

Category Theory

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Abstract

This article presents a development of Category Theory in Isabelle. A Category is defined using records and locales in Isabelle/HOL. Functors and Natural Transformations are also defined. The main result that has been formalized is that the Yoneda functor is a full and faithful embedding. We also formalize the completeness of many sorted monadic equational logic. Extensive use is made of the HOLZF theory in both cases. For an informal description see [1].

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1 Category

```
theory Category
imports ~~/src/HOL/Library/FuncSet
begin
```

```
record ('o,'m) Category =
  Obj :: 'o set (obj1 70)
  Mor :: 'm set (mor1 70)
  Dom :: 'm  $\Rightarrow$  'o (dom1 - [80] 70)
  Cod :: 'm  $\Rightarrow$  'o (cod1 - [80] 70)
```

$Id :: 'o \Rightarrow 'm \text{ (} id_1 - [80] \text{ } 75)$
 $Comp :: 'm \Rightarrow 'm \Rightarrow 'm \text{ (infixl } ;;_1 \text{ } 70)$

definition

$MapsTo :: ('o, 'm, 'a) \text{ Category-scheme} \Rightarrow 'm \Rightarrow 'o \Rightarrow 'o \Rightarrow \text{bool} \text{ (} - \text{ maps}_1 \text{ - to -}$
 $[60, 60, 60] \text{ } 65) \text{ where}$
 $MapsTo \text{ } CC \text{ } f \text{ } X \text{ } Y \equiv f \in Mor \text{ } CC \wedge Dom \text{ } CC \text{ } f = X \wedge Cod \text{ } CC \text{ } f = Y$

definition

$CompDefined :: ('o, 'm, 'a) \text{ Category-scheme} \Rightarrow 'm \Rightarrow 'm \Rightarrow \text{bool} \text{ (infixl } \approx_{>1} \text{ } 65)$
where
 $CompDefined \text{ } CC \text{ } f \text{ } g \equiv f \in Mor \text{ } CC \wedge g \in Mor \text{ } CC \wedge Cod \text{ } CC \text{ } f = Dom \text{ } CC \text{ } g$

locale $ExtCategory =$

fixes $C :: ('o, 'm, 'a) \text{ Category-scheme}$ (**structure**)
assumes $CdomExt: (Dom \text{ } C) \in \text{extensional} (Mor \text{ } C)$
and $CcodExt: (Cod \text{ } C) \in \text{extensional} (Mor \text{ } C)$
and $CidExt: (Id \text{ } C) \in \text{extensional} (Obj \text{ } C)$
and $CcompExt: (split (Comp \text{ } C)) \in \text{extensional} (\{(f, g) \mid f \text{ } g \cdot f \approx_{>} g\})$

locale $Category = ExtCategory +$

assumes $Cdom : f \in mor \Longrightarrow dom \text{ } f \in obj$
and $Ccod : f \in mor \Longrightarrow cod \text{ } f \in obj$
and $Cidm [dest]: X \in obj \Longrightarrow (id \text{ } X) \text{ maps } X \text{ to } X$
and $Cidl : f \in mor \Longrightarrow id (dom \text{ } f) ;; f = f$
and $Cidr : f \in mor \Longrightarrow f ;; id (cod \text{ } f) = f$
and $Cassoc : \llbracket f \approx_{>} g ; g \approx_{>} h \rrbracket \Longrightarrow (f ;; g) ;; h = f ;; (g ;; h)$
and $Ccompt : \llbracket f \text{ maps } X \text{ to } Y ; g \text{ maps } Y \text{ to } Z \rrbracket \Longrightarrow (f ;; g) \text{ maps } X \text{ to } Z$

definition

$MakeCat :: ('o, 'm, 'a) \text{ Category-scheme} \Rightarrow ('o, 'm, 'a) \text{ Category-scheme}$ **where**
 $MakeCat \text{ } C \equiv \langle$
 $Obj = Obj \text{ } C ,$
 $Mor = Mor \text{ } C ,$
 $Dom = restrict (Dom \text{ } C) (Mor \text{ } C) ,$
 $Cod = restrict (Cod \text{ } C) (Mor \text{ } C) ,$
 $Id = restrict (Id \text{ } C) (Obj \text{ } C) ,$
 $Comp = \lambda f \text{ } g . (restrict (split (Comp \text{ } C)) (\{(f, g) \mid f \text{ } g \cdot f \approx_{>_C} g\})) (f, g),$
 $\dots = Category.more \text{ } C$
 \rangle

lemma $MakeCatMapsTo: f \text{ maps}_C X \text{ to } Y \Longrightarrow f \text{ maps}_{MakeCat \text{ } C} X \text{ to } Y$
 $\langle proof \rangle$

lemma $MakeCatComp: f \approx_{>_C} g \Longrightarrow f ;;_{MakeCat \text{ } C} g = f ;;_C g$
 $\langle proof \rangle$

lemma $MakeCatId: X \in obj_C \Longrightarrow id_C X = id_{MakeCat \text{ } C} X$
 $\langle proof \rangle$

lemma *MakeCatObj*: $obj_{MakeCat\ C} = obj_C$
 $\langle proof \rangle$

lemma *MakeCatMor*: $mor_{MakeCat\ C} = mor_C$
 $\langle proof \rangle$

lemma *MakeCatDom*: $f \in mor_C \implies dom_C f = dom_{MakeCat\ C} f$
 $\langle proof \rangle$

lemma *MakeCatCod*: $f \in mor_C \implies cod_C f = cod_{MakeCat\ C} f$
 $\langle proof \rangle$

lemma *MakeCatCompDef*: $f \approx_{> MakeCat\ C} g = f \approx_{> C} g$
 $\langle proof \rangle$

lemma *MakeCatComp2*: $f \approx_{> MakeCat\ C} g \implies f ;;_{MakeCat\ C} g = f ;;_C g$
 $\langle proof \rangle$

lemma *ExtCategoryMakeCat*: $ExtCategory\ (MakeCat\ C)$
 $\langle proof \rangle$

lemma *MakeCat*: $Category\text{-}axioms\ C \implies Category\ (MakeCat\ C)$
 $\langle proof \rangle$

lemma *MapsToE[elim]*: $\llbracket f\ maps_C\ X\ to\ Y \rrbracket ; \llbracket f \in mor_C ; dom_C f = X ; cod_C f = Y \rrbracket \implies R \rrbracket \implies R$
 $\langle proof \rangle$

lemma *MapsToI[intro]*: $\llbracket f \in mor_C ; dom_C f = X ; cod_C f = Y \rrbracket \implies f\ maps_C\ X\ to\ Y$
 $\langle proof \rangle$

lemma *CompDefinedE[elim]*: $\llbracket f \approx_{> C} g ; \llbracket f \in mor_C ; g \in mor_C ; cod_C f = dom_C g \rrbracket \implies R \rrbracket \implies R$
 $\langle proof \rangle$

lemma *CompDefinedI[intro]*: $\llbracket f \in mor_C ; g \in mor_C ; cod_C f = dom_C g \rrbracket \implies f \approx_{> C} g$
 $\langle proof \rangle$

lemma (**in** *Category*) *MapsToCompI*: **assumes** $f \approx_{> g}$ **shows** $(f ;; g)\ maps\ (dom\ f)\ to\ (cod\ g)$
 $\langle proof \rangle$

lemma *MapsToCompDef*:
assumes $f\ maps_C\ X\ to\ Y$ **and** $g\ maps_C\ Y\ to\ Z$

shows $f \approx_{>C} g$
 $\langle proof \rangle$

lemma (in *Category*) *MapsToMorDomCod*:
assumes $f \approx_{>} g$
shows $f ;; g \in mor$ **and** $dom (f ;; g) = dom f$ **and** $cod (f ;; g) = cod g$
 $\langle proof \rangle$

lemma (in *Category*) *MapsToObj*:
assumes f maps X to Y
shows $X \in obj$ **and** $Y \in obj$
 $\langle proof \rangle$

lemma (in *Category*) *IdInj*:
assumes $X \in obj$ **and** $Y \in obj$ **and** $id X = id Y$
shows $X = Y$
 $\langle proof \rangle$

lemma (in *Category*) *CompDefComp*:
assumes $f \approx_{>} g$ **and** $g \approx_{>} h$
shows $f \approx_{>} (g ;; h)$ **and** $(f ;; g) \approx_{>} h$
 $\langle proof \rangle$

lemma (in *Category*) *CatIdInMor*: $X \in obj \implies id X \in mor$
 $\langle proof \rangle$

lemma (in *Category*) *MapsToId*: **assumes** $X \in obj$ **shows** $id X \approx_{>} id X$
 $\langle proof \rangle$

lemmas (in *Category*) *Simps = Cdom Ccod Cidm Cidl Cidr MapsToCompI IdInj MapsToId*

lemma (in *Category*) *LeftRightInvUniq*:
assumes $0: h \approx_{>} f$ **and** $z: f \approx_{>} g$
assumes $1: f ;; g = id (dom f)$
and $2: h ;; f = id (cod f)$
shows $h = g$
 $\langle proof \rangle$

lemma (in *Category*) *CatIdDomCod*:
assumes $X \in obj$
shows $dom (id X) = X$ **and** $cod (id X) = X$
 $\langle proof \rangle$

lemma (in *Category*) *CatIdCompId*:
assumes $X \in obj$
shows $id X ;; id X = id X$
 $\langle proof \rangle$

lemma (in *Category*) *CatIdUniqR*:
assumes *iota*: ι maps X to X
and *rid*: $\forall f . f \approx_{>} \iota \longrightarrow f ; \iota = f$
shows $id\ X = \iota$
 $\langle proof \rangle$

definition
 $inverse\text{-}rel :: ('o, 'm, 'a)\ Category\text{-}scheme \Rightarrow 'm \Rightarrow 'm \Rightarrow bool\ (cinv_1 - - 60)$
where
 $inverse\text{-}rel\ C\ f\ g \equiv (f \approx_{>}_C g) \wedge (f ;_C g) = (id_C (dom_C f)) \wedge (g ;_C f) = (id_C (cod_C f))$

definition
 $isomorphism :: ('o, 'm, 'a)\ Category\text{-}scheme \Rightarrow 'm \Rightarrow bool\ (ciso_1 - [70])$ **where**
 $isomorphism\ C\ f \equiv \exists g . inverse\text{-}rel\ C\ f\ g$

lemma (in *Category*) *Inverse-relI*: $\llbracket f \approx_{>} g ; f ; g = id\ (dom\ f) ; g ; f = id\ (cod\ f) \rrbracket \Longrightarrow (cinv\ f\ g)$
 $\langle proof \rangle$

lemma (in *Category*) *Inverse-relE[elim]*: $\llbracket cinv\ f\ g ; \llbracket f \approx_{>} g ; f ; g = id\ (dom\ f) ; g ; f = id\ (cod\ f) \rrbracket \Longrightarrow P \rrbracket \Longrightarrow P$
 $\langle proof \rangle$

lemma (in *Category*) *Inverse-relSym*:
assumes $cinv\ f\ g$
shows $cinv\ g\ f$
 $\langle proof \rangle$

lemma (in *Category*) *InverseUnique*:
assumes $1: cinv\ f\ g$
and $2: cinv\ f\ h$
shows $g = h$
 $\langle proof \rangle$

lemma (in *Category*) *InvId*: **assumes** $X \in obj$ **shows** $(cinv\ (id\ X)\ (id\ X))$
 $\langle proof \rangle$

definition
 $inverse :: ('o, 'm, 'a)\ Category\text{-}scheme \Rightarrow 'm \Rightarrow 'm\ (Cinv_1 - [70])$ **where**
 $inverse\ C\ f \equiv THE\ g . inverse\text{-}rel\ C\ f\ g$

lemma (in *Category*) *inv2Inv*:
assumes $cinv\ f\ g$
shows $ciso\ f$ **and** $Cinv\ f = g$
 $\langle proof \rangle$

lemma (in *Category*) *iso2Inv*:

assumes *ciso f*

shows *cinv f (Cinv f)*

$\langle proof \rangle$

lemma (in *Category*) *InvInv*:

assumes *ciso f*

shows *ciso (Cinv f) and (Cinv (Cinv f)) = f*

$\langle proof \rangle$

lemma (in *Category*) *InvIsMor*: *(cinv f g) \implies (f \in mor \wedge g \in mor)*

$\langle proof \rangle$

lemma (in *Category*) *IsoIsMor*: *ciso f \implies f \in mor*

$\langle proof \rangle$

lemma (in *Category*) *InvDomCod*:

assumes *ciso f*

shows *dom (Cinv f) = cod f and cod (Cinv f) = dom f and Cinv f \in mor*

$\langle proof \rangle$

lemma (in *Category*) *IsoCompInv*: *ciso f \implies f $\approx>$ Cinv f*

$\langle proof \rangle$

lemma (in *Category*) *InvCompIso*: *ciso f \implies Cinv f $\approx>$ f*

$\langle proof \rangle$

lemma (in *Category*) *IsoInvId1* : *ciso f \implies (Cinv f) ;; f = (id (cod f))*

$\langle proof \rangle$

lemma (in *Category*) *IsoInvId2* : *ciso f \implies f ;; (Cinv f) = (id (dom f))*

$\langle proof \rangle$

lemma (in *Category*) *IsoCompDef*:

assumes *1: f $\approx>$ g and 2: ciso f and 3: ciso g*

shows *(Cinv g) $\approx>$ (Cinv f)*

$\langle proof \rangle$

lemma (in *Category*) *IsoCompose*:

assumes *1: f $\approx>$ g and 2: ciso f and 3: ciso g*

shows *ciso (f ;; g) and Cinv (f ;; g) = (Cinv g) ;; (Cinv f)*

$\langle proof \rangle$

definition *ObjIso C A B $\equiv \exists k . (k \text{ maps }_C A \text{ to } B) \wedge ciso_C k$*

definition

UnitCategory :: (unit, unit) Category where

UnitCategory = MakeCat []

Obj = {()} ,

$Mor = \{()\}$,
 $Dom = (\lambda f.())$,
 $Cod = (\lambda f.())$,
 $Id = (\lambda f.())$,
 $Comp = (\lambda f\ g. ())$

⌋

lemma [simp]: $Category(UnitCategory)$
 ⟨proof⟩

definition

$OppositeCategory :: ('o, 'm, 'a) \text{ Category-scheme} \Rightarrow ('o, 'm, 'a) \text{ Category-scheme}$
 (Op - [65] 65) **where**

$OppositeCategory\ C \equiv ()$
 $Obj = Obj\ C$,
 $Mor = Mor\ C$,
 $Dom = Cod\ C$,
 $Cod = Dom\ C$,
 $Id = Id\ C$,
 $Comp = (\lambda f\ g. g ;;_C f)$,
 $\dots = Category.more\ C$

