## L17 Normalization is a Good Idea

#### Administrivia

Midterms returned today!

Project Part 3 due next Tuesday March 29

Part 3 demos: March 28-April I

Mentor should have contacted you

HW3: Available now; due April 5

## Steps for a New Application

#### Requirements

what are you going to build?

Conceptual Database Design

pen-and-pencil description

Logical Design

formal database schema

#### Schema Refinement:

fix potential problems, normalization

Normalization

#### Physical Database Design

use sample of queries to optimize for speed/storage

## A Relational Model of Data for Large Shared Data Banks

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Future users of large data banks must be protected from having to know how the data is organized in the machine (the internal representation). A prompting service which supplies such information is not a satisfactory solution. Activities of users at terminals and most application programs should remain unaffected when the internal representation of data is changed and even when some aspects of the external representation are changed. Changes in data representation will often be needed as a result of changes in query, update, and report

## Redundancy is no good

#### Update/insert/delete anomalies. Wastes space

<u>sid</u>	name	address	hobby	cost
1	Eugene	amsterdam	trucks	\$\$
1	Eugene	amsterdam	cheese	\$
2	Bob	40th	paint	\$\$\$
3	Bob	40th	cheese	\$
4	Shaq	florida	swimming	\$

people have names and addrs
hobbies have costs
people many-to-many with hobbies
What's primary key? sid? sid + hobby?

## Anomalies (Inconsistencies)

#### Update Anomaly

change one address, need to change all

#### Insert Anomaly

add person without hobby? not allowed? dummy hobby?

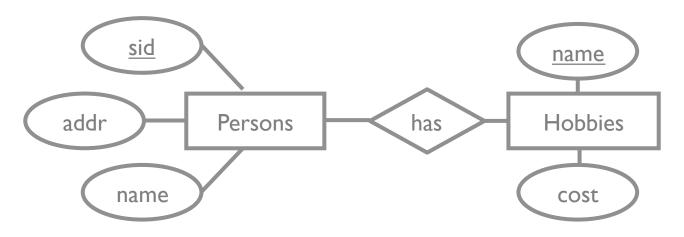
#### **Delete Anomaly**

if delete a hobby. Delete the person?

Theory Can Fix This!

## A Possible Approach

#### ER diagram was a heuristic



#### We have decomposed example table into:

```
person(sid, addr, name)
hobby(name, cost)
personhobby(hobbyname, sid)
```

## A Possible Approach

#### What if decompose into:

person(sid, name, address, cost)
personhobby(sid, hobbyname)

<u>sid</u>	name	address	cost
I	Eugene	amsterdam	\$\$
ı	Eugene	amsterdam	\$
2	Bob	40th	\$\$\$
3	Bob	40th	\$
4	Shaq	florida	\$

<u>sid</u>	hobby
I	trucks
I	cheese
2	paint
3	cheese
4	swimming

but... which cost goes with which hobby?

lost information: lossy decomposition

## Decomposition

#### Replace schema R with 2+ smaller schemas that

- each contain subset of attrs in R
- together include all attrs in R

ABCD replaced with AB, BCD or AB, BC, CD

#### Desirable properties

- I. Lossless join: able to recover R from smaller relations
- 2. Dependency preserving: enforce constraints on by only enforcing constraints on smaller schemas (no joins)

## Decomposition is a trade-off

#### Advantages:

- Eliminates possibility of data getting "out of sync"
- Make changes in one place that apply everywhere
- Access a sub-section of the data for some queries

#### Disadvantages

- If always need all data together, joins may be slower
- Less flexible because there is no redundancy

# How can we systematically decompose relations to remove redundancy?

## Functional Dependencies (FD)

sid	name	address	hobby	cost
1	Eugene	amsterdam	trucks	\$\$
1	Eugene	amsterdam	cheese	\$
2	Bob	40th	paint	\$\$\$
3	Bob	40th	cheese	\$
4	Shaq	florida	swimming	\$

#### sid sufficient to identify name and addr, but not hobby

e.g., exists a function  $f(sid) \rightarrow name$ , addr

#### sid $\rightarrow$ name, addr is a functional dependency

"sid determines name, addr"

