# L19 Disk, Storage, and Indexing

#### Example

Branch, Customer, banker Name, Office BCNO

Name -> Branch, Office N -> BO

Customer, Branch -> Name CB -> N

CB is the key (determines everything)

#### 3NF

 $F^{min}$  = minimal cover of F Run BCNF using  $F^{min}$ for X $\rightarrow$ Y in  $F^{min}$  not in projection onto  $R_1...R_N$ create relation XY

BCNO BC  $\rightarrow$  N, N  $\rightarrow$  BO NBO, CN using N  $\rightarrow$  BO ... oops create BCN NBO, CN, BCN ... remove redundant CN relation NBO, BCN ... BCN: BC is key; N  $\rightarrow$  B violates BNCF

## Keys and Functional Dependencies

A key must determine all column values

Must be the left hand side (aka input or source) of FDs

 $BC \rightarrow N, N \rightarrow BO$ 

NBO key is N: determines all other fields

Banker name determines branch, office

NBO is in BCNF: All FDs are keys

#### $BC \rightarrow N, N \rightarrow BO$

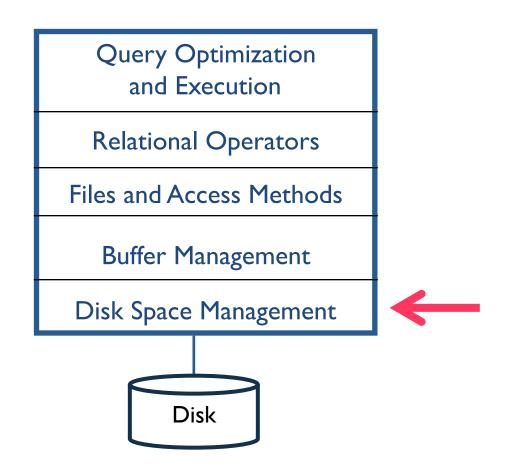
BCN key?

Maybe N? N determines B, but not C!

Problem: A banker has multiple customers!

Must be BC  $\rightarrow$  B violates BCNF; Okay for 3NF

## Work from the bottom up



#### \$ Matters

Why not store all in RAM?

Costs too much

High-end Databases today ~Petabyte (1000TB) range. ~60% cost of a production system is in the disks.

