

## Chapter 0

# Overview: our convecting atmosphere

Convection is a means for gravity to lower the center of mass of a fluid body, by bringing relatively denser air as low as possible, under realizability constraints such as mass continuity. That statement is *teleological*, expressing the nature of convection by *the job it does*.

Convection is the motion that occurs when displaced parcels in a fluid experience a component of gravitational force that aligns with their displacement. Work is done (force times displacement), generating macroscopic kinetic energy (a coherent component to the motions of all the parcel's molecules). That kinetic energy is quickly redistributed by a pressure field that adjusts at the speed of sound to enforce mass continuity. This description is *mechanistic*, explaining convection by *how motion is imparted to air*, using a teleological account of pressure.

A deep appreciation of convection must encompass both of those fundamental viewpoints (holistic and mechanistic), and others as well. Before diving into this book's multi-threaded effort to convey such a tapestry of appreciation, this chapter 0 summarizes some key overarching facts and phenomenology. It also declares (if not quite defines<sup>a</sup>) some key terms (in *italics*) for later use, and frames the book's overall account of atmospheric convection, with touch points to conventional wisdoms and customary accounts of the field.

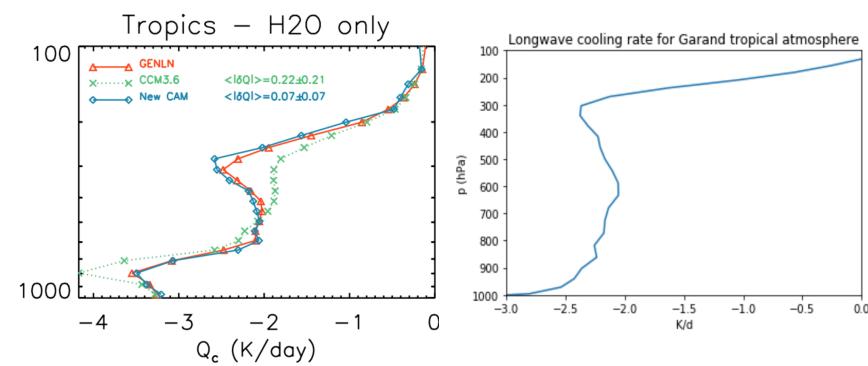
---

<sup>a</sup> See Glossary, or web sources, or ask; vocabulary tests are a suggested teaching tactic.

A state of possibility for convection is called *gravitational instability*, although there is more than one kind (section 0.4). Earth's atmosphere is perpetually *convecting* because it is perpetually *destabilized* (section 0.1). Although ultimately radiatively driven, instability is redistributed by air motions (some or all of them "convective", by various definitions of that term), through changes to vertical profiles of density or of variables that make up some *potential density* (the density an air *parcel* would have, under a hypothetical vertical displacement to a reference altitude or pressure level). Our main interest is in *turbulent* vertical convection, although *laminar* synoptic-scale upglide and downglide of warmer and cooler airmasses also comprise a *thermally direct* circulation deserving of the name *slantwise convection*<sup>b</sup>.

## 0.1 Sun-heated surface, IR-cooled air, H<sub>2</sub>O's 2 height scales

Sunlight reaches Earth's surface because air and water are nearly transparent in the visible part of the electromagnetic spectrum<sup>c</sup>. But without centuries of hard science, no observant person would know quite how air cools by emitting *longwave (infrared)* radiation (Fig 0.1).



<sup>b</sup> Discussed nicely in the late parts of chapter 7 of Wallace and Hobbs (2006).

<sup>c</sup> This defines *visible*, since our eyes evolved to see through air and water. The spectral narrowness of ([https://en.wikipedia.org/wiki/Electromagnetic\\_absorption\\_by\\_water](https://en.wikipedia.org/wiki/Electromagnetic_absorption_by_water)) is so special that it bears on life's evolution: our watery Earth and 6000K Sun are very fortuitous, albeit unsurprising by the [https://en.wikipedia.org/wiki/Anthropic\\_principle](https://en.wikipedia.org/wiki/Anthropic_principle).

