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# **Open government**

**Open government** is the governing <u>doctrine</u> which holds that citizens have the right to access the documents and proceedings of the government to allow for effective public oversight.<sup>[1]</sup> In its broadest construction, it opposes <u>reason of state</u> and other considerations, which have tended to legitimize extensive state <u>secrecy</u>. The origins of open-government arguments can be dated to the time of the European <u>Age of Enlightenment</u>, during which philosophers debated the proper construction of a then nascent <u>democratic society</u>. It is also increasingly being associated with the concept of democratic reform.<sup>[2]</sup>

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### Components

The concept of open government is broad in scope but is most often connected to ideas of government transparency and accountability. One definition, published by The Quality of Government Institute at the University of Gothenburg in Sweden, limits government openness to information released by the government, or the extent to which citizens can request and receive information that is not already published. Harlan Yu and David G. Robinson specify the distinction between open data and open government in their paper "The New Ambiguity of "Open Government". They define open government in terms of service delivery and public accountability. They argue that technology can be used to facilitate disclosure of information, but that the use of open data technologies does not necessarily equate accountability. [4]

The Organisation for Economic Co-operation and Development (OECD) approaches open government through the following categories: whole of government coordination, civic engagement and access to information, budget transparency, integrity and the fight against corruption, use of technology, and local development.<sup>[5]</sup>

## **History**

The term 'open government' originated in the <u>United States</u> after World War II. Wallace Parks, who served on a subcommittee on Government Information created by the U.S. Congress, introduce the term in his 1957 article "The Open Government Principle: Applying the Right to Know under the Constitution". After this and after the passing of the <u>Freedom of Information Act</u> (FOIA) in 1966, federal courts began using the term as a synonym for government transparency. [4]

Although this was the first time that 'open government' was introduced the concept of transparency and accountability in government can be traced back to Ancient Greece in fifth century B.C.E. Athens where different legal institutions regulated the behavior of officials and offered a path for citizens to express their grievances towards them. One such institution, the euthyna, held officials to a standard of "straightness" and enforced that they give an account in front of an Assembly of citizens about everything that they did that year.<sup>[6]</sup>

In more recent history, the idea that government should be open to public scrutiny and susceptible to public opinion dates back to the time of the Enlightenment, when many *philosophes* made an attack on absolutist doctrines of state secrecy. <sup>[7][8]</sup> The passage of formal legislature can also be traced to this time with Sweden, (which then included Finland as a Swedish-governed territory) where free press legislation was enacted as part of its constitution (Freedom of the Press Act, 1766). <sup>[9]</sup>

Influenced by Enlightenment thought, the revolutions in United States (1776) and France (1789), enshrined provisions and requirements for public budgetary accounting and <u>freedom of the press</u> in constitutional articles. In the nineteenth century, attempts by <u>Metternichean</u> statesmen to row back on these measures were vigorously opposed by a number of <u>eminent liberal</u> politicians and writers, including Jeremy Bentham, John Stuart Mill and John Dalberg-Acton, 1st Baron Acton.

Open government is widely seen to be a key hallmark of contemporary <u>democratic</u> practice and is often linked to the passing of <u>freedom of information</u> legislation. Scandinavian countries claim to have adopted the first freedom of information legislation, dating the origins of its modern provisions to the eighteenth century and Finland continuing the presumption of openness after gaining independence in 1917, passing its Act on Publicity of Official Documents in 1951 (superseded by new legislation in 1999).

An emergent development also involves the increasing integration of software and mechanisms that allow citizens to become more directly involved in governance, particularly in the area of legislation. [10] Some refer to this phenomenon as e-participation, which has been described as "the use of information and communication technologies to broaden and deepen political participation by enabling citizens to connect with one another and with their elected representatives". [11]

## **Current policies**

#### **Africa**

Morocco's new constitution of 2011, outlined several goals the government wishes to achieve in order to guarantee the citizens right to information. The world has been offering support to the government in order to enact these reforms through the Transparency and Accountability Development Policy Loan (DPL). This loan is part of a joint larger program between the European Union and the African Development Bank to offer financial and technical support to governments attempting to implement reforms. [13]

As of 2010, section 35 of Kenya's constitution ensures citizens' rights to government information. The article states "35.(1) Every citizen has the right of access to — (a) information held by the State; and (b) information held by another person and required for the exercise or protection of any right or fundamental freedom ... (3) The State shall publish and publicize any important information affecting the nation." Important government data is now freely available through the Kenya Open Data Initiative. [14]

