

# 1. Counting Inversions

## 1.1. Brute Force Algorithm

Here is the algorithm I designed for counting inversions

```

ALGORITHM InversionsBruteForce( $A[0..n - 1]$ )
    // c keeps track of the inversions
    // A is an array of integers, length 0 to n-1
     $c \leftarrow 0$ 
    for  $i \leftarrow 0$  to  $n - 2$  do
        for  $j \leftarrow i + 1$  to  $n - 1$  do
            if  $A[i] > A[j]$ :
                 $c \leftarrow c + 1$ 
    return  $c$ 

```

The common operation is comparison, it occurs once every inner loop, therefore I can set up a sum to count it.

$$\begin{aligned}
 & \sum_{i=0}^{n-2} \sum_{j=i+1}^{n-1} (1) \\
 &= \sum_{i=0}^{n-2} ((n-1) - (i+1) + 1) \quad (\text{upper} - \text{lower} + 1) \\
 &= \sum_{i=0}^{n-2} (n-1-i-1+1) \\
 &= \sum_{i=0}^{n-2} (n-i-1) \\
 &= \sum_{i=0}^{n-2} (n) - \sum_{i=0}^{n-2} (i) - \sum_{i=0}^{n-2} (1) \\
 &= (n-1)(n) - \left(\frac{(n-2)(n-1)}{2}\right) - (n-1) \\
 &= n^2 - n - \left(\frac{n^2-3n+2}{2}\right) - n + 1 \\
 &= n^2 - 2n - \frac{1}{2}n^2 + \frac{3}{2}n - 1 + 1 \\
 &= \frac{1}{2}n^2 - \frac{1}{2}n \\
 &\Theta(n^2)
 \end{aligned}$$

There is no best/worst case, only the average case.

Therefore the algorithm is in  $\Theta(n^2)$

## 1.2. Divide & Conquer

\* Modified merge sort algorithm discussed in class

```

ALGORITHM InversionsDivideConquer( $D[0..n - 1]$ )
    if  $n = 1$  then
        return 0

    // c keeps track of the inversions
    // m is the middle index of the array
     $c \leftarrow 0$ 

```

```

    m ← floor(n/2)
    // partition D into 2 sub arrays, L & R
    copy D[0.. m - 1] to L[0.. p]
    copy D[m.. n - 1] to R[m.. q]

    // Add inversions from partitions
    c ← c + InversionsDivideConquer(L[0.. p])
    c ← c + InversionsDivideConquer(R[m.. q])

    // Add theoretical # of inversions
    c ← c + (p × q)

    while i < p and j < q do
        if L[i] < R[j] then
            D[k] ← L[i]
            k ← k + 1
            i ← i + 1
            // Remove false inversions
            c ← c - (q - j)
        else
            D[k] ← R[j]
            k ← k + 1
            j ← j + 1
    // copy the rest of either R or L
    if j < q then
        copy R[j.. q] to D[k.. n - 1]
    else
        copy L[i.. p] to D[k.. n - 1]
    return c

```

**Setup Recurrence:**

\* Basic operation is comparison, best case has  $\frac{n}{2}$ .

$$C(n) = C\left(\frac{n}{2}\right) + C\left(\frac{n}{2}\right) + \frac{n}{2}$$

$$= 2C\left(\frac{n}{2}\right) + \frac{n}{2}$$

with base case  $C(1) = 0$  or  $n = 2^k$  with  $k = 0$

$$C(2^k) = 2C(2^{k-1}) + 2^{k-1}$$

$$C(2^k) = 2[2C(2^{k-2}) + 2^{k-2}] + 2^{k-1} = 2^2C(2^{k-2}) + 2^{k-2} + 2^{k-1}$$

$$C(2^k) = 2^2[2C(2^{k-3}) + 2^{k-3}] + 2^{k-2} + 2^{k-1} = 2^3C(2^{k-3}) + 2^{k-3} + 2^{k-2} + 2^{k-1}$$

Clear pattern emerges:

$$C(2^k) = 2^i C(2^{k-i}) + \sum_{j=0}^i (2^{k-j})$$

$$C(2^k) = 2^i C(2^{k-i}) + \sum_{j=0}^i (2^k 2^{-j})$$

$$C(2^k) = 2^k C(2^{k-k}) + 2^k \sum_{j=0}^k (2^{-j})$$

$$C(2^k) = 2^k C(2^0) + 2^k (2^{-1})(2^{-k-1} - 1)$$

$$C(2^k) = 2^k C(2^0) + 2^k (2^{-1})(2^{-k-1} - 1)$$

$$C(2^k) = 2^k [0] + 2^{-2} - 2^k$$

$$C(2^k) = 2^{-2} - 2^k$$

$$C(n) = \frac{1}{4} - \log_2 n$$

Using master theorem:

$$C(n) = 2C\left(\frac{n}{2}\right) + \frac{n}{2}$$

$$a = 2, b = 2, d = 1$$

Since  $a = b^2$  ( $2 = 2^1$ ) then  $C(n) \in \Theta(n \log n)$

Although mine did not match the master theorem (calculation error), I believe the theorem and the algorithm to be in  $\Theta(n \log n)$

