

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Augustine, Rene

Special Assistant to the President and Clearance Counsel, White House

Date of Appointment: 01/20/2017

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Augustine, Rene [electronically signed on 01/14/2017 by Augustine, Rene in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

Other review conducted by

U.S. Office of Government Ethics Certification

Data Revised 03/30/2017

Data Revised 03/23/2017

Data Revised 03/13/2017

Data Revised 03/03/2017

Data Revised 03/02/2017

Data Revised 02/22/2017

Data Revised 02/21/2017

Data Revised 02/17/2017

Data Revised 02/16/2017

Data Revised 02/15/2017

Data Revised 02/14/2017

Data Revised 02/13/2017

Data Revised 02/09/2017

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	National Theatre Corp.	Washington, District of Columbia	Non-Profit	Trustee and Chair of Governance Committee	1/2017	Present
2	Trump for America	Washington, District of Columbia	Non-Profit	Counsel	12/2016	1/2017
3	CAA TRUST	BETHESDA, Maryland	Trust	CO-TRUSTEE	8/2001	Present
4	IAA TRUST	BETHESDA, Maryland	Trust	CO-TRUSTEE	8/1998	Present
5	AAA TRUST	BETHESDA, Maryland	Trust	CO-TRUSTEE	4/2005	Present
6	RENE I AUGUSTINE 2005 REVOCABLE TRUST	BETHESDA, Maryland	Trust	TRUSTEE	11/2005	Present
7	RENE I AUGUSTINE 2011 IRREVOCABLE TRUST	BETHESDA, Maryland	Trust	CO-TRUSTEE	5/2011	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	POWERSHARES SENIOR LOAN ETF BKLN	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2	DEUT X TRK MSCI WLD EX US HDG ETF IV DBAW	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	DB GOLD DOUBLE LONG ETN DGP	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	WISDOMTREE JAPAN HEDGED SC EQUITY ETF DXJS	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	ISHARES MSCI EMERGING MARKETS ETF EEM	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	ISHARES JPMORGAN USD MTSBOND ETF EMB	Yes	\$1,001 - \$15,000		\$201 - \$1,000
7	PROSHARES ULTRASHORT EURO ETF EUO	Yes	\$1,001 - \$15,000		None (or less than \$201)
8	WISDOMTREE EUR HDGD SMLCP EQT ETF EUSC	Yes	\$1,001 - \$15,000		None (or less than \$201)
9	ETFs PHYSICAL PRECIOUS MTL BSKT ETF GLTR	Yes	\$1,001 - \$15,000		None (or less than \$201)
10	SPDR S&P GLOBAL NATURAL RESOURCS ETF GNR	Yes	\$1,001 - \$15,000		None (or less than \$201)
11	WISDOMTREE EUROPE HEDGEDEQTY ETF HEDJ	Yes	\$15,001 - \$50,000		\$201 - \$1,000
12	ISHARES CURRENCY HEDG MSCI EMERG ETF HEEM	Yes	\$1,001 - \$15,000		None (or less than \$201)
13	ISHARES CURRENCY HEDGED MSCI JAP ETF HEWJ	Yes	\$1,001 - \$15,000		None (or less than \$201)
14	VANECK VECTORS EMERGING MKTS HIGH YIELD BD ETF HYEM	Yes	\$1,001 - \$15,000		\$201 - \$1,000
15	ISHARES IBOX HIGH YIELDBOND ETF HYG	Yes	\$1,001 - \$15,000		\$201 - \$1,000
16	ISHARES CORE S&P MID CAPETF IJH	Yes	\$1,001 - \$15,000		None (or less than \$201)
17	PIMCO 15+ YEAR US TIPS INDEX ETF LTPZ	Yes	\$1,001 - \$15,000		None (or less than \$201)
18	BARCLYS IPATH NOTES LKD GAS OIL INDX OIL	Yes	\$1,001 - \$15,000		None (or less than \$201)
19	SCHWAB EMERGING MARKETS EQUITY ETF SCHE	Yes	\$1,001 - \$15,000		None (or less than \$201)
20	PROSHARES ULTRAPRO SHORTS&P 500 ETF SPXU	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21	ISHARES TIPS BOND ETF TIP	Yes	\$1,001 - \$15,000		None (or less than \$201)
22	SPDR BLMBRG BRCLY LG TM TRSRY ETF TLO	Yes	\$1,001 - \$15,000		None (or less than \$201)
23	PIMCO 25YR ZERO CUPN US TRS INDX ETF ZROZ	Yes	\$1,001 - \$15,000		None (or less than \$201)
24	ISHARES IBOXB INVT GRADEBOND ETF LQD	Yes	None (or less than \$1,001)		\$201 - \$1,000
25	CASH IN IRA	N/A	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