#### Asia

Taiwan started its e-government program in 1998 and since then has had a series of laws and executive orders to enforce open government policies. The Freedom of Government Information Law of 2005, stated that all government information must be made public. Such information includes budgets, administrative plans, communication of government agencies, subsidies. Since then it released its open data platform, data.gov.tw (https://data.gov.tw/). The Sunflower Movement of 2014, emphasized the value that Taiwanese citizens place on openness and transparency. A white paper published by the National Development Council with policy goals for 2020 explores ways to increase citizen participation and use open data for further government transparency. [15]

The <u>Philippines</u> passed the Freedom of Information Order in 2016, outlining guidelines to practice government transparency and full public disclosure.<sup>[16]</sup> In accordance to its General Appropriations Act of 2012, the Philippine government requires government agencies to display a "transparency seal" on their websites, which contains information about the agency's functions, annual reports, officials, budgets, and projects.<sup>[17]</sup>

The <u>Right to Information</u> (RTI) movement in India, created the RTI law in 2005 after environmental movements demanded the release of information regarding environmental deterioration due to industrialization.<sup>[18]</sup> Another catalyst for the RTI law and other similar laws in southeast Asia, may have been due to multilateral agencies offering aid and loans in exchange for more transparency or "democratic" policies.<sup>[19][20]</sup>

### **Europe**

In the Netherlands, large social unrest and the growing influence of televisions in the 1960s led to a push for more government openness. Access to information legislation was passed in 1980 and since then further emphasis has been placed on measuring the performance of government agencies. [21] Particularly, the government of the Netherlands adopted the Open Government in Action (*Open overheid in actie*) Plan for 2016–2017, which outlines nine concrete commitments to the open government standards set by the OECD. [22]

#### **North America**

In 2009, President Obama released a memorandum on transparency and open government and started the Open Government Initiative. In his memorandum put forward his administration's goal to strengthen democracy through a transparent, participatory and collaborative government. The initiative has goals of a transparent and collaborative government, in which to end secrecy in Washington, while improving effectiveness through increased communication between citizens and government officials. Movements for government transparency in recent United States history started in the 1950s after World War II because federal departments and agencies had started limiting information availability as a reaction to global hostilities during the war and due to fear of Cold War spies. Agencies were given the right to deny access to information "for good cause found" or "in the public interest". These policies made it difficult for congressional committees to get access to records and documents, which then led to explorations of possible legislative solutions. [25]

#### **South America**

Since the early 2000s, transparency has been an important part of Chile's Anti-Corruption and Probity Agenda and State Modernization Agenda. In 2008, Chile passed the Transparency Law has led to further open government reforms.<sup>[26]</sup> Chile published its open government action plan for 2016-18 as part of its membership of the Open Government Partnership (OGP).<sup>[27]</sup>

## **Arguments for and against**

#### For transparency

Transparency in government is often credited with generating government <u>accountability</u>, which supporters argue leads to reduction in <u>government corruption</u>, <u>bribery</u> and other <u>malfeasance</u>. Some commentators contend that an open, transparent government allows for the dissemination of information, which in turn helps produce greater knowledge and societal progress. [28]

Public opinion can also be shifted when people have access to see the result of a certain policy. The United States government has at times forbid journalists to publish photographs of soldiers' coffins, an apparent attempt to manage emotional reactions that might heighten public criticism of ongoing wars; nonetheless, many believe that emotionally charged images can be valuable information. Similarly, some opponents of the death penalty have argued that executions should be televised so the public can "see what is being done in their name and with their tax dollars." [30]

Government transparency is beneficial for efficient democracy, as information helps citizens form meaningful conclusions about upcoming legislation and vote for them in the next election. [31] According to the Carnegie Endowment for International Peace, greater citizen participation in government is linked to government transparency. [32]

Advocates of open government often argue that <u>civil society</u>, rather than government <u>legislation</u>, offers the best route to more transparent administration. They point to the role of <u>whistleblowers</u> reporting from inside the government bureaucracy (individuals like <u>Daniel Ellsberg</u> or <u>Paul van Buitenen</u>). They argue that an independent and inquiring press, printed or electronic, is often a stronger guarantor of transparency than legislative checks and balances. [33][34]

The contemporary doctrine of open government finds its strongest advocates in <u>non-governmental</u> <u>organizations</u> keen to counter what they see as the inherent tendency of government to lapse, whenever <u>possible</u>, into secrecy. Prominent among these NGOs are bodies like Transparency International or the

<u>Open Society Institute</u>. They argue that standards of openness are vital to the ongoing prosperity and development of democratic societies.

