

Get arguments...

```
int main(int argc, char *argv[])
{ return(0); }
```

ex11-1 hello testing one two

```
argc=5
argv[1]=hello argv[2]=testing argv[3]=one
argv[4]=two
```

Strtok ()

```
int main ()
{
    char str[] = "- This, a sample string.";
    char * pch;
    printf ("Splitting string \"%s\" into tokens:\n", str);
    pch = strtok (str, " ,.-");
    while (pch != NULL)
    {
        printf ("%s\n", pch);          Splitting string "- This, a sample string." into tokens:
        pch = strtok (NULL, " ,.-");   This
    }                                  a
    return 0;                          sample
                                        string
}
```

wait() function

- The **wait()** function suspends execution of its calling process until information is available for a terminated child process, or a signal is received.
- **Variations:**
 - **wait, waitpid** - wait for process termination

example

How I grade assignments?

- 80% to functionality
- 20% to style, comments, interface, etc...
- 0 if not compilable

Project Proposal What you should include (at least)

- The report should be 1-2 pages and includes:
 - Goals
 - Background/Reviews
 - Your idea / risk analysis
 - Software/Hardware Environment
 - Tasks Allocation
 - Schedule/timeline

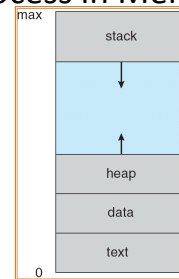
Chapter 3: Processes

- Process Concept
- Process Scheduling
- Operations on Processes
- Cooperating Processes
- Interprocess Communication
- Communication in Client-Server Systems

Process Concept

- An operating system executes a variety of programs:
 - Batch system – jobs
 - Time-shared systems – user programs or tasks
- Textbook uses the terms *job* and *process* almost interchangeably
- Process – a program in execution; process execution must progress in sequential fashion
- A process includes:
 - program counter
 - stack
 - data section

Process in Memory

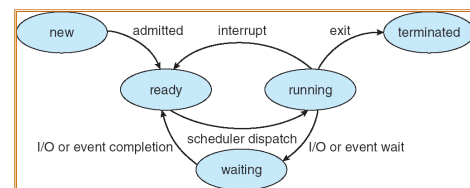


Example ...

Process State

- As a process executes, it changes *state*
 - **new**: The process is being created
 - **running**: Instructions are being executed
 - **waiting**: The process is waiting for some event to occur
 - **ready**: The process is waiting to be assigned to a process
 - **terminated**: The process has finished execution

Diagram of Process State

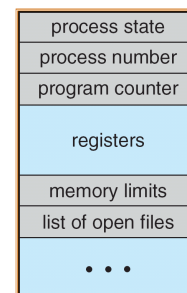


Process Control Block (PCB)

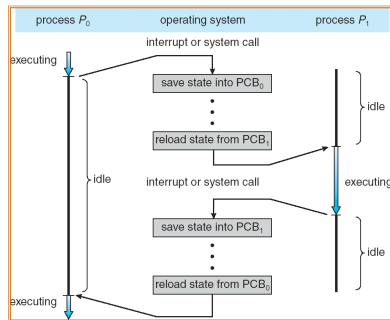
Information associated with each process

- Process state
- Program counter
- CPU registers
- CPU scheduling information
- Memory-management information
- Accounting information
- I/O status information

Process Control Block (PCB)



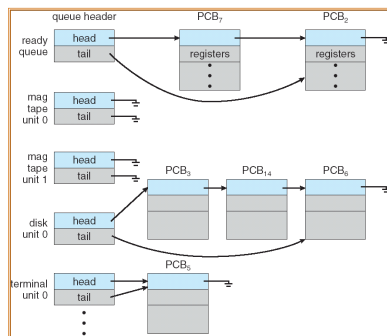
CPU Switch From Process to Process



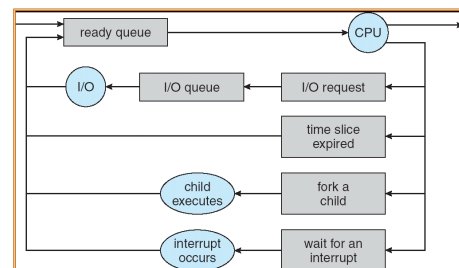
Process Scheduling Queues

- **Job queue** – set of all processes in the system
- **Ready queue** – set of all processes residing in main memory, ready and waiting to execute
- **Device queues** – set of processes waiting for an I/O device
- Processes migrate among the various queues