Main memory not persistent
Obviously important if DB stops/crashes

Some systems are *main-memory* DBMSes, topic for advanced DB course

#### \$ Matters

Newegg enterprise \$1000

RAM: 64-96 GB

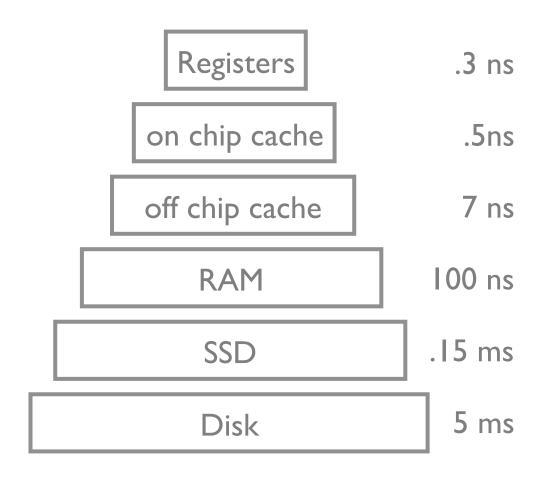
SSD: 400-1000

Disk: 24000

RAM for active data

Disk for main database secondary storage

Tapes for archive

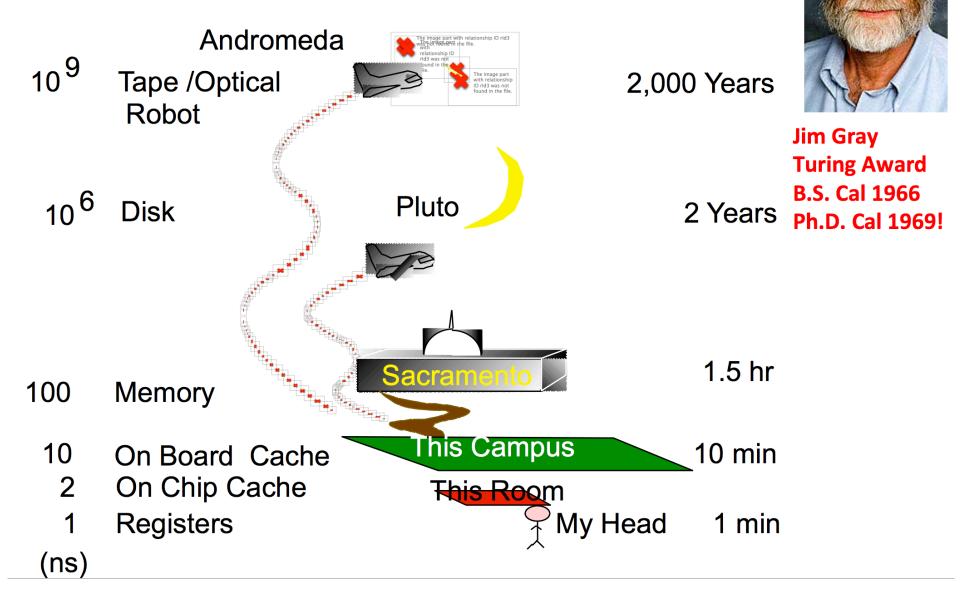


Interesting numbers

compress Ik bytes: 3000 ns

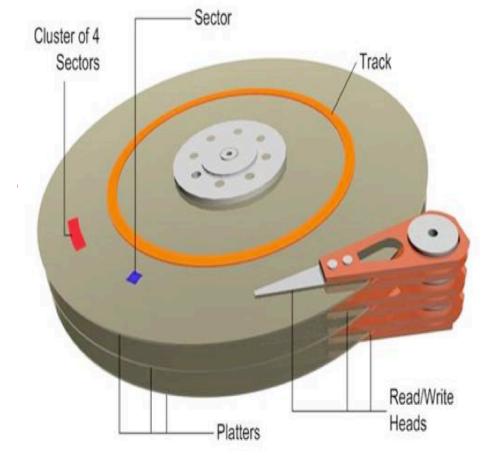
roundtrip in data center: .5 ms

## Jim Gray's Storage Latency Analogy: How Far Away is the Data?



#### Spin speed: ~7200 RPM

Arm moved in/out to position head over a track



Time to access (read or write) a disk block

seek time 2-4 msec avg (arm movement)

rotational delay 2-4 msec (based on rotation speed)

transfer time 0.3 msec/64kb page

Throughput

read ~150 MB/sec

write ~50 MB/sec

Key: reduce seek and rotational delays HW & SW approaches

#### Pre-fetching

Next block concept (in order of speed)
blocks on same track
blocks on same cylinder
blocks on adjacent cylinder

Sequentially arrange files minimize seek and rotation latency

When sequentially scanning: Pre-fetch > I page/block at once

#### SSD versus Hard Drives

#### Disks are not dead!

	HDD	SDD	SDD
	WD Black 6 TB	Samsung 850 Pro	Factor
Sequential Throughput	214 MB/s	496 MB/s	2.3X
Random Throughput	0.5 MB/s	273 MB/s	546X
Random IO Latency	4800 us	8 us	600X
\$/GB	\$0.05	\$0.46	0.1X

## # 4 byte values read per second



#### Pragmatics of Databases

Most databases are pretty small

All global daily weather since 1929: 20GB

**2000 US Census: 200GB** 

2009 english wikipedia: I4GB

Data sizes grow faster than Moore's law:

Record everything; storage is cheap?

#### Disk Space Management

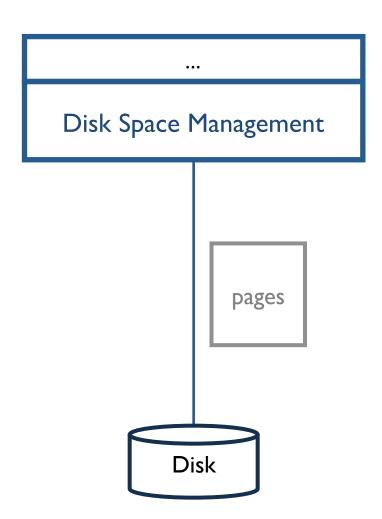
VLDBs Small DBs

Huge data exists

Many interesting data are small

People still worry about magnetic disk May not care about it

## Work from the bottom up



#### What is a page?

Unit of transfer between storage and database Typically fixed size Small enough for one I/O to be fast Big enough to not be wasteful