Fig. 0.1. Clear-sky radiative cooling profiles for averaged conditions in the tropical troposphere. Left: Radiative cooling rates: from Old (CCM3.6, green) to New (CAM, blue), an improvement toward the trusted reference (GENLN, red). Adapted from Fig. 2 of Collins et al. (2004) which also explores some simulated climate impacts from the change. Right: the most up to date treatment (from calculations by Pincus et al. 2019 with input profiles not quite identical to those at left). *Shortwave* (solar) clear-air heating profiles are similar in shape, and nearly equal at noon, so the daily average or longitudinal average around the Earth is often about  $(1 - 1/\pi)$  or 70% of the longwave profiles shown (Mapes and Zuidema 1996).

Reasoning about radiation is possible, despite the complexities of the underlying physics. Purely radiative equilibrium, given air's composition, would feature upper-level temperatures (below the sun-heated ozone layer) so cold that, if they existed, world-scouring convection would erupt. But convection has been doing its job for eons, so instead we should think of convection as *maintaining<sup>d</sup>* the *troposphere<sup>e</sup>* at a temperature far warmer than its radiative equilibrium value. This warmth is an *ultimate cause* account of why air cools radiatively. We can also consider the *proximate cause<sup>f</sup>*: what molecules are emitting the radiation that cools air? That account is more useful for reasoning about the much larger impacts IR-active (polyatomic) gases, especially H<sub>2</sub>O whose concentration varies much more than does the always-large gap between actual temperature T and the fiction of radiative equilibrium.

Clouds affect the troposphere's radiative cooling profile profoundly in any local column (illustrated below), and substantially on average (e.g. Kato et al. 2018), but the cooling of clear air and its peculiarly top-heavy profile remain key drivers of the *deep convection* of the entire troposphere. In the time averaged climate, convection (broadly construed) acts to supply energy equal to the emitted amount, level by level, drawing ultimately on energy from *sensible and latent heat flux*

---

<sup>d</sup> yet another teleological account of convection's "job"

<sup>e</sup> *Tropos* is from Greek, *overturning*. The Tropics are the sun's turning latitudes.

<sup>f</sup> [https://en.wikipedia.org/wiki/Proximate\\_and\\_ultimate\\_causation](https://en.wikipedia.org/wiki/Proximate_and_ultimate_causation)

imparted by conduction (diffusion) to air that literally touches the Earth's skin.

Strong infrared cooling near the 300 hPa *pressure level* in Fig. 0.1 is remarkable<sup>g</sup> because water vapor concentrations there (temperature about -35C, altitude about 10km) are only about 1% of surface values. This top-heaviness of infrared cooling requires deep convection to have a top-heavy heating profile on average, which cannot be achieved through the simple condensation of water: there is simply too little vapor mass available at those cold upper-level temperatures. In order to do their job in the heat budget, then, deep convective updrafts must carry heat bodily, by being considerably warmer than their interstices. This in turn implies their rarity. In contrast, shallower convective motions can wield latent heat alone to efficiently keep the lower-tropospheric temperature *lapse rate* nearly *neutral* (or *moist adiabatic*). The foregoing is a stronger version of the inference of *hot towers* by Riehl and Malkus/Simpson (1958, 1979) from observed *moist-conserved variable* profiles -- inferences which, because of their blending of heat and moisture information, could also be satisfied by merely moist towers (implying a penetrative *eddy flux* of water).

Such warm updrafts are quite buoyant, making deep convection (on average) vigorous in the upper troposphere. Lightning and hail (*rimed ice*) are two consequences of upper level vigor, rooted partly in this rotation-band emission by the H<sub>2</sub>O molecule. That upper-level cooling also has a litany of other consequences for tropical tropospheric dynamics on Earth (Mapes 2000). Modeling such top-heavy cooling requires, at a minimum, a second *spectral band* in the infrared (e.g. Fig. 2 of Vallis et al. 2018). The consequences of this top-heaviness for the atmosphere's *general circulation* and climate (merely glimpsed in Collins et al. 2004) are much less well studied than the basic first-order impacts of tropospheric cooling, for instance from *gray radiation* idealizations.

---

<sup>g</sup> This intense *cooling to space* occurs in a distinctive rotation band of the H<sub>2</sub>O molecule.

