

# 高等演算法 HW1

許博翔

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**Problem 1.** Let  $OPT$  be the optimal solution, and  $val(OPT)$  be its value.

If  $c_1 + (n-1)c_m > B$ , then no worker can select the first item, and we can remove all  $p_{11}, p_{21}, \dots, p_{n1}$ , so let's suppose that  $c_1 + (n-1)c_m \leq B$ .

Let  $b = \frac{p_{11}\epsilon}{2n}$ , and  $p'_{ij} := \lceil \frac{p_{ij}}{b} \rceil b$ , which we'll call it "new productivity".

Let  $q_{ij} := \frac{p'_{ij}}{b} = \lceil \frac{p_{ij}}{b} \rceil$ .

Let  $dp_{ij} :=$  the minimum cost that can achieved with new productivity  $j$  by  $W_1, W_2, \dots, W_i$ , and  $r_{ij}$  the item that  $W_i$  should select to achieve such minimum cost. The range:  $1 \leq i \leq n$ ,  $0 \leq j \leq Q$ ,  $Q := \sum_{k=1}^n q_{k1}$ .

The base case  $i = 1$ :

$$dp_{1j} = \begin{cases} \min_{k: q_{1k}=j} (c_k), & \text{if } \exists k \text{ s.t. } q_{1k} = j \\ B + 1, & \text{otherwise} \end{cases}$$

$$r_{1j} = \begin{cases} k, & \text{where } c_k = dp_{1j} \text{ and } q_{1k} = j, \text{ if such } k \text{ exists} \\ -1, & \text{otherwise} \end{cases}$$

One can run from  $i = 2$  to  $n$ , from  $j = 0$  to  $Q$  to get the values of  $dp_{ij}$  using

$$dp_{ij} = \begin{cases} \min_{k: q_{ik} \leq j} (dp_{i-1, j-q_{ik}} + c_k), & \text{if } \exists k \text{ s.t. } q_{ik} \leq j \\ B + 1, & \text{otherwise} \end{cases}$$

$$r_{ij} = \begin{cases} k, & \text{where } dp_{i-1, j-q_{ik}} + c_k = dp_{ij} \text{ and } q_{ik} \leq j, \text{ if such } k \text{ exists} \\ -1, & \text{otherwise} \end{cases}$$

Denote the optimal solution as  $ALG$ , and the value of  $ALG$  (denote as  $val'(ALG)$ ) is the maximum new productivity that can be achieved with cost at most  $B$ , which

is  $\max_{j: dp_{nj} \leq B} (jb)$ , and we can recursively find the selected item that can achieve this using  $r_{ij}$ .

The above can be done in  $O(nQm)$  time complexity.

Let  $j_i$  denote the selected item by  $W_i$  in ALG, and let  $\sum_{i=1}^n p_{ij_i}$  be the productivity value of this algorithm (denoted as  $val(ALG)$ ).

Let  $k_i$  denote the selected item by  $W_i$  in OPT, and let the new productivity value of these selected item be  $val'(OPT)$ .

By the definition of OPT,  $val(ALG) \leq val(OPT)$ .

Since the above dp algorithm obtains optimal solution of new productivity,  $val'(ALG) \geq val'(OPT)$ .

$$\begin{aligned} val(ALG) &= \sum_{i=1}^n p_{ij_i} > \sum_{i=1}^n (\lceil \frac{p_{ij_i}}{b} \rceil - 1)b = val'(ALG) - nb \geq val'(OPT) - nb = \\ &\sum_{i=1}^n \lceil \frac{p_{ik_i}}{b} \rceil b - nb \geq \sum_{i=1}^n p_{ik_i} - nb = val(OPT) - nb = val(OPT) - \frac{p_{11}\epsilon}{2}. \end{aligned}$$

By what we suppose in the first line,  $p_{11} \leq p_{11} + p_{2m} + p_{3m} + \dots + p_{nm} \leq val(OPT)$

(since  $W_1$  can select 1, while  $W_2, W_3, \dots, W_n$  select  $m$ ).

$$\Rightarrow val(ALG) \geq val(OPT) - \frac{val(OPT)\epsilon}{2} = (1 - \frac{\epsilon}{2})val(OPT).$$

$$\Rightarrow val(ALG) \leq val(OPT) \leq \frac{val(ALG)}{1 - \frac{\epsilon}{2}} \leq (1 + \epsilon)val(ALG).$$

$$\begin{aligned} \text{The time complexity of this algorithm} &= O(nQm) = O(n \sum_{k=1}^n q_{k1}m) = O(n \sum_{k=1}^n \lceil \frac{p_{k1}}{b} \rceil m) = \\ &O(n \sum_{k=1}^n \lceil \frac{2np_{k1}}{p_{11}\epsilon} \rceil m) = O(n \sum_{k=1}^n \frac{2n}{\epsilon} m) = O(\frac{n^3 m}{\epsilon}) \end{aligned}$$

**Problem 2.** Let OPT be the optimal solution, and  $val(OPT)$  be its value.

Let  $p_j := p_{1j} = p_{2j} = \dots = p_{nj}$ .

First, there is a 4-approximation.

Let  $k = \min_{i: p_{4i+1} + p_{4i+2} + p_{4i+3} + p_{4i+4} < P} (i)$ .

