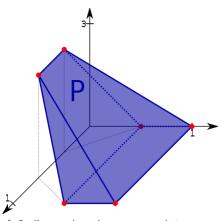
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Convex polytope

A **convex polytope** is a special case of a <u>polytope</u>, having the additional property that it is also a <u>convex set</u> contained in the n-dimensional Euclidean space \mathbb{R}^n . Most texts [1][2] use the term "polytope" for a <u>bounded</u> convex polytope, and the word "polyhedron" for the more general, possibly unbounded object. Others [3] (including this article) allow polytopes to be unbounded. The terms "bounded/unbounded convex polytope" will be used below whenever the boundedness is critical to the discussed issue. Yet other texts identify a convex polytope with its boundary.

Convex polytopes play an important role both in various branches of <u>mathematics</u> and in applied areas, most notably in <u>linear</u> programming.



A 3-dimensional convex polytope

In the influential textbooks of Grünbaum^[1] and Ziegler^[2] on the subject, as well as in many other texts in discrete geometry, convex polytopes are often simply called "polytopes". Grünbaum points out that this is solely to avoid the endless repetition of the word "convex", and that the discussion should throughout be understood as applying only to the convex variety (p. 51).

A polytope is called *full-dimensional* if it is an n-dimensional object in \mathbb{R}^n .

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Examples

- Many examples of bounded convex polytopes can be found in the article "polyhedron".
- In the 2-dimensional case the full-dimensional examples are a half-plane, a strip between two parallel lines, an angle shape (the intersection of two non-parallel half-planes), a shape defined by a convex polygonal chain with two rays attached to its ends, and a convex polygon.
- Special cases of an unbounded convex polytope are a slab between two parallel hyperplanes, a wedge defined by two non-parallel half-spaces, a polyhedral cylinder (infinite prism), and a polyhedral cone (infinite cone) defined by three or more half-spaces passing through a common point.

Definitions

A convex polytope may be defined in a number of ways, depending on what is more suitable for the problem at hand. Grünbaum's definition is in terms of a convex set of points in space. Other important definitions are: as the <u>intersection</u> of <u>half-spaces</u> (half-space representation) and as the convex hull of a set of points (vertex representation).

Vertex representation (convex hull)

In his book <u>Convex Polytopes</u>, Grünbaum defines a convex polytope as a <u>compact</u> <u>convex set</u> <u>with</u> **a finite number of extreme points**:

A set K of \mathbb{R}^n is *convex* if, for each pair of distinct points a, b in K, the closed segment with endpoints a and b is contained within K.

This is equivalent to defining a bounded convex polytope as the <u>convex hull</u> of a finite set of points, where the finite set must contain the set of extreme points of the polytope. Such a definition is called a **vertex representation** (**V-representation** or **V-description**). For a compact convex polytope, the minimal V-description is unique and it is given by the set of the <u>vertices</u> of the polytope. A convex polytope is called an integral polytope if all of its vertices have integer coordinates.

Intersection of half-spaces

A convex polytope may be defined as an intersection of a finite number of half-spaces. Such definition is called a **half-space representation** (**H-representation** or **H-description**). There exist infinitely many H-descriptions of a convex polytope. However, for a full-dimensional convex polytope, the minimal H-description is in fact unique and is given by the set of the facet-defining halfspaces. 1

A closed half-space can be written as a linear inequality: [1]

$$a_1x_1 + a_2x_2 + \cdots + a_nx_n \leq b$$

where n is the dimension of the space containing the polytope under consideration. Hence, a **closed convex polytope** may be regarded as the set of solutions to the system of linear inequalities:

$$egin{array}{lll} a_{11}x_1 + & a_{12}x_2 + \cdots + & a_{1n}x_n \leq b_1 \ a_{21}x_1 + & a_{22}x_2 + \cdots + & a_{2n}x_n \leq b_2 \ & dots & dots & dots & dots \ a_{m1}x_1 + a_{m2}x_2 + \cdots + a_{mn}x_n \leq b_m \end{array}$$

where m is the number of half-spaces defining the polytope. This can be concisely written as the matrix inequality:

$$Ax \leq b$$

where A is an $m \times n$ matrix, x is an $n \times 1$ column vector whose coordinates are the variables x_1 to x_n , and b is an $m \times 1$ column vector whose coordinates are the right-hand sides b_1 to b_m of the scalar inequalities.