None

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	SCH Adv Cash Resrv Prem SWZXX	Yes	\$15,001 - \$50,000		None (or less than \$201)
2	Vanguard Small Cap Index NAESX	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
3	National Basketball Association Pension	N/A	\$500,001 - \$1,000,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	POWERSHARES SENIOR LOAN ETF BKLN	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2	DEUT X TRK MSCI WLD EX US HDG ETF IV DBAW	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	DB GOLD DOUBLE LONG ETN DGP	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	WISDOMTREE JAPAN HEDGED SC EQUITY ETF DXJS	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	ISHARES MSCI EMERGING MARKETS ETF EEM	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6	ISHARES JPMORGAN USD MTSBOND ETF EMB	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7	PROSHARES ULTRASHORT EURO ETF EUO	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	WISDOMTREE EUR HDGD SMLCP EQT ETF EUSC	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9	ETFS PHYSICAL PRECIOUS MTL BSKT ETF GLTR	Yes	\$1,001 - \$15,000		None (or less than \$201)
10	SPDR S&P GLOBAL NATURAL RESOURCS ETF GNR	Yes	\$1,001 - \$15,000		None (or less than \$201)
11	WISDOMTREE EUROPE HEDGEDEQTY ETF HEDJ	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
12	ISHARES CURRENCY HEDG MSCI EMERG ETF HEEM	Yes	\$1,001 - \$15,000		None (or less than \$201)
13	ISHARES CURRENCY HEDGED MSCI JAP ETF HEWJ	Yes	\$1,001 - \$15,000		\$201 - \$1,000
14	VANECK VECTORS EMERGING MKTS HIGH YIELD BD ETF HYEM	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	ISHARES IBOXB HIGH YIELDBOND ETF HYG	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
16	ISHARES CORE S&P MID CAPETF IJH	Yes	\$1,001 - \$15,000		None (or less than \$201)
17	ISHARES S&P MID CAP 400 VALUE ETF IJJ	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
18	PIMCO 15+ YEAR US TIPS INDEX ETF LTPZ	Yes	\$15,001 - \$50,000		None (or less than \$201)
19	BARCLYS IPATH NOTES LKD GAS OIL INDX OIL	Yes	\$1,001 - \$15,000		None (or less than \$201)
20	SCHWAB EMERGING MARKETS EQUITY ETF SCHE	Yes	\$1,001 - \$15,000		None (or less than \$201)
21	PROSHARES ULTRAPRO SHORTS&P 500 ETF SPXU	Yes	\$1,001 - \$15,000		None (or less than \$201)
22	ISHARES TIPS BOND ETF TIP	Yes	\$1,001 - \$15,000		\$201 - \$1,000
23	SPDR BLMBRG BRCLY LG TM TRSRY ETF TLO	Yes	\$15,001 - \$50,000		None (or less than \$201)
24	VANGUARD 500 INDEX FD INVESTOR SHS VFINX	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
25	PIMCO 25YR ZERO CUPN US TRS INDX ETF ZROZ	Yes	\$1,001 - \$15,000		None (or less than \$201)
26	ISHARES IBOXB INVT GRADEBOND ETF LQD	Yes	None (or less than \$1,001)		\$201 - \$1,000
27	PROSHARES ULTRA 7-10 YR TREASURY ETF UST	Yes	None (or less than \$1,001)		\$201 - \$1,000
28	VANGUARD SHORT TERM COR BD ETF VCSH	Yes	None (or less than \$1,001)		\$201 - \$1,000
29	SPDR FUND CONSUMER STAPLES ETF XLP	Yes	None (or less than \$1,001)		\$201 - \$1,000
30	POWERSHARES SENIOR LOAN ETF BKLN 2005 REV TR	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31	WISDOMTREE JAPAN HEDGED EQUITY ETF DXJ 2005 REV TR	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
32	DIREXION DAILY EMERG MRKT BER 3X ETF EDZ 2005 REV TR	Yes	\$1,001 - \$15,000		None (or less than \$201)
33	ISHARES MSCI EMERGING MARKETS ETF EEM 2005 REV TR	Yes	\$50,001 - \$100,000		None (or less than \$201)
34	ISHARES JPMORGAN USD MTSBOND ETF EMB 2005 REV TR	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
35	PROSHARES ULTRASHORT EURO ETF EUO 2005 REV TR	Yes	\$50,001 - \$100,000		None (or less than \$201)
36	WISDOMTREE EUR HDGD SMLCP EQT ETF EUSC 2005 REV TR	Yes	\$50,001 - \$100,000		\$201 - \$1,000
37	SPDR GOLD SHARES ETF GLD 2005 REV TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
38	SPDR S&P GLOBAL NATURAL RESOURCS ETF GNR 2005 REV TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
39	WISDOMTREE EUROPE HEDGEDEQTY ETF HEDJ 2005 REV TR	Yes	\$100,001 - \$250,000		None (or less than \$201)
40	ISHARES CURRENCY HEDG MSCI EMERG ETF HEEM 2005 REV TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
41	ISHARES CURRENCY HEDGED MSCI JAP ETF HEWJ 2005 REV TR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
42	ISHARES CRRNCY HDG MSCI EURZN ETF IV HEZU 2005 REV TR	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
43	VANECK VECTORS EMERGING MKTS HIGH YIELD BD ETF HYEM 2005 REV TR	Yes	\$50,001 - \$100,000		\$201 - \$1,000
44	ISHARES IBOX HIGH YELDBOND ETF HYG 2005 REV TR	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
45	ISHARES GOLD ETF IAU 2005 REV TR	Yes	\$50,001 - \$100,000		None (or less than \$201)
46	ISHARES CORE S&P MID CAPETF IJH 2005 REV TR	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
47	ISHARES IBOXX INVT GRADEBOND ETF LQD 2005 REV TR	Yes	\$50,001 - \$100,000		None (or less than \$201)
48	PIMCO 15+ YEAR US TIPS INDEX ETF LTPZ 2005 REV TR	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
49	PIMCO EMRG MKTS CORP BD FD INST PEMIX 2005 REV TR	Yes	\$50,001 - \$100,000		None (or less than \$201)
50	POWERSHARES INDIA PORTFOLIO ETF PIN 2005 REV TR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
51	POWERSHARES QQQ TRUST SRS 1 ETF QQQ 2005 REV TR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
52	PROSHARES SHORT RUSSELL 2000 ETF RWM 2005 REV TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
