

#### ARIZONA REGISTRAR OF CONTRACTORS



Douglas A. Ducey, Governor

Jeff Fleetham, Director

# LICENSE APPLICATION (CORPORATION)

#### THIS APPLICATION IS FOR CORPORATIONS ONLY

This License Application is for a corporation seeking to obtain an Arizona Contractor's License.

If the Applicant is a sole-proprietorship, use the **Sole-Proprietorship License Application Form**. A sole proprietorship is a business owned and controlled by one person.

If the Applicant is an LLC that is not owned or operated by another entity, use the LLC License Application Form.

If the Applicant is a partnership that is not owned or operated by another entity, use the <u>Partnership</u> <u>License Application Form.</u>

If the Applicant is a tiered entity, you must contact the Registrar's Licensing Department at (602) 542-1525 for assistance. A **Tiered Entity** is an entity that is owned or operated by another entity.

• For example, if "Red Corporation" is owned or operated by "Blue, LLC," "Red Corporation" would be considered a Tiered Entity for the purposes of obtaining a contractor's license.

#### STEPS TO OBTAIN A CONTRACTOR'S LICENSE

To obtain an Arizona Contractor's License, complete the following:

- 1) Identify a Qualifying Party: The Applicant must identify a Qualifying Party for the license. A Qualifying Party is a regularly employed person with the necessary experience, knowledge and skills as defined under A.R.S. § 32-1122(E).
- 2) Pass Examination(s). The Qualifying Party must pass the required exams by at least 70% and submit exam results. The Qualifying Party must complete a business management exam (BME) and a specific trade exam, unless eligible for a waiver.
  - To determine which exams are required for a specific license classification, refer to the Registrar's License Classification Requirements.
  - The Qualifying Party can register to take an exam through PSI Exams Online.
  - For information about PSI's testing procedures, refer to <u>PSI's Candidate Information</u> Bulletin.
- 3) **Submit to Background Checks.** The Qualifying Party listed in <u>Part 2</u>, and each individual listed in <u>Part 3</u>: <u>Persons</u> in this License Application Form must submit **c**opies of the payment transaction receipt from their <u>background checks</u>.
- 4) **Form a Legal Entity.** Form or register a Corporation with the <u>Arizona Corporation Commission</u>.
- 5) **Bond.** The Applicant must obtain a license **Bond**.
- 6) Pay the Fees. Include the required fees and assessments with your License Application form.

(continued on next page)



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- 7) **Government Identification.** The Qualifying Party listed in Part 2, and each individual listed in Part 3: Persons in this License Application Form must submit a copy of their government issued identification with the application. Acceptable forms of identification include a valid driver's license or passport.
- 8) **Complete and Submit This Application.** Complete and submit this License Application Form to the Registrar using one of the following methods:

Mail this application to: Registrar of Contractors

P.O. Box 6748

Phoenix, AZ 85005-6748

Deliver this application to: Registrar of Contractors

1700 W. Washington Street, Suite 105

Phoenix, AZ 85007-2812

#### **W**AIVERS

Some of the requirements listed above may be waived depending on an Applicant's past experience in the contracting field. To determine if a waiver applies to your application, refer to the Registrar's online Waiver Eligibility page.

#### **AGENCY DISCLOSURE**

Pursuant to A.R.S. § 41-1030(G), the Registrar provides the following disclosures:

- A.R.S. § 41-1030(B): An agency shall not base a licensing decision in whole or in part on a licensing requirement or condition that is not specifically authorized by statute, rule or state tribal gaming compact. A general grant of authority in statute does not constitute a basis for imposing a licensing requirement or condition unless a rule is made pursuant to that general grant of authority that specifically authorizes the requirement or condition.
- A.R.S. § 41-1030(D): This section may be enforced in a private civil action and relief may be
  awarded against the state. The court may award reasonable attorney fees, damages and all fees
  associated with the license application to a party that prevails in an action against the state for a
  violation of this section.
- A.R.S. § 41-1030(E): A state employee may not intentionally or knowingly violate this section.
   A violation of this section is cause for disciplinary action or dismissal pursuant to the agency's adopted personnel policy.
- A.R.S. § 41-1030(F): This section does not abrogate the immunity provided by § 12-820.01 or 12-820.02.

