

# The nucleus: Mining concepts from adjunctions

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## Abstract

Categories are many things to many people. We like to think of category theory as the study of certain types of grammar: those that generate the languages of mathematical constructions. This view seems to explain why categories persist in many parts of mathematics despite the ongoing objections that they do not help solve hard problems. Grammars do not help us tell better stories, but they help us tell stories better, and understand them better. Moreover, a language has grammar whether the speakers are aware of it or not.

A grammatical schema that generates many mathematical constructions is that of an *adjunction*. Lawvere noticed that all standard logical operations, quantifiers, and even the set-theoretic comprehension, can be viewed as adjunctions. Lambek observed that natural language grammars also decompose into certain adjunctions, spawning an entire branch of linguistics. Adjunctions are everywhere; all of the category theory can be reconstructed in terms of adjunctions. If category theory is a grammar of mathematical constructions, then adjunctions are the one rule to rule them all.

In Lambek's grammars, adjunctions can be construed as pairs of brackets, enclosing words into phrases, phrases into sentences, perhaps sentences into narratives. In mathematics, adjunctions bracket structural correspondences across categories. Parsing the syntax of an adjunction uncovers the semantical links between remote objects. A bracket enclosure can be loose or tight; implicit or explicit; the concepts in it can be mixed or separated. Many systems of equations may contain the same information, but the tight system is what we call the solution. The nucleus is the tight form of an adjunction.

Any adjunction induces a monad and a comonad, which respectively display its algebraic and coalgebraic (analytic, state-dependent) aspects. The nucleus construction induces a monad over adjunctions themselves (or equivalently over the induced monads, or comonads), which distills both aspects. It is tight because it is idempotent. The two sides of a nuclear adjunction completely determine one another, as algebras and coalgebras, yet they remain distinct, like brackets.

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# 1 Introduction

We begin with an informal overview of what is to come and then introduce ideas through examples. It is also possible to read the paper starting from the definitions in Sec. 5, and coming back for explanations as needed. More general definitions can be found in the Appendix.

## 1.1 Definition

We say that an adjunction  $F = (F^* \dashv F_* : \mathbb{B} \rightarrow \mathbb{A})$  is *nuclear* when the right adjoint  $F_*$  is monadic and the left adjoint  $F^*$  is comonadic. This means that the categories  $\mathbb{A}$  and  $\mathbb{B}$  determine one another, and can be reconstructed from each other:

- $F_*$  is said to be monadic  $\mathbb{B}$  is equivalent to the category  $\mathbb{A}^{\overleftarrow{F}}$  of algebras for the monad  $\overleftarrow{F} = F_* F^* : \mathbb{A} \rightarrow \mathbb{A}$ , whereas
- $F^*$  is said to be comonadic when  $\mathbb{A}$  is equivalent to the category  $\mathbb{B}^{\overrightarrow{F}}$  of coalgebras for the comonad  $\overrightarrow{F} = F^* F_* : \mathbb{B} \rightarrow \mathbb{B}$ .

The situation is thus reminiscent of Maurits Escher's “*Drawing hands*” in Fig.1.

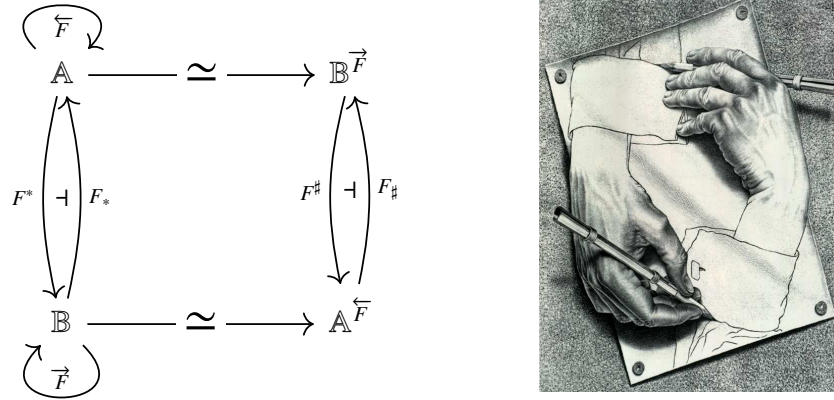


Figure 1: An adjunction  $(F^* \dashv F_*)$  is nuclear when  $\mathbb{A} \simeq \mathbb{B}^{\overrightarrow{F}}$  and  $\mathbb{B} \simeq \mathbb{A}^{\overleftarrow{F}}$ .

## 1.2 Background

Nuclear adjunctions have been studied since the early days of category theory, albeit without a name. The problem of characterizing situations when the left adjoint of a monadic functor is comonadic is the topic of Michael Barr's paper in the proceedings of the legendary Battelle conference [8]. From a different direction, in his seminal work on the formal theory of monads, Ross Street identified the 2-adjunction between the 2-categories of monads and of comonads [73, Sec. 4]. This adjunction leads to a formal view of the nucleus construction on either side, as a 2-monad.

We show that this construction is idempotent in the strong sense. On the side of applications, the quest for comonadic adjoints of monadic functors continued in descent theory, and an important step towards characterizing them was made by Mesablishvili in [57]. Coalgebras over algebras, and algebras over coalgebras, have also been regularly used for a variety of modeling purposes in semantics of computation (see e.g. [7, 39, 41], and the references therein).

As the vanishing point of monadic descent, nuclear adjunctions arise in many branches of geometry, tacitly or explicitly. In abstract homotopy theory, they are tacitly in [42, 71], and explicitly in [1]. There are, however, different ways in which monad-comonad couplings may arise. In [1], Applegate and Tierney formed such couplings on the two sides of comparison functors and their adjoints, and they found that such monad-comonad couplings generally induce further monad-comonad couplings along the further comparison functors, and may form towers of transfinite length. We describe this in more detail in Sec. 10. Confusingly, the Applegate-Tierney towers of monad-comonad couplings *formed by comparison functor adjunctions* left a false impression that the monad-comonad couplings *formed by the adjunctions between categories of algebras over coalgebras, of coalgebras over algebras, etc.* also lead to towers of transfinite length. This impression blended into folklore, and the towers of alternating monads over coalgebras and comonads over algebras, extending out of sight, persist in categorical literature.

### 1.3 Result

We prove that the monad-comonad tower induced by forming algebras over coalgebras and coalgebras over algebras for an arbitrary adjunction stabilizes after a single step, as shown in Fig. 2. In particular, we show that the adjunction  $F^\# \dashv F_\#$ , formed by composing the forgetful functors

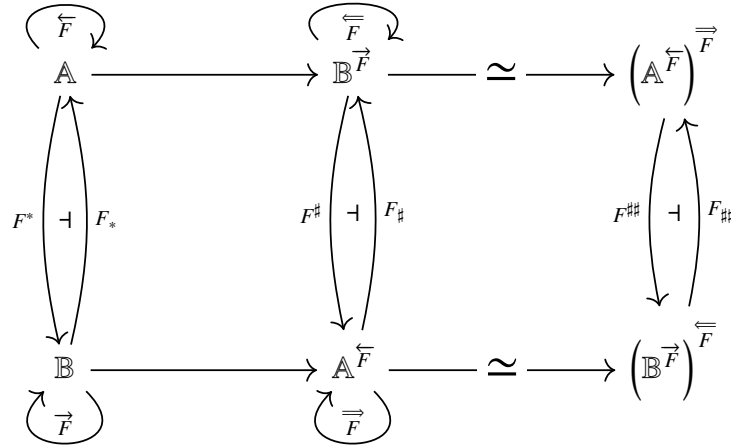


Figure 2: The nucleus construction induces an idempotent monad on adjunctions.

$A^{\overleftarrow{F}} \rightarrow A$  and  $B^{\overrightarrow{F}} \rightarrow B$  with the comparison functors  $A \rightarrow B^{\overrightarrow{F}}$  and  $B \rightarrow A^{\overleftarrow{F}}$ , is always nuclear. This means that, for any adjunction  $F = (F^* \dashv F_*)$ , the category of algebras  $A^{\overleftarrow{F}}$  and the category of coalgebras  $B^{\overrightarrow{F}}$  can always be reconstructed from each other, and in particular as a category

of algebras and a category of coalgebras over each other. Simplifying these reconstructions provides a new view of the final resolutions of monads and comonads, complementing the original Eilenberg-Moore construction [25]. It was described in [68] as a programming tool, and was used as a mathematical tool in [67]. Presenting algebras and coalgebras as idempotents provides a rational reconstruction of monadicity (and comonadicity) in terms of idempotent splitting, echoing Paré’s explanations in terms of absolute colimits [59, 60], and contrasting with Beck’s fascinating but somewhat mysterious proof of his fundamental theorem in terms of split coequalizers [14, 15].

**Terminology.** In spite of all of their roles and avatars, adjunctions where the right adjoint is monadic and the left adjoint is comonadic were not given a name. We call them nuclear because of the link with nuclear operators on Banach spaces, which generalize the spectral decomposition of hermitians and the singular value decomposition of matrices and lift them all the way to linear operators on topological vector spaces. This was the subject of Grothendieck’s thesis, where the terminology was introduced [32]. We describe this conceptual link in Sec. 3, for the very special case of finite-dimensional Hilbert spaces.

## 1.4 Schema

Fig. 3 maps the paths that lead to the nucleus. We will follow it as an itinerary, first through familiar

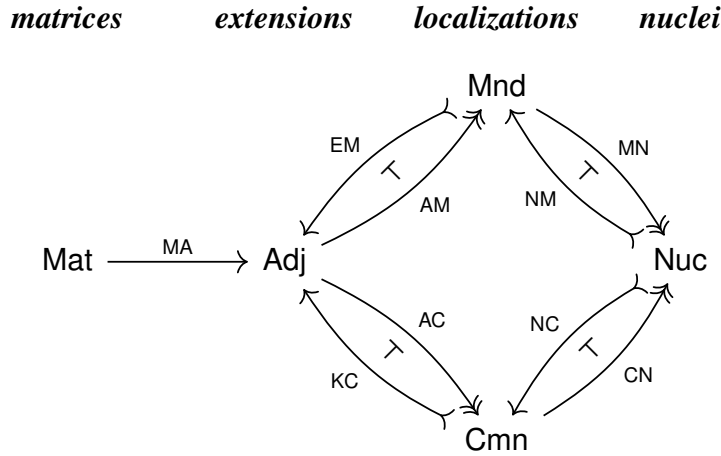


Figure 3: The nucleus setting

examples and special cases in Sections 2–4, and then as a general pattern. Most definitions are in Sec. 5. Some readers may wish to skip the rest of the present section, have a look at the examples, and come back as needed. For others we provide here an informal overview of the terminology, mostly just naming names.

**Who is who.** While the production line of mathematical tools is normally directed from theory to applications, ideas often flow in the opposite direction. The idea of the nucleus is familiar, in

fact central, in data mining and concept analysis, albeit without a name, but has remained elusive in general [45]. Data analysis usually begins from data *matrices*, which we view as objects of an abstract category  $\mathbf{Mat}$ . To be analyzed, data matrices are usually completed or *extended* into some sort of *adjunctions*, which we view as objects of an abstract category  $\mathbf{Adj}$ . The functor  $\mathbf{MA} : \mathbf{Mat} \rightarrow \mathbf{Adj}$  represents this extension. The adjunctions are then *localized* along the functors  $\mathbf{AM} : \mathbf{Adj} \rightarrow \mathbf{Mnd}$  and  $\mathbf{AC} : \mathbf{Adj} \rightarrow \mathbf{Cmn}$  at *monads* and *comonads*, which form categories  $\mathbf{Mnd}$  and  $\mathbf{Cmn}$ . In some areas and periods of category theory, a functor is called a localization when it has a full and faithful adjoint. The functors  $\mathbf{AM}$  and  $\mathbf{AC}$  in Fig. 3 have both left and right adjoints, both full and faithful. We display only the right adjoint  $\mathbf{EM} : \mathbf{Mnd} \rightarrow \mathbf{Adj}$  of  $\mathbf{AM}$ , which maps a monad to the adjunction induced by its (Eilenberg-Moore) category of algebras, and the left adjoint  $\mathbf{KC} : \mathbf{Cmn} \rightarrow \mathbf{Adj}$ , which maps a comonad to the adjunction induced by its (Kleisli) category of cofree coalgebras. The nucleus construction is composed of such couplings. Alternatively, it can be composed of the left adjoint  $\mathbf{KM} : \mathbf{Mnd} \rightarrow \mathbf{Adj}$  of  $\mathbf{AM}$  and the right adjoint  $\mathbf{EC} : \mathbf{Cmn} \rightarrow \mathbf{Adj}$  of  $\mathbf{AC}$ . There is, in general, an entire gamut of different adjunctions localized along  $\mathbf{AM} : \mathbf{Adj} \rightarrow \mathbf{Mnd}$  at the same monad. We call them the *resolutions*<sup>1</sup> of the monad. Dually, the adjunctions localized along  $\mathbf{AC} : \mathbf{Adj} \rightarrow \mathbf{Cmn}$  at the same comonad are the resolutions of that comonad. For readers unfamiliar with monads and comonads, we note that monads over posets are called closure operators, whereas comonads over posets are the interior operators. In general, the (Kleisli) cofree coalgebra construction  $\mathbf{KC} : \mathbf{Cmn} \rightarrow \mathbf{Adj}$  in Fig. 3 (and the free algebra construction  $\mathbf{KM} : \mathbf{Mnd} \rightarrow \mathbf{Adj}$  that is not displayed) captures the *initial resolutions* of comonads (resp. monads); whereas the (Eilenberg-Moore) algebra construction  $\mathbf{EM} : \mathbf{Mnd} \rightarrow \mathbf{Adj}$  (and the coalgebra construction  $\mathbf{EC} : \mathbf{Cmn} \rightarrow \mathbf{Adj}$  that is not displayed) captures the *final resolutions* of monads (resp. comonads). For closure operators and interior operators over posets, and more generally for idempotent monads and comonads over categories, the initial and the final resolutions coincide. In any case, the categories  $\mathbf{Mnd}$  and  $\mathbf{Cmn}$  are embedded in  $\mathbf{Adj}$  fully and faithfully; idempotent monads and comonads are mapped to their unique resolutions, whereas monads, in general, are embedded in two extremal ways, with a gamut of resolutions in-between. The composites of these extremal resolution functors from  $\mathbf{Mnd}$  and  $\mathbf{Cmn}$  to  $\mathbf{Adj}$  with the localizations from  $\mathbf{Adj}$  to  $\mathbf{Mnd}$  and  $\mathbf{Cmn}$  induce the idempotent monad  $\overleftarrow{\mathbf{EM}} = \mathbf{EM} \circ \mathbf{AM}$  over  $\mathbf{Adj}$  which maps any adjunction to the Eilenberg-Moore resolution of the induced monad, and the idempotent comonad  $\overrightarrow{\mathbf{KC}} = \mathbf{KC} \circ \mathbf{AC}$ , still over  $\mathbf{Adj}$ , which maps any adjunction to the Kleisli resolution of the induced comonad. Just as there is a category of categories, there is thus a monad of monads, and a comonad of comonads; and both happen to be idempotent. Since the subcategories fixed by idempotent monads or comonads, in general, are usually viewed as localizations, we view monads and comonads as localizations of adjunctions; and we call all the adjunctions that induce a given monad (or comonad) its resolutions. The resolution functors not displayed in Fig. 3 induce a comonad  $\overrightarrow{\mathbf{KM}} = \mathbf{KM} \circ \mathbf{AM}$ , mapping adjunctions to the Kleisli resolutions of the induced monads, and a monad  $\overleftarrow{\mathbf{EC}} = \mathbf{EC} \circ \mathbf{AC}$ , mapping adjunctions to the Eilenberg-Moore resolutions of the induced comonads. They are all spelled out in Sec. 5.

The category  $\mathbf{Nuc}$  of nuclei is the intersection of  $\mathbf{Mnd}$  and  $\mathbf{Cmn}$ , as embedded into  $\mathbf{Adj}$  along

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<sup>1</sup>This terminology was proposed by Jim Lambek. Although it does not seem to have caught on, it is convenient in the present context, and naturally extends from its roots in algebra.

their resolutions in Fig. 3. However, we will see in Sec. 7 that any other resolutions will do, as long as the last one is final. The nucleus of an adjunction can thus be viewed as the joint resolution of the induced monad and comonad.

## 1.5 Summary of the paper

We begin with simple and familiar examples of the nucleus, and progress towards the general construction. In the posetal case, the nucleus construction boils down to the fixed points of a Galois connection. It is familiar and intuitive as the posetal method of Formal Concept Analysis, which is presented in Sec. 2. The spectral methods of concept analysis, based on Singular Value Decomposition of linear operators, are perhaps even more widely known from their broad applications on the web. They also subsume under the nucleus construction, this time in linear algebra. This is the content of Sec. 3. Sec. 4 pops up to the level of an abstract categorical version of the nucleus, that emerged in the framework of  $*$ -autonomous categories and semantics of linear logic, as the separated-extensional core of the Chu construction. We discuss a modification that combines the separated-extensional core with the spectral decomposition of matrices and refers back to the conceptual roots in early studies of topological vector spaces. In Sec. 5, we introduce the general categorical framework for the nucleus of adjoint functors, and we state the main theorem in Sec. 6. The proof of the main theorem is built in Sec. 7, through a series of lemmas, propositions, and corollaries. As the main corollary, Sec. 8 presents a simplified version of the nucleus, which provides alternative presentations of categories of algebras for a monad as algebras for a corresponding comonad; and analogously of coalgebras for a comonad as arising from a corresponding monad. These presentations are used in Sec. 9 to present a weaker version of the nucleus construction, obtained by applying the Kleisli construction at the last step, where the Eilenberg-Moore construction is applied in the stronger version. Although the resulting weak nuclei are equivalent to strong nuclei only in degenerate cases, the categories of strong nuclei and of weak nuclei turn out to be equivalent. In Sec. 10 we discuss how the nucleus approach compares and contrasts with the standard localization-based approaches to homotopy theory, from which the entire conceptual apparatus of adjunctions, extensions, and localizations originally emerged. In the final section of the paper, we discuss the problems that it leaves open.

## 2 Example 1: Concept lattices and poset bicompletions

### 2.1 From context matrices to concept lattices, intuitively

Consider a market with  $A$  sellers and  $B$  buyers. Their interactions are recorded in an adjacency matrix  $A \times B \xrightarrow{\Phi} 2$ , where  $2$  is the set  $\{0, 1\}$ , and the entry  $\Phi_{ab}$  is 1 if the seller  $a \in A$  at some point sold goods to the buyer  $b \in B$ ; otherwise it is 0. Equivalently, a matrix  $A \times B \xrightarrow{\Phi} 2$  can be viewed as the binary relation  $\widehat{\Phi} = \{\langle a, b \rangle \in A \times B \mid \Phi_{ab} = 1\}$ , in which case we write  $a\widehat{\Phi}b$  instead of  $\Phi_{ab} = 1$ . In Formal Concept Analysis [17, 29, 28], such matrices or relations are called *contexts*, and used to extract some relevant *concepts*.

The idea is illustrated in Fig. 4. The binary relation  $\widehat{\Phi} \subseteq A \times B$  is displayed as a bipartite graph.



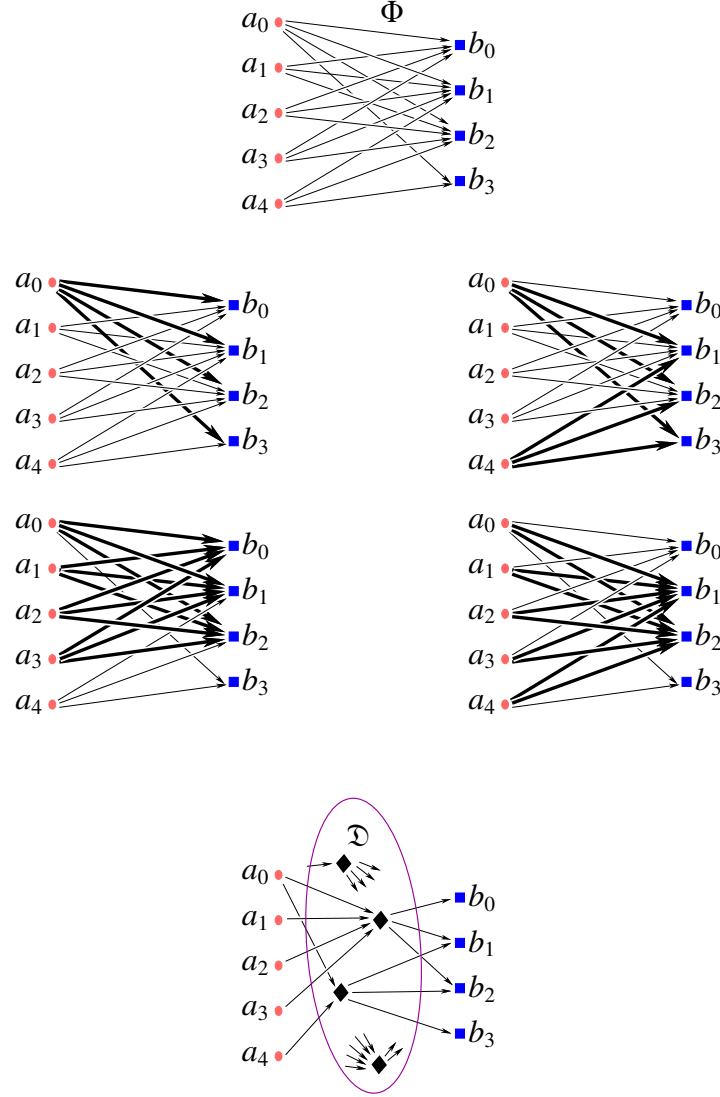


Figure 4: A context  $\Phi$ , its four concepts, and their concept lattice

If buyers  $a_0$  and  $a_4$  have farms, and sellers  $b_1$ ,  $b_2$  and  $b_3$  sell farming equipment, but seller  $b_0$  does not, then the sets  $X = \{a_0, a_4\}$  and  $Y = \{b_1, b_2, b_3\}$  form a complete subgraph  $\langle X, Y \rangle$  of the bipartite graph  $\Phi$ , which corresponds to the concept "farming". If the buyers from the set  $X' = \{a_0, a_1, a_2, a_3\}$  have cars, but the buyer  $a_4$  does not, and the sellers  $Y' = \{b_0, b_1, b_2\}$  sell car accessories, but the seller  $b_3$  does not then  $\langle X', Y' \rangle$  is another complete subgraph, corresponding to the concept "car". The idea is thus that a context is viewed as a bipartite graph, and the concepts are then extracted as its complete bipartite subgraphs.

## 2.2 Formalizing concept analysis

A pair  $\langle U, V \rangle \in \wp A \times \wp B$  forms a complete subgraph of a bipartite graph  $\widehat{\Phi} \subseteq A \times B$  if

$$U = \bigcap_{v \in V} \{x \in A \mid x \widehat{\Phi} v\} \quad V = \bigcap_{u \in U} \{y \in B \mid u \widehat{\Phi} y\}$$

It is easy to see that such pairs are ordered by the relation

$$\langle U, V \rangle \leq \langle U', V' \rangle \iff U \subseteq U' \wedge V \supseteq V' \quad (1)$$

and that they in fact form a lattice, which is a retract of the lattice  $\wp A \times \wp^o B$ , where  $\wp A$  is the set of subsets of  $A$  ordered by the inclusion  $\subseteq$ , while  $\wp^o B$  is the set of subsets of  $B$  ordered by reverse inclusion  $\supseteq$ . This is the *concept lattice*  $\mathfrak{D}$  induced by the *context matrix*  $\widehat{\Phi} \subseteq A \times B$ , along the lines of Fig. 3.

In general, the sets  $A$  and  $B$  may already carry partial orders, e.g. from earlier concept analyses. The category of context matrices is thus

$$|\text{Mat}_0| = \coprod_{A, B \in \text{Pos}} \text{Pos}(A^o \times B, \mathbb{2}) \quad (2)$$

$$\text{Mat}_0(\Phi, \Psi) = \{\langle h, k \rangle \in \text{Pos}(A, C) \times \text{Pos}(B, D) \mid \Phi(a, b) = \Psi(ha, kb)\}$$

where  $\Phi \in \text{Pos}(A^o \times B, \mathbb{2})$  and  $\Psi \in \text{Pos}(C^o \times D, \mathbb{2})$  are matrices with entries from the poset  $\mathbb{2} = \{0 < 1\}$ . When working with matrices in general, it is often necessary or convenient to use their *comprehensions*, i.e. to move along the correspondence

$$\begin{aligned} \text{Pos}(A^o \times B, \mathbb{2}) & \xrightleftharpoons[\chi]{(-)} \text{Sub} / A \times B^o \\ \Phi & \mapsto \widehat{\Phi} = \{\langle x, y \rangle \in A \times B^o \mid \Phi(x, y) = 1\} \\ \chi_S(x, y) = \begin{cases} 1 & \text{if } \langle x, y \rangle \in S \\ 0 & \text{otherwise} \end{cases} & \leftrightarrow (S \subseteq A \times B^o) \end{aligned} \quad (3)$$

A comprehension  $\widehat{\Phi}$  of a matrix  $\Phi$  is thus lower-closed in the first component, and upper-closed in the second:

$$a \leq a' \wedge a' \widehat{\Phi} b' \wedge b' \leq b \implies a \widehat{\Phi} b \quad (4)$$

To extract the concepts from a context  $\widehat{\Phi} \subseteq A \times B$ , we thus need to explore the candidate lower-closed subsets of  $A$ , and the upper-closed subsets of  $B$ , which form complete semilattices ( $\Downarrow A, \vee$ ) and ( $\Uparrow B, \wedge$ ), where

$$\Downarrow A = \{L \subseteq A \mid a \leq a' \in L \implies a \in L\} \quad (5)$$

$$\Uparrow B = \{U \subseteq B \mid U \ni b' \leq b \implies U \ni b\} \quad (6)$$

so that  $\bigvee$  in  $\Downarrow A$  and  $\bigwedge$  in  $\Uparrow B$  are both set union. It is easy to see that the embedding  $A \xrightarrow{\nabla} \Downarrow A$ , mapping  $a \in A$  into the lower set  $\nabla a = \{x \in A \mid x \leq a\}$ , is the join completion of the poset  $A$ , whereas  $B \xrightarrow{\blacktriangle} \Uparrow B$ , mapping  $b \in B$  into the upper set  $\blacktriangle b = \{y \in B \mid b \leq y\}$ , is the meet completion of the poset  $B$ . These semilattice completions support the context matrix extension  $\overline{\Phi} \subseteq \Downarrow A \times \Uparrow B$  defined by

$$L\overline{\Phi}U \iff \forall a \in L \forall b \in U. a\widehat{\Phi}b \quad (7)$$

As a matrix between complete semilattices,  $\overline{\Phi}$  is representable in the form

$$\Phi^*L \subseteq U \iff L\overline{\Phi}U \iff L \supseteq \Phi_*U \quad (8)$$

where the adjoints now capture the *complete-bipartite-subgraph* idea from Fig. 4:

$$\begin{array}{ccc} L & \Downarrow A & \bigcap_{y \in U} \bullet\Phi y \\ \downarrow & \Phi^* \dashv \Phi_* & \uparrow \\ \bigcap_{x \in L} x\Phi \bullet & \Uparrow B & U \end{array} \quad (9)$$

Here  $\bullet\Phi y = \{x \in A \mid x\Phi y\}$  and  $x\Phi \bullet = \{y \in B \mid x\widehat{\Phi}y\}$  define the transposes  $\bullet\Phi : B \rightarrow \Downarrow A$  and  $\Phi \bullet : A \rightarrow \Uparrow B$  of  $\Phi : A^o \times B \rightarrow \mathbb{2}$ . Poset adjunctions like (9) are often also called *Galois connections*. They form the category

$$|\text{Adj}_0| = \coprod_{A, B \in \text{Pos}} \{ \langle \Phi^*, \Phi_* \rangle \in \text{Pos}(A, B) \times \text{Pos}(B, A) \mid \Phi^*x \leq y \iff x \leq \Phi_*y \} \quad (10)$$

$$\text{Adj}_0(\Phi, \Psi) = \{ \langle H, K \rangle \in \text{Pos}(A, C) \times \text{Pos}(B, D) \mid K\Phi^* = \Psi^*H \wedge H\Phi_* = \Psi_*H \}$$

The first step of concept analysis is thus the matrix extension

$$\begin{aligned} \text{MA}_0 : \text{Mat}_0 &\rightarrow \text{Adj}_0 \\ \Phi &\mapsto (\Phi^* \dashv \Phi_* : \Uparrow B \rightarrow \Downarrow A) \text{ as in (9)} \end{aligned} \quad (11)$$

To complete the process of concept analysis, we use the full subcategories of  $\text{Adj}_0$  spanned by the closure and the interior operators, respectively:

$$\text{Mnd}_0 = \{ (\Phi^* \dashv \Phi_*) \in \text{Adj}_0 \mid \Phi^*\Phi_* = \text{id} \} \quad (12)$$

$$\text{Cmn}_0 = \{ (\Phi^* \dashv \Phi_*) \in \text{Adj}_0 \mid \Phi_*\Phi^* = \text{id} \} \quad (13)$$

It is easy to see that

- $\mathbf{Mnd}_0$  is equivalent with the category of posets  $A$  equipped with closure operators, i.e. monotone maps  $A \xrightarrow{\overleftarrow{\Phi}} A$  such that  $x \leq \overleftarrow{\Phi}x = \overleftarrow{\Phi}\overleftarrow{\Phi}x$ , for  $\overleftarrow{\Phi} = \Phi_*\Phi^*$ ; while
- $\mathbf{Cmn}_0$  is equivalent with the category of posets  $B$  equipped with interior operators, i.e. monotone maps  $B \xrightarrow{\overrightarrow{\Phi}} B$  such that  $y \geq \overrightarrow{\Phi}y = \overrightarrow{\Phi}\overrightarrow{\Phi}y$ , for  $\overrightarrow{\Phi} = \Phi^*\Phi_*$ .

The functors  $\mathbf{AM}_0 : \mathbf{Adj}_0 \hookrightarrow \mathbf{Mnd}_0$  and  $\mathbf{AC}_0 : \mathbf{Adj}_0 \hookrightarrow \mathbf{Cmn}_0$  are thus inclusions, and their resolutions are

$$\mathbf{EM}_0 : \mathbf{Mnd}_0 \rightarrow \mathbf{Adj}_0 \quad (14)$$

$$(A \xrightarrow{\overleftarrow{\Phi}} A) \mapsto \Downarrow A^{\overleftarrow{\Phi}} = \{U \in \Downarrow A \mid U = \overleftarrow{\Phi}U\}$$

$$\mathbf{KC}_0 : \mathbf{Cmn}_0 \rightarrow \mathbf{Adj}_0 \quad (15)$$

$$(B \xrightarrow{\overrightarrow{\Phi}} B) \mapsto \Uparrow B^{\overrightarrow{\Phi}} = \{V \in \Uparrow B \mid \overrightarrow{\Phi}V = V\}$$

$\mathbf{Mnd}_0$  thus turns out to be a reflective subcategory of  $\mathbf{Adj}_0$ , and  $\mathbf{Cmn}_0$  coreflective. The category  $\mathbf{Nuc}_0$  of concept lattices is their intersection, thus is coreflective in  $\mathbf{Mnd}_0$  and reflective in  $\mathbf{Cmn}_0$ . In fact, these posetal resolutions turn out to be adjoint to the inclusions both on the left and on the right; but that is a peculiarity of the posetal case. Another posetal quirk is that the category  $\mathbf{Nuc}_0$  boils down to the category  $\mathbf{Pos}$  of posets, because an operator that is both a closure and an interior must be an identity. That will not happen in general.

## 2.3 Summary

Going from left to right through Fig. 3 with the categories defined in (2), (10), (12) and (13), and reflecting everything back into  $\mathbf{Adj}_0$ , we made the following steps

$$\begin{array}{c} \Phi : A^\circ \times B \rightarrow \mathcal{Z} \\ \hline \Phi_*^* = \mathbf{MA}_0\Phi = \left\{ \Downarrow A \begin{array}{c} \xleftarrow{\Phi_*} \\ \top \\ \xrightarrow{\Phi^*} \end{array} \Uparrow B \right\} \\ \hline \overleftarrow{\mathbf{EM}}_0\Phi_*^* = \left\{ \Downarrow A \begin{array}{c} \xleftarrow{\top} \\ \rightleftarrows \end{array} \Downarrow A^{\overleftarrow{\Phi}} \right\} \qquad \overrightarrow{\mathbf{KC}}_0\Phi_*^* = \left\{ \Uparrow B^{\overrightarrow{\Phi}} \begin{array}{c} \xleftarrow{\top} \\ \rightleftarrows \end{array} \Uparrow B \right\} \\ \hline \overleftarrow{\mathfrak{N}}_0\Phi = \left\{ \Uparrow B^{\overrightarrow{\Phi}} \begin{array}{c} \xleftarrow{\Phi_\#} \\ \cong \\ \xrightarrow{\Phi^\#} \end{array} \Downarrow A^{\overleftarrow{\Phi}} \right\} \end{array} \quad (16)$$

where  $\overleftarrow{\mathbf{EM}}_0 = \mathbf{EM}_0 \circ \mathbf{AM}_0$ , and  $\overrightarrow{\mathbf{KC}}_0 = \mathbf{KC}_0 \circ \mathbf{AC}_0$ , and  $\overleftarrow{\mathfrak{N}}_0$  defines the poset nucleus (which will be subsumed under the general definition in Sec. 6). For posets, the final step happens to be trivial, because of the order isomorphisms

$$\Downarrow A^{\overleftarrow{\Phi}} \cong \mathcal{D} \cong \Uparrow B^{\overrightarrow{\Phi}} \quad (17)$$

where  $\mathfrak{D}$

$$\mathfrak{D} = \{\langle L, U \rangle \in \Downarrow A \times \Uparrow B \mid L = \Phi_* U \wedge \Phi^* L = U\} \quad (18)$$

is the familiar lattice of *Dedekind cuts*. The images of the context  $\Phi$  in  $\mathbf{Mnd}_0$ ,  $\mathbf{Cmn}_0$  and  $\mathbf{Nuc}_0$  thus give three isomorphic views of the concept lattice. But this is a degenerate case.

