Very Fast. The full list of musical qualities and associated levels 179 is in [supplementary materials?]. The words for the AS were selected using 180 (Wallmark2019?) as a guide and in consult with a French professional musician. Some 181 words were initially selected in French and some in English. In all cases, words were selected 182 for which there was a clear French (vis-a-vis English) translation. The words and their 183

#### 185 Procedure

184

Participants were provided with a link to either the AS or the QS. Both surveys were administered using Qualtrics. After standard informed consent, participants listened to 15

translations are listed in [supplementary materials?].

excerpts and answered questions. Demographic survey questions followed the experimental task. Participants were instructed to listen to the excerpts presented either using headphones or in a quiet listening environment, but that was not strictly controlled, nor was it part of the survey. Participants in Experiment 1 answered 10 questions per excerpt, rating the excerpts using the qualities and scales provided. Participants in Experiment 2 answered a single question per excerpt, in which they selected any and all adjectives that they felt described the excerpt.

## 195 CATA paradigm

While not invented by (Katz1933?), the Check-All-That-Apply (CATA) investigative 196 paradigm was used in that study to evaluate racial stereotypes among college students. As 197 an method it's not terribly common in the psychological sciences any more, but it has been 198 and continues to be used widely to "obtain rapid product profiles" (Meyner2014?) from 199 participants. It is also commonly used in sensory evaluation. In this method, participants 200 are asked to select any and all adjectives from a list that describe a given stimulus. This 201 allows researchers to collect a lot of data about a given stimulus without placing demand on 202 the participants. 203

## 204 Data processing and analysis

Raw data were cleaned and processed in Excel and R. This included translating all
French responses to English for ease of analysis. Data were cleaned and transformed into a
pseudo contingency table for each participant. Whereas a contingency table would be when
a participant selects only one option from a list for each stimulus, resulting in a table with
one and only one one (1) per row, a pseudo contingency table has as many ones as items
selected for a given stimulus. Because we are using the CATA technique, a one (1) at the
intersection of each row or column indicates that the participant selected that adjective or
musical quality for that stimulus. A zero means that they did not. These individual tables
were all compiled into into two 'bricks,' or three-dimensional arrays of data with

Observations (stimuli) on the rows, variables (musical qualities or adjectives) on the columns, and participants on the third dimension, which we will refer to as 'pages' here. Each array 215 was then summed across pages into a single summary pseudo-contingency table, so that any 216 given cell contained the total number of times a participant selected a given adjective or 217 quality for a given stimulus. The arrays with participants on the third dimension were 218 analyzed using distance analyses to evaluate differences between the participants using 219 grouping variables extracted from the demographics surveys. The summed tables were 220 analyzed using Correspondence Analysis. Since we did not use a priori grouping variables 221 for the excerpts, the summed tables were evaluated using hierarchical cluster analyses to see 222 what groupings arose during evaluation. A final analysis (Partial Least Squares Correlation, 223 see (Abdi2013a?)) evaluated correlations between the two summed data tables to see what 224 information was shared between the two tables. For each of these analyses, variance is extracted in the form of eigenvalues. The individual factor scores are plotted relative to these eigenvalues, which form the axes of the maps shown below. The dimensions extracted in this process are by definition orthogonal and share no information. For the sake of efficiency, for each of the analyses below, we focus on the first two dimensions. 220

Correspondence Analysis. Correspondence Analysis (CA) is similar to Principal
Components Analysis (PCA), except that it allows for the analysis of qualitative data.

Because of the organization of the data, this technique allows for a biplot of both rows and
columns in a single factor space. In addition to factor plots, we used permutation tests and
bootstrapping for statistical inferences. Multiple correspondence analysis

Partial Least Squares Correlation. Partial Least Squares Correlation (PLSC)
analyzes two data tables either on the same observations (rows) or variables (columns). This
technique is commonly used in neuroimaging studies to evaluate correlations between
matrices of imaging data and of behavioral or task data (Krishnan2011?). In this case, we
are evaluating the correlation and covariance between the the stimuli, which are the
observations (rows) for both data tables.

242

241 Results

# Experiment 1: Musical Qualities Survey

243 ## [1] "It is estimated that your iterations will take 1 minutes."

## [1] "R is not in interactive() mode. Resample-based tests will be conducted. Please t

Participants. The scree plot below shows the eigenvalues for the distance analysis between musical experts. The usual guideline of analyzing only dimensions with eigenvalues greater than one seems prohibitive here, as all dimensions except the last have  $\lambda > 1$ . For the purposes of this experiment, we've opted to focus on the first two dimensions, with  $\lambda = 9.06$  and  $\lambda = 7.52$ , respectively. This suggests that each of the participants is contributing similarly to the dimensionality of this analysis. This analysis revealed no significant difference between the experts based on any of the grouping variables used.