⌋

lemma OpCatOpCat: $Op\ (Op\ C) = C$
 ⟨proof⟩

lemma OpCatCatAx: $Category-axioms\ C \Longrightarrow Category-axioms\ (Op\ C)$
 ⟨proof⟩

lemma OpCatCatExt: $ExtCategory\ C \Longrightarrow ExtCategory\ (Op\ C)$
 ⟨proof⟩

lemma OpCatCat: $Category\ C \Longrightarrow Category\ (Op\ C)$
 ⟨proof⟩

lemma MapsToOp: $f\ maps_C\ X\ to\ Y \Longrightarrow f\ maps_{Op\ C}\ Y\ to\ X$
 ⟨proof⟩

lemma MapsToOpOp: $f\ maps_{Op\ C}\ X\ to\ Y \Longrightarrow f\ maps_C\ Y\ to\ X$
 ⟨proof⟩

lemma CompDefOp: $f \approx_{>_C} g \Longrightarrow g \approx_{>_{Op\ C}} f$
 ⟨proof⟩

end

2 Universe

```

theory Universe
imports ~~/src/HOL/ZF/MainZF
begin

locale Universe =
  fixes U :: ZF (structure)
  assumes Uempty : Elem Empty U
  and    Usubset : Elem u U  $\implies$  subset u U
  and    Usingle : Elem u U  $\implies$  Elem (Singleton u) U
  and    Upow : Elem u U  $\implies$  Elem (Power u) U
  and    Uim :  $\llbracket \text{Elem } I \text{ U} ; \text{Elem } u \text{ (Fun } I \text{ U)} \rrbracket \implies \text{Elem (Sum (Range u)) U}$ 
  and    Unat : Elem Nat U

lemma ElemLambdaFun :  $(\bigwedge x. \text{Elem } x \text{ u} \implies \text{Elem } (f \ x) \ U) \implies \text{Elem } (\text{Lambda } u \ f) \ (\text{Fun } u \ U)$ 
  <proof>

lemma RangeRepl: Range (Lambda A f) = Repl A f
  <proof>

lemma (in Universe) Utrans:  $\llbracket \text{Elem } a \text{ U} ; \text{Elem } b \ a \rrbracket \implies \text{Elem } b \ U$ 
  <proof>

lemma ReplId: Repl A id = A
  <proof>

lemma (in Universe) UniverseSum : Elem u U  $\implies$  Elem (Sum u) U
  <proof>

lemma (in Universe) UniverseUnion:
  assumes Elem u U and Elem v U
  shows Elem (union u v) U
  <proof>

lemma UPairSingleton: Upair u v = union (Singleton u) (Singleton v)
  <proof>

lemma (in Universe) UniverseUPair:  $\llbracket \text{Elem } u \text{ U} ; \text{Elem } v \text{ U} \rrbracket \implies \text{Elem } (\text{Upair } u \ v) \ U$ 
  <proof>

lemma (in Universe) UniversePair:  $\llbracket \text{Elem } u \text{ U} ; \text{Elem } v \text{ U} \rrbracket \implies \text{Elem } (\text{Opair } u \ v) \ U$ 
  <proof>

lemma (in Universe)  $\llbracket \text{Elem } u \text{ U} ; \text{Elem } v \text{ U} \rrbracket \implies \text{Elem } (\text{Sum } (\text{Repl } u \ (\%x \ .$ 

```


Singleton (Opair x v)))) U
<proof>

lemma *SumRepl*: *Sum (Repl A (Singleton o f)) = Repl A f*
<proof>

lemma (**in** *Universe*) *UniverseProd*:
assumes *Elem u U* **and** *Elem v U*
shows *Elem (CartProd u v) U*
<proof>

lemma (**in** *Universe*) *UniverseSubset*: $\llbracket \text{subset } u \ v ; \text{Elem } v \ U \rrbracket \implies \text{Elem } u \ U$
<proof>

definition
Product :: *ZF* \Rightarrow *ZF* **where**
Product U = Sep (Fun U (Sum U)) (%f . (\forall u . Elem u U \longrightarrow Elem (app f u) u))

lemma *SepSubset*: *subset (Sep A p) A*
<proof>

lemma *SubsetSmall*:
assumes *subset A' A* **and** *subset A B* **shows** *subset A' B*
<proof>

lemma *SubsetTrans*:
assumes (*subset a b*) **and** (*subset b c*)
shows (*subset a c*)
<proof>

lemma *SubsetSepTrans*: *subset A B \implies subset (Sep A p) B*
<proof>

lemma *ProductSubset*: *subset (Product u) (Power (CartProd u (Sum u)))*
<proof>

lemma (**in** *Universe*) *UniverseProduct*: *Elem u U \implies Elem (Product u) U*
<proof>

lemma *ZFImageRangeExplode*: *x \in range explode \implies f ' x \in range explode*
<proof>

definition *subsetFn X Y* $\equiv \lambda x . (\text{if } x \in Y \text{ then } x \text{ else } \text{SOME } y . y \in Y)$

lemma *subsetFn*: $\llbracket Y \neq \{\} ; Y \subseteq X \rrbracket \implies (\text{subsetFn } X \ Y) ' X = Y$
<proof>

lemma *ZFSubsetRangeExplode*: $\llbracket X \in \text{range explode} ; Y \subseteq X \rrbracket \implies Y \in \text{range explode}$
 $\langle \text{proof} \rangle$

lemma *ZFUnionRangeExplode*:
assumes $\bigwedge x . x \in A \implies f x \in \text{range explode}$ **and** $A \in \text{range explode}$
shows $(\bigcup x \in A . f x) \in \text{range explode}$
 $\langle \text{proof} \rangle$

lemma *ZFUnionNatInRangeExplode*: $(\bigwedge (n :: \text{nat}) . f n \in \text{range explode}) \implies (\bigcup n . f n) \in \text{range explode}$
 $\langle \text{proof} \rangle$

lemma *ZFProdFnInRangeExplode*: $\llbracket A \in \text{range explode} ; B \in \text{range explode} \rrbracket \implies f ' (A \times B) \in \text{range explode}$
 $\langle \text{proof} \rangle$

lemma *ZFUnionInRangeExplode*: $\llbracket A \in \text{range explode} ; B \in \text{range explode} \rrbracket \implies A \cup B \in \text{range explode}$
 $\langle \text{proof} \rangle$

lemma *SingletonInRangeExplode*: $\{x\} \in \text{range explode}$
 $\langle \text{proof} \rangle$

definition *ZFTriple* :: $[ZF, ZF, ZF] \Rightarrow ZF$ **where**

$ZFTriple\ a\ b\ c = \text{Opair} (\text{Opair}\ a\ b)\ c$

definition *ZFTFst* = $\text{Fst} \circ \text{Fst}$

definition *ZFTSnd* = $\text{Snd} \circ \text{Fst}$

definition *ZFTThd* = Snd

lemma *ZFTFst*: $ZFTFst\ (ZFTriple\ a\ b\ c) = a$
 $\langle \text{proof} \rangle$

lemma *ZFTSnd*: $ZFTSnd\ (ZFTriple\ a\ b\ c) = b$
 $\langle \text{proof} \rangle$

lemma *ZFTThd*: $ZFTThd\ (ZFTriple\ a\ b\ c) = c$
 $\langle \text{proof} \rangle$

lemma *ZFTriple*: $ZFTriple\ a\ b\ c = ZFTriple\ a'\ b'\ c' \implies (a = a' \wedge b = b' \wedge c = c')$
 $\langle \text{proof} \rangle$

lemma *ZFSucZero*: $\text{Nat2nat}\ (\text{SucNat}\ \text{Empty}) = 1$
 $\langle \text{proof} \rangle$

lemma *ZFZero*: $\text{Nat2nat}\ \text{Empty} = 0$
 $\langle \text{proof} \rangle$

lemma *ZFSucNeg0*: $\text{Elem}\ x\ \text{Nat} \implies \text{Nat2nat}\ (\text{SucNat}\ x) \neq 0$

$\langle proof \rangle$

end

3 Monadic Equational Theory

theory *MonadicEquationalTheory*

imports *Category Universe*

begin

record (t, f) *Signature* =
 BaseTypes :: t set (*Ty*₁)
 BaseFunctions :: f set (*Fn*₁)
 SigDom :: $f \Rightarrow t$ (*sDom*₁)
 SigCod :: $f \Rightarrow t$ (*sCod*₁)

locale *Signature* =
 fixes $S :: (t, f)$ *Signature* (**structure**)
 assumes *Domt*: $f \in Fn \Longrightarrow sDom\ f \in Ty$
 and *Codt*: $f \in Fn \Longrightarrow sCod\ f \in Ty$

definition *funsignature-abbrev* $(- \in Sig - : - \rightarrow -)$ **where**
 $f \in Sig\ S : A \rightarrow B \equiv f \in (BaseFunctions\ S) \wedge A \in (BaseTypes\ S) \wedge B \in (BaseTypes\ S) \wedge$
 $(SigDom\ S\ f) = A \wedge (SigCod\ S\ f) = B \wedge Signature\ S$

lemma *funsignature-abbrevE[elim]*:
 $\llbracket f \in Sig\ S : A \rightarrow B ; \llbracket f \in (BaseFunctions\ S) ; A \in (BaseTypes\ S) ; B \in (BaseTypes\ S) \rrbracket ;$
 $(SigDom\ S\ f) = A ; (SigCod\ S\ f) = B ; Signature\ S \rrbracket \Longrightarrow R$
 $\Longrightarrow R$
 $\langle proof \rangle$

datatype (t, f) *Expression* = *ExprVar* (Vx) | *ExprApp* f (t, f) *Expression* ($-$ *E@* $-$)

datatype (t, f) *Language* = *Type* t ($\vdash - Type$) | *Term* t (t, f) *Expression* t ($Vx : - \vdash - : -$) |
 $Equation\ t\ (t, f)\ Expression\ (t, f)\ Expression\ t\ (Vx : - \vdash - \equiv - : -)$

inductive

WellDefined :: (t, f) *Signature* $\Rightarrow (t, f)$ *Language* $\Rightarrow bool$ (*Sig* $\triangleright -$) **where**
 WellDefinedTy: $A \in BaseTypes\ S \Longrightarrow Sig\ S \triangleright \vdash A\ Type$
 | *WellDefinedVar*: $Sig\ S \triangleright \vdash A\ Type \Longrightarrow Sig\ S \triangleright (Vx : A \vdash Vx : A)$
 | *WellDefinedFn*: $\llbracket Sig\ S \triangleright (Vx : A \vdash e : B) ; f \in Sig\ S : B \rightarrow C \rrbracket \Longrightarrow Sig\ S \triangleright$
 $(Vx : A \vdash (f\ E@ e) : C)$
 | *WellDefinedEq*: $\llbracket Sig\ S \triangleright (Vx : A \vdash e1 : B) ; Sig\ S \triangleright (Vx : A \vdash e2 : B) \rrbracket \Longrightarrow$
 $Sig\ S \triangleright (Vx : A \vdash e1 \equiv e2 : B)$

```

lemmas WellDefined.intros [intro]
inductive-cases WellDefinedTyE [elim!]: Sig S ▷ ⊢ A Type
inductive-cases WellDefinedVarE [elim!]: Sig S ▷ ( Vx : A ⊢ Vx : A )
inductive-cases WellDefinedFnE [elim!]: Sig S ▷ ( Vx : A ⊢ ( f E@ e ) : C )
inductive-cases WellDefinedEqE [elim!]: Sig S ▷ ( Vx : A ⊢ e1 ≡ e2 : B )

lemma SigId: Sig S ▷ ( Vx : A ⊢ Vx : B ) ⇒ A = B
⟨proof⟩

lemma SigTyId: Sig S ▷ ( Vx : A ⊢ Vx : A ) ⇒ A ∈ BaseTypes S
⟨proof⟩

lemma (in Signature) SigTy:  $\bigwedge B . \text{Sig } S \triangleright (Vx : A \vdash e : B) \Rightarrow (A \in \text{BaseTypes } S \wedge B \in \text{BaseTypes } S)$ 
⟨proof⟩

datatype ('o,'m) IType = IObj 'o | IMor 'm | IBool bool

record ('t,'f,'o,'m) Interpretation =
  ISignature :: ('t,'f) Signature (iS1)
  ICategory :: ('o,'m) Category (iC1)
  ITypes :: 't ⇒ 'o ( Ty[-]₁ )
  IFunctions :: 'f ⇒ 'm ( Fn[-]₁ )

locale Interpretation =
  fixes I :: ('t,'f,'o,'m) Interpretation (structure)
  assumes ICat: Category iC
  and ISig: Signature iS
  and It : A ∈ BaseTypes iS ⇒ Ty[[A]] ∈ Obj iC
  and If : ( f ∈ Sig iS : A → B ) ⇒ Fn[[f]] mapsiC Ty[[A]] to Ty[[B]]

inductive Interp ( L[-]₁ → - ) where
  InterpTy: Sig iSI ▷ ⊢ A Type ⇒
    L[[⊢ A Type]]I → ( IObj Ty[[A]]I )
  | InterpVar: L[[⊢ A Type]]I → ( IObj c ) ⇒
    L[[ Vx : A ⊢ Vx : A ]]I → ( IMor (Id iCI c) )
  | InterpFn: [ Sig iSI ▷ Vx : A ⊢ e : B ;
    f ∈ Sig iSI : B → C ;
    L[[ Vx : A ⊢ e : B ]]I → ( IMor g ) ] ⇒
    L[[ Vx : A ⊢ ( f E@ e ) : C ]]I → ( IMor (g ;; ICatI I Fn[[f]]I) )
  | InterpEq: [ L[[ Vx : A ⊢ e1 : B ]]I → ( IMor g1 ) ;
    L[[ Vx : A ⊢ e2 : B ]]I → ( IMor g2 ) ] ⇒
    L[[ Vx : A ⊢ e1 ≡ e2 : B ]]I → ( IBool (g1 = g2) )

lemmas Interp.intros [intro]
inductive-cases InterpTyE [elim!]: L[[⊢ A Type]]I → i
inductive-cases InterpVarE [elim!]: L[[ Vx : A ⊢ Vx : A ]]I → i