**Comment.** The situation when the two resolutions of an adjunction (the one in  $\mathbf{Mnd}$  and the one in  $\mathbf{Cmn}$ ) are isomorphic is very special. E.g., when  $A = B = \mathbb{Q}$  is the field of rational numbers, and  $\Phi = (\leq)$  is their partial order, then  $\mathbf{MA}_1^* \Phi$  is the set of pairs  $\langle L, U \rangle$ , where  $L$  is an open and closed lower interval,  $U$  is an open or closed upper interval, and  $L \leq U$ . The resolutions eliminate the rational points between  $L$  and  $U$ , by requiring that  $L$  contains all lower bounds of  $U$  and  $U$  all upper bounds of  $L$ . The nucleus then comprises the Dedekind cuts. But any Dedekind cut  $\langle L, U \rangle$  is also completely determined by  $L$  alone, and by  $U$  alone. Hence the isomorphisms (17). The same generalizes when  $A = B$  is a partial order, and the nucleus yields its Dedekind-MacNeille completion: it adjoins all joins and meets that are missing while preserving those that already exist. When  $A$  and  $B$  are different posets, and  $\Phi$  is a nontrivial context between them, we are in the business of concept analysis, and generate the concept lattice — with similar generation and preservation requirements like for the Dedekind-MacNeille completion. In a sense, the posets  $A$  and  $B$  are "glued together" along the context  $\widehat{\Phi} \subseteq A \times B$  into the joint completion  $\mathfrak{D}$ , where the joins are generated from  $A$ , and the meets from  $B$ . On the other hand, any meets that may have existed in  $A$  are preserved in  $\mathfrak{D}$ ; as are any joins that may have existed in  $B$ .

It is a remarkable fact of category theory that no such tight bicompletion exists in general, when the poset  $P$  is generalized to a category [50, 38]. It also is well known that this phenomenon is closely related to the idempotent monads induced by adjunctions, and by profunctors in general [1].

The phenomenon is, however, quite general, and in a sense, hides in plain sight.

## 3 Example 2: Nuclei in linear algebra

### 3.1 Matrices and linear operators

The nucleus examples in this section take us back to undergraduate linear algebra. The first part is in fact even more basic. To begin, we consider matrices  $\dot{A} \times \dot{B} \rightarrow R$ , where  $R$  is an arbitrary ring, and  $\dot{A}, \dot{B}$  are *finite* sets. We denote the category of all sets by  $\mathbf{Set}$ , its full subcategory spanned by finite sets by  $\dot{\mathbf{Set}}$ , and generally use the dot to mark finiteness, so that  $\dot{A}, \dot{B} \in \dot{\mathbf{Set}} \subset \mathbf{Set}$ . Viewing both finite sets  $\dot{A}, \dot{B}$  and the ring  $R$  together in the category of sets, we define

$$\begin{aligned} |\mathbf{Mat}_1| &= \coprod_{\dot{A}, \dot{B} \in \dot{\mathbf{Set}}} \mathbf{Set}(\dot{A} \times \dot{B}, R) \\ \mathbf{Mat}_1(\Phi, \Psi) &= \left\{ \langle H, K \rangle \in R^{\dot{A} \times \dot{C}} \times R^{\dot{B} \times \dot{D}} \mid K\Phi = \Psi H \right\} \end{aligned} \quad (19)$$

where  $\text{Set}(A \times B, R)$  is abbreviated to  $R^{A \times B}$ , and the matrix composition is written left to right

$$\begin{aligned} R^{\dot{X} \times \dot{Y}} \times R^{\dot{Y} \times \dot{Z}} &\longrightarrow R^{\dot{X} \times \dot{Z}} \\ \langle F, G \rangle &\mapsto (GF)_{ik} = \sum_{j \in B} F_{ij} \cdot G_{jk} \end{aligned}$$

When  $R$  is a field,  $\text{Mat}_1$  is the arrow category of finite-dimensional  $R$ -vector spaces with chosen bases. When  $R$  is a general ring,  $\text{Mat}_1$  is the arrow category free  $R$ -modules with finite generators. When  $R$  is not even a ring, but say the *rig* ("a ring without the negatives")  $\mathbb{N}$  of natural numbers, then  $\text{Mat}_1$  is the arrow category of free commutative monoids. Sec. 3.2 applies to all these cases, and Sec. 3.3 applies to real closed fields. Since the goal of this part of the paper is to recall familiar examples of the nucleus construction, we can just as well assume that  $R$  is the field of real numbers. The full generality of the construction will emerge in the end.

### 3.2 Nucleus as an automorphism of the rank space of a linear operator

Since finite-dimensional vector spaces always carry a separable inner product, the category  $\text{Mat}_1$  over the field of real numbers  $R$  is equivalent to the arrow category over finite-dimensional real Hilbert spaces *with chosen bases*. This assumption yields a canonical matrix representation for each linear operator. Starting, on the other hand, from the category  $\dot{\text{Hilb}}$  of finite-dimensional Hilbert spaces *without* chosen bases, we define the category  $\text{Adj}_1$  as the arrow category  $\dot{\text{Hilb}}/\dot{\text{Hilb}}$  of linear operators and their commutative squares, i.e.

$$\begin{aligned} |\text{Adj}_1| &= \coprod_{\mathbb{A}, \mathbb{B} \in \dot{\text{Hilb}}} \dot{\text{Hilb}}(\mathbb{A}, \mathbb{B}) \\ \text{Adj}_1(\Phi, \Psi) &= \{ \langle H, K \rangle \in \dot{\text{Hilb}}(\mathbb{A}, \mathbb{C}) \times \dot{\text{Hilb}}(\mathbb{B}, \mathbb{D}) \mid K\Phi = \Psi H \} \end{aligned} \tag{20}$$

The finite-dimensional Hilbert spaces  $\mathbb{A}$  and  $\mathbb{B}$  are still isomorphic to  $R^{\dot{A}}$  and  $R^{\dot{B}}$  for some finite spaces  $\dot{A}$  and  $\dot{B}$  of basis vectors; but the particular isomorphisms would choose a standard basis for each of them, so now we are not given such isomorphisms. This means that the linear operators like  $H$  and  $K$  in (20) do not have standard matrix representations, but are given as linear functions between the entire spaces. The categories  $\text{Mnd}_1$  and  $\text{Cmn}_1$  will be the full subcategories of  $\text{Adj}_1$  spanned by

$$\text{Mnd}_1 = \{ \Phi \in \text{Adj}_1 \mid \Phi \text{ is surjective} \} \tag{21}$$

$$\text{Cmn}_1 = \{ \Phi \in \text{Adj}_1 \mid \Phi^\ddagger \text{ is surjective} \} \tag{22}$$

where  $\Phi^\ddagger$  is the adjoint of  $\Phi \in \dot{\text{Hilb}}(\mathbb{A}, \mathbb{B})$ , i.e. the operator  $\Phi^\ddagger \in \dot{\text{Hilb}}(\mathbb{B}, \mathbb{A})$  satisfying

$$\langle b \mid \Phi a \rangle_{\mathbb{B}} = \langle \Phi^\ddagger b \mid a \rangle_{\mathbb{A}}$$

where  $\langle - \mid - \rangle_{\mathbb{H}}$  denotes the inner product on the space  $\mathbb{H}$ .

### 3.2.1 Hilbert space adjoints: Notation and construction

In the presence of inner products<sup>2</sup>  $\langle - | - \rangle : \mathbb{A} \times \mathbb{A} \rightarrow R$ , it is often more convenient to write vectors  $\vec{a}, \vec{b}$  in the form  $|a\rangle, |b\rangle$ , and the basis vectors  $\vec{e}_i, \vec{u}_j$  simply as  $|i\rangle, |j\rangle$ , reducing vector families to their indices. The advantage is that the linear functional  $\vec{a}^\dagger = \langle \vec{a} | - \rangle \in \mathbb{A}^*$  induced by  $\vec{a} \in \mathbb{A}$  becomes  $\langle a | \in \mathbb{A}^*$  when induced by  $|a\rangle \in \mathbb{A}$ . With respect to a basis  $\vec{e}_1 \dots, \vec{e}_m$ , the decomposition

$$\vec{a} = \sum_{i=1}^m a_i \vec{e}_i \quad \text{becomes} \quad |a\rangle = \sum_{i=1}^m |i\rangle \langle i | a \rangle \quad (23)$$

whereas applying a matrix representing  $\Phi \in \text{Hilb}(\mathbb{A}, \mathbb{B})$

$$(\Phi \vec{a})_j = \sum_{i=1}^m a_i \Phi_{ij} \quad \text{becomes} \quad \Phi |a\rangle = \sum_{i=1}^m \sum_{j=1}^n |j\rangle \langle j | \Phi | i \rangle \langle i | a \rangle \quad (24)$$

where  $\langle j | \Phi | i \rangle = \Phi_{ij}$ . In general, writing

$$\langle b | \Phi | a \rangle = \sum_{i=1}^m \sum_{j=1}^n \langle b | j \rangle \langle j | \Phi | i \rangle \langle i | a \rangle \quad (25)$$

we have

$$\langle b | \Phi a \rangle_{\mathbb{B}} = \langle b | \Phi | a \rangle = \langle \Phi^\dagger b | a \rangle_{\mathbb{A}} \quad (26)$$

where  $\Phi^\dagger \in \text{Hilb}(\mathbb{B}, \mathbb{A})$  is defined

$$\Phi^\dagger |b\rangle = \sum_{i=1}^m \sum_{j=1}^n |i\rangle \langle j | \Phi | i \rangle \langle j | b \rangle \quad (27)$$

Note that  $\Phi^{\dagger\dagger} = \Phi$  follows immediately.

### 3.2.2 Factorizations

While the functor  $\text{MA}_1 : \text{Mat}_1 \rightarrow \text{Adj}_1$ , as induced by (24–27), is tacit in the usual presentations of linear operators as matrices, and their transposes (albeit conjugate), the functors  $\text{AM}_1 : \text{Adj}_1 \rightarrow \text{Mnd}_1$  and  $\text{AC}_1 : \text{Adj}_1 \rightarrow \text{Cmn}_1$  require factoring linear operators through their rank spaces:

$$\begin{array}{ccccc} & & \mathbb{A} & \xleftarrow{U} & \mathbb{B}^{\vec{\Phi}} \\ & \nearrow \text{AM}_1(\Phi) & \updownarrow \begin{array}{c} \Phi \\ \vdash \\ \Phi^\dagger \end{array} & & \nearrow \text{AC}_1(\Phi)^\dagger \\ \mathbb{A}^{\vec{\Phi}} & \xleftarrow{V} & \mathbb{B} & & \end{array} \quad (28)$$

<sup>2</sup>If  $R$  were not a *real* closed field, the inner product would involve a conjugate in the first argument. Although this is for most people the more familiar situation, the adjunctions here do not depend on conjugations, so we omit them.

where we define

$$\begin{aligned}\vec{\mathbb{B}}^\Phi &= \{\Phi^\dagger|b\rangle \mid |b\rangle \in \mathbb{B}\} \quad \text{with} \quad \langle x|y\rangle_{\vec{\mathbb{B}}^\Phi} = \langle Ux|Uy\rangle_{\mathbb{A}} \\ \overleftarrow{\mathbb{A}}^\Phi &= \{\Phi|a\rangle \mid |a\rangle \in \mathbb{A}\} \quad \text{with} \quad \langle x|y\rangle_{\overleftarrow{\mathbb{A}}^\Phi} = \langle Vx|Vy\rangle_{\mathbb{B}}\end{aligned}$$

It is easy to see that the adjoints  $\text{EM}_1 : \text{Mnd}_1 \rightarrow \text{Adj}_1$  and  $\text{KC}_1 : \text{Cmn}_1 \rightarrow \text{Adj}_1$  can be viewed as inclusions. To define  $\text{MN}_1 : \text{Mnd}_1 \rightarrow \text{Nuc}_1$  and  $\text{CN}_1 : \text{Cmn}_1 \rightarrow \text{Nuc}_1$ , note that

$$\langle U^\dagger Ux | y \rangle_{\vec{\mathbb{B}}^\Phi} = \langle Ux | Uy \rangle_{\mathbb{A}} = \langle x | y \rangle_{\vec{\mathbb{B}}^\Phi}$$

Since finite-dimensional Hilbert spaces are separable, this implies that  $U^\dagger U = \text{id}$  and that  $U^\dagger$  is thus a surjection. So we have two factorizations of  $\Phi$

$$\begin{array}{ccc} \mathbb{A} & \xrightarrow{U^\dagger} & \vec{\mathbb{B}}^\Phi \\ \downarrow \text{AC}_1(\Phi) & \nearrow \text{CN}_1 \circ \text{AC}_1(\Phi) = \text{MN}_1 \circ \text{AM}_1(\Phi) & \downarrow \text{AM}_1(\Phi) \\ \overleftarrow{\mathbb{A}}^\Phi & \xrightarrow{V} & \mathbb{B} \end{array} \quad (29)$$

The definitions of  $\text{CN}_1$  and  $\text{MN}_1$  for general objects of  $\text{Cmn}_1$  and  $\text{Mnd}_1$  proceed similarly, by factoring the adjoints.

### 3.3 Nucleus as matrix diagonalization

When the field  $R$  supports spectral decomposition, the above factorizations can be performed directly on matrices. The nucleus of a matrix then arises as its diagonal form. In linear algebra, the process of the nucleus extraction thus boils down to the Singular Value Decomposition (SVD) of a matrix [30, Sec. 2.4], which is yet another tool of concept analysis [5, 19].

To set up this version of the nucleus setting we take  $\text{Adj}_2 = \text{Mat}_2 = \text{Mat}_1$  and let  $\text{MA}_2 : \text{Mat}_2 \rightarrow \text{Adj}_2$  be the identity. The categories  $\text{Mnd}_2$  and  $\text{Cmn}_2$  will again be full subcategories of  $\text{Adj}_2$ , this time spanned by

$$\text{Mnd}_2 = \{\Phi \in \text{Set}(\dot{A} \times \dot{B}, R) \mid \langle k | \vec{\Phi} | \ell \rangle = \lambda_k \langle k | \ell \rangle\} \quad (30)$$

$$\text{Cmn}_2 = \{\Phi \in \text{Set}(\dot{A} \times \dot{B}, R) \mid \langle i | \overleftarrow{\Phi} | j \rangle = \lambda_j \langle i | j \rangle\} \quad (31)$$

where

- $\vec{\Phi} = \Phi\Phi^\dagger$  and  $\overleftarrow{\Phi} = \Phi^\dagger\Phi$ ,
- $\langle m | \Psi | n \rangle$  denotes  $\Psi_{mn}$ ,
- $\langle i | j \rangle = \begin{cases} 1 & \text{if } i = j \\ 0 & \text{otherwise} \end{cases}$ , and



- $\lambda_k$  and  $\lambda_j$  are scalars.

In the theory of Banach spaces, operators that yield to this type of representation have been called nuclear since [32]. Hence our terminology. For finite-dimensional spaces, definitions (30-31) say that for a matrix  $\Phi \in \text{Mat}_2$  holds that

$$\begin{aligned}\Phi \in \text{Mnd}_2 &\iff \vec{\Phi} \text{ is diagonal} \\ \Phi \in \text{Cmn}_2 &\iff \overleftarrow{\Phi} \text{ is diagonal}\end{aligned}$$

Since both  $\overleftarrow{\Phi}$  and  $\vec{\Phi}$  are self-adjoint:

$$\begin{aligned}\langle \Phi^\dagger \Phi a \mid a' \rangle &= \langle \Phi a \mid \Phi a' \rangle = \langle \Phi^{\ddagger\dagger} a \mid \Phi a' \rangle = \langle a \mid \Phi^\dagger \Phi a' \rangle \\ \langle b \mid \Phi \Phi^\dagger b' \rangle &= \langle \Phi^\dagger b \mid \Phi^\dagger b' \rangle = \langle \Phi^\dagger b \mid \Phi^{\ddagger\dagger\dagger} b' \rangle = \langle \Phi^{\ddagger\dagger} \Phi^\dagger b \mid b' \rangle = \langle \Phi \Phi^\dagger b \mid b' \rangle\end{aligned}$$

their spectral decompositions yield real eigenvalues  $\lambda$ . Assuming for simplicity that each of their eigenvalues has a one-dimensional eigenspace, we define

$$\dot{A}^{\overleftarrow{\Phi}} = \{ |v\rangle \in R^{\dot{B}} \mid \langle v|v\rangle = 1 \wedge \exists \lambda_v. \vec{\Phi}|v\rangle = \lambda_v|v\rangle \} \quad (32)$$

$$\dot{B}^{\vec{\Phi}} = \{ |u\rangle \in R^{\dot{B}} \mid \langle u|u\rangle = 1 \wedge \exists \lambda_u. \overleftarrow{\Phi}|u\rangle = \lambda_u|u\rangle \} \quad (33)$$

Hence the matrices

$$\begin{array}{ccccc} \dot{B}^{\vec{\Phi}} \times \dot{A} & \xrightarrow{U} & R & \xleftarrow{V} & \dot{A}^{\overleftarrow{\Phi}} \times B \\ \langle |u\rangle, i \rangle & \mapsto & u_i & v_\ell & \longleftarrow \langle |v\rangle, \ell \rangle \end{array}$$

which isometrically embed  $\dot{B}^{\vec{\Phi}}$  into  $A$  and  $\dot{A}^{\overleftarrow{\Phi}}$  into  $B$ . It is now straightforward to show that  $\text{AM}_2 : \text{Adj}_2 \rightarrow \text{Mnd}_2$  and  $\text{AC}_2 : \text{Adj}_2 \rightarrow \text{Cmn}_2$  are still given according to the schema in (28), i.e. by

$$\check{\Phi} = \text{AM}_2(\Phi) = V^\dagger \Phi \quad (34)$$

$$\hat{\Phi} = \text{AC}_2(\Phi) = \Phi U \quad (35)$$

They satisfy not only the requirements that  $\check{\Phi}^\dagger \check{\Phi}$  and  $\hat{\Phi} \hat{\Phi}^\dagger$  be diagonal, as required by (30) and (31), but also that

$$\check{\Phi} \check{\Phi}^\dagger = \Phi \Phi^\dagger = \overleftarrow{\Phi} \qquad \hat{\Phi}^\dagger \hat{\Phi} = \Phi^\dagger \Phi = \vec{\Phi}$$

Repeating the diagonalization process on each of them leads to the following refinement of (28):

$$\begin{array}{ccccc}
 \dot{A} & \xleftarrow{U} & \dot{B}^{\vec{\Phi}} & \xleftarrow{\sim} & \left(\dot{A}^{\overleftarrow{\Phi}}\right)^{\vec{\Phi}} \\
 \downarrow \Phi & \nearrow \hat{\Phi} & \vdots \text{MN}_2(\hat{\Phi}) = \text{CN}_2(\check{\Phi}) & \nwarrow \check{\Phi} & \downarrow \\
 \dot{B} & \xrightarrow{V^\ddagger} & \dot{A}^{\overleftarrow{\Phi}} & \xleftarrow{\sim} & \left(\dot{B}^{\vec{\Phi}}\right)^{\overleftarrow{\Phi}} \\
 & & \downarrow \hat{\Phi} & & \downarrow \check{\Phi}
 \end{array} \tag{36}$$

This diagram displays a bijection between the eigenvectors in  $\dot{B}^{\vec{\Phi}}$  and  $\dot{A}^{\overleftarrow{\Phi}}$ . The diagonal matrix between them is the nucleus of  $\Phi$ . The singular values along its diagonal measure, in a certain sense, how much the operators  $\overleftarrow{\Phi}$  and  $\vec{\Phi}$ , induced by composing  $\Phi$  and  $\Phi^\ddagger$ , deviate from being projectors onto the respective rank spaces.

### 3.4 Summary

The path from a matrix to its nucleus can now be summarized by

$$\begin{array}{c}
 \Phi : \dot{A} \times \dot{B} \rightarrow R \\
 \hline
 \begin{array}{ccc}
 R^{\dot{A}} & \xleftarrow{\Phi^\ddagger} & R^{\dot{B}} \\
 & \searrow \Phi & \nearrow
 \end{array} \\
 \hline
 \begin{array}{ccc}
 R^{\dot{A}} & \xleftarrow{U=\mathfrak{N}_2\Phi} & \dot{A}^{\overleftarrow{\Phi}} & & \dot{B}^{\vec{\Phi}} & \xrightarrow{V=\mathfrak{C}_2\Phi} & R^{\dot{B}} \\
 & \searrow & & & & \swarrow &
 \end{array} \\
 \hline
 \begin{array}{ccc}
 \dot{B}^{\vec{\Phi}} & \xrightarrow{\mathfrak{N}_2\Phi} & \dot{A}^{\overleftarrow{\Phi}} \\
 \uparrow U^\ddagger & & \downarrow V \\
 R^{\dot{A}} & \xrightarrow{\Phi} & R^{\dot{B}}
 \end{array}
 \end{array}$$

Note that the isomorphisms from (17) are now replaced by the diagonal matrix  $\mathfrak{N}_1\Phi : \dot{B}^{\vec{\Phi}} \xrightarrow{\sim} \dot{A}^{\overleftarrow{\Phi}}$ , which is still invertible as a linear operator, and provides a bijection between the bases  $\dot{B}^{\vec{\Phi}}$  and  $\dot{A}^{\overleftarrow{\Phi}}$  of the rank spaces of  $\Phi$  and of  $\Phi^\ddagger$ , respectively. But the singular values along the diagonal of  $\mathfrak{N}_1\Phi$  quantify the relationships between the corresponding elements of  $\dot{B}^{\vec{\Phi}}$  and  $\dot{A}^{\overleftarrow{\Phi}}$ . This is, on the one hand, the essence of the concept analysis by singular value decomposition [51]. Even richer conceptual correspondences will, on the other hand, emerge in further examples.

## 4 Example 3: Nuclear Chu spaces

### 4.1 Abstract matrices

So far we have considered matrices in specific frameworks, first of posets, then of Hilbert spaces. In this section, we broaden the view, and study an abstract framework of matrices. Suppose that  $\mathcal{S}$  is a category with finite products,  $R \in \mathcal{S}$  is an object, and  $\dot{\mathcal{S}} \subseteq \mathcal{S}$  is a full subcategory. The objects of  $\dot{\mathcal{S}}$  are also marked by a dot, and are thus written  $\dot{A}, \dot{B}, \dots, \dot{X} \in \dot{\mathcal{S}}$ . Now consider the following variation on the theme of (2) and (19):

$$|\text{Mat}_3| = \coprod_{\dot{A}, \dot{B} \in \dot{\mathcal{S}}} \mathcal{S}(\dot{A} \times \dot{B}, R) \quad (37)$$

$$\text{Mat}_3(\Phi, \Psi) = \left\{ \langle f^*, f_* \rangle \in \dot{\mathcal{S}}(\dot{A}, \dot{C}) \times \dot{\mathcal{S}}(\dot{D}, \dot{B}) \mid \Phi(a, f_* d) = \Psi(f^* a, d) \right\}$$

where  $\Psi \in \mathcal{S}(\dot{C} \times \dot{D}, R)$ , as illustrated in Fig. 5. We consider a couple of examples.

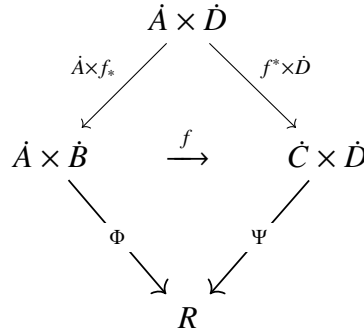


Figure 5: A Chu-morphism  $f = \langle f^*, f_* \rangle : \Phi \rightarrow \Psi$  in  $\text{Mat}_3$

#### 4.1.1 Posets

Let the category  $\mathcal{S} = \dot{\mathcal{S}}$  be the category **Pos** of posets, and let  $R$  be the poset  $\mathbb{2} = \{0 < 1\}$ . The poset matrices in  $\text{Mat}_3^{\text{Pos}}$  then differ from those in  $\text{Mat}_0$  by the fact that they are covariant in both arguments, i.e. they satisfy  $a' \widehat{\Phi} b' \wedge a' \leq a \wedge b' \leq b \implies a \widehat{\Phi} b$  instead of (4). Any poset  $A$  is represented both in  $\text{Mat}_0$  and in  $\text{Mat}_3^{\text{Pos}}$  by the matrix  $(\overset{A}{\leq}) : A^o \times A \rightarrow \mathbb{2}$ . But they are quite different objects in the different categories. If  $(\overset{B}{\leq}) : B^o \times B \rightarrow \mathbb{2}$  is another such matrix, then

- in  $\text{Mat}_0$ , a morphism in the form  $\langle h, k \rangle$  is required to satisfy  $x \overset{A}{\leq} x' \iff hx \overset{B}{\leq} kx'$  for all  $x, x' \in A$ , whereas
- in  $\text{Mat}_3^{\text{Pos}}$ , a morphism in the form  $\langle f^*, f_* \rangle$  is required to satisfy  $x \leq f_* y \iff f^* x \leq y$  for all  $x \in A$  and  $y \in B$ .

The  $\text{Mat}_3^{\text{Pos}}$  isomorphisms are thus the poset adjunctions (a.k.a. Galois connections), whereas the  $\text{Mat}_0$ -morphisms in the form  $\langle h, h \rangle$  are the order isomorphisms.

### 4.1.2 Linear spaces

Let  $\mathcal{S}$  be the category **Set** of sets,  $\dot{\mathcal{S}}$  the category **Set** of finite sets, and let  $R$  be the set of real numbers. Then the objects of  $\text{Mat}_3^{\text{Lin}}$  are the real matrices, just like in  $\text{Mat}_1$ , but the morphisms in  $\text{Mat}_3^{\text{Lin}}$  are a very special case of those in  $\text{Mat}_1$ . A  $\text{Mat}_1$ -morphism  $\langle H, K \rangle$  from (19) boils down to a pair of functions  $\langle f^*, f_* \rangle$  from (37) precisely when the matrices  $H$  and  $K$  comprise of 0s, except that  $H$  has precisely one 1 in every row, and  $K$  has precisely one 1 in every column. With such constrained morphisms,  $\text{Mat}_3^{\text{Lin}}$  does not support the factorizations on which the constructions in  $\text{Mat}_1$  were based. The completions will afford it more flexible morphisms.  $\text{Mat}_1$ 's morphisms are already complete matrices, which is why we were able to take  $\text{Adj}_2 = \text{Mat}_2 = \text{Mat}_1$ .

### 4.1.3 Categories

Let  $\mathcal{S}$  be the category **CAT** of categories, small or large; let  $R$  be the category **Set** of sets; and let  $\dot{\mathcal{S}}$  be the category **Cat** of small categories. The matrices in  $\text{Mat}_3^{\text{CAT}}$  are then distributors [16, Vol. I, Sec. 7.8], also called profunctors, or bimodules. The  $\text{Mat}_3^{\text{CAT}}$ -morphisms are generalized adjunctions, as discussed in [45]. Any small category  $\dot{\mathbb{A}}$  occurs as the matrix  $\text{hom}_{\dot{\mathbb{A}}} \in \text{CAT}(\dot{\mathbb{A}}^o \times \dot{\mathbb{A}}, \text{Set})$  in  $\text{Mat}_3^{\text{CAT}}$ . The  $\text{Mat}_3^{\text{CAT}}$ -morphisms between the matrices in the form  $\text{hom}_{\dot{\mathbb{A}}}$  and  $\text{hom}_{\dot{\mathbb{B}}}$  are precisely the adjunctions between the categories  $\dot{\mathbb{A}}$  and  $\dot{\mathbb{B}}$ .

## 4.2 Representability and completions

A matrix  $\Phi : \dot{\mathbb{A}} \times \dot{\mathbb{B}} \rightarrow R$  is said to be *representable* when there are matrices  $\mathbb{A} : \dot{\mathbb{A}} \times \dot{\mathbb{A}} \rightarrow R$  and  $\mathbb{B} : \dot{\mathbb{B}} \times \dot{\mathbb{B}} \rightarrow R$  and a morphism  $f = \langle f^*, f_* \rangle \in \text{Mat}_3(\mathbb{A}, \mathbb{B})$  such that  $\Phi = \mathbb{A} \circ (\dot{\mathbb{A}} \times f_*) = \mathbb{B}(f^* \times \dot{\mathbb{B}})$ . Inside the category  $\text{Mat}_3$ , this means that the morphism  $f$  can be factorized through  $\Phi$ , as displayed

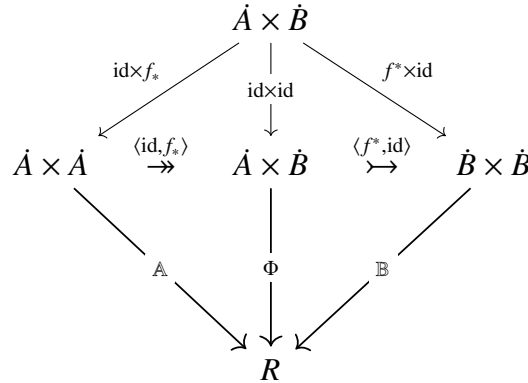


Figure 6: A matrix  $\Phi$  representable in  $\text{Mat}_3$  by factoring  $\langle f^*, f_* \rangle = \left( \mathbb{A} \xrightarrow{\langle \text{id}, f_* \rangle} \Phi \xrightarrow{\langle f^*, \text{id} \rangle} \mathbb{B} \right)$

in Fig. 6. Inside  $\text{Mat}_3^{\text{CAT}}$ , a distributor  $\Phi : \dot{\mathbb{A}}^o \times \dot{\mathbb{B}} \rightarrow \text{Set}$  is representable if and only if there is an adjunction  $F^* \dashv F_* : \dot{\mathbb{B}} \rightarrow \dot{\mathbb{A}}$  such that  $\mathbb{A}(x, F_* y) = \Phi(x, y) = \mathbb{B}(F^* x, y)$ .

### 4.3 Abstract adjunctions

In the category of adjunctions  $\text{Adj}_3$ , all matrices from  $\text{Mat}_3$  become representable. This is achieved by dropping the "finiteness" requirement  $\dot{A}, \dot{B}, \dot{C}, \dot{D} \in \dot{\mathcal{S}}$  from  $\text{Mat}_3$ , and defining

$$\begin{aligned} |\text{Adj}_3| &= \coprod_{A, B \in \mathcal{S}} \mathcal{S}(A \times B, R) \\ \text{Adj}_3(\Phi, \Psi) &= \{ \langle f^*, f_* \rangle \in \mathcal{S}(A, C) \times \mathcal{S}(D, B) \mid \Psi(f^*a, d) = \Phi(a, f_*d) \} \end{aligned} \quad (38)$$

#### 4.3.1 The Chu-construction

The readers familiar with the Chu-construction will recognize  $\text{Adj}_3$  as  $\text{Chu}(\mathcal{S}, R)$ . The Chu-construction is a universal embedding of monoidal categories with a chosen dualizing object into \*-autonomous categories. It was spelled out by Barr and his student Chu [9], and extensively studied in topological duality theory and in semantics of linear logic [10, 11, 12, 13, 20, 55, 63, 69]. Its conceptual roots go back to the early studies of infinite-dimensional vector spaces [55]. Our category  $\text{Mat}_3$  can be viewed as a "finitary" part of a Chu-category, where an abstract notion of "finiteness" is imposed by requiring that the matrices are sized by a "finite" category  $\dot{\mathcal{S}} \subset \mathcal{S}$ .

#### 4.3.2 Representing matrices as adjunctions

The functor  $\text{MA}_3 : \text{Mat}_3 \rightarrow \text{Adj}_3$  will be the obvious embedding. When  $\dot{\mathcal{S}} = \mathcal{S}$ , it boils down to the identity. The difference between (37) and (38) is technically, of course, a minor wrinkle. But when the object  $R$  is exponentiable, in the sense that there is a functor  $R^{(-)} : \dot{\mathcal{S}}^o \rightarrow \mathcal{S}$  such that

$$\mathcal{S}(\dot{A} \times \dot{B}, R) \cong \mathcal{S}(\dot{A}, R^{\dot{B}}) \quad (39)$$

holds naturally in  $\dot{A}$  and  $\dot{B}$ , then the  $\text{Mat}_3$ -matrices can be represented as  $\text{Adj}_3$ -morphisms. Each matrix appears in four avatars

$$\begin{array}{cccc} \mathcal{S}(\dot{A}, R^{\dot{B}}) & \cong & \mathcal{S}(\dot{A} \times \dot{B}, K) & \cong & \mathcal{S}(\dot{B} \times \dot{A}, K) & \cong & \mathcal{S}(\dot{B}, R^{\dot{A}}) \\ \Downarrow & & \Downarrow & & \Downarrow & & \Downarrow \\ \Phi^* & & \Phi & & \Phi^\ddagger & & \Phi_* \end{array} \quad (40)$$

and the leftmost and the rightmost represent it as the abstract adjunction in Fig. 7. The objects  $R^{\dot{A}}$  and  $R^{\dot{B}}$ , that live in  $\mathcal{S}$  but not in  $\dot{\mathcal{S}}$  will play a similar role to  $\Downarrow A$  and  $\Uparrow B$  in Sec. 2, and to the eponymous Hilbert spaces Sec. 3. They are the abstract "completions". We come back to this in Sec. 4.5.