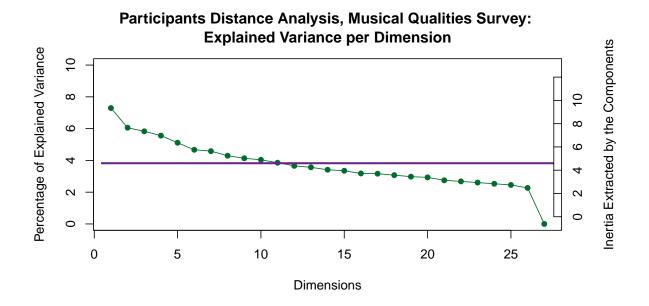


Figure 1

Excerpts. The scree plot for the analysis of the musical quality ratings survey (see 2) shows the high dimensionality of this space, with the first three dimensions extracting a total of 18.44%, 14.09% and 8.81% respectively, totalling only 41.34% of the variance. It isn't

until we get to the 11th dimension that we see >80% of the variance explained. However,
given that the assumption in an analysis like this is that the sample is random, it's
important to take these numbers with a grain of salt. Music of the type that was presented
in this study is by definition not random; in a single excerpt, repetition is common, and
some musical qualities are inextricably linked, for example some stylistic elements with genre.
As such, we've opted to focus on the first two dimensions in the analysis below.

# **Explained Variance per Dimension**

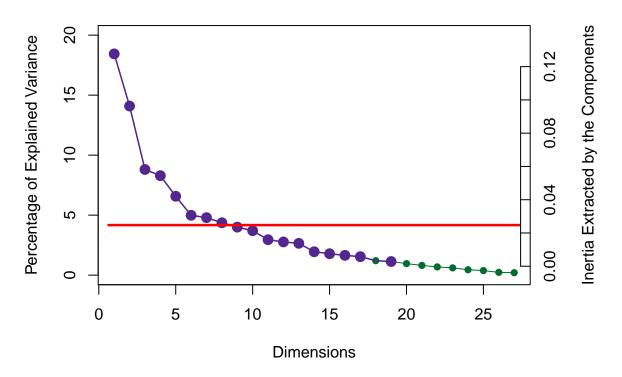


Figure 2

Graphing the variable loadings (see 3) on the first two dimensions shows which musical qualities and which musical dimensions contribute the most to the first two dimensions.

Because of how CA is calculated, we know that the excerpts that load on the same dimension and direction as the musical qualities are the excerpts that are most associated with those qualities. The contributions shown here are only those that contribute

significantly to the first two dimensions, for a table of the complete contributions from the 267 first four dimensions, see supplementary materials. There are some obvious groups of 268 variables, especially tempo and articulation in the first dimension, with fewer contributions 269 from the dynamics group. The tempo variables, which are a continuum, load from high 270 (tempo.F6 and tempo.F7) in the positive direction to low (tempo.F2 and tempo.F1) in the 271 negative direction. Other contributions are one-off: major harmony, triple meter, classical 272 genre, undulating contour, and disjunct motion. The excerpts that load positively, and are 273 therefore associated with the qualities that load in the positive direction, are all from group 274 2: Excerpts 4, 13, 23, and 26. The ones that load in the negative direction are from mostly 275 from group 4: Excerpts 7, 10, 24, and 27, with one from group 3, Excerpt 3. 276

The second dimension seems to dominated by a few groups: harmony, meter, genre,
dynamics. The one-offs are slow tempo, ascending contour, and "no melody." The excerpts
that load significantly on this dimension are from all four groups. In the positive direction,
it's Excerpts 7, 12, 15, and 27 from Group 4, and Excerpt 19 from Group 1. In the negative
direction it's Excerpts 2, 3, 11, and 17. All are from group 3 except for Excerpt 2, which is
from Group 2. For a full enumeration of contributions, loadings, and boostrap ratios, see
table [insert table number, also, make up table.] in the supplementary materials.

The graph depicted in 4 is a biplot depicting how excerpts and variables 284 plot in the same space. This biplot is possible because of the nature of correspondence 285 analysis. Because the rows and columns of the contingency table X by definition have the 286 same variance, the eigenvalues extracted from X are the same as X<sup>T</sup>. Thus the axes on 287 which the factor scores are plotted are the same for both the rows and the columns. However, interpretation requires some discernment. The distance between the excerpts can 289 be interpreted directly as similarity, and the distance between the musical qualities can be interpreted directly as similarity, but the distance between a quality and an excerpt cannot. 291 Instead, the angle between an excerpt and a quality is indicative of their correlation. An 292 angle of 0 indicates a correlation of 1, an angle of 90 indicates a correlation of 0, and an 293