## 0.2 Top-down vs. bottom-up convection

*Top-down* convection is simplest to explain, because the displacement-parallel force doing work is gravity, preferentially drawing down air's denser parcels. In *bottom-up* convection, the mean gravity-balancing *hydrostatic* part of the broader-scale pressure field preferentially pushes warmer lighter parcels upward. Despite this extra logical step for the positive (upward) sign, the *buoyancy* force is essentially symmetric. A more important asymmetry between bottom-up and top-down depends on whether convection's vertically accelerating parcels are formed by the horizontal gathering of intensely warmed low-level air, or of intensely cooled air aloft. A convenient measure of this asymmetry, indicative of what is driving convection, is the mean cube of vertical velocity  $w$  in the convecting layer, a statistic called *skew*. When up and down occur equally, the result is *symmetric* convective turbulence<sup>h</sup>.

On Earth the thermal driving by radiation never stops, although periodic variations of solar heating offer us daily and seasonal signals we can repeatably observe in convection fields, and study in composite detail for clues to mechanisms. Important but less *predictable* is the convection-contingent effect of clouds as a feedback on the radiative driving itself, producing coupled (rather than simply forced) phenomena that challenge our understanding at the frontier. The hope of predicting complex *unforced variability* pays the bills for all science in this field.

Cloud feedbacks on radiation are utterly dominant in the challenging *open* vs. *closed cell* problem in *cloud-topped boundary layers* (CTBL, Fig. 0.2). The *albedo* of Earth, crucial for its energy budget and thus its mean temperature, hinges largely on whether convection is top-down (whiter areas in Fig. 0.2, *closed cells*) vs. bottom-up (*open cells*, where the blue ocean shows through). But that question hinges on the clouds themselves (overcast vs. clearer skies, respectively). The CTBL thus

---

<sup>h</sup> Symmetric flow may also indicate *decaying* turbulence, perhaps generated by convection (work done) in the past, but now merely air's inertia playing itself out.

exhibits a *hysteresis*, existing in either of two stable, long-lasting *regimes* selected by accidents of history of each patch of air.

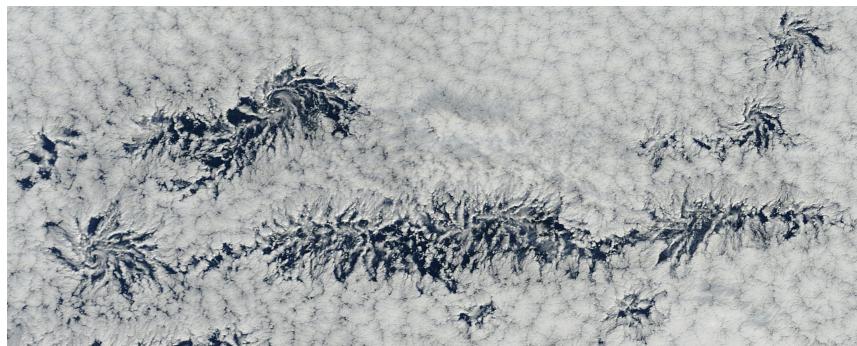


Fig. 0.2. Visible image of a stratocumulus cloud deck over ocean, with pockets of open cells (darker areas) where bottom-up convection prevails, amid closed cells (whiter areas) where convection is top-down. From <http://worldview.nasa.gov>.

The radiation driving top-down convection is intense cloud-top cooling, illustrated in the aircraft observations of Fig. 0.3 in and above a stratocumulus cloud deck. Cloudy radiation is complicated, so observations are emphasized here (dots and crosses), supported by calculations (solid curves). The net heating rate depends on radiant energy *flux convergence*, the negative of the vertical derivative of *net flux* (upward minus downward, in the *two-stream approximation*). The right panel shows longwave (IR) flux  $L$ , while the left panel shows midday shortwave  $S$  (which of course vanishes at night). Arrows denote upward vs. downward flux, measured on the belly and top of the aircraft respectively. The term  $-d/dz(L \downarrow)$  is clearly the predominant effect (left curve in right panel): the absence of downwelling IR radiation is the important "to space" part of the useful *cooling to space* approximation.