\*\*\*DO NOT SUBMIT THESE INSTRUCTIONS WITH YOUR APPLICATION\*\*\*

Form
RC-L-200C

# LICENSE APPLICATION (CORPORATION)

For Internal Use Only
D 1: //
Pending #

## **PART I: APPLICANT INFORMATION**

To avoid <b>delay</b> or <b>denial</b> , the Applicant must comp  • An <b>Authorized Representative</b> is a person  • The business address you provide will be publication of the applicant's place address. A.R.S. §§ 32-1122(B)(1)(b)  • To determine the appropriate License Class Classifications located at https://roc.az.gov/li	n with icly avapplication of baseling with the windows the windows with the	the authority to signaliable on the Regisant must provide the susiness if it is different becausifications.	n on behal trar's web eir mailing erent fron ox 6, refer	of the Corporation. site. address and the address the applicant's mailing to the Registrar's License
I. Corporation Name		2. Fictitious Trade Name (i.e	. DBA), if appl	icable.
3. Authorized Representative's Name		4. Arizona Corporation Cor	nmission File N	Number
6. Requested License Classification Description			7. Federal En	nployer Identification Number (EIN)
8. Business Address (No PO Boxes or Private Mail Boxes)	City		State	Zip Code
9. Mailing Address (If different than business address)	City		State	Zip Code
10. Phone Number	ail Addre	ess		
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Rev. March 12, 2018

## **PART 2: QUALIFYING PARTY**

#### **QUALIFYING PARTY**

The "Qualifying Party" is a person who is regularly employed by the Applicant and is actively engaged in the classification of work for which the person qualifies on behalf of the Applicant. The Qualifying Party must have the necessary experience, knowledge and skills to supervise or perform the contracting work.

#### A.R.S. § 32-1127

The Qualifying Party listed below may be listed as the qualifying party for up to two licenses, but only when:

- 1. There is a common ownership of at least twenty-five per cent of each licensed entity for which the person acts in a qualifying capacity.
- 2. One licensee is a subsidiary of another licensee for which the same person acts in a qualifying capacity.

  "Subsidiary" as used in this section means a corporation of which at least twenty-five per cent is owned by the other licensee.

other licensee.						
I. Name as it appears on your government issued ID			2. Title/Position			3. Ownership %
4. Date of Birth (MM/DD/YYYY)	5. Driver's L	icense or Govern	ment ID No.		6. Social Security I	Number
7. Residential Address		City	State			Zip Code
8. Mailing Address (If different than residential address)		City	5	State		Zip Code
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"Notifications" include renewal notices an will not be excluded from receiving notifications.						
RELEVANT EXPERIENCE  The Qualifying Party must demonstrate sufficient experience as required by the desired license classification. The required amount of experience can be determined by referring to the License Classification Requirements table. Technical training, military service, diplomas, or certifications may be submitted to substantiate experience, or a portion of experience.  • Under A.R.S. § 32-1122(E)(1), at least two years of experience must be earned within the last ten years prior to applying for a license.  • If additional space is needed, complete and attach as many "Additional Relevant Experience" pages as necessary.						
Business Name of Employer or "Self-Employed"						
2. Duration of Experience (e.g. "I/I/2007 through I/I/2	2017")		3. Ave	erage Ho	ours Worked Per V	Veek
4. Position(s)		5. Size of	Projects Qualify	ing Part	zy Worked On (Sqi	uare Foot and/or Dollar Amount)
6. Description of Qualifying Party's Main Duties						

1700 W. Washington Street, Suite 105 • Phoenix AZ 85007-2812 602.542.1525 • Within AZ 877.692.9762 • Fax 602.542.1599 • www.roc.az.gov