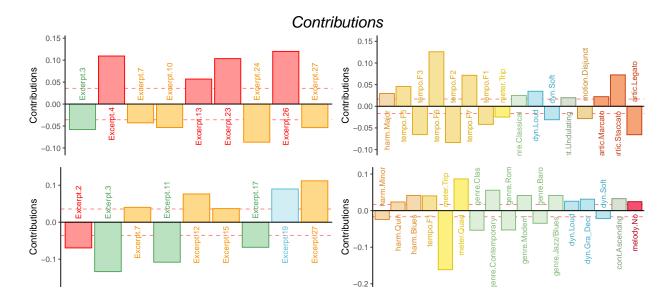


Figure 3

angle of 180 indicates a correlation of -1. Overall, this helps us to evaluate what contribute 294 to the excerpt groupings. These first two dimensions suggest that the hierarchical cluster 295 analysis *[see supplementary materials]* revealed groupings roughly according to genre. 296 However, there are two notable outliers. Excerpts 6 and 14 are unique in that they are each 297 the only representative of their respective genres. Excerpt 6 is minimalist, a la Steve Reich, 298 and Excerpt 14 is jazzy. Preliminary versions of this analysis showed that they dominated 290 the 2nd and 3rd dimensions, respectively (see supplementary materials for visualizations). In 300 the plot below, they are included instead as supplementary projections, essentially 'out of 301 sample' elements. Their placement on the plot below alludes to the fact that the 302 dimensionality of this space may in fact be related to musical genre or family. Although they 303 dominated the space when included in the sample, they are much closer to the barycenter of the plot when included as out of sample. Were they to fall exactly on the origin, that would 305 suggest that they shared no information whatsoever with the other excerpts included in the 306 analysis. The disparity between their placement on the graph below suggests that they share 307 some information, but there is still a large amount of information that is not accounted for 308 in the factor space below. 309

One perceptual element that is revealed here is that tempo and dynamics seem to 310 contribute, intensity-wise, similarly to the first dimension. The excerpts were not 311 intentionally composed with those characteristics being similar in mind, but it's entirely 312 possible that participants associated high or low arousal levels of the various excerpts and 313 that turned up in the results. For example, given two excerpts of similar tempo, one may 314 have been rated slightly faster if it was also louder, and the other slightly slower if it was 315 quieter. Likewise, given excerpts of similar volume, a faster one may have been rated louder 316 than a slower one. Perception of tempo is also affected by note rate, which is also tied to 317 arousal. In two pieces played at the same tempo, the one with more notes per unit time is 318 more likely to be judged faster than one with fewer. There are also a few musical elements 319 revealed from the associations. The term staccato means short or light and separated, and 320 the term legato means smooth and connected. The participants in this experiment didn't 321 have access to scores, so they would be judging the excerpts aurally only. With faster 322 excerpts, the notes by definition take up less time, and may be more likely to be judged as light and separate, regardless of what the actual articulation was. Slow tempo and legato are 324 associated in different ways. In terms of performance practice or pedagogy, slow notes are 325 often intended to be connected as smoothly as possible, in order to create a sense of 326 continuity. In terms of genre and harmony, while jazz/blues (on the third dimension) is the 327 most extreme example of this, many genres have harmonies associated with them. For 328 example, the classical genre has fairly structured rules for both harmony and voice leading, 329 but the romantic era relaxed those rules and introduced more complex harmonies. The 330 gradual devolution of those rules and the increase in complexity of harmony continued 331 through the modern and contemporary styles. Although these specific contributions aren't as 332 strong as some of the others, a glance back at the factor scores plot shows that the older 333 genres: baroque, classical, and romantic, are both negative on the 2nd dimension, as are the 334 simpler harmonies of major and minor. Likewise the newer genres: impressionist, modern, 335 and contemporary, load positively on the 2nd dimension, along with the more complex 336

harmonies of chromatic, whole tone, and ambiguous. Historically speaking, the whole tone scale gained great popularity with composers in the impressionist era. However, because of 338 the nature of this survey, this tells us more about the excerpts specifically than the behavior 339 of the participants. Because the excerpts were composed with the intent of varying across all 340 of these musical dimensions, what we see is a sort of validation that there is, in fact, that 341 variety among these excerpts, and that they are different enough to create a large and varied 342 factor space. It also reveals intrinsic biases in the composer's writing. Two excerpts, 6 and 343 14, showed an outsized influence on the factor space during preliminary analyses. We determined that this was because they were the only minimalist and jazz excerpts, 345 respectively. They were therefore removed from the analyses and projected as supplementary 346 points. That way we are able to see how they compare to the other excerpts in this factor 347 space without distorting it and dominating one or the other dimensions.

# Experiment 2: Musical Adjectives Survey

Participants. The scree plot below shows the explained variance per dimension for the distance analysis of participants in the adjectives survey. Again, having a high number of participants means that the dimensionality is high, and each dimension is only extracting a little bit of variance. However, the first five dimensions all have  $\lambda > 1$ : 1.66, 1.27, 1.13, 1.09, and 1.06, respectively. However, because of the high dimensionality here, the first dimension extracts approximately 3% of the overall variance, the second dimension extracts approximately 2%, and each successive dimension extracts incrementally less.