53	PROSHARES ULTRASHORT S&P500 ETF SDS 2005 REV TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
54	SPDR S&P 500 ETF SPY 2005 REV TR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
55	ISHARES TIPS BOND ETF TIP 2005 REV TR	Yes	\$50,001 - \$100,000		\$201 - \$1,000
56	SPDR BLMBRG BRCLY LG TM TRSRY ETF TLO 2005 REV TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
57	DIR DAILY 20+ YEAR TRSRYBULL 3X ETF TMF 2005 REV TR	Yes	\$1,001 - \$15,000		None (or less than \$201)
58	DIREXION DAILY SMALL CAPBULL 3X ETF TNA 2005 REV TR	Yes	\$1,001 - \$15,000		None (or less than \$201)
59	PROSHARES ULTRA 7-10 YR TREASURY ETF UST 2005 REV TR	Yes	\$50,001 - \$100,000		\$201 - \$1,000
60	VANGUARD SMALL CAP ETF VB 2005 REV TR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
61	VANGUARD 500 INDEX FD INVESTOR SHS VFINX 2005 REV TR	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
62	VANGUARD REIT ETF VNQ 2005 REV TR	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
63	VANGUARD GLBAL EX US REAL ESTATE ETF VNQI 2005 REV TR	Yes	\$1,001 - \$15,000		\$201 - \$1,000
64	SPDR FUND CONSUMER STAPLES ETF XLP 2005 REV TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
65	SELECT SECTOR UTI SELECTSPDR ETF XLU 2005 REV TR	Yes	\$1,001 - \$15,000		\$201 - \$1,000
66	POWERSHARES SENIOR LOAN ETF BKLN 2011 IRREV TR	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
67	ISHARES MSCI MEXICO CAPPED ETF EWW 2005 REV TR	Yes	None (or less than \$1,001)		None (or less than \$201)
68	GUGGENHEIM CHINA SMALL CAP ETF HAO 2005 REV TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
69	SCHWAB ADV CASH RESERVE SWQXX 2005 REV TR	Yes	None (or less than \$1,001)		None (or less than \$201)
70	ISHARES 20+ YR TREASURY BOND ETF TLT 2005 REV TR	Yes	None (or less than \$1,001)		None (or less than \$201)
71	DEUT X TRK MSCI WLD EX US HDG ETF IV DBAW 2011 IRREV TR	Yes	\$100,001 - \$250,000		None (or less than \$201)
72	DB GOLD DOUBLE LONG ETN DGP 2011 IRREV TR	Yes	\$100,001 - \$250,000		None (or less than \$201)
73	WISDOMTREE JAPAN HEDGED SC EQUITY ETF DXJS 2011 IRREV TR	Yes	\$100,001 - \$250,000		None (or less than \$201)
74	ISHARES MSCI EMERGING MARKETS ETF EEM 2011 IRREV TR	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
75	ISHARES JPMORGAN USD MTSBOND ETF EMB 2011 IRREV TR	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
76	WISDOMTREE EUR HDGD SMLCP EQT ETF EUSC 2011 IRREV TR	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
77	ETFs PHYSICAL PRECIOUS MTL BSKT ETF GLTR 2011 IRREV TR	Yes	\$100,001 - \$250,000		None (or less than \$201)
78	SPDR S&P GLOBAL NATURAL RESOURCS ETF GNR 2011 IRREV TR	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
79	WISDOMTREE EUROPE HEDGEDEQTY ETF HEDJ 2011 IRREV TR	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
80	ISHARES CURRENCY HEDG MSCI EMERG ETF HEEM 2011 IRREV TR	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
81	ISHARES CURRENCY HEDGED MSCI JAP ETF HEWJ 2011 IRREV TR	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
82	VANECK VECTORS EMERGING MKTS HIGH YIELD BD ETF HYEM 2011 IRREV TR	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
83	ISHARES IBOX HIGH YIELDBOND ETF HYG 2011 IRREV TR	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
84	ISHARES CORE S&P MID CAPETF IJH 2011 IRREV TR	Yes	\$100,001 - \$250,000		\$201 - \$1,000
85	PIMCO 15+ YEAR US TIPS INDEX ETF LTPZ 2011 IRREV TR	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
86	BARCLYS IPATH NOTES LKD GAS OIL INDX OIL 2011 IRREV TR	Yes	\$100,001 - \$250,000		None (or less than \$201)
87	SCHWAB EMERGING MARKETS EQUITY ETF SCHE 2011 IRREV TR	Yes	\$100,001 - \$250,000		None (or less than \$201)
88	PROSHARES ULTRAPRO SHORTS&P 500 ETF SPXU 2011 IRREV TR	Yes	\$100,001 - \$250,000		None (or less than \$201)
89	ISHARES TIPS BOND ETF TIP 2011 IRREV TR	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
90	SPDR BLMBRG BRCLY LG TM TRSRY ETF TLO 2011 IRREV TR	Yes	\$100,001 - \$250,000		\$201 - \$1,000
91	PIMCO 25YR ZERO CUPN US TRS INDX ETF ZROZ 2011 IRREV TR	Yes	\$100,001 - \$250,000		None (or less than \$201)
92	ASG MGD FUTURES STRATEGY FD CL Y ASFYX 2011 IRREV TR	Yes	\$100,001 - \$250,000		None (or less than \$201)
93	CATALYST HEDGED FUTURES STRAT I HFXIX	Yes	\$100,001 - \$250,000		None (or less than \$201)
94	AQR EQTY MKT NEUTRAL FD CL I QMNIX 2011 IRREV TR	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
95	VANGUARD 500 INDEX FD INVESTOR SHS VFINX 2011 IRREV TR	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
96	VANGUARD TOTAL STOCK MARKET ETF VTI 2011 IRREV TR	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
97	ISHARES CURRENCY HEDG MSCI EMERG ETF EWW 2011 IRREV TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
98	ISHARES 3-7 YEAR TRERY BOND ETF IEI 2011 IRREV TR	Yes	None (or less than \$1,001)		None (or less than \$201)
99	SPDR S&P BANK ETF IV KBE 2011 IRREV TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
100	ISHARES IBOXB INVT GRADEBOND ETF LQD 2011 IRREV TR	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
101	PIMCO EMRG MKTS CORP BD FD INST	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
102	PIMCO EMRG MKTS CORP BD FD INST PEMIX 2011 IRREV TR	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
103	POWERSHARES INDIA PORTFOLIO ETF PIN 2011 IRREV TR	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
