

## Question Two: Non-genericity of a pitchfork bifurcation

$$f_a(x) = x^3 - ax + b$$

```
syms a b x;  
f = x^3 -a*x
```

$$f = x^3 - ax$$

```
fixedPoints = solve(f-x,x)
```

$$\text{fixedPoints} = \begin{pmatrix} 0 \\ \sqrt{a+1} \\ -\sqrt{a+1} \end{pmatrix}$$

**Part A:** Demonstrate that  $f_a(x)$  undergoes a pitchfork bifurcation when  $b = 0$

**Solution:**

We proceed using the criteria established in Wiggins to show that  $f_a(x)$  has a pitchfork bifurcation when  $b = 0$ :

1.  $\frac{\partial f}{\partial a}(a, x) = 0$
2.  $\frac{\partial f}{\partial x}(a, x) = 1$
3.  $\frac{\partial^2 f}{\partial x^2}(a, x) = 0$
4.  $\frac{\partial^2 f}{\partial x \partial a}(a, x) \neq 0$
5.  $\frac{\partial^3 f}{\partial x^3}(a, x) \neq 0$

We begin by determining at what values of  $a$  condition 2 is verified:

```
pF_x = diff(f,x);  
x = fixedPoints(1)
```

$$x = 0$$

```
pF_x1 = subs(pF_x)
```

$$pF_{x1} = -a$$

```
a1 = solve(pF_x1-1,a)
```

```
a1 = -1
```

```
x = fixedPoints(2)
```

```
x =  $\sqrt{a+1}$ 
```

```
pF_x2 = subs(pF_x)
```

```
pF_x2 =  $2a+3$ 
```

```
a2 = solve(pF_x2-1,a)
```

```
a2 = -1
```

```
x = fixedPoints(3)
```

```
x =  $-\sqrt{a+1}$ 
```

```
pF_x3 = subs(pF_x)
```

```
pF_x3 =  $2a+3$ 
```

```
a2 = solve(pF_x3-1,a)
```

```
a2 = -1
```

**Interpretation:** Note that for all fixed points,  $a_{1,2,3} = 1$ . Plugging in  $a_2, a_3$  into  $x_2, x_3$  respectively returns the same fixed point  $x = 0$ . Thus, we expect the pitchfork bifurcation to happen at  $(a, x) = (-1, 0)$ .

To confirm, we calculate the derivatives outlined by Wiggins and evaluate them at  $(a, x) = (-1, 0)$ .

```
syms a x;  
f = x^3 - a*x
```

```
f =  $x^3 - ax$ 
```

```
pF_x = diff(f,x)
```

```
pF_x =  $3x^2 - a$ 
```

```
pF_xx = diff(pF_x,x)
```

$$pF_{xx} = 6x$$

$$pF_{xxx} = \text{diff}(pF_{xx}, x)$$

$$pF_{xxx} = 6$$

$$pF_a = \text{diff}(f, a)$$

$$pF_a = -x$$

$$pF_{ax} = \text{diff}(pF_a, x)$$

$$pF_{ax} = -1$$

The calculation above returns:

1.  $\frac{\partial f}{\partial a}(a, x) = -x$
2.  $\frac{\partial f}{\partial x}(a, x) = 3x^2 - a$
3.  $\frac{\partial^2 f}{\partial x^2}(a, x) = 6x$
4.  $\frac{\partial^2 f}{\partial x \partial a}(a, x) = -1$
5.  $\frac{\partial^3 f}{\partial x^3}(a, x) = -6$

Substituting, we immediately note that:

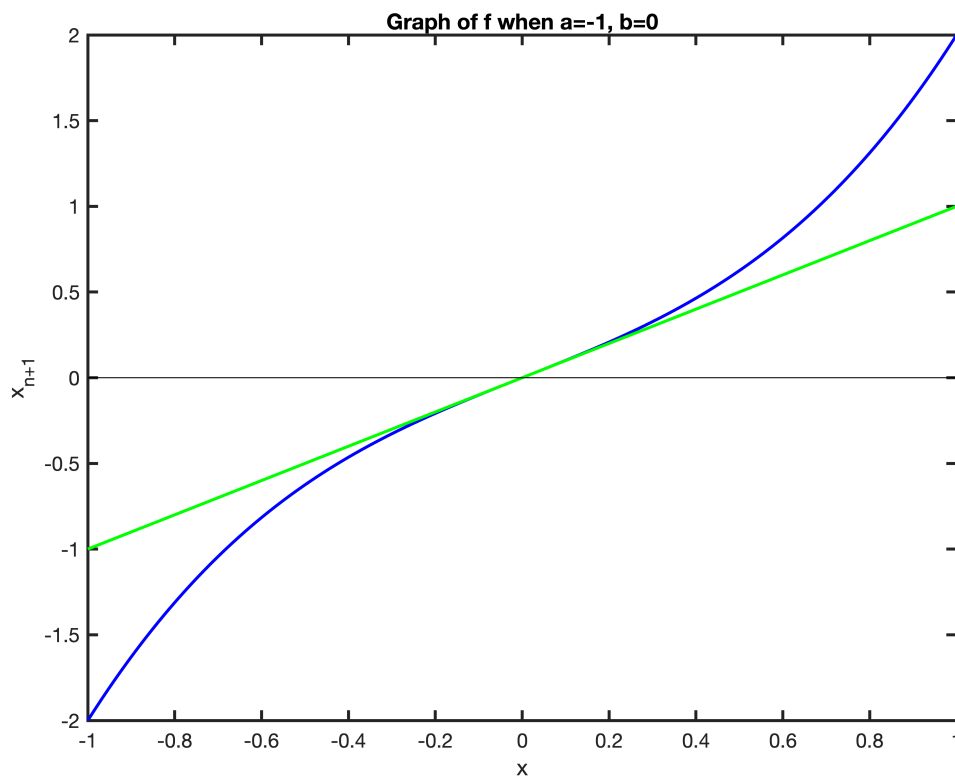
1.  $\frac{\partial f}{\partial a}(-1, 0) = 0$
2.  $\frac{\partial f}{\partial x}(-1, 0) = 1$
3.  $\frac{\partial^2 f}{\partial x^2}(-1, 0) = 0$
4.  $\frac{\partial^2 f}{\partial x \partial a}(-1, 0) = -1$
5.  $\frac{\partial^3 f}{\partial x^3}(-1, 0) = 6$

Thus all conditions for a pitchfork bifurcation are met.

**Part B:** Identify what bifurcation gives rise to the birth of two fixed points when  $b \neq 0$

Intuition (and the book) point to a saddle node bifurcation. To show this, consider the graph of  $f_a(x) = x^3 - ax + b$  when  $a = -1, b = 0$ .

```
x=[-1:0.01:1];
y = x.^3 + x;
z=x;
w = x-x;
plot(x,y, 'b', 'linewidth',1.5)
hold on
plot(x,z, 'g', 'linewidth',1.5)
plot(x, w, 'k')
set(gcf, 'color', 'w');
set(gca, 'linewidth',1.5)
xlabel('x');
ylabel('x_{n+1}');
title('Graph of f when a=-1, b=0');
hold off
```