Additionally, this analysis revealed a significant group differences between French and American participants in how they described the excerpts, p. < .01. This analysis was performed using a distance matrix calculated from the pages of the brick. We calculated a double-centered cross product symmetric distance matrix from the pages of the brick and calculated the factor scores for each participant by calculating the dot product of the eigenvectors and the singular values of that symmetric distance matrix. The factor scores of

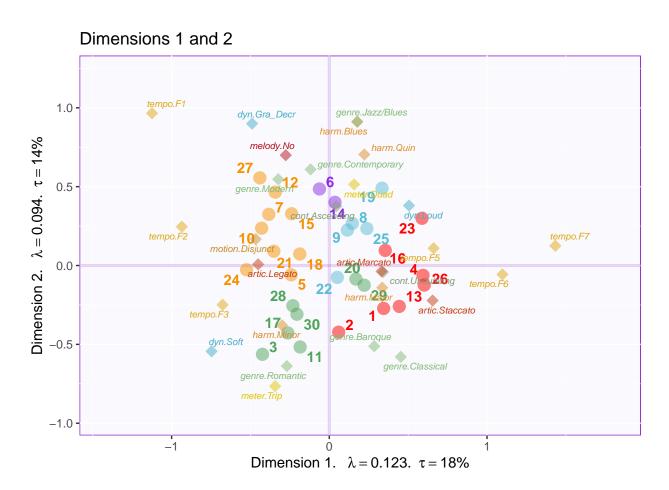


Figure 4



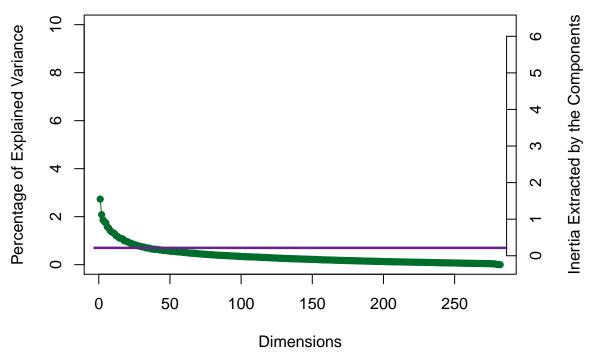


Figure 5

the participants are plotted below, with with group means and bootstrapped confidence intervals shown for those means. The bootstrapping resampling was performed with 1000 iterations.

Excerpts. The plot below shows the explained variance per dimension in the analysis of the excerpts contingency table. Although there are no components with  $\lambda > 1$ , there are two strong dimensions that extract a majority of the variance. The first two dimensions extract 72.25% of the variance, with the first dimension extracting a majority: 50.05%, and the second dimension extracting almost a quarter of the overall variance: 50.05%. This plot also suggests that there are multiple 'elbows,' at the 3rd, 5th, and 7th dimensions, respectively, with the third and fourth dimensions forming an 'eigen-plane,' of two dimensions which extract similar amounts of variance and should be considered together.

# Rv Analysis of Participants Including Group Means and Confidence Intervals

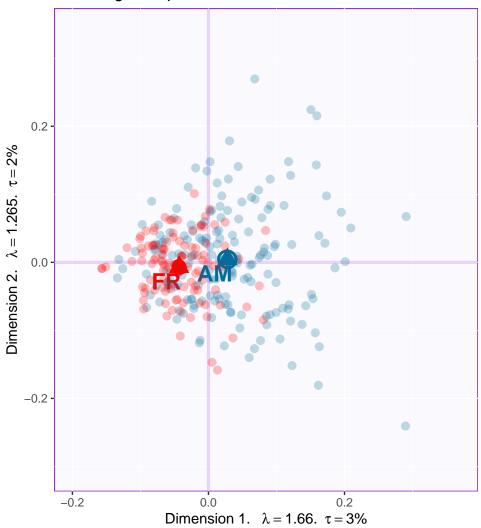


Figure 6  $(\# {\rm fig:map 4RV.A})$ 

For this analysis, however, we're focused on the two first dimensions. Although excerpts 6 and 14 are outliers in the musical qualities survey, for reasons detailed above, they were not outliers in this analysis. We therefore included them in all of the analyses for Experiment 2.

# **Explained Variance per Dimension**

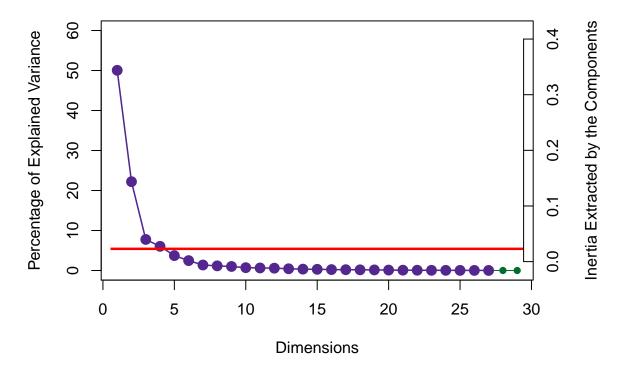


Figure 7

Contributing significantly to the positive end of the first dimension are excerpts from group three (green) and to the negative end are excerpts from group one (yellow). Strong contributions on the positive end of the dimension from the adjectives "Sad," "Dark," "Melancholy," "Slow," "Mysterious," "Solemn," and "Disturbing." The negative end of the first dimension is defined by the adjectives "Fast," "Happy," "Dancing," "Colorful," and "Bright." The second dimension is dominated by excerpts from group 4 (red) in the positive direction and group 2 (blue) in the negative direction. Two excerpts from group 3 also contribute significantly, excerpts 7 in the positive direction and excerpt 10 in the negative

direction. The columns contributing strongly in the positive direction are "Aggressive," 385 "Fast," "Disturbing," "Mysterious," "Surprising" and "Complex." The columns contributing 386 in the negative direction are "Warm," Soft", "Happy", "Slow", "Round", and "Light". A table 387 showing the full enumeration of all contributions 388

