# ATMOSPHERIC PARAMETERS OF FIELD L AND T DWARFS<sup>1</sup>

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#### **ABSTRACT**

We present an analysis of the  $0.95-14.5 \mu m$  spectral energy distributions of nine field ultracool dwarfs with spectral types ranging from L1 to T4.5. Effective temperatures, gravities, and condensate cloud sedimentation efficiencies are derived by comparing the data to synthetic spectra computed from atmospheric models that self-consistently include the formation of condensate clouds. Overall, the model spectra fit the data well, although the agreement at some wavelengths remains poor due to remaining inadequacies in the models. Derived effective temperatures decrease steadily through the L1-T4.5 spectral types, and we confirm that the effective temperatures of ultracool dwarfs at the L/T transition are nearly constant, decreasing by only  $\sim$ 200 K from spectral types L7.5 to T4.5. The condensate cloud properties vary significantly among the L dwarfs in our sample, ranging from very thick clouds to relatively thin clouds with no particular trend with spectral type. The two objects in our sample with very red  $J - K_s$  colors are, however, best fitted with synthetic spectra that have thick clouds, which hints at a possible correlation between the near-infrared colors of L dwarfs and the condensate cloud properties. The fits to the two T dwarfs in our sample (T2 and T4.5) also suggest that the clouds become thinner in this spectral class, in agreement with previous studies. Restricting the fits to narrower wavelength ranges (i.e., individual photometric bands) almost always yields excellent agreement between the data and models. Limitations in our knowledge of the opacities of key absorbers such as FeH, VO, and CH<sub>4</sub> at certain wavelengths remain obvious, however. The effective temperatures obtained by fitting the narrower wavelength ranges can show a large scatter compared to the values derived by fitting the full spectral energy distributions; deviations are typically  $\sim 200$  K and, in the worst cases, up to 700 K.

Subject headings: infrared: stars — radiative transfer — stars: fundamental parameters — stars: low-mass, brown dwarfs

### 1. INTRODUCTION

The emergent spectra of very low mass stars and brown dwarfs, collectively known as "ultracool dwarfs," are shaped in large part by gas and condensation chemistry. The low-temperature (500 K  $\lesssim$   $T \lesssim 3000$  K), high-pressure (1 bar  $\lesssim P \lesssim 10$  bar) conditions typical of ultracool dwarf atmospheres favor the formation of molecules (such as CO, CH<sub>4</sub>, N<sub>2</sub>, NH<sub>3</sub>, and H<sub>2</sub>O) and, at T < 2400 K, condensates from the refractory elements (Ti, V, Ca, Al, Fe, Si, and Mg). Condensation removes gaseous opacity sources (such as TiO, VO, FeH, and CrH) from the atmosphere and alters the atmospheric chemistry as the condensates gravitationally settle and form clouds that deplete the upper layers of the atmosphere

Based in part on data collected at the Subaru Telescope, which is operated by the National Astronomical Observatory of Japan.

<sup>3</sup> NASA Ames Research Center, MS 254-3, Moffett Field, CA 94035; mark .s.marley@nasa.gov. of both the condensates and their constituent elements (Fegley & Lodders 1994, 1996; Lodders 1999, 2002; Burrows & Sharp 1999). Condensates also contribute their own opacity, which can be substantial for the more abundant Fe-, Mg-, and Si-bearing species such as forsterite (Mg<sub>2</sub>SiO<sub>4</sub>), enstatite (MgSiO<sub>3</sub>), and solid or liquid Fe (e.g., Marley et al. 2002; Tsuji 2002).

This rich chemistry gives rise to complex emergent spectra that are distinctly non-Planckian. The molecular line opacities dominate over all of the continuum opacity sources (e.g., H<sup>-</sup>) that are important in the atmospheres of hotter stars (Allard & Hauschildt 1995), and as a result the emergent spectra of ultracool dwarfs exhibit strong molecular absorption bands of TiO, H<sub>2</sub>O, and CH<sub>4</sub>. Indeed, it is the strength of these and other molecular bands that are used to define the L and T spectral classes (Kirkpatrick et al. 1999; Burgasser et al. 2006b). L dwarf (2400 K  $\lesssim T_{\rm eff} \lesssim 1400$  K) spectra exhibit weakening TiO and VO bands at red optical wavelengths  $(0.6-1.0 \, \mu \text{m})$  due to the formation of Ti-bearing condensates such as perovskite (CaTiO<sub>3</sub>). The loss of the gaseous TiO and VO opacity results in a more transparent atmosphere and leads to the emergence of monatomic alkali lines (Na, K, Rb, and Cs). Most prominent are the resonance Na I ( $\lambda\lambda$ 5890, 5896) and K I  $(\lambda\lambda7665, 7699)$  doublets, which become increasingly broad with later spectral type. At near-infrared wavelengths (1–2.5  $\mu$ m) the L dwarfs also become progressively redder with later spectral types due to the additional condensate opacity. As CH<sub>4</sub> becomes the dominant carbon-bearing gas in the upper, coolest layers of the atmosphere (CO/CH<sub>4</sub> < 1 for  $T \lesssim 1100$  K at P = 1 bar), CH<sub>4</sub> absorption bands emerge at near-infrared wavelengths, which signals the transition between the L and T (1400 K  $\lesssim T_{\rm eff} \lesssim 700$  K) spectral classes. The K I and Na I resonance lines are present in the red optical spectra of T dwarfs (Burgasser et al. 2003a) because

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 $\label{eq:table 1} TABLE\ 1$  The Properties of the L and T Dwarfs in Our Sample

	Spectral Type <sup>a</sup>		$J^{\mathrm{b}}$	J-H	$H-K_{\rm s}$	$J-K_{s}$	$\pi^{\mathrm{c}}$		
Овјест	Optical	Infrared	(mag)	(mag)	(mag)	(mag)	(mas)	Refs.	
2MASS J14392836+1929149	L1	L1	$12.759 \pm 0.019$	$0.718 \pm 0.027$	$0.495 \pm 0.029$	$1.213 \pm 0.029$	$69.6 \pm 0.5$	1, 5	
2MASS J15065441+1321060	L3		$13.365 \pm 0.023$	$0.985\pm0.031$	$0.639 \pm 0.028$	$1.624 \pm 0.030$		6	
2MASS J00361617+1821104	L3.5	$L4 \pm 1$	$12.466 \pm 0.027$	$0.878 \pm 0.040$	$0.530 \pm 0.036$	$1.408 \pm 0.034$	$114.2 \pm 0.8$	1, 7	
2MASS J22244381-0158521	L4.5	L3.5	$14.073\pm0.027$	$1.255\pm0.037$	$0.796 \pm 0.035$	$2.051 \pm 0.035$	$87.02 \pm 0.89$	1, 2, 8	
2MASS J15074769-1627386	L5	L5.5	$12.830 \pm 0.027$	$0.935\pm0.036$	$0.583\pm0.035$	$1.518 \pm 0.037$	$136.4 \pm 0.6$	1, 7	
2MASS J08251968+2115521	L7.5	L6	$15.100\pm0.034$	$1.308 \pm 0.047$	$0.764 \pm 0.041$	$2.072\pm0.043$	$94.22 \pm 0.99$	1, 2, 8	
DENIS 025503.3-470049.0	L8	L9	$13.246 \pm 0.027$	$1.042 \pm 0.036$	$0.646 \pm 0.034$	$1.688 \pm 0.036$	$201.37 \pm 3.89$	3, 9	
SDSS J125453.90-012247.4	T2	T2	$14.891\pm0.035$	$0.801\pm0.043$	$0.253\pm0.060$	$1.054 \pm 0.064$	$73.96 \pm 1.59$	2, 4, 10	
2MASS J05591914-1404488	T5	T4.5	$13.802\pm0.024$	$0.123\pm0.050$	$0.102\pm0.068$	$0.225\pm0.057$	$96.73 \pm 0.96$	1, 2, 11	

<sup>&</sup>lt;sup>a</sup> Spectral types of the L dwarfs are from Kirkpatrick et al. (1999, 2000), Reid et al. (2000), Gizis et al. (2000), Geballe et al. (2002), Knapp et al. (2004), Burgasser et al. (2006b), and J. D. Kirkpatrick (in preparation). Spectral types of the T dwarfs are from Burgasser et al. (2003a, 2006b). Errors on spectral types are  $\pm 0.5$  subclass unless otherwise noted.

REFERENCES.—(1) Dahn et al. 2002; (2) Vrba et al. 2004; (3) Costa et al. 2006; (4) Tinney et al. 2003; (5) Kirkpatrick et al. 1999; (6) Gizis et al. 2000; (7) Reid et al. 2000; (8) Kirkpatrick et al. 2000; (9) Martín et al. 1999; (10) Leggett et al. 2000; (11) Burgasser et al. 2000.

the high-temperature condensate anorthite ( $CaAl_2Si_2O_8$ ), a feld-spar, has gravitationally settled out of the cooler atmospheric regions. In the absence of such settling, Na and K would condense into a feldspar in the form of albite (NaAlSi<sub>3</sub>O<sub>8</sub>) and orthoclase (KAlSi<sub>3</sub>O<sub>8</sub>) (Burrows & Sharp 1999; Lodders 1999; Marley et al. 2002).

The observed spectra and photometry of ultracool dwarfs can therefore serve as touchstones against which we can test our understanding of atmospheric physics, chemistry, dynamics, and cloud processes as embodied in our atmospheric models. Shortly after the discovery of the first bona fide brown dwarf, Gliese 229B (Nakajima et al. 1995), a number of publications appeared comparing the observed spectrum to models (Allard et al. 1996; Marley et al. 1996; Tsuji et al. 1996). Burrows et al. (2001) presented a review of the substantial subsequent work devoted to understanding the properties of L and T dwarfs. Although the comparison of models to the spectra of individual objects such as Gl 229B (Saumon et al. 2000) and Gl 570D (Geballe et al. 2001; Saumon et al. 2006) has played an important role in the progression of the field, relatively little work has been done on comparing entire classes of models to uniform data sets (see, however, Burgasser et al. 2006a). Such comparisons are important, as they can reveal the global properties of a set of objects and highlight the systematic strengths and weaknesses of the models.

In this work, we present such a comparison to one particular set of atmospheric models (Marley et al. 2002; M. S. Marley et al. 2008, in preparation). We aim to understand what the modeling has accomplished to date and to help direct future models and observations along the pathways that seem most promising. The paper is organized as follows. Sections 2 and 3 present the data and model atmospheres, respectively, used in the analysis. In  $\S$  4 we present the results of the comparisons between the data and models, and in  $\S$  5 we discuss two systematic uncertainties that affect our analysis.

## 2. THE DATA

There are currently 11 L and T dwarfs that have published spectra with nearly complete wavelength coverage from 0.65 to 14.5  $\mu$ m (Cushing et al. 2006; Saumon et al. 2007). We selected the five L and two T dwarfs from the Cushing et al. sample with the highest signal-to-noise ratio (S/N) spectra that are not known

to be multiple systems. We also included two additional L dwarfs, 2MASS J1506514+1321060 and DENIS J025503.3-470049.0, that were not part of the Cushing et al. sample. The properties of the dwarfs in our sample, including optical and infrared spectral types and Two Micron All Sky Survey (2MASS; Skrutskie et al. 2006) photometry, are given in Table 1. Although both optical and infrared spectral types are listed in Table 1, we hereafter use optical types for the L dwarfs (Kirkpatrick et al. 1999) and infrared types for the T dwarfs (Burgasser et al. 2006b). In addition, we abbreviate the numerical portions of the 2MASS, Sloan Digital Sky Survey (SDSS; York et al. 2000), and Deep Near Infrared Survey of the Southern Sky (DENIS; Epchtein et al. 1997) target designations as hhmm±ddmm, where the suffix is the sexigesimal right ascension (hours and minutes) and declination (degrees and arcminutes) at J2000.0 equinox.

The  $0.65-14.5 \mu m$  spectra used in this work were constructed from the following sources: red optical spectra were obtained with the Low Resolution Imaging Spectrograph (LRIS; Oke et al. 1995) at the Keck telescope; near-infrared spectra were obtained with SpeX (Rayner et al. 2003) at the NASA IRTF and with the Infrared Camera and Spectrograph (IRCS; Kobayashi et al. 2000) on Subaru; and mid-infrared (5.5–14.5  $\mu$ m) spectra were obtained with the Infrared Spectrograph (IRS; Houck et al. 2004) on board the Spitzer Space Telescope (Werner et al. 2004). The only wavelengths not covered by these spectra are those containing the strong telluric absorption bands at 1.9 and 2.6  $\mu$ m and the 4.5–5.5  $\mu$ m range. The latter wavelength range is exceedingly difficult to observe from the ground and is not covered by the IRS. Table 2 lists both the references and resolving powers  $(R \equiv \lambda/\Delta\lambda)$  of the various spectra used in this work. For each dwarf, the different spectra were absolutely flux-calibrated using ground-based photometry and then combined into a single  $0.65-14.5 \mu m$  spectrum as described in Cushing et al. (2005, 2006).

Figure 1 shows the 2MASS J - H,  $H - K_s$ , and  $J - K_s$  colors as a function of spectral type for all of the L and T dwarfs listed on the DwarfArchives<sup>11</sup> Web site as of 2007 June 7. The dwarfs in our sample are shown as red points. Overall, our sample is representative of dwarfs with similar spectral types. However, 2MASS 2224–0158 (L4.5) and 2MASS 0825+2115 (L7.5) have relatively

<sup>&</sup>lt;sup>b</sup> J-, H-, and  $K_s$ -band photometry is from the 2MASS Point Source Catalog.

<sup>&</sup>lt;sup>c</sup> Based on the weighted mean of the referenced parallaxes (Golimowski et al. 2004).

<sup>11</sup> See http://DwarfArchives.org.

TABLE 2	
SPECTRAL CHARACTERISTICS OF ULTRACOOL DWARFS IN OUR SAI	MPLE

Object (1)	0.6–0.9 μm (2)	0.9–2.5 μm (3)	3.0–4.1 μm (4)	5.5–14.5 μm (5)	References <sup>a</sup> (6)
2MASS J1439+1929	890	2000	940	90	1, 2, 2, 3
2MASS J1506+1321	890	2000	940	90	4, 2, 2, 3
2MASS J0036+1821	890	2000	940	90	5, 2, 2, 3
2MASS J2224-0158	890	2000	425	90	6, 2, 2, 3
2MASS J1507-1627	890	2000	940	90	5, 2, 2, 3
2MASS J0825+2115	890	1200	210	90	6, 2, 2, 3
DENIS 0255-4700	890	2000	210	90	7, 2, 2, 3
SDSS J1254-0122	1200	1200	210	90	8, 2, 2, 3
2MASS J0559-1404	1200	1200	210	90	8, 2, 2, 3

<sup>&</sup>lt;sup>a</sup> The numbers in col. (6) indicate the references for each of the wavelength ranges given in cols. (2)–(5). References.—(1) Kirkpatrick et al. 1999; (2) Cushing et al. 2005; (3) Cushing et al. 2006; (4) Gizis et al. 2000; (5) Reid et al. 2000; (6) Kirkpatrick et al. 2000; (7) J. D. Kirkpatrick 2007, private communication; (8) Burgasser et al. 2003a.