Ready Queue And Various I/O Device Queues



Representation of Process Scheduling



Schedulers

- **Long-term scheduler** (or job scheduler) – selects which processes should be brought into the ready queue
- **Short-term scheduler** (or CPU scheduler) – selects which process should be executed next and allocates CPU

Schedulers (Cont.)

- Short-term scheduler is invoked very frequently (milliseconds) ⇒ (must be fast)
- Long-term scheduler is invoked very infrequently (seconds, minutes) ⇒ (may be slow)
- The long-term scheduler controls the *degree of multiprogramming*
- Processes can be described as either:
 - **I/O-bound process** – spends more time doing I/O than computations; many short CPU bursts
 - **CPU-bound process** – spends more time doing computations; few very long CPU bursts

Context Switch

- When CPU switches to another process, the system must save the state of the old process and load the saved state for the new process
- Context-switch time is overhead; the system does no useful work while switching
- Time dependent on hardware support

Linux Process Sample

| USER | PID | %CPU | %MEM | VSZ | RSS | TTY | STAT | START | TIME | COMMAND |
|------|-----|------|------|------|-----|-----|------|-------|------|----------------|
| root | 1 | 0.0 | 0.2 | 2948 | 536 | ? | Ss | Jan23 | 0:00 | /sbin/init |
| root | 2 | 0.0 | 0.0 | 0 | 0 | ? | Sc | Jan23 | 0:00 | [kthreadd] |
| root | 3 | 0.0 | 0.0 | 0 | 0 | ? | Sc | Jan23 | 0:00 | [migration/0] |
| root | 4 | 0.0 | 0.0 | 0 | 0 | ? | SN | Jan23 | 0:00 | [ksoftirqd/0] |
| root | 5 | 0.0 | 0.0 | 0 | 0 | ? | Sc | Jan23 | 0:00 | [watchdog/0] |
| root | 6 | 0.0 | 0.0 | 0 | 0 | ? | Sc | Jan23 | 0:00 | [migration/1] |
| root | 7 | 0.0 | 0.0 | 0 | 0 | ? | SN | Jan23 | 0:00 | [ksoftirqd/1] |
| root | 8 | 0.0 | 0.0 | 0 | 0 | ? | Sc | Jan23 | 0:00 | [watchdog/1] |
| root | 9 | 0.0 | 0.0 | 0 | 0 | ? | Sc | Jan23 | 0:00 | [migration/2] |
| root | 10 | 0.0 | 0.0 | 0 | 0 | ? | SN | Jan23 | 0:00 | [ksoftirqd/2] |
| root | 11 | 0.0 | 0.0 | 0 | 0 | ? | Sc | Jan23 | 0:00 | [watchdog/2] |
| root | 12 | 0.0 | 0.0 | 0 | 0 | ? | Sc | Jan23 | 0:00 | [migration/3] |
| root | 13 | 0.0 | 0.0 | 0 | 0 | ? | SN | Jan23 | 0:00 | [ksoftirqd/3] |
| root | 14 | 0.0 | 0.0 | 0 | 0 | ? | Sc | Jan23 | 0:00 | [watchdog/3] |
| root | 15 | 0.0 | 0.0 | 0 | 0 | ? | Sc | Jan23 | 0:00 | [events/0] |
| root | 16 | 0.0 | 0.0 | 0 | 0 | ? | Sc | Jan23 | 0:00 | [events/1] |
| root | 17 | 0.0 | 0.0 | 0 | 0 | ? | Sc | Jan23 | 0:00 | [events/2] |
| root | 18 | 0.0 | 0.0 | 0 | 0 | ? | Sc | Jan23 | 0:00 | [events/3] |
| root | 19 | 0.0 | 0.0 | 0 | 0 | ? | Sc | Jan23 | 0:00 | [khelper] |
| root | 41 | 0.0 | 0.0 | 0 | 0 | ? | Sc | Jan23 | 0:00 | [kblockd/0] |
| root | 42 | 0.0 | 0.0 | 0 | 0 | ? | Sc | Jan23 | 0:00 | [kblockd/1] |
| root | 43 | 0.0 | 0.0 | 0 | 0 | ? | Sc | Jan23 | 0:00 | [kblockd/2] |
| root | 44 | 0.0 | 0.0 | 0 | 0 | ? | Sc | Jan23 | 0:00 | [kblockd/3] |
| root | 45 | 0.0 | 0.0 | 0 | 0 | ? | Sc | Jan23 | 0:00 | [kacpid] |
| root | 46 | 0.0 | 0.0 | 0 | 0 | ? | Sc | Jan23 | 0:00 | [kacpi_notify] |
| root | 105 | 0.0 | 0.0 | 0 | 0 | ? | Sc | Jan23 | 0:00 | [kperiod] |
| root | 140 | 0.0 | 0.0 | 0 | 0 | ? | S | Jan23 | 0:00 | [pdflush] |
| root | 141 | 0.0 | 0.0 | 0 | 0 | ? | S | Jan23 | 0:01 | [pdflush] |
| root | 142 | 0.0 | 0.0 | 0 | 0 | ? | Sc | Jan23 | 0:20 | [kswapd0] |