104	PROSHARES ULTRA QQQ ETF QLD 2011 IRREV TR	Yes	None (or less than \$1,001)		None (or less than \$201)
105	SPDR S&P 500 ETF IV SPY 2011 IRREV TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
106	PROSHARES ULTRA 7-10 YR TREASURY ETF UST 2011 IRREV TR	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
107	VANGUARD SHORT TERM COR BD ETF VCSH 2011 IRREV TR	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
108	VANGUARD REIT ETF IV VNQ 2011 IRREV TR	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
109	VANGUARD GLBAL EX US REAL EST ETF IV VNQI 2011 IRREV TR	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
110	SPDR FUND CONSUMER STAPLES ETF XLP 2011 IRREV TR	Yes	None (or less than \$1,001)		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
111	SPDR S&P RETAIL ETF XRT 2011 IRREV TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
112	POWERSHARES SENIOR LOAN ETF BKLN NFD 2003 TR	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
113	DEUT X TRK MSCI WLD EX US HDG ETF IV DBAW NFD 2003 TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
114	DB GOLD DOUBLE LONG ETN DGP NFD 2003 TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
115	WISDOMTREE JAPAN HEDGED SC EQUITY ETF DXJS NFD 2003 TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
116	ISHARES MSCI EMERGING MARKETS ETF EEM NFD 2003 TR	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
117	ISHARES JPMORGAN USD MTSBOND ETF EMB NFD 2003 TR	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
118	PROSHARES ULTRASHORT EURO ETF EUO NFD 2003 TR	Yes	\$50,001 - \$100,000		None (or less than \$201)
119	WISDOMTREE EUR HDGD SMLCP EQT ETF EUSC NFD 2003 TR	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
120	ETFS PHYSICAL PRECIOUS MTL BSKT ETF GLTR NFD 2003 TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
121	SPDR S&P GLOBAL NATURAL RESOURCS ETF GNR NFD 2003 TR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
122	WISDOMTREE EUROPE HEDGEDEQTY ETF HEDJ NFD 2003 TR	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
123	ISHARES CURRENCY HEDG MSCI EMERG ETF HEEM NFD 2003 TR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
124	ISHARES CURRENCY HEDGED MSCI JAP ETF HEWJ NFD 2003 TR	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
125	VANECK VECTORS EMERGING MKTS HIGH YIELD BD ETF HYEM NFD 2003 TR	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
126	ISHARES IBOXX HIGH YELDBOND ETF HYG NFD 2003 TR	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
127	ISHARES CORE S&P MID CAPETF IJH NFD 2003 TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
128	PIMCO 15+ YEAR US TIPS INDEX ETF LTPZ NFD 2003 TR	Yes	\$50,001 - \$100,000		\$201 - \$1,000
129	BARCLYS IPATH NOTES LKD GAS OIL INDX OIL NFD 2003 TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
130	SCHWAB EMERGING MARKETS EQUITY ETF SCHE NFD 2003 TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
131	PROSHARES ULTRAPRO SHORTS&P 500 ETF SPXU NFD 2003 TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
132	ISHARES TIPS BOND ETF TIP NFD 2003 TR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
133	SPDR BLMBRG BRCLY LG TM TRSRY ETF TLO NFD 2003 TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
134	PIMCO 25YR ZERO CUPN US TRS INDX ETF ZROZ NFD 2003 TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
135	ISHARES MSCI MEXICO CAPPED ETF EWW NFD 2003 TR	Yes	None (or less than \$1,001)		None (or less than \$201)
136	ISHARES 3-7 YEAR TRERY BOND ETF IEI NFD 2003 TR	Yes	None (or less than \$1,001)		None (or less than \$201)
137	SPDR S&P BANK ETF IV KBE NFD 2003 TR	Yes	None (or less than \$1,001)		None (or less than \$201)
138	ISHARES IBOXB INVT GRADEBOND ETF LQD NFD 2003 TR	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
139	PIMCO EMRG MKTS CORP BD FD INST PEMIX NFD 2003 TR	Yes	None (or less than \$1,001)		None (or less than \$201)
140	POWERSHARES INDIA PORTFOLIO ETF PIN NFD 2003 TR	Yes	None (or less than \$1,001)		None (or less than \$201)
141	PROSHARES ULTRA QQQ ETF QLD NFD 2003 TR	Yes	None (or less than \$1,001)		None (or less than \$201)
142	SPDR S&P 500 ETF IV SPY NFD 2003 TR	Yes	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
143	PROSHARES ULTRA 7-10 YR TREASURY ETF UST NFD 2003 TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
144	VANGUARD SHORT TERM COR BD ETF VCSH NFD 2003 TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
145	VANGUARD REIT ETF IV VNQ NFD 2003 TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
146	VANGUARD GLBAL EX US REAL EST ETF IV VNQI NFD 2003 TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
147	SPDR FUND CONSUMER STAPLES ETF XLP NFD 2003 TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
148	SPDR S&P RETAIL ETF XRT NFD 2003 TR	Yes	None (or less than \$1,001)		None (or less than \$201)
149	POWERSHARES SENIOR LOAN ETF BKLN MFD 2003 TR	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
150	ISHARES MSCI EMERGING MARKETS ETF EEM MFD 2003 TR	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
151	ISHARES JPMORGAN USD MTSBOND ETF EMB MFD 2003 TR	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
152	WISDOMTREE EUR HDGD SMLCP EQT ETF EUSC MFD 2003 TR	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
153	ISHARES MSCI MEXICO CAPPED ETF EWW MFD 2003 TR	Yes	None (or less than \$1,001)		None (or less than \$201)