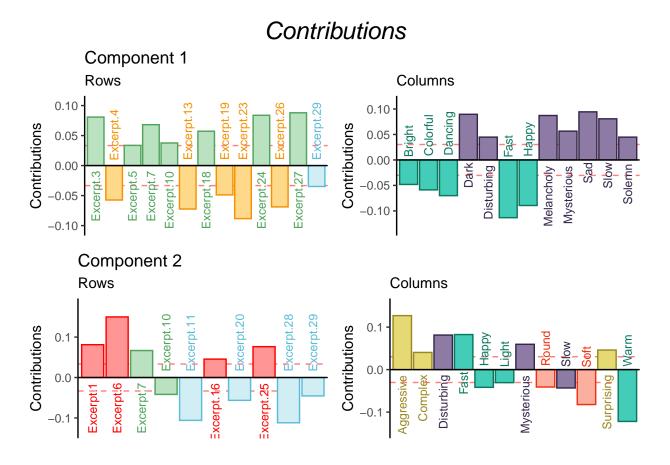


Figure 8 (#fig:contributions.A)

392

394

**Discussion.** The factor maps below show the row and column factor scores for the 389 american and french participants. These are once again symmetric plots, interpretation is the same as the factor plot for the musical qualities. There's a clear valence-arousal plane apparent for both, and in both cases valence seems to define the first dimension and arousal defines the second dimension. However, the difference in the amount of variance extracted by 393 the first two dimensions between the french and american participants is notable. The french data show a weaker first dimension but a stronger second dimension relative to the 395

## [1] "Processing Complete"

397

americans, both in terms of variance extracted (tau), effect size (lambda).

```
There are also differences in how the adjectives and the excerpts are distributed in the
   space. One clear example is that Excerpt 6 is in quadrant four in the american plot, but
398
   quadrant one in the french. This is a small change, but it suggests that the french
399
   participants were more likely to assign negative valence to this excerpt, and American
400
   Participants were more likely to assign positive valence. For the adjectives, 'bright' and
401
   'dancing' are directly on top of one another in the American plot, but there is some space
402
   between the two in the French plot. It's possible that this reflects the idea that although the
   meaning is shared between languages, there are semantic or associational differences between
   the words.
      [1] "Preprocessed the Rows of the data matrix using:
406
           "Preprocessed the Columns of the data matrix using:
                                                                         Center 1Norm"
407
           "Preprocessed the Tables of the data matrix using:
                                                                       MFA Normalization"
408
           "Preprocessing Completed"
409
           "Optimizing using:
410
       [1] "Processing Complete"
411
       [1] "Preprocessed the Rows of the data matrix using:
412
      [1] "Preprocessed the Columns of the data matrix using:
                                                                         Center 1Norm"
413
           "Preprocessed the Tables of the data matrix using:
                                                                        MFA Normalization"
   ##
414
           "Preprocessing Completed"
       [1]
415
       [1] "Optimizing using:
416
```

Additionally, a post-hoc Multiple Factor Analysis revealed the following in terms of the 418 semantic and perceptual differences between French and American participants. 419

# Partial Factor Scores Plots for French and American Participants

# Contributions to the Excerpts Factor Scores

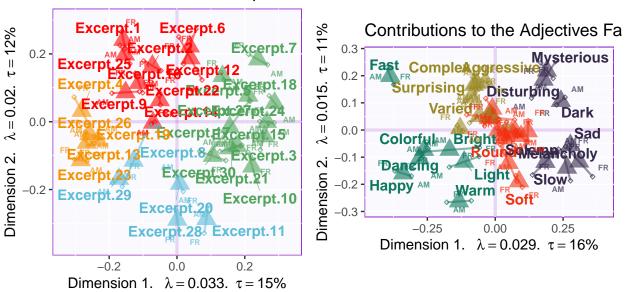
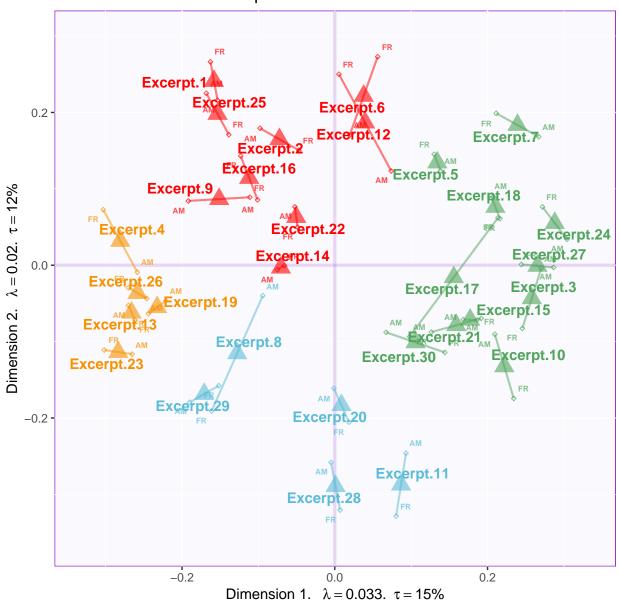