#### **Against transparency**

Government indecision, poor performance and <u>gridlock</u> are among the risks of government transparency, according to some critics. Political commentator <u>David Frum</u> wrote in 2014 that, "instead of yielding more accountability, however, these reforms [transparency reforms] have yielded more lobbying, more expense, more delay, and more indecision." Jason Grumet argues that government officials cannot properly deliberate, collaborate and compromise when everything they are doing is being watched. [37]

Privacy is another concern. Citizens may incur "adverse consequences, retribution or negative repercussions"<sup>[1]</sup> from information provided by governments. Teresa Scassa, a law professor at the University of Ottawa, outlined three main possible privacy challenges in a 2014 article. First is the difficulty of balancing further transparency of government, while also protecting the privacy of personal information, or information about identifiable individuals that is in the hands of the government. Second is dealing with distinctions between data protection regulations between private and public sector actors because governments may access information collected by private companies which are not controlled by as stringent laws. Third is the release of "Big data", which may appear anonymized can be reconnected to specific individuals using sophisticated algorithms.<sup>[38]</sup>

Intelligence gathering, especially to identify violent threats (whether domestic or foreign), must often be done clandestinely. Frum wrote in 2014 that "the very same imperatives that drive states to collect information also require them to deny doing so. These denials matter even when they are not believed." [39]

Moral certitude undergirds much transparency advocacy, but a number of scholars question whether it is possible for us to have that certitude. They have also highlighted how transparency can support certain neoliberal imperatives.<sup>[40]</sup>

## Technology and open government

Governments and organizations are using new technologies as a tool for increased transparency. Examples include use of <u>open data</u> platforms to publish information online and the theory of <u>open source</u> governance.

Open government data (OGD), a term which refers specifically to the public publishing of government datasets, [41] is often made available through online platforms such as data.gov.uk or www.data.gov. Proponents of OGD argue that easily accessible data pertaining to governmental institutions allows for further citizen engagement within political institutions. [42] OGD principles require that data is complete, primary, timely, accessible, machine processable, non-discriminatory, non-proprietary, and license free. [43]

Public and private sector platforms provide an avenue for citizens to engage while offering access to transparent information that citizens have come to expect. Numerous organizations have worked to consolidate resources for citizens to access government (local, state and federal) budget spending, stimulus spending, lobbyist spending, legislative tracking, and more. [44]

## **Organizations**

- Open Government Partnership (OGP) was an organization launched in 2011 to allow domestic reformers to make their own governments across the world more open, accountable, and responsive to citizens. Since 2011, OGP has grown to 75 participating countries today whose government and civil societies work together to develop and implement open government reforms.<sup>[45]</sup>
- Code for All is a non-partisan, non-profit international network of organizations who believe technology leads to new opportunities for citizens to lead a more prominent role in the political sphere and have a positive impact on their communities. The organizations relies on technology to improve government transparency and engage citizens.<sup>[46]</sup>
- The Sunlight Foundation is a nonprofit, nonpartisan organization founded in 2006 that uses civic tech, open data, and policy analysis to make information from government and politics more transparent to everyone. Their ultimate vision is to increase democratic participation and achieve changes on political money flow and who can influence government. While their work began with an intent to focus only on the US Congress, their work now influences the local, state, federal, and international levels. [47]
- Open Government Pioneers UK is an example of a civil society led initiative using open source approaches to support citizens and civil society organisations use open government as a way to secure progress towards the Sustainable Development Goals. It uses an Open Wiki to plan the development of an open government civil society movement across the UK's home nations.<sup>[48]</sup>

### See also

- Access to public information
- Censorship
- Civic technology
- e-democracy
- e-government
- Open data
- Open philanthropy
- Open society
- The Open Society and Its Enemies an essay by philosopher Karl Popper
- Political corruption
- Privacy
- Public trust
- Transparency (behavior)
- WikiLeaks

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### **External links**

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