154	SPDR S&P GLOBAL NATURAL RESOURCS ETF GNR MFD 2003 TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
155	WISDOMTREE EUROPE HEDGEDEQTY ETF HEDJ MFD 2003 TR	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
156	ISHARES CURRENCY HEDG MSCI EMERG ETF HEEM MFD 2003 TR	Yes	None (or less than \$1,001)		None (or less than \$201)
157	ISHARES CURRENCY HEDGED MSCI JAP ETF HEWJ MFD 2003 TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
158	VANECK VECTORS EMERGING MKTS HIGH YIELD BD ETF HYEM MFD 2003 TR	Yes	None (or less than \$1,001)		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
159	ISHARES IBOXB HIGH YIELDBOND ETF HYG MFD 2003 TR	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
160	ISHARES 3-7 YEAR TRERY BOND ETF IEI MFD 2003 TR	Yes	None (or less than \$1,001)		None (or less than \$201)
161	ISHARES CORE S&P MID CAPETF IJH MFD 2003 TR	Yes	None (or less than \$1,001)		None (or less than \$201)
162	SPDR S&P BANK ETF IV KBE MFD 2003 TR	Yes	None (or less than \$1,001)		None (or less than \$201)
163	ISHARES IBOXB INVT GRADEBOND ETF LQD MFD 2003 TR	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
164	PIMCO 15+ YEAR US TIPS INDEX ETF LTPZ MFD 2003 TR	Yes	None (or less than \$1,001)		None (or less than \$201)
165	PIMCO EMRG MKTS CORP BD FD INST PEMIX MFD 2003 TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
166	POWERSHARES INDIA PORTFOLIO ETF PIN MFD 2003 TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
167	PROSHARES ULTRA QQQ ETF QLD MFD 2003 TR	Yes	None (or less than \$1,001)		None (or less than \$201)
168	SPDR S&P 500 ETF IV SPY MFD 2003 TR	Yes	None (or less than \$1,001)		None (or less than \$201)
169	ISHARES TIPS BOND ETF TIP MFD 2003 TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
170	PROSHARES ULTRA 7-10 YR TREASURY ETF UST MFD 2003 TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
171	VANGUARD SHORT TERM COR BD ETF VCSH MFD 2003 TR	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
172	VANGUARD REIT ETF IV VNQ MFD 2003 TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
173	VANGUARD GLBAL EX US REAL EST ETF IV VNQI MFD 2003 TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
174	SPDR FUND CONSUMER STAPLES ETF XLP MFD 2003 TR	Yes	None (or less than \$1,001)		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
175	SPDR S&P RETAIL ETF XRT MFD 2003 TR	Yes	None (or less than \$1,001)		None (or less than \$201)
176	POWERSHARES SENIOR LOAN ETF BKLN NFD 2009 TR	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
177	DEUT X TRK MSCI WLD EX US HDG ETF IV DBAW NFD 2009 TR	Yes	\$50,001 - \$100,000		None (or less than \$201)
178	DB GOLD DOUBLE LONG ETN DGP NFD 2009 TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
179	WISDOMTREE JAPAN HEDGED SC EQUITY ETF DXJS NFD 2009 TR	Yes	\$50,001 - \$100,000		None (or less than \$201)
180	ISHARES MSCI EMERGING MARKETS ETF EEM NFD 2009 TR	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
181	ISHARES JPMORGAN USD MTSBOND ETF EMB NFD 2009 TR	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
182	PROSHARES ULTRASHORT EURO ETF EUO NFD 2009 TR	Yes	\$100,001 - \$250,000		None (or less than \$201)
183	WISDOMTREE EUR HDGD SMLCP EQT ETF EUSC NFD 2009 TR	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
184	ETFS PHYSICAL PRECIOUS MTL BSKT ETF GLTR NFD 2009 TR	Yes	\$50,001 - \$100,000		None (or less than \$201)
185	SPDR S&P GLOBAL NATURAL RESOURCS ETF GNR NFD 2009 TR	Yes	\$50,001 - \$100,000		\$201 - \$1,000
186	WISDOMTREE EUROPE HEDGEDEQTY ETF HEDJ NFD 2009 TR	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
187	ISHARES CURRENCY HEDG MSCI EMERG ETF HEEM NFD 2009 TR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
188	ISHARES CURRENCY HEDGED MSCI JAP ETF HEWJ NFD 2009 TR	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
189	VANECK VECTORS EMERGING MKTS HIGH YIELD BD ETF HYEM NFD 2009 TR	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
190	ISHARES IBOX HIGH YIELDBOND ETF HYG NFD 2009 TR	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
191	ISHARES CORE S&P MID CAPETF IJH NFD 2009 TR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
192	PIMCO 15+ YEAR US TIPS INDEX ETF LTPZ NFD 2009 TR	Yes	\$100,001 - \$250,000		\$201 - \$1,000
193	BARCLYS IPATH NOTES LKD GAS OIL INDX OIL NFD 2009 TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
194	SCHWAB EMERGING MARKETS EQUITY ETF SCHE NFD 2009 TR	Yes	\$50,001 - \$100,000		None (or less than \$201)
195	PROSHARES ULTRAPRO SHORTS&P 500 ETF SPXU NFD 2009 TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
196	ISHARES TIPS BOND ETF TIP NFD 2009 TR	Yes	\$50,001 - \$100,000		\$201 - \$1,000
197	SPDR BLMBRG BRCLY LG TM TRSRY ETF TLO NFD 2009 TR	Yes	\$50,001 - \$100,000		\$201 - \$1,000
198	PIMCO 25YR ZERO CUPN US TRS INDX ETF ZROZ NFD 2009 TR	Yes	\$50,001 - \$100,000		None (or less than \$201)
199	ASG MGD FUTURES STRATEGY FD CL Y ASFYX NFD 2009 TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
200	FIDELITY 500 INDEX FD INV FUSEX NFD 2009 TR	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
201	CATALYST HEDGED FUTURES STRAT I HFXIX NFD 2009 TR	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
202	AQR EQTY MKT NEUTRAL FD CL I QMNIX NFD 2009 TR	Yes	\$50,001 - \$100,000		None (or less than \$201)
203	VANGUARD 500 INDEX FD INVESTOR SHS VFINX NFD 2009 TR	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
204	VANGUARD TOTAL STOCK MARKET ETF VTI NFD 2009 TR	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