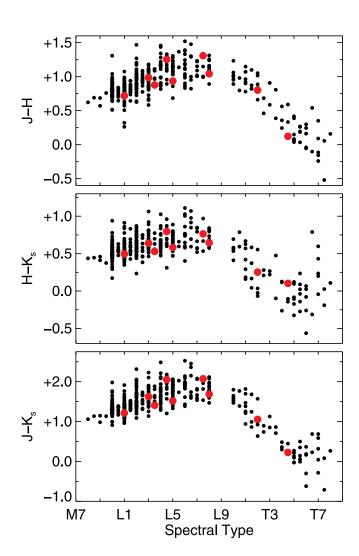


Fig. 1.—2MASS J - H (top),  $H - K_s$  (middle), and  $J - K_s$  (bottom) colors as a function of spectral type for all of the L and T dwarfs in the DwarfArchives Web site as of 2007 June 7. The dwarfs in our sample are shown in red.

red  $J - K_s$  colors given their spectral types, and 2MASS J1507–1627 (L5) has a relatively blue  $J - K_s$  color. We discuss these dwarfs in more detail in § 4.6.

#### 3. THE MODELS

The L and T dwarf models presented here have been produced in the same way as those in our earlier work (e.g., Marley et al. 1996, 2002; Burrows et al. 1997; Saumon et al. 2006). We are preparing a complete description of the models for a future publication. Briefly, we computed a grid of one-dimensional, planeparallel, hydrostatic, nongray, radiative-convective equilibrium atmosphere models using our standard modeling code. A onedimensional, plane-parallel approximation is appropriate, since the atmospheric scale height is always much smaller than the ultracool dwarf radius. We do not use mixing-length theory, but rather set the local lapse rate in the convective regions to the adiabatic lapse rate. This is an excellent approximation in the dense, warm atmospheres of brown dwarfs and giant planets where convection is a very efficient energy transport mechanism. The superadiabaticity is very small, and the atmospheric profile is insensitive to the choice of mixing length (Baraffe et al. 1997; Allard et al. 1997).

For the chemical equilibrium calculations we use the elemental abundance data of Lodders (2003) and compute compositions following Fegley & Lodders (1994), Lodders & Fegley (2002), and Lodders (1999, 2002). The chemical equilibrium abundances are computed for local thermodynamic equilibrium conditions. In stellar atmospheres, departures from thermochemical equilibrium can arise from interactions of atoms and molecules with the nonthermal radiation field, while in planetary atmospheres, nonequilibrium conditions can arise from vertical transport (by convection or eddy diffusion) on a timescale shorter than that of the chemical reactions involved. In ultracool dwarf atmospheres, the first effect is negligible compared to the second. In the present work, we neglect both effects. The opacity database includes the molecular lines of H<sub>2</sub>O, CH<sub>4</sub>, CO, NH<sub>3</sub>, H<sub>2</sub>S, PH<sub>3</sub>, TiO, VO, CrH, FeH, CO<sub>2</sub>, HCN, C<sub>2</sub>H<sub>2</sub>, C<sub>2</sub>H<sub>4</sub>, and C<sub>2</sub>H<sub>6</sub>; the atomic lines of the alkali metals (Li, Na, K, Rb, and Cs); continuum opacity from H<sub>2</sub> collisioninduced absorption (CIA); Rayleigh scattering from H2, H, and He; bound-free opacity from H, H<sup>-</sup>, and H<sub>2</sub><sup>+</sup>; and free-free opacity from He,  $H_2^-$ , and  $H_2^+$ . The molecular line lists are continually updated, and while they have well-known shortcomings, they represent the state of the art. An explanation of the treatment of line broadening and further details regarding the chemical equilibrium calculation are presented in Freedman et al. (2008). Note that we do not include a turbulent velocity in the calculation of line shapes, as test calculations have shown this has a negligible effect for the temperatures and pressures considered here. An error in the TiO line opacity database (Schwenke 1998) employed in our recent generations of models (including those in Marley et al. [2002], Burgasser et al. [2002a], and Knapp et al. [2004]) that resulted in too-strong TiO absorption has been corrected.

For the model atmosphere calculation we use the k-coefficient method to describe the opacities (Goody et al. 1989). Within each of 180 spectral bins we sum the opacity arising from each of the several hundred million atomic and molecular lines in our database. Our radiative transfer follows the source function technique (Toon et al. 1989), allowing inclusion of arbitrary Mie scattering particles in the layer opacities. This approach is exact in the limit of single scattering and treats multiple scattering by assuming that the scattered radiation field can be approximated with two streams. After computing a pressure-temperature (P/T) profile with the k-coefficient method for a given set of parameters, we compute a high-resolution spectrum by solving the monochromatic radiative transfer equation for a larger number of frequency points (typically,  $\sim$ 190,000 in the range of 0.4–50  $\mu$ m), using the exact same molecular line lists, continuum opacity sources, chemical composition, and line profiles as used for the computation of the k-coefficients. The resulting spectra can be smoothed or binned for comparison with data. Previous applications of our atmospheres code include the generation of P/T profiles and spectra for Titan (McKay et al. 1989), Uranus (Marley & McKay 1999), hot Jupiters (Fortney et al. 2005, 2006), and brown dwarfs (Marley et al. 1996, 2002; Burrows et al. 1997; Saumon et al. 2006).

As we converge to a model P/T profile, we compute the condensate size and vertical profiles following the prescription of Ackerman & Marley (2001) for various values of the cloud sedimentation efficiency parameter,  $f_{sed}$ . Larger values of  $f_{sed}$  imply larger particle sizes and thus greater sedimentation efficiency. The width of the lognormal particle size distribution is set at  $\sigma = 2$ , and the eddy diffusion coefficient above the convective region is set to  $K_{zz} = 10^5$  cm<sup>2</sup> s<sup>-1</sup>. This latter value is consistent with that found from studies of the effect of nonequilibrium chemistry in L and T dwarf atmospheres (Saumon et al. 2006; Leggett et al. 2007). For any P/T profile there is a single description of the variation in particle sizes and number densities with altitude for each of the condensed species. As the atmospheric structure is iterated toward the equilibrium solution the cloud description is also continuously updated. The final result is a single, self-consistent thermal and cloud profile with the specified gravity, effective temperature, and cloud sedimentation efficiency. We term these models "cloudy." We also consider models in which the condensate formation is included in the chemical equilibrium calculation but the condensate opacity is neglected. We call these models "clear" and denote them as  $f_{\text{sed}} = \text{nc}$ , since the models include "no cloud" opacity.

In this first study, for the sake of simplicity, we consider a model parameter space consisting of  $T_{\rm eff}$ , g, and  $f_{\rm sed}$  at solar metallicity. A more extensive study including variations in metallicity and other cloud parameters, as well as departures from chemical equilibrium caused by vertical mixing in the atmosphere (e.g., Saumon et al. 2006), will be the subject of future papers. Evolutionary models predict that field L and T dwarfs with ages greater than a few hundred million years have 700 K  $\lesssim T_{\rm eff} \lesssim 2400$  K and  $5.0 \lesssim \log g ({\rm cm \ s^{-2}}) \lesssim 5.5$  (Burrows et al. 1997). We consider the entire range of  $T_{\rm eff}$  in steps of 100 K and values of  $\log g$  of 4.5, 5.0, and 5.5. In previous work we found that  $f_{\rm sed} \sim 3$  best reproduces the global properties of Jupiter's ammonia cloud

(Ackerman & Marley 2001) and the J-K colors of L dwarfs (e.g., Knapp et al. 2004). However, the excess TiO opacity in our previous models, particularly in the J band, may have led us to overestimate the  $f_{\rm sed}$  value for L dwarfs. Accordingly, here we consider models with  $f_{\rm sed}=1,2,3,4$ , and nc. The final grid contains 270 models.

Dynamical processes in the atmospheres of individual objects ultimately control the growth and sedimentation of condensates and the optical properties of the various cloud decks expected in these atmospheres (e.g., Helling et al. 2001, 2004; Woitke & Helling 2003, 2004; Helling & Woitke 2006). Although our modeling parameter,  $f_{\rm sed}$ , aims to self-consistently capture these processes in a tractable way, the global properties of each individual object must ultimately define the true properties of the clouds. However, we do not yet understand how differences in metallicity, rotation rate, effective temperature, and gravity might manifest themselves as different values of  $f_{\rm sed}$ . Therefore, while we treat this parameter on the same footing as g and  $T_{\rm eff}$  for fitting purposes, we recognize that, ultimately, more complete models would find that  $f_{\rm sed}$  is determined by the global physical properties of individual objects.

For each combination of gravity, effective temperature, and sedimentation efficiency, we compute a self-consistent atmospheric P/T profile. Using this profile and employing the same atmospheric chemistry and opacities, we compute a high-resolution synthetic spectrum. This spectrum is then smoothed to the resolving power of the data using a Gaussian kernel and resampled onto the same wavelength grid. Figures 2 and 3 show a sequence of synthetic spectra, smoothed to R=500 for clarity, with atmospheric parameters typical of an L dwarf ( $T_{\rm eff}=1800$  K,  $\log g=5.0$ ,  $f_{\rm sed}=2$ ) and a T dwarf ( $T_{\rm eff}=1100$  K,  $\log g=5.0$ ,  $f_{\rm sed}=100$ ). The three panels in each figure illustrate the variations in spectral morphology when just one of the three parameters is changed.

The top panels show the changes in spectral morphology due to variations in  $T_{\rm eff}$  at a fixed g and  $f_{\rm sed}$ . With decreasing  $T_{\rm eff}$ , the L dwarfs (Fig. 2) become redder in the near-infrared and the depths of the H<sub>2</sub>O bands become deeper. Note also that the Q-branch of the  $\nu_3$  fundamental band of CH<sub>4</sub> at 3.3  $\mu$ m is present at  $T_{\rm eff}=1700$  K. The depths of the H<sub>2</sub>O, CH<sub>4</sub>, and NH<sub>3</sub> bands in the spectra of the T dwarfs (Fig. 3) become deeper with decreasing  $T_{\rm eff}$ , but in contrast to the L dwarfs, the T dwarfs become slightly bluer in the near-infrared.

The middle panels show the changes in spectral morphology due to variations in g at a fixed  $T_{\text{eff}}$  and  $f_{\text{sed}}$ . In the L dwarfs the primary effect of increasing q is to weaken the near-infrared  $H_2O$ absorption bands. At these temperatures, the molecular abundances of H<sub>2</sub>O and CH<sub>4</sub> depend weakly on the atmospheric pressure and are thus not sensitive to changes in gravity. The change in the H<sub>2</sub>O band strengths is instead a result of the fact that, at a given pressure level in the atmosphere, the higher gravity models are cooler and as a result have thicker condensate clouds. The clouds produce a grayer emergent spectrum and are therefore responsible for the decreased depth of the H<sub>2</sub>O bands. In contrast to the L dwarfs, the H<sub>2</sub>O and CH<sub>4</sub> band depths in the spectra of T dwarfs are only marginally affected by changes in g, except in the K band. The peak flux level in the K band diminishes with increasing g due to an increase in the CIA of H<sub>2</sub>. This broad, featureless absorption band (e.g., Borysow et al. 1997) centered at 2.4  $\mu$ m is very sensitive to atmospheric pressure, and thus g, since it involves the collisions of particles ( $\kappa_{\nu} \propto n_{\rm gas}^2$ , where  $n_{\rm gas}$  is the number density

Finally, the bottom panels show the changes in spectral morphology due to variations in the cloud sedimentation parameter

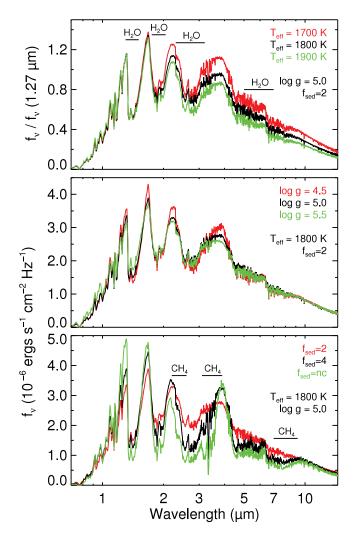


Fig. 2.—Comparison of synthetic spectra with atmospheric parameters typical of an L dwarf ( $T_{\rm eff}=1800~{\rm K}$ ,  $\log g=5.0$ ,  $f_{\rm sed}=2$ ) when  $T_{\rm eff}$ ,  $\log g$ , and  $f_{\rm sed}$  are varied. The models have been smoothed to R=500 and normalized to unity at 1.27  $\mu{\rm m}$  in the top panel to show the relative variations in the models as a function of  $T_{\rm eff}$ . The flux density units of the models in the middle and bottom panels correspond to the emergent flux at the top of the atmosphere.

 $f_{\rm sed}$  at a fixed  $T_{\rm eff}$  and g. Changes in  $f_{\rm sed}$  produce the most dramatic variations in spectral morphology (particularly in the  $1-6~\mu{\rm m}$  region) underscoring the importance of correctly modeling the formation and subsequent sedimentation of condensates. The additional condensate opacity results in emergent spectra that are redder at near-infrared wavelengths than those from the cloudless models ( $f_{\rm sed}={\rm nc}$ ). The impact of the clouds on the emergent spectra of ultracool dwarfs becomes more dramatic with lower  $f_{\rm sed}$  values because the clouds are thicker and thus contribute more opacity to the atmosphere.