```

inductive-cases *InterpFnE* [elim!]: $L[Vx : A \vdash (f E@ e) : C]_I \rightarrow i$
inductive-cases *InterpEqE* [elim!]: $L[Vx : A \vdash e1 \equiv e2 : B]_I \rightarrow i$

lemma (in *Interpretation*) *InterpEqEq[intro]*:
 $\llbracket L[Vx : A \vdash e1 : B] \rightarrow (IMor\ g) ; L[Vx : A \vdash e2 : B] \rightarrow (IMor\ g) \rrbracket \implies L[Vx : A \vdash e1 \equiv e2 : B] \rightarrow (IBool\ True)$
 $\langle proof \rangle$

lemma (in *Interpretation*) *InterpExprWellDefined*:
 $L[Vx : A \vdash e : B] \rightarrow i \implies Sig\ iS \triangleright Vx : A \vdash e : B$
 $\langle proof \rangle$

lemma (in *Interpretation*) *WellDefined*: $L[\varphi] \rightarrow i \implies Sig\ iS \triangleright \varphi$
 $\langle proof \rangle$

lemma (in *Interpretation*) *Bool*: $L[\varphi] \rightarrow (IBool\ i) \implies \exists\ A\ B\ e\ d . \varphi = (Vx : A \vdash e \equiv d : B)$
 $\langle proof \rangle$

lemma (in *Interpretation*) *FunctionalExpr*:
 $\bigwedge i\ j\ A\ B . \llbracket L[Vx : A \vdash e : B] \rightarrow i ; L[Vx : A \vdash e : B] \rightarrow j \rrbracket \implies i = j$
 $\langle proof \rangle$

lemma (in *Interpretation*) *Functional*: $\llbracket L[\varphi] \rightarrow i1 ; L[\varphi] \rightarrow i2 \rrbracket \implies i1 = i2$
 $\langle proof \rangle$

lemma (in *Interpretation*) *MorphismsPreserved*:
 $\bigwedge B\ i . L[Vx : A \vdash e : B] \rightarrow i \implies \exists\ g . i = (IMor\ g) \wedge (g\ maps_{iC}\ Ty[A]\ to\ Ty[B])$
 $\langle proof \rangle$

lemma (in *Interpretation*) *Expr2Mor*: $L[Vx : A \vdash e : B] \rightarrow (IMor\ g) \implies (g\ maps_{iC}\ Ty[A]\ to\ Ty[B])$
 $\langle proof \rangle$

lemma (in *Interpretation*) *WellDefinedExprInterp*: $\bigwedge B . (Sig\ iS \triangleright Vx : A \vdash e : B) \implies (\exists\ i . L[Vx : A \vdash e : B] \rightarrow i)$
 $\langle proof \rangle$

lemma (in *Interpretation*) *Sig2Mor*: **assumes** $(Sig\ iS \triangleright Vx : A \vdash e : B)$ **shows** $\exists\ g . L[Vx : A \vdash e : B] \rightarrow (IMor\ g)$
 $\langle proof \rangle$

record (*t, f*) *Axioms* =
aAxioms :: (*t, f*) *Language set*
aSignature :: (*t, f*) *Signature* (*aS1*)

locale *Axioms* =
fixes *Ax* :: (*t, f*) *Axioms* (**structure**)

assumes AxT : $(aAxioms\ Ax) \subseteq \{(Vx : A \vdash e1 \equiv e2 : B) \mid A\ B\ e1\ e2\ .\ Sig\ (aSignature\ Ax) \triangleright (Vx : A \vdash e1 \equiv e2 : B)\}$

assumes $AxSig$: $Signature\ (aSignature\ Ax)$

primrec $Subst :: ('t, 'f)\ Expression \Rightarrow ('t, 'f)\ Expression \Rightarrow ('t, 'f)\ Expression\ (sub\ -\ in\ -\ [81, 81]\ 81)\ where$
 $(sub\ e\ in\ Vx) = e \mid sub\ e\ in\ (f\ E@ d) = (f\ E@ (sub\ e\ in\ d))$

lemma $SubstXinE$: $(sub\ Vx\ in\ e) = e$
 $\langle proof \rangle$

lemma $SubstAssoc$: $sub\ a\ in\ (sub\ b\ in\ c) = sub\ (sub\ a\ in\ b)\ in\ c$
 $\langle proof \rangle$

lemma $SubstWellDefined$: $\bigwedge\ C.\ \llbracket Sig\ S \triangleright (Vx : A \vdash e : B); Sig\ S \triangleright (Vx : B \vdash d : C) \rrbracket$
 $\implies Sig\ S \triangleright (Vx : A \vdash (sub\ e\ in\ d) : C)$
 $\langle proof \rangle$

inductive-set $(in\ Axioms)\ Theory\ where$

Ax : $A \in (aAxioms\ Ax) \implies A \in Theory$

$\mid Refl$: $Sig\ (aSignature\ Ax) \triangleright (Vx : A \vdash e : B) \implies (Vx : A \vdash e \equiv e : B) \in Theory$

$\mid Symm$: $(Vx : A \vdash e1 \equiv e2 : B) \in Theory \implies (Vx : A \vdash e2 \equiv e1 : B) \in Theory$

$\mid Trans$: $\llbracket (Vx : A \vdash e1 \equiv e2 : B) \in Theory ; (Vx : A \vdash e2 \equiv e3 : B) \in Theory \rrbracket$
 \implies

$(Vx : A \vdash e1 \equiv e3 : B) \in Theory$

$\mid Congr$: $\llbracket (Vx : A \vdash e1 \equiv e2 : B) \in Theory ; f \in Sig\ (aSignature\ Ax) : B \rightarrow C \rrbracket$
 \implies

$(Vx : A \vdash (f\ E@ e1) \equiv (f\ E@ e2) : C) \in Theory$

$\mid Subst$: $\llbracket Sig\ (aSignature\ Ax) \triangleright (Vx : A \vdash e1 : B) ; (Vx : B \vdash e2 \equiv e3 : C) \in Theory \rrbracket \implies$

$(Vx : A \vdash (sub\ e1\ in\ e2) \equiv (sub\ e1\ in\ e3) : C) \in Theory$

lemma $(in\ Axioms)\ Equiv2WellDefined$: $\varphi \in Theory \implies Sig\ aS \triangleright \varphi$
 $\langle proof \rangle$

lemma $(in\ Axioms)\ Subst'$:

$\bigwedge\ C.\ \llbracket Sig\ aS \triangleright Vx : B \vdash d : C ; (Vx : A \vdash e1 \equiv e2 : B) \in Theory \rrbracket \implies$
 $(Vx : A \vdash (sub\ e1\ in\ d) \equiv (sub\ e2\ in\ d) : C) \in Theory$

$\langle proof \rangle$

locale $Model = Interpretation\ I + Axioms\ Ax$

for $I :: ('t, 'f, 'o, 'm)\ Interpretation\ (structure)$

and $Ax :: ('t, 'f)\ Axioms\ +$

assumes $AxSound$: $\varphi \in (aAxioms\ Ax) \implies L[\varphi] \rightarrow (IBool\ True)$

and $Seq[simp]$: $(aSignature\ Ax) = iS$

lemma (in *Interpretation*) *Equiv*:
assumes $L[Vx : A \vdash e1 \equiv e2 : B] \rightarrow (IBool\ True)$
shows $\exists\ g . (L[Vx : A \vdash e1 : B] \rightarrow (IMor\ g)) \wedge (L[Vx : A \vdash e2 : B] \rightarrow (IMor\ g))$
 $\langle proof \rangle$

lemma (in *Interpretation*) *SubstComp*: $\bigwedge\ h\ C . \llbracket (L[Vx : A \vdash e : B] \rightarrow (IMor\ h)) \rrbracket \implies$
 $(L[Vx : A \vdash (sub\ e\ in\ d) : C] \rightarrow (IMor\ (g\ ;;_{iC}\ h)))$
 $\langle proof \rangle$

lemma (in *Model*) *Sound*: $\varphi \in Theory \implies L[\varphi] \rightarrow (IBool\ True)$
 $\langle proof \rangle$

record ('t,'f) *TermEquivClT* =
TDomain :: 't
TExprSet :: ('t,'f) *Expression set*
TCodomain :: 't

locale *ZFAxioms* = *Ax* : *Axioms Ax* **for** *Ax* :: (ZF,ZF) *Axioms* (**structure**) +
assumes *fnzf*: *BaseFunctions* (*aSignature Ax*) $\in\ range\ explode$

lemma [*simp*]: *ZFAxioms T* \implies *Axioms T* $\langle proof \rangle$

primrec *Expr2ZF* :: (ZF,ZF) *Expression* \Rightarrow ZF **where**
 $Expr2ZFX: Expr2ZF\ Vx = ZFTriple\ (nat2Nat\ 0)\ (nat2Nat\ 0)\ Empty$
 $| Expr2ZFfe: Expr2ZF\ (f\ E@ e) = ZFTriple\ (SucNat\ (ZFTFst\ (Expr2ZF\ e)))$
 $(nat2Nat\ 1)$
 $(Opair\ f\ (Expr2ZF\ e))$

definition *ZF2Expr* :: ZF \Rightarrow (ZF,ZF) *Expression* **where**
 $ZF2Expr = inv\ Expr2ZF$

definition *ZFDepth* = *Nat2nat* o *ZFTFst*

definition *ZFType* = *Nat2nat* o *ZFTSnd*

definition *ZFData* = *ZFTThd*

lemma *Expr2ZFType0*: *ZFType* (*Expr2ZF e*) = 0 $\implies e = Vx$
 $\langle proof \rangle$

lemma *ZFDepthInNat*: *Elem* (*ZFTFst* (*Expr2ZF e*)) *Nat*
 $\langle proof \rangle$

lemma *Expr2ZFType1*: *ZFType* (*Expr2ZF e*) = 1 \implies
 $\exists\ f\ e' . e = (f\ E@ e') \wedge (Suc\ (ZFDepth\ (Expr2ZF\ e'))) = (ZFDepth\ (Expr2ZF\ e))$
 $\langle proof \rangle$

lemma *Expr2ZFDepth0*: $ZFDepth (Expr2ZF e) = 0 \implies ZFType (Expr2ZF e) = 0$

<proof>

lemma *Expr2ZFDepthSuc*: $ZFDepth (Expr2ZF e) = Suc n \implies ZFType (Expr2ZF e) = 1$

<proof>

lemma *Expr2ZData*: $ZFData (Expr2ZF (f E@ e)) = Opair f (Expr2ZF e)$

<proof>

lemma *Expr2ZFinj*: $inj Expr2ZF$

<proof>

definition *TermEquivClGen* $T A e B \equiv \{e' . (Vx : A \vdash e' \equiv e : B) \in Axioms.Theory T\}$

definition *TermEquivCl'* $T A e B \equiv (\mid TDomain = A , TExprSet = TermEquivClGen T A e B , TCodomain = B)$

definition *m2ZF* :: $(ZF, ZF) TermEquivClT \Rightarrow ZF$ **where**

$m2ZF t \equiv ZFTriple (TDomain t) (implode (Expr2ZF ' (TExprSet t))) (TCodomain t)$

definition *ZF2m* :: $(ZF, ZF) Axioms \Rightarrow ZF \Rightarrow (ZF, ZF) TermEquivClT$ **where**

$ZF2m T \equiv inv\text{-}into \{TermEquivCl' T A e B \mid A e B . True\} m2ZF$

lemma *TDomain*: $TDomain (TermEquivCl' T A e B) = A$ *<proof>*

lemma *TCodomain*: $TCodomain (TermEquivCl' T A e B) = B$ *<proof>*

primrec *WellFormedToSet* :: $(ZF, ZF) Signature \Rightarrow nat \Rightarrow (ZF, ZF) Expression set$ **where**

$WFS0: WellFormedToSet S 0 = \{Vx\}$
 $\mid WFS: WellFormedToSet S (Suc n) = (WellFormedToSet S n) \cup \{f E@ e \mid f e . f \in BaseFunctions S \wedge e \in (WellFormedToSet S n)\}$

lemma *WellFormedToSetInRangeExplode*: $ZFAxioms T \implies (Expr2ZF ' (WellFormedToSet aS_T n)) \in range explode$

<proof>

lemma *WellDefinedToWellFormedSet*: $\bigwedge B . (Sig S \triangleright (Vx : A \vdash e : B)) \implies \exists n. e \in WellFormedToSet S n$

<proof>

lemma *TermSetInSet*: $ZFAxioms T \implies Expr2ZF ' (TermEquivClGen T A e B) \in range explode$

<proof>

lemma *m2ZFinj-on*: $ZFAxioms T \implies inj\text{-}on m2ZF \{TermEquivCl' T A e B \mid A e B . True\}$

$\langle \text{proof} \rangle$

lemma $ZF2m$: $ZFAxioms\ T \implies ZF2m\ T\ (m2ZF\ (TermEquivCl'\ T\ A\ e\ B)) = (TermEquivCl'\ T\ A\ e\ B)$
 $\langle \text{proof} \rangle$

definition $TermEquivCl\ ([-, -, -]_1)$ **where** $[A, e, B]_T \equiv m2ZF\ (TermEquivCl'\ T\ A\ e\ B)$

definition $CLDomain\ T \equiv TDomain\ o\ ZF2m\ T$

definition $CLCodomain\ T \equiv TCodomain\ o\ ZF2m\ T$

definition $CanonicalComp\ T\ f\ g \equiv$

$THE\ h\ .\ \exists\ e\ e' .\ h = [CLDomain\ T\ f, sub\ e\ in\ e', CLCodomain\ T\ g]_T \wedge$
 $f = [CLDomain\ T\ f, e, CLCodomain\ T\ f]_T \wedge g = [CLDomain\ T\ g, e', CLCodomain\ T\ g]_T$

lemma $CLDomain$: $ZFAxioms\ T \implies CLDomain\ T\ [A, e, B]_T = A\ \langle \text{proof} \rangle$

lemma $CLCodomain$: $ZFAxioms\ T \implies CLCodomain\ T\ [A, e, B]_T = B\ \langle \text{proof} \rangle$

lemma $Equiv2Cl$: **assumes** $Axioms\ T$ **and** $(Vx : A \vdash e \equiv d : B) \in Axioms.Theory\ T$ **shows** $[A, e, B]_T = [A, d, B]_T$
 $\langle \text{proof} \rangle$

lemma $Cl2Equiv$:

assumes axt : $ZFAxioms\ T$ **and** sa : $Sig\ aS\ T \triangleright (Vx : A \vdash e : B)$ **and** cl : $[A, e, B]_T = [A, d, B]_T$
shows $(Vx : A \vdash e \equiv d : B) \in Axioms.Theory\ T$
 $\langle \text{proof} \rangle$

lemma $CanonicalCompWellDefined$:

assumes $zaxt$: $ZFAxioms\ T$ **and** $Sig\ aS\ T \triangleright (Vx : A \vdash d : B)$ **and** $Sig\ aS\ T \triangleright (Vx : B \vdash d' : C)$
shows $CanonicalComp\ T\ [A, d, B]_T\ [B, d', C]_T = [A, sub\ d\ in\ d', C]_T$
 $\langle \text{proof} \rangle$

definition $CanonicalCat'\ T \equiv ()$

$Obj = BaseType\ (aS\ T),$
 $Mor = \{[A, e, B]_T \mid A\ e\ B .\ Sig\ aS\ T \triangleright (Vx : A \vdash e : B)\},$
 $Dom = CLDomain\ T,$
 $Cod = CLCodomain\ T,$
 $Id = (\lambda\ A .\ [A, Vx, A]_T),$
 $Comp = CanonicalComp\ T$
 \rangle

definition $CanonicalCat\ T \equiv MakeCat\ (CanonicalCat'\ T)$

lemma $CanonicalCat'MapsTo$:

assumes $f\ maps_{CanonicalCat'\ T}\ X\ to\ Y$ **and** zx : $ZFAxioms\ T$

shows $\exists ef . f = [X, ef, Y]_T \wedge \text{Sig } (a\text{Signature } T) \triangleright (Vx : X \vdash ef : Y)$
 $\langle \text{proof} \rangle$