205	ISHARES MSCI MEXICO CAPPED ETF EWW NFD 2009 TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
206	ISHARES 3-7 YEAR TRERY BOND ETF IEI NFD 2009 TR	Yes	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
207	SPDR S&P BANK ETF IV KBE NFD 2009 TR	Yes	None (or less than \$1,001)		None (or less than \$201)
208	ISHARES IBOXB INVT GRADEBOND ETF LQD NFD 2009 TR	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
209	PIMCO EMRG MKTS CORP BD FD INST PEMIX NFD 2009 TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
210	POWERSHARES INDIA PORTFOLIO ETF PIN NFD 2009 TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
211	PROSHARES ULTRA QQQ ETF QLD NFD 2009 TR	Yes	None (or less than \$1,001)		None (or less than \$201)
212	HEDGE PREMIER MILLENNIUM USA HEDGE FUND	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
212.1	MILLENNIUM USA HEDGE FUND	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
213	SPDR S&P 500 ETF IV SPY NFD 2009 TR	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
214	PROSHARES ULTRA 7-10 YR TREASURY ETF UST NFD 2009 TR	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
215	HEDGE FORUM RENAISSANCE EQUITY LLC CL B HEDGE FUND	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
215.1	RENAISSANCE INSTITUTIONAL EQUITIES FUND LLC	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
216	VANGUARD SHORT TERM COR BD ETF VCSH NFD 2009 TR	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
217	VANGUARD REIT ETF IV VNQ NFD 2009 TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
218	VANGUARD GLBAL EX US REAL EST ETF IV VNQI NFD 2009 TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
219	SPDR FUND CONSUMER STAPLES ETF XLP NFD 2009 TR	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
220	SPDR S&P RETAIL ETF XRT NFD 2009 TR	Yes	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
221	POWERSHARES SENIOR LOAN ETF BKLN MFD 2009 TR	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
222	DEUT X TRK MSCI WLD EX US HDG ETF IV DBAW MFD 2009 TR	Yes	\$50,001 - \$100,000		None (or less than \$201)
223	DB GOLD DOUBLE LONG ETN DGP MFD 2009 TR	Yes	\$50,001 - \$100,000		None (or less than \$201)
224	WISDOMTREE JAPAN HEDGED SC EQUITY ETF DXJS MFD 2009 TR	Yes	\$50,001 - \$100,000		None (or less than \$201)
225	ISHARES MSCI EMERGING MARKETS ETF EEM MFD 2009 TR	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
226	ISHARES JPMORGAN USD MTSBOND ETF EMB MFD 2009 TR	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
227	PROSHARES ULTRASHORT EURO ETF EUO MFD 2009 TR	Yes	\$100,001 - \$250,000		None (or less than \$201)
228	WISDOMTREE EUR HDGD SMLCP EQT ETF EUSC MFD 2009 TR	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
229	ETFs PHYSICAL PRECIOUS MTL BSKT ETF GLTR MFD 2009 TR	Yes	\$50,001 - \$100,000		None (or less than \$201)
230	SPDR S&P GLOBAL NATURAL RESOURCS ETF GNR MFD 2009 TR	Yes	\$50,001 - \$100,000		\$201 - \$1,000
231	WISDOMTREE EUROPE HEDGEDEQTY ETF HEDJ MFD 2009 TR	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
232	ISHARES CURRENCY HEDG MSCI EMERG ETF HEEM MFD 2009 TR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
233	BRIGHTWOOD CAPITAL III LP 2011 IRREV TR	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
234	ISHARES CURRENCY HEDGED MSCI JAP ETF HEWJ MFD 2009 TR	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
235	VANECK VECTORS EMERGING MKTS HIGH YIELD BD ETF HYEM MFD 2009 TR	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
236	HEDGE PREMIER MILLENNIUM USA HEDGE FUND NFD 2009 TR	Yes	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
236.1	MILLENNIUM USA HEDGE FUND	Yes	\$250,001 - \$500,000		None (or less than \$201)
237	ISHARES IBOX HIGH YIELDBOND ETF HYG MFD 2009 TR	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
238	ISHARES CORE S&P MID CAPETF IJH MFD 2009 TR	Yes	\$50,001 - \$100,000		\$201 - \$1,000
239	PIMCO 15+ YEAR US TIPS INDEX ETF LTPZ MFD 2009 TR	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
240	BARCLYS IPATH NOTES LKD GAS OIL INDX OIL MFD 2009 TR	Yes	\$50,001 - \$100,000		None (or less than \$201)
241	SCHWAB EMERGING MARKETS EQUITY ETF SCHE MFD 2009 TR	Yes	\$50,001 - \$100,000		None (or less than \$201)
242	CARLYLE GMS FINANCE NFD 2009 TR	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
243	PROSHARES ULTRAPRO SHORTS&P 500 ETF SPXU MFD 2009 TR	Yes	\$50,001 - \$100,000		None (or less than \$201)
244	ISHARES TIPS BOND ETF TIP MFD 2009 TR	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
245	SPDR BLMBRG BRCLY LG TM TRSRY ETF TLO MFD 2009 TR	Yes	\$100,001 - \$250,000		\$201 - \$1,000
246	HEDGE FORUM RENAISSANCE EQUITY LLC CL B HEDGE FUND 2011 IRREV TR	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
246.1	RENAISSANCE INSTITUTIONAL EQUITIES FUND LLC	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
247	PIMCO 25YR ZERO CUPN US TRS INDX ETF ZROZ MFD 2009 TR	Yes	\$50,001 - \$100,000		None (or less than \$201)
248	T ROWE PRICE MD TAX FREE BOND FUND MDXBX MFD 2009 TR	Yes	\$250,001 - \$500,000		None (or less than \$201)
249	VANGUARD TOTAL STOCK MARKET ETF VTI MFD 2009 TR	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
250	CLINTON EQUITY STRATEGIES FUND LP 2011 IRREV TR	Yes	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
251	CONSECO LIFE INSURANCE COMPANY WHOLE LIFE POLICY	N/A	\$100,001 - \$250,000		None (or less than \$201)