"name, addr are functionally dependent on sid"

"if 2 records have the same sid, their name and addr are the same"

## Functional Dependencies (FD)

$$X \rightarrow Y$$
holds on R
if  $t_1.X = t_2.X$  then  $t_1.Y = t_2.Y$ 
where X,Y are subsets of attrs in R

#### Examples of FDs in person-hobbies table

```
sid → name, address
hobby → cost
sid, hobby → name, address cost
```

## $X \rightarrow Y$ is a functional dependency

$$Y = f(X)$$

#### Fun Facts

Functional Dependency is an integrity constraint statement about all instances of relation Generalizes key constraints if K is candidate key of R, then  $K \rightarrow R$ 

Given FDs, simple definition of redundancy when left side of FD is not table key

#### Where do FDs come from?

thinking really hard aka application semantics can't stare at database to derive (like ICs)

Like a Mathematics conjecture:

one counter example can disprove, but examples can't prove there are no example in the universe

#### Where do FDs come from?

thinking really hard aka application semantics can't stare at database to derive (like ICs)

Like a Mathematics conjecture:

## Functional Dependency Discovery: An Experimental Evaluation of Seven Algorithms

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#### Normal Forms

Criteria met by a relation R wrt functional dependencies

#### Boyce Codd Normal Form (BCNF)

No redundancy, may lose dependencies

#### Third Normal Form (3NF)

May have redundancy, no decomposition problems

#### Redundancy depends on FDs

consider R(ABC)

no FDs: no redundancy

if  $A \rightarrow B$ : B is duplicated if there are multiple copies of A

#### **BCNF**

Relation R in BCNF has no redundancy wrt FDs (only FDs are key constraints)

```
F: set of functional dependencies over relation R
X: Subset of attributes of R
A: One attribute of R
   for (X→A) in F
        A is in X OR
        X is a superkey of R
```

## sid, hobby, name, addr, cost

$$H \rightarrow C$$
 (hobby  $\rightarrow$  cost)  
 $S \rightarrow NA$ 

```
What's in BCNF? for (X→A) in F
A is in X OR

SHNAC NO
X is a superkey of R

SNA, SHC NO
SNA, HC, SH YES
```

#### **BCNF**

#### Relation R in BCNF has no redundancy wrt FDs

(only FDs are key constraints)

F: set of functional dependencies over relation R
 for (X→Y) in F
 Y is in X OR
 X is a superkey of R

#### Is this in BCNF?

sid → name

sid	hobby	name
X	<b>y</b> <sub>1</sub>	Z
X	$y_2$	?

## Let's order pizza

#### One type of meat, cheese, and vegetable

Pizza	Topping	Туре
I	Mozzarella	Cheese
I	Pepperoni	Meat
I	Olives	Vegetable
2	Mozzarella	Cheese
2	Sausage	Meat
2	Peppers	Vegetable

Key? (Pizza, Type)

## Pizza: Dependencies?

Pizza	Topping	Туре
	Mozzarella	Cheese
	Pepperoni	Meat
	Olives	Vegetable
2	Mozzarella	Cheese
2	Sausage	Meat
2	Peppers	Vegetable

Topping → Type
Pizza, Type → Topping

Is this in BCNF?

#### Pizza BCNF

```
Topping \rightarrow Type
Pizza, Type \rightarrow Topping
```

```
for (X→A) in F
A is in X OR
X is a superkey of R
```

#### Pizza BCNF

**Topping** → **Type** 

Pizza, Type  $\rightarrow$  Topping

```
for (X→A) in F
A is in X OR
X is a superkey of R
```

## Pizza: Decomposition?

Pizza	Topping	
	Mozzarella	
	Pepperoni	
	Olives	
2	Mozzarella	
2	Sausage	
2	Peppers	

Topping	Туре
Mozzarella	Cheese
Pepperoni	Meat
Olives	Vegetable
Sausage	Meat
Peppers	Vegetable

Topping → Type

Pizza, Type → Topping: Lost this dependency!

(In SQL: Can't enforce one topping type)

## BCNF in general

Decomposition may not preserve dependencies

In practice: additional checks may be needed e.g. join to enforce topping type constraint