	FYPERIF	ENCE REFERE	NCF			
Include the name and contact information	for a reference who	can verify the Q	ualifying Part			
direct, <b>first-hand knowledge</b> of the Qua <b>application</b> .	alifying Party's experie	ence. <u>The refer</u>	ence canno	ot be a pers	on named on the license	
7 Reference's Name	8. Reference's Relat	ionship to Qualifyii	ng Party (e.g. "C	Co-worker"; "E	mployer"; "Supervisor")	
9. References Mailing Address		City		State	Zip Code	
10. Reference's Phone Number		II. Referenc	e's E-mail			
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The Qualifying Party must demonstrate su						
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or certifications may be submitted to subst • Under A.R.S. § 32-1122(E)(1),				vithin the last	t ten years brior to abblying fo	or a
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<ul> <li>If additional space is needed, co</li> </ul>	mplete and attach as	s many "Additio	nal Relevant	Experience" ¡	bages as necessary.	
1. Business Name of Employer or "Self-Employed"						
2. Duration of Experience (e.g. "1/1/2007 through	1/1/2017")		3. Average H	lours Worked I	Per Week	
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6. Description of Qualifying Party's Main Duties						
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Include the name and contact information direct, <b>first-hand knowledge</b> of the Qua	for a reference who	can verify the Q	ualifying Part	y's experienc	e. The reference must have	
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9. References Mailing Address	·	City		State	Zip Code	
10. Reference's Phone Number		II. Reference	e's E-mail			

License Application (Corporation)
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#### **PART 3: PERSONS**

# COMPLETE AND ATTACH ADDITIONAL PART 3s AS NECESSARY TO PROVIDE THE INFORMATION FOR ALL OF THE FOLLOWING PERSONS ON THE LICENSE.

- President, Vice-President, Secretary, Treasurer, or the functional equivalent of these officers;
- Owners of at least twenty-five percent of the stock or beneficial interest of the corporation; and
- Directors.

This information is required under A.R.S. § 32-1122(B)(1)(d).

Every person listed on this application must be 18 years of age or older and must sign this application form under <u>Part 6: Signatures</u>.

#### **Arizona Corporation Commission Documents**

All persons listed on the entity documents filed with the Arizona Corporation Commission must be listed in this section. Entity documents can be located using the Corporation Commission's <u>search tool</u> located at http://ecorp.azcc.gov/Search.



Note: This application is only for corporations owned solely by individuals.



• If any corporation, LLC, partnership, trust, or other business organization is listed on the applying entity's Arizona Corporation Commission documents, then you must contact the Registrar's Licensing Department at (602) 542-1525 for assistance.

		OFFICER				
The Registrar requires all of the information	on below for a	all officers.				
I. Name as it appears on your government-Issued ID						
2. Title/Position	3. Ownership %	6	4. Date of Birth (MM/DD/YYYY)			
					,	
5. Driver's License or Government ID No.			1 / 5-	cial Security Number		
5. Driver's License or Government ID No.			6. 30	cial Security Number		
7. Business or Residential Address		City		State	Zip Code	
8. Mailing Address (If different than business or resident	tial address)	City		State	Zip Code	
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		OFFICER			
The Registrar requires all of the information	on below for	all officers.			
I. Name as it appears on your government-Issued ID					
2. Title/Position		3. Ownership 5	4. Date of Birth (MM/DD)		(MM/DD/YYYY)
5. Identification No. (Driver's License or Government I	D No.)		6. Soc	ial Security Number	•
7. Business or Residential Address		City		State	Zip Code
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The Registrar requires all of the information	on below for	<b>OFFICER</b> all officers.					
Name as it appears on your government-Issued ID							
		T					
2. Title/Position		3. Ownership S	%		4. Date of Bir	rth (MM/DD/\	(YYY)
5. Identification No. (Driver's License or Government	D No.)			6. Socia	 al Security Numl	ber	
7. Business or Residential Address		City			State		Zip Code
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		OWNER					
The Registrar requires all of the informating the corporation. If a 25% owner's information. If there is insufficient room to with this application.	formation wa	each owner o	disclos	ed in F	Part 3, it is	not neces	sary to re-enter their
I. Name as it appears on your government-Issued ID							
2. Title/Position		3. Ownership	2/		4 Date of Bir	rth (MM/DD/)	(YYY)
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"Notifications" include renewal notices and monthly newsletters. By consenting to receive notifications via text or email, you will not be excluded from receiving notifications by regular mail. You consent by entering the information above.							