#### 4.3.3 Separated and extensional adjunctions

The correspondences in (40) assert that any matrix  $\Phi : A \times B \rightarrow R$  can be viewed as

- a map  $A \xrightarrow{\Phi^*} R^B$ , assigning a "matrix row"  $\Phi^*(a)$  to each basis element  $a \in A$ ;

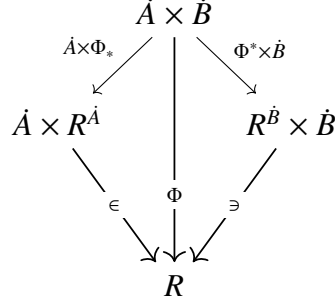


Figure 7: The adjunction  $(\Phi^* \dashv \Phi_*) \in \text{Adj}_3(\epsilon_A, \eta_B)$  representing the matrix  $\Phi : A \times B \rightarrow R$  from  $\text{Mat}_3$

- a map  $B \xrightarrow{\Phi_*} R^A$ , assigning a "matrix column"  $\Phi_*(b)$  to each basis element  $b \in B$ .

The elements  $a$  and  $a'$  are indistinguishable for  $\Phi$  if  $\Phi^*(a) = \Phi^*(a')$ ; and the elements  $b$  and  $b'$  are distinguishable for  $\Phi$  if  $\Phi_*(b) = \Phi_*(b')$ . The idea of Barr's *separated-extensional* Chu construction [10, 12] is to quotient out any indistinguishable elements. A Chu space is called

- *separated* if  $\Phi^*(a) = \Phi^*(a') \Rightarrow a = a'$ , and
- *extensional* if  $\Phi_*(b) = \Phi_*(b') \Rightarrow b = b'$ .

To formalize this idea, we assume the category  $\mathcal{S}$  is given with a family  $\mathcal{M}$  of abstract monics, so that  $\Phi$  is separated if  $\Phi^* \in \mathcal{M}$  and extensional if  $\Phi_* \in \mathcal{M}$ . To extract such an  $\mathcal{M}$ -separated-extensional nucleus from any given  $\Phi$ , the family  $\mathcal{M}$  is given as a part of a *factorization system*  $\mathcal{E} \vdash \mathcal{M}$ , such that  $R^\mathcal{E} \subseteq \mathcal{M}$ . For convenience, an overview of factorization systems is given in Appendix A. The construction yields an instance of Fig. 3 for the full subcategories of  $\text{Adj}_3$  defined by

$$\text{Mnd}_3 = \{\Phi \in \text{Adj}_3 \mid \Phi^* \in \mathcal{M}\} = \text{Chu}_s(\mathcal{S}, R) \quad (41)$$

$$\text{Cmn}_3 = \{\Phi \in \text{Adj}_3 \mid \Phi_* \in \mathcal{M}\} = \text{Chu}_e(\mathcal{S}, R) \quad (42)$$

$$\text{Nuc}_3 = \{\Phi \in \text{Adj}_3 \mid \Phi^*, \Phi_* \in \mathcal{M}\} = \text{Chu}_{se}(\mathcal{S}, R) \quad (43)$$

where  $\text{Chu}_s(\mathcal{S}, R)$  and  $\text{Chu}_e(\mathcal{S}, R)$  are the full subcategories of  $\text{Chu}(\mathcal{S}, R)$  spanned, respectively, by the separated and the extensional Chu spaces, as constructed in [10, 12]. The reflections and coreflections, induced by the factorization, have been analyzed in detail there. The separated-extensional nucleus of a matrix is constructed through the factorizations displayed in Fig. 8, where we use Barr's notation. The functor  $\text{AM}_3$  corresponds to Barr's  $\text{Chu}_s$ , the functor  $\text{AC}_3$  to  $\text{Chu}_e$ . Proving that  $A' \cong A''$  and  $B' \cong B''$  gives the nucleus  $\text{Chu}_{se}(\Phi) = \text{Chu}_{es}(\Phi)$  in  $\text{Nuc}_3$ .

$$\begin{array}{c}
A \times B \xrightarrow{\Phi} R \\
\hline
A \xrightarrow{\Phi^*} R^B \quad B \xrightarrow{\Phi_*} R^A \\
\hline
A \xrightarrow{\mathcal{E}(\Phi^*)} \gg A' \xrightarrow{\text{Chu}_s(\Phi)} R^B \quad B \xrightarrow{\mathcal{E}(\Phi_*)} \gg B' \xrightarrow{\text{Chu}_e(\Phi)} R^A \\
\hline
B \xrightarrow{\mathcal{E}(\text{Chu}_s(\Phi))} \gg B'' \xrightarrow{\text{Chu}_w(\Phi)} R^{A'} \quad A \xrightarrow{\mathcal{E}(\text{Chu}_e(\Phi))} \gg A'' \xrightarrow{\text{Chu}_{es}(\Phi)} R^{B'}
\end{array}$$

Figure 8: Overview of the separated-extensional Chu construction

## 4.4 What does the separated-extensional nucleus capture in examples 4.1?

### 4.4.1 Posets

Restricted to the poset matrices in the form  $A^o \times B \xrightarrow{\Phi} \mathbb{Z}$ , as explained in Sec. 4.1.1, the separated-extensional nucleus construction gives the same output as the concept lattice construction in Sec. 2. The factorizations  $\text{Chu}_s$  and  $\text{Chu}_e$  in Fig. 8 correspond to the extensions  $\Phi^*$  and  $\Phi_*$  in (9).

### 4.4.2 Linear spaces

Extended from finite bases to the entire spaces generated by them, the Chu view of the linear algebra example in 4.1.2 captures the rank space factorization and  $\text{Nuc}_1$ , but the spectral decomposition into  $\text{Nuc}_2$  requires a suitable completeness assumption on  $R$ .

### 4.4.3 Categories

The separated-extensional nucleus construction does not seem applicable to the categorical example in 4.1.3 directly, as none of the familiar functor factorization systems satisfy the requirement  $R^\mathcal{E} \subseteq \mathcal{M}$ . This provides an opportunity to explore the role of factorizations in extracting the nuclei. In Sec. 4.5 we explore a variation on the theme of the factorization-based nucleus. In Sec. 4.6 we spell out a modified version of the separated-extensional nucleus construction that does apply to the categorical example in 4.1.3.

## 4.5 Discussion: Combining factorization-based approaches

Some factorization-based nuclei, in the situations when the requirement  $R^\mathcal{E} \subseteq \mathcal{M}$  is not satisfied, arise from a combination of the separated-extensional construction from Sec. 4.3.1 and the diagonalization factoring from Sec. 3.

#### 4.5.1 How nuclei depend on factorizations?

As explained in the Appendix, every factorization system  $\mathcal{E} \wr \mathcal{M}$  in any category  $\mathcal{S}$  can be viewed as an algebra for the Arr-monad, where  $\text{Arr}(\mathcal{S}) = \mathcal{S}/\mathcal{S}$  is the category consisting of the  $\mathcal{S}$ -arrows as objects, and the pairs of arrows forming commutative squares as the morphisms. An arbitrary factorization system  $\mathcal{E} \wr \mathcal{M}$  on  $\mathcal{S}$  thus corresponds to an algebra  $\wr : \mathcal{S}/\mathcal{S} \rightarrow \mathcal{S}$ ; and a factorization system that satisfies the requirements for the separated-extensional Chu construction lifts to an algebra  $\wr : \text{Adj}_3/\text{Adj}_3 \rightarrow \text{Adj}_3$ . To see this, note the natural bijection  $\mathcal{S}(A \times B, R) \cong \mathcal{S}(A, R^B)$  induces an isomorphism of  $\text{Adj}_3 = \text{Chu}(\mathcal{S}, R)$  with the comma category  $SR = \mathcal{S}/R^{(-)}$ , whose arrows are in the form

$$\begin{array}{ccc} A & \xrightarrow{f^*} & C \\ \varphi \downarrow & & \downarrow \psi \\ R^B & \xrightarrow{R^{f^*}} & R^D \\ B & \xleftarrow{f} & D \end{array} \quad (44)$$

Such squares permit  $\mathcal{E} \wr \mathcal{M}$ -factorization whenever  $R^{\mathcal{E}} \subseteq \mathcal{M}$ . If we now set

$$\text{Mat}_4 = \text{Adj}_3 \quad (45)$$

$$\text{Adj}_4 = \text{Adj}_3/\text{Adj}_3 \quad (46)$$

then the isomorphism  $\text{Adj}_3 \cong SR$  lifts to  $\text{Adj}_4 \cong SR/SR$ . The objects of  $\text{Adj}_4$  can thus be viewed as the squares in the form (44), and the object part of the abstract completion functor  $\text{MA}_4 : \text{Mat}_4 \rightarrow \text{Adj}_4$  can be defined as in Fig. 9. One immediate consequence is that the two factorization

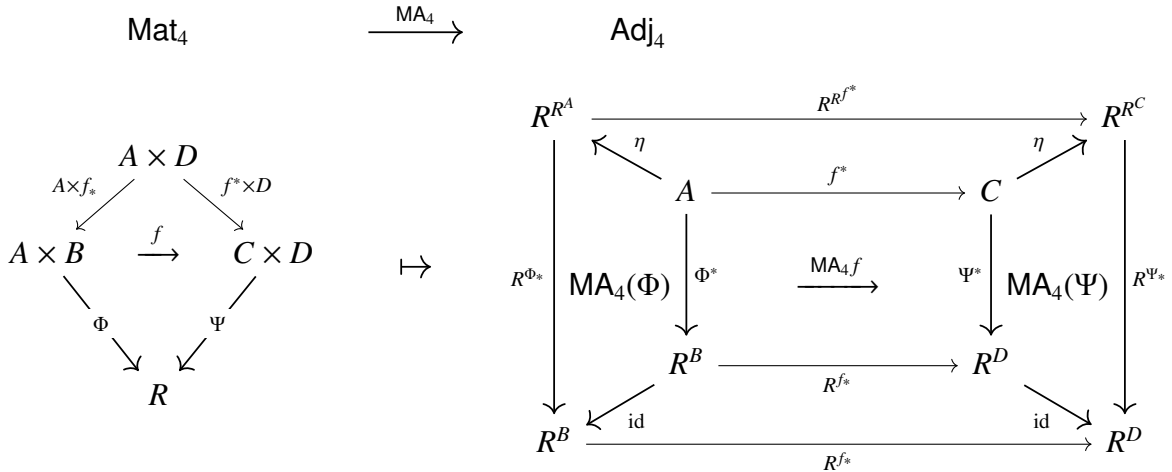


Figure 9: The abstract completion functor  $\text{MA}_4 : \text{Mat}_4 \rightarrow \text{Adj}_4$

steps of the two-step separated-and-extensional construction  $\overleftarrow{\mathfrak{N}}_3 = \text{Chu}_{se}$ , summarized in Fig. 8,



can now be obtained in a single sweep, by directly composing the completion with the factorization

$$\overleftarrow{\mathfrak{N}}_3 = \left( \text{Adj}_3 \xrightarrow{\text{MA}_4} \text{Adj}_3 / \text{Adj}_3 \xrightarrow{\wr} \text{Adj}_3 \right) \quad (47)$$

The fixed points of this functor are just the separated-extensional nuclei. This is, of course, just another presentation of the same thing; and perhaps a wrongheaded one, as it folds the two steps of the nucleus construction into one. These two steps are displayed as the two paths from left to right through Fig. 3, corresponding to the two orders in which the steps can be taken; and of course as the separate part and the extensional part of the separate-extensional Chu-construction. The commutativity of the two steps is, in a sense, the heart of the matter. However, packaging a nucleus construction into one step allows packaging two such constructions into one. What might that be useful for?

When  $\mathcal{S}$  is, say, a category of topological spaces, and  $\mathcal{E} \wr \mathcal{M}$  the the dense-closed factorization, then it may happen that the separated-extensional nucleus of a space is much bigger than the original space. If the nucleus  $\overleftarrow{\mathfrak{N}}_3\Phi : A' \times B' \rightarrow R$  of a matrix  $\Phi : A \times B \rightarrow R$  is constructed by factoring  $A \xrightarrow{\Phi^*} R^B$  and  $B \xrightarrow{\Phi_*} R^A$  into

$$A \twoheadrightarrow A' \xrightarrow{\overleftarrow{\mathfrak{N}}_3\Phi^*} R^{B'} \twoheadrightarrow R^B \quad B \twoheadrightarrow B' \xrightarrow{\overleftarrow{\mathfrak{N}}_3\Phi_*} R^{A'} \twoheadrightarrow R^A$$

as in Fig. 8, then  $A$  and  $B$  can be dense spaces of rational numbers, and  $A'$  and  $B'$  can be their closures in the space of real numbers, representable within both  $R^A$  and  $R^B$  for a cogenerator  $R$ . The same effect occurs if we take  $\mathcal{S}$  to be posets, and in many other situations where the  $\mathcal{E}$ -maps are not quotients. One way to sidestep the problem might be to strengthen the requirements.

#### 4.5.2 Exercise

Given a matrix  $A \times B \xrightarrow{\Phi} R$ , find a nucleus  $A' \times B' \xrightarrow{L\Phi} R$  such that

- (a)  $A \twoheadrightarrow A'$  and  $B \twoheadrightarrow B'$  are quotients, whereas
- (b)  $A' \xrightarrow{\Phi^*} R^{B'}$  and  $B' \xrightarrow{\Phi_*} R^{A'}$  are closed embeddings.

Requirement (b) is from the separated-extensional construction in Sec. 4.3.1, whereas requirement (a) is from the diagonalization factoring in Sec. 3).

#### 4.5.3 Workout

Suppose that category  $\mathcal{S}$  supports two factorization systems:

- $\mathcal{E} \wr \mathcal{M}^\bullet$ , where  $\mathcal{M}^\bullet \subseteq \mathcal{M}$  are the regular monics (embeddings, equalizers), and
- $\mathcal{E}^\bullet \wr \mathcal{M}$ , where  $\mathcal{E}^\bullet \subseteq \mathcal{E}$  are the regular epis (quotients, coequalizers).

In balanced categories, these factorizations would coincide, because  $\mathcal{M}^\bullet = \mathcal{M}$  and  $\mathcal{E}^\bullet = \mathcal{E}$ , and we would be back to the situation where the separated-extensional construction applies. In general, the two factorizations can be quite different, like in the category of topological spaces. Nevertheless, since homming into the exponentiable object  $R$  is a contravariant right adjoint functor, it maps coequalizers to equalizers. Assuming that  $R$  is an injective cogenerator, it also maps general epis to monics, and vice versa. So we have

$$R^{\mathcal{E}^\bullet} \subseteq \mathcal{M}^\bullet \qquad R^{\mathcal{E}} \subseteq \mathcal{M} \qquad R^{\mathcal{M}} \subseteq \mathcal{E} \quad (48)$$

However,  $\mathcal{E}^\bullet$  and  $\mathcal{M}^\bullet$  generally do not form a factorization system, because there are maps that do not have a quotient-embedding decomposition; and  $\mathcal{E}$  and  $\mathcal{M}$  do not form a factorization system because there are maps whose epi-mono decomposition is not unique. The factorization  $\mathcal{E}^\bullet \wr \mathcal{E}$  does satisfy  $R^{\mathcal{E}^\bullet} \subseteq \mathcal{M}$ , but does not lift from  $\mathcal{S}/\mathcal{S} \rightarrow \mathcal{S}$  to  $\text{Chu}/\text{Chu} \rightarrow \text{Chu}$ .

Our next nucleus setting will be full subcategories again:

$$\text{Mnd}_4 = \{ \langle f^*, f_* \rangle \in \text{Adj}_4 \mid f^* \in \mathcal{M}, f_* \in \mathcal{E} \} \quad (49)$$

$$\text{Cmn}_4 = \{ \langle f^*, f_* \rangle \in \text{Adj}_4 \mid f^* \in \mathcal{E}, f_* \in \mathcal{M} \} \quad (50)$$

These two categories are dual, just like  $\text{Mnd}_1$  and  $\text{Cmn}_1$  were dual. In both cases, they are in fact the same category, since switching between  $\Phi$  and  $\Phi^\ddagger$  in (21-22) and between  $f^*$  and  $f_*$  in (49-50) is a matter of notation. But distinguishing the two copies of the category on the two ends of the duality makes it easier to define one as a reflexive and the other one as a coreflexive subcategory of the category of adjunctions.

The functors  $\text{EM}_4 : \text{Mnd}_4 \hookrightarrow \text{Adj}_4$  and  $\text{KC}_4 : \text{Cmn}_4 \hookrightarrow \text{Adj}_4$  are again the obvious inclusions. The reflection  $\text{AM}_4 : \text{Adj}_4 \twoheadrightarrow \text{Mnd}_4$  and the coreflection  $\text{AC}_4 : \text{Adj}_4 \twoheadrightarrow \text{Cmn}_4$  are constructed in Fig. 10. The factoring triangles on are related in a similar way to the two factoring triangles in (28). The nucleus is obtained by composing them, in either order. More precisely, the coreflection  $\text{NM}_4 : \text{Mnd}_4 \twoheadrightarrow \text{Nuc}_4$  is obtained by restricting the coreflection  $\text{AC}_4 : \text{Adj}_4 \twoheadrightarrow \text{Cmn}_4$  along the inclusion  $\text{EM}_4 : \text{Mnd}_4 \hookrightarrow \text{Adj}_4$ ; the reflection  $\text{NC}_4 : \text{Cmn}_4 \twoheadrightarrow \text{Nuc}_4$  is obtained by restricting  $\text{AM}_4 : \text{Adj}_4 \twoheadrightarrow \text{Mnd}_4$  along the inclusion  $\text{KC}_4 : \text{Cmn}_4 \hookrightarrow \text{Adj}_4$ . The outcome is in Fig. 11. The category of nuclear Chu spaces is thus the full subcategory spanned by

$$\text{Nuc}_4 = \{ \langle f^*, f_* \rangle \in \text{Adj}_4 \mid f^*, f_* \in \mathcal{E} \cap \mathcal{M} \} \quad (51)$$

If a factorization does not support the separated-extensional Chu-construction because it is not stable under dualizing, but if it is dual with another factorization, like e.g. the isometric-diagonal factorization in the category of finite-dimensional Hilbert spaces in Sec. 3, then the nucleus can still be constructed, albeit not as a subcategory of the original category, but of its arrow category. While the original separated-extensional Chu-construction yields a full subcategory  $\text{Chu}_{se} \subseteq \text{Chu}$ , here we get the Chu-nucleus as a full subcategory  $\overleftarrow{\mathfrak{N}}_4 \subseteq \text{Chu}/\text{Chu}$ . A Chu-nucleus is thus an arrow  $\langle \mathcal{EM}(\Phi^*), \mathcal{EM}(\Phi_*) \rangle \in \text{Chu}(\Phi', \Phi'')$ , as seen in Fig. 11, such that

- (a)  $A \twoheadrightarrow A'$  and  $B \twoheadrightarrow B''$  are in  $\mathcal{E}^\bullet$ ,
- (b)  $B' \xrightarrow{\tilde{\Phi}'} R^{A'}$  and  $A'' \xrightarrow{\Phi''} R^{B''}$  are in  $\mathcal{M}^\bullet$ ,

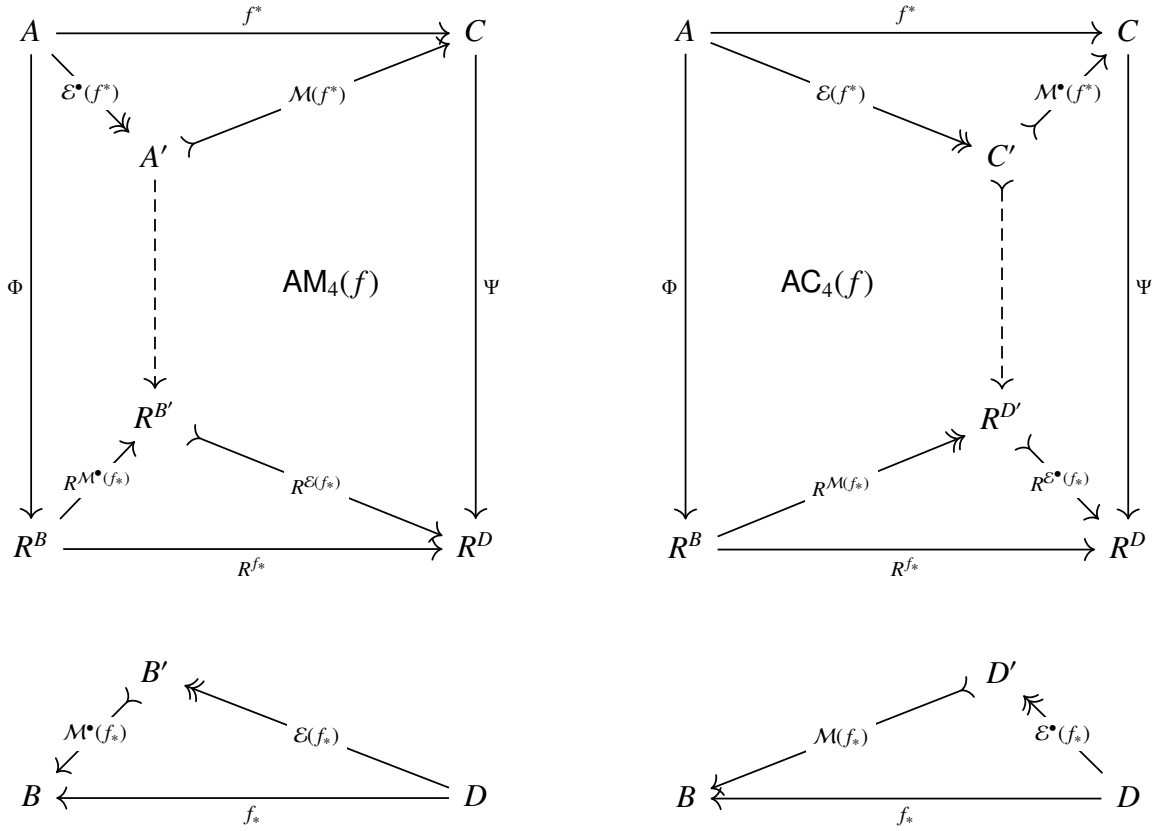


Figure 10: The object parts of the functors  $AM_4 : \text{Adj}_4 \Rightarrow \text{Mnd}_4$  and  $AC_4 : \text{Adj}_4 \Rightarrow \text{Cmn}_4$

(c)  $A' \xrightarrow{\Phi'} R^{B'}$  and  $B'' \xrightarrow{\tilde{\Phi}''} R^{A''}$  are in  $\mathcal{M}$ ,

(d)  $\mathcal{EM}(\Phi^*)$  and  $\mathcal{EM}(\Phi_*)$  are in  $\mathcal{E} \cap \mathcal{M}$ .

where  $B' \xrightarrow{\tilde{\Phi}'} R^{A'}$  is the transpose of  $A' \xrightarrow{\Phi'} R^{B'}$ , and  $B'' \xrightarrow{\tilde{\Phi}''} R^{A''}$  is the transpose of  $A'' \xrightarrow{\Phi''} R^{B''}$ . According to (d), Chu spaces  $\mathcal{EM}(\Phi^*)$  and  $\mathcal{EM}(\Phi_*)$  are thus monics in one factorization system and epis in another one, like the diagonalizations were in diagram (29) in Sec. 3. According to (a) and (b),  $\mathcal{EM}(\Phi^*)$  and  $\mathcal{EM}(\Phi_*)$  are moreover the best such approximations of  $\Phi^*$  and  $\Phi_*$ , as their largest quotients and embeddings, like the diagonalizations were, according to (28) and (36). The difference between the current situation and the one in one in Sec. 3, is that the diagonal nucleus there was self-dual, whereas  $\mathcal{EM}(\Phi^*)$  and  $\mathcal{EM}(\Phi_*)$  are not, but they are rather dual to one another. It also transposes  $\Phi'$  and  $\Phi''$ , and the transposition does not preserve regularity, but in this case it switches the  $\mathcal{M}^*$ -map with the  $\mathcal{M}$ -map. Intuitively, the nucleus  $\overleftarrow{\mathfrak{N}}_4\Phi$  can thus be thought as the best approximation of a diagonalization, in situations when the spectra of the two self-adjoints induced by a matrix are not the same; or the best approximation of a separated-extensional core when  $\text{Chu}_{se}$  and  $\text{Chu}_{es}$  do not coincide.

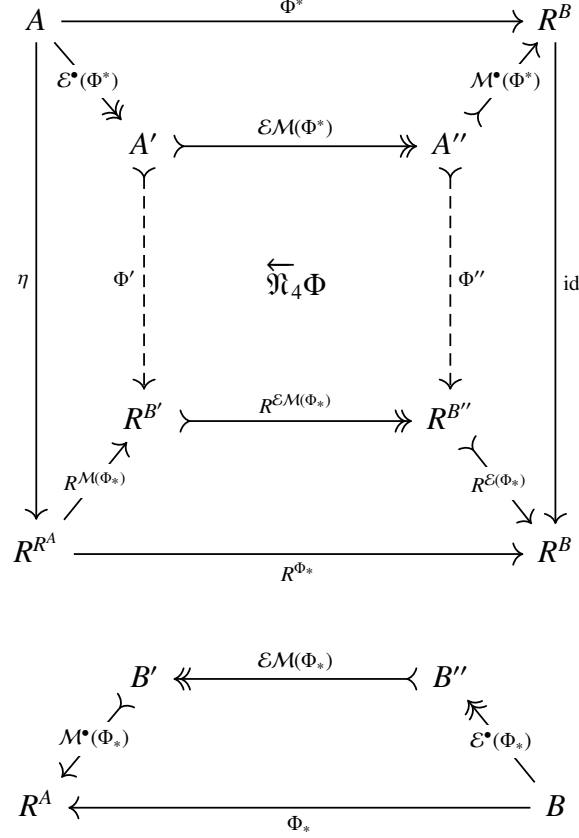


Figure 11: The Chu-nucleus of the matrix  $\Phi : A \times B \rightarrow R$

## 4.6 Towards the categorical nucleus

Although the categorical example 4.1.3 does not yield to the separated-extensional nucleus construction, a suitable modification of the example suggests the suitable modification of the construction.

Consider a distributor  $\Phi : \mathbb{A}^o \times \mathbb{B} \rightarrow \mathbf{Set}$ , representable in the form  $\mathbb{A}(x, F_* y) = \Phi(x, y) = \mathbb{B}(F^* x, y)$  for some adjunction  $F^* \dashv F_* : \mathbb{B} \rightarrow \mathbb{A}$ . The factorization of representable matrices displayed in Fig. 6 induces in  $\mathbf{Adj}_3$  the diagrams in Fig. 12. Here the representation  $\mathbb{A}(x, F_* y) = \Phi(x, y) = \mathbb{B}(F^* x, y)$  induces

$$\begin{array}{ll} \Phi_* : \mathbb{B} \rightarrow \mathbf{Set}^{\mathbb{A}^o} & \Phi^* : \mathbb{A}^o \rightarrow \mathbf{Set}^{\mathbb{B}} \\ b \mapsto \lambda x. \mathbb{A}(x, F_* b) & a \mapsto \lambda y. \mathbb{B}(F^* a, y) \end{array}$$

i.e.  $\Phi_* = (\mathbb{B} \xrightarrow{F_*} \mathbb{A} \xrightarrow{\triangleright} \mathbf{Set}^{\mathbb{A}^o})$  and  $\Phi^* = (\mathbb{A} \xrightarrow{F^*} \mathbb{B} \xrightarrow{\triangleleft} (\mathbf{Set}^{\mathbb{B}})^o)$ . So the Chu view of a distributor  $\Phi$  representable by an adjunction  $F^* \dashv F_*$  is based on the Kan extensions of the adjunction. The point of this packaging is that the separated-extensional nucleus of the distributor  $\Phi$  for the factorization system  $\mathcal{E} \wr \mathcal{M}$  in  $\mathbf{CAT}$  where

- $\mathcal{E}$  = essentially surjective functors,

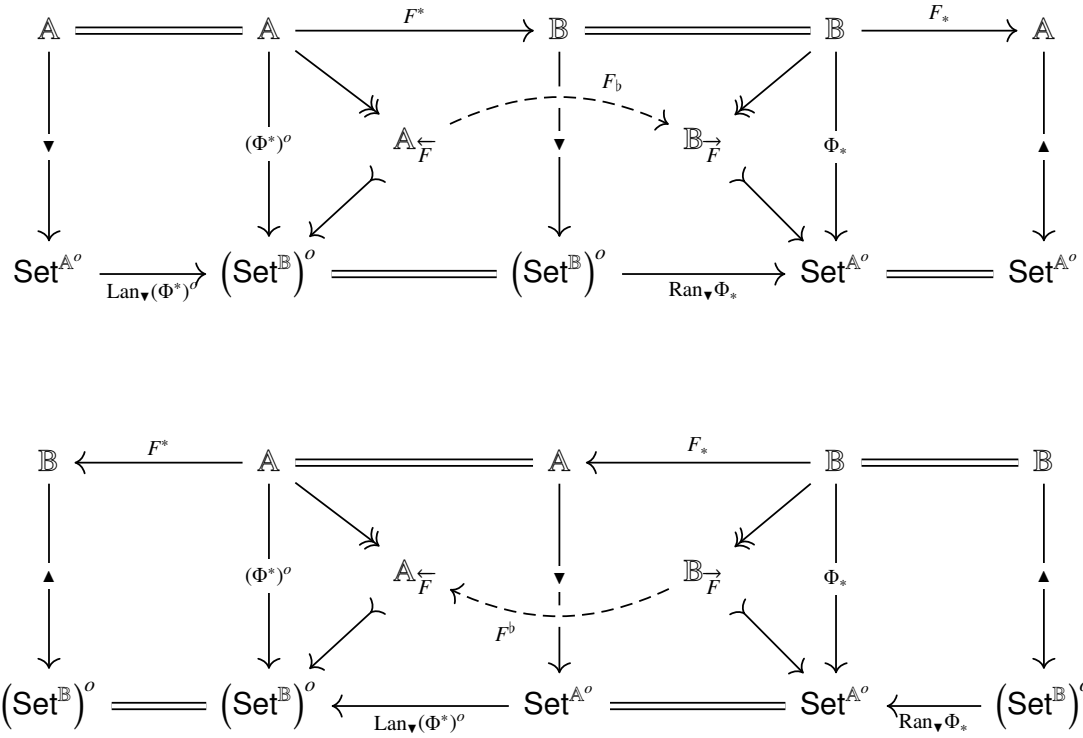


Figure 12: Separated-extensional nucleus + Kan extensions = Kleisli resolutions

- $\mathcal{M}$  = full and faithful functors

comprises the Kleisli categories  $\mathbb{A}_{\overleftarrow{F}}$  and  $\mathbb{B}_{\overrightarrow{F}}$  for the monad  $\overleftarrow{F} = F_*F^*$  and the comonad  $\overrightarrow{F} = F^*F_*$ , since

$$\begin{aligned} |\mathbb{A}_{\overleftarrow{F}}| &= |\mathbb{A}| & |\mathbb{A}_{\overrightarrow{F}}| &= |\mathbb{B}| \\ \mathbb{A}_{\overleftarrow{F}}(x, x') &= \mathbb{B}(F^*x, F^*x') & \mathbb{B}_{\overrightarrow{F}}(y, y') &= \mathbb{A}(F_*y, F_*y') \end{aligned} \quad (52)$$

It is easy to see that this is equivalent to the usual Kleisli definitions, since  $\mathbb{B}(F^*x, F^*x') \cong \mathbb{A}(x, F_*F^*x')$  and  $\mathbb{A}(F_*y, F_*y') \cong \mathbb{B}(F^*F_*y, y')$ . The functors  $F_b$  and  $F^b$  induced in Fig. 12 by the factorization form the adjunction displayed in Fig. 13, because

$$\mathbb{A}_{\overleftarrow{F}}(F_*y, x) = \mathbb{B}(F^*F_*y, F^*x) \cong \mathbb{A}(F_*y, F_*F^*x) = \mathbb{B}_{\overrightarrow{F}}(y, F^*x)$$

While this construction is universal, it is not idempotent, as the adjunctions between the categories of free algebras over cofree coalgebras and of cofree coalgebras over free algebras often form transfinite embedding chains. The idempotent nucleus construction is just a step further. Remarkably, categorical localizations turn out to arise beyond factorizations.

