

ShEMP: A Mobile Framework for Shared Emotion, Music, and Physiology

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ABSTRACT

As we continue to strive toward a deeper understanding human social interaction, the playing field continues to change beneath our feet. Ever swiftly, in even the last decade, the means by which we interact, the frequency in which we do so, even the meaning of interaction within daily life continues to evolve as humans become connected in novel ways—one need only consider the “Twittersphere” or how text messages have come to largely replace paper letters or even email communication. Since 2010, partners in the Social Interaction and Entrainment using Music Performance Experimentation have been investigating the concepts of emotional contagion, leadership, entrainment, and co-creation, and how they support social interaction through a series of studies of music performance and listening. This paper introduces ShEMP, a mobile framework for Shared Emotion, Music, and Performance. ShEMP is a new tool for use in the design an execution of experiments that take this investigation of human emotion and interaction further afield into shared music experiences that occur between groups connected via their mobile devices. Here, we provide an overview of the framework and a description of a series of experiments for which we have implemented this new toolkit.

Categories and Subject Descriptors

H.5.3 [Information Interfaces and Presentation]:

Group and Organization Interfaces—*Collaborative computing, Organizational design, Synchronous interaction*; H.5.2

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[Information Interfaces and Presentation]: User Interfaces—*Input devices and strategies*; H.5.1 [Information Interfaces and Presentation]: Multimedia Information Systems—*Audio input/output*; H.5.5 [Information Interfaces and Presentation]: Sound and Music Computing; C.2.4 [Computer-Communication Networks]: Distributed Systems—*Client/server*; J.5 [Arts and Humanities]: [Performing arts]

General Terms

Algorithms, Design, Experimentation, Measurement

Keywords

Collaborative music, group emotion, mobile computing, physiological interfaces

1. INTRODUCTION

How can we measure the quality of a creative experience? In what ways do the emotions of participants affect or are affected by creative collaboration? Is the perception of a musical performance altered depending on whether it is experienced individually or as a member of a group? These are among the questions under consideration by partners, including the authors, in the Social Interaction and Entrainment using Musical Performance Experimentation (SIEMPRE) project. Here we introduce ShEMP—a software framework through which we can explore these questions in greater depth. ShEMP, a mobile framework for Shared Emotion, Music, and Physiology, in conjunction with Mobile-Muse, an unobtrusive sensor package for mobile physiological signal acquisition, leverage the distributed yet locative properties of mobile devices to allow the design of ecological experiments outside of the laboratory to investigate collaborative creativity and shared experience of musical performances. This paper provides a brief introduction to several notable advances made in recent and current SIEMPRE experiments that have been particularly motivating to the de-

velopment of ShEMP. This is followed by an overview of the design of ShEMP and a discussion of the suite of technologies it employs. We then elaborate on an initial battery of experiments to be executed presently, for which the framework was designed.

2. BACKGROUND

For the last two years, the Social Interaction and Entrainment using Music Performance Experimentation (SIEMPRE) project has focused on measuring interpersonal creative interaction on the backdrop of music performance. The experiments designed and executed thus far have focused on these the experience of musical performance and experience in the following interconnected areas ([12]):

- Musician/musician interactions
- Conductor/musicians interactions
- Music/listener interactions
- Musician/listener interactions

Within these scenarios, our attention is drawn to four different foci: *entrainment*, and *emotional contagion*, *co-creation*, and *leadership*. The first of these, entrainment, is described by Clayton, Sager, and Will ([3]) as “a process whereby two rhythmic processes interact with each other in such a way that they adjust towards and eventually ‘lock in’ to a common phase and/or periodicity.” This takes shape on multiple levels and in various situations: from a listener’s foot tapping in tempo with music or their respiration and heart rates coming into synchronization (albeit often much more slowly) with the beat of the music, to an organisms internal physiological processes’ entrainment to one another, or to those of another organism within a group. Indeed, as Clayton, Sager, and Will note, humans’ internal rhythmic processes can and do entrain to both other internal rhythms as well as to those of other humans through music performance and shared experience of music. This potential for entrainment through social interaction by way of music is of particular interest to SIEMPRE.