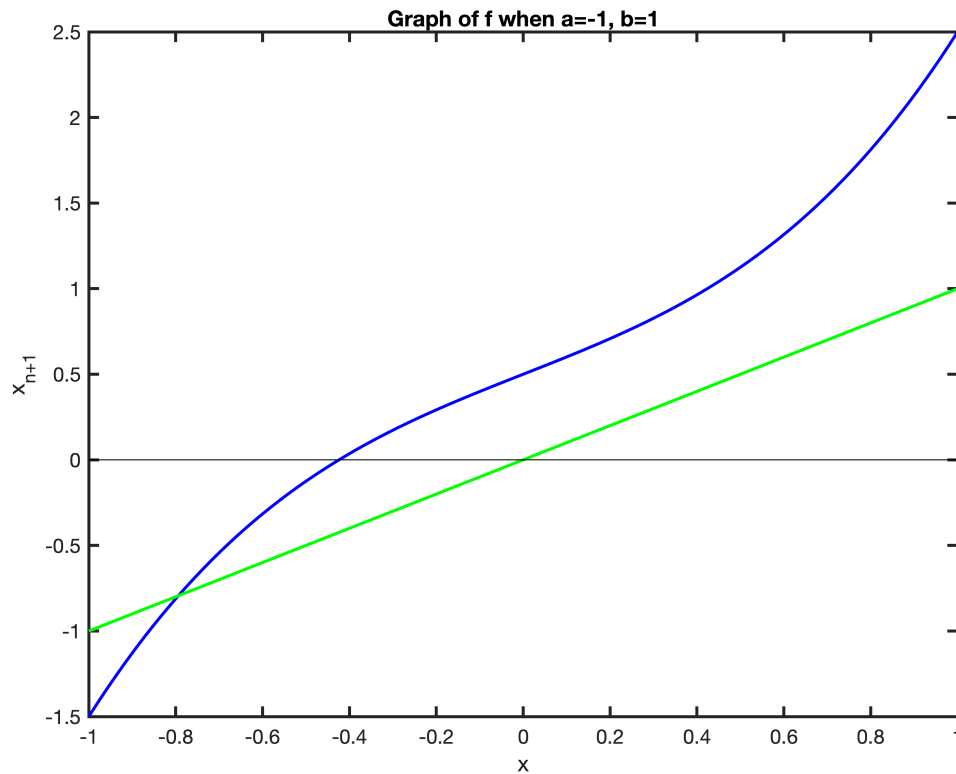


```
y = x.^3 + x +0.5;
plot(x,y, 'b', 'linewidth',1.5)
hold on
plot(x,z, 'g', 'linewidth',1.5)
plot(x, w, 'k')
set(gcf, 'color', 'w');
```

```

set(gca,'linewidth',1.5)
xlabel('x');
ylabel('x_{n+1}');
title('Graph of f when a=-1, b=1');
hold off

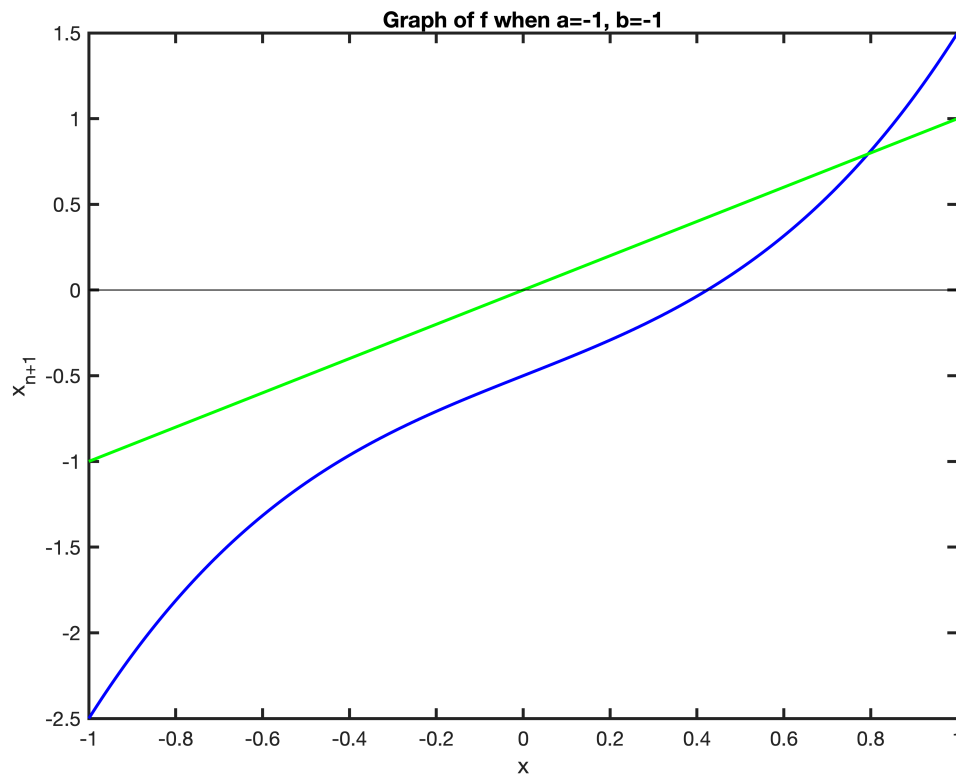
```



```

y = x.^3 + x -0.5;
plot(x,y,'b','linewidth',1.5)
hold on
plot(x,z,'g','linewidth',1.5)
plot(x,w,'k')
set(gcf,'color','w');
set(gca,'linewidth',1.5)
xlabel('x');
ylabel('x_{n+1}');
title('Graph of f when a=-1, b=-1');
hold off

```



Notice that as we "shift"  $b$  away from zero, we succeed in shifting the fixed point from zero by some  $\epsilon \neq 0$ . Thus the conditions established in Part A no longer hold - instead:

1.  $\frac{\partial f}{\partial a}(a, \epsilon) = -\epsilon \neq 0$
2.  $\frac{\partial f}{\partial x}(a, \epsilon) = 3\epsilon^2 - a = 1$
3.  $\frac{\partial^2 f}{\partial x^2}(a, \epsilon) = 6\epsilon \neq 0$
4.  $\frac{\partial^2 f}{\partial x \partial a}(a, \epsilon) = -1$
5.  $\frac{\partial^3 f}{\partial x^3}(a, \epsilon) = -6$

and the conditions for a pitchfork bifurcation have been violated. Instead, we now satisfy the conditions for a saddle node bifurcation in which:

1.  $\frac{\partial f}{\partial a}(-1, 0) \neq 0$
2.  $\frac{\partial f}{\partial x}(-1, 0) = 1$

3.  $\frac{\partial^2 f}{\partial x^2}(-1, 0) \neq 0$