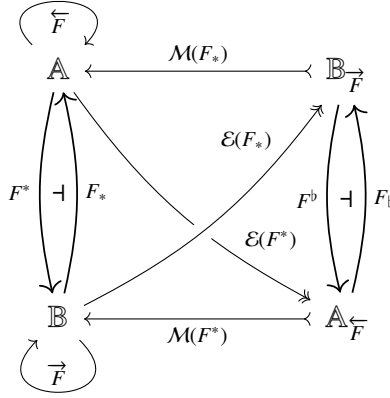


Figure 13: A nucleus  $F^b \dashv F_b$  spanned by the initial resolutions of the adjunction  $F^* \dashv F_*$

## 5 Example $\infty$ : Nuclear adjunctions, monads, comonads

### 5.1 The categories

The general case of Fig. 3 involves the following categories:

- matrices between categories, or distributors (also called profunctors, or bimodules):

$$\begin{aligned}
 |\text{Mat}| &= \coprod_{\mathbb{A}, \mathbb{B} \in \text{CAT}} \text{CAT}(\mathbb{A}^o \times \mathbb{B}, \text{Set}) \\
 \text{Mat}(\Phi, \Psi) &= \{ \langle H, K \rangle \in \text{CAT}(\mathbb{A}, \mathbb{C}) \times \text{CAT}(\mathbb{B}, \mathbb{D}) \mid \Phi(a, b) \cong \Psi(Ha, Kb) \}
 \end{aligned} \tag{53}$$

- adjoint functors:

$$\begin{aligned}
 |\text{Adj}| &= \coprod_{\mathbb{A}, \mathbb{B} \in \text{CAT}} \coprod_{\substack{F^* \in \text{CAT}(\mathbb{A}, \mathbb{B}) \\ F_* \in \text{CAT}(\mathbb{B}, \mathbb{A})}} \{ \langle \eta, \varepsilon \rangle \in \text{Nat}(\text{id}, F_* F^*) \times \text{Nat}(F^* F_*, \text{id}) \mid \\
 &\quad \varepsilon F^* \circ F^* \eta = F^* \wedge F_* \varepsilon \circ \eta F_* = F_* \} \\
 \text{Adj}(F, G) &= \{ \langle H, K \rangle \in \text{CAT}(\mathbb{A}, \mathbb{C}) \times \text{CAT}(\mathbb{B}, \mathbb{D}) \mid KF^* \overset{v^*}{\cong} G^* H \wedge HF_* \overset{v_*}{\cong} G_* K \wedge \\
 &\quad H\eta^F \overset{v_* v^*}{\cong} \eta^G H \wedge K\varepsilon^F \overset{v^* v_*}{\cong} \varepsilon^G K \}
 \end{aligned} \tag{54}$$

- monads (also called triples):

$$\begin{aligned}
|\text{Mnd}| &= \coprod_{\mathbb{A} \in \text{CAT}} \coprod_{\overleftarrow{T} \in \text{CAT}(\mathbb{A}, \mathbb{A})} \{ \langle \eta, \mu \rangle \in \text{Nat}(\text{id}, \overleftarrow{T}) \times \text{Nat}(\overleftarrow{T}\overleftarrow{T}, \overleftarrow{T}) \mid \\
&\quad \mu \circ \overleftarrow{T}\mu = \mu \circ \mu\overleftarrow{T} \wedge \mu \circ \overleftarrow{T}\eta = \overleftarrow{T} = \mu \circ \eta\overleftarrow{T} \} \\
\text{Mnd}(\overleftarrow{T}, \overleftarrow{S}) &= \{ H \in \text{CAT}(\mathbb{A}, \mathbb{C}) \mid H\overleftarrow{T} \cong^{\chi} \overleftarrow{S}H \wedge \\
&\quad H\eta\overleftarrow{T} \cong^{\chi} \eta\overleftarrow{S}H \wedge H\mu\overleftarrow{T} \cong^{\chi} \mu\overleftarrow{S}H \}
\end{aligned} \tag{55}$$

- comonads (or cotriples):

$$\begin{aligned}
|\text{Cmn}| &= \coprod_{\mathbb{B} \in \text{CAT}} \coprod_{\overrightarrow{T} \in \text{CAT}(\mathbb{B}, \mathbb{B})} \{ \langle \varepsilon, \nu \rangle \in \text{Nat}(\overrightarrow{T}, \text{id}) \times \text{Nat}(\overrightarrow{T}, \overrightarrow{T}\overrightarrow{T}) \mid \\
&\quad \overrightarrow{T}\nu \circ \nu = \nu\overrightarrow{T} \circ \nu \wedge \overrightarrow{T}\varepsilon \circ \nu = \overrightarrow{T} = \varepsilon\overrightarrow{T} \circ \nu \} \\
\text{Cmn}(\overrightarrow{S}, \overrightarrow{T}) &= \{ K \in \text{CAT}(\mathbb{B}, \mathbb{D}) \mid K\overrightarrow{S} \cong^{\kappa} \overrightarrow{T}K \wedge \\
&\quad K\varepsilon\overrightarrow{S} \cong^{\kappa} \varepsilon\overrightarrow{T}K \wedge K\nu\overrightarrow{S} \cong^{\kappa} \nu\overrightarrow{T}K \}
\end{aligned} \tag{56}$$

- The category Nuc can be equivalently viewed as a full subcategory of Adj, Mnd or Cmn, and the three versions will be discussed later.

**Remark.** The above definitions follow the pattern from the preceding sections. The difference is that the morphisms, which are still structure-preserving pairs, this time of functors, now satisfy the preservation requirements up to isomorphism. In each case, there may be many different isomorphisms witnessing the structure preservation. We leave them out of picture, under the pretext that they are preserved under the compositions. This simplification does not change the nucleus construction itself, but it does project away information about the morphisms. Moreover, the construction also applies to a richer family of morphisms, with non-trivial 2-cells. The chosen presentation framework thus incurs a loss of information and generality. We believe that this is the unavoidable price of not losing the sight of the forest for the trees, at least in this presentation. Some aspects of the more general framework of the results are sketched in Appendix B. We leave further explanations for the final section of the paper.

## 5.2 Assumption: Idempotents split.

This means that in any of the categories considered here, for any endomorphism  $\varphi : X \rightarrow X$  that happens to be idempotent, in the sense that it satisfies  $\varphi \circ \varphi = \varphi$ , there are morphisms  $e : X \rightarrow S$  and  $m : S \rightarrow X$  such that

$$e \circ m = \text{id}_S \quad \text{and} \quad m \circ e = \varphi$$

The pair  $e, m$  is called the *splitting* of the idempotent  $\varphi$ . It is easy to see that  $m : S \rightarrow X$  is a monic, and an equalizer of  $\varphi$  and the identity on  $X$ ; whereas  $e : X \rightarrow S$  is an epi, and a coequalizer of  $\varphi$  and the identity. An idempotent is thus split into a projection and an injection of the same object. When  $\varphi$  is a function on sets, then its idempotency means that  $\varphi$  picks in  $X$  a representative of each equivalence class modulo the equivalence relation  $(x \sim y) \iff (\varphi(x) = \varphi(y))$ , and thus represents the quotient  $X/\sim$  as a subset  $S \subseteq X$ . In general, requirement that all idempotents split is the weakest categorical completeness requirement. A categorical limit or colimit is said to be *absolute* if it must be preserved by any functor that acts on it. Since all functors preserve equations, they map idempotents into idempotents, and their splittings into splittings. Since the idempotent splittings are both equalizers and coequalizers, they are thus absolute limits and colimits. It was proved in [60] that all absolute limits and colimits must be in this form.

If a given category  $\mathbb{A}$  is not absolutely complete, i.e., if some idempotents do not split in it, then it can be completed to the category  $\overline{\mathbb{A}}$ , whose objects are the idempotents in  $\mathbb{A}$ , and for idempotents  $\varphi : X \rightarrow X$  and  $\psi : Y \rightarrow Y$  whose morphisms equalize and coequalize the idempotents. More precisely, a morphism  $f \in \overline{\mathbb{A}}(\varphi, \psi)$  between idempotents  $\varphi : X \rightarrow X$  and  $\psi : Y \rightarrow Y$  in  $\mathbb{A}$  is an arrow  $f \in \mathbb{A}(X, Y)$  such that  $\psi \circ f \circ \varphi = f$ , or equivalently  $\psi \circ f = f = f \circ \varphi$ . It is easy to check that  $\mathbb{A}$  embeds into  $\overline{\mathbb{A}}$  fully and faithfully, and that they are equivalent if and only if  $\mathbb{A}$  is already absolutely complete, i.e. its idempotents split. We henceforth assume that this completion operation is performed whenever needed, and that all categories are thus absolutely complete.

**The Kleisli quirk.** Applied to absolutely complete categories, all constructions that we consider in this paper produce absolutely complete categories — *except* the Kleisli construction. To strictly enforce the above restriction to absolutely complete categories, we should split the idempotents each time we apply this construction, and work with the category of projective algebras instead of free (resp. injective coalgebras instead of cofree)<sup>3</sup>. But since the actual content of the statements that involve this construction is not impacted by this issue, we leave this final step implicit.

## 5.3 Tools

### 5.3.1 Extending matrices to adjunctions

Any matrix  $\Phi : \mathbb{A}^o \times \mathbb{B} \rightarrow \mathbf{Set}$  from small categories  $\mathbb{A}$  and  $\mathbb{B}$  can be extended along the Yoneda embeddings  $\mathbb{A} \xrightarrow{\mathbf{y}} \mathbf{Set}^{\mathbb{A}^o}$  and  $\mathbb{B} \xrightarrow{\mathbf{y}} (\mathbf{Set}^{\mathbb{B}})^o$  into an adjunction  $\Phi^* \dashv \Phi_* : (\mathbf{Set}^{\mathbb{B}})^o \rightarrow \mathbf{Set}^{\mathbb{A}^o}$  as follows:

$$\begin{array}{c} \Phi : \mathbb{A}^o \times \mathbb{B} \rightarrow \mathbf{Set} \\ \hline \Phi_{\bullet} : \mathbb{A}^o \rightarrow \mathbf{Set}^{\mathbb{B}} \qquad \bullet\Phi : \mathbb{B} \rightarrow \mathbf{Set}^{\mathbb{A}^o} \\ \hline \Phi^* : \mathbf{Set}^{\mathbb{A}^o} \rightarrow (\mathbf{Set}^{\mathbb{B}})^o \qquad \Phi_* : (\mathbf{Set}^{\mathbb{B}})^o \rightarrow \mathbf{Set}^{\mathbb{A}^o} \end{array} \quad (57)$$

The second step brings us to Kan extensions. In the current context, the path to extensions leads through comprehensions.

---

<sup>3</sup>An algebra is projective if it is a retract of a free algebra. Dually, a coalgebra is injective if it is a retract of a cofree coalgebra [68, Sec. II].



### 5.3.2 Comprehending presheaves as discrete fibrations

Following the step from (2) to (53), the comprehension correspondence (3) now lifts to

$$\begin{aligned}
 \text{Cat}(\mathbb{A}^o \times \mathbb{B}, \text{Set}) & \xrightleftharpoons[\Xi]{\widehat{(-)}} \text{Dfib} \diagdown \mathbb{A} \times \mathbb{B}^o & (58) \\
 \left( \mathbb{A}^o \times \mathbb{B} \xrightarrow{\Phi} \text{Set} \right) & \mapsto \left( \int \Phi \xrightarrow{\widehat{\Phi}} \mathbb{A} \times \mathbb{B}^o \right) \\
 \left( \mathbb{A}^o \times \mathbb{B} \xrightarrow{\Xi_E} \text{Set} \right) & \leftarrow \left( \mathbb{E} \xrightarrow{E} \mathbb{A} \times \mathbb{B}^o \right)
 \end{aligned}$$

Transposing the arrow part of  $\Phi$ , which maps every pair  $f \in \mathbb{A}(a, a')$  and  $g \in \mathbb{B}(b', b)$  into  $\Phi(a', b') \xrightarrow{\Phi_{fg}} \Phi(a, b)$ , the closure property expressed by the implication in (4) becomes the mapping

$$\mathbb{A}(a, a') \times \Phi(a', b') \times \mathbb{B}(b', b) \rightarrow \Phi(a, b) \quad (59)$$

The *lower-upper* closure property expressed by (4) is now captured as the structure of the total category  $\int \Phi$ , defined as follows:

$$\begin{aligned}
 |\int \Phi| &= \coprod_{\substack{a \in \mathbb{A} \\ b \in \mathbb{B}}} \Phi(a, b) & (60) \\
 \int \Phi(x_{ab}, x'_{a'b'}) &= \{ \langle f, g \rangle \in \mathbb{A}(a, a') \times \mathbb{B}(b', b) \mid x = \Phi_{fg}(x') \}
 \end{aligned}$$

It is easy to see that the obvious projection

$$\begin{aligned}
 \int \Phi & \xrightarrow{\widehat{\Phi}} \mathbb{A} \times \mathbb{B}^o & (61) \\
 x_{ab} & \mapsto \langle a, b \rangle
 \end{aligned}$$

is a discrete fibration, i.e., an object of  $\text{Dfib} \diagdown \mathbb{A} \times \mathbb{B}^o$ . In general, a functor  $\mathbb{F} \xrightarrow{F} \mathbb{C}$  is a discrete fibration over  $\mathbb{C}$  when for all  $x \in \mathbb{F}$  the obvious induced functors  $\mathbb{F}/x \xrightarrow{F_x} \mathbb{C}/Fx$  are isomorphisms. In other words, for every  $x \in \mathbb{F}$  and every morphism  $c \xrightarrow{t} Fx$  in  $\mathbb{C}$ , there is a unique lifting  $t^!x \xrightarrow{\theta^t} x$  of  $t$  to  $\mathbb{F}$ , i.e., a unique  $\mathbb{F}$ -morphism into  $x$  such that  $F(\theta^t) = t$ . For a discrete fibration  $\mathbb{E} \xrightarrow{E} \mathbb{A} \times \mathbb{B}^o$ , such liftings induce the arrow part of the corresponding presheaf

$$\begin{aligned}
 \Xi_E: \mathbb{A}^o \times \mathbb{B} & \rightarrow \text{Set} \\
 \langle a, b \rangle & \mapsto \{x \in \mathbb{E} \mid Ex = \langle a, b \rangle\}
 \end{aligned}$$

because any pair of morphisms  $\langle f, g \rangle \in \mathbb{A}(a, a') \times \mathbb{B}^o(b, b')$  lifts to a function  $\Xi_E(f, g) = \langle f, g \rangle^! : \Xi_E(a', b') \rightarrow \Xi_E(a, b)$ . Fibrations go back to Grothendieck [33, 34]. Overviews can be found in [40, 61]. With (2) generalized to (53), and (3) to (58), (5–6) become

$$\Downarrow \mathbb{A} = \text{Dfib} \diagdown \mathbb{A} \simeq \text{Set}^{\mathbb{A}^o} \quad (62)$$

$$\Uparrow \mathbb{B} = (\text{Dfib} \diagdown \mathbb{B}^o)^o \simeq (\text{Set}^{\mathbb{B}})^o \quad (63)$$

Just like the poset embeddings  $A \xrightarrow{\blacktriangledown} \Downarrow A$  and  $B \xrightarrow{\blacktriangle} \Uparrow B$  were the join and the meet completions, the Yoneda embeddings  $\mathbb{A} \xrightarrow{\blacktriangledown} \Downarrow \mathbb{A}$  and  $\mathbb{B} \xrightarrow{\blacktriangle} \Uparrow \mathbb{B}$ , where  $\blacktriangledown a = \left( \mathbb{A}/a \xrightarrow{\text{Dom}} \mathbb{A} \right)$  and  $\blacktriangle b = \left( b/\mathbb{B} \xrightarrow{\text{Cod}} \mathbb{B} \right)$  are the colimit and the limit completions, respectively.

## 5.4 The functors

### 5.4.1 The functor $\text{MA} : \text{Mat} \rightarrow \text{Adj}$

The adjunction  $\text{MA}(\Phi) = (\Phi^* \dashv \Phi_*)$  induced by a matrix  $\Phi : \mathbb{A}^o \times \mathbb{B} \rightarrow \text{Set}$  is defined by lifting (9) from posets to categories:

$$\begin{array}{ccc}
 \begin{array}{c} \mathbb{L} \xrightarrow{L} \mathbb{A} \\ \downarrow \\ \varprojlim \left( \mathbb{L}^o \xrightarrow{L^o} \mathbb{A}^o \xrightarrow{\Phi_\bullet} (\Uparrow \mathbb{B})^o \right) \end{array} & \begin{array}{c} \Downarrow \mathbb{A} \\ \Phi^* \left( \begin{array}{c} \uparrow \\ \downarrow \end{array} \right) \Phi_* \\ \Uparrow \mathbb{B} \end{array} & \begin{array}{c} \varprojlim \left( \mathbb{U} \xrightarrow{U} \mathbb{B} \xrightarrow{\Phi_\bullet} \Downarrow \mathbb{A} \right) \\ \uparrow \\ \mathbb{U} \xrightarrow{U} \mathbb{B} \end{array}
 \end{array} \tag{64}$$

The fact that  $\mathbb{A} \xrightarrow{\blacktriangledown} \Downarrow \mathbb{A}$  is a colimit completion means that every  $L \in \Downarrow \mathbb{A}$  is generated by the representables, i.e.  $L = \varinjlim \left( \mathbb{L} \xrightarrow{L} \mathbb{A} \xrightarrow{\blacktriangledown} \Downarrow \mathbb{A} \right)$ . Any  $\varinjlim$ -preserving functor  $\Phi^* : \Downarrow \mathbb{A} \rightarrow \Uparrow \mathbb{B}$  thus satisfies

$$\Phi^*(L) = \Phi^* \left( \varinjlim \left( \mathbb{L} \xrightarrow{L} \mathbb{A} \xrightarrow{\blacktriangledown} \Downarrow \mathbb{A} \right) \right) = \varinjlim \left( \mathbb{L} \xrightarrow{L} \mathbb{A} \xrightarrow{\Phi_\bullet^o} \Uparrow \mathbb{B} \right) = \varprojlim \left( \mathbb{L}^o \xrightarrow{L^o} \mathbb{A}^o \xrightarrow{\Phi_\bullet} (\Uparrow \mathbb{B})^o \right)$$

Analogous reasoning goes through for  $\Phi_*$ . This completes the definition of the object part of  $\text{MA} : \text{Mat} \rightarrow \text{Adj}$ . The arrow part is completely determined by the object part.

**Remark.** The limits in  $\Downarrow \mathbb{A} \simeq \text{Set}^{\mathbb{A}^o}$  and in  $(\Uparrow \mathbb{B})^o \simeq \text{Set}^{\mathbb{B}}$  are pointwise, which means that for any  $b \in \mathbb{B}$  and diagram  $\mathbb{D} \xrightarrow{D} \text{Set}^{\mathbb{B}}$ , the Yoneda lemma implies

$$\left( \varprojlim D \right) b = \text{Set}^{\mathbb{B}} \left( \blacktriangle b, \varprojlim D \right) = \text{Cones}(b, \widehat{D})$$

In words, the limit of  $D$  at a point  $b$  is the set of commutative cones in  $\mathbb{B}$  from  $b$  to a diagram  $\widehat{D} : \int D \rightarrow \mathbb{B}$  constructed by a lifting like (60).

### 5.4.2 From adjunctions to monads and comonads, and back

The projections of adjunctions onto monads and comonads, and the embeddings that arise as their left and right adjoints, all displayed in Fig. 14, are one of the centerpieces of the categorical toolkit. The displayed functors are well known, but we list them for naming purposes:

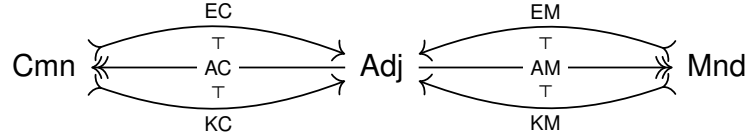


Figure 14: Relating adjunctions, monads and comonads

- $EC(\overrightarrow{F} : \mathbb{B} \rightarrow \mathbb{B}) = (V^* \dashv V_* : \mathbb{B} \rightarrow \mathbb{B}^{\overrightarrow{F}})$   $\Leftarrow$  all coalgebras (Eilenberg-Moore)
- $AC(F^* \dashv F_* : \mathbb{B} \rightarrow \mathbb{A}) = (\overrightarrow{F} = F^* F_* : \mathbb{B} \rightarrow \mathbb{A})$   $\Leftarrow$  adjunction-induced comonad
- $KC(\overrightarrow{F} : \mathbb{B} \rightarrow \mathbb{B}) = (U^* \dashv U_* : \mathbb{B} \rightarrow \mathbb{B}_{\overrightarrow{F}})$   $\Leftarrow$  cofree coalgebras (Kleisli)
- $EM(\overleftarrow{F} : \mathbb{A} \rightarrow \mathbb{A}) = (V^* \dashv V_* : \mathbb{A}^{\overleftarrow{F}} \rightarrow \mathbb{A})$   $\Leftarrow$  all algebras (Eilenberg-Moore)
- $AM(F^* \dashv F_* : \mathbb{B} \rightarrow \mathbb{A}) = (\overleftarrow{F} = F_* F^* : \mathbb{A} \rightarrow \mathbb{A})$   $\Leftarrow$  adjunction-induced monad
- $KM(\overleftarrow{F} : \mathbb{A} \rightarrow \mathbb{A}) = (U^* \dashv U_* : \mathbb{A}_{\overleftarrow{F}} \rightarrow \mathbb{A})$   $\Leftarrow$  free algebras (Kleisli)

Here  $\mathbb{A}^{\overleftarrow{F}}$  is the category of all algebras and  $\mathbb{A}_{\overleftarrow{F}}$  is the category of free algebras for the monad  $\overleftarrow{F}$  on  $\mathbb{A}$ ; and dually  $\mathbb{B}^{\overrightarrow{F}}$  is the category of all coalgebras for the comonad  $\overrightarrow{F}$  on  $\mathbb{B}$ , whereas  $\mathbb{B}_{\overrightarrow{F}}$  is the category of cofree coalgebras. As the right adjoints, the Eilenberg-Moore constructions of all algebras and all coalgebras thus provide the final resolutions for their respective monad and comonad, whereas the Kleisli constructions of free algebras and cofree coalgebras as the left adjoints provide the initial resolutions.

Note that the nucleus setting in Fig. 3 only uses parts of the above reflections: the final resolution  $AM \dashv EM$  of monads, and the initial resolution  $KC \dashv AC$  of comonads. Dually, we could use  $KM \dashv AM$  and  $AC \dashv EC$ . Either choice induces a composite adjunction, with an induced monad on one side, and a comonad on the other side, as displayed in Fig. 15.

## 6 Theorem

The monads  $\overleftarrow{\mathfrak{M}} : \mathbf{Mnd} \rightarrow \mathbf{Mnd}$  and  $\overleftarrow{\mathfrak{C}} : \mathbf{Cmn} \rightarrow \mathbf{Cmn}$ , defined by

$$\overleftarrow{\mathfrak{M}} = AM \circ EC \circ AC \circ KM \quad (65)$$

$$\overleftarrow{\mathfrak{C}} = AC \circ EM \circ AM \circ KC \quad (66)$$

as illustrated in Fig. 15, are idempotent in the strong sense: iterating them leads to natural equivalences

$$\overleftarrow{\mathfrak{M}} \cong \overleftarrow{\mathfrak{M}} \circ \overleftarrow{\mathfrak{M}} \quad \overleftarrow{\mathfrak{C}} \cong \overleftarrow{\mathfrak{C}} \circ \overleftarrow{\mathfrak{C}}$$

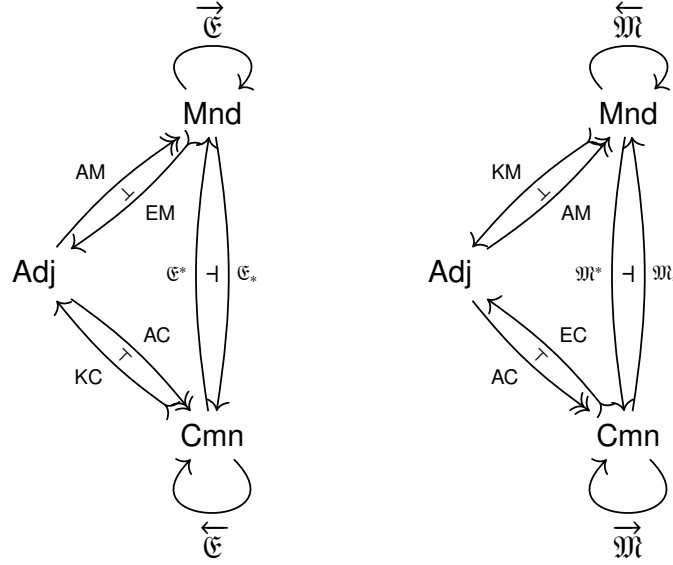


Figure 15: Monads and comonads on Cmn and Mnd induced by the localizations in Fig. 14

Moreover, the induced categories of algebras coincide. More precisely, there are equivalences

$$\text{Cmn}^{\overleftarrow{\mathfrak{E}}} \simeq \text{Nuc} \simeq \text{Mnd}^{\overleftarrow{\mathfrak{M}}} \quad (67)$$

where

$$\text{Cmn}^{\overleftarrow{\mathfrak{E}}} = \left\{ \overrightarrow{F} \in \text{Cmn} \mid \overrightarrow{F} \stackrel{\eta}{\cong} \overleftarrow{\mathfrak{E}} \overrightarrow{F} \right\} \quad (68)$$

$$\text{Nuc} = \left\{ F \in \text{Adj} \mid F \stackrel{\eta}{\cong} \overleftarrow{\mathfrak{E}} \overrightarrow{M}(F) \wedge F \stackrel{\eta}{\cong} \overleftarrow{\mathfrak{E}} \overrightarrow{C}(F) \right\} \quad (69)$$

$$\text{Mnd}^{\overleftarrow{\mathfrak{M}}} = \left\{ \overleftarrow{F} \in \text{Mnd} \mid \overleftarrow{F} \stackrel{\eta}{\cong} \overleftarrow{\mathfrak{M}} \overleftarrow{F} \right\} \quad (70)$$

for  $\overleftarrow{\mathfrak{E}} \overrightarrow{M} = \text{EM} \circ \text{AM}$  and  $\overleftarrow{\mathfrak{E}} \overrightarrow{C} = \text{EC} \circ \text{AC}$ .

**Terminology.** The objects of the equivalent categories  $\text{Nuc} \subset \text{Adj}$ ,  $\text{Mnd}^{\overleftarrow{\mathfrak{M}}} \subset \text{Mnd}$ , and  $\text{Cmn}^{\overleftarrow{\mathfrak{E}}} \subset \text{Cmn}$  are *nuclear* adjunctions, monads, or comonads, respectively. They are the *nuclei* of the corresponding adjunctions, monads, comonads.

**Remark.** For an adjunction  $F = (F^* \dashv F_*)$ , the condition  $F \stackrel{\eta}{\cong} \overleftarrow{\mathfrak{E}} \overrightarrow{M}(F)$  implies that  $F_*$  is monadic, and  $F \stackrel{\eta}{\cong} \overleftarrow{\mathfrak{E}} \overrightarrow{C}(F)$  implies that  $F^*$  is comonadic. Equation (69) thus provides a more formal view of nuclear adjunctions, where the right adjoint is monadic and the left adjoint is comonadic, as discussed the Introduction. Although defined slightly more formally than in the Introduction, the category Nuc is still specified as an intersection of two reflective subcategories. To ensure the

soundness of such a definition, one should prove that the two reflections commute, i.e., that the two monads distribute over one another. Otherwise, the two reflections could alternate mapping an object outside each other's range, and generate chains. In the case at hand, this does not happen: the distributive law  $\overleftarrow{\text{EM}} \circ \overleftarrow{\text{EC}} \cong \overleftarrow{\text{EC}} \circ \overleftarrow{\text{EM}}$  is spelled out in Corollary 7.8. It arises from the nucleus monad  $\overleftarrow{\mathfrak{N}} : \text{Adj} \rightarrow \text{Adj}$ , which we will work on in the next section. We swept it under the carpet just for a moment, to keep the theorem shorter.

## 7 Propositions

**Proposition 7.1** *Let  $F = (F^* \dashv F_* : \mathbb{B} \rightarrow \mathbb{A})$  be an arbitrary adjunction, which induces*

- *the monad  $\overleftarrow{F} = F_* F^*$  with the (Eilenberg-Moore) category of algebras  $\mathbb{A}^{\overleftarrow{F}}$  and the final adjunction resolution  $U = (U^* \dashv U_* : \mathbb{A}^{\overleftarrow{F}} \rightarrow \mathbb{A})$ , and*
- *the comonad  $\overrightarrow{F} = F^* F_*$  with the (Eilenberg-Moore) category of coalgebras  $\mathbb{B}^{\overrightarrow{F}}$  and the final resolution  $V = (V^* \dashv V_* : \mathbb{B} \rightarrow \mathbb{B}^{\overrightarrow{F}})$ .*

*The fact that  $U$  and  $V$  are final resolutions of the monad  $\overleftarrow{F}$  and the comonad  $\overrightarrow{F}$ , respectively, means that there are unique comparison functors from the adjunction  $F$  to each of them, and these functors are:*

- $H^0 : \mathbb{A} \rightarrow \mathbb{B}^{\overrightarrow{F}}$ , such that  $F^* = V^* \circ H^0$  and  $F_* \circ H^0 = V_*$ ,
- $H_1 : \mathbb{B} \rightarrow \mathbb{A}^{\overleftarrow{F}}$ , such that  $F_* = U_* \circ H_1$  and  $H_1 \circ F^* = U^*$ .

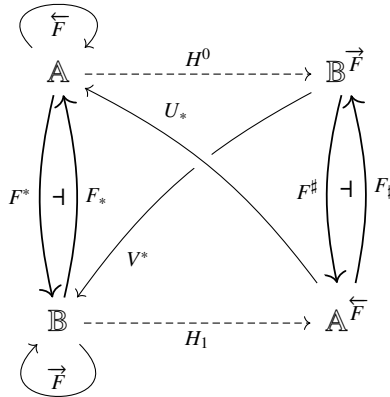


Figure 16: The nucleus  $F^\sharp \dashv F_\sharp$  of  $F^* \dashv F_*$  consists of  $F^\sharp = H_1 \circ V^*$  and  $F_\sharp = H^0 \circ U_*$

Then the functors  $F^\sharp = H_1 \circ V^*$  and  $F_\sharp = H_0 \circ U_*$  defined in Fig. 16 form the adjunction  $F^\sharp \dashv F_\sharp : \mathbb{A}^{\overleftarrow{F}} \rightarrow \mathbb{B}^{\overrightarrow{F}}$ .

**Proof.** The object parts of the definitions of the functors  $F_{\sharp}$  and  $F^{\sharp}$  are unfolded in Fig. 17. The

$$\begin{array}{c}
x \vdash \dashrightarrow \left( \begin{array}{c} F^* x \\ \downarrow F^* \eta \\ F^* F_* F^* x \end{array} \right) \longleftarrow \left( \begin{array}{c} F_* F^* x \\ \downarrow \alpha \\ x \end{array} \right) \\
\\
A \overset{H^0}{\dashrightarrow} B^{\vec{F}} \overset{F_\#}{\longleftarrow} A^{\overleftarrow{F}} \\
\quad \quad \quad U_* \curvearrowright \\
\\
B \overset{H_1}{\dashrightarrow} A^{\overleftarrow{F}} \overset{F^\#}{\longleftarrow} B^{\vec{F}} \\
\quad \quad \quad V^* \curvearrowright \\
\\
y \vdash \dashrightarrow \left( \begin{array}{c} F_* F^* F_* y \\ \downarrow F_* \varepsilon \\ F_* y \end{array} \right) \longleftarrow \left( \begin{array}{c} y \\ \downarrow \beta \\ F_* F_* y \end{array} \right)
\end{array}$$

Figure 17: The definitions of  $F_{\#}$  and  $F^{\#}$

arrow part of  $F_{\sharp}$  is  $F^*$  and the arrow part of  $F^{\sharp}$  is  $F_*$ . For these  $F^{\sharp}$  and  $F_{\sharp}$ , we shall prove that the correspondence

$$\begin{aligned} \mathbb{A}^{\overleftarrow{F}}(F^\sharp\beta, \alpha) &\cong \mathbb{B}^{\overrightarrow{F}}(\beta, F_\sharp\alpha) \\ f &\mapsto \overline{f} = F^*f \circ \beta \end{aligned} \tag{71}$$

is a natural bijection. More precisely, the claim is that

- a)  $f$  is an algebra homomorphism if and only if  $\bar{f}$  is a coalgebra homomorphism: each of the following squares commutes if and only if the other one commutes

$$\begin{array}{ccc}
F_* F^* F_* y & \xrightarrow{F_* F^* f} & F_* F^* x \\
\downarrow F_* \varepsilon = F^\# \beta & & \downarrow \alpha \\
F_* y & \xrightarrow{f} & x
\end{array}
\iff
\begin{array}{ccc}
F^* F_* y & \xrightarrow{F^* F_* \bar{f}} & F^* F_* F^* x \\
\uparrow \beta & & \uparrow F^\# \alpha = F^* \eta \\
y & \xrightarrow{\bar{f}} & F^* x
\end{array}
\quad (72)$$

- b) the map  $f \mapsto \bar{f}$  is a bijection, natural along the coalgebra homomorphisms on the left and along the algebra homomorphisms on the right.