Figure 9

# Contributions to the Excerpts Factor Scores



Figure~10

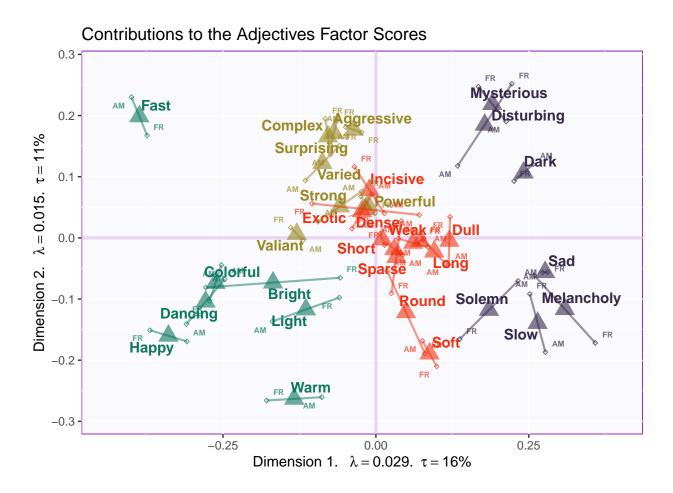


Figure 11

### Experiment 3: Combined Surveys

The final analysis was performed using a PLSC, which extracts covariance between two 421 tables in the form of *latent variables*. See (Krishnan2011?) for a review. This technique is 422 commonly used in brain imaging to identify which brain regions are activated during a 423 behavioral task ((Krishnan2011?)). In our context, the PLSC extracts the information 424 that is shared between the adjectives ratings and the musical dimensions ratings. The 425 visualizations below allow us to see which variables from each of the two tables correspond 426 with one another, or which adjectives are associated with which musical dimensions. Even 427 though both individual tables have their own factor spaces above, plotting the common 428 factor space between the two should allow us to see which excerpts are separated from one 429 another using data from both surveys. Additionally, the contributions and loadings will show 430 us which variables are responsible for creating or defining that space. 431

The latent variables represent the strongest similarities between the two tables. This
experiment requires that one of the dimensions of the two data tables being used are the
same. For two matrices, X and Y, where X is an i x j matrix and Y is an i x k matrix, we
transpose X such that the two are conformable, and take the dot product. We then have a j
x k matrix for which each cell represents the

This analysis revealed two dimensions that extracted the majority of the variance (83.60%). Of that total extracted by the first two dimensions, the first dimension extracted 64.35% and the second dimension extracted 19.26%. The scree plot below shows that it's possible that there are two elbows in this graph, at the 3rd and 5th dimensions. The 3rd and 4th dimensions are also significant, extracting 6.02% and 3.67% of the variance, respectively. Interpretations of the third dimension and beyond is beyond the scope of this paper, but seeing that there are multiple significant dimensions beyond the second does provide a possible future direction using this method.



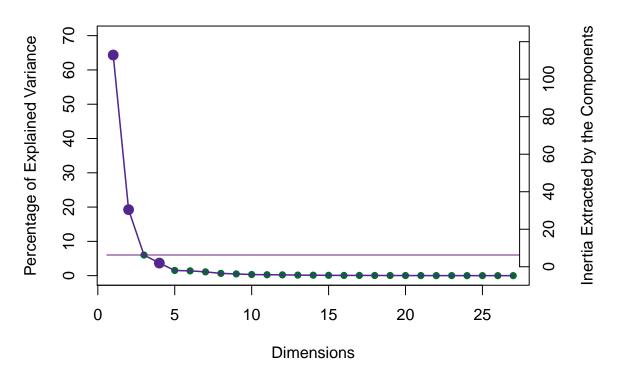


Figure 12

455

The plot below shows which variables from each data table load the most on the first 445 and second dimensions. For the purposes of this visualization, we are showing only the variables for which 70% or more of the variance is explained. The nature of the PLSC also 447 suggests that these are the variables that are most associated with one another between the 448 two tables. The strongest signal on the first dimension juxtaposes the slow and legato 449 musical qualities in the positive direction with the fast, staccato, marcato, and conjunct musical qualities in the negative direction. The adjectives associated with the qualities in the positive direction are "Dark," "Dull," "Long," "Melancholy," "Sad," "Slow," "Solemn," and 452 "Weak." The adjectives associated with the negative direction are "Bright," "Colorful," 453 "Dancing," "Fast," "Happy," and "Light." 454

The second dimension identified in the positive direction major harmony and mezzo

dynamics, associated with "Light," "Round," "Soft," and "Warm." The negative direction is driven by the impressionist genre being associated with "Aggressive," "Complex," "Dense," "Disturbing," "Powerful," and "Surprising."

