### Fast Private Set Intersection from Homomorphic Encryption

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### Outline

Introduction

The Basic Protocol

Optimizations

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#### Private Set Intersection

- Public:  $N_X$ ,  $N_Y$ ,  $\sigma$
- Sender:  $X \subseteq \{0,1\}^{\sigma}$  with size  $N_X$
- Receiver:  $Y \subseteq \{0,1\}^{\sigma}$  with size  $N_Y$
- Goal:
  - Sender should not know anything about Y.
  - Receiver should know  $X \cap Y$ .
  - Receiver should not know anything about  $X \setminus Y$ .
- Threat model: semi-honest security model (both parties correctly follow the protocol, but may try to learn as much as possible from their view of the protocol execution)

#### Fast Private Set Intersection

- Original communication complexity:  $O(N_X N_Y)$
- Goal communication complexity:  $O(N_Y \log N_X)$
- The protocol works for all  $N_X$ ,  $N_Y$ , but since it's powerful when  $N_X \gg N_Y$ , assume that  $N_X \gg N_Y$ .

## FHE (Fully Homomorphic Encryption)

- Homomorphism:  $\varphi: A \to B$  with  $\varphi(x \circ_A y) = \varphi(x) \circ_B \varphi(y)$ .
- Homomorphic Encryption: Encrypt, Decrypt are homomorphisms.
- Types of homomorphic encryption: (distinguish by the arithmetic circuits they support)
  - PHE (partially homomorphic encryption): one type of gates with unlimited depth
  - SHE (somewhat homomorphic encryption): two types of gates with limited depth
  - Leveled fully homomorphic encryption: multiple types of gates with limited depth
  - FHE (fully homomorphic encryption): multiple types of gates with unlimited depth

### **IND-CPA** Secure

- IND-CPA: indistinguishability under chosen-plaintext attack
- Steps:
  - Challenger: Generate (pk, sk).
  - Adversary: Choose and send  $m_0, m_1$  to the challenger.
  - Challenger: Uniformly randomly choose  $b \in \{0,1\}$ , and send  $\mathrm{Encrypt}(m_b,pk)$  back to the adversary.
  - Adversary: Submit a guess for b.
- Restriction: The adversary can only perform polynomially bounded number of operations.
- Goal: The adversary's guess is correct with probability  $\frac{1}{2} + \operatorname{negl}(\lambda)$ , where  $\lambda$  is the security parameter.



### Assumption

- FHE.Encrypt, FHE.Decrypt: Encryption and decryption of a IND-CPA secure FHE scheme
- Threat model: semi-honest security model
- $N_X \gg N_Y$

### Outline

Introduction

2 The Basic Protocol

Optimizations

#### The Basic Protocol

- $t \in \mathbb{P}$  is large enough to encode  $\{0,1\}^{\sigma}$  as elements of  $\mathbb{Z}_t$ .
- Receiver:
  - Generate (pk, sk).
  - Send  $(c_1, c_2, \ldots, c_{N_Y})$  to sender, where  $Y = \{y_1, \ldots, y_{N_Y}\}$  and  $c_i = \text{FHE.Encrypt}(y_i, pk)$ .
- Sender:
  - Uniformly randomly sample  $r_i \in \mathbb{Z}_t^*$ .
  - Homomorphically compute  $d_i = r_i \prod_{x \in X} (c_i x)$ .
  - Return  $(d_1, d_2, \dots, d_{N_Y})$  to receiver.
- Receiver:  $X \cap Y = \{y_i : \text{FHE.Decrypt}(d_i, sk) = 0\}.$



#### The Basic Protocol

- FHE.Decrypt $(d_i, sk) = r_i \prod_{x \in X} (y_i x)$ .
- If  $y_i \in X$ , then FHE.Decrypt $(d_i, sk) = 0$ .
- If  $y_i \notin X$ , then FHE.Decrypt $(d_i, sk)$  is a uniform distribution on  $\mathbb{Z}_t^*$ , independent of  $\prod_{x \in X} (y_i x)$ .
- $O(N_X N_Y)$  homomorphic multiplications and additions



### Outline

Introduction

- The Basic Protocol
- Optimizations

## Batching

- Goal: Operate on *n* items simultaneously.
- $R := \mathbb{Z}[x]/(x^n + 1), R_t := R/tR$ , where n is a power of 2
- $R_t \cong \mathbb{Z}_t^n$  for suitable t
- SIMD (single instruction, multiple data): plaintext space  $\mathbb{Z}_t^n$
- Receiver: Group Y into  $\frac{N_Y}{n}$  vectors of length n, and encrypt the vectors to  $c_1, c_2, \ldots$
- Sender: Homomorphically compute  $d_i = r_i \prod_{x \in X} (c_i x)$ , where  $r_i \in (\mathbb{Z}_t^*)^n$ .

## Hashing

- Hashing d items into a hash table of size d results in a maximum load of  $O(\log d)$  with high probability.
- Proof:

Let 
$$k = \log d \gg e^3$$
, and  $\epsilon > 0$ .  $k(\log e - \log k) < k(\log e - \log e^3) < -2k < \log \epsilon - \log d$ .  $\Rightarrow \mathbb{P}\{\text{ maximum load exceed } k\} \le {d \choose k} {1 \over d} {k-1} < {d e \choose k} {k \choose d} {k-1} = d(\frac{e}{k})^k < \epsilon$ .