### 4. ATMOSPHERIC PARAMETERS OF L AND T DWARFS

### 4.1. Fitting Technique

The one-dimensional model atmospheres predict the emergent flux density  $\mathcal{F}_{\nu}$  ( $\mathcal{F}_{\nu}=2\pi\int_{0}^{\infty}I_{\nu}\mu\,d\mu$ ) as a function of wavelength (i.e., a synthetic spectrum) at the surface of a star. The synthetic spectra must be multiplied by  $(R/d)^2$ , where R is the stellar radius and d is the stellar distance, in order to obtain fluxes at Earth and directly compare them to observations. Although the parallaxes of nearly 80 L and T dwarfs have been measured (e.g., Dahn et al. 2002; Tinney et al. 2003; Vrba et al. 2004; Costa et al. 2006),

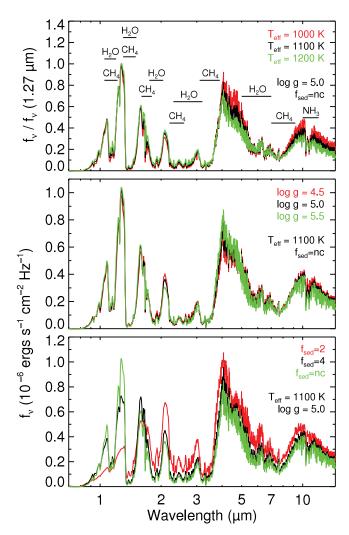


Fig. 3.—Same as Fig. 2, but for the atmospheric parameters of a typical T dwarf ( $T_{\rm eff}=1100~{\rm K}$ ,  $\log g=5.0$ ,  $f_{\rm sed}={\rm nc}$ ).

the radii of field L and T dwarfs are currently unknown. Therefore, we can compare only the relative shapes of the modeled spectral energy distributions (SEDs) to the data.

For each model k, hereafter denoted by the triplet  $[T_{\text{eff}}/\log g/f_{\text{sed}}]$ , we compute a goodness-of-fit statistic  $G_k$  defined by  $^{12}$ 

$$G_k = \sum_{i=1}^n w_i \left( \frac{f_i - C_k \mathcal{F}_{k,i}}{\sigma_i} \right)^2, \tag{1}$$

where n is the number of data pixels;  $w_i$  is the weight for the ith wavelength;  $f_i$  and  $\mathcal{F}_{k,i}$  are the flux densities of the data and model k, respectively;  $\sigma_i$  are the errors in the observed flux densities; and  $C_k$  is the unknown multiplicative constant equal to  $(R/d)^2$ . For each model k, the constant  $C_k$  is determined by minimizing  $G_k$  with respect to  $C_k$  and is given by

$$C_k = \frac{\sum w_i f_i \mathcal{F}_{k,i} / \sigma_i^2}{\sum w_i \mathcal{F}_{k,i}^2 / \sigma_i^2}.$$
 (2)

<sup>12</sup> We also tested the usefulness of the statistic  $G_k = \sum_{i=1}^n w_i [(f_i - C\mathcal{F}_{k,i})/f_i]^2$ , since most published spectra do not have errors associated with the flux density values. However, as noted by Takeda (1995), such a statistic gives more weight to wavelengths with low flux values. Typically, ultracool dwarf spectra have very low S/Ns at such wavelengths; thus, care must be taken when using such a statistic.

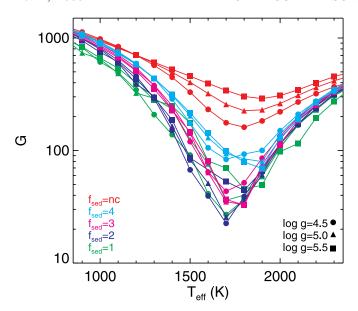


Fig. 4.—G values as a function of  $T_{\rm eff}$  for the 0.95–14.5  $\mu m$  spectrum of 2MASS 1507–1627 (L5). There is a clear minimum in G at 1700 K.

We select the best-fitting synthetic spectrum by locating the global minimum of the *G* values for all of the model spectra in our grid.

We have no a priori reason to favor one wavelength range over another; therefore, in principle, all wavelength points could receive equal weight in our fits. However, given the large variation in the wavelength sampling of our data (e.g., the near-infrared spectra cover only  $\sim$ 2  $\mu$ m but have  $\sim$ 20 times more wavelength points than the Spitzer IRS spectra, which cover  $\sim 10 \,\mu\text{m}$ ), G would be heavily biased toward near-infrared wavelengths if  $w_i$  was set to unity for all i. We therefore choose to weight each pixel by its width in microns ( $w_i = \Delta \lambda_i$ ). In § 5.2 we discuss the systematic effects that our choice of weights has on our results. Since the line lists of  $CH_4$  are incomplete in the H band (Burrows et al. 2001; Freedman et al. 2008), and the  $E^4\Pi - A^4\Pi$  system of FeH at  $1.6 \mu m$ , which is present in the spectra of the L dwarfs (Wallace & Hinkle 2001; Cushing et al. 2003), lacks a line list, we also set the weights of the pixels from 1.58 to 1.75  $\mu$ m (roughly the center of the H band) to zero.

As an example of our procedure, Figure 4 shows the G values of all the synthetic spectra in our grid as a function of  $T_{\rm eff}$  computed for the spectrum of 2MASS 1507–1627 (L5). In this case, the best-fitting synthetic spectrum has the parameters [1700/4.5/2]. However, there are additional synthetic spectra that yield similar minimum G values. How, then, can we determine the quality of a given fit? Although G is mathematically similar to the  $\chi^2$  statistic, it does not follow a  $\chi^2$  distribution,  $\chi^2$  and as a result we cannot employ standard hypothesis testing techniques to assess the quality of the fits.

We therefore perform a Monte Carlo simulation to determine the range of synthetic spectra that fit the data given the observational errors. For each observed spectrum, we generate 2000 simulated data sets, wherein the flux at each wavelength point is randomly drawn from a Gaussian distribution centered on the observed flux, and with a width given by the observed variance in the individual pixel. Since each 0.65–14.5  $\mu$ m spectrum is constructed by combining spectra that were absolutely flux-calibrated

independently, the error in any given flux density value also includes a correlated component that arises from the fact that during the absolute flux-calibration process, all of the flux density values over a range of wavelengths (e.g.,  $1-2.5~\mu m$ ) are scaled by a constant to adjust the overall flux level to match broadband photometry. We therefore scale the simulated flux density values by  $10^{-0.4K}$ , where K is randomly drawn from a Gaussian distribution centered on zero, and with a width given by the variance of the photometry (in magnitudes).

A simulated data set  $s_i$  is constructed from m spectra, each having its own  $\sigma_{ph}^2$ . For a given spectrum j, the simulated data set  $s_i$  is given by

$$s_i = \mathcal{N}(f_i, \sigma_i^2) 10^{-0.4\mathcal{N}(0, \sigma_{\text{ph}, j}^2)},$$
 (3)

where  $\mathcal{N}(\mu,\sigma^2)$  represents a value randomly drawn from a Gaussian distribution with mean  $\mu$  and variance  $\sigma^2$  and  $\sigma_{\mathrm{ph},j}^2$  is the variance of the photometry used to absolutely flux-calibrate the spectrum j. We then replace  $f_i$  with  $s_i$  in equations (1) and (2) and recompute the best-fitting synthetic spectrum. The quality of the fit between the data and a given synthetic spectrum is given by  $f_{\mathrm{MC}}$ , the fraction of the 2000 simulations in which the given synthetic spectrum is identified as the best-fitting spectrum. In the case described above, the synthetic spectrum with the parameters [1700/4.5/2] has  $f_{\mathrm{MC}}=1.000$  and thus is the best representation of the data in our grid of synthetic spectra. Values of  $f_{\mathrm{MC}}$  much less than unity imply that more than one synthetic spectrum in the grid fits the data well.

The best-fitting model parameters are given on the model grid points without interpolation. Nominally, this would give an internal uncertainty of half of the grid spacing, or  $\pm 50$  K in  $T_{\rm eff}$ ,  $\pm 0.25$  in log g, and  $\pm 0.5$  in  $f_{\text{sed}}$ . In practice, the best-fitting models nearly all have  $f_{\rm MC} \sim 1$ , which shows that the internal uncertainties on the fitting parameters are even smaller than those nominal values. As we see in § 5, our fits of the ultracool dwarf SEDs are affected by systematic effects that dominate the internal uncertainties and that are difficult to quantify. In addition, changes in the models (e.g., the inclusion of [Fe/H] as an additional parameter) or the use of a different goodness-of-fit statistic could yield different atmospheric parameters than we find here. An accurate determination of physical parameters of ultracool dwarfs will therefore require the development of more complete model atmospheres. Nonetheless, trends in the fitted parameters as revealed by this work should improve our understanding of the physics of ultracool dwarfs.

#### 4.2. Fits of the Entire SED

We fit the 0.95–14.5  $\mu$ m spectrum of each dwarf as described in the previous section. Although the data extend blueward to  $0.65 \mu m$ , the analysis was limited to wavelengths greater than  $0.95 \mu m$  because the pressure-broadened wing of the resonant K I doublet ( $\lambda\lambda$ 7665, 7699) that extends to  $\sim$ 0.9  $\mu$ m is exceedingly difficult to model (Burrows & Volobuyev 2003). Table 3 lists the best-fitting model parameters ( $T_{\text{eff}}$ , log g,  $f_{\text{sed}}$ ) for each dwarf in our sample, along with the fraction of the Monte Carlo simulations ( $f_{\rm MC}$ ) in which the given triplet was identified as the best-fitting model. All models with  $f_{\rm MC} > 0.1$  are listed. A summary of the derived parameters is shown in Figure 5. Seven of the nine dwarfs in our sample have best-fitting synthetic spectra with  $f_{\rm MC} \sim 1$ , indicating that they are the only reasonable model spectra in our grid for these dwarfs. There are two model spectra for 2MASS 1439+1929 (L1) that differ by 1 in  $f_{\text{sed}}$  with nearly equal  $f_{MC}$  values, while SDSS 1254–0122 (T2) has two models with  $f_{\rm MC} > 0.1$  that differ by 0.5 dex in log g and 1 in  $f_{\rm sed}$ .

<sup>&</sup>lt;sup>13</sup> The  $\chi^2$  distribution with  $\nu$  degrees of freedom is defined as the sum of  $\nu^2$  standard normal deviates or  $\chi^2_{\nu} = \sum z_i^2$ , where  $z_i = (x_i - \mu)/\sigma_i$ . Since the models are known to have systematic errors, the standardized residuals  $z_i = (f_i - C_k \mathcal{F}_{k,i})/\sigma_i$  are not standard normal deviates; thus, G does not follow a  $\chi^2$  distribution.

TABLE 3
Derived Parameters for L and T Dwarfs (0.9–14.5  $\mu$ m)

				AT						
	Spectral Type <sup>a</sup>		By Spectral Fitting				By Evolutionary	Golimowski et al.		
			$T_{\rm eff}$	$\log g$			Sequences $\log g$	$T_{\rm eff}$ (K)		
Овјест	Optical	Infrared	(K)	$(\text{cm s}^{-2})$	$f_{\rm sed}$	$f_{ m MC}$	$(\text{cm s}^{-2})$	0.1 Gyr	3 Gyr	10 Gyr
2MASS J1439+1929	L1	L1	2100	5.0	2	0.582	5.1	1950	2250	2275
			2100	5.0	3	0.418	5.1	1950	2250	2275
2MASS J1506+1321	L3		1800	4.5	1	1.000				
2MASS J0036+1821	L3.5	$L4 \pm 1$	1700	5.5	3	1.000	4.9	1650	1900	1975
2MASS J2224-0158	L4.5	L3.5	1700	4.5	1	0.999	5.3	1475	1750	1800
2MASS J1507-1627	L5	L5.5	1700	4.5	2	1.000	5.4	1475	1750	1800
2MASS J0825+2115	L7.5	L6	1400	4.5	1	1.000	5.4	1175	1425	1475
DENIS 0255-4700	L8	L9	1400	5.5	2	0.988	5.5	1150 <sup>b</sup>	1375	1425
SDSS J1254-0122	T2	T2	1200	5.0	3	0.831	4.7	1150	1425	1500
			1200	5.5	2	0.105	4.9	1150	1425	1500
2MASS J0559-1404	T5	T4.5	1200	5.5	4	0.999	4.7	1150	1425	1500

Note.—Only models with  $f_{\rm MC} > 0.1$  are listed.

<sup>a</sup> Spectral types of the L dwarfs are from Kirkpatrick et al. (1999, 2000), Reid et al. (2000), Gizis et al. (2000), Geballe et al. (2002), Knapp et al. (2004), Burgasser et al. (2006b), and J. D. Kirkpatrick (in preparation). Spectral types of the T dwarfs are from Burgasser et al. (2003a, 2006b). Errors on spectral types are  $\pm 0.5$  subclass unless otherwise noted. <sup>b</sup> DENIS 0255–4700 was not included in the Golimowski et al. (2004) sample. We have computed its  $L_{bol}$  using the new parallax measurement of Costa et al. (2006). The bolometric flux was determined by integrating over its  $0.6-14.5~\mu m$  spectrum as described in Cushing et al. (2006).

The derived  $T_{\rm eff}$  values decrease steadily with later spectral type, but the difference in  $T_{\rm eff}$  between 2MASS 0825+2115 (L7.5) and 2MASS 0559–1404 (T4.5) is only 200 K, which confirms that the transition between the L and T dwarfs occurs over a narrow range in  $T_{\rm eff}$ , as has been noted previously (e.g., Kirkpatrick et al. 1999; Burgasser et al. 2002b; Golimowski et al. 2004). Golimowski et al. (2004) derive the effective temperatures of ~40 L and T dwarfs using their observed bolometric luminosities and evolutionary models (see Dahn et al. [2002], Vrba et al. [2004], and Basri et al. [2000] for alternative  $T_{\rm eff}$  scales for L and T dwarfs). Seven of these dwarfs are included in our sample, so we also show in Table 3 the Golimowski et al.  $T_{\rm eff}$  values for ages of 0.1, 3, and 10 Gyr. The agreement between the two sets of values is excellent, as all of our derived values fall within their 0.1–10 Gyr ranges.

The comparison of our derived  $T_{\rm eff}$  values with those of Golimowski et al. (2004) implies that if they are single objects, seven of the nine dwarfs in our sample are much younger than 3 Gyr. Our evolutionary calculations (M. S. Marley et al. 2008, in preparation) also indicate that if we take the derived  $T_{\rm eff}$  and g values at face value, five of the L dwarfs would be younger than 0.2 Gyr. This is primarily a consequence of the low gravities obtained from fitting the SEDs. It seems unlikely that our sample would be biased toward such young ages, given that the mean age of the L and T dwarf field population is several gigayears (Dahn et al. 2002; Allen et al. 2005). This suggests that fitting the SEDs of ultracool dwarfs is a poor method of determining gravities. Fortunately, we can obtain the gravity using an alternate method.