Claim (a) is proved as Lemma 7.3. The bijection part of claim (b) is proved as Lemma 7.2. The naturality part is straightforward.  $\square$

**Lemma 7.2** For an arbitrary adjunction  $F = F^* \dashv F_* : \mathbb{B} \rightarrow \mathbb{A}$ , any algebra  $F_*F^*x \xrightarrow{\alpha} x$ , and any coalgebra  $y \xrightarrow{\beta} F^*F_*y$  in  $\mathbb{B}$ , the mappings

$$\begin{array}{ccc} \mathbb{A}(F_*y, x) & \xrightarrow{\overline{(-)}} & \mathbb{B}(y, F^*x) \\ & \xleftarrow{\underline{(-)}} & \end{array}$$

defined by

$$\overline{f} = F^*f \circ \beta \qquad \underline{g} = \alpha \circ F_*g$$

induce a bijection between the subsets

$$\{f \in \mathbb{A}(F_*y, x) \mid f = \alpha \circ F_*F^*f \circ F_*\beta\} \cong \{g \in \mathbb{B}(y, F^*x) \mid g = F^*\alpha \circ F^*F_*g \circ \beta\}$$

illustrated in the following diagram.

$$\begin{array}{ccc} F_*F^*F_*y & \xrightarrow{F_*F^*f} & F_*F^*x \\ \uparrow F_*\beta & \nearrow F_*g & \downarrow \alpha \\ F_*y & \xrightarrow{f} & x \end{array} \quad \rightsquigarrow \quad \begin{array}{ccc} F^*F_*y & \xrightarrow{F^*F_*g} & F^*F_*F^*x \\ \uparrow \beta & \searrow F^*f & \downarrow F_*\alpha \\ y & \xrightarrow{g} & F^*x \end{array}$$

**Proof.** Following each of the mappings "there and back" gives

$$\begin{array}{llll} f & \mapsto & \overline{f} = F^*f \circ \beta & \mapsto & \underline{\overline{f}} = \alpha \circ F_*F^*f \circ F_*\beta = f \\ g & \mapsto & \underline{g} = \alpha \circ F_*g & \mapsto & \overline{\underline{g}} = F^*\alpha \circ F^*F_*g \circ \beta = g \end{array}$$

□

**Lemma 7.3** For any adjunction  $F = F^* \dashv F_* : \mathbb{B} \rightarrow \mathbb{A}$ , algebra  $F_*F^*x \xrightarrow{\alpha} x$  in  $\mathbb{A}$ , coalgebra  $y \xrightarrow{\beta} F^*F_*y$  in  $\mathbb{B}$ , arrow  $f \in \mathbb{A}(F_*y, x)$  and  $\overline{f} = F^*f \circ \beta \in \mathbb{B}(y, F^*x)$ , if any of the squares (1-4) in Fig. 18 commutes, then they all commute. In particular, a square on one side of any of the equivalences (a-c) commutes if and only if the square on the other side of the equivalence commutes.

**Proof.** The claims are established as follows.

(1)  $\stackrel{(a)}{\Rightarrow}$  (2): Using the commutativity of (1) and (\*) the counit equation  $\varepsilon \circ \beta = \text{id}$  for the coalgebra  $\beta$ , we derive (2) as

$$\alpha \circ F_*F^*f \circ F_*\beta \stackrel{(1)}{=} f \circ F_*\varepsilon \circ F_*\beta \stackrel{(*)}{=} f$$

$$\begin{array}{ccc}
\begin{array}{ccc}
F_*F^*F_*y & \xrightarrow{F_*F^*f} & F_*F^*x \\
\downarrow F_*\varepsilon & & \downarrow \alpha \\
F_*y & \xrightarrow{f} & x
\end{array} & (1) & \\
(a) \Downarrow & & \Downarrow (c) \\
\begin{array}{ccc}
F_*F^*F_*y & \xrightarrow{F_*F^*f} & F_*F^*x \\
\uparrow F_*\beta & & \downarrow \alpha \\
F_*y & \xrightarrow{f} & x
\end{array} & (2) & \Leftrightarrow (b) \\
\begin{array}{ccc}
F^*F_*y & \xrightarrow{F^*F_*\bar{f}} & F^*F_*F^*x \\
\uparrow \beta & & \uparrow F^*\eta \\
y & \xrightarrow{\bar{f}} & F^*x
\end{array} & (4) & \\
\begin{array}{ccc}
F_*F^*F_*y & \xrightarrow{F_*F^*f} & F_*F^*x \\
\uparrow F_*\beta & & \downarrow \alpha \\
F_*y & \xrightarrow{f} & x
\end{array} & (2) & \Leftrightarrow (b) \\
\begin{array}{ccc}
F^*F_*y & \xrightarrow{F^*F_*\bar{f}} & F^*F_*F^*x \\
\uparrow \beta & & \downarrow F_*\alpha \\
y & \xrightarrow{\bar{f}} & F^*x
\end{array} & (3) &
\end{array}$$

Figure 18: Proof schema for (72)

(2)  $\stackrel{(a)}{\Rightarrow}$  (1) is proved by chasing the following diagram:

$$\begin{array}{ccccc}
F_*F^*F_*F^*F_*y & \xrightarrow{F_*F^*F_*F^*f} & F_*F^*F_*F^*x & & \\
\downarrow F_*\varepsilon & \swarrow F_*F^*F_*\beta & \searrow F_*F^*\alpha & & \downarrow F_*\varepsilon \\
F_*F^*F_*y & \xrightarrow{F_*F^*f} & F_*F^*x & & \\
\downarrow F_*\varepsilon & & \downarrow \alpha & & \\
F_*y & \xrightarrow{f} & x & & \\
\swarrow F_*\beta & & \searrow \alpha & & \\
F_*F^*F_*y & \xrightarrow{F_*F^*f} & F_*F^*x & &
\end{array}$$

(†) (1) (‡) (2)



The top and the bottom trapezoids commute by assumption (2), whereas the left hand trapezoid (denoted  $(\dagger)$ ) and the outer square (denoted  $(\square)$ ) commute by the naturality of  $\varepsilon$ . The right hand trapezoid (denoted  $(\ddagger)$ ) commutes by the cochain condition for the algebra  $\alpha$ . It follows that the inner square (denoted (1)) must also commute:

$$\begin{aligned}
f \circ F_* \varepsilon &\stackrel{(2)}{=} \alpha \circ F_* F^* f \circ F_* \beta \circ F_* \varepsilon \\
&\stackrel{(\dagger)}{=} \alpha \circ F_* F^* f \circ F_* \varepsilon \circ F_* F^* F_* \beta \\
&\stackrel{(\square)}{=} \alpha \circ F_* \varepsilon \circ F_* F^* F_* F^* f \circ F_* F^* F_* \beta \\
&\stackrel{(\ddagger)}{=} \alpha \circ F_* \alpha^* \circ F_* F^* F_* F^* f \circ F_* F^* F_* \beta \\
&\stackrel{(2)}{=} \alpha \circ F_* F^* f
\end{aligned}$$

(4)  $\stackrel{(c)}{\Leftrightarrow}$  (3) is proven dually to (1)  $\stackrel{(a)}{\Leftrightarrow}$  (2) above. The duality consists of reversing the arrows, switching  $F_*$  and  $F^*$ , and also  $\alpha$  and  $\beta$ , and replacing  $\varepsilon$  with  $\eta$ .

(2)  $\stackrel{(b)}{\Leftrightarrow}$  (3) follows from Lemma 7.2. □

**Proposition 7.4** *The adjunction  $F^\sharp \dashv F_\sharp : \mathbb{A}^{\overleftarrow{F}} \rightarrow \mathbb{B}^{\overrightarrow{F}}$  constructed in Prop. 7.1 is nuclear:*

- $F^\sharp : \mathbb{B}^{\overrightarrow{F}} \rightarrow \mathbb{A}^{\overleftarrow{F}}$  is comonadic
- $F_\sharp : \mathbb{A}^{\overleftarrow{F}} \rightarrow \mathbb{B}^{\overrightarrow{F}}$  is monadic

*This construction induces the idempotent monad*

$$\begin{aligned}
\overleftarrow{\mathfrak{N}} : \mathbf{Adj} &\rightarrow \mathbf{Adj} \\
(F^* \dashv F_*) &\mapsto (F^\sharp \dashv F_\sharp)
\end{aligned}$$

**Proof.** It is easy to see that the construction of  $\overleftarrow{\mathfrak{N}}F = (F^\sharp \dashv F_\sharp)$  in Prop. 7.1 is functorial, and that the comparison functors as used in Fig. 16 provide the monad unit  $F \xrightarrow{\eta} \overleftarrow{\mathfrak{N}}F$ . We show that  $\overleftarrow{\mathfrak{N}}F \xrightarrow{\overleftarrow{\mathfrak{N}}\eta} \overleftarrow{\mathfrak{N}}\overleftarrow{\mathfrak{N}}F$  is always an equivalence. This means that the comparison functors from  $\overleftarrow{\mathfrak{N}}F$  to  $\overleftarrow{\mathfrak{N}}\overleftarrow{\mathfrak{N}}F$  are equivalences. These comparison functors are constructed in Fig. 19, still under the names  $H^0$  and  $H_1$ , lifting the construction from Fig. 16. is an equivalence of categories. We prove this only for  $H^0$ . The argument for  $H_1$  is dual.

Instantiating the usual definition of the comparison functor for the comonad  $\overrightarrow{F} : \mathbb{A}^{\overleftarrow{F}} \rightarrow \mathbb{A}^{\overleftarrow{F}}$  to

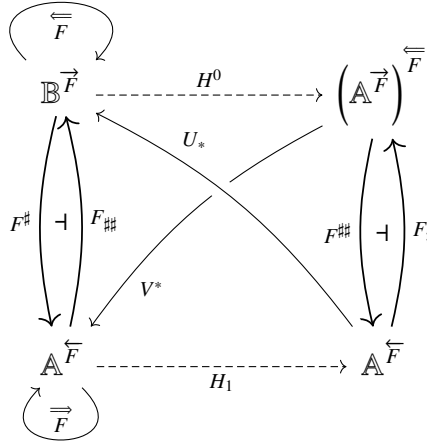


Figure 19: The construction of the nucleus  $\overleftarrow{\mathfrak{N}}\overleftarrow{\mathfrak{N}}F = (F^\sharp \dashv F_{\sharp\sharp})$  of nucleus  $\overleftarrow{\mathfrak{N}}F = (F^\sharp \dashv F_\sharp)$

the resolution  $F^\sharp \dashv F_\sharp$ , we get

$$\begin{array}{ccc}
 \mathbb{B}^{\vec{F}} & \xrightarrow{H^0} & (\mathbb{A}^{\vec{F}})^{\overleftarrow{F}} \\
 \\
 \begin{array}{c} y \\ \downarrow \beta \\ F^*F_*y \end{array} & \mapsto & \begin{array}{ccc} F_*F^*F_*y & \xrightarrow{F_*\varepsilon_y = F^\sharp\beta} & F_*y \\ \downarrow F_*F^*F_*\beta & & \downarrow F_*\beta \\ F_*F^*F_*F^*F_*y & \xrightarrow{F^\sharp F_\sharp F^\sharp\beta = F_*\varepsilon_{\vec{F}y}} & F_*F^*F_*y \end{array}
 \end{array} \tag{73}$$

Since by assumption the idempotents split in  $\mathbb{B}$ , the comparison functor  $H^0$  also has a right adjoint

$H_0$ , which must be in the form

$$\left(\mathbb{A}^{\overleftarrow{F}}\right)^{\overrightarrow{F}} \xrightarrow{H_0} \mathbb{B}^{\overrightarrow{F}} \quad (74)$$

$$\begin{array}{ccc} \begin{array}{ccc} F_*F^*x & \xrightarrow{\alpha} & x \\ \downarrow F_*F^*d & & \downarrow d \\ F_*F^*F_*F^*x & \xrightarrow{F^\sharp F_\sharp \alpha} & F_*F^*x \\ & \text{=} F_*\varepsilon F^*x & \end{array} & \mapsto & \begin{array}{ccccc} & & \varepsilon & & \\ & & \swarrow & & \\ y & \xrightarrow{e} & F^*x & \xrightarrow{F^*d} & F^*F_*F^*x \\ & \nwarrow r & \downarrow & \nwarrow F^*\eta & \downarrow F^*\eta \\ & & F_\sharp \alpha = F^*\eta & & F_\sharp F^\sharp F_\sharp \alpha = F^*\eta \\ & & \downarrow & & \downarrow \\ & & F^*F_*y & \xrightarrow{F^*F_*\varepsilon} & F^*F_*F^*x \\ & & \nwarrow F^*F_*r & & \nwarrow F^*F_*\eta \\ & & F^*F_*F^*x & \xrightarrow{F^*F_*F^*d} & F^*F_*F^*F_*F^*x \end{array} \end{array}$$

where  $y$  is defined by splitting the idempotent  $\varepsilon \circ F^*d$ , and  $d$  is the structure map of the coalgebra  $\alpha \xrightarrow{d} F^\sharp F_\sharp \alpha$  in  $\mathbb{A}^{\overleftarrow{F}}$ .

To show that the adjunction  $H^0 \dashv H_0 : \left(\mathbb{A}^{\overleftarrow{F}}\right)^{\overrightarrow{F}} \rightarrow \mathbb{B}^{\overrightarrow{F}}$  is an equivalence, we construct natural isomorphisms  $H_0H^0 \cong \text{id}$  and  $H^0H_0 \cong \text{id}$ .

Towards the isomorphism  $H_0H^0 \cong \text{id}$ , note that instantiating  $H^0\beta : F^\sharp\beta \rightarrow F^\sharp F_\sharp F^\sharp\beta$  (the right-hand square in (73)) as  $\delta : \alpha \rightarrow F^\sharp F_\sharp \alpha$  (the left-hand square in (74)) reduces the right-hand equalizer of (74) to the following form:

$$\begin{array}{ccccc} & & \varepsilon & & \\ & & \swarrow & & \\ y & \xrightarrow{\beta} & F^*F_*y & \xrightarrow{F^*F_*\beta} & F^*F_*F^*F_*y \\ & \nwarrow \varepsilon & \downarrow F^*\eta & \nwarrow F^*\eta & \downarrow F^*\eta \\ & & F^*F_*y & \xrightarrow{F^*F_*\beta} & F^*F_*F^*F_*y \\ & & \nwarrow F^*F_*\varepsilon & & \nwarrow F^*F_*\eta \\ & & F^*F_*F^*F_*y & \xrightarrow{F^*F_*F^*F_*\beta} & F^*F_*F^*F_*F^*F_*y \end{array} \quad (75)$$

It is a basic fact of (co)monad theory that every coalgebra  $\beta$  in  $\mathbb{B}^{\overrightarrow{F}}$  makes diagram (75) commute [14, Sec. 3.6].

Towards the isomorphism  $H^0H_0 \cong \text{id}$ , take an arbitrary coalgebra  $\alpha \xrightarrow{\delta} F^\sharp F_\sharp \alpha$  from  $\left(\mathbb{A}^{\overleftarrow{F}}\right)^{\overrightarrow{F}}$  and

consider (73) instantiated to  $\beta = H_0\delta$ . By extending the right-hand side of this instance of (73) by the  $F_*$ -image of the right-hand side of (74), we get the following diagram

$$\begin{array}{ccccccc}
 F_*F^*F_*y & \xrightarrow{F_*\varepsilon} & F_*y & \xrightarrow{F_*e} & F_*F^*x & \xrightleftharpoons[F_*F^*\eta]{F_*F^*d} & F_*F^*F_*F^*x \\
 \downarrow F_*F^*F_*H_0\delta & & \downarrow H^0H_0\delta & & \downarrow F_*F^*\eta & & \downarrow F_*F^*\eta \\
 F_*F^*F_*F_*y & \xrightarrow{F_*\varepsilon} & F_*F^*F_*y & \xrightarrow{F_*F^*F_*e} & F_*F^*F_*F^*x & \xrightleftharpoons[F_*F^*F_*\eta]{F_*F^*F_*d} & F_*F^*F_*F^*x \\
 & & & \nwarrow F_*F^*F_*r & & & 
 \end{array}
 \quad (76)$$

The claim is now that  $x \xrightarrow{d} F_*F^*x$  equalizes the parallel pair  $\langle F_*F^*\eta, F_*F^*d \rangle$  in the first row. Since  $y \xrightarrow{e} F^*x$  was defined in (74) as a split equalizer of the pair  $\langle F^*\eta, F^*d \rangle$ , and all functors preserve split equalizers, it follows that  $F_*y \xrightarrow{F_*e} F_*F^*x$  is also an equalizer of the same pair  $\langle F_*F^*\eta, F_*F^*d \rangle$ . Hence the isomorphism  $x \cong F_*y$ , which gives  $H^0H_0\delta \cong \delta$ .

To prove the claim that  $x \xrightarrow{d} F_*F^*x$  equalizes the first row, note that, just like the coalgebra  $y \xrightarrow{\beta} F^*F_*y$  in  $\mathbb{B}^{\vec{F}}$  was determined up to isomorphism by the split equalizer in  $\mathbb{B}$ , shown in (75), the coalgebra  $\alpha \xrightarrow{\delta} F^\#F_\# \alpha$  in  $\left(\mathbb{A}^{\overleftarrow{F}}\right)^{\vec{F}}$  is determined up to isomorphism by the following split equalizer in  $\mathbb{A}^{\overleftarrow{F}}$

$$\begin{array}{ccc}
 \alpha & \xrightarrow{\delta} & F^\#F_\# \alpha \\
 \nwarrow \varepsilon & & \nwarrow F^\#F_\# \delta \\
 & & F^\#F_\# F^\#F_\# \alpha
 \end{array}
 \quad (77)$$

In  $\mathbb{A}$ , the split equalizer (77) unfolds to the lower squares of the following diagram

$$\begin{array}{ccccc}
 & & & & F_*\varepsilon \\
 & & & \swarrow & \\
 & & & F_*F^*d & \\
 x & \xrightarrow{d} & F_*F^*x & \xrightarrow{F_*F^*d} & F_*F^*F_*F^*x \\
 & \nwarrow \alpha & \nwarrow F_*F^*\eta & \nwarrow F_*F^*\eta & \nwarrow F_*F^*\eta \\
 & & & & F_*F^*\varepsilon \\
 & & & \swarrow & \\
 & & & F_*F^*F_*F^*d & \\
 F_*F^*x & \xrightarrow{F_*F^*d} & F_*F^*F_*x & \xrightarrow{F_*F^*F_*F^*d} & F_*F^*F_*F^*F_*x \\
 & \nwarrow F_*F^*\alpha & \nwarrow F_*\varepsilon & \nwarrow F_*\varepsilon & \nwarrow F_*\varepsilon \\
 & & & & F_*\varepsilon \\
 & & & \swarrow & \\
 & & & F_*F^*d & \\
 x & \xrightarrow{d} & F_*F^*x & \xrightarrow{F_*F^*d} & F_*F^*F_*F^*x \\
 & \nwarrow \alpha & \nwarrow F_*F^*\eta & \nwarrow F_*F^*\eta & \nwarrow F_*F^*\eta
 \end{array} \tag{78}$$

Since the upper right-hand squares also commute (by the naturality of  $\eta$ ), they also induce the factoring of the split equalizers in the upper left-hand square. But the upper right-hand squares in (78) are identical to the right-hand squares in (76). The fact that both  $F_*y \xrightarrow{F_*e} F_*F^*x$  and  $x \xrightarrow{d_*} F_*F^*x$  are split equalizers of the same pair yields the isomorphism  $F_*y \xrightarrow{\iota} x$  in  $\mathbb{A}$ , which turns out to be a coalgebra isomorphism  $H^0H_0\delta \xrightarrow[\sim]{\iota} \delta$  in  $(\mathbb{A}^{\overline{F}})^{\overline{F}}$ , as shown in (79).

$$\begin{array}{ccccc}
 F_*F^*x & \xrightarrow{\alpha} & x & & \\
 \downarrow F_*F^*d & \swarrow F_*F^*\iota & \downarrow \iota & & \downarrow d \\
 & F_*F^*F_*y & \xrightarrow{F_*\varepsilon} & F_*y & \\
 & \downarrow F_*F^*F_*H_0\delta & & \downarrow F_*H_0\delta & \\
 & F_*F^*F_*F_*y & \xrightarrow{F_*\varepsilon} & F_*F^*F_*y & \\
 & \swarrow F_*F^*F_*F^*\iota & & \swarrow F_*F^*\iota & \\
 F_*F^*F_*F^*x & \xrightarrow{F_*\varepsilon} & F_*F^*x & & 
 \end{array} \tag{79}$$

Here the outer square is  $\delta$ , as in (74) on the left, whereas the inner square is  $H^0H_0\delta$ , as in (76) on the left. The right-hand trapezoid commutes because the middle square in (76) commutes, and can

be chased down to (80) using the fact that  $\iota$  is defined by  $F_*e = d \circ \iota$ .

$$\begin{array}{ccccc}
 & & F_*e & & \\
 & \curvearrowright & & \curvearrowright & \\
 F_*y & \xrightarrow{\iota} & x & \xrightarrow{\delta} & F_*F^*x \\
 & \searrow & & \nearrow & \\
 & & F_*\varepsilon & & \\
 F_*H_0\delta & \downarrow & & \downarrow & F_*F^*\eta \\
 F_*F^*F_*F^*y & \xrightarrow{F_*F^*\iota} & F_*F^*x & \xrightarrow{F_*F^*\delta} & F_*F^*F_*F^*x \\
 & \searrow & & \nearrow & \\
 & & F_*F^*F_*e & & 
 \end{array} \tag{80}$$

The commutativity of the left-hand trapezoid in (79) follows, because it is an  $F_*F^*$ -image of the right-hand trapezoid. The bottom trapezoid commutes by the naturality of  $\varepsilon$ . The top trapezoid commutes because everything else commutes, and  $d$  is a monic. The commutative diagram in (79) thus displays the claimed isomorphism  $H^0H_0\delta \xrightarrow{\iota} \delta$ .

This completes the proof that  $H^0H_0 \cong \text{id}$ . Together with the proof that  $H_0H^0 \cong \text{id}$ , as seen in (75), this also completes the proof that  $H = \left( H^0 \dashv H_0 : \left( \mathbb{A}^{\overleftarrow{F}} \right)^{\overrightarrow{F}} \rightarrow \mathbb{B}^{\overrightarrow{F}} \right)$  is an equivalence. We have thus shown that  $F^\sharp : \mathbb{B}^{\overrightarrow{F}} \rightarrow \mathbb{A}^{\overleftarrow{F}}$  is comonadic. The proof that  $F_\sharp : \mathbb{A}^{\overleftarrow{F}} \rightarrow \mathbb{B}^{\overrightarrow{F}}$  can be constructed as a mirror image.  $\square$

**Corollary 7.5** *For any adjunction  $F^* \dashv F_* : \mathbb{B} \rightarrow \mathbb{A}$  with the nucleus  $F^\sharp \dashv F_\sharp : \mathbb{A}^{\overleftarrow{F}} \rightarrow \mathbb{B}^{\overrightarrow{F}}$  it holds that the induced monad  $\overleftarrow{\overline{F}} = F_\sharp F^\sharp$  on  $\mathbb{B}^{\overrightarrow{F}}$  and comonad  $\overrightarrow{\overline{F}} = F^\sharp F_\sharp$  on  $\mathbb{A}^{\overleftarrow{F}}$  are isomorphic with those induced by the final resolutions:*

$$\begin{aligned}
 \overleftarrow{\overline{F}} &\cong \left( \mathbb{B}^{\overrightarrow{F}} \xrightarrow{V^*} \mathbb{B} \xrightarrow{V_*} \mathbb{B}^{\overrightarrow{F}} \right) \\
 \overrightarrow{\overline{F}} &= \left( \mathbb{A}^{\overleftarrow{F}} \xrightarrow{U_*} \mathbb{B} \xrightarrow{U^*} \mathbb{A}^{\overleftarrow{F}} \right)
 \end{aligned}$$

The monad  $\overleftarrow{\overline{F}}$  on  $\mathbb{B}^{\overrightarrow{F}}$  thus only depends on the comonad  $\overrightarrow{F}$  on  $\mathbb{B}$ , whereas the comonad  $\overrightarrow{\overline{F}}$  on  $\mathbb{A}^{\overleftarrow{F}}$  only depends on the monad  $\overleftarrow{F}$  on  $\mathbb{A}$ . Neither depends on the particular adjunction from which the nucleus originates.

**Proof.** Using the definitions  $F_\sharp = H^0U_*$  and  $F^\sharp = H_1F^*$ , and chasing Fig. 16 gives

$$\begin{aligned}
 \overleftarrow{\overline{F}} &= F_\sharp F^\sharp = H^0U_*H_1V^* = H^0F_*V^* = V_*V^* \\
 \overrightarrow{\overline{F}} &= F^\sharp F_\sharp = H_1V^*H^0U_* = H_1F^*V_* = U^*U_*
 \end{aligned}$$

$\square$

**Corollary 7.6** *All resolutions of a monad induce equivalent categories of coalgebras. More precisely, for any given monad  $\overleftarrow{T} : \mathbb{A} \rightarrow \mathbb{A}$  any pair of adjunctions  $F^* \dashv F_* : \mathbb{B} \rightarrow \mathbb{A}$  and  $G^* \dashv G_* : \mathbb{D} \rightarrow \mathbb{A}$  holds*

$$\overleftarrow{F} \cong \overleftarrow{T} \cong \overleftarrow{G} \implies \mathbb{B}^{\overrightarrow{F}} \simeq \mathbb{D}^{\overrightarrow{G}} \quad (81)$$

where  $\overleftarrow{F} = F^*F_*$ ,  $\overrightarrow{F} = F^*F_*$ ,  $\overleftarrow{G} = G_*G^*$  and  $\overrightarrow{G} = G_*G^*$ . The equivalences are natural with respect to the monad morphisms. Comonads satisfy the dual claim.

**Proof.** By Corollary 7.5, the comonads  $\overrightarrow{F}$  and  $\overrightarrow{G}$  on the category  $\mathbb{A}^{\overleftarrow{F}} \simeq \mathbb{A}^{\overleftarrow{T}} \simeq \mathbb{A}^{\overleftarrow{G}}$  do not depend on the particular resolutions  $F^* \dashv F_*$  and  $G^* \dashv G_*$ , but depend only on the monad  $\overleftarrow{F} \cong \overleftarrow{T} \cong \overleftarrow{G}$ , and must be in the form  $\overrightarrow{F} \cong \overrightarrow{G} \cong \overrightarrow{T} = \left( \mathbb{A}^{\overleftarrow{T}} \xrightarrow{U_*} \mathbb{A} \xrightarrow{U^*} \mathbb{A}^{\overleftarrow{T}} \right)$ . Hence

$$\mathbb{B}^{\overrightarrow{F}} \simeq \left( \mathbb{A}^{\overleftarrow{F}} \right)^{\overrightarrow{F}} \simeq \left( \mathbb{A}^{\overleftarrow{T}} \right)^{\overrightarrow{T}} \simeq \left( \mathbb{A}^{\overleftarrow{G}} \right)^{\overrightarrow{G}} \simeq \mathbb{C}^{\overrightarrow{G}}$$

where Prop. 7.4 is used at the first and at the last step, and Corollary 7.5 in the middle.  $\square$

**Corollary 7.7** *For any adjunction  $F^* \dashv F_* : \mathbb{B} \rightarrow \mathbb{A}$ , monad  $\overleftarrow{F}$ , and comonad  $\overrightarrow{F}$  holds*

$$\left( \mathbb{A}^{\overleftarrow{F}} \right)^{\overrightarrow{F}} \simeq \left( \mathbb{A}_{\overleftarrow{F}} \right)^{\overrightarrow{F}} \quad \left( \mathbb{B}^{\overrightarrow{F}} \right)^{\overleftarrow{F}} \simeq \left( \mathbb{B}_{\overrightarrow{F}} \right)^{\overleftarrow{F}}$$

where  $\mathbb{A}_{\overleftarrow{F}}$  is the (Kleisli-)category of free  $\overleftarrow{F}$ -algebras,  $\mathbb{A}^{\overleftarrow{F}}$  is the (Eilenberg-Moore-)category of all  $\overleftarrow{F}$ -algebras, and similarly  $\mathbb{B}_{\overrightarrow{F}}$  and  $\mathbb{B}^{\overrightarrow{F}}$ . These equivalences are natural, and thus induce

$$\text{EC} \circ \text{AC} \circ \text{KM} \cong \text{EC} \circ \text{AC} \circ \text{EM} \quad (82)$$

$$\text{EM} \circ \text{AM} \circ \text{KC} \cong \text{EM} \circ \text{AM} \circ \text{EC} \quad (83)$$

**Proof.** The claims are special cases of Corollary 7.6, obtained by taking pairs of resolutions considered there to be the initial resolution, into free algebras (or cofree coalgebras), and the final resolution, into all algebras (resp. coalgebras).  $\square$

**Corollary 7.8** *The idempotent monads  $\overleftarrow{\text{EM}} = \text{EM} \circ \text{AM}$  and  $\overleftarrow{\text{EC}} = \text{EC} \circ \text{AC}$  on  $\text{Adj}$  distribute over one another, and*

$$\overleftarrow{\text{EM}} \circ \overleftarrow{\text{EC}} \cong \overleftarrow{\mathfrak{N}} \cong \overleftarrow{\text{EC}} \circ \overleftarrow{\text{EM}} \quad (84)$$

**Proof.** The distributivity law is displayed in Fig. 20. The comonad on  $\mathbb{A}^{\overleftarrow{F}}$  and the monad on  $\mathbb{B}^{\overrightarrow{F}}$  are not displayed, since they have just been spelled out in Corollary 7.5. The isomorphisms claimed in (84) follow from the fact that they coincide.  $\square$

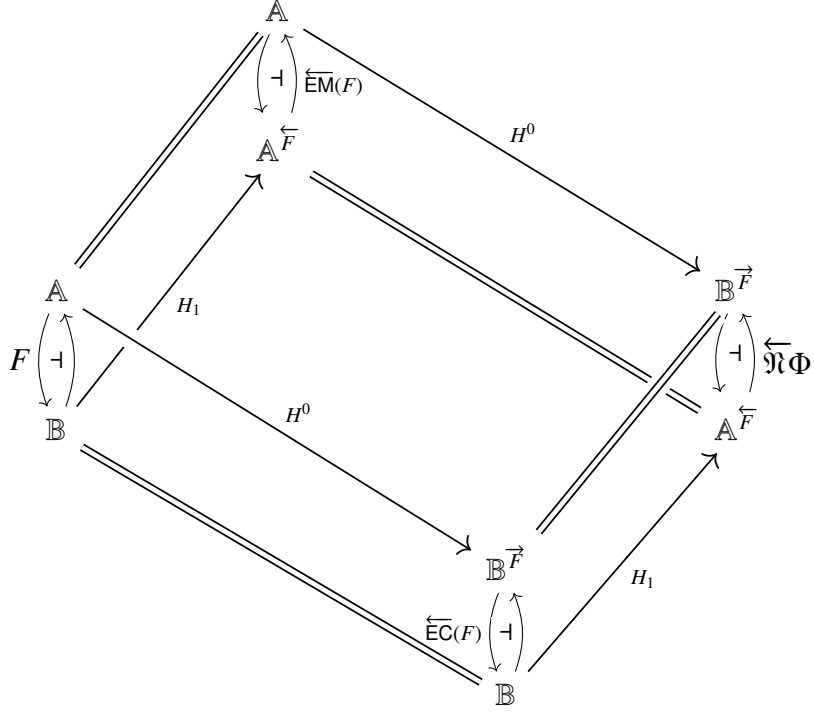


Figure 20: The nucleus construction  $\overleftarrow{\mathfrak{N}}$  factorized into  $\overleftarrow{\mathfrak{E}\mathfrak{M}} \circ \overleftarrow{\mathfrak{E}\mathfrak{C}} \cong \overleftarrow{\mathfrak{E}\mathfrak{C}} \circ \overleftarrow{\mathfrak{E}\mathfrak{M}}$

**Remark.** Fig. 20 internalizes in  $\mathbf{Adj}$  the commutative square of the nucleus schema in Fig. 3.

**Proof** of Thm. 6. The monads  $\overleftarrow{\mathfrak{M}}$  and  $\overleftarrow{\mathfrak{C}}$  are in fact retracts of the monad  $\overleftarrow{\mathfrak{N}}$  from  $\mathbf{Adj}$  to  $\mathbf{Mnd}$  and to  $\mathbf{Cmn}$ , respectively:

$$\begin{aligned}
 \overleftarrow{\mathfrak{M}} &= \mathbf{AM} \circ \mathbf{EC} \circ \mathbf{AC} \circ \mathbf{KM} & \overleftarrow{\mathfrak{C}} &= \mathbf{AC} \circ \mathbf{EM} \circ \mathbf{AM} \circ \mathbf{KC} \\
 &\stackrel{(82)}{\cong} \mathbf{AM} \circ \mathbf{EC} \circ \mathbf{AC} \circ \mathbf{EM} & &\stackrel{(83)}{\cong} \mathbf{AC} \circ \mathbf{EM} \circ \mathbf{AM} \circ \mathbf{EC} \\
 &\stackrel{(\dagger)}{\cong} \mathbf{AM} \circ \mathbf{EC} \circ \mathbf{AC} \circ \mathbf{EM} \circ \mathbf{AM} \circ \mathbf{EM} & &\stackrel{(\dagger)}{\cong} \mathbf{AC} \circ \mathbf{EM} \circ \mathbf{AM} \circ \mathbf{EC} \circ \mathbf{AC} \circ \mathbf{EC} \\
 &= \mathbf{AM} \circ \overleftarrow{\mathfrak{E}\mathfrak{C}} \circ \overleftarrow{\mathfrak{E}\mathfrak{M}} \circ \mathbf{EM} & &= \mathbf{AC} \circ \overleftarrow{\mathfrak{E}\mathfrak{M}} \circ \overleftarrow{\mathfrak{E}\mathfrak{C}} \circ \mathbf{EC} \\
 &\stackrel{(84)}{\cong} \mathbf{AM} \circ \overleftarrow{\mathfrak{N}} \circ \mathbf{EM} & &\stackrel{(84)}{\cong} \mathbf{AC} \circ \overleftarrow{\mathfrak{N}} \circ \mathbf{EC}
 \end{aligned}$$

At step  $(\dagger)$ , we use the fact that the monads  $\overleftarrow{\mathfrak{E}\mathfrak{M}} = \mathbf{EM} \circ \mathbf{AM}$  and  $\overleftarrow{\mathfrak{E}\mathfrak{C}} = \mathbf{EC} \circ \mathbf{AC}$  are idempotent. The natural isomorphisms  $\overleftarrow{\mathfrak{M}} \stackrel{\eta}{\cong} \overleftarrow{\mathfrak{M}} \circ \overleftarrow{\mathfrak{M}}$  and  $\overleftarrow{\mathfrak{C}} \stackrel{\eta}{\cong} \overleftarrow{\mathfrak{C}} \circ \overleftarrow{\mathfrak{C}}$  are derived from  $\overleftarrow{\mathfrak{N}} \stackrel{\eta}{\cong} \overleftarrow{\mathfrak{N}} \circ \overleftarrow{\mathfrak{N}}$ , by  $\overleftarrow{\mathfrak{E}\mathfrak{M}} \stackrel{\eta}{\cong} \overleftarrow{\mathfrak{E}\mathfrak{M}} \circ \overleftarrow{\mathfrak{E}\mathfrak{M}}$  or  $\overleftarrow{\mathfrak{E}\mathfrak{C}} \stackrel{\eta}{\cong} \overleftarrow{\mathfrak{E}\mathfrak{C}} \circ \overleftarrow{\mathfrak{E}\mathfrak{C}}$ , and retracting into  $\mathbf{Mnd}$  or  $\mathbf{Cmn}$ , respectively. The equivalences  $\mathbf{Mnd}^{\overleftarrow{\mathfrak{M}}} \simeq \mathbf{Adj}^{\overleftarrow{\mathfrak{N}}} \simeq \mathbf{Cmn}^{\overleftarrow{\mathfrak{C}}}$  arise from these derivations. The fact that  $\mathbf{Adj}^{\overleftarrow{\mathfrak{N}}}$  is equivalent with the category  $\mathbf{Nuc}$ , defined in (69), and used in (67), follows from Corollary 7.8.  $\square$



## 8 Simple nucleus

The main idea of monads and comonads is that they capture algebra and coalgebra. For any monad  $\overleftarrow{F} : \mathbb{A} \rightarrow \mathbb{A}$ , the categories  $\mathbb{A}^{\overleftarrow{F}}$  of all  $\overleftarrow{F}$ -algebras and  $\mathbb{A}_{\overleftarrow{F}}$  of free  $\overleftarrow{F}$ -algebras play the main role in all analyses, as all resolutions lie in-between them [14, 25, 46]. Corollary 7.6 says that all these resolutions induce equivalent categories of coalgebras, which lie in-between the categories  $\left(\mathbb{A}^{\overleftarrow{F}}\right)_{\overleftarrow{F}}$  and  $\left(\mathbb{A}^{\overleftarrow{F}}\right)^{\overleftarrow{F}}$ . So it also makes sense to talk about coalgebras for a monad, and analogously about

algebras for a comonad. But categories  $\left(\mathbb{A}^{\overleftarrow{F}}\right)_{\overleftarrow{F}}$  and  $\left(\mathbb{A}^{\overleftarrow{F}}\right)^{\overleftarrow{F}}$  of coalgebras over algebras carry two layers of structure. Since they are important, it is useful to spell out a simple presentation. This was done in [68]. Here we state their simple presentation in the context of the nucleus construction and employ it in the following sections.

