

# 高等演算法 HW1

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**Notation 1.**  $N(v) :=$  the neighborhood of  $v$ .

## Problem 1.

Equivalent ILP:

$$\begin{aligned} \min \quad & \sum_{v \in V} w_v x_v. \\ \text{subject to} \quad & :x_u + x_v \geq 1, \quad \forall uv \in E. \\ & x_v \in \{0, 1\}, \quad \forall v \in V. \end{aligned}$$

LP relaxation:

$$\begin{aligned} \min \quad & \sum_{v \in V} w_v x_v. \\ \text{subject to} \quad & :x_u + x_v \geq 1, \quad \forall uv \in E. \\ & x_v \geq 0, \quad \forall v \in V. \end{aligned}$$

Dual LP:

$$\begin{aligned} \max \quad & \sum_{e \in E} \alpha_e. \\ \text{subject to} \quad & \sum_{u \in N(v)} \alpha_{uv} \leq w_v, \quad \forall v \in V. \\ & \alpha_e \geq 0, \quad \forall e \in E. \end{aligned}$$

The rephrased algorithm:

1. Initially, the residual weight  $r_v = w_v$  for every vertex  $v$ . The vertex cover  $S$  is empty. All variables  $x_v, \alpha_e$  are 0.
2. Repeat until all edges are covered by  $S$ :
  - (a) Pick any edge  $e = uv$  that is not covered by  $S$ .
  - (b) Set  $\alpha_{uv}$  to  $\min(r_u, r_v)$ , and reduce the residual weights  $r_u$  and  $r_v$  by  $\alpha_{uv}$ .

(c) Add all vertices  $v$  with 0 residual weights to  $S$ , and set  $x_v$  to 1.

We want to prove that:

1.  $x_u + x_v \geq 1, \forall uv \in E$ .
2.  $\sum_{u \in N(v)} \alpha_{uv} \leq w_v, \forall v \in V$ .
3.  $x_u + x_v \leq 2$  or  $\alpha_{uv} = 0, \forall uv \in E$ .
4.  $\sum_{u \in N(v)} \alpha_{uv} \geq w_v$  or  $x_v = 0, \forall v \in V$ .

Proof of 1.:

If  $x_u + x_v < 1$ , then  $x_u = x_v = 0$ .

$\Rightarrow$  neither  $u$  nor  $v$  is in  $S$ .

$\Rightarrow uv$  is not covered by  $S$ , contradiction.

$\therefore x_u + x_v \geq 1$ .

Proof of 2.:

By 2(b) of the algorithm, the amount of change of  $r_v$  is the amount of  $\alpha_e$  for  $e$  connected to  $v$ .

$\therefore \sum_{u \in N(v)} \alpha_{uv} = \text{the amount of change of } r_v = w_v - r_v \leq w_v$ .

Proof of 3.:

$x_u + x_v \leq 1 + 1 \leq 2$ .

Proof of 4.:

If  $x_v \neq 0$ , then  $r_v$  is set to 0 by 2(c).

$\Rightarrow \sum_{u \in N(v)} \alpha_{uv} = w_v - r_v = w_v$ .

Since the complementary slackness conditions 3. 4. are satisfied, this is a 2-approximation.

**Problem 2.**

**Problem 3.** Let  $S_j := \{i : \alpha_j > c_{ij}\}$ ,  $T_j := \{i : \alpha_j = c_{ij}\}$ ,  $U_j := \begin{cases} S_j, & \text{if } S_j \neq \emptyset \\ T_j, & \text{otherwise} \end{cases}$ .

Since the algorithm in phase 1 guaranteed that all clients are connected.

$\therefore S_j \cup T_j \neq \emptyset, \forall j$ .

In another word,  $U_j \neq \emptyset, \forall j$ .