An **open convex polytope** is defined in the same way, with strict inequalities used in the formulas instead of the non-strict ones.

The coefficients of each row of **A** and **b** correspond with the coefficients of the linear inequality defining the respective half-space. Hence, each row in the matrix corresponds with a **supporting hyperplane** of the polytope, a hyperplane bounding a half-space that contains the polytope. If a supporting hyperplane also intersects the polytope, it is called a **bounding hyperplane** (since it is a supporting hyperplane, it can only intersect the polytope at the polytope's boundary).

The foregoing definition assumes that the polytope is full-dimensional. In this case, there is a *unique* minimal set of defining inequalities (up to multiplication by a positive number). Inequalities belonging to this unique minimal system are called **essential**. The set of points of a polytope which satisfy an essential inequality with equality is called a **facet**.

If the polytope is not full-dimensional, then the solutions of $Ax \leq b$ lie in a proper <u>affine subspace</u> of \mathbb{R}^n and the polytope can be studied as an object in this subspace. In this case, there exist linear equations which are satisfied by all points of the polytope. Adding one of these equations to any of the defining inequalities does not change the polytope. Therefore, in general there is no unique minimal set of inequalities defining the polytope.

In general the intersection of arbitrary half-spaces need not be bounded. However if one wishes to have a definition equivalent to that as a convex hull, then bounding must be explicitly required.

Using the different representations

The two representations together provide an efficient way to decide whether a given vector is included in a given convex polytope: to show that it is in the polytope, it is sufficient to present it as a convex combination of the polytope vertices (the V-description is used); to show that it is not in the polytope, it is sufficient to present a single defining inequality that it violates. [4]:256

A subtle point in the representation by vectors is that the number of vectors may be exponential in the dimension, so the proof that a vector is in the polytope might be exponentially long. Fortunately, Carathéodory's theorem guarantees that every vector in the polytope can be represented by at most $\overline{d+1}$ defining vectors, where d is the dimension of the space.

Representation of unbounded polytopes

For an unbounded polytope (sometimes called: polyhedron), the H-description is still valid, but the V-description should be extended. Theodore Motzkin (1936) proved that any unbounded polytope can be represented as a sum of a *bounded polytope* and a *convex polyhedral cone*. In other words, every vector in an unbounded polytope is a <u>convex sum</u> of its vertices (its "defining points"), plus a <u>conical sum</u> of the <u>Euclidean vectors</u> of its infinite edges (its "defining rays"). This is called the **finite basis** theorem.

Properties

Every (bounded) convex polytope is the image of a <u>simplex</u>, as every point is a <u>convex combination</u> of the (finitely many) vertices. However, polytopes are not in general isomorphic to simplices. This is in contrast to the case of <u>vector spaces</u> and <u>linear combinations</u>, every finite-dimensional vector space being not only an image of, but in fact isomorphic to, <u>Euclidean space</u> of some dimension (or analog over other fields).

The face lattice

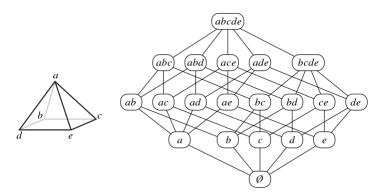
A **face** of a convex polytope is any intersection of the polytope with a <u>halfspace</u> such that none of the interior points of the polytope lie on the boundary of the halfspace. Equivalently, a face is the set of points giving equality in some valid inequality of the polytope. [4]:258

If a polytope is d-dimensional, its <u>facets</u> are its (d - 1)-dimensional faces, its <u>vertices</u> are its <u>o</u>-dimensional faces, its <u>edges</u> are its <u>1</u>-dimensional faces, and its <u>ridges</u> are its (d - 2)-dimensional faces.

Given a convex polytope P defined by the matrix inequality $Ax \leq b$, if each row in A corresponds with a bounding hyperplane and is <u>linearly independent</u> of the other rows, then each facet of P corresponds with exactly one row of A, and vice versa. Each point on a given facet will satisfy the linear equality of the corresponding row in the matrix. (It may or may not also satisfy equality in other rows). Similarly, each point on a ridge will satisfy equality in two of the rows of A.

In general, an (n - j)-dimensional face satisfies equality in j specific rows of A. These rows form a **basis** of the face. Geometrically speaking, this means that the face is the set of points on the polytope that lie in the intersection of j of the polytope's bounding hyperplanes.