When the parallax of an object is known, its radius can be determined using the fitted normalization constant (eq. [2]), since  $C_k = (R/d)^2$ . With ultracool dwarf evolution sequences,  $(T_{\rm eff}, R)$  values can be transformed uniquely into  $(T_{\rm eff}, g)$  values. The gravities obtained with this alternate method are given in Table 3 and are shown in green in Figure 5 (top). The scatter in log g is reduced, and nearly all gravities are above  $\log g = 5.0$ . Our evolution sequences show that all nine objects now have ages above 0.3 Gyr. This alternate method only weakly depends on the relatively subtle variations in spectral morphology arising from changes in g (Figs. 2 and 3) because it relies primarily on fitting the absolute

flux level of the SED. The reduced scatter in  $\log g$  and the older age distribution of our sample indicate that the method provides gravity estimates that are more reliable than those obtained by direct spectral fitting. Hereafter, we do not compute new model atmospheres with these new gravities but rather continue to compare the data to models in our grid with  $\log g = 4.5, 5.0$ , and 5.5.

We also note that the theoretical evolution of ultracool dwarfs with cloudy atmospheres is limited to  $\log g \leq 5.38$ , although the evolution with cloudless atmospheres can reach slightly higher gravities of log  $g \sim 5.48$ . Because the mass- $T_{\rm eff}$ -radius relation of brown dwarfs is well established on theoretical grounds, it provides a firm boundary to physically plausible combinations of  $T_{\rm eff}$  and g. We find that the log g values of three of our objects (derived using the alternate method) exceed the limit for ultracool dwarfs with cloudy atmospheres, which is an indication that their fitted  $T_{\rm eff}$  values are overestimated by  $\sim 10-20$  K. This result can be understood by noting that the gravity of brown dwarfs is a very sensitive function of  $T_{\text{eff}}$  for a fixed  $L_{\text{bol}}$  (see, e.g., Fig. 1 of Saumon et al. 2006). This is a consequence of the mass-radius relation for degenerate stars, where  $\bar{R} \sim M^{-1/3}$ . Since  $L_{\rm bol} \sim$  $R^2 T_{\rm eff}^4$ , it follows that at constant  $L_{\rm bol}$ ,  $g \sim T_{\rm eff}^{10}$ . From this approximate relation, a 7% variation in  $T_{\text{eff}}$  changes the gravity by 0.3 dex.

Two objects in our sample call for a more detailed discussion. SDSS 1254-0122 (T2) and especially 2MASS 0559-1404 (T4.5) stand out as being overluminous for their spectral types (Burgasser 2007). It has therefore been suggested that the two objects may be unresolved binaries (Golimowski et al. 2004; Vrba et al. 2004; Liu et al. 2006; Burgasser 2007), although neither dwarf has been resolved into a binary in high-resolution imaging (Burgasser et al. 2003b, 2006c). We find, as did Golimowski et al. (2004), that the two objects share essentially the same  $T_{\text{eff}}$ , although we derive  $T_{\rm eff} = 1200$  K while Golimowski et al. find  $T_{\rm eff} = 1475$  K (assuming ages of 3 Gyr). If SDSS 1254-0122 and 2MASS 0559-1404 are equal-magnitude binaries, then the  $L_{bol}$  of each component is reduced by a factor of 2, and the Golimowski et al.  $T_{\rm eff}$ value becomes  $\sim$ 1200 K, which is in much better agreement with our value. Given these new parameters, our evolutionary sequences provide the corresponding properties for the individual

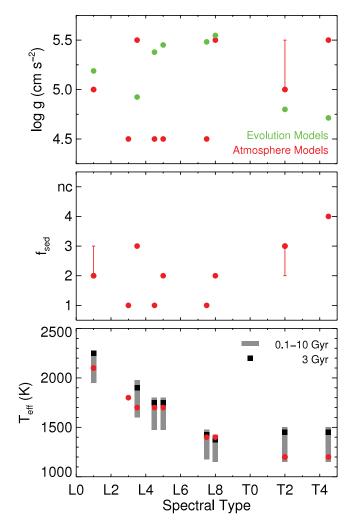


Fig. 5.—Summary of the best-fitting model triplet ( $T_{\rm eff}/\log g/f_{\rm sed}$ ) values over the 0.95–14.5  $\mu{\rm m}$  wavelength range for each dwarf in our sample (red circles). Error bars denote the range of parameters for the models with  $f_{\rm MC}>0.1$ . In the top panel, the green circles are the  $\log g$  values derived using evolutionary models. In the bottom panel, the gray regions denote the  $T_{\rm eff}$  range corresponding to an age range of 0.1–10 Gyr, and the black squares denote the  $T_{\rm eff}$  corresponding to 3 Gyr (Golimowski et al. 2004 ). All of the  $T_{\rm eff}$  determinations fall within the 0.1–10 Gyr  $T_{\rm eff}$  range. 2MASS 1506+1321 (L3) lacks a parallax and therefore does not have a  $T_{\rm eff}$  range plotted.

components. To satisfy both the constraints of component luminosity and the maximum allowed gravity,  $T_{\rm eff}$  has to be reduced slightly but remain within the uncertainties of our spectral fits. The properties of the individual components are given in Table 4.

Whether SDSS 1254–0122 and 2MASS 0559–1404 are singles or equal-mass binaries, they have essentially the same effective temperature. The difference in the appearance of their spectra (T2 vs. T4.5) can be explained as primarily due to a difference in

their cloud properties, as suggested by our fits that indicate the clouds are thinner (larger  $f_{\rm sed}$ ) in 2MASS 0559–1404 than in SDSS 1254–0122. Another possibility would be for SDSS 1254–0122 to be a single brown dwarf (or a strongly unequal-mass binary) and for 2MASS 0559–1404 to be an equal-mass binary. This would make the latter's individual components less luminous than SDSS 1254–0122 (or its primary) and is consistent with their position in the  $M_{\rm bol}$  versus spectral type diagram (Burgasser 2007).

A fair amount of scatter is found in the  $f_{\rm sed}$  values of the L dwarfs, with an indication that  $f_{\rm sed}$  increases toward the later T spectral class, as would be expected if clouds play a decreasing role in shaping the SED of T dwarfs (e.g., Marley et al. 2002; Burrows et al. 2006). Among the L dwarfs in our sample,  $f_{\rm sed}$  ranges from 1 to 3, implying that cloud opacity varies appreciably among L dwarfs (Fig. 2, bottom) with little, if any, dependence on spectral type. It would be particularly interesting to establish the distribution of  $f_{\rm sed}$  as a function of spectral type with a larger sample.

Figure 6 shows the 0.95–14.5  $\mu$ m spectra of 2MASS 1439+1929 (L1), 2MASS 0036+1821 (L3.5), 2MASS 1507–1627 (L5), DENIS 0255–4700 (L8), SDSS J1254–0122 (T2), and 2MASS 0559–1404 (T4.5), along with the best-fitting model spectra. The gray regions indicate wavelength ranges that were excluded from the fits. The models fit the data reasonably well at the earliest and latest spectral types, but they do a poorer job of fitting the data in the mid- to late-type L dwarfs and the early-type T dwarf. This range of spectral types corresponds to the L/T transition, where condensate clouds have their largest impact on the SED of ultracool dwarfs. The relatively poor fits at the L/T transition most likely reflect the limitations of our simple cloud model.

In particular, the best-fitting model spectra match both the peaks and depths of the  $\rm H_2O$  bands centered at 1.4, 1.9, and 2.6  $\mu m$  well. The models do, however, underestimate the  $\it K$ -band flux density levels of 2MASS 1439+1929 (L1) and 2MASS 0036+1821 (L3.5). In addition, the models also overestimate the depth of the  $\it L$ -band CH<sub>4</sub> absorption bands of both DENIS 0255–4700 (L8) and SDSS 1254–0122 (T2), as well as the 5.5–14.5  $\mu m$  flux levels of DENIS 0255–4700 (L8). We defer discussion of the possible causes of these mismatches to § 4.3. Finally, the poor match between the model spectrum and data of 2MASS 0559–1404 in the  $\it H$  band is a result of the woefully incomplete line list of CH<sub>4</sub> at these wavelengths (Burrows et al. 2001; Freedman et al. 2008).

### 4.3. Description of Individual Bands

In the following sections, we describe how well the best-fitting synthetic spectra match the data over narrower wavelength ranges. Figures 7–12 show the same data and model spectra presented in Figure 6 but over the wavelengths covered by the photometric bandpasses Y, Y, Y, Y, and Y and the Short-Low module of the IRS on board Y (hereafter IRS/SL). Although the most

TABLE 4
THE PROPERTIES OF SDSS 1254-0122 AND 2MASS 0559-1404

	SD	SS 1254-0122	2MASS 0559-1404			
PARAMETER	Single	Equal-Mass Binary	Single	Equal-Mass Binary		
$\log (L_{\rm bol}/L_{\odot})$	-4.60	-4.90	-4.63	-4.93		
<i>T</i> <sub>eff</sub> (K)	1200	<1160	1200	<1150		
$\log g \text{ (cm s}^{-2})$	4.71	< 5.38	4.80	< 5.38		
Age (Gyr)	~0.3	<10	~0.4	<10		

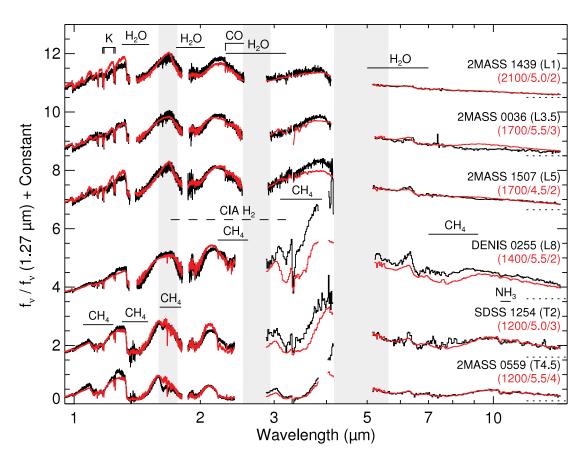


Fig. 6.—The  $0.95-14.5~\mu m$  spectra of 2MASS 1439+1929 (L1), 2MASS 0036+1821 (L3.5), 2MASS 1507-1627 (L5), DENIS 0255-4700 (L8), SDSS 1254-0122 (T2), and 2MASS 0559-1404 (T4.5) (black lines). The data have been normalized to unity at  $1.27~\mu m$  and offset (dotted lines) for clarity. The best-fitting models ( $T_{\rm eff}/\log g/f_{\rm sed}$ ) are shown in red and have been normalized and offset with the same constants as the data. The models were multiplied by the constant C (see  $\S$  4.1) before normalization to preserve the relative flux levels between the data and models. Regions not included in the fits are shown in light gray.

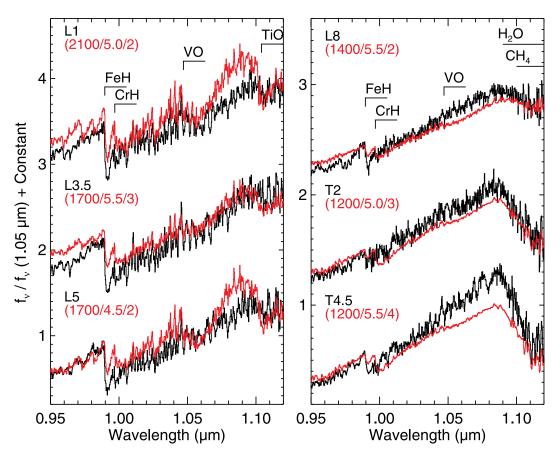


Fig. 7.—Same as Fig. 6, except the data cover the Y band and were normalized at 1.05  $\mu$ m.

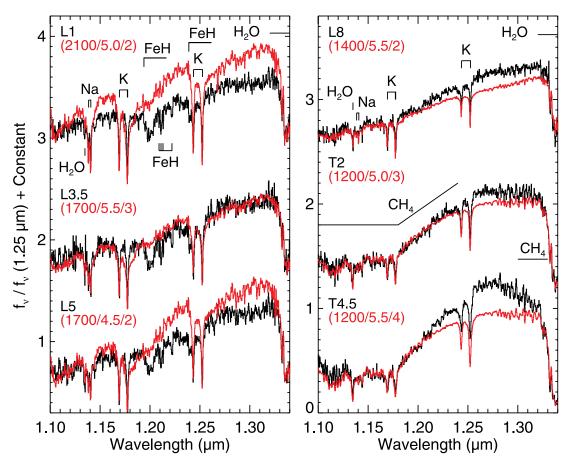


Fig. 8.—Same as Fig. 6, except the data cover the J band and were normalized at 1.25  $\mu m$ .

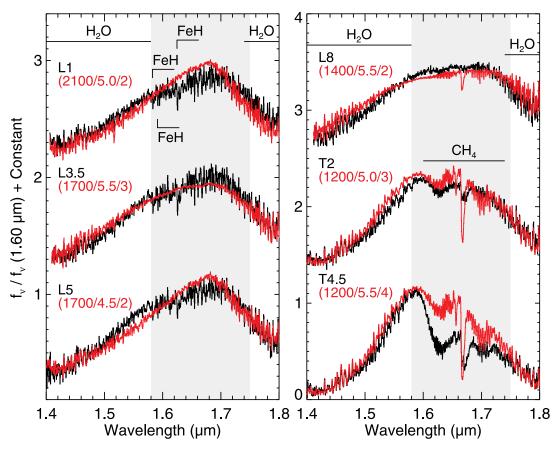


Fig. 9.—Same as Fig. 6, except the data cover the H band and were normalized at 1.6  $\mu$ m.

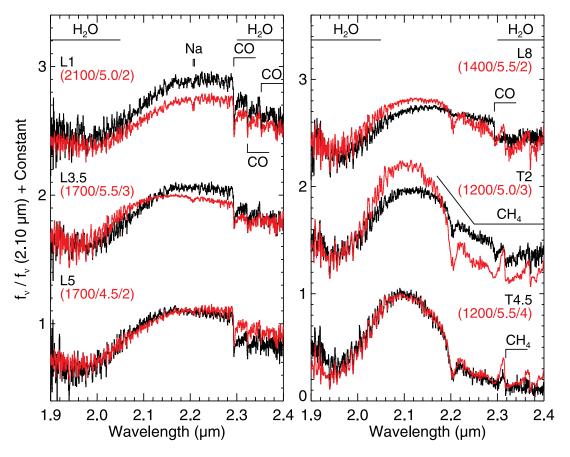


Fig. 10.—Same as Fig. 6, except the data cover the K band and were normalized at 2.1  $\mu$ m.