Closely linked to entrainment is emotional contagion. In their seminal work on the subject, Hatfield, Cacioppo, and Rapson ([5]) describe the phenomenon of emotional contagion as one in which a particular emotional episode in one individual can evoke stimuli that act upon other individuals to bring about similar or complementary emotional responses. While the related phenomenon, empathy, requires cognitive and autonomic facility, Hatfield et al. argue that emotional contagion is an automatic and involuntary process. Converse to empathy, they further define contagion as a process in which humans automatically mimic and synchronize the behaviors of another, and as a result, converge emotionally ([4]). Emotional contagion not only strengthens emotional bonds between people, however; the presence and awareness of emotional contagion in interpersonal interaction affects meaning and significance in communication, aid in understanding social interaction, and facilitate empathy and sympathy, for instance. It is this significance that places emotional contagion as the second focus of SIEMPRE’s research into interpersonal interaction.

Several studies under the SIEMPRE umbrella are concerned with musical co-creation. This is not necessarily

completely bound up in musical performance by expert musicians, but includes the idea that audience and performer alike work together to shape a performance. On the one hand, performance process is informed not only by the training and preparation of the musician, for instance, but also by their awareness of and response to input, active or otherwise, from the audience. On the other hand, co-creation also occurs where novice musicians work together to create music for only themselves to experience. In either case, and in all those in between, co-creation serves to bind the group socially and serves as another means for social interaction.

The final focus within interaction that concerns SIEMPRE is leadership. Here again, a common paradigm in musical performance parallels that of everyday human interaction. By varying manipulating the leadership role, we can examine not only how changes to leadership affect group interaction in general, but also the specific implications these changes may have such already discussed phenomena as synchronization and entrainment, and the emotional understanding of the audience and performers.

3. RECENT WORK AND MOTIVATIONS

With this as the focus, SIEMPRE partners have designed and executed a wide array of experiments both within and without the SIEMPRE umbrella.

3.1 Center for Computer Research in Music and Acoustics

A number of research efforts have utilized mobile technologies to examine the role of performers, listeners, and conductors. Wang’s Ocarina broadcasts individual performances of a touchscreen flute-like instrument to a global community of listeners [14]. Here, listener engagement is assessed with three options, “heart” (like), “skip” (dislike), or no response. The locations of the performer and listeners who have “hearted” a given performance are visualized on a rendering of the earth, lending a further degree of interaction among listeners. World Stage ([15]) provides a different perspective of listener/performer engagement and a greater degree of intimacy by dynamically matching a musical performer with three “judges”. Using a set of predefined emoticons and, optionally, textual feedback, judges assess a performance in real-time. Both the performer and the judges see these responses simultaneously, allowing not only a hermetic appraisal of the music being heard, but potentially also a collaborative, dialectical assessment. Oh’s *Heart* combines musical-emotional data collection/visualization, and musical performance ([10]). During a performance of a specifically tailored computer music work, listeners with smartphones self-report their level of excitement, valence in terms of negative/positive, and heart rate (by tapping the screen in synchrony with their observed heart rate) via a smartphone-accessible website. This data is aggregated and displayed for the whole audience to view as the musical work progresses.

3.2 Emotion in Motion

Emotion in Motion is an ongoing research project, investigating people’s affective response to musical stimuli in non-laboratory environments. The aim of this study is to explore the relationship between the musical content and changes in the emotional response of the listener, via physiological measurements and self-report questionnaires.