**Proposition 8.1** *Given an adjunction  $F = (F^* \dashv F_* : \mathbb{B} \rightarrow \mathbb{A})$ , consider the categories*

$$|\mathbb{A}^{\overleftarrow{F}}| = \coprod_{x \in |\mathbb{A}|} \left\{ \alpha_x \in \mathbb{B}(F^*x, F^*x) \mid \begin{array}{ccccc} F^*x & & F_*F^*x & \xrightarrow{\quad} & x \\ \downarrow \alpha_x & \searrow & \downarrow & \searrow & \downarrow \tilde{\alpha}_x \\ F^*x & \xrightarrow{\alpha_x} & F^*x & & F_*F^*x \end{array} \right\} \quad (85)$$

$$\mathbb{A}^{\overleftarrow{F}}(\alpha_x, \gamma_z) = \left\{ f \in \mathbb{A}(x, z) \mid \begin{array}{ccc} F^*x & \xrightarrow{F^*f} & F^*z \\ \downarrow \alpha_x & & \downarrow \gamma_z \\ F^*x & \xrightarrow{F^*f} & F^*z \end{array} \right\}$$

$$|\mathbb{B}^{\overleftarrow{F}}| = \coprod_{u \in |\mathbb{B}|} \left\{ \beta^u \in \mathbb{A}(F_*u, F_*u) \mid \begin{array}{ccccc} F_*u & & F^*F_*u & \xrightarrow{\quad} & u \\ \downarrow \beta^u & \searrow & \downarrow & \searrow & \downarrow \\ F_*u & \xrightarrow{\beta^u} & F_*u & & F^*F_*u \end{array} \right\} \quad (86)$$

$$\mathbb{B}^{\overleftarrow{F}}(\beta^u, \delta^w) = \left\{ g \in \mathbb{B}(u, w) \mid \begin{array}{ccc} F_*u & \xrightarrow{F_*g} & F_*w \\ \downarrow \beta^u & & \downarrow \delta^w \\ F_*u & \xrightarrow{F_*g} & F_*w \end{array} \right\}$$

where  $x \xrightarrow{\tilde{\alpha}_x} F_*F^*x$  is the transpose of  $F^*x \xrightarrow{\alpha_x} F^*x$ , and  $F^*F_*u \xrightarrow{\tilde{\beta}^u} u$  is the transpose of  $F_*u \xrightarrow{\beta} F_*u$ . The adjunction  $F^\natural \dashv F_\natural : \mathbb{B}^{\overleftarrow{F}} \rightarrow \mathbb{A}^{\overleftarrow{F}}$  defined in Fig. 21 with the comparison functors

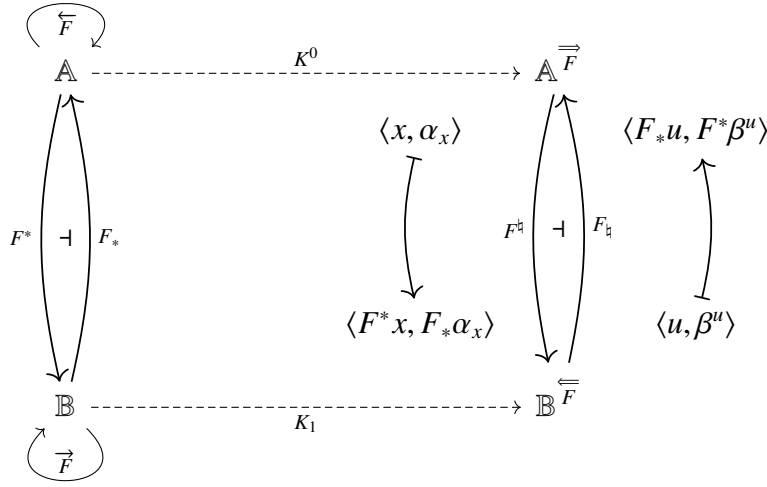


Figure 21: The simple nucleus  $F^{\natural} \dashv F_{\natural}$  of  $F^* \dashv F_*$

$$\begin{array}{ccc}
 K^0 : \mathbb{A} \longrightarrow \mathbb{A}^{\overrightarrow{F}} & & K_1 : \mathbb{B} \longrightarrow \mathbb{B}^{\overleftarrow{F}} \\
 x \mapsto \left\langle F_* F^* x, \begin{array}{c} F^* F_* F^* x \\ \varepsilon F^* \downarrow \\ F^* x \\ F^* \eta \downarrow \\ F^* F_* F^* x \end{array} \right\rangle & & u \mapsto \left\langle F^* F_* u, \begin{array}{c} F_* F^* F_* u \\ F_* \varepsilon \downarrow \\ F_* u \\ \eta F_* \downarrow \\ F_* F^* F_* u \end{array} \right\rangle
 \end{array}$$

is equivalent to the nucleus, i.e.

$$\overleftarrow{\mathfrak{N}}(F^* \dashv F_*) \cong (F^{\natural} \dashv F_{\natural})$$

**Lemma 8.2** If  $\varphi \circ \varphi = \varphi = m \circ e$ , where  $m$  is a monic and  $e$  is an epi, then  $e \circ m = \text{id}$ .

**Proof.**  $m \circ e \circ m \circ e = \varphi \circ \varphi = \varphi = m \circ e$  implies  $e \circ m \circ e = e$  because  $m$  is a monic, and  $e \circ m = \text{id}$  because  $e$  is epi.  $\square$

**Lemma 8.3** If  $\left(F_* F^* x \xrightarrow{F_* \alpha_x} F_* F^* x\right) = \left(F_* F^* x \xrightarrow{\alpha^x} x \xrightarrow{\tilde{\alpha}_x} F_* F^* x\right)$ , where  $\tilde{\alpha}_x = F_* \alpha_x \circ \eta_x$  is a monic and  $\alpha^x$  is an epi, then  $\alpha^x \circ \eta_x = \text{id}$ .

**Proof.**  $\tilde{\alpha}_x \circ \alpha^x = F_* \alpha_x \circ \eta_x \circ \alpha^x = \tilde{\alpha}_x \circ \alpha^x \circ \eta_x \circ \alpha^x$  implies  $\alpha^x = \alpha^x \circ \eta_x \circ \alpha^x$  because  $\tilde{\alpha}_x$  is a monic, and  $\text{id} = \alpha^x \circ \eta_x$  because  $\alpha^x$  is epi.  $\square$

**Proof** of Prop. 8.1. Still writing  $F_*F^*x \xrightarrow{\alpha^x} x \xrightarrow{\tilde{\alpha}_x} F_*F^*x$  for the decomposition of  $F_*F^*x \xrightarrow{F_*\alpha_x} F_*F^*x$ , we have

$$\alpha^x \circ \tilde{\alpha}_x = \text{id}_x \quad \text{and} \quad \alpha^x \circ \eta_x = \text{id}_x \quad (87)$$

from Lemma 8.2 and Lemma 8.3, respectively. Similar lemmata lead to the equations

$$\begin{aligned} \alpha^x \circ F_*F^*\alpha^x &= \alpha^x \circ F_*\varepsilon_{F_*x} \\ \tilde{\alpha}_x \circ \alpha^x &= F_*\varepsilon_{F_*x} \circ F_*F^*\tilde{\alpha}_x \end{aligned}$$

which, together with (87), say that  $F_*F^*x \xrightarrow{\alpha^x} x$  is an algebra in  $\mathbb{A}^{\overleftarrow{F}}$  and that  $\tilde{\alpha}_x \in \mathbb{A}^{\overleftarrow{F}}(\alpha^x, \mu_x)$  is an algebra homomorphism, and in fact a coalgebra over  $\alpha^x$  in  $\left(\mathbb{A}^{\overrightarrow{F}}\right)^{\overleftarrow{F}}$ . Hence the functor from  $\mathbb{A}^{\overrightarrow{F}}$  to  $\left(\mathbb{A}^{\overleftarrow{F}}\right)^{\overrightarrow{F}}$ , which turns out to be an equivalence upon straightforward checks. A similar argument leads to a similar functor from  $\mathbb{B}^{\overleftarrow{F}}$  to  $\left(\mathbb{B}^{\overrightarrow{F}}\right)^{\overleftarrow{F}}$ . Hence the equivalences

$$\mathbb{A}^{\overrightarrow{F}} \simeq \left(\mathbb{A}^{\overleftarrow{F}}\right)^{\overrightarrow{F}} \quad \mathbb{B}^{\overleftarrow{F}} \simeq \left(\mathbb{B}^{\overrightarrow{F}}\right)^{\overleftarrow{F}}$$

On the other hand, the equivalences

$$\mathbb{A}^{\overleftarrow{F}} \simeq \mathbb{B}^{\overrightarrow{F}} \quad \mathbb{B}^{\overleftarrow{F}} \simeq \mathbb{A}^{\overleftarrow{F}}$$

are spelled out and verified in [68]. Every object  $\langle x, F^*x \xrightarrow{\alpha_x} F^*x \rangle \in \mathbb{A}^{\overrightarrow{F}}$  is shown to be isomorphic to one in the form  $\langle F_*y, F^*F_* \xrightarrow{\varepsilon} y \xrightarrow{\beta} F^*F_*y \rangle$  for some  $y \in \mathbb{B}$  and a coalgebra  $\beta \in \mathbb{B}^{\overrightarrow{F}}$ . It follows that both squares in the following diagram commute

$$\begin{array}{ccccc} F^*x & \xrightarrow{F^*\eta} & & & F^*F_*F^*x \\ & \nwarrow F^*\iota & & & \nwarrow F^*F_*F^*\iota \\ & F^*F_*y & \xrightarrow{F^*\eta F_*} & & F^*F_*F^*F_*y \\ & \downarrow \varepsilon & & & \downarrow F^*F_*\varepsilon \\ & y & \xrightarrow{\beta} & & F^*F_*y \\ & \downarrow \beta & & & \downarrow F^*F_*\beta \\ & F^*F_*y & \xrightarrow{F^*\eta F_*} & & F^*F_*F^*F_*y \\ & \nwarrow F^*\iota & & & \nwarrow F^*F_*F^*\iota \\ F^*x & \xrightarrow{F^*\eta} & & & F^*F_*F^*x \end{array} \quad (88)$$

for  $x \leftarrow \iota \rightarrow F_* y$  an isomorphism in  $\mathbb{A}$ . Transferring the nuclear adjunction  $F^\sharp \dashv F_\sharp : \mathbb{A}^{\overleftarrow{F}} \rightarrow \mathbb{B}^{\overrightarrow{F}}$  along the equivalences yields the nuclear adjunction  $F^\natural \dashv F_\natural : \mathbb{B}^{\overleftarrow{F}} \rightarrow \mathbb{A}^{\overrightarrow{F}}$ , with the natural correspondence

$$\begin{aligned} \mathbb{B}^{\overleftarrow{F}}(F^\natural \alpha_x, \beta^u) &\cong \mathbb{A}^{\overrightarrow{F}}(\alpha_x, F_\natural \beta^u) \\ \left( F^* x \xrightarrow{f} u \right) &\mapsto \tilde{f} = \left( x \xrightarrow{\eta} F_* F^* x \xrightarrow{F_* f} F_* u \right) \end{aligned}$$

The adjunction correspondence  $F^* \dashv F_* : \mathbb{B} \rightarrow \mathbb{A}$  lifts to  $F^\natural \dashv F_\natural : \mathbb{B}^{\overleftarrow{F}} \rightarrow \mathbb{A}^{\overrightarrow{F}}$  because each of the following squares commutes if and only if the other one does:

$$\begin{array}{ccc} F_* F^* x & \xrightarrow{F_* f} & F_* u \\ \downarrow F_* \alpha_x & & \downarrow \beta^u \\ F_* F^* x & \xrightarrow{F_* f} & F_* u \end{array} \iff \begin{array}{ccc} F^* x & \xrightarrow{F^*(\tilde{f})} & F^* F_* u \\ \downarrow \alpha_x & & \downarrow F^* \beta^u \\ F^* x & \xrightarrow{F^*(\tilde{f})} & F^* F_* u \end{array} \quad (89)$$

Suppose that the left-hand side square commutes. To see that the right-hand side square commutes as well, take its  $F^*$ -image and precompose it with the outer square from (88), as in the following diagram.

$$\begin{array}{ccccc} & & F^*(\tilde{f}) & & \\ & \nearrow & & \searrow & \\ F^* x & \xrightarrow{F^* \eta} & F^* F_* F^* x & \xrightarrow{F^* F_* f} & F^* F_* u \\ \downarrow \alpha_x & & \downarrow F^* F_* \alpha_x & & \downarrow F^* \beta^u \\ F^* x & \xrightarrow{F^* \eta} & F^* F_* F^* x & \xrightarrow{F^* F_* f} & F^* F_* u \\ & \searrow & & \nearrow & \\ & & F^*(\tilde{f}) & & \end{array} \quad (90)$$

The two outer paths around this diagram are the paths around right-hand square in (89). The implication is analogous.  $\square$

**Remarks.** The constructions  $\mathbb{A}^{\overrightarrow{F}}$  and  $\mathbb{B}^{\overleftarrow{F}}$  are given with respect to an adjunction  $F^* \dashv F_* : \mathbb{B} \rightarrow \mathbb{A}$ , rather than just a monad  $\overleftarrow{F}$  or just a comonad  $\overrightarrow{F}$ . The constructions for a monad or a comonad alone can be extrapolated by applying the above constructions to their Kleisli or Eilenberg-Moore resolutions. Corollary 7.6 says that all resolutions lead to equivalent categories. The Kleisli resolution gives a smaller object class, but that is not always an advantage. Some adjunctions give

simpler simple nuclei than other. The objects of the category  $\mathbb{A}^{\overrightarrow{\overleftarrow{F}}}$  built over the Eilenberg-Moore resolution of a monad  $\overleftarrow{F}$  turn out to be projective  $\overleftarrow{F}$ -algebras, but the morphisms are not just  $\overleftarrow{F}$ -algebra homomorphisms, but also  $\overrightarrow{\overleftarrow{F}}$ -coalgebra homomorphisms. The objects can be viewed as triples in the form  $\langle x, \alpha^x, \tilde{\alpha}_x \rangle$  which make the following diagrams commute.

$$\begin{array}{ccc}
 x & \xrightarrow[\eta]{\tilde{\alpha}_x} & \overleftarrow{F}x \\
 & \searrow \text{id} & \downarrow \alpha^x \\
 & & x
 \end{array}
 \qquad
 \begin{array}{ccccc}
 \overleftarrow{F}\overleftarrow{F}x & \xrightarrow{\overleftarrow{F}\alpha^x} & \overleftarrow{F}x & \xrightarrow{\overleftarrow{F}\tilde{\alpha}_x} & \overleftarrow{F}\overleftarrow{F}x \\
 \downarrow \mu & & \downarrow \alpha^x & & \downarrow \mu \\
 \overleftarrow{F}x & \xrightarrow{\alpha^x} & x & \xrightarrow{\tilde{\alpha}_x} & \overleftarrow{F}x
 \end{array}
 \tag{91}$$

Here we do not display just (85) instantiated to  $U^* \dashv U_* : \mathbb{A}^{\overleftarrow{F}} \rightarrow \mathbb{A}$ , but also data that are implied: the middle filling in the rectangle on the right must be  $\alpha^x$  because  $\overleftarrow{F}\eta$  is the splitting of both  $\overleftarrow{F}\alpha^x$  and  $\mu$ . This makes it clear that  $\alpha^x$  is an  $\overleftarrow{F}$ -algebra, whereas  $\tilde{\alpha}_x$  is an algebra homomorphism that embeds it as a subalgebra of the free  $\overleftarrow{F}$ -algebra  $\mu$ . So  $\alpha^x$  is a projective algebra. On the other hand,  $\tilde{\alpha}_x$  is also an  $\overrightarrow{\overleftarrow{F}}$ -coalgebra structure over the  $\overleftarrow{F}$ -algebra  $\alpha^x$ . An  $\mathbb{A}^{\overrightarrow{\overleftarrow{F}}}$ -morphism from  $\langle x, \alpha^x, \tilde{\alpha}_x \rangle$  to  $\langle z, \gamma^z, \tilde{\gamma}_z \rangle$  is an arrow  $f \in \mathbb{A}(x, z)$  that makes the following diagram commute.

$$\begin{array}{ccccc}
 \overleftarrow{F}x & \xrightarrow{\alpha^x} & x & \xrightarrow{\tilde{\alpha}_x} & \overleftarrow{F}x \\
 \downarrow \overleftarrow{F}f & & \downarrow f & & \downarrow \overleftarrow{F}f \\
 \overleftarrow{F}z & \xrightarrow{\gamma^z} & z & \xrightarrow{\tilde{\gamma}_z} & \overleftarrow{F}z
 \end{array}
 \tag{92}$$

The left-hand square says that  $f$  is an  $\overleftarrow{F}$ -algebra homomorphism. The right square says that it is also an  $\overrightarrow{\overleftarrow{F}}$ -coalgebra homomorphism. So we are not looking at a category of projective algebras in  $\mathbb{A}^{\overleftarrow{F}}$ , but at a category of  $\overrightarrow{\overleftarrow{F}}$ -coalgebras over it, which turns out to be equivalent to  $\mathbb{B}^{\overrightarrow{F}}$ , as Prop. 7.4 established. The conundrum that  $\overrightarrow{\overleftarrow{F}}$ -coalgebras boil down to projective  $\overleftarrow{F}$ -algebras, but that the  $\overrightarrow{\overleftarrow{F}}$ -coalgebra homomorphisms satisfy just two out of three conditions required from the  $\overleftarrow{F}$ -algebra homomorphisms was discussed and used in [68].

**Corollary 8.4** *If a given adjunction  $F^* \dashv F_* : \mathbb{B} \rightarrow \mathbb{A}$  is nuclear, then*

- a) *every object  $x \in \mathbb{A}$  is a retract of  $F_*F^*x$ , and thus of an image along  $F_*$ ;*
- b) *every object  $u \in \mathbb{B}$  is a retract of  $F^*F_*u$ , and thus of an image along  $F^*$ .*

**Proof.** By Thm. 6,  $F = (F^* \dashv F_*)$  is nuclear if and only if  $\mathfrak{N}(F) \cong F$ . By Prop. 8.1,  $\text{Nuc}(F) \cong (F^\natural \dashv F_\natural)$ . The claim thus boils down to proving that (a) every  $\alpha_x \in \mathbb{A}^{\overrightarrow{\overleftarrow{F}}}$  is a retract of an  $F_\natural F^\natural \alpha_x$ ,

and (b) every  $\beta_u \in \mathbb{B}^{\overleftarrow{F}}$  is a retract of an  $F^{\natural}F_{\natural}\beta_u$ . The following derivation establishes (a), and (b) is analogous.

$$\begin{array}{c}
\langle x, \quad F^*x \xrightarrow{\alpha_x} F^*x \rangle \\
\hline
\begin{array}{ccc}
\langle F^*x, & F_*F^*x \xrightarrow{F_*\alpha_x} F_*F^*x \rangle \\
\alpha_x \downarrow & F_*\alpha_x \downarrow & \downarrow F_*\alpha_x \\
\langle F^*x, & F_*F^*x \xrightarrow{F_*\alpha_x} F_*F^*x \rangle
\end{array} \\
\hline
\begin{array}{ccc}
\langle F_*F^*x, & F^*F_*F^*x \xrightarrow{F^*F_*\alpha_x} F^*F_*F^*x \rangle \\
\alpha_x \downarrow & F^*\alpha_x \downarrow & \downarrow F^*\alpha_x \\
\langle x, & F^*x \xrightarrow{\alpha_x} F^*x \rangle \\
\tilde{\alpha}_x \downarrow & F^*\tilde{\alpha}_x \downarrow & \downarrow F^*\tilde{\alpha}_x \\
\langle F_*F^*x, & F^*F_*F^*x \xrightarrow{F^*F_*\alpha_x} F^*F_*F^*x \rangle
\end{array}
\end{array}$$

□

**Discussion.** We know that an  $\overleftarrow{F}$ -algebra structure  $\overleftarrow{F}x \xrightarrow{\alpha} X$  makes the  $\overleftarrow{F}$ -algebra  $\alpha$  into a quotient of the free algebra  $\overleftarrow{F}\overleftarrow{F}x \xrightarrow{\mu} \overleftarrow{F}x$ , but that the epimorphism  $\overleftarrow{F}x \xrightarrow{\alpha} X$  only splits by the unit  $x \xrightarrow{\eta} \overleftarrow{F}x$  when projected down into  $\mathbb{A}$  by the forgetful functor  $U_* : \mathbb{A}^{\overleftarrow{F}} \rightarrow \mathbb{A}$ , and generally not in the category of algebras  $\mathbb{A}^{\overleftarrow{F}}$  itself. In other words, the  $\overleftarrow{F}$ -algebra homomorphism  $\alpha \in \mathbb{A}^{\overleftarrow{F}}(\mu, \alpha)$  induces a retraction  $U_*\alpha \in \mathbb{A}(\overleftarrow{F}x, x)$ , with  $\eta \in \mathbb{A}(\overleftarrow{F}x, x)$  as its inverse *only within*  $\mathbb{A}$ , but this splitting  $\eta$  is not an  $\overleftarrow{F}$ -algebra homomorphism, and does not live in  $\mathbb{A}^{\overleftarrow{F}}$ . This is the origin of the whole conundrum in Beck's Monadicity Theorem with the  $U_*$ -split coequalizers for a monadic  $U_*$ . It is easy to see that  $\eta$  is an  $\overleftarrow{F}$ -algebra homomorphism only when it is an isomorphism, which makes  $\alpha$  into a free  $\overleftarrow{F}$ -algebra. More generally, when the algebra homomorphism  $\alpha \in \mathbb{A}^{\overleftarrow{F}}(\mu, \alpha)$  has a splitting  $\tilde{\alpha} \in \mathbb{A}^{\overleftarrow{F}}(\alpha, \mu)$ , with the underlying map that may be different from  $\eta \in \mathbb{A}(x, \overleftarrow{F}x)$ , then the algebra  $\alpha$  is projective. This thread was pursued in [68].

It may seem curious that Corollary 8.4 now says that  $x$  is *always* a retract of  $\overleftarrow{F}x$  in  $\mathbb{A}^{\overleftarrow{F}}$ . More precisely, any  $\overleftarrow{F}$ -algebra  $\overleftarrow{F}x \xrightarrow{\alpha_x} x$  with which  $x$  may appear in  $\mathbb{A}^{\overleftarrow{F}}$ , is a retraction, and has a splitting  $x \xrightarrow{\tilde{\alpha}_x} \overleftarrow{F}x$ . Does this not say that all  $\overleftarrow{F}$ -algebras are projective? The answer is: *It does not*. Remember that the category  $\mathbb{A}^{\overleftarrow{F}}$  is the simple form of the category  $(\mathbb{A}^{\overleftarrow{F}})^{\overleftarrow{F}}$ , and that it is equivalent with the category of  $\overleftarrow{F}$ -coalgebras  $\mathbb{B}^{\overleftarrow{F}}$ , and certainly *not* with the category of  $\overleftarrow{F}$ -algebras  $\mathbb{A}^{\overleftarrow{F}}$ . In the category of  $\overleftarrow{F}$ -coalgebras over  $\overleftarrow{F}$ -algebras, an object  $\tilde{\alpha}_x \in (\mathbb{A}^{\overleftarrow{F}})^{\overleftarrow{F}}$ , like any coalgebra, comes

with the coalgebra monic  $\tilde{\alpha}_x \in \left(\mathbb{A}^{\overleftarrow{F}}\right)^{\overrightarrow{F}}$  ( $\tilde{\alpha}_x, \nu$ ) into the cofree coalgebra  $\nu$ . This monic generally does not split in  $\left(\mathbb{A}^{\overleftarrow{F}}\right)^{\overrightarrow{F}}$ , but the forgetful functor  $V^* : \left(\mathbb{A}^{\overleftarrow{F}}\right)^{\overrightarrow{F}} \rightarrow \mathbb{A}^{\overleftarrow{F}}$  maps it into a split monic, and its splitting in  $\mathbb{A}^{\overleftarrow{F}}$  is the comonad counit  $\overrightarrow{F}\alpha^x \rightarrow \alpha^x$ . The underlying map of this counit is the structure map  $\overleftarrow{F}x \xrightarrow{\alpha^x} x$  in  $\mathbb{A}$ . The underlying map of the  $\overrightarrow{F}$ -coalgebra  $\tilde{\alpha}_x$  has the form  $x \xrightarrow{\tilde{\alpha}_x} \overleftarrow{F}x \xrightarrow{\alpha^x} x$ , and the fact that it is a  $V^*$ -split equalizer means that  $\alpha^x \circ \tilde{\alpha}_x$  holds in  $\mathbb{A}$ . Just as the forgetful functor  $\mathbb{B}^{\overrightarrow{F}} \rightarrow B$  makes the  $\overrightarrow{F}$ -coalgebra embeddings into split equalizers in  $\mathbb{B}$ , the forgetful functor  $\left(\mathbb{A}^{\overleftarrow{F}}\right)^{\overrightarrow{F}} \rightarrow \mathbb{A}^{\overleftarrow{F}}$  makes the  $\overrightarrow{F}$ -coalgebra embeddings into split equalizers in  $\mathbb{A}^{\overleftarrow{F}}$ . But there, the split equalizers display some  $\overleftarrow{F}$ -algebras as retracts of free  $\overleftarrow{F}$ -algebras. The equivalence  $\left(\mathbb{A}^{\overleftarrow{F}}\right)^{\overrightarrow{F}} \simeq \mathbb{B}^{\overrightarrow{F}}$  thus presents  $\overrightarrow{F}$ -coalgebras as projective  $\overleftarrow{F}$ -algebras. Corollary 8.4 therefore does not say that all  $\overleftarrow{F}$ -algebras are projective, but that all  $\overrightarrow{F}$ -coalgebras can be presented by some projective  $\overleftarrow{F}$ -algebras. This was the pivot point of [68].

Note, however, that this representation does not imply that the  $\overrightarrow{F}$ -coalgebra category  $\mathbb{B}^{\overrightarrow{F}}$  is equivalent with the category of projective  $\overleftarrow{F}$ -algebras, viewed, e.g., as a subcategory of  $\mathbb{A}^{\overleftarrow{F}}$ . It is not, because the  $\overleftarrow{F}$ -algebra morphisms between projective algebras are strictly more constrained than the  $\overrightarrow{F}$ -coalgebra homomorphisms between the same projective algebras. This was explained in [68].

The coequalizers that become split when projected along the forgetful functor from algebras play a central role in Beck's Monadicity Theorem [15, 14, Sec. 3.3]. The equalizers that split along the forgetful functor from coalgebras play the analogous role in the dual theorem, characterizing comonadicity. The fact that such a peculiar structure plays such a prominent role in such fundamental theorems has been a source of wonder and mystery. In his seminal early work [59, 60], Paré explained it as an avatar of a fundamental phenomenon: of reflecting absolute colimits into coequalizers (in the case of monadic functors), or of absolute limits into equalizers (in the case of comonadic functors). In the framework of simple nuclei, such reflections are finally assigned the role of first-class citizens that they deserve, and made available for categorical concept analysis.

## 9 Little nucleus

We define the *little nucleus* to be the initial (Kleisli) resolution  $\overrightarrow{\mathfrak{N}}F$  of the (big) nucleus  $\overleftarrow{\mathfrak{N}}F$  of an adjunction  $F = (F^* \dashv F_*)$ . The little nucleus of a monad  $\overleftarrow{F}$  (and of a comonad  $\overrightarrow{F}$ ) will be the monad  $\overrightarrow{\mathfrak{U}}\overleftarrow{F}$  (resp. the comonad  $\overrightarrow{\mathfrak{M}}\overrightarrow{F}$ ) induced by the little nucleus of any of the resolutions of  $\overleftarrow{F}$  (resp. of  $\overrightarrow{F}$ ). The constructions  $\overrightarrow{\mathfrak{U}}$  and  $\overrightarrow{\mathfrak{M}}$  are the comonads on  $\mathbf{Mnd}$  and  $\mathbf{Cmn}$ , respectively, constructed in Fig. 14, displayed in the statement of Thm. 6.