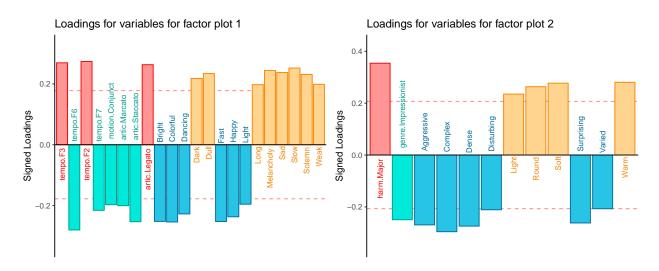


Figure 13

Contributions and loadings are similar, but not exactly the same. Here were see that 459 there are quite a few more variables that contribute significantly to these dimensions than 460 for which a significant portion of the variance is explained. We do see similar groups, 461 however: on the first dimension, the tempo variables are contributing significantly, along 462 with some from harmony, density, genre, dynamics, motion, range, and articulation. The 463 adjectives contributing significantly are Bright, colorful, Dancing, Fast, Happy, Light, and 464 Valiant in the negative direction, and Dark, Dull, Long, Melancholy, Monotonous, Sad, Slow, 465 Solemn, and Weak in the positive direction. What's notable here is that while some of these adjectives did contribute significantly in the plots above, some didn't contribute much at all and fell near the barycenter of the factor plot. We also see that this juxtaposes some negatively and positively valenced adjectives, which allows us to identify which of the musical 469 qualities contributes to the valence dimension. The second dimension tells us a similar story. 470 Here we see more of the harmony variables, along with one tempo variable, some density, 471 genre, a few dynamics, contour, motion, range, and articulation. The adjectives contributing 472

- <sup>473</sup> negatively are Aggressive, Complex, Dense, Disturbing, Incisive, Mysterious, Powerful,
- Surprising, and Varied, and those contributing positively are Light, Round, Soft,
- Transparent, and Warm. Again we see similar effects of variables that may not have
- 476 contributed significantly to their respective plots above, but are contributing significantly
- here. Also, this second latent variable seems to be defining the arousal dimension.

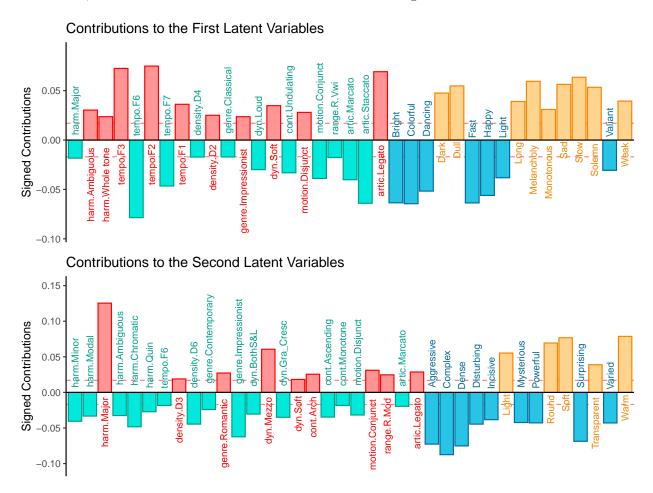
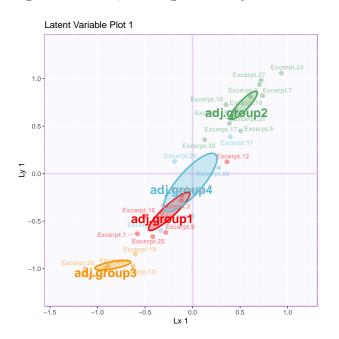


Figure 14

Discussion. The factor score plots for these show that the first two latent variables extracted by the analysis effectively separate the groups of excerpts. This factor plot shows us how the strongest correlated signal between the two data tables separates Excerpts groups 2 and 3, but groups 1 and two didn't contribute much to this dimension, instead contributing to the 2nd latent variables. The second latent variable separates Groups 1 and 4, with Groups 2 and 3 more barycentric. This suggests that, generally speaking, the

excerpts that were clustered in groups 2 and 3 are those that could be defined by positive 484 and negative valence, respectively, and those in groups 1 and 4 would be defined more by 485 high and low arousal. That being said, these excerpts are not defined exclusively along these 486 dimensions, but rather more by one than the other. For example, excerpt 26 is characterized 487 by being one the most extreme examples of positive valence, but doesn't score as highly on 488 the arousal dimension, similarly with excerpt 27 with negative valence. This is contrasted 480 with excerpt 7, which is one of the most negatively valenced stimuli, but also scores very 490 high on arousal, although the barycenter for that group is near the origin of that plot. 491