lemma *CanonicalCatCat'*: $ZFAxioms \ T \implies \text{Category-axioms } (CanonicalCat' \ T)$
 $\langle \text{proof} \rangle$

lemma *CanonicalCatCat*: $ZFAxioms \ T \implies \text{Category } (CanonicalCat \ T)$
 $\langle \text{proof} \rangle$

definition *CanonicalInterpretation* **where**

CanonicalInterpretation $T \equiv \langle$
 $\quad I\text{Signature} = a\text{Signature } T,$
 $\quad I\text{Category} = CanonicalCat \ T,$
 $\quad I\text{Types} = \lambda A . A,$
 $\quad I\text{Functions} = \lambda f . [SigDom \ (a\text{Signature } T) \ f, f \ E@ \ Vx, SigCod \ (a\text{Signature } T)$
 $\quad f]_T$
 \rangle

abbreviation *CI* $T \equiv CanonicalInterpretation \ T$

lemma *CIObj*: $Obj \ (CanonicalCat \ T) = BaseTypes \ (a\text{Signature } T)$
 $\langle \text{proof} \rangle$

lemma *CIMor*: $ZFAxioms \ T \implies [A, e, B]_T \in Mor \ (CanonicalCat \ T) = Sig \ (a\text{Signature } T) \triangleright (Vx : A \vdash e : B)$
 $\langle \text{proof} \rangle$

lemma *CIDom*: $\llbracket ZFAxioms \ T ; [A, e, B]_T \in Mor(CanonicalCat \ T) \rrbracket \implies Dom \ (CanonicalCat \ T) \ [A, e, B]_T = A$
 $\langle \text{proof} \rangle$

lemma *CICod*: $\llbracket ZFAxioms \ T ; [A, e, B]_T \in Mor(CanonicalCat \ T) \rrbracket \implies Cod \ (CanonicalCat \ T) \ [A, e, B]_T = B$
 $\langle \text{proof} \rangle$

lemma *CIId*: $\llbracket A \in BaseTypes \ (a\text{Signature } T) \rrbracket \implies Id \ (CanonicalCat \ T) \ A = [A, Vx, A]_T$
 $\langle \text{proof} \rangle$

lemma *CIComp*:

assumes $ZFAxioms \ T$ **and** $Sig \ (a\text{Signature } T) \triangleright (Vx : A \vdash e : B)$ **and** $Sig \ (a\text{Signature } T) \triangleright (Vx : B \vdash d : C)$

shows $[A, e, B]_T \mathrel{::} CanonicalCat \ T \ [B, d, C]_T = [A, sub \ e \ in \ d, C]_T$
 $\langle \text{proof} \rangle$

lemma *[simp]*: $ZFAxioms \ T \implies \text{Category } iC_{CI \ T} \ \langle \text{proof} \rangle$

lemma *[simp]*: $ZFAxioms \ T \implies \text{Signature } iS_{CI \ T} \ \langle \text{proof} \rangle$

lemma *CIInterpretation*: $ZFAxioms \ T \implies \text{Interpretation } (CI \ T)$

$\langle \text{proof} \rangle$

lemma *CIInterp2Mor*: $ZFAxioms\ T \implies (\bigwedge B . Sig\ iS_{CI\ T} \triangleright (Vx : A \vdash e : B) \implies L[Vx : A \vdash e : B]_{CI\ T} \rightarrow (IMor\ [A, e, B]_T))$
 $\langle \text{proof} \rangle$

lemma *CIModel*: $ZFAxioms\ T \implies Model\ (CI\ T)\ T$
 $\langle \text{proof} \rangle$

lemma *CIComplete*: **assumes** $ZFAxioms\ T$ **and** $L[\varphi]_{CI\ T} \rightarrow (IBool\ True)$ **shows** $\varphi \in Axioms.Theory\ T$
 $\langle \text{proof} \rangle$

lemma *Complete*:
assumes $ZFAxioms\ T$
and $\bigwedge (I :: (ZF, ZF, ZF, ZF)\ Interpretation) . Model\ I\ T \implies (L[\varphi]_I \rightarrow (IBool\ True))$
shows $\varphi \in Axioms.Theory\ T$
 $\langle \text{proof} \rangle$

end

4 Functor

theory *Functors*
imports *Category*
begin

record $('o1, 'o2, 'm1, 'm2, 'a, 'b)\ Functor =$
 $CatDom :: ('o1, 'm1, 'a)\ Category\ scheme$
 $CatCod :: ('o2, 'm2, 'b)\ Category\ scheme$
 $MapM :: 'm1 \Rightarrow 'm2$

abbreviation

$FunctorMorApp :: ('o1, 'o2, 'm1, 'm2, 'a1, 'a2, 'a)\ Functor\ scheme \Rightarrow 'm1 \Rightarrow 'm2$ (**infixr** $\#\#$ 70) **where**
 $FunctorMorApp\ F\ m \equiv (MapM\ F)\ m$

definition

$MapO :: ('o1, 'o2, 'm1, 'm2, 'a1, 'a2, 'a)\ Functor\ scheme \Rightarrow 'o1 \Rightarrow 'o2$ **where**
 $MapO\ F\ X \equiv THE\ Y . Y \in Obj(CatCod\ F) \wedge F\ \#\# (Id\ (CatDom\ F)\ X) = Id\ (CatCod\ F)\ Y$

abbreviation

$FunctorObjApp :: ('o1, 'o2, 'm1, 'm2, 'a1, 'a2, 'a)\ Functor\ scheme \Rightarrow 'o1 \Rightarrow 'o2$ (**infixr** $\@\@$ 70) **where**
 $FunctorObjApp\ F\ X \equiv (MapO\ F)\ X$

locale *PreFunctor* =
fixes $F :: ('o1, 'o2, 'm1, 'm2, 'a1, 'a2, 'a)$ *Functor-scheme* (**structure**)
assumes *FunctorComp*: $f \approx_{> CatDom\ F} g \implies F \#\# (f \mathrel{::}_{CatDom\ F} g) = (F \#\# f) \mathrel{::}_{CatCod\ F} (F \#\# g)$
and *FunctorId*: $X \in obj\ CatDom\ F \implies \exists\ Y \in obj\ CatCod\ F . F \#\# (id_{CatDom\ F}\ X) = id_{CatCod\ F}\ Y$
and *CatDom[simp]*: $Category(CatDom\ F)$
and *CatCod[simp]*: $Category(CatCod\ F)$

locale *FunctorM* = *PreFunctor* +
assumes *FunctorCompM*: $f\ maps_{CatDom\ F}\ X\ to\ Y \implies (F \#\# f)\ maps_{CatCod\ F}\ (F\ @\@ X)\ to\ (F\ @\@ Y)$

locale *FunctorExt* =
fixes $F :: ('o1, 'o2, 'm1, 'm2, 'a1, 'a2, 'a)$ *Functor-scheme* (**structure**)
assumes *FunctorMapExt*: $(MapM\ F) \in extensional\ (Mor\ (CatDom\ F))$

locale *Functor* = *FunctorM* + *FunctorExt*

definition
 $MakeFtor :: ('o1, 'o2, 'm1, 'm2, 'a, 'b, 'r)$ *Functor-scheme* $\Rightarrow ('o1, 'o2, 'm1, 'm2, 'a, 'b, 'r)$ *Functor-scheme* **where**
 $MakeFtor\ F \equiv ()$
 $CatDom = CatDom\ F$,
 $CatCod = CatCod\ F$,
 $MapM = restrict\ (MapM\ F)\ (Mor\ (CatDom\ F))$,
 $\dots = Functor.more\ F$
 $()$

lemma *PreFunctorFunctor[simp]*: $Functor\ F \implies PreFunctor\ F$
 $\langle proof \rangle$

lemmas *functor-simps* = *PreFunctor.FunctorComp* *PreFunctor.FunctorId*

definition
functor-abbrev ($Ftor\ - : - \longrightarrow - [81]$) **where**
 $Ftor\ F : A \longrightarrow B \equiv (Functor\ F) \wedge (CatDom\ F = A) \wedge (CatCod\ F = B)$

lemma *functor-abbrevE[elim]*: $\llbracket Ftor\ F : A \longrightarrow B ; \llbracket (Functor\ F) ; (CatDom\ F = A) ; (CatCod\ F = B) \rrbracket \implies R \rrbracket \implies R$
 $\langle proof \rangle$

definition
functor-comp-def ($- \approx_{>}; - [81]$) **where**
functor-comp-def $F\ G \equiv (Functor\ F) \wedge (Functor\ G) \wedge (CatDom\ G = CatCod\ F)$

lemma *functor-comp-def[elim]*: $\llbracket F \approx_{>}; G ; \llbracket Functor\ F ; Functor\ G ; CatDom\ G = CatCod\ F \rrbracket \implies R \rrbracket \implies R$

$\langle \text{proof} \rangle$

lemma (in *Functor*) *FunctorMapsTo*:

assumes $f \in \text{mor}_{\text{CatDom } F}$

shows $F \#\# f \text{ maps }_{\text{CatCod } F} (F @@ (\text{dom}_{\text{CatDom } F} f)) \text{ to } (F @@ (\text{cod}_{\text{CatDom } F} f))$

$\langle \text{proof} \rangle$

lemma (in *Functor*) *FunctorCodDom*:

assumes $f \in \text{mor}_{\text{CatDom } F}$

shows $\text{dom}_{\text{CatCod } F} (F \#\# f) = F @@ (\text{dom}_{\text{CatDom } F} f)$ **and** $\text{cod}_{\text{CatCod } F} (F \#\# f) = F @@ (\text{cod}_{\text{CatDom } F} f)$

$\langle \text{proof} \rangle$

lemma (in *Functor*) *FunctorCompPreserved*: $f \in \text{mor}_{\text{CatDom } F} \implies F \#\# f \in \text{mor}_{\text{CatCod } F}$

$\langle \text{proof} \rangle$

lemma (in *Functor*) *FunctorCompDef*:

assumes $f \approx_{> \text{CatDom } F} g$ **shows** $(F \#\# f) \approx_{> \text{CatCod } F} (F \#\# g)$

$\langle \text{proof} \rangle$

lemma *FunctorComp*: $\llbracket F \text{tor } F : A \longrightarrow B ; f \approx_{> A} g \rrbracket \implies F \#\# (f ;;_A g) = (F \#\# f) ;;_B (F \#\# g)$

$\langle \text{proof} \rangle$

lemma *FunctorCompDef*: $\llbracket F \text{tor } F : A \longrightarrow B ; f \approx_{> A} g \rrbracket \implies (F \#\# f) \approx_{> B} (F \#\# g)$

$\langle \text{proof} \rangle$

lemma *FunctorMapsTo*:

assumes $F \text{tor } F : A \longrightarrow B$ **and** $f \text{ maps }_A X \text{ to } Y$

shows $(F \#\# f) \text{ maps }_B (F @@ X) \text{ to } (F @@ Y)$

$\langle \text{proof} \rangle$

lemma (in *PreFunctor*) *FunctorId2*:

assumes $X \in \text{obj}_{\text{CatDom } F}$

shows $F @@ X \in \text{obj}_{\text{CatCod } F} \wedge F \#\# (\text{id}_{\text{CatDom } F} X) = \text{id}_{\text{CatCod } F} (F @@ X)$

$\langle \text{proof} \rangle$

lemma *FunctorId*:

assumes $F \text{tor } F : C \longrightarrow D$ **and** $X \in \text{Obj } C$

shows $F \#\# (\text{Id } C X) = \text{Id } D (F @@ X)$

$\langle \text{proof} \rangle$

lemma (in *Functor*) *DomFunctor*: $f \in \text{mor}_{\text{CatDom } F} \implies \text{dom}_{\text{CatCod } F} (F \#\# f) = F @@ (\text{dom}_{\text{CatDom } F} f)$

$\langle \text{proof} \rangle$

lemma (in *Functor*) *CodFunctor*: $f \in \text{mor}_{\text{CatDom } F} \implies \text{cod}_{\text{CatCod } F} (F \#\# f) = F \text{ @@ } (\text{cod}_{\text{CatDom } F} f)$
 <proof>

lemma (in *Functor*) *FunctorId3Dom*:
 assumes $f \in \text{mor}_{\text{CatDom } F}$
 shows $F \#\# (\text{id}_{\text{CatDom } F} (\text{dom}_{\text{CatDom } F} f)) = \text{id}_{\text{CatCod } F} (\text{dom}_{\text{CatCod } F} (F \#\# f))$
 <proof>

lemma (in *Functor*) *FunctorId3Cod*:
 assumes $f \in \text{mor}_{\text{CatDom } F}$
 shows $F \#\# (\text{id}_{\text{CatDom } F} (\text{cod}_{\text{CatDom } F} f)) = \text{id}_{\text{CatCod } F} (\text{cod}_{\text{CatCod } F} (F \#\# f))$
 <proof>

lemma (in *PreFunctor*) *FmToFo*: $\llbracket X \in \text{obj}_{\text{CatDom } F} ; Y \in \text{obj}_{\text{CatCod } F} ; F \#\# (\text{id}_{\text{CatDom } F} X) = \text{id}_{\text{CatCod } F} Y \rrbracket \implies F \text{ @@ } X = Y$
 <proof>

lemma *MakeFtorPreFtor*:
 assumes *PreFunctor* F shows *PreFunctor* (*MakeFtor* F)
 <proof>

lemma *MakeFtorMor*: $f \in \text{mor}_{\text{CatDom } F} \implies \text{MakeFtor } F \#\# f = F \#\# f$
 <proof>

lemma *MakeFtorObj*:
 assumes *PreFunctor* F and $X \in \text{obj}_{\text{CatDom } F}$
 shows $\text{MakeFtor } F \text{ @@ } X = F \text{ @@ } X$
 <proof>

lemma *MakeFtor*: assumes *FunctorM* F shows *Functor* (*MakeFtor* F)
 <proof>

definition
IdentityFunctor' :: $(\text{'o}, \text{'m}, \text{'a}) \text{ Category-scheme} \Rightarrow (\text{'o}, \text{'o}, \text{'m}, \text{'m}, \text{'a}, \text{'a}) \text{ Functor}$
 (*FId'* - [70]) **where**
IdentityFunctor' $C \equiv \llbracket \text{CatDom} = C , \text{CatCod} = C , \text{MapM} = (\lambda f . f) \rrbracket$

definition
IdentityFunctor (*FId* - [70]) **where**
IdentityFunctor $C \equiv \text{MakeFtor}(\text{IdentityFunctor}' C)$

lemma *IdFtor'PreFunctor*: *Category* $C \implies \text{PreFunctor}$ (*FId'* C)
 <proof>

lemma *IdFtor'Obj*:

assumes *Category C* **and** $X \in \text{obj}_{\text{CatDom}} (\text{FId}' C)$
shows $(\text{FId}' C) @@ X = X$
 $\langle \text{proof} \rangle$

lemma *IdFtor'FtorM*:
assumes *Category C* **shows** *FunctorM (FId' C)*
 $\langle \text{proof} \rangle$

lemma *IdFtorFtor*: *Category C* \implies *Functor (FId C)*
 $\langle \text{proof} \rangle$

definition

$\text{ConstFunctor}' :: ('o1, 'm1, 'a) \text{Category-scheme} \Rightarrow$
 $('o2, 'm2, 'b) \text{Category-scheme} \Rightarrow 'o2 \Rightarrow ('o1, 'o2, 'm1, 'm2, 'a, 'b)$
Functor **where**
 $\text{ConstFunctor}' A B b \equiv ()$
 $\text{CatDom} = A$,
 $\text{CatCod} = B$,
 $\text{MapM} = (\lambda f . (\text{Id } B) b)$
 \rangle

definition *ConstFunctor A B b* $\equiv \text{MakeFtor}(\text{ConstFunctor}' A B b)$

lemma *ConstFtor'* :
assumes *Category A Category B* $b \in (\text{Obj } B)$
shows *PreFunctor (ConstFunctor' A B b)*
and *FunctorM (ConstFunctor' A B b)*
 $\langle \text{proof} \rangle$

lemma *ConstFtor*:
assumes *Category A Category B* $b \in (\text{Obj } B)$
shows *Functor (ConstFunctor A B b)*
 $\langle \text{proof} \rangle$

definition

$\text{UnitFunctor} :: ('o, 'm, 'a) \text{Category-scheme} \Rightarrow ('o, \text{unit}, 'm, \text{unit}, 'a, \text{unit}) \text{Functor}$
where
 $\text{UnitFunctor } C \equiv \text{ConstFunctor } C \text{ UnitCategory } ()$

lemma *UnitFtor*:
assumes *Category C*
shows *Functor (UnitFunctor C)*
 $\langle \text{proof} \rangle$

definition

$\text{FunctorComp}' :: ('o1, 'o2, 'm1, 'm2, 'a1, 'a2) \text{Functor} \Rightarrow ('o2, 'o3, 'm2, 'm3, 'b1, 'b2)$
Functor
 $\Rightarrow ('o1, 'o3, 'm1, 'm3, 'a1, 'b2) \text{Functor}$ (**infixl** $::: 71$) **where**
 $\text{FunctorComp}' F G \equiv ()$