Algorithm in phase 2:

1.  $I := \emptyset, J :=$  the set of all temporarily open facilities,  $S := \emptyset$ .
2. while  $J \neq \emptyset$ 
  - (a) Let  $i \in J$  s.t.  $q_i := \sum_{j \notin S: i \in U_j} \alpha_j$  is maximized, and let  $S^{(i)} := S^c$ .
  - (b) Let  $A_i$  denote all facilities in  $J$  that are conflict with  $i$ .
  - (c) Remove  $A_i \cup \{i\}$  from  $J$ , add  $i$  to  $I$ .
  - (d) for all  $j \notin S$  with  $i \in U_j$ , serve  $j$  with  $i$ , and add  $j$  to  $S$ .
3. for all  $j \notin S$ , select an arbitrary  $i \in U_j$ , it must be in some  $A_k$  for some  $k$  by 2(b), serve  $j$  with  $k$ .

The maximality of  $I$  is guaranteed by the condition of the while loop.

Let  $p_j$  denote the facility that serves  $j$  in the above algorithm, and  $B_i := \{j : p_j = i\}$ .

By the definition of temporarily open and that no two facilities in  $I$  are conflict with each other,  $\forall i \in I, \{j : i \in S_j\} \subseteq B_i$ .

$$\Rightarrow \forall i \in I, \sum_{j \in S \cap B_i} (\alpha_j - c_{ij}) = f_i.$$

$$\Rightarrow \forall i \in I, f_i + \sum_{j \in S \cap B_i} c_{ij} = \sum_{j \in S \cap B_i} \alpha_j.$$

$\forall j \notin S$ , by 3., there's  $i \in U_j$  s.t.  $i$  conflicts with  $p_j$ . By the definition of conflict,  $\exists k$  s.t.  $\alpha_k - c_{ik} > 0$  and  $\alpha_k - c_{p_j k} > 0$ .

$$\Rightarrow c_{p_j j} \leq c_{ij} + c_{ik} + c_{p_j k} < c_{ij} + 2\alpha_k \stackrel{\because i \in U_j}{\leq} \alpha_j + 2\alpha_k \leq 3\alpha_j.$$

The last inequality above is because  $\alpha_k =$  the time that  $k$  is connected = the time that  $i$  is temporarily open  $\leq$  the time that  $j$  is connected  $= \alpha_j$ .

$$\sum_{j \in S \cap B_i} \alpha_j \stackrel{2(d)}{=} \sum_{j \in S^{(i)}} \alpha_j = q_i.$$

$$\sum_{j \in B_i \setminus S} \alpha_j \stackrel{\text{there's } k \in U_j \text{ s.t. } k \in A_i}{\leq} \sum_{k \in A_i} \sum_{j \in B_i \setminus S: k \in U_j} \alpha_j \leq \sum_{k \in A_i} \sum_{j \in S^{(i)}} \alpha_j = \sum_{k \in A_i} q_k \stackrel{2(a)}{\leq} \sum_{k \in A_i} q_i = |A_i|q_i \leq (k-1)q_i.$$

$$\Rightarrow \sum_{j \in S \cap B_i} \alpha_j = q_i = \frac{1}{k}(1 + k - 1)q_i \geq \frac{1}{k} \left( \sum_{j \in S \cap B_i} \alpha_j + \sum_{j \in B_i \setminus S} \alpha_j \right) = \frac{1}{k} \sum_{j \in B_i} \alpha_j.$$

$$\begin{aligned} \therefore \sum_{j \in B_i} c_{ij} + f_i &= \sum_{j \in S \cap B_i} \alpha_j + \sum_{j \in B_i \setminus S} c_{ij} \leq \sum_{j \in S \cap B_i} \alpha_j + 3 \sum_{j \in B_i \setminus S} \alpha_j = 3 \sum_{j \in B_i} \alpha_j - 2 \sum_{j \in S \cap B_i} \alpha_j \leq \\ &\left(3 - \frac{2}{k}\right) \sum_{j \in B_i} \alpha_j. \\ \Rightarrow \sum_i \sum_{j \in B_i} c_{ij} + f_i &\leq \left(3 - \frac{2}{k}\right) \sum_{j \in B_i} \alpha_j. \end{aligned}$$

**Problem 4.**

**Problem 5.**