Linux PROCESS STATE CODES

D uninterruptible sleep (usually IO)
 R runnable (on run queue)
 S sleeping
 T traced or stopped
 Z a defunct ("zombie") process

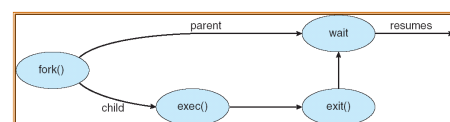
Process Creation

- Parent process create children processes, which, in turn create other processes, forming a tree of processes
- Resource sharing
 - Parent and children share all resources
 - Children share subset of parent's resources
 - Parent and child share no resources
- Execution
 - Parent and children execute concurrently
 - Parent waits until children terminate

Process Creation (Cont.)

- Address space
 - Child duplicate of parent
 - Child has a program loaded into it
- UNIX examples
 - fork** system call creates new process
 - exec** system call used after a **fork** to replace the process' memory space with a new program

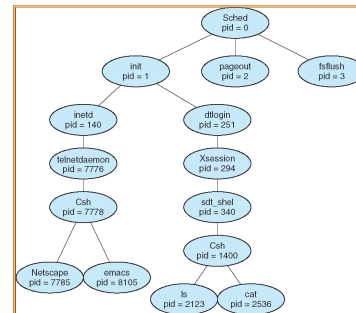
Process Creation



C Program Forking Separate Process

```
int main()
{
    Pid_t pid;
    /* fork another process */
    pid = fork();
    if (pid < 0) { /* error occurred */
        printf(stderr, "Fork Failed");
        exit(-1);
    }
    else if (pid == 0) { /* child process */
        execlp("/bin/ls", "ls", NULL);
    }
    else { /* parent process */
        /* parent will wait for the child to complete */
        wait(NULL);
        printf("Child Complete");
        exit(0);
    }
}
```

A tree of processes on a typical Solaris



Process Termination

- Process executes last statement and asks the operating system to delete it (**exit**)
 - Output data from child to parent (via **wait**)
 - Process' resources are deallocated by operating system
- Parent may terminate execution of children processes (**abort**)
 - Child has exceeded allocated resources
 - Task assigned to child is no longer required
 - If parent is exiting
 - Some operating system do not allow child to continue if its parent terminates
 - All children terminated - *cascading termination*