$CatDom = CatDom\ F$,
 $CatCod = CatCod\ G$,
 $MapM = \lambda f . (MapM\ G)((MapM\ F)\ f)$

\rangle

definition *FunctorComp* (**infixl** $::;$ 71) **where** *FunctorComp* $F\ G \equiv MakeFtor\ (FunctorComp'\ F\ G)$

lemma *FtorCompComp'*:

assumes $f \approx_{>} CatDom\ F\ g$
and $F \approx_{>} G$
shows $G \## (F \## (f :: CatDom\ F\ g)) = (G \## (F \## f)) :: CatCod\ G\ (G \## (F \## g))$
 $\langle proof \rangle$

lemma *FtorCompId*:

assumes $a: X \in (Obj\ (CatDom\ F))$
and $F \approx_{>} G$
shows $G \## (F \## (id_{CatDom\ F}\ X)) = id_{CatCod\ G}(G \@@ (F \@@ X)) \wedge G \@@ (F \@@ X) \in (Obj\ (CatCod\ G))$
 $\langle proof \rangle$

lemma *FtorCompIdDef*:

assumes $a: X \in (Obj\ (CatDom\ F))$ **and** $b: PreFunctor\ (F ::; G)$
and $F \approx_{>} G$
shows $(F ::; G) \@@ X = (G \@@ (F \@@ X))$
 $\langle proof \rangle$

lemma *FunctorCompMapsTo*:

assumes $f \in mor_{CatDom}\ (F ::; G)$ **and** $F \approx_{>} G$
shows $(G \## (F \## f))\ maps_{CatCod\ G}\ (G \@@ (F \@@ (dom_{CatDom}\ F\ f)))\ to\ (G \@@ (F \@@ (cod_{CatDom}\ F\ f)))$
 $\langle proof \rangle$

lemma *FunctorCompMapsTo2*:

assumes $f \in mor_{CatDom}\ (F ::; G)$
and $F \approx_{>} G$
and $PreFunctor\ (F ::; G)$
shows $((F ::; G) \## f)\ maps_{CatCod}\ (F ::; G)\ ((F ::; G) \@@ (dom_{CatDom}\ (F ::; G)\ f))\ to\ ((F ::; G) \@@ (cod_{CatDom}\ (F ::; G)\ f))$
 $\langle proof \rangle$

lemma *FunctorCompMapsTo3*:

assumes $f\ maps_{CatDom}\ (F ::; G)\ X\ to\ Y$
and $F \approx_{>} G$
and $PreFunctor\ (F ::; G)$
shows $F ::; G \## f\ maps_{CatCod}\ (F ::; G)\ F ::; G \@@ X\ to\ F ::; G \@@ Y$

$\langle proof \rangle$

lemma *FtorCompPreFtor*:
 assumes $F \approx > ; ; ; G$
 shows $PreFunctor (F ; ; ; G)$
 $\langle proof \rangle$

lemma *FtorCompM* :
 assumes $F \approx > ; ; ; G$
 shows $FunctorM (F ; ; ; G)$
 $\langle proof \rangle$

lemma *FtorComp*:
 assumes $F \approx > ; ; ; G$
 shows $Functor (F ; ; ; G)$
 $\langle proof \rangle$

lemma (in *Functor*) *FunctorPreservesIso*:
 assumes $ciso\ CatDom\ F\ k$
 shows $ciso\ CatCod\ F\ (F\ \#\#\ k)$
 $\langle proof \rangle$

declare *PreFunctor.CatDom*[simp] *PreFunctor.CatCod* [simp]

lemma *FunctorMFunctor*[simp]: $Functor\ F \implies FunctorM\ F$
 $\langle proof \rangle$

locale *Equivalence* = *Functor* +
 assumes $Full: \llbracket A \in Obj\ (CatDom\ F) ; B \in Obj\ (CatDom\ F) ;$
 $h\ maps_{CatCod\ F}\ (F\ @\@ A)\ to\ (F\ @\@ B) \rrbracket \implies$
 $\exists f . (f\ maps_{CatDom\ F}\ A\ to\ B) \wedge (F\ \#\#\ f = h)$
 and *Faithful*: $\llbracket f\ maps_{CatDom\ F}\ A\ to\ B ; g\ maps_{CatDom\ F}\ A\ to\ B ; F\ \#\#\ f =$
 $F\ \#\#\ g \rrbracket \implies f = g$
 and *IsoDense*: $C \in Obj\ (CatCod\ F) \implies \exists A \in Obj\ (CatDom\ F) . ObjIso$
 $(CatCod\ F)\ (F\ @\@ A)\ C$
end

5 Natural Transformation

theory *NatTrans*
imports *Functors*
begin

record (*'o1*, *'o2*, *'m1*, *'m2*, *'a*, *'b*) *NatTrans* =
NTDom :: (*'o1*, *'o2*, *'m1*, *'m2*, *'a*, *'b*) *Functor*
NTCod :: (*'o1*, *'o2*, *'m1*, *'m2*, *'a*, *'b*) *Functor*
NatTransMap :: *'o1* \Rightarrow *'m2*

abbreviation

$NatTransApp :: ('o1, 'o2, 'm1, 'm2, 'a, 'b) NatTrans \Rightarrow 'o1 \Rightarrow 'm2$ (**infixr** 70) **where**
 $NatTransApp \ \eta \ X \equiv (NatTransMap \ \eta) \ X$

definition $NTCatDom \ \eta \equiv CatDom \ (NTDom \ \eta)$

definition $NTCatCod \ \eta \equiv CatCod \ (NTCod \ \eta)$

locale $NatTransExt =$

fixes $\eta :: ('o1, 'o2, 'm1, 'm2, 'a, 'b) NatTrans$ (**structure**)
assumes $NTExt : NatTransMap \ \eta \in extensional \ (Obj \ (NTCatDom \ \eta))$

locale $NatTransP =$

fixes $\eta :: ('o1, 'o2, 'm1, 'm2, 'a, 'b) NatTrans$ (**structure**)
assumes $NatTransFtor : Functor \ (NTDom \ \eta)$
and $NatTransFtor2 : Functor \ (NTCod \ \eta)$
and $NatTransFtorDom : NTCatDom \ \eta = CatDom \ (NTCod \ \eta)$
and $NatTransFtorCod : NTCatCod \ \eta = CatCod \ (NTDom \ \eta)$
and $NatTransMapsTo : X \in objNTCatDom \ \eta \Longrightarrow$
 $(\eta \ \$\$ \ X) \ maps_{NTCatCod \ \eta} ((NTDom \ \eta) \ @\@ \ X) \ to \ ((NTCod \ \eta) \ @\@ \ X)$
and $NatTrans : f \ maps_{NTCatDom \ \eta} X \ to \ Y \Longrightarrow$
 $((NTDom \ \eta) \ \#\# \ f) \ ::_{NTCatCod \ \eta} (\eta \ \$\$ \ Y) = (\eta \ \$\$ \ X)$
 $::_{NTCatCod \ \eta} ((NTCod \ \eta) \ \#\# \ f)$

locale $NatTrans = NatTransP + NatTransExt$

lemma $[simp] : NatTrans \ \eta \Longrightarrow NatTransP \ \eta$
 $\langle proof \rangle$

definition $MakeNT :: ('o1, 'o2, 'm1, 'm2, 'a, 'b) NatTrans \Rightarrow ('o1, 'o2, 'm1, 'm2, 'a, 'b) NatTrans$ **where**
 $MakeNT \ \eta \equiv \langle$
 $NTDom = NTDom \ \eta,$
 $NTCod = NTCod \ \eta,$
 $NatTransMap = restrict \ (NatTransMap \ \eta) \ (Obj \ (NTCatDom \ \eta))$
 \rangle

definition

$nt-abbrev \ (NT \ - : - \Longrightarrow - [81])$ **where**
 $NT \ f : F \Longrightarrow G \equiv (NatTrans \ f) \wedge (NTDom \ f = F) \wedge (NTCod \ f = G)$

lemma $nt-abbrevE[elim] : \llbracket NT \ f : F \Longrightarrow G ; \llbracket (NatTrans \ f) ; (NTDom \ f = F) ; (NTCod \ f = G) \rrbracket \Longrightarrow R \rrbracket \Longrightarrow R$
 $\langle proof \rangle$

lemma $MakeNT : NatTransP \ \eta \Longrightarrow NatTrans \ (MakeNT \ \eta)$
 $\langle proof \rangle$

lemma *MakeNT-comp*: $X \in \text{Obj } (\text{NTCatDom } f) \implies (\text{MakeNT } f) \$\$ X = f \$\$ X$
 $\langle \text{proof} \rangle$

lemma *MakeNT-dom*: $\text{NTCatDom } f = \text{NTCatDom } (\text{MakeNT } f)$
 $\langle \text{proof} \rangle$

lemma *MakeNT-cod*: $\text{NTCatCod } f = \text{NTCatCod } (\text{MakeNT } f)$
 $\langle \text{proof} \rangle$

lemma *MakeNTApp*: $X \in \text{Obj } (\text{NTCatDom } (\text{MakeNT } f)) \implies f \$\$ X = (\text{MakeNT } f) \$\$ X$
 $\langle \text{proof} \rangle$

lemma *NatTransMapsTo*:
assumes $\text{NT } \eta : F \implies G$ **and** $X \in \text{Obj } (\text{CatDom } F)$
shows $\eta \$\$ X \text{ maps }_{\text{CatCod}} G (F @@@ X) \text{ to } (G @@@ X)$
 $\langle \text{proof} \rangle$

definition

$\text{NTCompDefined} :: ('o1, 'o2, 'm1, 'm2, 'a, 'b) \text{ NatTrans}$
 $\Rightarrow ('o1, 'o2, 'm1, 'm2, 'a, 'b) \text{ NatTrans} \Rightarrow \text{bool} \text{ (infixl } \approx_{>\bullet} 65)$

where

$\text{NTCompDefined } \eta1 \ \eta2 \equiv \text{NatTrans } \eta1 \wedge \text{NatTrans } \eta2 \wedge \text{NTCatDom } \eta2 = \text{NTCatDom } \eta1 \wedge$
 $\text{NTCatCod } \eta2 = \text{NTCatCod } \eta1 \wedge \text{NTCod } \eta1 = \text{NTDom } \eta2$

lemma *NTCompDefinedE[elim]*: $\llbracket \eta1 \approx_{>\bullet} \eta2 ; \llbracket \text{NatTrans } \eta1 ; \text{NatTrans } \eta2 ; \text{NTCatDom } \eta2 = \text{NTCatDom } \eta1 ;$
 $\text{NTCatCod } \eta2 = \text{NTCatCod } \eta1 ; \text{NTCod } \eta1 = \text{NTDom } \eta2 \rrbracket$
 $\implies R \rrbracket \implies R$
 $\langle \text{proof} \rangle$

lemma *NTCompDefinedI*: $\llbracket \text{NatTrans } \eta1 ; \text{NatTrans } \eta2 ; \text{NTCatDom } \eta2 = \text{NTCatDom } \eta1 ;$
 $\text{NTCatCod } \eta2 = \text{NTCatCod } \eta1 ; \text{NTCod } \eta1 = \text{NTDom } \eta2 \rrbracket$
 $\implies \eta1 \approx_{>\bullet} \eta2$
 $\langle \text{proof} \rangle$

lemma *NatTransExt0*:

assumes $\text{NTDom } \eta1 = \text{NTDom } \eta2$ **and** $\text{NTCod } \eta1 = \text{NTCod } \eta2$
and $\bigwedge X . X \in \text{Obj } (\text{NTCatDom } \eta1) \implies \eta1 \$\$ X = \eta2 \$\$ X$
and $\text{NatTransMap } \eta1 \in \text{extensional } (\text{Obj } (\text{NTCatDom } \eta1))$
and $\text{NatTransMap } \eta2 \in \text{extensional } (\text{Obj } (\text{NTCatDom } \eta2))$
shows $\eta1 = \eta2$
 $\langle \text{proof} \rangle$

lemma *NatTransExt'*:

assumes $NTDom\ \eta1' = NTDom\ \eta2'$ **and** $NTCod\ \eta1' = NTCod\ \eta2'$
and $\bigwedge X . X \in Obj\ (NTCatDom\ \eta1') \implies \eta1' \$\$ X = \eta2' \$\$ X$
shows $MakeNT\ \eta1' = MakeNT\ \eta2'$
 $\langle proof \rangle$

lemma *NatTransExt*:

assumes $NatTrans\ \eta1$ **and** $NatTrans\ \eta2$ **and** $NTDom\ \eta1 = NTDom\ \eta2$ **and**
 $NTCod\ \eta1 = NTCod\ \eta2$
and $\bigwedge X . X \in Obj\ (NTCatDom\ \eta1) \implies \eta1 \$\$ X = \eta2 \$\$ X$
shows $\eta1 = \eta2$
 $\langle proof \rangle$