OWNER  The Registrar requires all of the information below for each owner of at least 25% or more of the stock or beneficial interest in the corporation. If a 25% owner's information was previously disclosed in Part 3, it is not necessary to re-enter their information. If there is insufficient room to list every owner of at least 25%, print out, complete, and submit additional pages with this application.  I. Name as it appears on your government-Issued ID						
2. Title/Position		3. Ownership S	<b>%</b>	4. Date of E	Birth (MM/DD/YYYY)	
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		DIRECTOR	2			
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Name as it appears on your government-Issued ID	,	<u> </u>	, ,			
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8. Mailing Address (If different than business or residen	ntial address)	City		State	Zip Co	de
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13. I consent to receive notifications						
text messaging at the following telephone number						

**License Application (Corporation)** Form RC-L-200C Page 7 of 11 Rev. March 12, 2018

<b>DIRECTOR</b> The Registrar requires all of the information below for each Director of the Corporation. If a Director's information was previously disclosed in Part 3, it is not necessary to re-enter their information. If there is insufficient room to list every Director, print out, complete, and submit additional pages with this application.					
I. Name as it appears on your government-Issued ID					
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2. Title/Position		3. Ownership %		4. Date of Birth	(MM/DD/YYYY)
5. Identification No. (Driver's License or Government ID No.) 6. Social Security Number			r		
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7. Business or Residential Address		City	I	State	Zip Code
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2. Title/Position		3. Ownership %		4. Date of Birth	(MM/DD/YYYY)
5. Identification No. (Driver's License or Government I	D No.)		6. Soci	al Security Numbe	r
7. Business or Residential Address		City		State	Zip Code
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# **PART 4: DISCLOSURES**