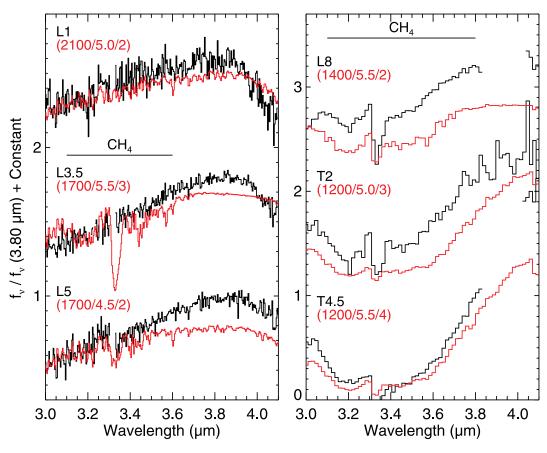


Fig. 11.—Same as Fig. 6, except the data cover the L band and were normalized at 3.8  $\mu m$ .

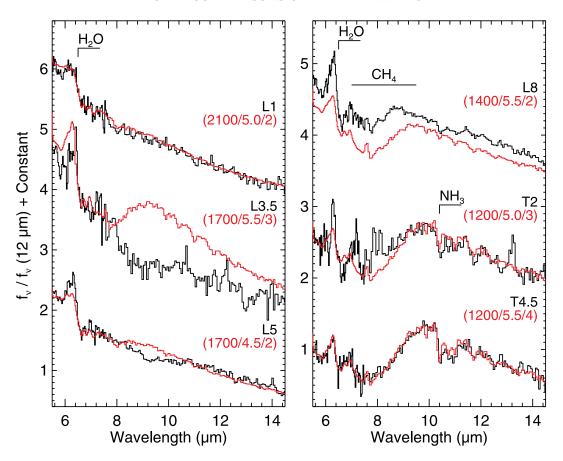


Fig. 12.—Same as Fig. 6, except the data cover the IRS/SL wavelength range and were normalized at 12  $\mu$ m.

prominent features in the spectra of the L and T dwarfs are briefly described in each section, more detailed descriptions can be found elsewhere (Kirkpatrick et al. 1999; Burgasser et al. 2003a; McLean et al. 2003; Cushing et al. 2005, 2006).

### 4.3.1. The Y Band

Figure 7 shows the spectra and best-fitting models over the wavelength range 0.95–1.1  $\mu m$ , which roughly corresponds to the Y band (Hillenbrand et al. 2002). The spectra of the L dwarfs are dominated by absorption features arising from the 0–0 band of the  $F^4\Delta-X^4\Delta$  system of FeH. The strongest FeH feature is the band head at 0.9896  $\mu m$ , but weak FeH features are seen in the spectra of the L dwarfs throughout this wavelength range. The 0–0 band of the  $A^4\Pi-X^4\Sigma^-$  system of VO centered at  $\sim\!1.06~\mu m$  is also present in the spectra of the early-type L dwarfs, and the FeH band head at 0.9896  $\mu m$  is weakly present in the spectra of SDSS J1254–0122 (T2) and 2MASS 0559–1404 (T4.5). Finally,  $\rm H_2O$  and  $\rm CH_4$  bands at  $\lambda > 1.09~\mu m$  emerge at the L/T transition and strengthen through the T sequence.

The synthetic spectra fit the overall shape of the Y-band spectra of the L and T dwarfs reasonably well. In particular, they match the strengths of the  $\rm H_2O$  and  $\rm CH_4$  bands in the T dwarf spectra at  $\lambda > 1.08~\mu \rm m$ . However, there are a number of mismatches worth noting. First, the FeH band head in the synthetic spectra is systematically weaker than in the L dwarf data. Second, all of the synthetic spectra exhibit the 0–1 band head of the  $A^6\Sigma^+ - X^6\Sigma^+$  system of CrH at 0.9969  $\mu \rm m$ . This band head is often identified in the spectra of L dwarfs (e.g., Kirkpatrick et al. 1999; Kendall et al. 2007), but Cushing et al. (2003) questioned these identifications, given that many of the absorption features at this wavelength in R=2000 spectra can be associated with FeH. Reiners & Basri

(2006) recently found that no CrH absorption features can be identified in high-resolution (R = 31,000) spectra of M and L dwarfs, which indicates that CrH is not a significant absorber at these wavelengths. Therefore, the presence of this band head in the synthetic spectra is inconsistent with the observations. The 0-1 band head of the  $\phi$  system of TiO (Galehouse et al. 1980) at  $\sim$ 1.104  $\mu$ m is also too strong in all of the model spectra. The T dwarf models exhibit a broad, weak VO band centered at  $\sim$ 1.06  $\mu$ m. Observationally, this band peaks in strength at a spectral type of  $\sim$ L5 and is absent by a spectral type of L8 (Cushing et al. 2005), so the presence of this band in the models is also inconsistent with the observations. Finally, the best-fitting model spectrum underestimates the peak flux of the T4.5 dwarf 2MASS 0559-1404 because of the presence of the condensate opacity ( $f_{\text{sed}} = 4$ ). A cloudless model would match the overall shape of the Y band better (see § 4.4.1).

### 4.3.2. The J Band

Figure 8 shows the spectra and best-fitting model spectra from 1.1 to 1.34  $\mu$ m. This wavelength range contains the most prominent atomic features in the infrared spectra of L and T dwarfs, namely, the two K I doublets at  $\sim$ 1.17 and  $\sim$ 1.24  $\mu$ m and the Na I doublet at 1.14  $\mu$ m. The 0–1 and 1–2 bands of the  $F^4\Delta-X^4\Delta$  system of FeH are also present in the *J*-band spectra of the L dwarfs. These two bands exhibit band heads at 1.1939 and 1.2389  $\mu$ m, respectively, along with numerous additional weak features from 1.2 to 1.3  $\mu$ m. The 3 $\nu_3$  and  $\nu_2+2\nu_3$  bands of CH<sub>4</sub> centered at  $\sim$ 1.15 and  $\sim$ 1.4  $\mu$ m are also seen in the spectra of the T dwarfs.

The model spectra reproduce the depths of the  $H_2O$  bands at  $\lambda > 1.32~\mu m$ , the onset of the  $CH_4$  absorption in the spectra of the T dwarfs, and the weakening and eventual disappearance of

the Na I doublet at 1.14  $\mu$ m with later spectral type. However, the model spectra do a poor job of matching the peak flux levels at  $\sim$ 1.3  $\mu$ m of 2MASS 1439+1929 (L1), 2MASS 1507–1627 (L5), and 2MASS 0559–1404 (T4.5). In addition, the band heads and other weak FeH features observed in the *J*-band spectra of the L dwarfs are completely absent from the models. This behavior mirrors that in the *Y* band for which the FeH band is systematically weaker in the models than in the data. The cross sections of the 0–1 and 1–2  $\mu$ m FeH bands are an order of magnitude smaller than those of the 0–0 FeH band (Dulick et al. 2003), so it is not surprising that they are either very weak or absent in the synthetic spectra.

The models also are a poor match to the early-type L dwarfs near the 1.177  $\mu m$  K I line, since the red wing appears much too broad given the observations. This apparent line broadening in the model spectra is actually due to the presence of the 0-1 band of the  $A^4\Pi-X^4\Sigma^-$  system of VO (Cheung et al. 1982). Although this band has been identified in the spectra of young, low-gravity brown dwarfs (McGovern et al. 2004), it has not been found in the spectra of field L and T dwarfs; therefore, its presence in the model spectrum implies that the opacity of this VO band may be in error.

#### 4.3.3. The H Band

Figure 9 shows the spectra and best-fitting synthetic spectra over the 1.4–1.8  $\mu$ m wavelength range. The L dwarf spectra are shaped primarily by H<sub>2</sub>O absorption but also exhibit numerous weak absorption features, including three band heads from 1.59 to 1.74  $\mu$ m that arise from the  $E^4\Pi - A^4\Pi$  system of FeH. The  $2\nu_3$  and  $2\nu_2 + \nu_3$  bands of CH<sub>4</sub> appear at the L/T transition and strengthen through the T sequence. As explained in § 4.1, we do not include the 1.58–1.75  $\mu$ m wavelength range in the fits because the FeH band lacks a line list and the CH<sub>4</sub> line list is incomplete.

The model spectra fit the overall shape of the data reasonably well at these wavelengths. In particular, the detailed structure of the  $\rm H_2O$  bands at the short- and long-wavelength ends of the  $\rm H$  band are well matched by the model spectra. The best agreement between the data and model spectra at these wavelengths is obtained for DENIS 0255–4700 (L8) and SDSS 1254–0122 (T2) because the FeH band is either weak or absent from the data and only weak  $\rm CH_4$  absorption is present. This indicates that the  $\rm H_2O$  opacity tables are quite good in the  $\rm H$  band.

## 4.3.4. The K Band

Figure 10 shows the data and best-fitting synthetic spectra over the 1.9–2.4  $\mu m$  wavelength range. The spectra of L and T dwarfs are shaped primarily by  $H_2O$ , CO, and  $CH_4$  bands at these wavelengths. At the earliest spectral types, CO is the dominant carbonbearing gas; therefore, the  $\Delta\nu=+2$  CO overtone bands at  $\lambda\gtrsim 2.29~\mu m$  are strong. These CO bands weaken and disappear with later spectral type as  $CH_4$  becomes the dominant carbon-bearing gas. In addition, there is a Na I doublet at 2.26  $\mu m$  present in the early-type L dwarfs.

With the exception of 2MASS 1507–1627 (L5) and 2MASS 0559–1404 (T4.5), the models are a poor fit to the *K*-band spectra. The models underestimate the *K*-band flux levels of 2MASS J1439+1929 (L1) and 2MASS 0036+1821 (L3.5) and overestimate the strengths of the CH<sub>4</sub> band in DENIS 0255–4700 (L8) and SDSS J1254–0122 (T2). In addition, a weak CH<sub>4</sub> feature can be seen in the synthetic spectrum of 2MASS 0036+1821 (L3.5) at ~2.2  $\mu$ m that is not observed in spectra of ultracool dwarfs until a spectral type of ~L8. The presence of this CH<sub>4</sub> feature in the model spectrum of 2MASS 0036+1821 is a result of the fact that  $f_{\rm sed}=3$  for this model. For a comparison, the best-fitting model

for 2MASS 1507–1627 (L5) has the same  $T_{\rm eff}$  but  $f_{\rm sed}=2$  and shows no CH<sub>4</sub> feature (the change in g makes little difference in the strength of the CH<sub>4</sub> band at this  $T_{\rm eff}$ ). The  $f_{\rm sed}=3$  model is cooler in the CH<sub>4</sub> band formation region, resulting in a higher CH<sub>4</sub> abundance and a deeper absorption band.

As described in § 3, H<sub>2</sub> CIA is an important pressure-sensitive opacity source in the atmospheres of ultracool dwarfs. Compared to the absorption of other molecules, primarily H<sub>2</sub>O and CH<sub>4</sub>, H<sub>2</sub> CIA is most significant in the K band. A lower metallicity decreases the opacity of H<sub>2</sub>O and CH<sub>4</sub> relative to the H<sub>2</sub> CIA continuum, which results in stronger emission in the Y, J, and H bands with respect to the K band (Burgasser et al. 2002b). In previous work (Saumon et al. 2006, 2007) we found that our solar metallicity models systematically underestimated the K-band fluxes of late-type T dwarfs, while models with [Fe/H] = +0.3 fit the K-band peak well. Therefore, the poor match between the data and model spectra in the K band may indicate that the metallicities of the L and T dwarfs in our sample differ somewhat from the solar value employed in our chemical equilibrium calculations. Another possibility that explains the mismatch between the spectra of DENIS 0255-4700 (L8) and SDSS 1254-0122 (T2) and the best-fitting models is the cloud properties, since the cloud sedimentation efficiency  $f_{\text{sed}}$  changes from 2 to 3 to 4 from L8 to T4.5.

### 4.3.5. The L Band

Figure 11 shows the spectra and best-fitting synthetic spectra over the 3.0–4.1  $\mu$ m wavelength range. The spectra are dominated by weak H<sub>2</sub>O features and the  $\nu_3$  fundamental band of CH<sub>4</sub>. The *Q*-branch ( $\sim$ 3.3  $\mu$ m) is the first CH<sub>4</sub> feature to emerge in the spectra of the L dwarfs and does so at a spectral type of  $\sim$ L5. With increasing spectral type, the *P*- and *R*-branches emerge and strengthen through the spectral sequence until the band is saturated in the late-type T dwarfs.

The model spectra do not match the data particularly well in the L band. The most egregious mismatch is 2MASS 0036+ 1821 (L3.5), for which the model shows a very strong CH<sub>4</sub> band that is absent in the data. The presence of the CH<sub>4</sub> band is due to the fact that the best-fitting model has  $f_{sed} = 3$ , as in the K band (see  $\S$  4.3.5). The average flux density levels of the models also appear low for 2MASS 1507-1627 (L5), DENIS 0255-4700 (L8), SDSS J1254-0122 (T2), and 2MASS 0559-1404 (T4.5). In addition, the model for 2MASS J0559-1404 does not match the peak of the observed spectrum at  $\sim$ 4.1  $\mu$ m. Generally, in objects where the CH<sub>4</sub> absorption is too strong in the L band, a corresponding mismatch occurs in the K and IRS/SL bands (L8 and T2). The line lists for both H<sub>2</sub>O and CH<sub>4</sub> are reasonably complete at these wavelengths, so the most likely explanation for the mismatch is that the region of the model atmosphere from which the K- and L-band and IRS/SL flux emerges is too cool, possibly as a result of the condensate cloud model.

### 4.3.6. IRS/SL

Figure 12 shows the data and best-fitting models over the 5.5–14.5  $\mu m$  wavelength range covered by the Short Low module of the IRS (Houck et al. 2004). H<sub>2</sub>O absorption features arising from the  $\nu_2$  fundamental band and  $2\nu_2 - \nu_2$  overtone band are present throughout this wavelength range; the break in the spectra at 6.5  $\mu m$  is an H<sub>2</sub>O feature. The  $\nu_4$  fundamental band of CH<sub>4</sub> centered at  $\sim$ 7.65  $\mu m$  emerges in the spectra of late-type L dwarfs, and the  $\nu_2$  fundamental band of NH<sub>3</sub> centered at  $\sim$ 10.5  $\mu m$  appears in the spectra of the T dwarfs.