Usually a multiple of 4 kB
Intel virtual memory hardware page size
Modern disk sector size (minimum I/O size)

#### Random example sizes

SQLite: I kB

IBM DB2: 4 kB

Postgres: 8 kB pages

SQL Server: 8 kB

MySQL: 16 kB

MongoDB (Wired Tiger): 32 kB

## Disk Space Management

Manages space on disk, IO, and caching

Sequential performance desirable hidden from rest of DBMS some algorithms assume sequential performance

## Example Disk Space Interface

#### DiskInterface:

```
readPage(page_id): data
```

writePage(page\_id, data)

newPage(): page\_id

freePage(page\_id)

#### Records and Files

Record: "application" storage unit e.g. a row in a table

Page: Collection of records

File: Collection of pages
insert/delete/modify record
get(record\_id) a record
scan all records

May be in multiple OS files spanning multiple disks

#### Units that we'll care about

Ignore CPU cost Ignore RAM cost

- B # data pages on disk for relation
- R # records per data page
- D avg time to read/write data page to/from disk

Simplifies life when computing costs

Very rough approximation, but OK for now ignores prefetching, bulk writes/reads, CPU/RAM

#### Unordered Heap Files

Unordered collection of records

Pages allocated as collection grows

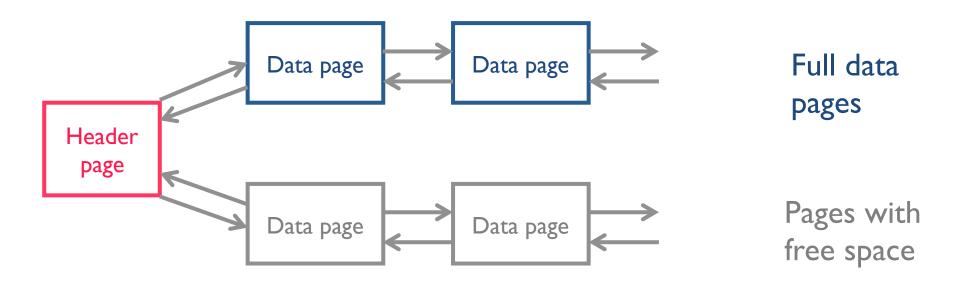
Need to track:

pages in file

free space on pages

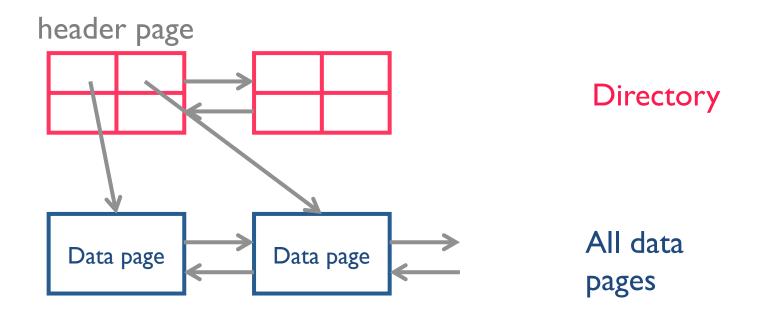
records on page

#### Potential Heap File Implementation



Header page location? Typically in the "catalog" Data page = 2 pointers + data

#### Alternative: Use a directory



Directory entries track #free bytes on data pages Directory is collection of pages

#### Indexes

"If I had eight hours to chop down a tree,
I'd spend six sharpening my ax."