Workers' Compensation Compliance		
Under A.R.S. § 32-1122(B), to obtain or renew a license, an applicant must demonstrate		
compliance with the statutes or rules governing workers' compensation insurance. Failure to		
comply with these statutes or rules is a violation of A.R.S. § 32-1154(A)(4). Possible methods of		
compliance include:		
• Insurance Carrier: Obtaining Workers' Compensation with an insurance carrier		
authorized by the Director of Insurance to write workers' compensation insurance in		
this state; or		
• Self-Insured: Obtaining a "Resolution of Authorization" from the Industrial		
Commission of Arizona to act as a self-insurer for payment of Workers' Compensation		
benefits to employees; or		
• <b>Exemption:</b> Being exempt from the statutes or rules governing Workers'		
Compensation by being self-employed and not employing workers.	Circle	One
I. The Applicant is in compliance with the statutes or rules governing Workers'	(Vaa)	(NI=)
Compensation insurance. Note: Supporting documentation is not required.	(Yes)	(140)
PRIOR LICENSE INFORMATION	Circle	One
2. Has the Qualifying Party ever been named on a license in any state that was revoked		
or is currently suspended? Failure to accurately answer this question may be a material	(Yes)	(No)
misrepresentation of fact and a violation of A.R.S. § 32-1154(Å)(5).		` ,
3. Has any individual listed in Part 3: Persons of this application ever been named on a		
license in any state that was revoked or is currently suspended? Failure to accurately	(Voc)	(NIa)
answer this question may be a material misrepresentation of fact and a violation of A.R.S.	(Yes)	(No)
§ 32-1154(A)(5).		
FELONY CHARGES		
Answering 'yes' does not automatically disqualify the Applicant from receiving a contractor's		
license.	Circle	One
4. Has the Qualifying Party listed in Part 2 ever been convicted of a felony? If 'yes' is		
selected, the Qualifying Party must complete and attach the <u>Felony Disclosure Form</u> with this	(Yes)	(No)
application.	(Yes)	(No)
<ul><li>application.</li><li>5. Does the Qualifying Party listed in Part 2 have a pending felony charge that has not</li></ul>		
application.	(Yes)	
<ul> <li>application.</li> <li>5. Does the Qualifying Party listed in Part 2 have a pending felony charge that has not yet received a disposition? If 'yes' is selected, the Qualifying Party must complete and attach the Felony Disclosure Form with this application.</li> </ul>		
<ul> <li>application.</li> <li>5. Does the Qualifying Party listed in Part 2 have a pending felony charge that has not yet received a disposition? If 'yes' is selected, the Qualifying Party must complete and attach the Felony Disclosure Form with this application.</li> <li>6. Has any individual listed in Part 3: Persons of this application ever been convicted of a</li> </ul>	(Yes)	(No)
<ul> <li>application.</li> <li>5. Does the Qualifying Party listed in Part 2 have a pending felony charge that has not yet received a disposition? If 'yes' is selected, the Qualifying Party must complete and attach the Felony Disclosure Form with this application.</li> </ul>		(No)
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<ul> <li>application.</li> <li>5. Does the Qualifying Party listed in Part 2 have a pending felony charge that has not yet received a disposition? If 'yes' is selected, the Qualifying Party must complete and attach the Felony Disclosure Form with this application.</li> <li>6. Has any individual listed in Part 3: Persons of this application ever been convicted of a felony? If 'yes' is selected, that individual must complete and attach the Felony Disclosure Form with this application.</li> <li>7. Does any individual listed in Part 3: Persons of this application have a pending felony charge that has not yet received a disposition? If 'yes' is selected, that individual must</li> </ul>	(Yes)	(No) (No)
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1700 W. Washington Street, Suite 105 ● Phoenix AZ 85007-2812 602.542.1525 ● Within AZ 877.692.9762 ● Fax 602.542.1599 ● <u>www.roc.az.gov</u>

# **PART 5: REQUIRED DOCUMENTS**



Before you submit your application, please review the following checklist.

Missing documents will delay the processing of your application.

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Review	the License Application and ensure that it contains the following:
	<b>Exam Results.</b> The Qualifying Party's original exam results, or a <b>Completed Waiver Form</b> .
	<b>Background Checks.</b> Copies of the payment transaction receipt from the <u>background check</u> for every individual named in <u>Part 3: Persons</u> and the Qualifying Party.
	Papers for Legal Entity. A copy of the corporate articles, or equivalent operating agreement.
	Bond. Completed original Bond Verification Form.
	<b>Fees.</b> The required <u>application fee</u> , <u>licensing fee and for dual or residential licenses also include the recovery fund assessment</u>
	<b>Government-Issued Identification.</b> The Qualifying Party listed in Part 2, and each individual named in Part 3: Persons in this License Application must submit a legible copy of a government issued photo identification with the application. Acceptable forms of identification include a valid driver's license or passport.
	Signatures. Completed Signatures section (see next page).
SUPPL	EMENTAL DOCUMENTS – Attach the following documents if necessary.  Additional Part 2s: If there is insufficient space to enter all of the required information in Part 2
	of this application, print out, complete, and attach additional Part 3s to your application.
	<b>Additional Part 3s:</b> If there is insufficient space to enter all of the required information in Part 3 of this application, print out, complete, and attach additional Part 3s to your application.
	<b>License Cancellation Form.</b> If you currently have a license that you wish to cancel upon the issuance of a new license, complete and attach a <u>License Cancellation Form</u> .
	<b>Felony Disclosure Forms.</b> If 'yes' is selected for any of the <u>Felony Charges questions</u> under, <u>Part 4</u> , attach signed and completed <u>Felony Disclosure Forms</u> and supporting documentation.
	<b>Unlicensed Activity Disclosure Forms.</b> If 'yes' is selected for any of the <u>Unlicensed Activity</u> <u>questions</u> under <u>Part 4</u> , attach signed and completed <u>Unlicensed Activity Disclosure Forms</u> and documentation of remedial measures.
	<b>Letter Regarding Restricted License.</b> To obtain a restricted license approval an Applicant must have prior written approval from the Registrar. The Applicant must submit the letter from the Registrar approving a "restricted license," if applying for a restricted license.
	Solar Warranty. A copy of the solar warranty (if applying for a solar license)