With the exception of 2MASS 0036+1821 (L3.5), the synthetic spectra match the data reasonably well. In particular, the strengths of the  $H_2O$  band at 6.5  $\mu$ m and the  $CH_4$  band at 7.8  $\mu$ m

 $TABLE\ 5$  Derived Parameters of L and T Dwarfs from Restricted Spectral Intervals

	Spectral Type <sup>a</sup>		$T_{\rm eff}$ (K)/log $g$ (cm s <sup>-2</sup> )/ $f_{\rm sed}/f_{\rm MC}$							
Овјест	Optical	Infrared	Y	J	K	L	IRS/SL	Near-Infrared		
2MASS 1439+1929	1439+1929 L1 L1 1700/5.5/3/1		1700/5.5/3/1.000	2400/4.5/1/1.000	2100/5.0/3/1.000	2400/5.5/2/0.922	2200/5.5/3/0.922	1800/5.0/3/1.000		
2MASS 1506+1321	L3		1800/5.5/4/1.000	1500/5.5/1/1.000	1900/4.5/3/0.761	2400/5.5/4/0.997	2400/5.5/1/1.000	1600/4.5/2/1.000		
					1900/4.5/2/0.240					
2MASS 0036+1821	L3.5	$L4 \pm 1$	1700/5.5/4/0.988	1700/5.5/2/1.000	2000/5.0/4/1.000	2300/5.5/nc/1.000	2400/5.5/1/0.863	1700/5.5/3/1.000		
2MASS 2224-0158	L4.5	L3.5	1600/5.5/3/0.996	1500/5.0/1/1.000	2400/5.5/nc/0.748	1900/4.5/4/0.219	2400/5.5/1/1.000	1400/4.5/1/1.000		
					2300/5.0/nc/0.133	2200/5.5/nc/0.190				
						2300/5.5/nc/0.122				
						1800/5.0/4/0.114				
2MASS 1507-1627	L5	L5.5	1600/5.5/3/1.000	1500/5.5/2/0.999	1700/5.0/3/1.000	2300/5.5/nc/1.000	1900/5.0/3/0.627	1700/5.5/3/1.000		
							2000/5.0/3/0.370			
2MASS 0825+2115	L7.5	L6	1400/5.5/2/0.549	1300/4.5/1/0.984	1500/4.5/2/0.835	1800/5.0/4/0.998	2400/5.5/nc/0.201	1400/4.5/1/1.000		
			1500/5.0/2/0.359		1700/5.0/3/0.166		2200/5.5/4/0.166			
							2300/5.5/nc/0.157			
							1900/5.5/4/0.142			
DENIS 0255-4700	L8	L9	1300/5.5/2/0.992	1300/5.5/2/0.999	1700/5.5/4/0.820	1900/5.5/nc/0.447	1200/5.5/1/0.465	1500/4.5/2/0.572		
					1800/5.5/4/0.177	1700/5.5/4/0.241	1500/4.5/2/0.218	1400/4.5/2/0.428		
						1700/5.0/4/0.186	1200/5.0/1/0.167			
SDSS 1254-0122	T2	T2	1000/4.5/2/1.000	1200/4.5/3/1.000	1500/5.5/4/1.000	1500/5.5/3/0.918	1400/5.5/nc/0.910	1400/4.5/4/1.000		
2MASS 0559-1404	T4.5	T4.5	1200/5.5/nc/1.000	1100/4.5/4/1.000	1100/4.5/2/1.000	1100/4.5/nc/0.469	1200/5.5/4/0.982	1100/5.0/4/1.000		
						1000/4.5/3/0.366				

Note.—Only models with  $f_{MC} > 0.1$  are listed.

are well matched by the model spectra. The flux level of the synthetic spectrum of DENIS 0255–4700 (L8) is low, however, and there is a slight mismatch between the model spectrum and 2MASS 1507–1627 (L5) at  $\sim$ 9  $\mu$ m that we discuss further in § 4.4.5. The mismatch between the model and the spectrum of 2MASS 0036+1821 is due to the fact that the model has  $f_{\rm sed}=3$  (see §§ 4.3.4 and 4.3.5).

## 4.4. Fits to Individual Photometric Bands

The L and T dwarf spectra studied herein cover a broad range of wavelengths, while most published spectra cover only the red optical and near-infrared wavelengths (e.g., Cruz et al. 2003; Knapp et al. 2004) or even a single photometric band (e.g., Neuhäuser et al. 2005; Metchev & Hillenbrand 2006). It is therefore appropriate to investigate how well the atmospheric parameters of L and T dwarfs can be determined by fitting L and T dwarf spectra over narrower wavelength ranges. In addition, we can also estimate the systematic errors in both the models and spectral fitting procedure by comparing how well the models fit the spectral features over narrower wavelength ranges as compared to the global  $0.95-14.5~\mu m$  fits.

We therefore fit the data over the wavelength ranges described in § 4.3, as well as over the  $0.95-2.5~\mu m$  wavelength range. For the IRS/SL and Y-, X-, and X-band fits, we set  $W_i = 1$  for all X-table 5 lists the results of the fits, and Figure 13, which is similar to the bottom panel of Figure 5, shows the corresponding  $X_{\rm eff}$  values for each dwarf. Figures 14–19 show the spectra and best-fitting models (*green lines*) along with the best-fitting models obtained by fitting the  $0.95-14.5~\mu m$  spectrum (*red lines*, same as Fig. 6).

As expected, the model spectra fit the data much better over these narrower wavelength ranges. In most cases, remarkably good fits are obtained. However, the  $T_{\rm eff}$  values derived from narrower wavelength ranges do not agree with those derived by fitting the  $0.95-14.5~\mu{\rm m}$  SEDs. Particularly poor  $T_{\rm eff}$  values are derived by

fitting the L band and IRS/SL spectra of the early- to mid-type L dwarfs (see Fig. 13). Below, we describe how the model spectra fit the data over the narrower wavelength ranges in more detail. Since the majority of the H band is not included in the fitting process (see  $\S$  4.1), we do not present a detailed description of that wavelength region below.

#### 4.4.1. Y-Band Fits

Figure 14 shows the spectra and best-fitting model spectra over the Y band. The fits reproduce the overall shape of the Y-band data considerably better than the 0.95–14.5  $\mu$ m fits for all spectral types. The remaining discrepancies highlight problems with specific molecular bands. The model FeH band heads at 0.9896  $\mu$ m are still too weak, the 0.9969  $\mu$ m CrH band heads are still present, and the TiO band heads at ~1.104  $\mu$ m are still too strong. Indeed, the CrH band head is present in all the model spectra shown in Figure 14. The strength of the ~1.06  $\mu$ m VO band is, however, much better matched when fitting the Y-band data alone. The peak flux of 2MASS 0559–1404 (T4.5) is also better matched when fitting the Y-band data alone, but the synthetic spectrum does exhibit the VO band that is absent from the data.

As shown in Figure 13, six of the nine dwarfs have derived  $T_{\rm eff}$  values that fall within the Golimowski et al. (2004) effective temperature range. However, the derived  $T_{\rm eff}$  values for the early-to mid-type L dwarfs (L1–L5) differ by no more than 200 K, while the Golimowski et al. (2004) 3 Gyr effective temperatures and the  $T_{\rm eff}$  values derived by fitting the 0.95–14.5  $\mu$ m spectra vary by 500 K over the same spectral-type range, which indicates that the Y band is not a sensitive indicator of effective temperature at these spectral types.

# 4.4.2. J-Band Fits

Figure 15 shows the spectra and best-fitting models over the 1.1–1.34  $\mu$ m wavelength range. Fits to the *J*-band spectra alone significantly improve for those dwarfs where the global fit is rather

<sup>&</sup>lt;sup>a</sup> Spectral types of the L dwarfs are from Kirkpatrick et al. (1999, 2000), Reid et al. (2000), Gizis et al. (2000), Geballe et al. (2002), Knapp et al. (2004), Burgasser et al. (2006b), and J. D. Kirkpatrick (in preparation). Spectral types of the T dwarfs are from Burgasser et al. (2003a, 2006b). Errors on spectral types are ±0.5 subclass unless otherwise noted.

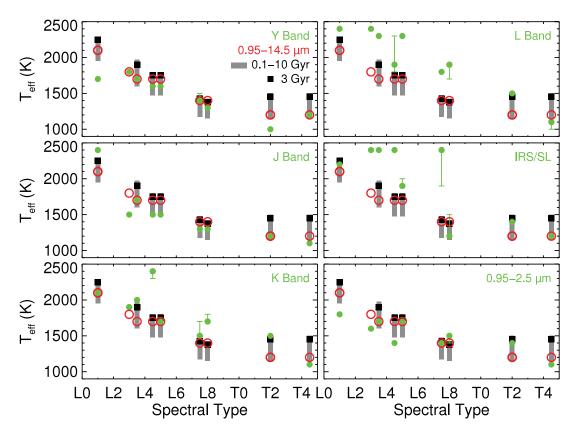


Fig. 13.—Summary of the best-fitting  $T_{\rm eff}$  values (*green circles*) over the Y,J,K, and L bands, as well as the IRS/SL and the  $0.95-2.5~\mu m$  wavelength ranges. Shown as open red circles are the best-fitting  $T_{\rm eff}$  values derived by fitting the  $0.95-14.5~\mu m$  spectra. Error bars denote the range of  $T_{\rm eff}$  values for the models with  $f_{\rm MC}>0.1$ . The gray regions denote the  $T_{\rm eff}$  range corresponding to an age range of  $T_{\rm eff}$  values denote the  $T_{\rm eff}$  corresponding to 3 Gyr (Golimowski et al. 2004).

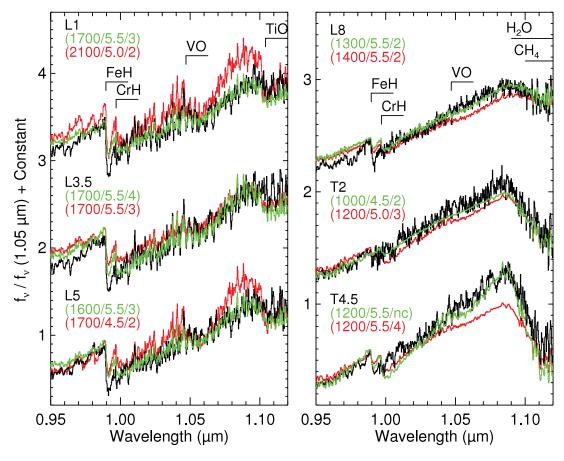


Fig. 14.—Y-band spectra of 2MASS 1439+1929 (L1), 2MASS 0036+1821 (L3.5), 2MASS 1507-1627 (L5), DENIS 0255-4700 (L8), SDSS 1254-0122 (T2), and 2MASS 0559-1404 (T4.5) (black lines). The data have been normalized to unity at 1.05  $\mu$ m and offset for clarity. The best-fitting models ( $T_{\rm eff}/\log g/f_{\rm sed}$ ) are shown in green, as well as the best-fitting models ( $T_{\rm eff}/\log g/f_{\rm sed}$ ) obtained from fitting the entire 0.95-14.5  $\mu$ m spectrum. The models have been normalized and offset with the same constants as the data and multiplied by the constant  $T_{\rm eff}/T_{\rm eff}/T_{\rm$ 

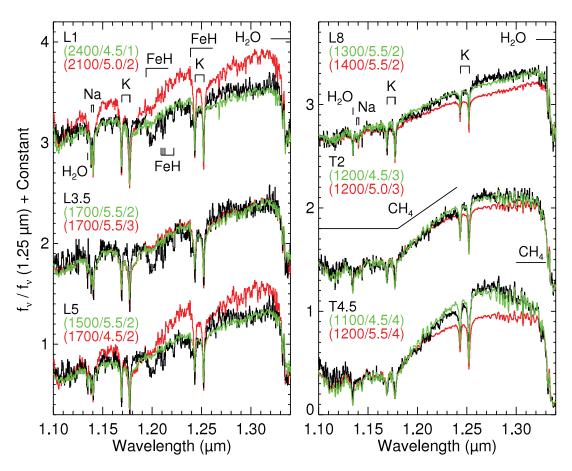


Fig. 15.—Same as Fig. 14, except the data cover the J band and were normalized at 1.25  $\mu$ m.

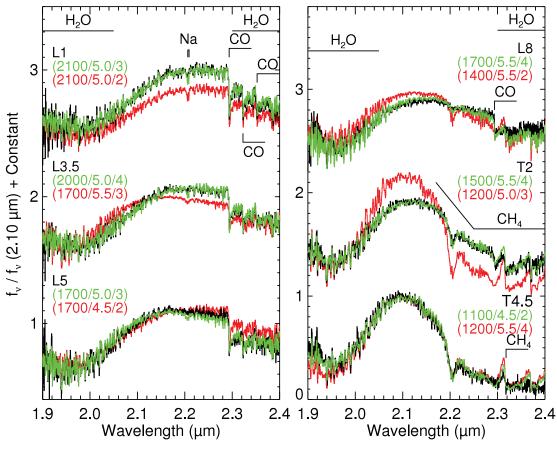


Fig. 16.—Same as Fig. 14, except the data cover the K band and were normalized at 2.1  $\mu$ m.

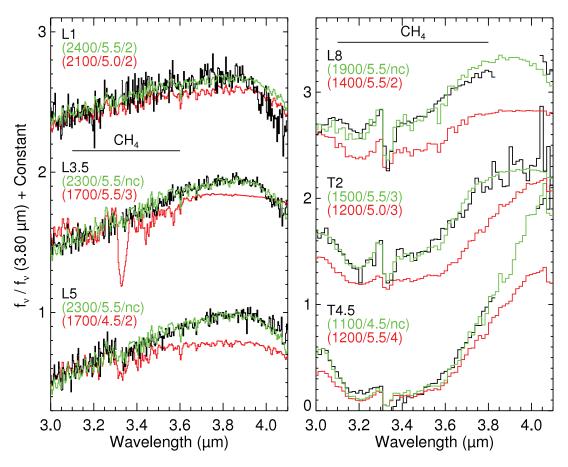


Fig. 17.—Same as Fig. 14, except the data cover the L band and were normalized at 3.8  $\mu$ m.

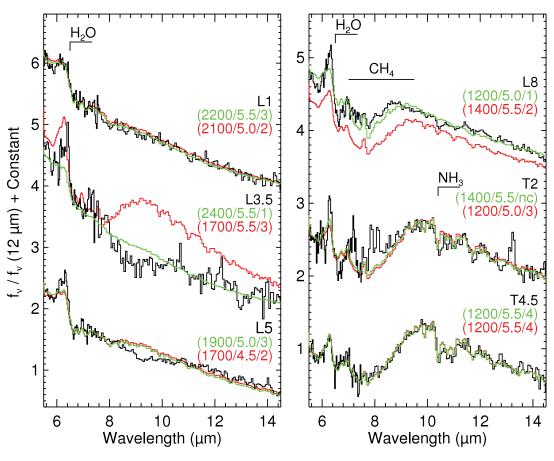


Fig. 18.—Same as Fig. 14, except the data cover the IRS/SL wavelength range and were normalized at 12  $\mu$ m.