We say that an adjunction  $F^* \dashv F_* : \mathbb{B} \rightarrow \mathbb{A}$  is *subnuclear* if the categories can be reconstructed

from each other as initial resolutions of the induced monad and comonad:  $\mathbb{A}$  is equivalent to the Kleisli category  $\mathbb{B}_{\vec{F}}$  for the comonad  $\vec{F} = F^*F_* : \mathbb{B} \rightarrow \mathbb{B}$ , and  $\mathbb{B}$  is equivalent to the Kleisli category  $\mathbb{A}_{\overleftarrow{F}}$  for the monad  $\overleftarrow{F} = F_*F^* : \mathbb{A} \rightarrow \mathbb{A}$ . More precisely, the comparison functors  $\mathbb{B}_{\vec{F}} \xrightarrow{E_0} \mathbb{A}$  and  $\mathbb{A}_{\overleftarrow{F}} \xrightarrow{E^1} \mathbb{B}$  are required to be equivalences. If the two Kleisli constructions are construed as essentially surjective / fully faithful factorizations

$$\begin{aligned} F^* &= \left( \mathbb{A} \xrightarrow{U^b} \mathbb{A}_{\overleftarrow{F}} \xrightarrow{E^1} \mathbb{B} \right) \\ F_* &= \left( \mathbb{B} \xrightarrow{V_b} \mathbb{B}_{\vec{F}} \xrightarrow{E_0} \mathbb{A} \right) \end{aligned}$$

(see Fig. 13), then the requirement that  $E^1$  and  $E_0$  are equivalences means that  $F^*$  and  $F_*$  in a subnuclear adjunction must be essentially surjective. But, as mentioned at the end of Sec. 4, while the adjunction between the Kleisli categories is subnuclear itself, its resolutions may not be. The upshot is that the little nucleus must be extracted from the big nucleus. The situation is summarized in Fig. 22. The little nucleus arises as the initial resolution

$$\vec{\mathfrak{N}}(F^* \dashv F_* : \mathbb{B} \rightarrow \mathbb{A}) = \left( F^\sharp \dashv F_\sharp : \left( \mathbb{A}_{\overleftarrow{F}} \right)_{\vec{F}} \rightarrow \left( \mathbb{B}_{\vec{F}} \right)_{\overleftarrow{F}} \right)$$

of the (big) nucleus, which is the final resolution

$$\overleftarrow{\mathfrak{N}}(F^* \dashv F_* : \mathbb{B} \rightarrow \mathbb{A}) = \left( F^\sharp \dashv F_\sharp : \mathbb{A}_{\overleftarrow{F}} \rightarrow \mathbb{B}_{\vec{F}} \right)$$

Since Corollary 7.7 implies  $\overrightarrow{\mathfrak{N}}\overrightarrow{\mathfrak{N}}(F) \simeq \overleftarrow{\mathfrak{N}}\overleftarrow{\mathfrak{N}}(F)$ , and Prop. 7.4 says that  $\overleftarrow{\mathfrak{N}}$  is idempotent, tracking the equivalences through

$$\begin{array}{ccc} \overrightarrow{\mathfrak{N}}\overrightarrow{\mathfrak{N}}(F) & \xrightarrow{\simeq} & \overleftarrow{\mathfrak{N}}\overleftarrow{\mathfrak{N}}(F) \\ \downarrow \simeq & & \downarrow \simeq \\ & & \overleftarrow{\mathfrak{N}}\overrightarrow{\mathfrak{N}}(F) \\ \downarrow \simeq & & \downarrow \simeq \\ \overrightarrow{\mathfrak{N}}(F)b & \xrightarrow{\simeq} & \overleftarrow{\mathfrak{N}}(F) \end{array} \tag{93}$$

yields a natural family of equivalences  $\overrightarrow{\mathfrak{N}}\overrightarrow{\mathfrak{N}}(F) \simeq \overrightarrow{\mathfrak{N}}(F)$ . But spelling out these equivalences, of categories of coalgebras over algebras and algebras over coalgebras, is an unwieldy task. The flood of structure can be dammed by reducing the (big) nucleus to the simple form from Sec. 8

$$\overleftarrow{\mathfrak{N}}(F^* \dashv F_* : \mathbb{B} \rightarrow \mathbb{A}) = \left( F^\sharp \dashv F_\sharp : \mathbb{B}_{\vec{F}} \rightarrow \mathbb{A}_{\overleftarrow{F}} \right)$$

and defining the little nucleus in the form

$$\overrightarrow{\mathfrak{N}}(F^* \dashv F_* : \mathbb{B} \rightarrow \mathbb{A}) = \left( F^b \dashv F_b : \mathbb{A}_{\overleftarrow{F}} \rightarrow \mathbb{B}_{\vec{F}} \right)$$



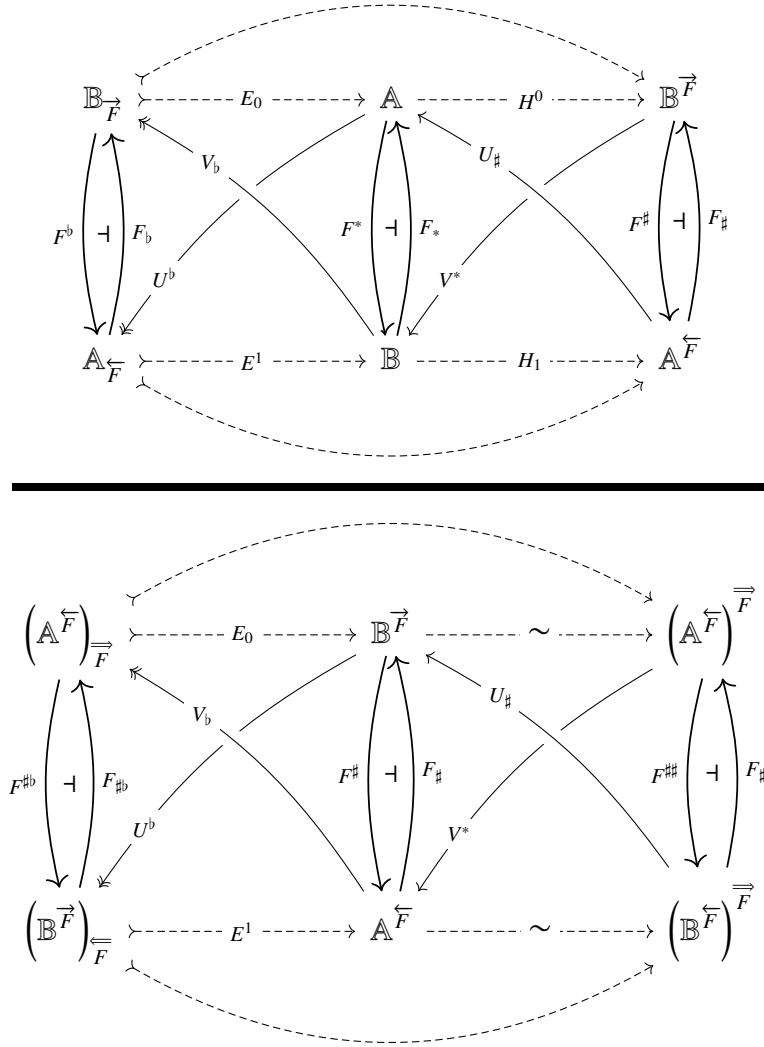


Figure 22: The resolutions of an adjunction  $F = (F^* \dashv F_*)$  and of its nucleus  $\overleftarrow{\mathfrak{N}}F = (F^\# \dashv F_\#)$

where the categories  $\mathbb{A}_{\overrightarrow{F}}$  and  $\mathbb{B}_{\overleftarrow{F}}$  are defined by the factorizations in Fig. 23. The category  $\mathbb{B}_{\overleftarrow{F}}$  thus consists of  $\mathbb{A}_{\overrightarrow{F}}$ -objects and  $\mathbb{B}_{\overleftarrow{F}}$ -morphisms, whereas  $\mathbb{A}_{\overrightarrow{F}}$  is the other way around<sup>4</sup>. Unpacking

<sup>4</sup>A very careful reader may at this point think that we got the notation wrong way around, because  $\mathbb{B}_{\overleftarrow{F}}$  consists of  $\mathbb{B}$ -objects and  $\mathbb{A}$ -morphisms, whereas  $\mathbb{A}_{\overleftarrow{F}}$  consists of  $\mathbb{A}$ -objects and  $\mathbb{B}$ -morphisms. Fig. 24 explains this choice of notation.

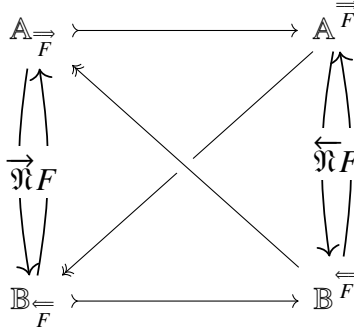


Figure 23: Little nucleus  $\overrightarrow{\mathfrak{N}}F$  defined by factoring simple nucleus  $\overleftarrow{\mathfrak{N}}F$

the definitions gives:

$$|\mathbb{B}_{\leftrightsquigarrow F}| = \coprod_{x \in |\mathbb{A}|} \left\{ \alpha_x \in \mathbb{B}(F^*x, F^*x) \mid \begin{array}{ccccc} F^*x & & F_*F^*x & \xrightarrow{\quad} & x \\ \downarrow \alpha_x & \searrow \alpha_x & \searrow F_*\alpha_x & & \downarrow \tilde{\alpha}_x \\ F^*x & \xrightarrow{\alpha_x} & F^*x & & F_*F^*x \end{array} \right\} \quad (94)$$

$$\mathbb{B}_{\leftrightsquigarrow F}(\alpha_x, \gamma_z) = \left\{ g \in \mathbb{B}(F^*x, F^*z) \mid \begin{array}{ccc} F_*F^*x & \xrightarrow{F_*g} & F_*F^*z \\ \downarrow F_*\alpha_x & & \downarrow F_*\gamma_z \\ F_*F^*x & \xrightarrow{F_*g} & F_*F^*z \end{array} \right\}$$

$$|\mathbb{A}_{\rightrightarrows F}| = \coprod_{u \in |\mathbb{B}|} \left\{ \beta^u \in \mathbb{A}(F_*u, F_*u) \mid \begin{array}{ccccc} F_*x & & F^*F_*u & \xrightarrow{\tilde{\beta}^u} & u \\ \downarrow \beta^u & \searrow \beta^u & \searrow F^*\beta^u & & \downarrow \\ F_*u & \xrightarrow{\beta^u} & F_*u & & F^*F_*u \end{array} \right\} \quad (95)$$

$$\mathbb{A}_{\rightrightarrows F}(\beta^u, \delta^w) = \left\{ f \in \mathbb{A}(F_*u, F_*w) \mid \begin{array}{ccc} F^*F_*u & \xrightarrow{F^*f} & F^*F_*w \\ \downarrow F^*\beta^u & & \downarrow F^*\delta^w \\ F_*u & \xrightarrow{F^*f} & F_*w \end{array} \right\}$$

The adjunction  $F^\flat \dashv F_\flat : \mathbb{B}_{\leftrightsquigarrow F} \rightarrow \mathbb{A}_{\rightrightarrows F}$  is obtained by restricting  $F^\sharp \dashv F_\sharp : \mathbb{B}_{\overleftarrow{F}} \rightarrow \mathbb{A}_{\overleftarrow{F}}$  along the

embeddings  $\mathbb{B}_{\overleftarrow{F}} \hookrightarrow \overleftarrow{\mathbb{B}}^{\overleftarrow{F}}$  and  $\mathbb{A}_{\overrightarrow{F}} \hookrightarrow \overrightarrow{\mathbb{A}}^{\overrightarrow{F}}$ . Hence the functor

$$\overrightarrow{\mathfrak{N}}(F^* \dashv F_* : \mathbb{B} \rightarrow \mathbb{A}) = (F^b \dashv F_b : \mathbb{B}_{\overleftarrow{F}} \rightarrow \mathbb{A}_{\overrightarrow{F}}) \quad (96)$$

To see that it is an idempotent comonad, in addition to the natural equivalences  $\overrightarrow{\mathfrak{N}}\overrightarrow{\mathfrak{N}}(F) \simeq \overrightarrow{\mathfrak{N}}(F)$  from (93), we need a counit  $\overrightarrow{\mathfrak{N}}(F) \xrightarrow{\varepsilon} F$ . The salient feature of the presentation in (95–94) is that it shows the forgetful functors  $\mathbb{B}_{\overleftarrow{F}} \rightarrow \mathbb{A}_{\overleftarrow{F}}$  and  $\mathbb{A}_{\overrightarrow{F}} \rightarrow \mathbb{B}_{\overrightarrow{F}}$ , which complement the equivalences  $\overleftarrow{\mathbb{B}}^{\overleftarrow{F}} \simeq \overleftarrow{\mathbb{A}}^{\overleftarrow{F}}$  and  $\overrightarrow{\mathbb{A}}^{\overrightarrow{F}} \simeq \overrightarrow{\mathbb{B}}^{\overrightarrow{F}}$  in Fig. 24.

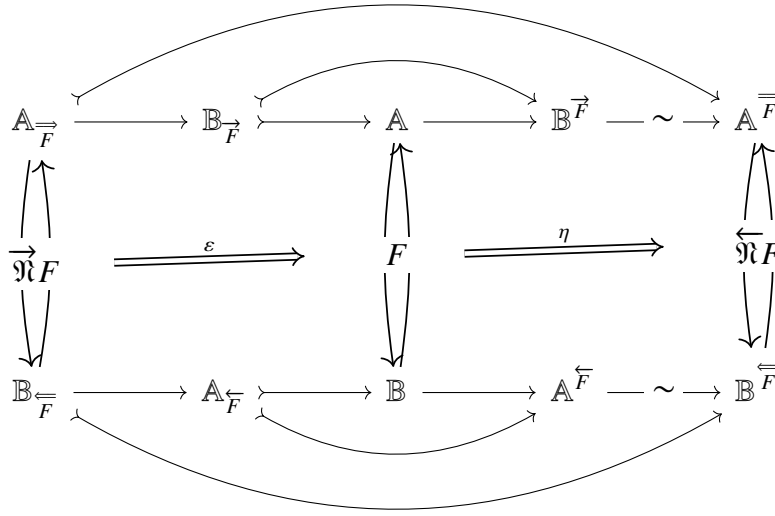


Figure 24: The counit  $\overrightarrow{\mathfrak{N}}F \xrightarrow{\varepsilon} F$  and the unit of  $F \xrightarrow{\eta} \overleftarrow{\mathfrak{N}}F$  in  $\text{Adj}$

**Proposition 9.1** *The little nucleus construction*

$$\overrightarrow{\mathfrak{N}} : \text{Adj} \longrightarrow \text{Adj} \quad (97)$$

$$(F^* \dashv F_* : \mathbb{B} \rightarrow \mathbb{A}) \longmapsto (F^b \dashv F_b : \mathbb{B}_{\overleftarrow{F}} \rightarrow \mathbb{A}_{\overrightarrow{F}}) \quad (98)$$

is an idempotent comonad. An adjunction is subnuclear if and only if it is fixed by this comonad. The category of subnuclear adjunctions

$$\text{Luc} = \left\{ F \in \text{Adj} \mid \overrightarrow{\mathfrak{N}}(F) \stackrel{\varepsilon}{\cong} F \right\} \quad (99)$$

is equivalent to the category of nuclear adjunctions:

$$\text{Luc} \simeq \text{Nuc}$$

**Proof.** The only claim not proved before the statement is the equivalence  $\text{Luc} \simeq \text{Nuc}$ . The functor  $\text{Luc} \rightarrow \text{Nuc}$  can be realized by restricting  $\overleftarrow{\mathfrak{M}}$  from  $\text{Adj}$  to  $\text{Luc} \subset \text{Adj}$ . The functor  $\text{Nuc} \rightarrow \text{Luc}$  can be realized by restricting  $\overrightarrow{\mathfrak{M}}$  from  $\text{Adj}$  to  $\text{Nuc} \subset \text{Adj}$ . The idempotency of both restricted functors implies that they form an equivalence.  $\square$

**Theorem 9.2** *The comonads  $\overrightarrow{\mathfrak{M}} : \text{Cmn} \rightarrow \text{Cmn}$  and  $\overrightarrow{\mathfrak{C}} : \text{Mnd} \rightarrow \text{Mnd}$ , defined*

$$\overrightarrow{\mathfrak{C}} = \text{AM} \circ \text{KC} \circ \text{AC} \circ \text{EM} \quad (100)$$

$$\overrightarrow{\mathfrak{M}} = \text{AC} \circ \text{EM} \circ \text{AM} \circ \text{EC} \quad (101)$$

*are idempotent. Iterating them leads to the natural equivalences*

$$\overrightarrow{\mathfrak{M}} \circ \overrightarrow{\mathfrak{M}} \stackrel{\varepsilon}{\simeq} \overrightarrow{\mathfrak{M}} \quad \overrightarrow{\mathfrak{C}} \circ \overrightarrow{\mathfrak{C}} \stackrel{\varepsilon}{\simeq} \overrightarrow{\mathfrak{C}}$$

*Moreover, their categories of coalgebras are equivalent:*

$$\text{Cmn}^{\overrightarrow{\mathfrak{M}}} \simeq \text{Luc} \simeq \text{Mnd}^{\overrightarrow{\mathfrak{C}}} \quad (102)$$

*with Luc as defined in (99), and*

$$\text{Cmn}^{\overrightarrow{\mathfrak{M}}} = \left\{ \overrightarrow{F} \in \text{Cmn} \mid \overrightarrow{\mathfrak{C}}(\overrightarrow{F}) \stackrel{\varepsilon}{\cong} \overrightarrow{F} \right\} \quad (103)$$

$$\text{Mnd}^{\overrightarrow{\mathfrak{C}}} = \left\{ \overleftarrow{F} \in \text{Mnd} \mid \overrightarrow{\mathfrak{M}}(\overleftarrow{F}) \stackrel{\varepsilon}{\cong} \overleftarrow{F} \right\} \quad (104)$$

The **proof** boils down to straightforward verifications with the simple nucleus formats. Fig. 25 summarizes and aligns the claims of Theorems 6 and 9.2.

## 10 Example 0: The Kan adjunction

Our final example of a nucleus construction arises from the first example of an adjoint pair of functors. The concept of adjunctions goes back, of course, at least to Évariste Galois, or, depending on how you conceptualize it, as far back as to Heraclitus [49], and into the roots of logics [52]; yet the definition of an adjoint pair of functors between genuine categories goes back to the late 1950s, to Daniel Kan's work in homotopy theory [42]. Kan defined the Kan extensions to capture a particular adjunction, perhaps like Eilenberg and MacLane defined categories and functors to define certain natural transformations.

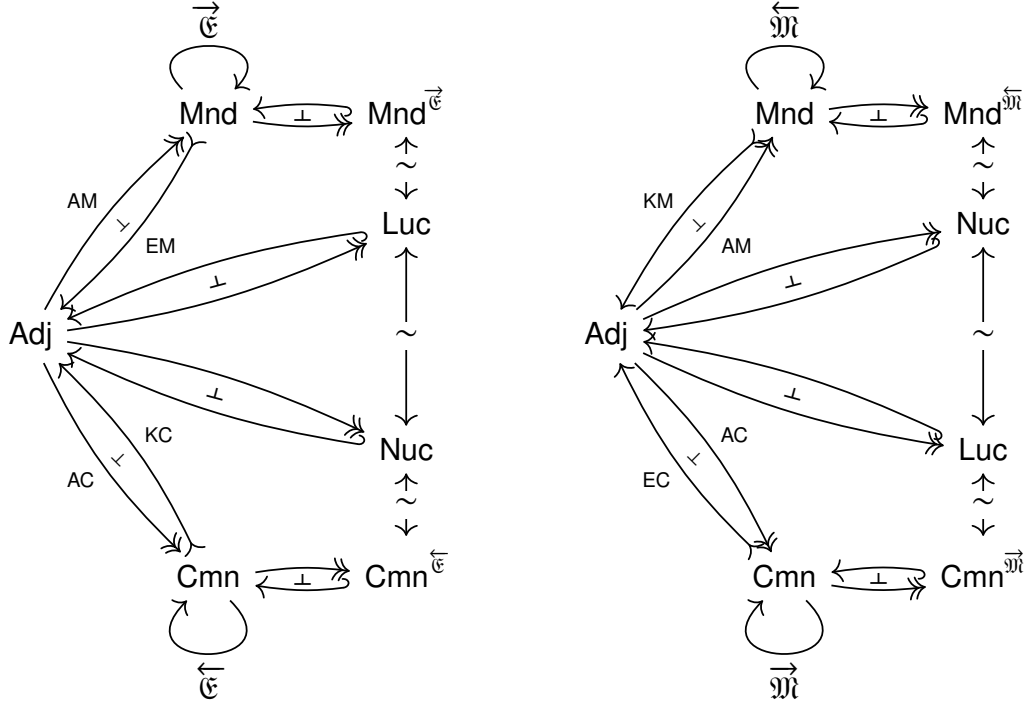


Figure 25: Relating little and big nuclei

## 10.1 Simplices and the simplex category

One of the seminal ideas of algebraic topology arose from Eilenberg's computations of homology groups of topological spaces by decomposing them into simplices [22]. An  $m$ -simplex is the set

$$\Delta_{[m]} = \{\vec{x} \in [0, 1]^{m+1} \mid \sum_{i=0}^m x_i = 1\} \quad (105)$$

with the product topology induced by the open intervals on  $[0, 1]$ . The relevant structure of a topological space  $X$  is captured by families of continuous maps  $\Delta_m \rightarrow X$ , for all  $m \in \mathbb{N}$ . Some such maps do not *embed* simplices into a space, like triangulations do, but contain degeneracies, or singularities. Nevertheless, considering the entire family of such maps to  $X$  makes sure that any simplices that can be embedded into  $X$  will be embedded by some of them. Since the simplicial structure is captured by each  $\Delta_{[m]}$ 's projections onto all  $\Delta_{[\ell]}$ s for  $\ell < m$ , and by  $\Delta_{[m]}$ 's embeddings into all  $\Delta_{[n]}$ s for  $n > m$ , a coherent simplicial structure corresponds to a functor of the form  $\Delta_{[-]} : \Delta \rightarrow \mathbf{Esp}$ , where  $\mathbf{Esp}$  is the category of topological spaces and continuous maps<sup>5</sup>, and  $\Delta$  is the simplex category. Its objects are finite ordinals

$$[m] = \{0 < 1 < 2 < \dots < m\}$$

<sup>5</sup>We denote the category of topological spaces by the abbreviation  $\mathbf{Esp}$  of the French word *espace*, not just because there are other things called  $\mathbf{Top}$  in the same contexts, but also as authors' reminder-to-self of the tacit sources of the approach [34, 3].

while its morphisms are the order-preserving functions [26]. All information about the simplicial structure of topological spaces is thus captured in the matrix

$$\begin{aligned} \Upsilon: \Delta^o \times \mathbf{Esp} &\rightarrow \mathbf{Set} \\ [m] \times X &\mapsto \mathbf{Esp}(\Delta_{[m]}, X) \end{aligned} \quad (106)$$

This is, in a sense, the "*context matrix*" of homotopy theory, if it were to be translated to the language of Sec. 2, and construed as a geometric "*concept analysis*".

## 10.2 Kan adjunctions and extensions

Daniel Kan's work was mainly concerned with computing homotopy groups in combinatorial terms [43]. That led to the discovery of categorical adjunctions as a tool for Kan's extensions of the simplicial approach [42]. Applying the toolkit from Sec. 5.3, the matrix  $\Upsilon$  from (106) gives rise to the following functors

$$\begin{array}{c} \Upsilon: \Delta^o \times \mathbf{Esp} \rightarrow \mathbf{Set} \\ \hline \Upsilon_\bullet: \Delta \rightarrow \uparrow\mathbf{Esp} \qquad \bullet\Upsilon: \mathbf{Esp} \rightarrow \downarrow\Delta \\ \hline \Upsilon^*: \downarrow\Delta \rightarrow \uparrow\mathbf{Esp} \qquad \Upsilon_*: \uparrow\mathbf{Esp} \rightarrow \downarrow\Delta \end{array} \quad (107)$$

where

- $\downarrow\Delta = \mathbf{Dfib}/\Delta \simeq \mathbf{Set}^{\Delta^o}$  is the category of simplicial sets  $K: \Delta^o \rightarrow \mathbf{Set}$ , or equivalently of complexes  $\int K: \widehat{K} \rightarrow \Delta$ , comprehended along the lines of Sec. 5.3.2;
- $\uparrow\mathbf{Esp} = (\mathbf{Ofib}/\mathbf{Esp})^o$  is the opposite category of discrete opfibrations over  $\mathbf{Esp}$ , i.e. of functors  $\mathcal{D} \xrightarrow{D} \mathbf{Esp}$  which establish isomorphisms between the coslices  $x/\mathcal{D} \cong Dx/\mathbf{Esp}$ .

The Yoneda embedding  $\Delta \xrightarrow{\Upsilon_\bullet} \downarrow\Delta$  makes  $\downarrow\Delta$  into a colimit-completion of  $\Delta$ , and induces the extension  $\Upsilon^*: \downarrow\Delta \rightarrow \uparrow\mathbf{Esp}$  of  $\Upsilon_\bullet: \Delta \rightarrow \uparrow\mathbf{Esp}$ . The Yoneda embedding  $\mathbf{Esp} \xrightarrow{\bullet\Upsilon} \downarrow\Delta$  makes  $\downarrow\Delta$  into a limit-completion of  $\mathbf{Esp}$ , and induces the extension  $\Upsilon_*: \uparrow\mathbf{Esp} \rightarrow \downarrow\Delta$  of  $\bullet\Upsilon: \mathbf{Esp} \rightarrow \downarrow\Delta$ .

However,  $\mathbf{Esp}$  is a large category, and the category  $\uparrow\mathbf{Esp}$  lives in another universe. Moreover,  $\mathbf{Esp}$  already has limits, and completing it to  $\uparrow\mathbf{Esp}$  obliterates them, and adjoins the formal ones. Kan's original extension was defined using the original limits in  $\mathbf{Esp}$ , and there was no need to form  $\uparrow\mathbf{Esp}$ . Using the standard notation  $\mathbf{sSet}$  for simplicial sets  $\mathbf{Set}^{\Delta^o}$ , or equivalently for complexes  $\downarrow\Delta$ , Kan's original adjunction boils down to

$$\begin{array}{ccc} \mathbb{K} \xrightarrow{K} \Delta & \mathbf{sSet} & (\Delta_{[-1]}/X \xrightarrow{\text{Dom}} \Delta) \\ \downarrow & \Upsilon^* \uparrow \downarrow \Upsilon_* & \uparrow \\ \varinjlim (\mathbb{K} \xrightarrow{K} \Delta \xrightarrow{\Delta_{[-1]}} \mathbf{Esp}) & \mathbf{Esp} & X \end{array} \quad (108)$$

where

- $\Upsilon_* = \left( \Delta \xrightarrow{\Delta_{[-]}} \mathbf{Esp} \xrightarrow{\Delta} \Uparrow \mathbf{Esp} \right)$ , is truncated to  $\Delta \xrightarrow{\Delta_{[-]}} \mathbf{Esp}$ ;
- $\bullet \Upsilon: \Uparrow \mathbf{Esp} \rightarrow \Downarrow \Delta$  from (64), restricted to  $\mathbf{Esp}$  leads to

$$\lim_{\leftarrow} \left( 1 \xrightarrow{X} \mathbf{Esp} \xrightarrow{\bullet \Upsilon} \mathbf{Dfib} / \Delta \right) = \left( \Delta_{[-]} / X \xrightarrow{\text{Dom}} \Delta \right)$$

The adjunction  $\mathbf{MA}(\Upsilon) = (\Upsilon^* \dashv \Upsilon_* : \mathbf{Esp} \rightarrow \mathbf{sSet})$ , displayed in (108), has been studied for many years. The functor  $\Upsilon^* : \mathbf{sSet} \rightarrow \mathbf{Esp}$  is usually called the geometric realization [58], whereas  $\Upsilon_* : \mathbf{Esp} \rightarrow \mathbf{sSet}$  is the singular decomposition on which Eilenberg's singular homology was based [22]. Kan spelled out the concept of adjunction from the relationship between these two functors [42, 44].

The overall idea of the approach to homotopies through adjunctions was that recognizing this abstract relationship between  $\Upsilon^*$  and  $\Upsilon_*$  should provide a general method for transferring the invariants of interest between a geometric and an algebraic or combinatorial category. For a geometric realization  $\Upsilon^* K \in \mathbf{Esp}$  of a complex  $K \in \mathbf{sSet}$ , the homotopy groups can be computed in purely combinatorial terms, from the structure of  $K$  alone [43]. Indeed, the spaces in the form  $\Upsilon^* K$  boil down to Whitehead's CW-complexes [58, 75]. What about the spaces that do not happen to be in this form?

### 10.3 Troubles with localizations

The upshot of Kan's adjunction  $\Upsilon^* \dashv \Upsilon_* : \mathbf{Esp} \rightarrow \mathbf{sSet}$  is that for any space  $X$ , we can construct a CW-complex  $\overrightarrow{\Upsilon} X = \Upsilon^* \Upsilon_* X$ , with a continuous map  $\overrightarrow{\Upsilon} X \xrightarrow{\varepsilon} X$ , that arises as the counit of Kan's adjunction. In a formal sense, this counit is the best approximation of  $X$  by a CW-complex. When do such approximations preserve the geometric invariants of interest? By the late 1950s, it was already known that such combinatorial approximations work in many special cases, certainly whenever  $\varepsilon$  is invertible. But in general, even  $\overrightarrow{\Upsilon} \overrightarrow{\Upsilon} X \xrightarrow{\varepsilon} \overrightarrow{\Upsilon} X$  is not always invertible.

The idea of approximating topological spaces by combinatorial complexes thus grew into a quest for making the units or the counits of adjunctions invertible. Which spaces have the same invariants as the geometric realizations of their singular<sup>6</sup> decompositions? For particular invariants, there are direct answers [23, 24]. In general, though, localizing at suitable spaces along suitable reflections or coreflections aligns (107) with (16) and algebraic topology can be construed as a geometric extension of concept analysis from Sec. 2, extracting concept nuclei from context matrices as the invariants of adjunctions that they induce. Some of the most influential methods of algebraic topology can be interpreted in this way. Grossly oversimplifying, we mention three approaches.

The direct approach [27, 16, Vol. I, Ch. 5] was to enlarge the given category by formal inverses of a family of arrows, usually called weak equivalences, and denoted by  $\Sigma$ . They are thus made invertible in a calculus of fractions, generalizing the one for making the integers, or the elements of an integral domain, invertible in a ring. When applied to a large category, like  $\mathbf{Esp}$ , this calculus

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<sup>6</sup>The word "singular" here means that the simplices, into which space may be decomposed, do not have to be embedded into it, which would make the decomposition *regular*, but that the continuous maps from their geometric realizations may have *singularities*.

of fractions generally involves manipulating proper classes of arrows, and the resulting category may even have large hom-sets.

Another approach [21, 71] is to factor out the  $\Sigma$ -arrows using two factorization systems. This approach is similar to the constructions outlined in Sections 3 and 4.5.3, but the factorizations of continuous maps that arise in this framework are not unique: they comprise families of fibrations and cofibrations, which are orthogonal by lifting and descent, thus only weakly. Abstract homotopy models in categories thus lead to pairs of *weak* factorization systems. Sticking with the notation  $\mathcal{E}^\bullet \wr \mathcal{M}$  and  $\mathcal{E} \wr \mathcal{M}^\bullet$  for such weak factorization systems, the idea is thus that the family  $\Sigma$  is now generated by composing the elements of  $\mathcal{E}^\bullet$  and  $\mathcal{M}^\bullet$ . Localizing at the arrows from  $\mathcal{E} \cap \mathcal{M}$ , that are orthogonal to both  $\mathcal{M}^\bullet$  and  $\mathcal{E}^\bullet$ , makes  $\Sigma$  invertible. It turns out that suitable factorizations can be found both in  $\mathbf{Esp}$  and in  $\mathbf{sSet}$ , to make the adjunction between spaces and complexes into an equivalence. This was Dan Quillen's approach [70, 71].

The third approach [1, 2] tackles the task of making the arrows  $\vec{\Upsilon}X \xrightarrow{\varepsilon} X$  invertible by modifying the comonad  $\vec{\Upsilon}$  until it becomes idempotent, and then localizing at the coalgebras of this idempotent comonad. Note that this approach does not tamper with the continuous maps in  $\mathbf{Esp}$ , be it to make some of them formally invertible, or to factor them out. The idea is that an idempotent comonad, call it  $\vec{\Upsilon}_\infty : \mathbf{Esp} \rightarrow \mathbf{Esp}$ , should localize any space  $X$  at a space  $\vec{\Upsilon}_\infty X$  such that  $\vec{\Upsilon}_\infty \vec{\Upsilon}_\infty X \xrightarrow{\varepsilon} \vec{\Upsilon}_\infty X$ . That means that  $\Upsilon_\infty$  is an idempotent monad. The quest for such a monad is illustrated in Fig. 26.  $\mathbf{Esp}^{\vec{\Upsilon}}$  denotes the category of coalgebras for the comonad  $\vec{\Upsilon} = \Upsilon^* \Upsilon_*$ , the

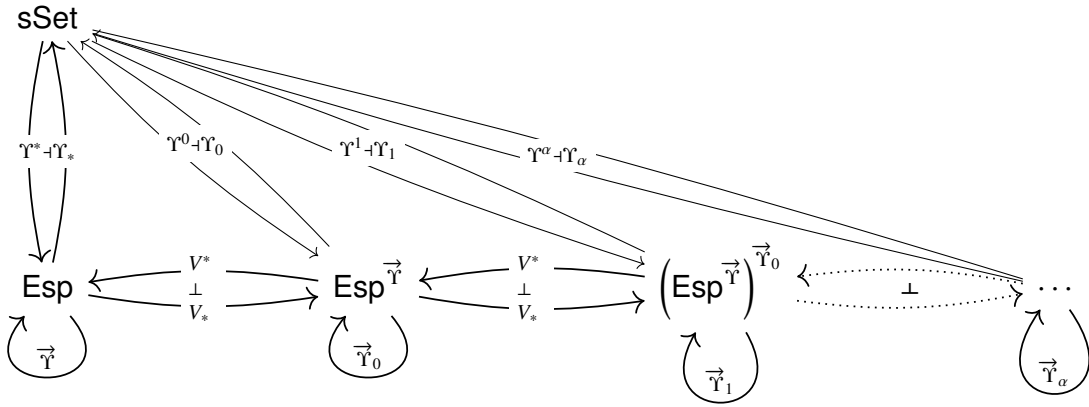


Figure 26: Iterating the comonad resolutions for  $\vec{\Upsilon}$

adjunction  $V^* \dashv V_* : \mathbf{Esp} \rightarrow \mathbf{Esp}^{\vec{\Upsilon}}$  is the final resolution of this comonad, and  $\Upsilon^0$  is the couniversal comparison functor into this resolution, mapping a complex  $K$  to the coalgebra  $\Upsilon^* K \xrightarrow{\eta^*} \Upsilon^* \Upsilon_* \Upsilon^* K$ . Since  $\mathbf{sSet}$  is a complete category,  $\Upsilon^0$  has a right adjoint  $\Upsilon_0$ , and they induce the comonad  $\vec{\Upsilon}_0$  on  $\mathbf{Esp}^{\vec{\Upsilon}}$ . If  $\vec{\Upsilon}$  was idempotent, then the final resolution  $V^* \dashv V_*$  would be a coreflection, and the comonad  $\vec{\Upsilon}_0$  would be (isomorphic to) the identity. But  $\vec{\Upsilon}$  is not idempotent, and the construc-



tion can be applied to  $\overrightarrow{\Upsilon}_0$  again, leading to  $(\text{Esp}^{\overrightarrow{\Upsilon}})^{\overrightarrow{\Upsilon}_0}$ , with the final resolution generically denoted  $V^* \dashv V_* : \text{Esp}^{\overrightarrow{\Upsilon}} \rightarrow (\text{Esp}^{\overrightarrow{\Upsilon}})^{\overrightarrow{\Upsilon}_0}$ , and the comonad  $\overrightarrow{\Upsilon}_1$  on  $(\text{Esp}^{\overrightarrow{\Upsilon}})^{\overrightarrow{\Upsilon}_0}$ . Remarkably, Applegate and Tierney [1] found that the process needs to be repeated *transfinitely* before the idempotent monad  $\overrightarrow{\Upsilon}_\infty$  is reached. At each step, some parts of a space that are not combinatorially approximable are eliminated, but that causes some other parts, that were previously approximable, to cease being so. And this may still be the case after infinitely many steps. A transfinite induction becomes necessary. The situation is similar to Cantor's quest for accumulation points of the convergence domains of Fourier series, which led him to discover transfinite induction in the first place.