#### **PART 6: SIGNATURES**

By signing below, each person certifies that the entire contents of this License Application Form, including all supplementary statements and materials attached, are true and correct, and that this application is not submitted with the intent to evade Chapter 10, Title 32 of the Arizona Revised Statutes. A.R.S. § 32-1154(A)(9). It is a violation of A.R.S. § 32-1154(A)(5) to make a misrepresentation of a material fact in obtaining a license.

Applicant           The authorized representative listed in Part 1: Applicant Information must sign this application.								
Print Name	Signature	Date						
Qualifying Party The Qualifying Party listed under Part 2: Qualifying Party must sign this application.								
Print Name	Signature	Date						
Persons  Every person listed under Part 3: Persons must sign this application. If you need additional space for signatures, complete and attach additional signature pages with your application.								
Print Name	Signature	Date						
Print Name	Signature	Date						
Print Name	Signature	Date						
Print Name	Signature	Date						
Print Name	Signature	Date						

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#### **LICENSE BOND**

#### THIS BOND MUST BE ON FILE WITH THE ARIZONA REGISTRAR OF CONTRACTORS

# STATE OF ARIZONA REGISTRAR OF CONTRACTORS

			BOND NO:		
That					
as the principal, and					
a corporation, duly authorized and licensed to transact surety be of Arizona for the benefit of those persons described in A.R.S. license described:	(Surety) ousiness in the				
LICENSE CLASSIFICATION			PENAL SUM		
The Principal has applied to the Registrar of Contractors of the under the above-described classifications and submits this bor are incorporated herein as though fully set forth.					
Liability under this bond is limited to the penal sum for each classification shall be determined strictly in accordance with therein as though fully set forth.					
Upon making payment to a claimant against the bond, the Sure of Contractors of the date and amount of payment.	ety shall imme	ediately give w	ritten notice to the P	Principal and the Registrar	
The amount of this bond is based on the representation of the R4-9-112.	Principal of th	e anticipated a	annual gross volume	e of work pursuant to Rule	
This bond becomes effective on	day of		, 20	_•	
SIGNED, SEALED AND DATED	day of		, 20	_•	
	<u>!</u>	Ву:			
Signature of Contractor (Principal)	;	Signature Atto	orney-In-Fact (Mus	t be Notarized)	
Title of Cience		By:	Name of Attorney-	In Foot	
Title of Signer			-	e me this	
Print or Type Name of Contractor (Principal)					
Trini or Type Name of Contractor (Trinoipal)	·	uay 01	, 20_	<del></del> -	
THE ORIGINAL BOND MUST BE SIGNED BY THE		Notary Public	;		
PRINCIPAL, ATTORNEY-IN-FACT AND THE NOTARY PUBLIC AND BE FILED WITH THE		My Commission Expires:			
REGISTRAR OF CONTRACTORS AT: 1700 W. Washington St. Ste. 105, PHOENIX, AZ					
85007-2812, TO COMPLY WITH A.R.S. § 32-1152 Mail to: P.O. Box 6688, Phoenix, AZ 85005-6688		County of:			