252	RESIDENTIAL RESIDENCE ESTES PARK, COLORADO	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
253	CROSSHILL DEBT II	No	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
253.1	CORERO NETWORK SECURITY	N/A	\$15,001 - \$50,000		None (or less than \$201)
253.2	METEOR AFFINITY INC	N/A	\$50,001 - \$100,000		None (or less than \$201)
253.3	GENERATION ZERO GROUP	N/A	None (or less than \$1,001)		None (or less than \$201)
254	CYPRESS FITNESS LLC	No	\$1,001 - \$15,000		None (or less than \$201)
255	ISHARES MSCI MEXICO CAPPED ETF EWW MFD 2009 TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
256	GGE INVESTMENTS LLC	No	\$15,001 - \$50,000		None (or less than \$201)
257	M&I INVESTMENTS	No	\$1,001 - \$15,000		None (or less than \$201)
257.1	AMERICAN CENTURY ULTRA TWCUX	N/A	\$1,001 - \$15,000		None (or less than \$201)
258	ISHARES 3-7 YEAR TRERY BOND ETF IEI MFD 2009 TR	Yes	None (or less than \$1,001)		None (or less than \$201)
259	SPDR S&P BANK ETF IV KBE MFD 2009 TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
260	ISHARES IBOXB INVT GRADEBOND ETF LQD MFD 2009 TR	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
261	PIMCO EMRG MKTS CORP BD FD INST PEMIX MFD 2009 TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
262	POWERSHARES INDIA PORTFOLIO ETF PIN MFD 2009 TR	Yes	None (or less than \$1,001)		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
263	PROSHARES ULTRA QQQ ETF QLD MFD 2009 TR	Yes	None (or less than \$1,001)		None (or less than \$201)
264	SPDR S&P 500 ETF IV SPY MFD 2009 TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
265	PROSHARES ULTRA 7-10 YR TREASURY ETF UST MFD 2009 TR	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
266	VANGUARD SHORT TERM COR BD ETF VCSH MFD 2009 TR	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
267	VANGUARD REIT ETF IV VNQ MFD 2009	Yes	None (or less than \$1,001)		\$201 - \$1,000
268	VANGUARD GLBAL EX US REAL EST ETF IV VNQI MFD 2009 TR	Yes	None (or less than \$1,001)		\$201 - \$1,000
269	SPDR FUND CONSUMER STAPLES ETF XLP MFD 2009 TR	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
270	SPDR S&P RETAIL ETF XRT MFD 2009 TR	Yes	None (or less than \$1,001)		None (or less than \$201)
271	VANGUARD TOTAL INTERNATIONAL BND ETF BNDX CAA TR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
272	BRCLYS BK IPATH BLOOM COMMODITY ETN DJP CAA TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
273	ISHARES COHEN AND STEERSREIT ETF ICF CAA TR	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
274	KAYNE ANDERSON MLP INVES KYN CAA TR	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
275	PIMCO 15+ YEAR US TIPS INDEX ETF LTPZ CAA TR	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
276	TFS MARKET NEUTRAL FUND TFSMX CAA TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
277	VANGUARD EXTENDED MARKET INDEX FD INV SHRS VEXMX CAA TR	Yes	\$50,001 - \$100,000		\$201 - \$1,000
278	VANGUARD 500 INDEX FD ADMIRAL SHRS VFIAX CAA TR	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
279	VANGUARD TOTAL INTL STK INDEX FD INV VGTXX CAA TR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
280	VANGUARD MID CAP INDEX FUND INV CL VIMSX CAA TR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
281	VANGUARD SMALL CAP GWTH INDEX FD INV VISGX CAA TR	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
282	ALMANACK ALPHA LP HEDGE FUND NFD 2009 TR	No	\$1,000,001 - \$5,000,000		None (or less than \$201)
282.1	EAGLE'S VIEW LP HEDGE FUND	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
283	ALMANACK ALPHA LP HEDGE FUND 2011 IRREV TR	No	\$500,001 - \$1,000,000		None (or less than \$201)
283.1	EAGLES VIEW LP HEDGE FUND	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
284	SCHWAB CASH CAA TR	N/A	\$50,001 - \$100,000		None (or less than \$201)
285	SCHWAB CASH IAA TR	N/A	\$50,001 - \$100,000		None (or less than \$201)
286	SCHWAB CASH 2011 IRREV TR	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
287	SCHWAB CASH AAA TR	N/A	\$50,001 - \$100,000		None (or less than \$201)
288	SCHWAB CASH NFD 2003 TR	N/A	\$1,001 - \$15,000		None (or less than \$201)
289	SCHWAB CASH MFD 2009 TR	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
290	SCHWAB CASH NFD 2009 TR	N/A	\$100,001 - \$250,000		None (or less than \$201)
291	VANGUARD TOTAL INTERNATIONAL BND ETF BNDX IAA TR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
292	BRCLYS BK IPATH BLOOM COMMODITY ETN DJP IAA TR	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
293	ISHARES COHEN AND STEERSREIT ETF ICF IAA TR	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
294	KAYNE ANDERSON MLP INVES KYN IAA TR	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
295	PIMCO 15+ YEAR US TIPS INDEX ETF LTPZ IAA TR	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
296	TFS MARKET NEUTRAL FUND TFSMX IAA TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
297	VANGUARD EXTENDED MARKET INDEX FD INV SHRS VEXMX IAA TR	Yes	\$50,001 - \$100,000		\$201 - \$1,000
298	VANGUARD 500 INDEX FD ADMIRAL SHRS VFIAX IAA TR	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
299	VANGUARD TOTAL INTL STK INDEX FD INV VGTSX IAA TR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
300	MORGAN STANLEY CASH 2011 IRREV TR	N/A	\$15,001 - \$50,000		None (or less than \$201)
301	VANGUARD MID CAP INDEX FUND INV CL VIMSX IAA TR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