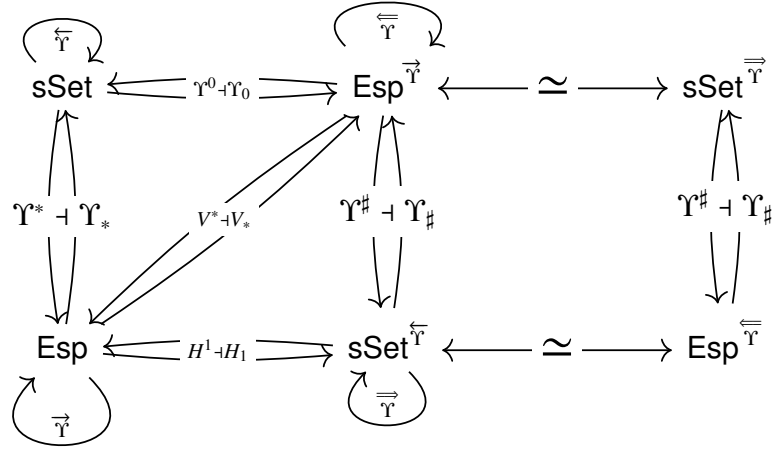


Figure 27: The nucleus of the Kan adjunction

The nucleus of the same adjunction is displayed in Fig. 27. The category  $\text{Esp}^{\overrightarrow{\Upsilon}}$  comprises spaces that may not be homeomorphic with a geometric realization of a complex, but are their retracts, projected along the counit  $\overrightarrow{\Upsilon}X \xrightarrow{\varepsilon} X$ , and included along the structure coalgebra  $X \rightarrow \overrightarrow{\Upsilon}X$ . But the projection does not preserve simplicial decompositions; i.e., it is not an  $\overrightarrow{\Upsilon}$ -coalgebra homomorphism. The transfinite construction of the idempotent monad  $\overrightarrow{\Upsilon}_\infty$  was thus needed to extract just those spaces where the projection boils down to a homeomorphism. But Prop. 8.1 implies that simplicial decompositions of spaces in  $\text{Esp}^{\overrightarrow{\Upsilon}}$  can be equivalently viewed as objects of the simple nucleus category  $\text{sSet}^{\overleftarrow{\Upsilon}}$ . Any space  $X$  decomposed along a coalgebra  $X \rightarrow \overrightarrow{\Upsilon}X$  in  $\text{Esp}^{\overrightarrow{\Upsilon}}$  can be equivalently viewed in  $\text{sSet}^{\overleftarrow{\Upsilon}}$  as a complex  $K$  with an idempotent  $\Upsilon^*K \xrightarrow{\varphi} \Upsilon^*K$ . This idempotent secretly splits on  $X$ , but the category  $\text{sSet}^{\overleftarrow{\Upsilon}}$  does not know that. It does know Corollary 8.4, though, which says that the object  $\varphi_K = \langle K, \Upsilon^*K \xrightarrow{\varphi} \Upsilon^*K \rangle$  is a retract of  $\overrightarrow{\Upsilon}\varphi_K$ ; and  $\overrightarrow{\Upsilon}\varphi_K$  secretly splits on  $\overrightarrow{\Upsilon}X$ . The space  $X$  is thus represented in the category  $\text{sSet}^{\overleftarrow{\Upsilon}}$  by the idempotent  $\varphi_K$ , which is a retract of  $\overrightarrow{\Upsilon}\varphi_K$ , representing  $\overrightarrow{\Upsilon}X$ . Simplicial decompositions of spaces

along coalgebras in  $\mathbf{Esp}^{\overrightarrow{\Upsilon}}$  can thus be equivalently captured as idempotents over simplicial sets within the simple nucleus category  $\mathbf{sSet}^{\overrightarrow{\Upsilon}}$ . The idempotency of the nucleus construction can be interpreted as a suitable completeness claim for such representations.

**To be continued.** How is it possible that  $X$  is not a retract of  $\overrightarrow{\Upsilon}X$  in  $\mathbf{Esp}^{\overrightarrow{\Upsilon}}$ , but the object  $\varphi_K$ , representing  $X$  in the equivalent category  $\mathbf{sSet}^{\overrightarrow{\Upsilon}}$ , is recognized as a retract of the object  $\overrightarrow{\Upsilon}\varphi_K$ , representing  $\overrightarrow{\Upsilon}X$ ? The answer is that the retractions occur at different levels of the representation.

Recall, first of all, that  $\mathbf{sSet}^{\overrightarrow{\Upsilon}}$  is a simplified form of  $\left(\mathbf{sSet}^{\overleftarrow{\Upsilon}}\right)^{\overrightarrow{\Upsilon}}$ . The reader familiar with Beck's Theorem, this time applied to comonadicity, will remember that  $X$  can be extracted from  $\overrightarrow{\Upsilon}X$  using an equalizer that splits in  $\mathbf{Esp}$ , when projected along a forgetful functor  $V^* : \mathbf{Esp}^{\overrightarrow{\Upsilon}} \rightarrow \mathbf{Esp}$ . This split equalizer in  $\mathbf{Esp}$  lifts back along the comonadic  $V^*$  to an equalizer in  $\mathbf{Esp}^{\overrightarrow{\Upsilon}}$ , which is generally not split. On the other hand, the splitting of this equalizer occurs in  $\left(\mathbf{sSet}^{\overleftarrow{\Upsilon}}\right)^{\overrightarrow{\Upsilon}}$  as the algebra carrying the corresponding coalgebra. In  $\mathbf{sSet}^{\overrightarrow{\Upsilon}}$ , this splitting is captured as the idempotent that it induces. We have shown, of course, that all three categories are equivalent. But  $\mathbf{sSet}^{\overrightarrow{\Upsilon}}$  internalizes the absolute limits that get reflected along the forgetful functor  $V^*$ . It makes them explicit, and available for computations. We return to it after the break.

## 11 Further directions and dimensions

### 11.1 Concrete

We studied nuclear adjunctions. To garner intuition, we considered some examples. Since every adjunction has a nucleus, the reader's favorite adjunctions provide additional examples and applications. Our favorite example is in [67]. In any case, the abstract concept arose from concrete applications, so there are many [45, 64, 65, 66, 68, 74, 76]. Last but not least, the nucleus construction itself is an example of itself, as it provides the nuclei of the adjunctions between monads and comonads.

### 11.2 What we did not do

We studied adjunctions, monads, and comonads in terms of adjunctions, monads, and comonads. We took category theory as a language and analyzed it in that same language. We preached what we practice. There is, of course, nothing unusual about that. There are many papers about the English language that are written in English.

However, self-applications of category theory get complicated. They sometimes cause chain reactions. Categories and functors form a category, but natural transformations make them into a 2-category. 2-categories form a 3-category, 3-categories a 4-category, and so on. Unexpected

things already happen at level 3 [31, 36]. Strictly speaking, the theory of categories is not a part of category theory, but of *higher* category theory [6, 53, 54, 72]. Grothendieck’s *homotopy hypothesis* [35, 56] made higher category theory into an expansive geometric pursuit, subsuming homotopy theory. While most theories grow to be simpler as they solve their problems, and dimensionality reduction is, in fact, the main tenet of statistics, machine learning, and concept analysis, higher category theory makes the dimensionality increase into a principle of the method. This opens up the realm of applications in modern physics but also presents a significant new challenge for the language of mathematical constructions.

Category theory reintroduced diagrams and geometric interactions as first-class citizens of the mathematical discourse, after several centuries of the prevalence of algebraic prose, driven by the facility of printing. Categories were invented to dam the flood of structure in algebraic topology, but they also geometrized algebra. In some areas, though, they produced their own flood of structure. Since the diagrams in higher categories are of higher dimensions, and the compositions are not mere sequences of arrows, diagram chasing became a problem. While it is naturally extended into cell pasting by filling 2-cells into commutative polygons, diagram pasting does not boil down to a directed form of diagram chasing, as one would hope. The reason is that 1-cell composition does not extend into 2-cell composition freely, but modulo the *middle-two interchange* law (a.k.a. *Godement’s naturality* law). A 2-cell can thus have many geometrically different representatives. This factoring is easier to visualize using string diagrams, which are the Poincaré duals of the pasting diagrams. Dualizing maps 2-cells into vertices, and 0-cells into faces of string diagrams. Chasing 2-categorical string diagrams is thus a map-coloring activity.

In the earlier versions of this paper, the nucleus was presented as a 2-categorical construction. We spent several years validating some of the results at that level of generality, and drawing colored maps to make them communicable. Introducing a new idea in a new language can hardly do justice to either. At least in our early presentations, the concept of the nucleus and its 2-categorical context did not shed light on each other but obscured each other.

So we did not address the 2-categorical aspect of the nucleus in this paper at all but factored them out modulo natural isomorphisms.

### 11.3 What needs to be done

In view of Sec. 10, a higher categorical analysis of the nucleus construction seems to be of interest. The standard reference for the 2-categories of monads and comonads is [73], extended in [48]. The adjunction morphisms were introduced in [4]. Their 1-cells, which we sketch in the Appendix, are the lax versions of the morphisms of the corresponding categories in Sec. 5. The 2-cells are easy to derive from the structure preservation requirement, though less easy to draw, and often even more laborious to read. Understanding is a process that unfolds at many levels. The language of categories facilitates it if it is flexible, and obstructs it is not.

The quest for categorical methods of geometry has grown into a quest for geometric methods of category theory. There is a burgeoning new scene of diagrammatic tools [18, 37]. If pictures can help us understand the language of categories, then categories can help us to speak in pictures, and the nuclear methods may help us make it all simpler, and not more complicated.

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## Appendices

### A Overview of factorizations

**Definition A.1** A factorization system  $(\mathcal{E} \wr \mathcal{M})$  in a category  $\mathcal{C}$  a pair of subcategories  $\mathcal{E}, \mathcal{M} \subseteq \mathcal{C}$ , which contain all isomorphisms, and satisfy the following requirements:

- $\mathcal{C} = \mathcal{M} \circ \mathcal{E}$ : for every  $f \in \mathcal{C}$  there are  $e \in \mathcal{E}$  and  $m \in \mathcal{M}$  such that  $f = m \circ e$ , and
- $\mathcal{E} \perp \mathcal{M}$ : for every  $e \in \mathcal{E}$  and  $m \in \mathcal{M}$ , and for any  $f, g \in \mathcal{C}$  such that  $mu = ve$  there is a unique  $h \in \mathcal{C}$  such that  $u = he$  and  $v = mh$ , as displayed in (109).

$$\begin{array}{ccc}
 A & \xrightarrow{u} & C \\
 e \downarrow & \nearrow h & \downarrow m \\
 B & \xrightarrow{v} & D
 \end{array} \tag{109}$$

The elements of  $\mathcal{E}$  and of  $\mathcal{M}$  are respectively called (abstract) epis and monics.

**Proposition A.2** In every factorization system  $\mathcal{E} \wr \mathcal{M}$ , the families of abstract epis and monics determine each other by

$$\mathcal{E} = {}^\perp \mathcal{M} = \{e \in \mathcal{C} \mid e \perp \mathcal{M}\} \quad \text{and} \quad \mathcal{M} = \mathcal{E}^\perp = \{m \in \mathcal{C} \mid \mathcal{E} \perp m\}$$

where  $e \perp m$  means that  $e$  and  $m$  satisfy (109) for all  $u, v$ , and  $e \perp X$  and  $X \perp m$  mean that  $e \perp x$  and  $x \perp m$  hold for all  $x \in X$ .

**Proposition A.3** Factorization systems in any category form a complete lattice with respect to the ordering

$$(\mathcal{E} \wr \mathcal{M}) \leq (\mathcal{E}' \wr \mathcal{M}') \iff \mathcal{E} \subseteq \mathcal{E}' \wedge \mathcal{M} \supseteq \mathcal{M}' \tag{110}$$

The suprema and the infima in this lattice are respectively in the forms

- $\bigwedge_{j \in J} (\mathcal{E}_j \wr \mathcal{M}_j) = (\hat{\mathcal{E}} \wr \hat{\mathcal{M}})$  where  $\hat{\mathcal{E}} = \bigcap_{j \in J} \mathcal{E}_j$ , and  $\hat{\mathcal{M}} = \hat{\mathcal{E}}^\perp$ ,
- $\bigvee_{j \in J} (\mathcal{E}_j \wr \mathcal{M}_j) = (\check{\mathcal{E}} \wr \check{\mathcal{M}})$  is determined by  $\check{\mathcal{M}} = \bigcap_{j \in J} \mathcal{M}_j$  and  $\check{\mathcal{E}} = {}^\perp \check{\mathcal{M}}$ .

**Remark.** If the category  $C$  is large, the lattice of its factorization systems is also large.

**Definition A.4** The arrow monad  $\text{Arr} : \text{CAT} \rightarrow \text{CAT}$  maps every category  $C$  to the induced arrow category  $\text{Arr}(C) = C/C$ , supported by the monad structure

$$\begin{array}{ccccc}
 C & \xrightarrow{\eta} & \text{Arr}(C) & \xleftarrow{\mu} & \text{Arr}(\text{Arr}(C)) \\
 \\ 
 A & \mapsto & \begin{array}{c} A \\ | \\ \text{id} \\ \downarrow \\ A \end{array} & \begin{array}{c} A \\ | \\ g\varphi = \psi f \\ \downarrow \\ D \end{array} & \longleftarrow \begin{array}{ccc} A & \xrightarrow{f} & C \\ \varphi \downarrow & & \downarrow \psi \\ B & \xrightarrow{g} & D \end{array}
 \end{array}$$

**Proposition A.5** Algebras for the arrow monad  $\text{Arr}(C) = C/C$  [47, 62] monad  $\text{Arr} : \text{CAT} \rightarrow \text{CAT}$  correspond to factorization systems.

**Proof.** The free  $\text{Arr}$ -algebra  $C/C$  comes with the canonical factorization system  $\Delta \wr \nabla$ , where

$$\Delta = \{ \langle \iota, f \rangle \in C^2 \mid \iota \in \text{Iso} \} \quad \nabla = \{ \langle f, \iota \rangle \in C^2 \mid \iota \in \text{Iso} \}$$

where  $\text{Iso}$  is the family of all isomorphisms in  $C$ . The canonical factorization of a morphism  $\langle f, g \rangle \in \text{Arr}(C)(\varphi, \psi)$  thus splits its commutative square into two triangles, along the main diagonal  $g \circ \varphi = \psi \circ f$ , which is the canonical  $(\Delta, \nabla)$ -image of the factored morphism:

$$\begin{array}{ccccc}
 A & \xlongequal{\quad} & A & \xrightarrow{f^*} & C \\
 \varphi \downarrow & & R^{f^*} \circ \Phi = \downarrow \Psi \circ f^* & & \downarrow \Psi \\
 R^B & \xrightarrow{R^{f^*}} & R^D & \xlongequal{\quad} & R^D
 \end{array} \tag{111}$$

$$B \xleftarrow{f_*} D \xlongequal{\quad} D$$

A Chu-algebra  $\text{Chu}(\mathbb{C}) \xrightarrow{\alpha} \mathbb{C}$  determines a matrix factorization in  $\mathbb{C}$  by

$$\mathcal{E} = \{ \alpha(e) \mid e \in \Delta \} \quad \mathcal{M} = \{ \alpha(m) \mid m \in \nabla \}$$

The other way around, any matrix  $\Phi \in \mathbb{C}(A, R^B)$  lifts to  $\text{Chu}(\mathbb{C})$  as the morphism  $\langle \Phi, \Phi^o \rangle \in \text{Chu}(\mathbb{C})(\eta_A, \text{id}_{R^A})$ , which is factorized in the form

$$\begin{array}{ccccc}
 A & \xlongequal{\quad} & A & \xrightarrow{\Phi} & R^B \\
 \eta \downarrow & & \downarrow \Phi & & \downarrow \text{id} \\
 R^{R^A} & \xrightarrow{R^{\Phi^o}} & R^B & \xlongequal{\quad} & R^B
 \end{array} \tag{112}$$

$$R^A \xleftarrow{\Phi^o} B \xlongequal{\quad} B$$

The factorization of  $\Phi$  in  $\mathbb{C}$  is now induced by the algebra  $\text{Chu}(\mathbb{C}) \xrightarrow{\alpha} \mathbb{C}$ . The cochain condition for this algebra gives

$$\alpha(A, A \xrightarrow{\eta} R^{R^A}, R^A) = A \quad \text{and} \quad \alpha(R^B, R^B \xrightarrow{\text{id}} R^B, B) = B$$

The factorization  $\eta_A \xrightarrow{\langle \text{id}, \Phi^o \rangle} \Phi \xrightarrow{\langle \Phi, \text{id} \rangle} \text{id}_{R^B}$  is then projected by  $\alpha$  from  $\text{Chu}(\mathbb{C})$  to  $\mathbb{C}$ , and the induced factorization is thus

$$\begin{array}{ccc} A & \xrightarrow{\Phi} & R^B \\ \searrow \alpha(\text{id}, \Phi^o) & & \nearrow \alpha(\Phi, \text{id}) \\ & \alpha(\Phi) & \end{array} \quad (113)$$

□

For a more detailed overview of abstract factorization systems, see [16, Vol. I, Sec. 5.5].

## B Adjunctions, monads, comonads

### B.1 Matrices (a.k.a. distributors, profunctors, bimodules)

$$|\text{Mat}| = \coprod_{A, B \in \text{CAT}} \text{Dfib} / A \times B^o \quad (114)$$

$$\text{Mat}(\Phi, \Psi) = \coprod_{\substack{H \in \text{CAT}(A, C) \\ K \in \text{CAT}(B, D)}} \left( \text{Dfib} / A \times B^o \right) \left( \Phi, (H \times K^o)^* \Psi \right)$$

where  $\Psi \in \text{Dfib} / C \times D$ , and  $(H \times K^o)^* \Psi$  is its pullback along  $(H \times K^o) : A \times B^o \longrightarrow C \times D^o$ . Obviously,  $\Phi \in \text{Dfib} / A \times B^o$ .

### B.2 Adjunctions

$$|\text{Adj}| = \coprod_{A, B \in \text{CAT}} \coprod_{\substack{F^* \in \text{CAT}(A, B) \\ F_* \in \text{CAT}(B, A)}} \left\{ \langle \eta, \varepsilon \rangle \in \text{Nat}(\text{id}, F_* F^*) \times \text{Nat}(F^* F_*, \text{id}) \mid \right. \quad (115)$$

$$\left. \varepsilon F^* \circ F^* \eta = F^* \wedge F_* \varepsilon \circ \eta F_* = F_* \right\}$$

$$\text{Adj}(F, G) = \coprod_{\substack{H \in \text{CAT}(A, C) \\ K \in \text{CAT}(B, D)}} \left\{ \langle \nu^*, \nu_* \rangle \in \text{Nat}(K F^*, G^* H) \times \text{Nat}(H F_*, G_* K) \mid \right.$$

$$\left. \varepsilon^G K \circ G^* \nu_* \circ \nu^* F_* = K \varepsilon^F \wedge \eta^G H = G_* \nu^* \circ \nu_* F^* \circ H \eta^F \right\}$$

### B.3 Monads

$$|\mathbf{Mnd}| = \coprod_{\mathbb{A} \in \mathbf{CAT}} \coprod_{\overleftarrow{T} \in \mathbf{CAT}(\mathbb{A}, \mathbb{A})} \{ \langle \eta, \mu \rangle \in \mathbf{Nat}(\mathrm{id}, \overleftarrow{T}) \times \mathbf{Nat}(\overleftarrow{T}\overleftarrow{T}, \overleftarrow{T}) \mid \mu \circ \overleftarrow{T}\mu = \mu \circ \mu\overleftarrow{T} \wedge \mu \circ \overleftarrow{T}\eta = \overleftarrow{T} = \mu \circ \eta\overleftarrow{T} \} \quad (116)$$

$$\mathbf{Mnd}(\overleftarrow{T}, \overleftarrow{S}) = \coprod_{H \in \mathbf{CAT}(\mathbb{A}, \mathbb{C})} \{ \chi \in \mathbf{Nat}(\overleftarrow{T}H, H\overleftarrow{S}) \mid \chi \circ \eta^T H = H\eta^S \wedge H\mu^S \circ \chi S \circ T\chi = \chi \circ \mu^T H \}$$

### B.4 Comonads

$$|\mathbf{Cmn}| = \coprod_{\mathbb{B} \in \mathbf{CAT}} \coprod_{\overrightarrow{T} \in \mathbf{CAT}(\mathbb{B}, \mathbb{B})} \{ \langle \varepsilon, \nu \rangle \in \mathbf{Nat}(\overrightarrow{T}, \mathrm{id}) \times \mathbf{Nat}(\overrightarrow{T}, \overrightarrow{T}\overrightarrow{T}) \mid \overrightarrow{T}\nu \circ \nu = \nu\overrightarrow{T} \circ \nu \wedge \overrightarrow{T}\varepsilon \circ \nu = \overrightarrow{T} = \varepsilon\overrightarrow{T} \circ \nu \} \quad (117)$$

$$\mathbf{Cmn}(\overrightarrow{S}, \overrightarrow{T}) = \coprod_{K \in \mathbf{CAT}(\mathbb{B}, \mathbb{D})} \{ \kappa \in \mathbf{Nat}(K\overrightarrow{S}, \overrightarrow{T}K) \mid \varepsilon^T K \circ \kappa = K\varepsilon^S \wedge \overrightarrow{T}\kappa \circ \kappa\overrightarrow{S} \circ K\nu^S = \nu^S K \circ \kappa \}$$

### B.5 The initial (Kleisli) resolutions $\mathbf{KM} : \mathbf{Mnd} \rightarrow \mathbf{Adj}$ and $\mathbf{KC} : \mathbf{Cmn} \rightarrow \mathbf{Adj}$

**Definition B.1** *The Kleisli construction assigns to the monad  $T : \mathbb{A} \rightarrow \mathbb{A}$  the resolution  $\overleftarrow{\mathcal{K}}T = (T^b \dashv T_b : \mathbb{A}_{\overleftarrow{T}} \rightarrow \mathbb{A})$  where the category  $\mathbb{A}_{\overleftarrow{T}}$  consists of*

- free algebras as objects, which boil down to  $|\mathbb{A}_{\overleftarrow{T}}| = |\mathbb{A}|$ ;
- algebra homomorphisms as arrows, which boil down to  $\mathbb{A}_{\overleftarrow{T}}(x, x') = \mathbb{A}(x, Tx')$ ;

with the composition

$$\begin{aligned} \mathbb{A}_{\overleftarrow{T}}(x, x') \times \mathbb{A}_{\overleftarrow{T}}(x', x'') &\xrightarrow{\circ} \mathbb{A}_{\overleftarrow{T}}(x, x'') \\ \langle x \xrightarrow{f} Tx', x' \xrightarrow{g} Tx'' \rangle &\mapsto (x \xrightarrow{f} Tx' \xrightarrow{Tg} TTx'' \xrightarrow{\mu} Tx'') \end{aligned}$$

and with the identity on  $x$  induced by the monad unit  $\eta : x \rightarrow Tx$

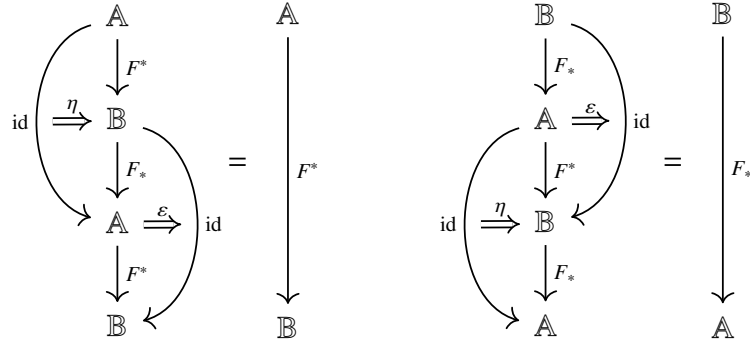


Figure 28: Pasting equations for adjunction  $F^* \dashv F_*$ .

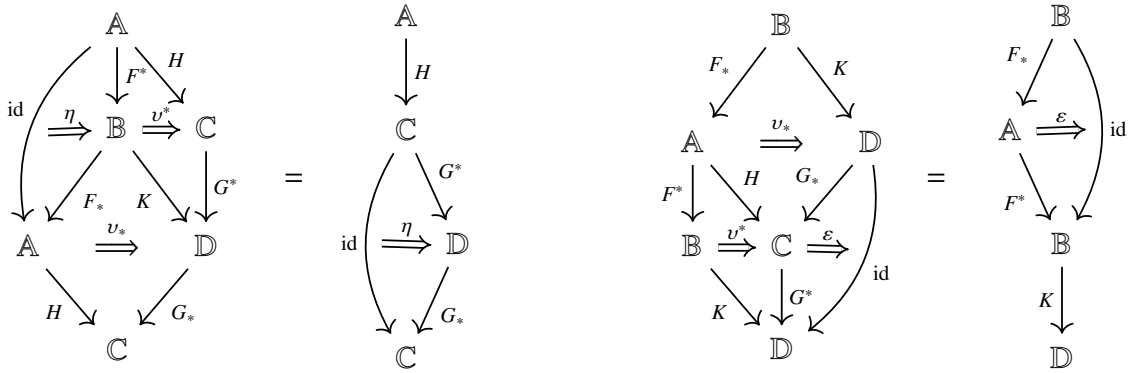


Figure 29: Pasting equations for adjunction 1-cell  $\langle H, K, v^*, v_* \rangle : F \rightarrow G$ .

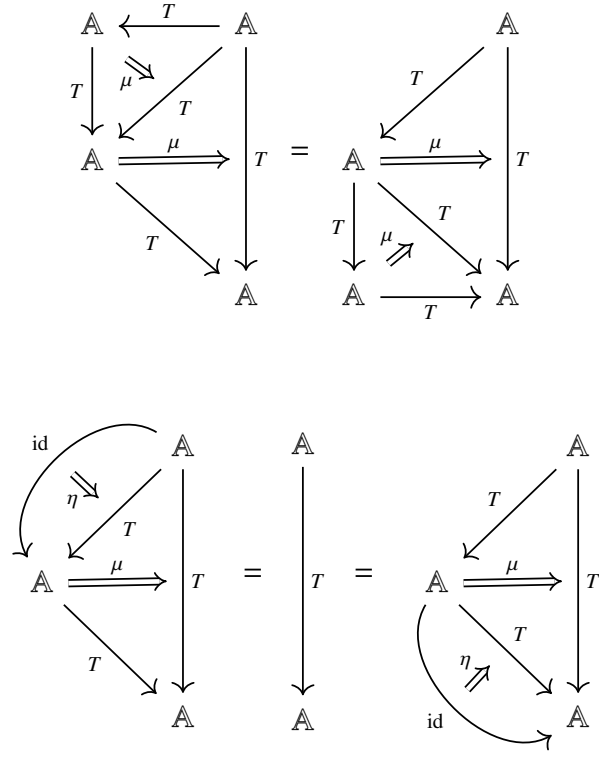


Figure 30: Pasting equations for monad  $\overleftarrow{T}$  on  $\mathbb{A}$ .

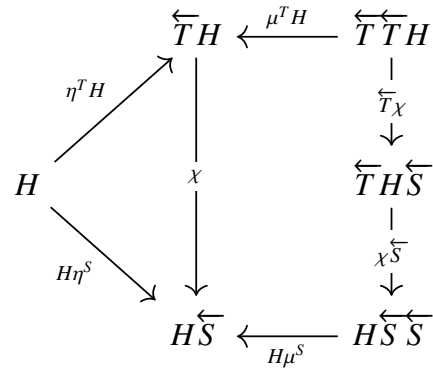


Figure 31: Commutative diagrams for monad 1-cell  $\langle H, \chi \rangle : \overleftarrow{T} \rightarrow \overleftarrow{S}$ .

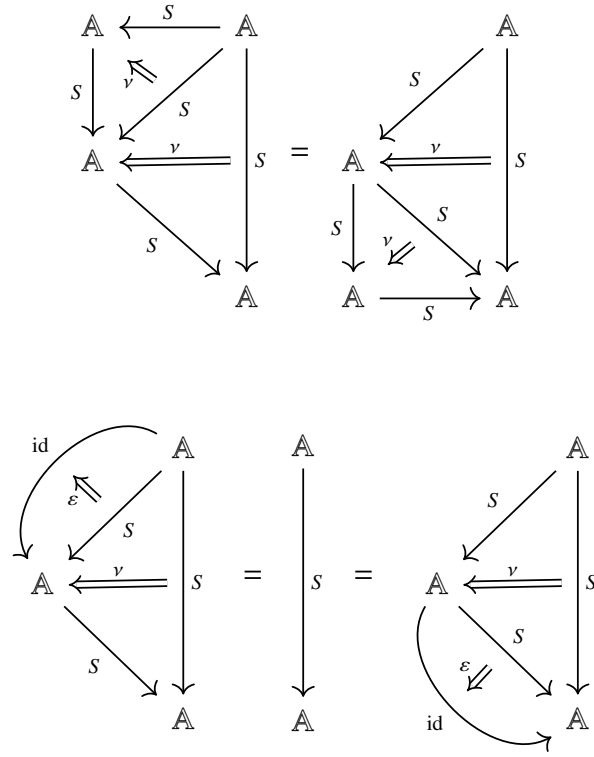


Figure 32: Pasting equations for comonad  $\vec{S}$  on  $\mathbb{B}$

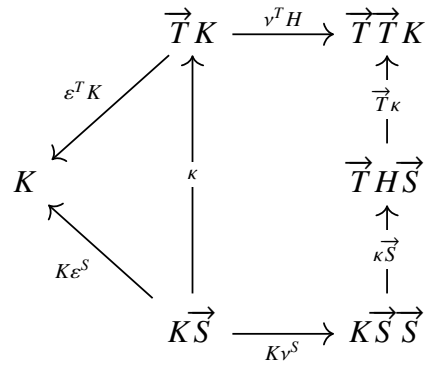


Figure 33: Commutative diagrams for comonad 1-cell  $\langle K, \kappa \rangle : \vec{S} \rightarrow \vec{T}$ .

## B.6 The final (Eilenberg-Moore) resolutions $\text{EM} : \text{Mnd} \rightarrow \text{Adj}$ and $\text{EC} : \text{Cmn} \rightarrow \text{Adj}$

**Definition B.2** The Eilenberg-Moore construction assigns to the monad  $T : \mathbb{A} \rightarrow \mathbb{A}$  the resolution  $\overleftarrow{\mathcal{E}}T = (T^\# \dashv T_\# : \mathbb{A}^{\overleftarrow{T}} \rightarrow \mathbb{A})$  where the category  $\mathbb{A}^{\overleftarrow{T}}$  consists of

- all algebras *as objects*:

$$|\mathbb{A}^{\overleftarrow{T}}| = \sum_{x \in |\mathbb{A}|} \{\alpha \in \mathbb{A}(Tx, x) \mid \alpha \circ \eta = \text{id} \wedge \alpha \circ T\alpha = \alpha \circ \mu\}$$

- algebra homomorphisms *as arrows*:

$$\mathbb{A}^{\overleftarrow{T}}(Tx \xrightarrow{\alpha} x, Tx' \xrightarrow{\gamma} x') = \{f \in \mathbb{A}(x, x') \mid f \circ \alpha = \gamma \circ Tf\}$$

## C Split equalizers

Split equalizers and coequalizers[14, 15] are conventionally written as *partially* commutative diagrams: the straight arrows commute, the epi-mono splittings compose to identities on the quotient side, and to equal idempotents on the other side.

**Proposition C.1** Consider the split equalizer diagram

$$A \begin{array}{c} \xrightarrow{i} \\ \xleftarrow{q} \end{array} B \begin{array}{c} \xrightarrow{f} \\ \xleftarrow{j} \end{array} C \quad (118)$$

where

$$q \circ i = \text{id}_A \quad r \circ j = \text{id}_B \quad f \circ r \circ f = j \circ r \circ f$$

Then

- $r \circ f$  is idempotent and
- $i$  is the equalizer of  $f$  and  $j$  if and only if  $i \circ q = r \circ f$ .