- Hash X, Y into d bins, and run PSI for each bin.
- Uneven loads reveal additional information  $\Rightarrow$  Every bin must be padded to a fixed size  $\Rightarrow$  Receiver and sender set two different dummy values from  $\mathbb{Z}_t$  that are not legitimate values, and use them to pad the bins.
- Complexity:  $O(d \log^2 d)$



## Cuckoo Hashing

- Cuckoo hashing:
  - h > 1 hash functions  $H_1, \ldots, H_h$
  - To insert x, randomly choose  $i \in [h]$  and insert (x, i) at location  $H_i(x)$ . If this location was already occupied by (y, j), remove (y, j) and reinsert (y, j') where  $j' \in [h]$  is chosen randomly.
- Application to our protocol:
  - Number of bins:  $m, m \approx N_Y, m > N_Y$
  - Receiver: Perform cuckoo hashing.
  - Sender: Perform normal hashing, and insert all  $hN_X$  elements of  $[h] \times X$ .
  - Assume  $hN_X > m \log m$ .
  - $\mathbb{P}\{$  at least one bin has load  $> B\} \le m \sum_{i=B+1}^d {d \choose i} (\frac{1}{m})^i (1-\frac{1}{m})^{d-i}$ .
  - B is upper-bounded by  $\frac{d}{m} + O(\sqrt{\frac{d \log m}{m}})$  with high probability.



## Permutation-based Hashing

- Suppose that m is a power of 2.
- $x \to x_L || x_R$ , where  $x_R$  is of length  $\log_2 m$ .
- Location function  $Loc_i(x) := H_i(x_L) \oplus x_R$ .
- Insert  $(x_L, i)$  to  $Loc_i(x)$ .
- Receiver: Perform the insertion of cuckoo hashing.
- Sender: Perform the insertion of normal hashing.
- Correctness: If  $(x_L, i) = (y_L, j)$  and  $Loc_i(x) = Loc_i(y)$ , then x = y.
- Reduce the length of the strings stored in the hash table by  $\log_2(m) \lceil \log_2(h) \rceil$ .

## Hashing to A Smaller Representation

- Usually,  $N_X + N_Y \longleftarrow 2^{\sigma} \Rightarrow \text{Hash } N_X \cup N_Y \text{ to } 2^{\sigma_{\max}}$ .
- Probability of a collision  $\leq \binom{N_X+N_Y}{2} \times 2^{-\sigma_{\max}} < (N_X+N_Y)^2 \times 2^{-\sigma_{\max}-1}$ .
- Want: Probability of a collision  $\leq 2^{-\lambda}$ .
- $\Rightarrow \sigma_{\text{max}} \geq 2 \log_2(N_X + N_Y) + \lambda 1.$
- ullet Combine with permutation-based hashing:  $\sigma_{\max} \log_2 m + \lceil \log_2 h \rceil$
- Choose t s.t.  $\log_2 t > \sigma_{\max} \log_2 m + \lceil \log_2 h \rceil + 1$  is enough.
- Combine with batching:
  - Receiver:  $\frac{m}{n}$  plaintext vectors
  - Sender:  $\frac{Bm}{n}$  plaintext vectors



## Reducing the Circuit Depth - Windowing

- Recall: Compute the encryption of  $r\prod_{x\in X}(y-x)=ry^{N_X}+ra_{N_X-1}y^{N_X-1}+\cdots+ra_0$ .
- Original:
  - Receiver sends the encryption of y.
  - Computing  $ry^{N_X}$  needs a circuit of depth  $\lceil \log_2(N_X+1) \rceil$ .
- Modified:
  - Receiver sends  $c^{(i,j)} = \text{FHE.Encrypt}(y^{2^{\ell j}})$  for all  $1 \leq i \leq 2^{\ell} 1, 0 \leq j \leq \lfloor \frac{\log_2(N_X)}{\ell} \rfloor$ .
  - Worst case: A product of  $\lfloor \frac{\log_2(\textit{N}_{\textit{X}})}{\ell} \rfloor + 1$  terms
  - $\Rightarrow$  Needs a circuit of depth  $\lceil \log_2(\lfloor \frac{\log_2(N_X)}{\ell} \rfloor + 1) \rceil$ .
- ullet  $\ell$ : A computation-communication trade-off



## Reducing the Circuit Depth - Partitioning

- Partition X into  $\alpha$  subsets.
- Compute  $r\prod_{x\in X_1}(y-x), r\prod_{x\in X_2}(y-x), \ldots, r\prod_{x\in X_{\alpha}}(y-x)$  instead.
- Circuit depth:  $\lceil \log_2(\frac{N_X}{\alpha} + 1) \rceil$
- Combine with windowing and all of the hashing optimizations above, the circuit depth becomes  $\lceil \log_2(\lfloor \frac{\log_2(\frac{B}{\alpha})}{\ell} \rfloor + 1) \rceil + 1$ .

## Reducing Reply Size via Modulus Switching

- Change the encryption parameter from q to q' if q' is not too small.
- Ciphertext sizes are reduced by a factor of  $\frac{\log q}{\log q'}$ .

# Thank You for Listening