The data capture terminal was designed as an installation that can operate in a public gallery or science centre (see Figure 1), where participants can take part in the experiment without external assistance by following on-screen instructions. Even though there have been several iterations and changes to the experimental design, the experiment consists essentially of the participant answering a small number of background and demographic questions (e.g. age, gender, musical expertise, etc.), and then listening to an approximately 90-second long musical excerpt selected randomly from a pool of songs of diverse styles, eras, and emotional intent. While the music is playing, two physiological measurements are recorded simultaneously: electrodermal activity (EDA) and heart rate (HR). EDA and HR were chosen for their acknowledged use as physiological indicators of emotion (PIE) in the psychophysiology literature (see [11], [2], [6], and [1]), as well as for their arguably unobtrusive measurement (both can be captured with sensors worn on one hand). After the excerpt completes, participants are asked to answer a brief self-report questionnaire, indicating how the music made them feel, their level of engagement and enjoyment, among other questions. This process is repeated for three different excerpts. For a detailed description of the experimental design, please refer to [7].



Figure 1: Participants at the Eyebeam Gallery in New York City, June 2011.

The first iteration of the experiment started in the Science Gallery, Dublin, where it ran for a period of three months in the summer of 2010, having been later installed in public galleries in New York City, Genova, and currently running in Bergen and Singapore. At the time of writing (July 2012), over 6000 people have participated in the experiment, having more than 18000 recordings of physiological data associated with a musical excerpt.

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Even though the greater part of the work conducted to this point has been focused in improving the data acquisition and feature extraction of signals, preliminary results

show significant correlations between physiological features and the self-reported questionnaire ([7]). A detailed discussion of the experiment's results is beyond the scope of this paper. Please see Figure 2 for an example of one of these correlations. Nonetheless, while analyzing the Emotion in Motion database, several mitigating factors were found to influence changes in physiology. We believe that these factors need to be taken into account when designing interfaces that use physiological changes as measures of emotion.

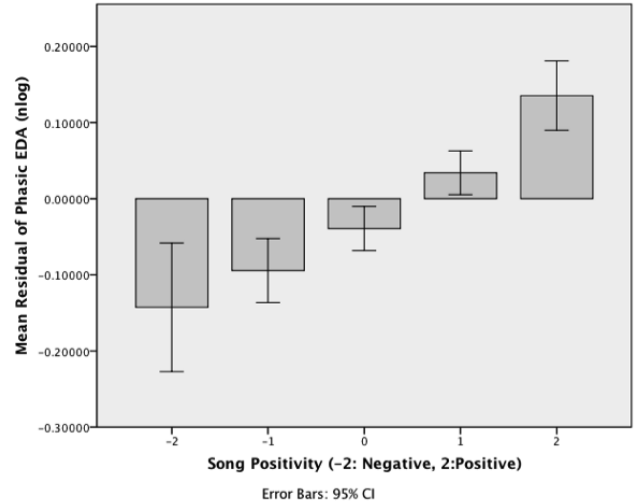


Figure 2: Example of correlation found between a single feature from the physiology (mean of the corrected standard deviation of phasic EDA) and the self-reported answers to the valence question ($r = .210$, $p < .001$, $N = 1744$).

Mitigating factors are mainly associated with the demographic information of the user, as well as physiological characteristics. As expected ([8]), age and gender have a negative relationship with heart rate variability (HRV) and HR. The electrodermal level (EDL), or the value of the tonic signal before the experiment, also has a negative relationship with the changes in both tonic and phasic signals. Even though this has been addressed in the literature, the exact relationship and any baseline corrections remains problematic ([1]). Figure ?? shows a plot of phasic changes of the electrodermal activity versus EDL. It can be clearly seen in this figure a negative relationship between both variables, as well as a reduction in the range of the phasic changes with higher EDL values. The latter can be explained if we consider that the ceiling for skin conductance responses (SCRs), which have a positive onset, lowers with higher EDL values.

Gender has also shown a significant correlation with features related to changes in EDA, as well as age, in a smaller degree. We are currently working on developing a methodology to standardize these mitigating factors, in order to improve the analysis of the physiological response a person has to musical stimuli. The example shown in Figure 2 has been calculated using the residuals of a linear regression using age, gender and EDL values as factors.