definition

$IdNatTrans' :: ('o1, 'o2, 'm1, 'm2, 'a1, 'a2)\ Functor \Rightarrow ('o1, 'o2, 'm1, 'm2,$
 $'a1, 'a2)\ NatTrans$ **where**
 $IdNatTrans'\ F \equiv \langle$
 $NTDom = F,$
 $NTCod = F,$
 $NatTransMap = \lambda X . id_{CatCod\ F}\ (F\ @\@ X)$
 \rangle

definition $IdNatTrans\ F \equiv MakeNT(IdNatTrans'\ F)$

lemma *IdNatTrans-map*: $X \in obj\ CatDom\ F \implies (IdNatTrans\ F)\ \$\$ X = id_{CatCod\ F}$
 $(F\ @\@ X)$
 $\langle proof \rangle$

lemmas $IdNatTrans-defs = IdNatTrans-def\ IdNatTrans'-def\ MakeNT-def\ IdNatTrans-map$
 $NTCatCod-def\ NTCatDom-def$

lemma *IdNatTransNatTrans'*: $Functor\ F \implies NatTransP(IdNatTrans'\ F)$
 $\langle proof \rangle$

lemma *IdNatTransNatTrans*: $Functor\ F \implies NatTrans\ (IdNatTrans\ F)$
 $\langle proof \rangle$

definition

$NatTransComp' :: ('o1, 'o2, 'm1, 'm2, 'a, 'b)\ NatTrans \Rightarrow$
 $('o1, 'o2, 'm1, 'm2, 'a, 'b)\ NatTrans \Rightarrow$
 $('o1, 'o2, 'm1, 'm2, 'a, 'b)\ NatTrans\ (\mathbf{infixl}\ 1\ 75)$ **where**
 $NatTransComp'\ \eta1\ \eta2 = \langle$
 $NTDom = NTDom\ \eta1,$
 $NTCod = NTCod\ \eta2,$
 $NatTransMap = \lambda X . (\eta1\ \$\$ X) ;;_{NTCatCod\ \eta1}\ (\eta2\ \$\$ X)$
 \rangle

definition $NatTransComp\ (\mathbf{infixl}\ 1\ 75)$ **where** $\eta1 \cdot \eta2 \equiv MakeNT(\eta1 \cdot 1\ \eta2)$

lemma *NatTransComp-Comp1*: $\llbracket x \in \text{Obj } (\text{NTCatDom } f) ; f \approx_{>\cdot} g \rrbracket \implies (f \cdot g)$
 $\$ \$ x = (f \$ \$ x) ;;_{\text{NTCatCod } g} (g \$ \$ x)$

<proof>

lemma *NatTransComp-Comp2*: $\llbracket x \in \text{Obj } (\text{NTCatDom } f) ; f \approx_{>\cdot} g \rrbracket \implies (f \cdot g)$
 $\$ \$ x = (f \$ \$ x) ;;_{\text{NTCatCod } f} (g \$ \$ x)$

<proof>

lemmas *NatTransComp-defs* = *NatTransComp-def* *NatTransComp'-def* *MakeNT-def*

NatTransComp-Comp1 *NTCatCod-def* *NTCatDom-def*

lemma [*simp*]: $\eta 1 \approx_{>\cdot} \eta 2 \implies \text{NatTrans } \eta 1$ *<proof>*

lemma [*simp*]: $\eta 1 \approx_{>\cdot} \eta 2 \implies \text{NatTrans } \eta 2$ *<proof>*

lemma *NTCatDom*: $\eta 1 \approx_{>\cdot} \eta 2 \implies \text{NTCatDom } \eta 1 = \text{NTCatDom } \eta 2$
<proof>

lemma *NTCatCod*: $\eta 1 \approx_{>\cdot} \eta 2 \implies \text{NTCatCod } \eta 1 = \text{NTCatCod } \eta 2$ *<proof>*

lemma [*simp*]: $\eta 1 \approx_{>\cdot} \eta 2 \implies \text{NTCatDom } (\eta 1 \cdot 1 \eta 2) = \text{NTCatDom } \eta 1$ *<proof>*

lemma [*simp*]: $\eta 1 \approx_{>\cdot} \eta 2 \implies \text{NTCatCod } (\eta 1 \cdot 1 \eta 2) = \text{NTCatCod } \eta 1$ *<proof>*

lemma [*simp*]: $\eta 1 \approx_{>\cdot} \eta 2 \implies \text{NTCatDom } (\eta 1 \cdot \eta 2) = \text{NTCatDom } \eta 1$ *<proof>*

lemma [*simp*]: $\eta 1 \approx_{>\cdot} \eta 2 \implies \text{NTCatCod } (\eta 1 \cdot \eta 2) = \text{NTCatCod } \eta 1$ *<proof>*

lemma [*simp*]: $\text{NatTrans } \eta \implies \text{Category}(\text{NTCatDom } \eta)$ *<proof>*

lemma [*simp*]: $\text{NatTrans } \eta \implies \text{Category}(\text{NTCatCod } \eta)$ *<proof>*

lemma *DDDC*: **assumes** *NatTrans f* **shows** $\text{CatDom } (\text{NTDom } f) = \text{CatDom } (\text{NTCod } f)$
<proof>

lemma *CCCD*: **assumes** *NatTrans f* **shows** $\text{CatCod } (\text{NTCod } f) = \text{CatCod } (\text{NTDom } f)$
<proof>

lemma *IdNatTransCompDefDom*: $\text{NatTrans } f \implies (\text{IdNatTrans } (\text{NTDom } f)) \approx_{>\cdot} f$
<proof>

lemma *IdNatTransCompDefCod*: $\text{NatTrans } f \implies f \approx_{>\cdot} (\text{IdNatTrans } (\text{NTCod } f))$
<proof>

lemma *NatTransCompDefCod*:
assumes *NatTrans η* **and** *f maps_{NTCatDom η} X to Y*
shows $(\eta \$ \$ X) \approx_{>\text{NTCatCod } \eta} (\text{NTCod } \eta \#\# f)$
<proof>

lemma *NatTransCompDefDom*:
assumes *NatTrans η* **and** *f maps_{NTCatDom η} X to Y*
shows $(\text{NTDom } \eta \#\# f) \approx_{>\text{NTCatCod } \eta} (\eta \$ \$ Y)$
<proof>

lemma *NatTransCompCompDef*:
assumes $\eta 1 \approx_{>\cdot} \eta 2$ **and** $X \in \text{obj } \text{NTCatDom } \eta 1$

shows $(\eta1 \ \$\$ \ X) \approx_{>NTCatCod} \eta1 \ (\eta2 \ \$\$ \ X)$
 $\langle proof \rangle$

lemma *NatTransCompNatTrans'*:
assumes $\eta1 \approx_{>\cdot} \eta2$
shows $NatTransP \ (\eta1 \cdot 1 \ \eta2)$
 $\langle proof \rangle$

lemma *NatTransCompNatTrans*: $\eta1 \approx_{>\cdot} \eta2 \implies NatTrans \ (\eta1 \cdot \eta2)$
 $\langle proof \rangle$

definition

$CatExp' :: ('o1, 'm1, 'a) \text{ Category-scheme} \Rightarrow ('o2, 'm2, 'b) \text{ Category-scheme} \Rightarrow$
 $((('o1, 'o2, 'm1, 'm2, 'a, 'b) \text{ Functor},$
 $('o1, 'o2, 'm1, 'm2, 'a, 'b) \text{ NatTrans}) \text{ Category} \text{ where}$
 $CatExp' \ A \ B \equiv ()$
 $Category.Obj = \{F . Ftor \ F : A \longrightarrow B\} ,$
 $Category.Mor = \{\eta . NatTrans \ \eta \wedge NTCatDom \ \eta = A \wedge NTCatCod \ \eta = B\}$
 $,$
 $Category.Dom = NTDom ,$
 $Category.Cod = NTCod ,$
 $Category.Id = IdNatTrans ,$
 $Category.Comp = \lambda f \ g. (f \cdot g)$
 \rangle

definition $CatExp \ A \ B \equiv MakeCat(CatExp' \ A \ B)$

lemma *IdNatTransMapL*:
assumes $NT: NatTrans \ f$
shows $IdNatTrans \ (NTDom \ f) \cdot f = f$
 $\langle proof \rangle$

lemma *IdNatTransMapR*:
assumes $NT: NatTrans \ f$
shows $f \cdot IdNatTrans \ (NTCod \ f) = f$
 $\langle proof \rangle$

lemma *NatTransCompDefined*:
assumes $f \approx_{>\cdot} g$ **and** $g \approx_{>\cdot} h$
shows $(f \cdot g) \approx_{>\cdot} h$ **and** $f \approx_{>\cdot} (g \cdot h)$
 $\langle proof \rangle$

lemma *NatTransCompAssoc*:
assumes $f \approx_{>\cdot} g$ **and** $g \approx_{>\cdot} h$
shows $(f \cdot g) \cdot h = f \cdot (g \cdot h)$
 $\langle proof \rangle$

lemma *CatExpCatAx*:
assumes $Category \ A$ **and** $Category \ B$

shows *Category-axioms* (*CatExp'* *A B*)
 <proof>

lemma *CatExpCat*: $\llbracket \text{Category } A ; \text{Category } B \rrbracket \implies \text{Category } (\text{CatExp } A \ B)$
 <proof>

lemmas *CatExp-defs* = *CatExp-def* *CatExp'-def* *MakeCat-def*

lemma *CatExpDom*: $f \in \text{Mor } (\text{CatExp } A \ B) \implies \text{dom}_{\text{CatExp } A \ B} f = \text{NTDom } f$
 <proof>

lemma *CatExpCod*: $f \in \text{Mor } (\text{CatExp } A \ B) \implies \text{cod}_{\text{CatExp } A \ B} f = \text{NTCod } f$
 <proof>

lemma *CatExpId*: $X \in \text{Obj } (\text{CatExp } A \ B) \implies \text{Id } (\text{CatExp } A \ B) \ X = \text{IdNatTrans } X$
 <proof>

lemma *CatExpNatTransCompDef*: **assumes** $f \approx_{> \text{CatExp } A \ B} g$ **shows** $f \approx_{> \cdot} g$
 <proof>

lemma *CatExpDist*:
assumes $X \in \text{Obj } A$ **and** $f \approx_{> \text{CatExp } A \ B} g$
shows $(f \ ;_{\text{CatExp } A \ B} g) \ \$\$ X = (f \ \$\$ X) \ ;_B (g \ \$\$ X)$
 <proof>

lemma *CatExpMorNT*: $f \in \text{Mor } (\text{CatExp } A \ B) \implies \text{NatTrans } f$
 <proof>

end

6 The Category of Sets

theory *SetCat*
imports *Functors Universe*
begin

notation (*xsymbols*) *Elem* (**infixl** $|\in|$ 70)
notation (*xsymbols*) *HOLZF.subset* (**infixl** $|\subseteq|$ 71)
notation (*xsymbols*) *CartProd* (**infixl** $|\times|$ 75)

definition
 $\text{ZFfun} :: ZF \Rightarrow ZF \Rightarrow (ZF \Rightarrow ZF) \Rightarrow ZF$ **where**
 $\text{ZFfun } d \ r \ f \equiv \text{Opair } (\text{Opair } d \ r) \ (\text{Lambda } d \ f)$

definition
 $\text{ZFfunDom} :: ZF \Rightarrow ZF \ (|\text{dom}| - [72] \ 72)$ **where**

$ZFfunDom\ f \equiv Fst\ (Fst\ f)$

definition

$ZFfunCod :: ZF \Rightarrow ZF\ (|cod| - [72]\ 72)$ **where**
 $ZFfunCod\ f \equiv Snd\ (Fst\ f)$

definition

$ZFfunApp :: ZF \Rightarrow ZF \Rightarrow ZF\ (\mathbf{infixl}\ |\@|\ 73)$ **where**
 $ZFfunApp\ f\ x \equiv app\ (Snd\ f)\ x$

definition

$ZFfunComp :: ZF \Rightarrow ZF \Rightarrow ZF\ (\mathbf{infixl}\ |o|\ 72)$ **where**
 $ZFfunComp\ f\ g \equiv ZFfun\ (\ |dom|\ f)\ (\ |cod|\ g)\ (\lambda x. g\ |\@|\ (f\ |\@|\ x))$

definition

$isZFfun :: ZF \Rightarrow bool$ **where**
 $isZFfun\ drf \equiv let\ f = Snd\ drf\ in$
 $isOpair\ drf \wedge isOpair\ (Fst\ drf) \wedge isFun\ f \wedge (f\ |\subseteq|\ (Domain\ f))\ |\times|\$
 $(Range\ f))$
 $\wedge (Domain\ f = |dom|\ drf) \wedge (Range\ f\ |\subseteq|\ |cod|\ drf)$

lemma $isZFfunE[elim]$: $\llbracket isZFfun\ f\ ;$

$\llbracket isOpair\ f\ ; isOpair\ (Fst\ f)\ ; isFun\ (Snd\ f)\ ;$
 $((Snd\ f)\ |\subseteq|\ (Domain\ (Snd\ f))\ |\times|\ (Range\ (Snd\ f)))\ ;$
 $(Domain\ (Snd\ f) = |dom|\ f) \wedge (Range\ (Snd\ f)\ |\subseteq|\ |cod|\ f)\rrbracket \Longrightarrow R\rrbracket \Longrightarrow R$
 $\langle proof \rangle$

definition

$SET' :: (ZF, ZF)\ Category$ **where**
 $SET' \equiv \langle$
 $Category.Obj = \{x\ .\ True\} ,$
 $Category.Mor = \{f\ .\ isZFfun\ f\} ,$
 $Category.Dom = ZFfunDom ,$
 $Category.Cod = ZFfunCod ,$
 $Category.Id = \lambda x. ZFfun\ x\ x\ (\lambda x\ .\ x) ,$
 $Category.Comp = ZFfunComp$
 \rangle

definition $SET \equiv MakeCat\ SET'$

lemma $ZFfunDom$: $|dom|\ (ZFfun\ A\ B\ f) = A$
 $\langle proof \rangle$

lemma $ZFfunCod$: $|cod|\ (ZFfun\ A\ B\ f) = B$
 $\langle proof \rangle$

lemma $SETfun$:

assumes $\forall\ x\ .\ x\ |\in|\ A \longrightarrow (f\ x)\ |\in|\ B$
shows $isZFfun\ (ZFfun\ A\ B\ f)$