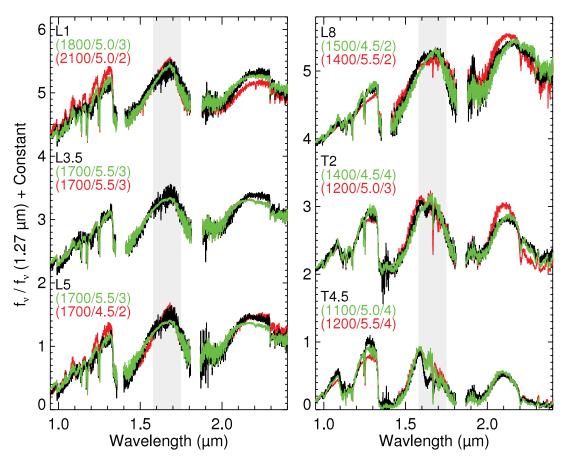


Fig. 19.—Same as Fig. 14, except the data cover the 0.95-2.5  $\mu m$  wavelength range and were normalized at 1.27  $\mu m$ .

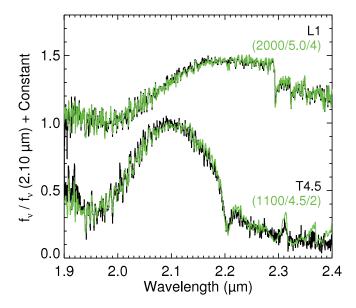


Fig. 20.—*K*-band spectra of 2MASS 1439+1929 (L1) and 2MASS 0559—1404 (T4.5) (*black lines*). The data have been normalized to unity at 2.1  $\mu$ m and offset for clarity. The best-fitting models ( $T_{\rm eff}/\log g/f_{\rm sed}$ ) are shown in green. The models have been normalized and offset with the same constants as the data and multiplied by the constant C (see § 4.1) before normalization to preserve the relative flux levels between the data and models.

poor, i.e., for 2MASS 1439+1929 (L1), 2MASS 1507-1627 (L5), and 2MASS 0559-1404 (T4.5). The FeH features are still absent from the models in the early- to mid-type L dwarfs, but overall, very good fits in the J band can be obtained for the whole spectral sequence. Except for 2MASS 1439+1929 (L1) and 2MASS 0036+1821 (L3.5), the  $T_{\rm eff}$  values obtained with the J band are consistent with those derived from fitting the full SED.

# 4.4.3. K-Band Fits

Figure 16 shows the spectra and best-fitting models over the 1.9–2.4  $\mu$ m wavelength range. The improvement in the quality of the fits is substantial when the K-band spectra are fitted alone. Indeed, the agreement between the synthetic spectra and data is at its highest at these wavelengths; the detailed structures of the  $H_2O$ , CO, and  $CH_4$  absorption features throughout this wavelength range are almost perfectly reproduced by the models (see Fig. 20). However, the improvement in the fits comes from a change in  $T_{\rm eff}$  of up to 300 K, and the K-band  $T_{\rm eff}$  values show more scatter. Blake et al. (2007) have fitted high-resolution ( $R \approx 50,000$ ) 2.296–2.308  $\mu$ m spectra of nine L dwarfs spanning spectral types L0–L6 using our model atmospheres and also find good agreement between the data and models.

# 4.4.4. L-Band Fits

Figure 17 shows the spectra and best-fitting models over the  $3.0-4.1~\mu m$  wavelength range. There is a marked improvement in the quality of the fits when the *L*-band spectra are fitted separately. The early- to mid-type L dwarfs no longer show the deep *Q*-branch CH<sub>4</sub> absorption, and the CH<sub>4</sub> band in DENIS 0255-4700 (L8), SDSS J1254-0122 (T2), and 2MASS 0559-1404 (T4.5) is well matched by the synthetic spectra. The model

spectrum now fits the peak flux in the spectrum of 2MASS 0559—1404 at 4.1  $\mu$ m well. The derived  $T_{\rm eff}$  values are systematically hotter than those we obtained from the other bands by up to 500 K for all the dwarfs except 2MASS 0559—1404 (Fig. 13), which indicates that the L band is a poor spectral region from which to derive atmospheric parameters of L and T dwarfs. The low value of  $f_{\rm MC}$  for some of the dwarfs in our sample (Table 5) reinforces this conclusion.

#### 4.4.5. IRS/SL Fits

Figure 18 shows the data and best-fitting models over the  $5.5-14.5~\mu m$  wavelength range. There is also a marked improvement in the fits over this wavelength range since the strengths of the  $H_2O$ ,  $CH_4$ , and  $NH_3$  bands are well reproduced by the model spectra. As first noted by Cushing et al. (2006), there is a mismatch between the data and the models from 9 to  $11~\mu m$  in the spectra of the mid-type L dwarfs. This mismatch is most prominent in the spectrum of 2MASS~2224-0148 (see Fig. 9 of Cushing et al. 2006) but can also been seen weakly in 2MASS~0036+1821~(L3.5) and 2MASS~1507-1627~(L5). Cushing et al. have tentatively identified this feature as arising from the Si-O stretching mode of small silicate grains that give rise to the classic  $10~\mu m$  silicate feature. Helling et al. (2006) suggest that the absorption feature could arise from quartz (SiO<sub>2</sub>) grains, although this is not expected from equilibrium chemistry.

The derived  $T_{\text{eff}}$  values for the early- to mid-type L dwarfs (Fig. 13) are well above the globally determined values (Fig. 5) by up to 700 K. For four dwarfs, the best fit is found in the high- $T_{\text{eff}}$ , high-g corner of our model grid ( $T_{\text{eff}} = 2400 \,\text{K}$ ,  $\log g = 5.5$ ) and should be considered with caution. The IRS/SL spectral range gives  $T_{\text{eff}}$  values that behave much like those obtained by fitting the L band. Both the L and IRS/SL bands probe regions of the atmosphere with the lowest pressures and temperatures. The tendency of the L- and IRS/SL-band fits to produce high  $T_{\text{eff}}$  values combined with the fact that the global  $0.9-14.5~\mu m$  fits tend to overpredict the depth of the 3.3 and 7.8  $\mu$ m methane bands implies that the tops of the model atmospheres may be too cold. This could be simply due to flux redistribution caused by inadequate CH<sub>4</sub> opacities at  $\lambda < 1.7 \mu m$  or a different vertical distribution of opacity within the cloud. On the other hand, atmosphere models also tend to underpredict the temperatures of the middle and upper atmospheres of solar system giant planets (e.g., Marley & McKay 1999), even when accounting for the effects of incident radiation and photochemistry. One possible explanation for this discrepancy is that upwardly propagating waves launched within the convection region break and deposit their energy in the lower pressure regions of the atmosphere (e.g., the discussion in Young et al. [2005] for Jupiter). An exploration of the possible effects of such waves in ultracool dwarf atmospheres would be of interest.

Saumon et al. (2006, 2007) have found that the IRS/SL spectra of Gl 570D (T7.5) and 2MASS J04151954–0935066 (T7) can be modeled adequately only by reducing the abundance of NH<sub>3</sub> by about an order of magnitude from that predicted by chemical equilibrium. This is attributed to the vertical motions of the gas within the atmospheres, which prevents the abundances of N<sub>2</sub> and NH<sub>3</sub> from reaching their expected equilibrium values (Lodders & Fegley 2002). The models presented herein do not include the effects of nonequilibrium chemistry. We note that an equilibrium model fits the IRS/SL spectrum of 2MASS 0559–1404 (T4.5) well. This is a consequence of its higher  $T_{\rm eff}$ , which decreases the effect of vertical transport on the chemistry of NH<sub>3</sub>. Nevertheless, a nonequilibrium model provides a better fit to the NH<sub>3</sub> features of the IRS Short-High spectrum ( $R \approx 600$ ) of this object at a 2  $\sigma$  level (Mainzer et al. 2007).

#### 4.4.6. 0.95–2.5 μm Fits

Figure 19 shows the data and best-fitting models over the  $0.95-2.5 \mu m$  wavelength range. With the exceptions of 2MASS 1439+1929 (L1) and SDSS 1254-0122 (T2), fitting the nearinfrared wavelengths alone does not dramatically improve the quality of the fits. Indeed, the same model ([1700/5.5/3]) fits both the near-infrared and 0.95–14.5  $\mu$ m spectra of 2MASS 0036+ 1821 (L3.5). Still, the depths of the H<sub>2</sub>O and CH<sub>4</sub> bands in the remaining dwarfs are better matched when fitting the near-infrared spectra alone. Note also that the near-infrared spectra of 2MASS 0036+1821 (L3.5) and 2MASS 1507-1627 (L5) are fit by the same model (1700/5.5/3). The derived  $T_{\rm eff}$  values do not match the 0.95–14.5  $\mu$ m  $T_{\rm eff}$  values well, particularly for the early- to mid-type L dwarfs. This implies that spectra at  $\lambda \gtrsim 2.5 \ \mu m$  are necessary in order to derive  $T_{\rm eff}$  values for early- to mid-type L dwarfs. This is unfortunate, since most published spectra of L dwarfs rarely extend beyond 2.5  $\mu$ m.

#### 4.5. The L/T Transition

The transition from the L to the T dwarfs is marked by a rapid change in near-infrared color from the very red late-type L dwarfs  $(J-K\sim2.5)$  to the very blue T dwarfs  $(J-K\sim-1)$  and an increase in the emergent flux in the 1  $\mu$ m region (Dahn et al. 2002; Tinney et al. 2003; Vrba et al. 2004). The latter trend was unexpected because theoretically  $L_{\rm bol}$  declines as a brown dwarf cools. The 0.9896  $\mu$ m FeH band head also strengthens in the spectra of the early- to mid-type T dwarfs after weakening considerably in the spectra of the late-type L dwarfs (Burgasser et al. 2002a; McLean et al. 2003). Furthermore, the transition occurs over a very small  $T_{\rm eff}$  range, perhaps only 100–200 K (Golimowski et al. 2004). All current atmospheric and evolutionary models (Marley et al. 2002; Tsuji 2005; Burrows et al. 2006) fail to reproduce these observations.

A number of possible solutions have been proposed, including the breakup of the condensate cloud decks (Ackerman & Marley 2001; Burgasser et al. 2002a), a rapid change in the efficiency of condensate sedimentation (Knapp et al. 2004), or even "cryptobinarity" (Burrows et al. 2006). Unresolved binaries do play a role in exaggerating the magnitude of the 1  $\mu$ m brightening in the field population (Burgasser et al. 2006c; Burgasser 2007; Liu et al. 2006), but the brightening appears to be intrinsic to the evolution of brown dwarf atmospheres, since it has also been observed in binary systems composed of early-type and mid-type T dwarfs. In these systems, whose components presumably have the same metallicity and are coeval, the component with the *later* spectral type is *brighter* in the *J* band (Burgasser et al. 2005; Liu et al. 2006).

Although the models involving changes in the condensate cloud properties are both consistent with the color-magnitude diagrams of field L and T dwarfs at the L/T transition (Burgasser et al. 2002a; Knapp et al. 2004), the model spectra have yet to be directly compared to spectroscopic observations. Indeed, an error in the TiO line list used in a previous generation of our models (see § 3) produced emergent spectra with TiO bands at near-infrared wavelengths that were much too strong but whose J- and K-band magnitudes nevertheless matched the colors of L dwarfs relatively well. Unfortunately, self-consistent models that include the breakup of the condensate cloud decks are not yet available, so we can only compare models with changes in the sedimentation efficiency  $f_{\rm sed}$ .

Four of the dwarfs in our sample span the L/T transition: 2MASS 0825+2115 (L7.5), DENIS 0255-4700 (L8), SDSS J1254-0122 (T2), and 2MASS 0559-1404 (T4.5). As can be seen in Figure 6 and Table 3, the effective temperatures derived

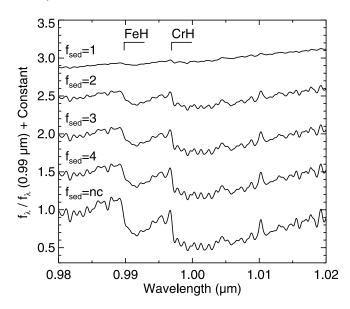


Fig. 21.—Synthetic spectra smoothed to R=2000 with  $T_{\rm eff}=1400$  K,  $\log g=5.0$ , and  $f_{\rm sed}=1,2,3,4$ , and the nc model. The depth of the FeH band head at  $0.9896~\mu{\rm m}$  increases as  $f_{\rm sed}$  increases in value.

from fitting the  $0.9-14.5~\mu m$  spectra of these four dwarfs decrease slightly from 1400 to 1200 K, and the clouds become gradually thinner ( $f_{\rm sed}$  increases) toward later spectral types. Our fits of the SEDs of late-type L and early-type T dwarfs therefore support the concept of a rapid decrease in the overall cloud opacity over a narrow range in  $T_{\rm eff}$ .

Burgasser et al. (2002a) argue that the resurgence of the 0.9896  $\mu m$  FeH band head in the spectra of early- to mid-type T dwarfs (see also McLean et al. 2003) is consistent with the cloud breakup hypothesis. In the absence of such a breakup, radiation at  $\sim \! 1~\mu m$  only emerges from atmospheric layers above the Fe cloud deck in which gaseous FeH has been almost completely depleted into solid or liquid Fe. If holes are present in the cloud decks, radiation from atmospheric layers below the Fe cloud deck where FeH has not been depleted can escape, and as a result, the FeH band head is observed in the spectra.

Figure 21 shows a sequence of models with  $T_{\rm eff}=1400~{\rm K}$ ,  $\log g=5.0$ , and  $f_{\rm sed}=1,2,3,4$ , and nc. The strength of the FeH band head also increases in strength with increasing sedimentation efficiency. For a fixed P/T profile, the FeH column density above the Fe cloud base ( $T\sim2000~{\rm K}$ ) is set by the vapor pressure of Fe and thus is independent of both metallicity and  $f_{\rm sed}$ . <sup>14</sup> In the  $f_{\rm sed}=1$  model, the  $\tau\sim2/3$  level is high in the cloud ( $T\approx1500~{\rm K}$ ), but as  $f_{\rm sed}$  increases, the Fe cloud becomes thinner and the  $\tau\sim2/3$  level is reached deeper in the atmosphere. As a result, the column density of FeH that is observed increases, resulting in an increase in the band strength of FeH. Therefore, a change in sedimentation efficiency at a fixed  $T_{\rm eff}$  can also explain the resurgence of the FeH band head across the L/T transition.