3.3 Motivation

Several studies, particularly those with a focus on audience experience of music—be it a live performance, a video

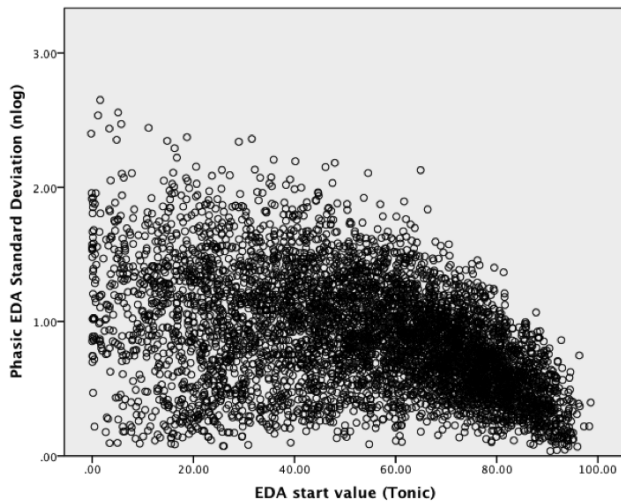


Figure 3: Scatter plot of Phasic standard deviation versus EDA or tonic start value (measured in reference to the range of the sensor), for 1744 cases.

recording of a live performance, audio of a studio recording, or otherwise—note the importance of observing the audience experiment in as ecological a manner as possible (see [7], for example). Furthermore, it is at least presumable that a good deal, if not the majority, of an individual’s time spent experiencing music is when they are either alone, or among a small group of people—not in either a concert hall or laboratory. Issues of ecological validity aside, it is at least important to consider implications on the aforementioned research foci when audiences (of one or more) experience music individually.

In 2012, SIEMPRE established an International Cooperation project (SIEMPRE-INCO) to explore these areas in further detail. Specifically, the SIEMPRE-INCO project addresses empathic processes within the aforementioned four areas of interaction, but in remote locations. It aims at understanding how emotional contagion and co-creation can and do occur when the individuals or audiences who are participating do not share the same physical environment. How is the experience shaped the when two people share a listening experience from two different locations?

4. SHEMP DESIGN AND IMPLEMENTATION

To explore the questions of SIEMPRE-INCO, we have built ShEMP, a framework upon which we can construct a range of experimental apparatuses. A great deal of the partners’ previous work has served to determine or the efficacy of a range of measure of audience (interactor) response mechanisms, including self-report questionnaires, input based around the GEMS scale, and judgements. ShEMP’s flexibility allows us to collect voluntary feedback using any or a combination of these models with ease. Coupled with this, the MobileMuse sensor provides ShEMP participant physiological data—pulse oximetry, electrodermal activity, motion, and skin temperature. This section discusses the software and hardware design and implementation of ShEMP.

4.1 Software

Your space, Spencer.

4.1.1 Digital Signal Processing

In order to allow the hardware to interface with a variety of devices, signal is passed over a standard 1/8” (3.5mm) TRRS audio plug, as the 1/8” TRRS audio jack has become all but the standard on consumer mobile devices. However, as standard wiring uses only the sleeve of the TRRS plug for input, it was necessary to devise a way to receive multiple input signals over a single channel. On the mobile device (currently iOS devices are supported), the signal is received as an audio input stream. Within Apple’s AudioUnit framework, the passes through a DSP chain in order to split the multiplexed signal back into its original constituent signals.

The signal is first lowpass-filtered ($F_c = 7.5\text{kHz}$) in order to remove noise introduced by pulse-width modulation from the sensor hardware. The signal is then multiplied sample-by-sample with sine waves at the frequencies of the component carrier waves established in frequency-division multiplexing in the sensor circuit. In order to address frequency and phase jitter introduced by pulse-width modulation and timer imprecision from in the sensor micro-controller unit, a phase-lock loop is established between the filtered incoming signal and software oscillators controlled by the demodulation routine. These multiplications produce sine waves with a positive DC offset at double the frequency of the initial carrier waves for each component signal. These signals are then further lowpass-filtered ($F_c = 25\text{Hz}$) to remove said sine waves and leave only the slowly varying DC offset as the final demodulated output for each signal. These demodulated outputs are then exposed to other components of the framework for recording, streaming to an external server, sharing with other devices, or visualization within the user interface.