Abraham Lincoln

#### Indexes

Heap files can get data by sequential scan

Queries use qualifications (predicates) find students in "CS" find students from CA

#### Indexes

file structures for value-based queries B+-tree index (~1970s)
Hash index

Overview! Details in 4112

#### Indexes

Defined wrt a search key

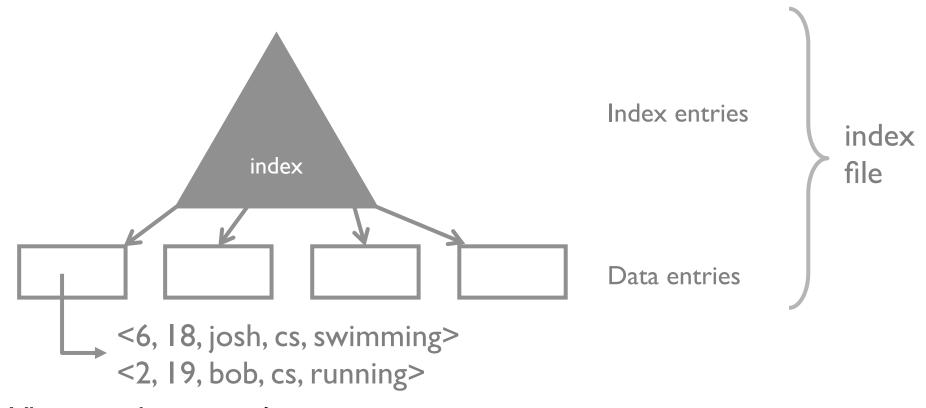
different than a candidate key!

Faster access for WHERE clauses w/ search key

```
CREATE INDEX idx1 ON users USING btree (sid)
CREATE INDEX idx2 ON users USING hash (sid)
CREATE INDEX idx3 ON users USING btree (age, name)
```

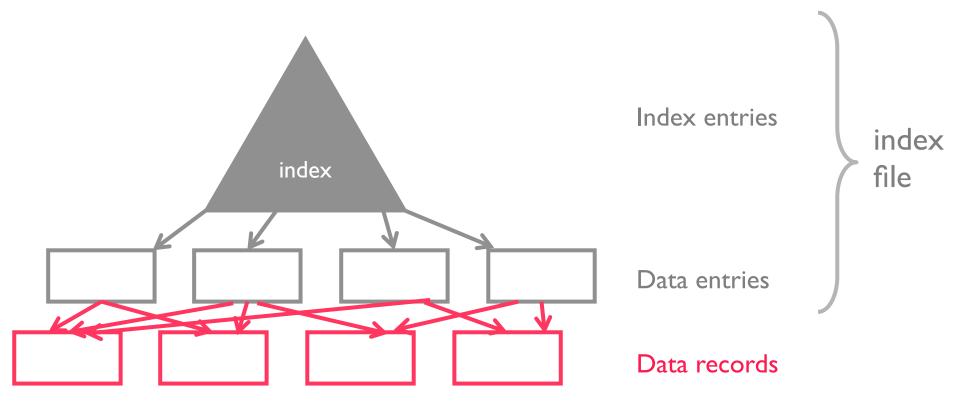
You will play around with indexes in HW4

#### High level (Primary) index structure



What is a data entry? actual data record

#### High level (Secondary) index structure

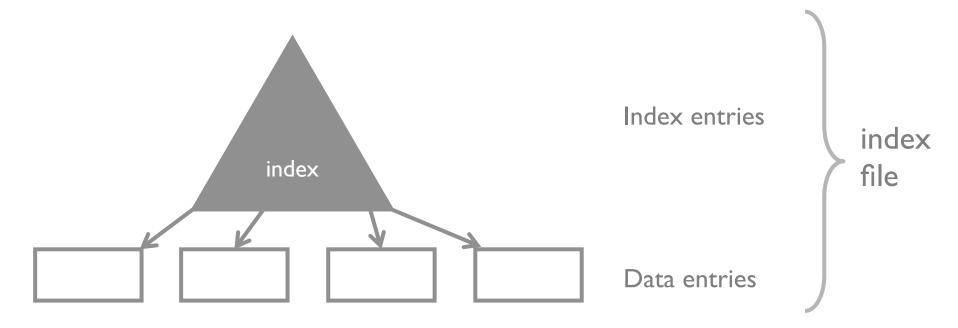


What is a data entry? actual data record

<search key value, rid>

Tradeoffs
directly access tuple.
compact, fixed size entries

#### High level index structure



What is a data entry?

actual data record

<search key value, rid>

Tradeoffs
directly access tuple.
compact, fixed size entries