### 4.6. Very Red L Dwarfs

As can be seen in Figure 1, there is significant scatter ( $\sim$ 0.5–1 mag) in the near-infrared colors of L and T dwarfs at a given

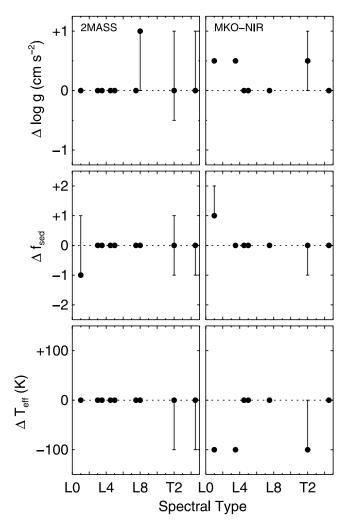


Fig. 22.—Effects of different absolute flux calibrations on the derived atmospheric parameters over the 0.95– $14.5~\mu m$  wavelength range. The panels show the difference between the values derived after scaling the near-infrared spectra by a single scale factor and the values derived after scaling the J-, H-, and K-band spectra separately using 2MASS (*left panels*) and MKO-NIR (*right panels*) photometry.

spectral type. It has been suggested that this spread arises from variations in the condensate cloud properties (Knapp et al. 2004) or surface gravity (Burrows et al. 2006), although unresolved binaries also contribute to the scatter (Liu & Leggett 2005). In our models, both surface gravity and cloud sedimentation efficiency affect the near-infrared colors, but the latter dominates for the midto late-type L dwarfs. Two pairs of objects in our sample, 2MASS 2224–1058/2MASS 1507–1627 and 2MASS J0825+2115/DENIS J0255–4700, have identical spectral types within the errors but markedly different  $J-K_s$  colors: 2.05/1.52 and 2.07/1.69, respectively. As a result, they are ideal for studying the dependence of surface gravity and the condensate cloud properties on the colors of L dwarfs at a given spectral type.

The best-fitting model spectra over the 0.95– $14.5~\mu m$  wavelength range for 2MASS 2224–0158 and 2MASS 1507–1627 have [1700/4.5/1] and [1700/4.5/2], respectively. The two objects have the same  $\log g$  and  $T_{\rm eff}$  values, but the redder object, 2MASS 2224–0158, has an  $f_{\rm sed}$  value of 1, while the bluer 2MASS J1507–1627 has  $f_{\rm sed}=2$ . Condensate clouds with  $f_{\rm sed}=1$  are thicker and have smaller particles and therefore contribute more opacity to the atmosphere (which results in redder  $J-K_s$  colors; see § 3). Burgasser et al. (2008) have also noted that the broad absorption

<sup>&</sup>lt;sup>14</sup> The formation of FeH gas can be written as Fe (gas) +  $0.5\text{H}_2$  = FeH (gas). If Fe is condensed, the partial pressure of Fe (gas) is fixed by the vapor pressure of the iron and is independent of the total amount of condensed phase. Since the partial pressure of H<sub>2</sub> is essentially a constant (at a given total pressure), the partial pressure of FeH is also fixed. Therefore, once Fe condenses, the partial pressure, and thus the column density, of FeH above the Fe cloud base is independent of both metallicity and  $f_{\text{sed}}$  for a fixed P/T profile.

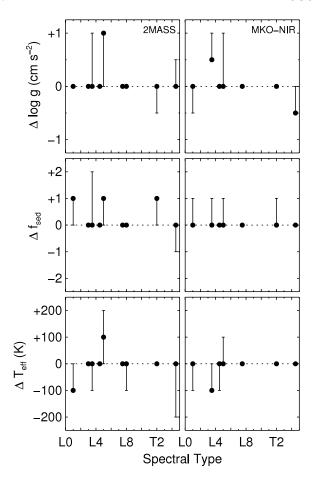


Fig. 23.—Same as Fig. 22, except the data were only fit from 0.95 to 2.5  $\mu$ m.

feature seen in the IRS spectrum of 2MASS J2224-0158, which Cushing et al. (2006) have tentatively identified as arising from small silicate grains in the condensate clouds (see  $\S$  4.4.5), is much weaker in the IRS spectrum of the bluer 2MASS 1507-1627. This is consistent with the condensate clouds being responsible for the very red color of 2MASS 2224-0158.

Similarly, the best-fitting model spectra over the  $0.95-14.5~\mu m$  wavelength range for 2MASS 0825+2115 and DENIS 0255-4700 have [1400/4.5/1] and [1400/5.5/2], respectively. These two objects have identical  $T_{\rm eff}$  and different log g values, but, as above, the redder object, 2MASS 0825+2115, has  $f_{\rm sed}=1$ . These latter two objects show how both surface gravity and the condensate clouds play a role in controlling the colors of L dwarfs.

### 5. SYSTEMATIC UNCERTAINTIES

Both the *G*-statistic and the Monte Carlo simulations used in this analysis explicitly account for the random errors in the data. However, it is important to also understand any systematic errors that can affect the analysis. Below, we discuss two such systematic errors, the absolute flux-calibration process and the choice of the weights in the *G*-statistic.

#### 5.1. Absolute Flux Calibration

The near-infrared spectra used in this work were obtained with SpeX on the NASA IRTF. The  $0.8-2.5~\mu m$  wavelength range is covered in six cross-dispersed orders, and as a result the spectra from the various orders are merged into a single spectrum by scaling the spectra to ensure that the flux density levels match in regions where the spectra overlap in wavelength. Unfortunately,

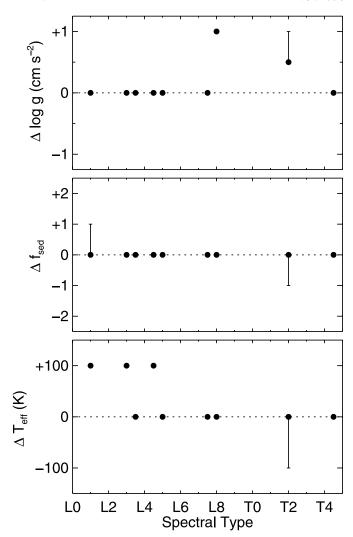


Fig. 24.—Effects of different weighting schemes on the derived atmospheric parameters over the 0.95–14.5  $\mu m$  wavelength range. The panels show the difference between the values derived by setting the weights to  $w_i = \Delta \lambda_i$  and the values derived by setting the weights to  $w_i = 1/n$ , where n is the number of pixels in bins of width  $\delta \ln \lambda = 0.01$ .

there is no wavelength overlap between the H and K bands, and therefore no scaling could be performed. The merged  $0.8-2.5~\mu m$  spectra were then absolutely flux-calibrated by deriving a *single* scale factor based on the 2MASS  $JHK_s$  photometry. Cushing et al. (2005) showed that, on average, the synthetic colors (J-H,  $H-K_s$ , and  $J-K_s$ ) of their entire sample of M, L, and T dwarfs match the observed 2MASS colors within the errors. However, in some cases, we find deviations between the synthetic and observed colors of up to 2  $\sigma$ .

In order to quantify any effect that variations in the absolute flux calibration of the near-infrared spectra have on our analysis, we have absolutely flux-calibrated each of the SpeX cross-dispersed spectra separately using both 2MASS photometry and Mauna Kea Observatories Near-Infrared (MKO-NIR; Tokunaga et al. 2002) photometry (Knapp et al. 2004). Two of the dwarfs in our sample, 2MASS 1506+1321 and DENIS J0255-4700, have not been observed in the MKO-NIR system to date. The near-infrared, *L*-band, and IRS/SL spectra are then recombined

<sup>&</sup>lt;sup>15</sup> The 2MASS *J*-band filter spans two spectral orders; therefore, we have scaled these two orders to the same flux density levels by eye. The two orders are then absolutely flux-calibrated together using *J*-band photometry.

into a single 0.95–14.5  $\mu$ m spectrum, and the entire analysis is performed again.

Figure 22 summarizes the differences between the atmospheric parameters derived by fitting the spectra with the different absolute flux-calibration schemes over the 0.95–14.5  $\mu$ m wavelength range. Flux-calibrating the spectra with 2MASS photometry leaves the derived parameters almost unchanged, while the derived parameters for three objects flux-calibrated with the MKO-NIR photometry change by 100 K in  $T_{\text{eff}}$  and 0.5 dex in log g. Overall, there is little change in the derived values of  $T_{\text{eff}}$ , log g, and  $f_{\text{sed}}$ , which indicates that the absolute flux calibration does not severely affect the parameters derived by fitting the 0.95–14.5  $\mu$ m spectra. The parameters obtained by fitting the 0.95–2.5  $\mu$ m spectra alone are more sensitive to variations in the absolute flux calibration (Fig. 23). Differences of 0.5–1 dex in  $\log g$ , 100 K in  $T_{\rm eff}$ , and 1 in  $f_{\text{sed}}$  in the best-fitting model spectra are apparent. The range of possible models (i.e., the error bars) also grows because the SpeX cross-dispersed orders (J, H, and K) are absolutely fluxcalibrated separately and thus are varied separately in the Monte Carlo simulation.

# 5.2. Weights

As discussed in  $\S$  4.1, we weight each pixel in the spectrum by its width ( $w_i = \Delta \lambda_i$ ) to remove a bias introduced into the G-statistic due to the large variation in wavelength sampling of the data. Our choice of weights, however, is completely arbitrary. In order to estimate any systematic error in the derived parameters introduced by our choice of weighting scheme, we have devised a second weighting scheme as follows.

We divide the  $0.95-14.5~\mu m$  wavelength range into bins equally spaced in  $\ln \lambda$ . Each pixel that falls in bin k receives a weight inversely proportional to the total number of pixels contained in bin k. For example, if bin k contains 4 pixels, then  $w_i=0.25$  for each pixel in bin k. The *Spitzer* data have the lowest resolving power of all the data ( $R\approx 100$ ), so we use a bin size of  $\delta \ln \lambda = 0.01$ , which corresponds to R=100. This alternative weighting scheme effectively mimics smoothing the spectra to R=100 and resampling them such that each pixel corresponds to a single resolution element.

Figure 24 summarizes the differences between the atmospheric parameters derived by fitting the data using the two different weight schemes over the 0.95–14.5  $\mu$ m wavelength range. The  $T_{\rm eff}$  values derived for the early-type L dwarfs are lower by 100 K, and the derived log g values for two of the dwarfs change by 1 and 0.5. Based on these results, the choice of weighting scheme does not dramatically alter the results presented herein.

### 6. CONCLUSIONS

We have performed the first fits of the  $0.95-14.5~\mu m$  SEDs of nine field ultracool dwarfs spanning spectral types L1–T4.5 to obtain effective temperatures, gravities, and values for the cloud sedimentation parameter. The wide wavelength coverage of the data allows us to easily discriminate between synthetic spectra with different atmospheric parameters because most physical and chemical processes that occur in ultracool dwarf atmospheres leave a signature in one or more of the wavelength regions covered by the data.

In our study of field ultracool dwarfs we have focused on the most significant physical parameters, ignoring the more subtle effects of metallicity variations from the solar value, as well as departures from chemical equilibrium caused by vertical mixing in the atmosphere (e.g., Saumon et al. 2006). The spectroscopic data come from a variety of instruments, and we have estimated the uncertainties in the derived parameters that arise from the ab-

solute flux calibrations of the various spectra that form the SEDs. Although some wavelength ranges are poorly fitted for some objects, undoubtedly due to remaining inadequacies in the models and, to a smaller extent, to possible variations in metallicity among the dwarfs in our sample, overall the model spectra fit the data well.

Given the natural scatter in the observational properties among the objects in our sample and the uncertainties inherent in our procedure, we can identify only general trends in the fitted parameters as a function of spectral type. The effective temperatures decrease steadily through the L1–T4.5 spectral types and agree with the determinations of Golimowski et al. (2004). Our results also confirm that  $T_{\rm eff}$  is nearly constant at the L/T transition, decreasing by only  $\sim\!200~{\rm K}$  from spectral types L7.5 to T4.5.

Two topics of great interest are the evolution of the cloud properties as a function of spectral type and the distribution of cloud properties within each spectral subclass. Our work provides a first, although somewhat blurry, glimpse of this important aspect of ultracool dwarf atmospheres. We find that the cloud properties, as encapsulated in the most important free parameter of the Ackerman & Marley (2001) condensation cloud model,  $f_{\rm sed}$ , vary significantly among L dwarfs, ranging from very thick clouds ( $f_{\rm sed}=1$ ) to relatively thin clouds ( $f_{\rm sed}=3$ ) with no particular trend with spectral type. The two L dwarfs in our sample with very red near-infrared colors have best-fitting model spectra with thick clouds. Finally, the fits to the two T dwarfs in our sample (T2 and T4.5) suggest that the clouds become thinner in this spectral class, in agreement with previous studies.

Fitting individual spectral bands, we almost always obtain excellent fits to the data, in some cases down to the fine structure of the  $\rm H_2O$  and  $\rm CH_4$  bands. The  $T_{\rm eff}$  obtained in this fashion (Fig. 13) can show quite a bit of scatter compared to the values derived by fitting the full SED, typically by  $\sim\!200$  K and, in the worst cases, up to 700 K. Nevertheless, the trend of decreasing  $T_{\rm eff}$  with spectral type is preserved in all bands, with few exceptions. However, this exercise shows that in general,  $T_{\rm eff}$  determinations based on the analysis of narrow spectral ranges are not reliable for ultracool dwarfs.

The data point to several possibilities for improving the models. First, improved input molecular opacities, particularly for CrH, VO, FeH, and CH<sub>4</sub> and for the resonance doublet of K I ( $\lambda\lambda$ 7665, 7699) (Allard et al. 2007), are required to improve fits to the early L and all of the T dwarfs, respectively. The second important area ripe for improvement remains the cloud model, in particular the particles' size distribution, the cloud's vertical profile, and the optical properties of the condensates.

The accurate determination of the physical parameters of isolated field ultracool dwarfs therefore remains an elusive goal. Our method of SED fitting should be applied to those ultracool dwarfs with well-constrained  $T_{\rm eff}$  ( $\pm 20~{\rm K}$ ) and log g ( $\pm 0.05~{\rm dex}$ ) values (derived from other methods) in order to better assess its internal uncertainties. Currently, such a sample is limited to a few late-type T dwarfs (Saumon et al. 2006, 2007; Liu et al. 2007) that are companions to main-sequence stars with known distances, metallicities, and well-constrained ages. Such studies should be extended to include earlier spectral types in order to firmly associate the observable properties of the L and T spectral sequence with fundamental astrophysical parameters.

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