That is, for  $i = 1, 2, \dots, k$ ,  $p_{4i+1} + p_{4i+2} + p_{4i+3} + p_{4i+4} \geq P$ .

$$\Rightarrow k \leq val(OPT).$$

Since  $p_{4k+1} \geq p_{4k+2} \geq \dots \geq p_m$ , for all 4 distinct elements  $a, b, c, d$  of the multiset

$$\{p_{4k+1}, p_{4k+2}, \dots, p_m\}, a + b + c + d \leq p_{4i+1} + p_{4i+2} + p_{4i+3} + p_{4i+4} < P.$$

$\Rightarrow$  a worker with productivity at least  $P$  should take at least one of the  $1, 2, \dots, 4k$ -th machine.

$$\Rightarrow \text{val}(\text{OPT}) \leq 4k.$$

$$\therefore k \leq \text{val}(\text{OPT}) \leq 4k.$$

Since in the OPT solution, one would use at most  $16k$  machines, and using the machines with larger productivity will not decrease the number of workers with productivity at least  $P$ .

$\therefore$  set  $M := \min(16k, m)$ , and there is an OPT solution s.t. only the  $i$ -th ( $1 \leq i \leq M$ ) machine will be used.

$$\text{Let } a := \lfloor \frac{M\epsilon}{32} \rfloor, \text{ and } b := \lceil \frac{M}{a} \rceil.$$

Let  $q_i := p_{M+1-i}$ . (That is,  $q$  is  $p$ 's reverse, which is increasing.)

Partition  $\{q_1, q_2, \dots, q_M\}$  into  $S_1, S_2, \dots, S_b$ , where  $S_i := \{q_j : a(i-1)+1 \leq j \leq ai\}$ .

That is, the  $i$ -th machine is of the  $\lceil \frac{i}{a} \rceil$ -th type, and define the new productivity of the machines of the  $j$ -th type as  $f(S_j)$ .

There are at most  $c := \binom{b}{4} + \binom{b}{3} + \binom{b}{2} + \binom{b}{1} + \binom{b}{0}$  ways to select the types of at most 4 different machines.

There are  $n$  identical workers in total, and  $c$  different ways to select the types of the machines they take.

$\Rightarrow$  there are at most  $\binom{c}{n}$  possibilities.

Bruteforce through all (at most)  $\binom{c}{n}$  possibilities, for each possibility, check if the  $i$ -th type of machine is used by at most  $|S_i|$  workers for  $i = 1, 2, \dots, b$ , and then calculate the number of workers with new productivity  $\geq 4$ . The value of this algorithm with new productivity function  $f$  (denote as  $\text{val}(f)$ ) is the maximum number of workers with new productivity  $\geq 4$ . The complexity of this part is  $O(\binom{c}{n} \times (b+n)) = O(n^{c+1})$ .

$$\text{Define } f_1: f_1(S_i) := \begin{cases} \min(S_i), & \text{if } i \geq 2 \\ 0, & \text{if } i = 1 \end{cases}.$$

$$\text{Define } f_2: f_2(S_i) := \begin{cases} \min(S_{i+1}), & \text{if } i \leq b-1 \\ \max(P, q_M), & \text{if } i = b \end{cases}.$$

Since the difference of the new productivities using  $f_1, f_2$  are  $a$  0s, and  $a \max(P, q_M)$ , and the  $a$  worker taking only  $\max(P, q_M)$  have new productivities  $\geq P$ .

$$\therefore \text{val}(f_2) \leq \text{val}(f_1) + a.$$

Also, the new productivity of the  $i$ -th machine in  $f_1$  is not larger than the original productivity, and in  $f_2$  is not smaller than the original productivity.

$$\therefore \text{val}(f_1) \leq \text{val}(OPT) \leq \text{val}(f_2) \leq \text{val}(f_1) + a = \text{val}(f_1) + \lfloor \frac{M\epsilon}{32} \rfloor \leq \text{val}(f_1) + \frac{M\epsilon}{32} \leq$$

$$\text{val}(f_1) + \frac{16k\epsilon}{32} \leq \text{val}(f_1) + \frac{\text{val}(OPT)\epsilon}{2}.$$

$$\Rightarrow (1 - \frac{\epsilon}{2})\text{val}(OPT) \leq \text{val}(f_1).$$

$$\Rightarrow \text{val}(f_1) \leq \text{val}(OPT) \leq \frac{\text{val}(f_1)}{1 - \frac{\epsilon}{2}} \leq (1 + \epsilon)\text{val}(f_1).$$

$$b = \lceil \frac{M}{a} \rceil < \frac{M}{a} + 1 = \frac{M}{\lfloor \frac{M\epsilon}{32} \rfloor} < \frac{M}{\frac{M\epsilon}{32} - 1} = \frac{1}{\frac{\epsilon}{32} - \frac{1}{M}} < \frac{1}{\frac{\epsilon}{32} - \frac{\epsilon}{64}} = \frac{64}{\epsilon} = O(1).$$

$$c = \binom{b}{4} + \binom{b}{3} + \binom{b}{2} + \binom{b}{1} + \binom{b}{0} = O(1).$$

$\therefore$  the time complexity is  $O(n^{c+1})$ , which is a polynomial of  $n$ .

**Problem 3.**

**Problem 4.**