## 1.3 Implementation

Brute Force average: 10,505,521ms

Divide & Conquer average: 18,604ms

## 2. Convex Hull

### 2.1. Convex Hull Brute force

This is the algorithm I was able to come up with.

```

ALGORITHM ConvexHullBruteforce( $P[0..n-1]$ )
    //  $l$  counts the number of points to the left of the line
    //  $r$  counts the number of points to the right of the line
    for  $i \leftarrow 0$  to  $n-2$  do
        for  $j \leftarrow i+1$  to  $n-1$  do
             $l \leftarrow 0$ 
             $r \leftarrow 0$ 
             $L \leftarrow$  line from  $P[i]$  to  $P[j]$ 

            for  $k \leftarrow 0$  to  $n-1$  do
                if  $k = i$  or  $k = j$  then
                    continue
                else if  $P[k]$  left of  $L$  then
                     $l \leftarrow l + 1$ 

```

```

        else if  $P[k]$  right of  $L$  then
             $r \leftarrow r + 1$ 

        if  $l > 0$  and  $r > 0$  then
            break
        // xor because we want exclusivity
        if  $l = 0$  xor  $r = 0$  then
            add  $P[i]$  to  $S$ 
            add  $P[j]$  to  $S$ 

    return  $S$ 

```

I found that I can set up a sum to evaluate the efficiency class:

$$\begin{aligned}
 & \sum_{i=0}^{n-2} \sum_{j=i+1}^{n-1} \sum_{k=0}^{n-1} (2) \\
 &= \sum_{i=0}^{n-2} \sum_{j=i+1}^{n-1} (2n) \\
 &= \sum_{i=0}^{n-2} (2n \cdot \sum_{j=i+1}^{n-1} (1)) \\
 &= \sum_{i=0}^{n-2} (2n \cdot ((n-1) - (i+1) + 1)) \\
 &= \sum_{i=0}^{n-2} (2n(n-1-i-1+1)) \\
 &= 2n[\sum_{i=0}^{n-2} (n-1-i)] \\
 &= 2n[\sum_{i=0}^{n-2} (n) - \sum_{i=0}^{n-2} (1) - \sum_{i=0}^{n-2} (i)] \\
 &= 2n[n(n-1) - (n-1) - \frac{(n-2)(n-1)}{2}] \\
 &= 2n[n^2 - n - n + 1 - \frac{1}{2}n^2 + \frac{3}{2}n - 1] \\
 &= 2n[\frac{1}{2}n^2 - \frac{1}{2}n] \\
 &= n^3 - n^2
 \end{aligned}$$

$$n^3 - n^2 \in \Theta(n^3)$$

Therefore the algorithm is in  $\Theta(n^3)$

## 2.2. Convex Hull

- The convex hull algorithm I designed is split into 2 parts; the first is the *QuickHull* function that does a few things like find the minimum/maximum x points, and partition the points into the upper & lower hulls.
- The second part (*UpperHull*) finds the maximum ( $m$ ) part away from the line ( $l, r$ ), then partitions points into the line from  $l - to - m$  and  $m - to - r$ .

\* NOTE: Wasn't sure how to represent the basic operation (multiplication) in pseudo code since it happens in a more *abstract way* so I've left comments indicating where multiplication happens.

```
ALGORITHM UpperHull( $P[0..n-1]$ ,  $\bar{L}$ )
```

```

// P is the dataset we are working with
// L is the line to compare the points to  $P_l \bar{P}_r$ 
 $S \leftarrow []$  // empty hull
if  $n = 0$  then
    return  $S$ 
else if  $n = 1$  then
     $S[0] \leftarrow P[0]$ 
    return  $S$ 

// (A) Find point of maximum distance from line
 $d \leftarrow 0$ 
for  $i \leftarrow 0$  to  $n - 1$  do
     $t \leftarrow$  distance from  $P[i]$  to  $\bar{L}$  // *5 multiplications here
    if  $t > d$  then
        swap  $P[0]$  and  $P[i]$ 
         $d \leftarrow t$ 

// Create 2 new lines that represent the left Point to the max,
// and the right point on the line to the max
 $L_{l,m}^- \leftarrow$  line from  $P_l$  to  $P[0]$  // *2 multiplications
 $L_{m,r}^- \leftarrow$  line from  $P[0]$  to  $P_r$  // *2 multiplications

 $l \leftarrow 1, \quad r \leftarrow n - 1, \quad i \leftarrow 0$ 
// (B) find all points left outside of  $L_{m,r}^-$  and partition them to end of P
while  $i < r$  do
     $s \leftarrow$  side of  $P[i]$  on  $L_{m,r}^-$  // *2 multiplications here
    if  $s = outside$  then
         $r \leftarrow r - 1$ 
        swap  $P[i]$  and  $P[r]$ 
    else
         $i \leftarrow i + 1$ 

// (C) find all points outside of  $L_{l,m}^-$  and partition them to start of P
 $i \leftarrow r - 1$ 
while  $i \geq l$  do
     $s \leftarrow$  side of  $P[i]$  on  $L_{l,m}^-$  // *2 multiplications here
    if  $s = outside$  then
        swap  $P[i]$  and  $P[l]$ 
         $l \leftarrow l + 1$ 
    else
         $i \leftarrow i - 1$ 