302	VANGUARD SMALL CAP GWTH INDEX FD INV VISGX IAA TR	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
303	BANK OF AMERICA CASH	N/A	\$100,001 - \$250,000		None (or less than \$201)
304	MERRILL LYNCH CASH	N/A	\$1,001 - \$15,000		None (or less than \$201)
305	VANGUARD 500 INDEX FUND VFIAX INDIV	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
306	VANGUARD TOTAL INTERNATIONAL BND ETF BNDX AAA TR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
307	BRCLYS BK IPATH BLOOM COMMODITY ETN DJP AAA TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
308	ISHARES COHEN AND STEERSREIT ETF ICF AAA TR	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
309	KAYNE ANDERSON MLP INVES KYN AAA TR	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
310	PIMCO 15+ YEAR US TIPS INDEX ETF LTPZ AAA TR	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
311	TFS MARKET NEUTRAL FUND TFSMX AAA TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
312	VANGUARD EXTENDED MARKET INDEX FD INV SHRS VEXMX AAA TR	Yes	\$50,001 - \$100,000		\$201 - \$1,000
313	VANGUARD 500 INDEX FD ADMIRAL SHRS VFIAX AAA TR	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
314	VANGUARD TOTAL INTL STK INDEX FD INV VGT SX AAA TR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
315	VANGUARD MID CAP INDEX FUND INV CL VIMSX AAA TR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
316	VANGUARD SMALL CAP GWTH INDEX FD INV VISGX AAA TR	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
317	POWERSHARES SENIOR LOAN ETF BKLN AA 2016 TR	Yes	\$1,001 - \$15,000		None (or less than \$201)
318	WISDOMTREE JAPAN HEDGED EQUITY ETF DXJ AA 2016 TR	Yes	\$1,001 - \$15,000		None (or less than \$201)
319	DIREXION DAILY EMERG MRKT BER 3X ETF EDZ AA 2016 TR	Yes	None (or less than \$1,001)		None (or less than \$201)
320	ISHARES JPMORGAN USD MTSBOND ETF EMB AA 2016 TR	Yes	\$1,001 - \$15,000		None (or less than \$201)
321	WISDOMTREE EUR HDGD SMLCP EQT ETF EUSC AA 2016 TR	Yes	\$1,001 - \$15,000		None (or less than \$201)
322	SPDR GOLD SHARES ETF GLD AA 2016 TR	Yes	\$1,001 - \$15,000		None (or less than \$201)
323	ISHARES CRRNCY HDG MSCI EURZN ETF IV HEZU AA 2016 TR	Yes	\$1,001 - \$15,000		None (or less than \$201)
324	ISHARES IBOXX HIGH YELDBOND ETF HYG AA 2016 TR	Yes	\$1,001 - \$15,000		None (or less than \$201)
325	PIMCO 15+ YEAR US TIPS INDEX ETF LTPZ AA 2016 TR	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
326	POWERSHARES INDIA PORTFOLIO ETF PIN AA 2016 TR	Yes	\$1,001 - \$15,000		None (or less than \$201)
327	POWERSHARES QQQ TRUST SRS 1 ETF QQQ AA 2016 TR	Yes	\$1,001 - \$15,000		None (or less than \$201)
328	SPDR S&P 500 ETF SPY AA 2016 TR	Yes	\$1,001 - \$15,000		None (or less than \$201)
329	ISHARES TIPS BOND ETF TIP AA 2016 TR	Yes	\$1,001 - \$15,000		None (or less than \$201)
330	DIR DAILY 20+ YEAR TRSRYBULL 3X ETF TMF AA 2016 TR	Yes	\$1,001 - \$15,000		None (or less than \$201)
331	DIREXION DAILY SMALL CAPBULL 3X ETF TNA AA 2016 TR	Yes	\$1,001 - \$15,000		None (or less than \$201)
332	PROSHARES ULTRA 7-10 YR TREASURY ETF UST AA 2016 TR	Yes	\$1,001 - \$15,000		None (or less than \$201)
333	VANGUARD SMALL CAP ETF VB AA 2016 TR	Yes	\$1,001 - \$15,000		None (or less than \$201)
334	VANGUARD 500 INDEX FD INVESTOR SHS VFINX AA 2016 TR	Yes	\$15,001 - \$50,000		None (or less than \$201)
335	VANGUARD REIT ETF VNQ AA 2016 TR	Yes	\$1,001 - \$15,000		None (or less than \$201)
336	VANGUARD GLBAL EX US REAL ESTATE ETF VNQI AA 2016 TR	Yes	None (or less than \$1,001)		None (or less than \$201)
337	SELECT SECTOR UTI SELECTSPDR ETF XLU AA 2016 TR	Yes	\$1,001 - \$15,000		None (or less than \$201)
338	BALANCED PORTFOLIO CAA MD 529 PLAN	Yes	\$1,001 - \$15,000		None (or less than \$201)
339	BOND AND INCOME PORTFOLIO CAA MD 529 PLAN	Yes	\$1,001 - \$15,000		None (or less than \$201)
340	EQUITY PORTFOLIO CAA MD 529 PLAN	Yes	\$1,001 - \$15,000		None (or less than \$201)
341	PORTFOLIO FOR COLLEGE CAA MD 529 PLAN	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
342	PORTFOLIO 2018 CAA MD 529 PLAN	Yes	\$50,001 - \$100,000		None (or less than \$201)
343	PORTFOLIO 2021 CAA MD 529 PLAN	Yes	\$1,001 - \$15,000		None (or less than \$201)
344	BALANCED PORTFOLIO IAA MD 529 PLAN	Yes	\$1,001 - \$15,000		None (or less than \$201)
345	BOND AND INCOME PORTFOLIO IAA MD 529 PLAN	Yes	\$1,001 - \$15,000		None (or less than \$201)
346	EQUITY PORTFOLIO IAA MD 529 PLAN	Yes	\$1,001 - \$15,000		None (or less than \$201)
347	PORTFOLIO FOR COLLEGE IAA MD 529 PLAN	Yes	\$15,001 - \$50,000		None (or less than \$201)
348	PORTFOLIO 2018 IAA MD 529 PLAN	Yes	\$15,001 - \$50,000		None (or less than \$201)
349	PORTFOLIO 2021 IAA MD 529 PLAN	Yes	\$15,001 - \$50,000		None (or less than \$201)
350	PORTFOLIO 2021 AAA MD 529 PLAN	Yes	\$100,001 - \$250,000		None (or less than \$201)
351	RESIDENTIAL REAL ESTATE FOUNTAIN HILLS, AZ	N/A	\$100,001 - \$250,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	American Express	Credit Card	\$15,001 - \$50,000	2017		

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
2	2011 IRREV TR BRIGHTWOOD CAPITAL III REMAINING CAPITAL TO BE CALLED	CAPITAL CALL STRUCTURE ALTERNATIVE INVESTMENT	\$100,001 - \$250,000	2014	NA	ON DEMAND
3	NFD 2009 TR CARLYLE GMS FINANCE REMAINING CAPITAL TO BE CALLED	CAPITAL CALL STRUCTURE ALTERNATIVE INVESTMENT	\$100,001 - \$250,000	2014	NA	ON DEMAND

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