Cooperating Processes

- Independent** process cannot affect or be affected by the execution of another process
- Cooperating** process can affect or be affected by the execution of another process
- Advantages of process cooperation
 - Information sharing
 - Computation speed-up
 - Modularity
 - Convenience

Producer-Consumer Problem

- Paradigm for cooperating processes, *producer* process produces information that is consumed by a *consumer* process
 - unbounded-buffer* places no practical limit on the size of the buffer
 - bounded-buffer* assumes that there is a fixed buffer size

Bounded-Buffer – Shared-Memory Solution

- Shared data


```
#define BUFFER_SIZE 10
typedef struct {
    ...
} item;

item buffer[BUFFER_SIZE];
int in = 0;
int out = 0;
```
- Solution is correct, but can only use **BUFFER_SIZE-1** elements

Bounded-Buffer – Insert() Method

```
while (true) {
    /* Produce an item */
    while (((in = (in + 1) % BUFFER_SIZE count) == out)
        ; /* do nothing -- no free buffers */
    buffer[in] = item;
    in = (in + 1) % BUFFER_SIZE;
}
```

Bounded Buffer – Remove() Method

```
while (true) {
    while (in == out)
        ; // do nothing -- nothing to
    consume

    // remove an item from the buffer
    item = buffer[out];
    out = (out + 1) % BUFFER_SIZE;
    return item;
}
```

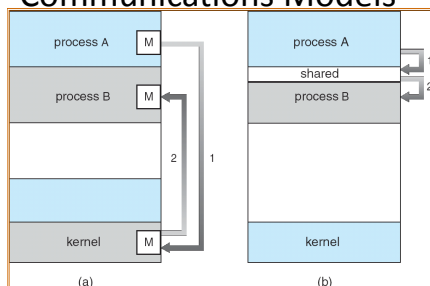
Interprocess Communication (IPC)

- Mechanism for processes to communicate and to synchronize their actions
- Message system – processes communicate with each other without resorting to shared variables
- IPC facility provides two operations:
 - **send**(message) – message size fixed or variable
 - **receive**(message)
- If *P* and *Q* wish to communicate, they need to:
 - establish a *communication link* between them
 - exchange messages via send/receive
- Implementation of communication link
 - physical (e.g., shared memory, hardware bus)
 - logical (e.g., logical properties)

Implementation Questions

- How are links established?
- Can a link be associated with more than two processes?
- How many links can there be between every pair of communicating processes?
- What is the capacity of a link?
- Is the size of a message that the link can accommodate fixed or variable?
- Is a link unidirectional or bi-directional?

Communications Models



Direct Communication

- Processes must name each other explicitly:
 - **send**(*P*, message) – send a message to process *P*
 - **receive**(*Q*, message) – receive a message from process *Q*
- Properties of communication link
 - Links are established automatically
 - A link is associated with exactly one pair of communicating processes
 - Between each pair there exists exactly one link
 - The link may be unidirectional, but is usually bi-directional

Indirect Communication

- Messages are directed and received from mailboxes (also referred to as ports)
 - Each mailbox has a unique id
 - Processes can communicate only if they share a mailbox
- Properties of communication link
 - Link established only if processes share a common mailbox
 - A link may be associated with many processes
 - Each pair of processes may share several communication links
 - Link may be unidirectional or bi-directional

Indirect Communication

- Operations
 - create a new mailbox
 - send and receive messages through mailbox
 - destroy a mailbox
- Primitives are defined as:
 - send**(*A, message*) – send a message to mailbox A
 - receive**(*A, message*) – receive a message from mailbox A

Indirect Communication

- Mailbox sharing
 - P_1 , P_2 , and P_3 share mailbox A
 - P_1 sends; P_2 and P_3 receive
 - Who gets the message?
- Solutions
 - Allow a link to be associated with at most two processes
 - Allow only one process at a time to execute a receive operation
 - Allow the system to select arbitrarily the receiver. Sender is notified who the receiver was.