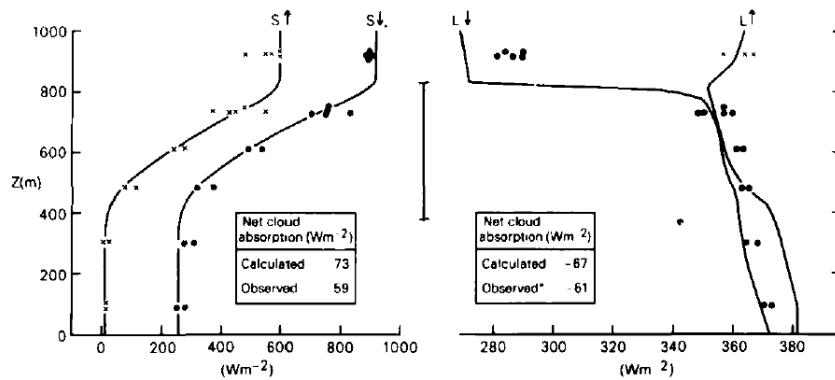


Fig. 0.3. Solar (S, left panel) and longwave (L, right panel) radiative fluxes, with upward and downward components (the *two-stream* approximation) indicated by arrows  $\uparrow\downarrow$ , for a low-latitude stratocumulus cloud deck at midday. Curves are computed estimates, while symbols show aircraft observations. Adapted from Fig. 8 of Nicholls 1984.

### 0.3 More asymmetry: saturated drafts in stratification

In addition to top-down vs. bottom-up forcing, *moist (cloudy) convection* (see Stevens 2005 for an excellent broad survey) has other distinctive mechanisms that govern its up-down asymmetry (skew of  $w$ ).

1. Latent heat released by water condensation upon ascent contributes a displacement-proportional term to parcel warmth and thus to the vertical buoyancy force. This term is partly compensated by *stratification* of the environment, but the latent heating term predominates in buoyant cumulus clouds, by definition of buoyant. A corresponding effect also occurs in downdrafts, if small-droplet condensed water is available to evaporate as rapidly as condensation occurs in supersaturation.
2. Mixtures of cloudy and clear air can be denser than either constituent. Specifically, the mixing fraction that yields exactly-saturated air has the greatest density of any mixture. This cooling upon mixing is called *buoyancy reversal*, and it can drive downdrafts whose close adjacency to cloudy updrafts can drive shear instability and thus momentum-forced turbulence, which itself feeds back into the mixing process. What scales of motion this effect drives is a little unclear: a density change happens when mixing occurs down to a scale at which cloud droplets evaporate into unsaturated air (fractions of a second later). But at that point, the gross density of moist air parcels at every larger scale is affected: when a 1 km turbulent ball of air with internal filaments of cloudy and unsaturated air finally, truly mixes, its kilometer-scale bulk density changes!

3. Radiative impacts of cloud particles, especially in “window” spectral bands in which air is transparent, give moist convection a feedback mechanism on radiative destabilization. Besides the intense infrared cooling of cloud tops in Fig. 0.3, cloud shading over land can dramatically weaken the surface heating that drives bottom-up convection.

Environmental stratification in fields of buoyant cumuli (effect 1 above) can be best understood teleologically: convection's job is to drive the embedding unstable layer toward *neutrality*. Its efficacy at that job drives the environmental density (by mechanisms clarified later) toward the updraft's profile, a *moist adiabatic* profile appropriate to the convection-governing buoyant draft<sup>i</sup>.

There is no laboratory analog for effects 1 and 2 – gradual buoyancy release in updrafts within stratified environments, and mixing-dependent negative buoyancy on the flanks. Mixing-dependent chemical heating or bubble releases can be contrived, but the modest depth of laboratory tanks provides too small a pressure range for latent heating-like processes. Electrical heating grids can be driven by digital imaging, but the finite resolution makes this more akin to numerical software modeling than to true low-viscosity laboratory turbulence.

#### **0.4 Conditionality of moist convective 'instability'**

The word “stable” is ancient, from proto-Indo-European *to stand*. A ball on a hill is the standard trope of *instability*, the state of being not stable. Shear instability in fluids is often like this: the slightest perturbation will cause waves to grow, and eventually to fold or roll up into vortices. The *smaller-scale response to a larger-scale state of instability plus a sufficient initial perturbation* lacks a crisp name, unfortunately. Such a

---

<sup>i</sup>Real convection is never governed by *undilute reversible* or *pseudoadiabatic* updrafts, often used to define approximate *moist adiabats*. A *zero-buoyancy plume* model is often used to reverse-engineer more realistic moist adiabats for climate-change studies.

response always has detailed characteristics (like a preferred wavelength or size), akin to the instability hill having a steepest side, perhaps with a narrow chute where the ball is mostly likely to fall, or is least likely to return from if it does.