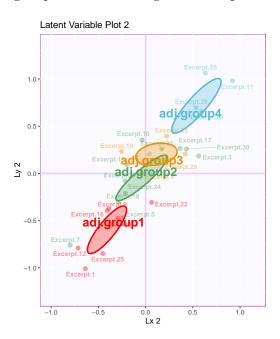


Figure 15

493

495

496

497

498

## General Discussion

Although this study was designed to evaluate the sensory or cognitive response to music, and not specifically the emotional response, there is significant overlap in the results observed here and the results of the work investigating musical and emotion. The appearance of the valence arousal plane in the results of experiment 2 was not unexpected, even though the adjectives we selected were not intended to be explicitly emotional. This goes to show difficult it is to avoid any emotional content when selecting descriptors, and from another

perspective, how much emotional contagion the music examples carry. Overall, this supports 499 the idea that the first two dimensions on which music is judged holistically are valence and 500 arousal. Some of the results discussed in Experiment 1 require more explanation. In 501 experiment 1, there was an issue of having two individual excerpts dominate the factor space, 502 which did not happen in experiment 2. Because of the nature of CA, the musical qualities 503 survey is not robust to outliers. One of the ways in which CA is different from PCA is that 504 PCA is usually unweighted. Because CA includes the masses of the columns and the weights 505 of the rows in the calculations, information that is common gravitates towards the barycenter 506 of the factor space, while rare information (the stuff that's actually interesting) tends to pop 507 out. Unfortunately that means that if there is an item or element in the data that is unique 508 or otherwise very different from every other item in the dataset, we end up with a situation 509 like the one in Experiment 1, where excerpts 6 and 14 dominate the factor space. Using 510 supplementary projections, as illustrated above, is a good way to extract the information 511 that those outliers share with the other elements in the dataset without having them dominate the visualization of the factor space. The reason that this happened for experiment 513 1 and not experiment 2 is that the adjectives don't measure explicit musical dimensions. 514 While the musical qualities surveys captured a result that may have characterized by 4-6 515 factors, each approximating genre and the qualities associated with that genre, the 516 adjectives/descriptors survey had no such restriction. The general affective space captures an 517 entirely different set of information about the music from the musical qualitative space. 518 The hierarchical cluster analyses revealed different groupings in how the stimuli were 519 rated between the two surveys. The PLSC then showed that when including both sets of 520 data, there was a coherent interpretable factor space on which the excerpts were plotted. 521 There are a number of ways to further disambiguate the results of the surveys. One way 522 would be to run a MFA, similar to the one above that plotted the difference between French 523 and American raters on the adjective survey. This would allow for a number of different 524 interpretations. Firstly, it would calculate the overall factor space for the excerpts, including 525

all of the data from both surveys, without separating out the first and second dimensions to
plot them separately. It would also identify the specific partial factor scores for each of the
data tables within that factor space that would allow for the interpretation of the relative
differences between the data tables. The drawback to both of these, however, is that unlike
the separate correspondence analyses we ran above, where the row and column scores can be
plotted in the same space, neither MFA nor the PLSC allow for that type of visualization.

#### 2 Limitations & future directions

Although we evaluate the scores and ratings of participants from different countries, we 533 recognize that the issue of multiculturality is not addressed to a significant degree in this 534 study. The sample was still largely students, and France and the United States share similar 535 musical cultures. To truly address this question, it would be very interesting to include 536 participants from multiple, contrasting musical cultures, with languages that are more 537 distinct than English and French. This presents new problems, however, as the specific 538 musical qualities included in the surveys may not all apply to or translate well to other 530 musical cultures. Harmony, for example, is a concept that is developed to a significant degree 540 in western music, but melody or rhythm may be the fundamental focus of other musical 541 cultures (cite patel here? I forget.). Another question that fell beyond the scope of this study is the concept of semantic drift between languages. Although illustrated in ??, the source of 543 the differences between French and American participants falls beyond the scope of this paper. We humbly hazard to guess that some of the sources of the difference include aspects 545 of perception that extend beyond the musical. These could be linguistic sources, such as the physical characteristics of the words themselves, the cultural associations with the words, or the frequency of use in either language. Diving more into those questions of linguistics and semantic drift between languages would be a fascinating future study. Another interesting study would be to repeat this study using adjectives from specific domains or that that avoid 550 explicit emotional or musical content, to see how music maps onto different sensory spaces. 551

For example, 'moist,' 'slimy,' 'dry,' 'puckered,' 'smooth.' Although some of these adjectives
may carry musical weight, in the context of other words that all relate to haptic sensation, it
may provide some interesting feedback regarding how the music maps into other sensory
domains. Finally, using these studies may provide pilot work for the way in which people
without language react to music, nonverbal autistic people, for example. Whereas this study
explicitly uses language as an interlocutor for music perception, it offers insight into ways to
better communicate with people who do not have that ability.

559 Conclusions

By developing investigative paradigms that are accessible on mobile platforms, and 560 that reduce participant demand while maintaining rigor and integrity, we are likely to be 561 able to reach a much greater subset of the population. If we are able to pair this kind of data 562 gathering with appropriate exploratory analysis, we can target much more effectively where 563 we might investigate with more traditional hypothesis testing. The analyses literature to 564 date in this domain has focused on a fairly small subset of the multivariate analyses available 565 to investigate these questions. As presented here, the number of ways that exist to analyze the data from a single set of experiments is considerable, and the results of each analysis illuminate different areas of the question or problem at hand. It would behoove us as researchers to seek out and use as many of these analyses as possible to open up new avenues 569 of investigation and to possibly expand the analyses of the data we've already collected. 570

References