```

```

// recursively call upper hull with partitions for left line and right line
left ← UpperHull( $P[1..l - 1]$ ,  $L_{l,m}^-$ )
right ← UpperHull( $P[r..n - 1]$ ,  $L_{m,r}^-$ )
append left to  $S$ 
append right to  $S$ 
return  $S$ 

```

```

ALGORITHM QuickHull( $P[0..n - 1]$ )
// let  $P[0]$  represent the point with the minimum x value
// let  $P[n - 1]$  represent the point with the maximum x value
// find the greatest and smallest x values
for  $i \leftarrow 1$  to  $n - 2$  do
    if  $P[i].x > P[0].x$  then
        swap  $P[0]$  and  $P[i]$ 
    else if  $P[i].x < P[n - 1].x$  then
        swap  $P[n - 1]$  and  $P[i]$ 

// let  $S$  represent the convex hull
add  $P[0]$  to  $S$ 
add  $P[n - 1]$  to  $S$ 

 $L_{l,m}^- \leftarrow$  line from  $P[0]$  to  $P[n - 1]$ 
 $L_{m,r}^- \leftarrow$  line from  $P[n - 1]$  to  $P[0]$ 

 $l \leftarrow 1$ 
 $r \leftarrow n - 1$ 
// organize points by splitting them into upper & lower hulls
while  $l < r$  do
     $s \leftarrow$  side of  $P[l]$  on  $L1$  // *2 multiplications here
    if  $s = (\text{below line})$  then
         $r \leftarrow r - 1$ 
        swap  $P[l]$  and  $P[r]$ 
    else
         $l \leftarrow l + 1$ 

upper ← UpperHull( $P[1..l]$ ,  $L_{l,m}^-$ )
lower ← UpperHull( $P[r..n - 1]$ ,  $L_{m,r}^-$ )
append upper to  $S$ 
append lower to  $S$ 
return  $S$ 

```

\* Common operation is multiplication

Multiplications in 1 call of UpperHull  $5n + 2n + n = 8n$

\* $n$  because in the best case, for part (C), it will only pass half way through the second while loop

$$\begin{aligned} M(n) &= M\left(\frac{n}{2}\right) + M\left(\frac{n}{2}\right) + 5n + 2n + n \\ &= 2M\left(\frac{n}{2}\right) + 8n \\ \text{With } M(1) &= 0 \end{aligned}$$

### Setup recurrence:

Base case will occur when  $n = 1$ , or  $n = 2^k$  where  $k = 0$

$$\begin{aligned} M(2^k) &= 2M(2^{k-1}) + 8(2^k) \\ M(2^k) &= 2[2M(2^{k-1}) + 8(2^k)] + 8(2^k) = 2^2M(2^{k-2}) + 8(2^{k-1}) + 8(2^k) \\ M(2^k) &= 2^2[2M(2^{k-3}) + 8(2^{k-2})] + 8(2^{k-1}) + 8(2^k) = 2^3M(2^{k-3}) + 8(2^{k-2}) + 8(2^{k-1}) + 8(2^k) \end{aligned}$$

Clear Pattern Emerges:

$$\begin{aligned} M(2^k) &= 2^i M(2^{k-i}) + \sum_{j=0}^{i-1} 8(2^{k-j}) \\ M(2^k) &= 2^i M(2^{k-i}) + \sum_{j=0}^{i-1} 8(2^k 2^{-j}) \\ M(2^k) &= 2^k M(2^{k-k}) + \sum_{j=0}^{k-1} 8(2^k 2^{-j}) \quad (\text{sub. in } i = k) \\ M(2^k) &= 2^k M(2^0) - (2^k)(2^{-1})(2^{-k} - 1) \\ M(2^k) &= 2^k M(1) - 2^{-1} 2^k \\ M(2^k) &= 2^k [0] - 2^{-1} 2^k \\ M(2^k) &= 2^{-1} 2^k \\ M(n) &= \frac{1}{2} \log_2 n \end{aligned}$$

Using master theorem:

$$M(n) = 2M\left(\frac{n}{2}\right) + 8n$$

$$a = 2, b = 2, d = 1$$

Since  $a = b^2$  ( $2 = 2^1$ ) then  $M(n) \in \Theta(n \log n)$

Although mine did not match the master theorem (calculation error), I believe the theorem and the algorithm to be in  $\Theta(n \log n)$

**Therefore the function is in the algorithm is in  $\Theta(n \log n)$**

## 2.3 Comparison

With the brute force in  $\Theta(n^3)$  and the divide and conquer in  $\Theta(n \log n)$ , we can analyze the execution times.

- Each program I executed 10 times and computed the average.

Brute force average: 31,648,750ms

Divide & Conquer average: 2,605ms

\* Please note, due to personal reasons this week I was not able to complete the full analysis, and I was able to get an extension. I put a lot of effort into the quickhull algorithm though.