$\langle proof \rangle$

lemma *ZFCartProd*:

assumes $x \in A \times B$

shows $Fst\ x \in A \wedge Snd\ x \in B \wedge isOpair\ x$

$\langle proof \rangle$

lemma *ZFfunDomainOpair*:

assumes $isFun\ f$

and $x \in Domain\ f$

shows $Opair\ x\ (app\ f\ x) \in f$

$\langle proof \rangle$

lemma *ZFFunToLambda*:

assumes 1: $isFun\ f$

and 2: $f \subseteq (Domain\ f) \times (Range\ f)$

shows $f = Lambda\ (Domain\ f)\ (\lambda x. app\ f\ x)$

$\langle proof \rangle$

lemma *ZFfunApp*:

assumes $x \in A$

shows $(ZFfun\ A\ B\ f) \ @\ x = f\ x$

$\langle proof \rangle$

lemma *ZFfun*:

assumes $isZFfun\ f$

shows $f = ZFfun\ (\ |dom|\ f)\ (\ |cod|\ f)\ (\lambda x. f\ @\ x)$

$\langle proof \rangle$

lemma *ZFfun-ext*:

assumes $\forall x. x \in A \longrightarrow f\ x = g\ x$

shows $(ZFfun\ A\ B\ f) = (ZFfun\ A\ B\ g)$

$\langle proof \rangle$

lemma *ZFfunExt*:

assumes $|dom|\ f = |dom|\ g$ and $|cod|\ f = |cod|\ g$ and $funf: isZFfun\ f$ and $fung: isZFfun\ g$

and $\bigwedge x. x \in |dom|\ f \implies f\ @\ x = g\ @\ x$

shows $f = g$

$\langle proof \rangle$

lemma *ZFfunDomAppCod*:

assumes $isZFfun\ f$

and $x \in |dom|\ f$

shows $f\ @\ x \in |cod|\ f$

$\langle proof \rangle$

lemma *ZFfunComp*:

assumes $\forall x. x \in A \longrightarrow f\ x \in B$

shows $(ZFfun\ A\ B\ f)\ |o|\ (ZFfun\ B\ C\ g) = ZFfun\ A\ C\ (g\ o\ f)$
 $\langle proof \rangle$

lemma *ZFfunCompApp*:
assumes $a:isZFfun\ f$ **and** $b:isZFfun\ g$ **and** $c:|dom|g = |cod|f$
shows $f\ |o|\ g = ZFfun\ (|dom|\ f)\ (|cod|\ g)\ (\lambda\ x.\ g\ |@|\ (f\ |@|\ x))$
 $\langle proof \rangle$

lemma *ZFfunCompAppZFfun*:
assumes $isZFfun\ f$ **and** $isZFfun\ g$ **and** $|dom|g = |cod|f$
shows $isZFfun\ (f\ |o|\ g)$
 $\langle proof \rangle$

lemma *ZFfunCompAssoc*:
assumes $a:isZFfun\ f$ **and** $b:isZFfun\ h$ **and** $c:|cod|g = |dom|h$
and $d:isZFfun\ g$ **and** $e:|cod|f = |dom|g$
shows $f\ |o|\ g\ |o|\ h = f\ |o|\ (g\ |o|\ h)$
 $\langle proof \rangle$

lemma *ZFfunCompAppDomCod*:
assumes $isZFfun\ f$ **and** $isZFfun\ g$ **and** $|dom|g = |cod|f$
shows $|dom|\ (f\ |o|\ g) = |dom|\ f \wedge |cod|\ (f\ |o|\ g) = |cod|\ g$
 $\langle proof \rangle$

lemma *ZFfunIdLeft*:
assumes $a:isZFfun\ f$ **shows** $(ZFfun\ (|dom|f)\ (|dom|f)\ (\lambda x.\ x))\ |o|\ f = f$
 $\langle proof \rangle$

lemma *ZFfunIdRight*:
assumes $a:isZFfun\ f$ **shows** $f\ |o|\ (ZFfun\ (|cod|f)\ (|cod|f)\ (\lambda x.\ x)) = f$
 $\langle proof \rangle$

lemma *SETCategory*: $Category(SET)$
 $\langle proof \rangle$

lemma *SETobj*: $X \in Obj\ (SET)$
 $\langle proof \rangle$

lemma *SETcod*: $isZFfun\ (ZFfun\ A\ B\ f) \implies cod_{SET}\ ZFfun\ A\ B\ f = B$
 $\langle proof \rangle$

lemma *SETmor*: $(isZFfun\ f) = (f \in mor_{SET})$
 $\langle proof \rangle$

lemma *SETdom*: $isZFfun\ (ZFfun\ A\ B\ f) \implies dom_{SET}\ ZFfun\ A\ B\ f = A$
 $\langle proof \rangle$

lemma *SETId*: **assumes** $x \in X$ **shows** $(Id\ SET\ X)\ |@|\ x = x$
 $\langle proof \rangle$

lemma *SETCompE[elim]*: $\llbracket f \approx_{>SET} g ; \llbracket isZFfun\ f ; isZFfun\ g ; |cod|\ f = |dom|\ g \rrbracket \implies R \rrbracket \implies R$
 $\langle proof \rangle$

lemma *SETmapsTo*: $f\ maps_{SET}\ X\ to\ Y \implies isZFfun\ f \wedge |dom|\ f = X \wedge |cod|\ f = Y$
 $\langle proof \rangle$

lemma *SETComp*: **assumes** $f \approx_{>SET} g$ **shows** $f ;;_{SET} g = f |o|\ g$
 $\langle proof \rangle$

lemma *SETCompAt*:
assumes $f \approx_{>SET} g$ **and** $x \in |dom|\ f$ **shows** $(f ;;_{SET} g) |@|\ x = g |@|\ (f |@|\ x)$
 $\langle proof \rangle$

lemma *SETZFfun*:
assumes $f\ maps_{SET}\ X\ to\ Y$ **shows** $f = ZFfun\ X\ Y\ (\lambda x . f |@|\ x)$
 $\langle proof \rangle$

lemma *SETfunDomAppCod*:
assumes $f\ maps_{SET}\ X\ to\ Y$ **and** $x \in X$
shows $f |@|\ x \in Y$
 $\langle proof \rangle$

record $(o, m)\ LSCategory = (o, m)\ Category +$
 $mor2ZF :: m \Rightarrow ZF\ (m2z1- [70]\ 70)$

definition
 $ZF2mor\ (z2m1- [70]\ 70)\ \mathbf{where}$
 $ZF2mor\ C\ f \equiv THE\ m . m \in mor_C \wedge m2z_C\ m = f$

definition
 $HOMCollection\ C\ X\ Y \equiv \{m2z_C\ f \mid f . f\ maps_C\ X\ to\ Y\}$

definition
 $HomSet\ (Hom1 - - [65, 65]\ 65)\ \mathbf{where}$
 $HomSet\ C\ X\ Y \equiv implode\ (HOMCollection\ C\ X\ Y)$

locale *LSCategory* = *Category* +
assumes $mor2ZFInj: \llbracket x \in mor ; y \in mor ; m2z\ x = m2z\ y \rrbracket \implies x = y$
and $HOMSetIsSet: \llbracket X \in obj ; Y \in obj \rrbracket \implies HOMCollection\ C\ X\ Y \in range\ explode$
and $m2zExt: mor2ZF\ C \in extensional\ (Mor\ C)$

lemma *[elim]*: $\llbracket LSCategory\ C ;$
 $\llbracket Category\ C ; \llbracket x \in mor_C ; y \in mor_C ; m2z_C\ x = m2z_C\ y \rrbracket \implies x = y ;$

$\llbracket X \in \text{obj}_C ; Y \in \text{obj}_C \rrbracket \implies \text{HOMCollection } C \ X \ Y \in \text{range explode} \rrbracket \implies R$
 $\implies R$
 $\langle \text{proof} \rangle$

definition

$\text{HomFtorMap} :: ('o, 'm, 'a) \text{LSCategory-scheme} \Rightarrow 'o \Rightarrow 'm \Rightarrow \text{ZF} \ (\text{Hom}_1[-, -] \ [65, 65] \ 65) \ \text{where}$
 $\text{HomFtorMap } C \ X \ g \equiv \text{ZFfun} \ (\text{Hom}_C \ X \ (\text{dom}_C \ g)) \ (\text{Hom}_C \ X \ (\text{cod}_C \ g)) \ (\lambda f .$
 $\text{m2z}_C \ ((\text{z2m}_C \ f) ;;_C \ g))$

definition

$\text{HomFtor}' :: ('o, 'm, 'a) \text{LSCategory-scheme} \Rightarrow 'o \Rightarrow$
 $('o, \text{ZF}, 'm, \text{ZF}, \langle \text{mor2ZF} :: 'm \Rightarrow \text{ZF}, \dots :: 'a \rangle, \text{unit}) \text{Functor} \ (\text{HomP}_1[-, -] \ [65]$
 $65) \ \text{where}$
 $\text{HomFtor}' \ C \ X \equiv \langle$
 $\text{CatDom} = C,$
 $\text{CatCod} = \text{SET} ,$
 $\text{MapM} = \lambda g . \text{Hom}_C[X, g]$
 \rangle

definition $\text{HomFtor} \ (\text{Hom}_1[-, -] \ [65] \ 65) \ \text{where} \ \text{HomFtor } C \ X \equiv \text{MakeFtor} \ (\text{HomFtor}' \ C \ X)$

lemma $[\text{simp}]$: $\text{LSCategory } C \implies \text{Category } C$
 $\langle \text{proof} \rangle$

lemma (in LSCategory) m2zz2m :
assumes f maps X to Y **shows** $(\text{m2z } f) \mid \in \mid (\text{Hom } X \ Y)$
 $\langle \text{proof} \rangle$

lemma (in LSCategory) m2zz2mInv :
assumes $f \in \text{mor}$
shows $\text{z2m} \ (\text{m2z } f) = f$
 $\langle \text{proof} \rangle$

lemma (in LSCategory) z2mm2z :
assumes $X \in \text{obj}$ **and** $Y \in \text{obj}$ **and** $f \mid \in \mid (\text{Hom } X \ Y)$
shows $\text{z2m } f$ maps X to $Y \wedge \text{m2z} \ (\text{z2m } f) = f$
 $\langle \text{proof} \rangle$

lemma HomFtorMapLemma1 :
assumes a : $\text{LSCategory } C$ **and** b : $X \in \text{obj}_C$ **and** c : $f \in \text{mor}_C$ **and** d : $x \mid \in \mid$
 $(\text{Hom}_C \ X \ (\text{dom}_C \ f))$
shows $(\text{m2z}_C \ ((\text{z2m}_C \ x) ;;_C \ f)) \mid \in \mid (\text{Hom}_C \ X \ (\text{cod}_C \ f))$
 $\langle \text{proof} \rangle$

lemma $\text{HomFtorInMor}'$:
assumes $\text{LSCategory } C$ **and** $X \in \text{obj}_C$ **and** $f \in \text{mor}_C$
shows $\text{Hom}_C[X, f] \in \text{mor}_{\text{SET}'}$

$\langle \text{proof} \rangle$

lemma *HomFtorMor'*:

assumes *LSCategory* C **and** $X \in \text{obj}_C$ **and** $f \in \text{mor}_C$

shows $\text{Hom}_C[X, f]$ *maps*_{SET'} $\text{Hom}_C X$ ($\text{dom}_C f$) *to* $\text{Hom}_C X$ ($\text{cod}_C f$)

$\langle \text{proof} \rangle$

lemma *HomFtorMapsTo*:

$\llbracket \text{LSCategory } C ; X \in \text{obj}_C ; f \in \text{mor}_C \rrbracket \implies \text{Hom}_C[X, f]$ *maps*_{SET} $\text{Hom}_C X$ ($\text{dom}_C f$) *to* $\text{Hom}_C X$ ($\text{cod}_C f$)

$\langle \text{proof} \rangle$

lemma *HomFtorMor*:

assumes *LSCategory* C **and** $X \in \text{obj}_C$ **and** $f \in \text{mor}_C$

shows $\text{Hom}_C[X, f] \in \text{Mor SET}$ **and** $\text{dom}_{SET} (\text{Hom}_C[X, f]) = \text{Hom}_C X$ ($\text{dom}_C f$)

and $\text{cod}_{SET} (\text{Hom}_C[X, f]) = \text{Hom}_C X$ ($\text{cod}_C f$)

$\langle \text{proof} \rangle$

lemma *HomFtorCompDef'*:

assumes *LSCategory* C **and** $X \in \text{obj}_C$ **and** $f \approx_{>C} g$

shows $(\text{Hom}_C[X, f]) \approx_{>SET'} (\text{Hom}_C[X, g])$

$\langle \text{proof} \rangle$

lemma *HomFtorDist'*:

assumes a : *LSCategory* C **and** b : $X \in \text{obj}_C$ **and** c : $f \approx_{>C} g$

shows $(\text{Hom}_C[X, f]) \mathrel{::}_{SET'} (\text{Hom}_C[X, g]) = \text{Hom}_C[X, f \mathrel{::}_C g]$

$\langle \text{proof} \rangle$

lemma *HomFtorDist*:

assumes *LSCategory* C **and** $X \in \text{obj}_C$ **and** $f \approx_{>C} g$

shows $(\text{Hom}_C[X, f]) \mathrel{::}_{SET} (\text{Hom}_C[X, g]) = \text{Hom}_C[X, f \mathrel{::}_C g]$

$\langle \text{proof} \rangle$

lemma *HomFtorId'*:

assumes a : *LSCategory* C **and** b : $X \in \text{obj}_C$ **and** c : $Y \in \text{obj}_C$

shows $\text{Hom}_C[X, \text{id}_C Y] = \text{id}_{SET'} (\text{Hom}_C X Y)$

$\langle \text{proof} \rangle$

lemma *HomFtorId*:

assumes *LSCategory* C **and** $X \in \text{obj}_C$ **and** $Y \in \text{obj}_C$

shows $\text{Hom}_C[X, \text{id}_C Y] = \text{id}_{SET} (\text{Hom}_C X Y)$

$\langle \text{proof} \rangle$

lemma *HomFtorObj'*:

assumes a : *LSCategory* C

and b : *PreFunctor* $(\text{HomP}_C[X, -])$ **and** c : $X \in \text{obj}_C$ **and** d : $Y \in \text{obj}_C$

shows $(\text{HomP}_C[X, -]) \text{@@} Y = \text{Hom}_C X Y$

$\langle \text{proof} \rangle$

lemma *HomFtorFtor'*:
assumes $a: LSCategory\ C$
and $b: X \in obj\ C$
shows $FunctorM\ (HomP\ C[X,-])$
 $\langle proof \rangle$

lemma *HomFtorFtor*:
assumes $a: LSCategory\ C$
and $b: X \in obj\ C$
shows $Functor\ (Hom\ C[X,-])$
 $\langle proof \rangle$

lemma *HomFtorObj*:
assumes $LSCategory\ C$
and $X \in obj\ C$ **and** $Y \in obj\ C$
shows $(Hom\ C[X,-])\ @\@ \ Y = Hom\ C\ X\ Y$
 $\langle proof \rangle$

definition
 $HomFtorMapContra :: ('o, 'm, 'a)\ LSCategory-scheme \Rightarrow 'm \Rightarrow 'o \Rightarrow ZF\ (HomC1[-,-]\ [65,65]\ 65)$ **where**
 $HomFtorMapContra\ C\ g\ X \equiv ZFfun\ (Hom\ C\ (cod\ C\ g)\ X)\ (Hom\ C\ (dom\ C\ g)\ X)$
 $(\lambda\ f\ .\ m2z\ C\ (g\ ;;_C\ (z2m\ C\ f)))$