4.2 Hardware

It was decided that the entire sensor system must fit on a single finger. In order to accomplish this, heart rate is measured using standard pulse oximetry techniques rather than a full electrocardiogram. The MobileMuse board ([9]) houses four discrete sensors. Upon further consideration, and because of the ease of design, a temperature sensor was also added to the interface. Skin temperature change (in relationship to the environment) has been shown to be indicative of long term mood and it is thought that this might prove beneficial in assessment of emotional state. A triaxial accelerometer was also added to the circuit for gestural control. While this might seem redundant, independent hand gesture might introduce interesting options not presently available with a mobile device’s onboard accelerometer or gyroscope. At minimum this enables two-handed gestural control. Furthermore, an independent accelerometer provides a means of minimizing motion artifacts, an issue to which EDA sensors are particularly susceptible.

As shown in the block diagram in Figure 5, all of the sensor signals are amplified, processed, conditioned and then fed into the ADCs of an ATmega328PU processor. The choice of this process enables use of the MobileMuse as a custom Arduino board with all of the advantages this creates—most importantly, ubiquitous software availability. The ATmega processor firmware frequency-division multiplexes the sensor signals in order to create one single audio data stream for

ease of transmission over the sleeve of the TRRS plug. The signal is then reconverted to an analog stream using the pulse-width modulation (PWM) output of the processor fed through an onboard DAC. Finally, magnetic isolation is used to remove any shock risks and to eliminate line noise.

5. PROPOSED SERIES OF EXPERIMENTS

Taking the progress made in the Emotion in Motion experiments ([7]) as a starting point, we propose a battery of experiments to explore group emotion, emotional contagion, and co-creation in a mobile environment. In particular, these experiments aim to answer the following questions:

- How does the psychophysiology of a listener as a part of a group differ from when they listen alone?
- Does a listener's simple awareness that a listening or creative exercise is collaborative alter their emotional response?
- What representations of this collaboration are most conducive to emotional contagion?
- Does a listener's awareness that a listener or creative exercise is collaborative alter their *quality of experience*? ([12], [13])
- Does a participant's awareness of their remote collaborator's emotional state(s) affect the quality of experience of collaborative music making?

To this end, we propose a sequence of iterative experiments that leverage ShEMP. In the first experiment, each participant will be equipped with a mobile phone and MobileMuse sensor. Background (including musical) and demographic information will be gathered from each participant. When a case commences, the participant will be paired with a participant in a geographically separate location. The two participants will be streamed selections of music drawn from the pool of songs with validated emotional content from the Emotion in Motion experiment. Following each song selection, participants will be guided through a self-response questionnaire regarding their quality of experience. The design of this questionnaire will be tuned based on recent results from Emotion in Motion and other SIEMPRE work around quality of experience. Throughout the process, physiological data from each participant will be captured through the attached MobileMuse.

Our initial hypothesis is that a listener's simple awareness that a listening experience is collaborative will affect their emotional response. Assuming that this hypothesis holds, the sequence of experiments will continue to explore ways to improve the collaborators' quality of experience and to make such an interaction further conducive to emotional contagion. This will be explored in successive experiments in the following ways:

- Presentation of collaborator's physiological data streams to the listener in real time
- Presentation various visualizations of collaborator's emotional response through shadow media (REF: Miwa/shadow media)

- Enabling interaction with a group of collaborators selected by the participant based on demographic, location, musical selection, etc.

If our initial hypothesis does not hold, we intend to approach the successive experiments with the aim of reshaping our initial hypothesis—seeking ways in which a shared musical experience across remote locations can indeed affect their emotional response, enhancing emotional contagion and improving quality of experience.

6. DISCUSSION

Anyone feel free to pipe up! Ben, your input would be especially useful here...

7. ACKNOWLEDGMENTS

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