The faces of a convex polytope thus form an Eulerian lattice called its **face lattice**, where the partial ordering is by set containment of faces. The definition of a face given above allows both the polytope itself and the empty set to be considered as faces, ensuring that



The face lattice of a <u>square pyramid</u>, drawn as a <u>Hasse</u> <u>diagram</u>; each face in the lattice is labeled by its vertex set.

every pair of faces has a join and a meet in the face lattice. The whole polytope is the unique maximum element of the lattice, and the empty set, considered to be a (-1)-dimensional face (a **null polytope**) of every polytope, is the unique minimum element of the lattice.

Two polytopes are called **combinatorially isomorphic** if their face lattices are isomorphic.

The **polytope graph** (**polytopal graph**, **graph of the polytope**, **1-skeleton**) is the set of vertices and edges of the polytope only, ignoring higher-dimensional faces. For instance, a <u>polyhedral graph</u> is the polytope graph of a three-dimensional polytope. By a result of <u>Whitney^[6]</u> the face lattice of a three-dimensional polytope is determined by its graph. The same is true for <u>simple polytopes</u> of arbitrary dimension (Blind & Mani-Levitska 1987, proving a conjecture of <u>Micha Perles</u>). Kalai (1988)^[8] gives a simple proof based on <u>unique sink orientations</u>. Because these <u>polytopes'</u> face lattices are determined by their graphs, the problem of deciding whether two three-dimensional or simple convex polytopes are combinatorially isomorphic can be formulated equivalently as a special case of the graph isomorphism problem. However, it is also possible to translate these problems in the opposite direction, showing that polytope isomorphism testing is graph-isomorphism complete. [9]

Topological properties

A convex polytope, like any compact convex subset of \mathbb{R}^n , is homeomorphic to a closed ball. Let m denote the dimension of the polytope. If the polytope is full-dimensional, then m=n. The convex polytope therefore is an m-dimensional manifold with boundary, its Euler characteristic is 1, and its fundamental group is trivial. The boundary of the convex polytope is homeomorphic to an (m-1)-sphere. The boundary's Euler characteristic is 0 for even m and 2 for odd m. The boundary may also be regarded as a tessellation of (m-1)-dimensional spherical space — i.e. as a spherical tiling.

Simplicial decomposition

A convex polytope can be decomposed into a <u>simplicial complex</u>, or union of <u>simplices</u>, satisfying certain properties.

Given a convex r-dimensional polytope P, a subset of its vertices containing (r+1) affinely independent points defines an \underline{r} -simplex. It is possible to form a collection of subsets such that the union of the corresponding simplices is equal to P, and the intersection of any two simplices is either empty or a lower-dimensional simplex. This simplicial decomposition is the basis of many methods for computing the volume of a convex polytope, since the volume of a simplex is easily given by a formula. [11]

Algorithmic problems for a convex polytope

Construction of representations

Different representations of a convex polytope have different utility, therefore the construction of one representation given another one is an important problem. The problem of the construction of a V-representation is known as the <u>vertex enumeration problem</u> and the problem of the construction of a H-representation is known as the **facet enumeration problem**. While the vertex set of a bounded

convex polytope uniquely defines it, in various applications it is important to know more about the combinatorial structure of the polytope, i.e., about its face lattice. Various <u>convex hull algorithms</u> deal both with the facet enumeration and face lattice construction.

In the planar case, i.e., for a <u>convex polygon</u>, both facet and vertex enumeration problems amount to the ordering vertices (resp. edges) around the convex hull. It is a trivial task when the convex polygon is specified in a traditional way for <u>polygons</u>, i.e., by the ordered sequence of its vertices v_1, \ldots, v_m . When the input list of vertices (or edges) is unordered, the <u>time complexity</u> of the problems becomes $O(m \log m)$. A matching <u>lower bound</u> is known in the <u>algebraic decision tree</u> model of computation.

Volume computation

The task of computing the <u>volume</u> of a convex polytope has been studied in the field of <u>computational</u> geometry. The volume can be computed <u>approximately</u>, for instance, using the <u>convex volume</u> <u>approximation</u> technique, when having access to a membership <u>oracle</u>. As for <u>exact computation</u>, one <u>obstacle</u> is that, when given a representation of the convex polytope as an <u>equation system</u> of <u>linear inequalities</u>, the volume of the polytope may have a <u>bit-length</u> which is not polynomial in this representation. [14]

See also

- Oriented matroid
- Nef polyhedron
- Steinitz's theorem for convex polyhedra

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