definition
 $HomFtorContra' :: ('o, 'm, 'a)\ LSCategory-scheme \Rightarrow 'o \Rightarrow$
 $('o, ZF, 'm, ZF, (\ mor2ZF :: 'm \Rightarrow ZF, \dots :: 'a), unit)\ Functor\ (HomP1[-,-]\ [65]$
 $65)$ **where**
 $HomFtorContra'\ C\ X \equiv \langle$
 $CatDom = (Op\ C),$
 $CatCod = SET\ ,$
 $MapM = \lambda\ g\ .\ Hom\ C\ [g,X]$
 \rangle

definition $HomFtorContra\ (Hom1[-,-]\ [65]\ 65)$ **where** $HomFtorContra\ C\ X \equiv$
 $MakeFtor(HomFtorContra'\ C\ X)$

lemma *HomContraAt*: $x \in | \ (Hom\ C\ (cod\ C\ f)\ X) \Longrightarrow (Hom\ C\ C[f,X])\ |\ @\ x =$
 $m2z\ C\ (f\ ;;_C\ (z2m\ C\ x))$
 $\langle proof \rangle$

lemma *mor2ZF-Op*: $mor2ZF\ (Op\ C) = mor2ZF\ C$
 $\langle proof \rangle$

lemma *mor-Op*: $mor_{Op}\ C = mor\ C\ \langle proof \rangle$

lemma *obj-Op*: $obj_{Op}\ C = obj\ C\ \langle proof \rangle$

lemma *ZF2mor-Op*: $ZF2mor\ (Op\ C)\ f = ZF2mor\ C\ f$

$\langle proof \rangle$

lemma *mapsTo-Op*: $f \text{ maps}_{Op\ C} Y \text{ to } X = f \text{ maps}_C X \text{ to } Y$
 $\langle proof \rangle$

lemma *HOMCollection-Op*: $HOMCollection\ (Op\ C)\ X\ Y = HOMCollection\ C\ Y\ X$
 $\langle proof \rangle$

lemma *Hom-Op*: $Hom_{Op\ C}\ X\ Y = Hom_C\ Y\ X$
 $\langle proof \rangle$

lemma *HomFtorContra'*: $HomP_C[-,X] = HomP_{Op\ C}[X,-]$
 $\langle proof \rangle$

lemma *HomFtorContra*: $Hom_C[-,X] = Hom_{Op\ C}[X,-]$
 $\langle proof \rangle$

lemma *HomFtorContraDom*: $CatDom\ (Hom_C[-,X]) = Op\ C$
 $\langle proof \rangle$

lemma *HomFtorContraCod*: $CatCod\ (Hom_C[-,X]) = SET$
 $\langle proof \rangle$

lemma *LSCategory-Op*: **assumes** *LSCategory* *C* **shows** *LSCategory* $(Op\ C)$
 $\langle proof \rangle$

lemma *HomFtorContraFtor*:
 assumes *LSCategory* *C*
 and $X \in obj_C$
 shows $Ftor\ (Hom_C[-,X]) : (Op\ C) \longrightarrow SET$
 $\langle proof \rangle$

lemma *HomFtorOpObj*:
 assumes *LSCategory* *C*
 and $X \in obj_C$ **and** $Y \in obj_C$
 shows $(Hom_C[-,X])\ @@\ Y = Hom_C\ Y\ X$
 $\langle proof \rangle$

lemma *HomCHomOp*: $Hom_C\ C[g,X] = Hom_{Op\ C}[X,g]$
 $\langle proof \rangle$

lemma *HomFtorContraMapsTo*:
 assumes *LSCategory* *C* **and** $X \in obj_C$ **and** $f \in mor_C$
 shows $Hom_C\ C[f,X]\ \text{maps}_{SET}\ Hom_C\ (cod_C\ f)\ X\ \text{to}\ Hom_C\ (dom_C\ f)\ X$
 $\langle proof \rangle$

lemma *HomFtorContraMor*:

assumes $LS\text{Category } C$ **and** $X \in \text{obj } C$ **and** $f \in \text{mor } C$
shows $\text{Hom}_C[f, X] \in \text{Mor } SET$ **and** $\text{dom}_{SET}(\text{Hom}_C[f, X]) = \text{Hom}_C(\text{cod}_C f) X$
and $\text{cod}_{SET}(\text{Hom}_C[f, X]) = \text{Hom}_C(\text{dom}_C f) X$
 $\langle \text{proof} \rangle$

lemma HomContraMor :
assumes $LS\text{Category } C$ **and** $f \in \text{Mor } C$
shows $(\text{Hom}_C[-, X]) \# \# f = \text{Hom}_C[f, X]$
 $\langle \text{proof} \rangle$

lemma HomCHom :
assumes $LS\text{Category } C$ **and** $f \in \text{Mor } C$ **and** $g \in \text{Mor } C$
shows $(\text{Hom}_C[g, \text{dom}_C f]) \# \#_{SET} (\text{Hom}_C[\text{dom}_C g, f]) = (\text{Hom}_C[\text{cod}_C g, f])$
 $\# \#_{SET} (\text{Hom}_C[g, \text{cod}_C f])$
 $\langle \text{proof} \rangle$

end

7 Yoneda

theory Yoneda
imports $\text{NatTrans } \text{SetCat}$
begin

definition $\text{YFtorNT}' C f \equiv (\text{NTDom} = \text{Hom}_C[-, \text{dom}_C f] , \text{NTCod} = \text{Hom}_C[-, \text{cod}_C f] ,$
 $\text{NatTransMap} = \lambda B . \text{Hom}_C[B, f])$

definition $\text{YFtorNT } C f \equiv \text{MakeNT } (\text{YFtorNT}' C f)$

lemmas $\text{YFtorNT-defs} = \text{YFtorNT}'\text{-def } \text{YFtorNT-def } \text{MakeNT-def}$

lemma YFtorNTCatDom : $\text{NTCatDom } (\text{YFtorNT } C f) = \text{Op } C$
 $\langle \text{proof} \rangle$

lemma YFtorNTCatCod : $\text{NTCatCod } (\text{YFtorNT } C f) = SET$
 $\langle \text{proof} \rangle$

lemma YFtorNTApp1 : **assumes** $X \in \text{Obj } (\text{NTCatDom } (\text{YFtorNT } C f))$ **shows**
 $(\text{YFtorNT } C f) \$\$ X = \text{Hom}_C[X, f]$
 $\langle \text{proof} \rangle$

definition
 $\text{YFtor}' C \equiv ()$

$CatDom = C$,
 $CatCod = CatExp (Op C) SET$,
 $MapM = \lambda f . YFtorNT C f$

\rangle

definition $YFtor C \equiv MakeFtor(YFtor' C)$

lemmas $YFtor-defs = YFtor'-def YFtor-def MakeFtor-def$

lemma $YFtorNTNatTrans'$:
 assumes $LSCategory C$ and $f \in Mor C$
 shows $NatTransP (YFtorNT' C f)$
 $\langle proof \rangle$

lemma $YFtorNTNatTrans$:
 assumes $LSCategory C$ and $f \in Mor C$
 shows $NatTrans (YFtorNT C f)$
 $\langle proof \rangle$

lemma $YFtorNTMor$:
 assumes $LSCategory C$ and $f \in Mor C$
 shows $YFtorNT C f \in Mor (CatExp (Op C) SET)$
 $\langle proof \rangle$

lemma $YFtorNtMapsTo$:
 assumes $LSCategory C$ and $f \in Mor C$
 shows $YFtorNT C f \text{ maps }_{CatExp (Op C) SET} (Hom_C[-, dom_C f]) \text{ to } (Hom_C[-, cod_C f])$
 $\langle proof \rangle$

lemma $YFtorNTCompDef$:
 assumes $LSCategory C$ and $f \approx_{> C} g$
 shows $YFtorNT C f \approx_{> CatExp (Op C) SET} YFtorNT C g$
 $\langle proof \rangle$

lemma $PreSheafCat$: $LSCategory C \implies Category (CatExp (Op C) SET)$
 $\langle proof \rangle$

lemma $YFtor'Obj1$:
 assumes $X \in Obj (CatDom (YFtor' C))$ and $LSCategory C$
 shows $(YFtor' C) \#\# (Id (CatDom (YFtor' C)) X) = Id (CatCod (YFtor' C)) (Hom_C [-, X])$
 $\langle proof \rangle$

lemma $YFtorPreFtor$:
 assumes $LSCategory C$
 shows $PreFunctor (YFtor' C)$
 $\langle proof \rangle$

lemma $YFtor'Obj$:
assumes $X \in Obj \ (CatDom \ (YFtor' \ C))$
and $LSCategory \ C$
shows $(YFtor' \ C) \ @\@ \ X = Hom_C \ [-,X]$
 $\langle proof \rangle$

lemma $YFtorFtor'$:
assumes $LSCategory \ C$
shows $FunctorM \ (YFtor' \ C)$
 $\langle proof \rangle$

lemma $YFtorFtor$: **assumes** $LSCategory \ C$ **shows** $Ftor \ (YFtor \ C) : C \longrightarrow (CatExp \ (Op \ C) \ SET)$
 $\langle proof \rangle$

lemma $YFtorObj$:
assumes $LSCategory \ C$ **and** $X \in Obj \ C$
shows $(YFtor \ C) \ @\@ \ X = Hom_C \ [-,X]$
 $\langle proof \rangle$

lemma $YFtorObj2$:
assumes $LSCategory \ C$ **and** $X \in Obj \ C$ **and** $Y \in Obj \ C$
shows $((YFtor \ C) \ @\@ \ Y) \ @\@ \ X = Hom_C \ X \ Y$
 $\langle proof \rangle$

lemma $YFtorMor$: $\llbracket LSCategory \ C ; f \in Mor \ C \rrbracket \Longrightarrow (YFtor \ C) \ ## \ f = YFtorNT \ C \ f$
 $\langle proof \rangle$

definition $YMap \ C \ X \ \eta \equiv (\eta \ \$\$ \ X) \ |@| \ (m2z_C \ (id_C \ X))$

definition $YMapInv' \ C \ X \ F \ x \equiv \langle$
 $NTDom = ((YFtor \ C) \ @\@ \ X),$
 $NTCod = F,$
 $NatTransMap = \lambda \ B . ZFfun \ (Hom_C \ B \ X) \ (F \ @\@ \ B) \ (\lambda \ f . (F \ ## \ (z2m_C$
 $f)) \ |@| \ x)$
 \rangle

definition $YMapInv \ C \ X \ F \ x \equiv MakeNT \ (YMapInv' \ C \ X \ F \ x)$

lemma $YMapInvApp$:
assumes $X \in Obj \ C$ **and** $B \in Obj \ C$ **and** $LSCategory \ C$
shows $(YMapInv \ C \ X \ F \ x) \ \$\$ \ B = ZFfun \ (Hom_C \ B \ X) \ (F \ @\@ \ B) \ (\lambda \ f . (F \ ## \ (z2m_C \ f)) \ |@| \ x)$
 $\langle proof \rangle$

lemma $YMapImage$:
assumes $LSCategory \ C$ **and** $Ftor \ F : (Op \ C) \longrightarrow SET$ **and** $X \in Obj \ C$
and $NT \ \eta : (YFtor \ C \ @\@ \ X) \Longrightarrow F$

shows $(YMap\ C\ X\ \eta) \mid\in\mid (F\ @\!@ X)$
 $\langle proof \rangle$

lemma *YMapInvNatTransP*:

assumes *LSCategory* C **and** $Ftor\ F : (Op\ C) \longrightarrow SET$ **and** $xobj : X \in Obj\ C$
and $xinF : x \mid\in\mid (F\ @\!@ X)$
shows *NatTransP* $(YMapInv'\ C\ X\ F\ x)$
 $\langle proof \rangle$

lemma *YMapInvNatTrans*:

assumes *LSCategory* C **and** $Ftor\ F : (Op\ C) \longrightarrow SET$ **and** $X \in Obj\ C$ **and** x
 $\mid\in\mid (F\ @\!@ X)$
shows *NatTrans* $(YMapInv\ C\ X\ F\ x)$
 $\langle proof \rangle$

lemma *YMapInvImage*:

assumes *LSCategory* C **and** $Ftor\ F : (Op\ C) \longrightarrow SET$ **and** $X \in Obj\ C$
and $x \mid\in\mid (F\ @\!@ X)$
shows *NT* $(YMapInv\ C\ X\ F\ x) : (YFtor\ C\ @\!@ X) \Longrightarrow F$
 $\langle proof \rangle$

lemma *YMap1*:

assumes *LSCat*: *LSCategory* C **and** *Ftor*: $Ftor\ F : (Op\ C) \longrightarrow SET$ **and**
 $XObj : X \in Obj\ C$
and *NT*: $NT\ \eta : (YFtor\ C\ @\!@ X) \Longrightarrow F$
shows $YMapInv\ C\ X\ F\ (YMap\ C\ X\ \eta) = \eta$
 $\langle proof \rangle$

lemma *YMap2*:

assumes *LSCategory* C **and** $Ftor\ F : (Op\ C) \longrightarrow SET$ **and** $X \in Obj\ C$
and $x \mid\in\mid (F\ @\!@ X)$
shows $YMap\ C\ X\ (YMapInv\ C\ X\ F\ x) = x$
 $\langle proof \rangle$

lemma *YFtorNT-YMapInv*:

assumes *LSCategory* C **and** $f\ maps_C\ X\ to\ Y$
shows $YFtorNT\ C\ f = YMapInv\ C\ X\ (Hom_C[-, Y])\ (m2z_C\ f)$
 $\langle proof \rangle$

lemma *YMapYoneda*:

assumes *LSCategory* C **and** $f\ maps_C\ X\ to\ Y$
shows $YFtor\ C\ \#\# f = YMapInv\ C\ X\ (YFtor\ C\ @\!@ Y)\ (m2z_C\ f)$
 $\langle proof \rangle$

lemma *YonedaFull*:

assumes *LSCategory* C **and** $X \in Obj\ C$ **and** $Y \in Obj\ C$
and *NT* $\eta : (YFtor\ C\ @\!@ X) \Longrightarrow (YFtor\ C\ @\!@ Y)$
shows $YFtor\ C\ \#\# (z2m_C\ (YMap\ C\ X\ \eta)) = \eta$
and $z2m_C\ (YMap\ C\ X\ \eta)\ maps_C\ X\ to\ Y$

$\langle proof \rangle$

lemma *YonedaFaithful*:

assumes *LSCategory* *C* **and** *f maps_C X to Y* **and** *g maps_C X to Y*
and *YFtor C ## f = YFtor C ## g*
shows *f = g*

$\langle proof \rangle$

lemma *YonedaEmbedding*:

assumes *LSCategory C* **and** *A ∈ Obj C* **and** *B ∈ Obj C* **and** (*YFtor C*) @@
A = (YFtor C) @@ B
shows *A = B*

$\langle proof \rangle$

end

References

- [1] A. Katovsky. Category theory in Isabelle/HOL, 2010. <http://www.srcf.ucam.org/~apk32/Isabelle/Category/Cat.pdf>.