A ball in a *dimple* on a hill (like in a volcano crater) expresses a *finite-amplitude instability*, like the explosive potential of gunpowder or a dry forest lacking only an ignition source. The *lifted-parcel instability* common to moist convection is like this, but asymmetric: only upward-displaced parcels reach saturation and engage latent heat release. The common name *conditional instability* represents this situation, but ambiguously. The "conditions" between a stable situation and a runaway or spontaneous continuation of motion may be surmountable, or might be wishful thinking ("if only the state were unstable").

Combustion requires all three of fuel and oxygen and ignition. Moist convection also hinges on three main *ingredients<sup>j</sup>* of actual, functional instability (that is, the condition whose response is actual convection). These include moisture abundance, the *lapse rate* of temperature, and finite-amplitude disturbances, which we will meet again as the Ooyama (1971) *dispatcher function*. As a result, there is no single, univariate measure of the problem that moist convection's job is to clean up or "neutralize". Unfortunately, terminology in this area is littered with historical cruft (Sherwood 2000, Schultz et al. 2000). For instance, the term *potential instability<sup>k</sup>* can be useful for predicting the turbulence of forcibly far-lifted cumulus updrafts, or for explaining *mammatus* on descending *anvil cloud* bases. But if its definition is used uncritically as a mindless formula, dry air in midlevels can be mistaken as somehow 'good for convection', a naive error sometimes seen in climate literature.

<sup>j</sup> This term is an example of a purposefully *vague* term of art (or jargon): search *ingredients-based forecasting* to find sometimes passionate debates around its use.

<sup>k</sup> Worse yet, equated as definition 2 of the enormously general terms "convective instability", "buoyant instability", and "thermal instability" in the authoritative <http://glossary.ametsoc.org>.

Ball-on-a-surface instability, analogized as parcel-minus-environment density difference, are too crude to be quantitative. Mass continuity (enforced by pressure, chapter 1) connects everything in realizable flows, complicatedly for large air displacement distances. The only hope of sensible meaning is for users of the word *instability* to carefully define it for specific scales and even a specific purpose, often a teleological one.

## 0.5 Unlikelihood, fitness, and the ecology of convection

When some patch of atmosphere exhibits conditional instability, and fluctuations have produced exceedances of the conditional's conditions, then what? For realism of imagination, look at a summer sky or some satellite imagery<sup>l</sup> before reading books. Clearly an account of convection requires multi-scale descriptions, often lumped debatably as questions of convective *organization*<sup>m</sup>. Truly organized entities (a meaningful assembly of parts) are *unlikely* to form randomly and for no reason, but may be preferentially favored by *natural selection* if their structural details boost their energy efficiency or some other measure of the Darwinian logical concept of *fitness* in the situation.

With the word "unlikely", *probability* necessarily enters our discourse, as our only hope of making any generalizable sense about convection's many complicated forms. The *distribution or density* of probability over a set of possible *situations*<sup>n</sup> is called *likelihood*, notated as  $P(\text{situation})$ . But how can we enumerate (and thus assign probability to) "situations"? This is an epistemological opportunity, a chance to align our feeble brains' thought patterns with infinitely complex nature, through a human-friendly choice of description framework. Seeking probabilistic *claims* and *narratives (accounts)* about nature puts us in the excellent company of other sciences, with access to their fruitful tool

---

<sup>l</sup> such as <https://worldview.earthdata.nasa.gov/>, <https://rammb-slider.cira.colostate.edu>

<sup>m</sup> from Greek *organon*, tool or instrument, implying a teleological function to the parts

<sup>n</sup> probability theory's *events* like the landing of coins or dice are combined in *situations*

kits. The mathematical notation of probability is not as fussy as *reductionism's* mechanistic calculus and algebra accountings of the specifics of fluid transport, for instance (as marched through in Part I). Instead, the challenges are logical and conceptual, ultimately accessed via words and even metaphors -- but those can have pitfalls. Careful thought is required.

*Statistical mechanics* thrillingly illuminated the droll *thermodynamics* of earlier centuries that brought us steam engines, albeit with a mental undertow for some of its practitioners<sup>o</sup>. Its re-interpretation of the thermodynamic *entropy* as the logarithm of the number of *indistinguishable microstates* of a *system* ("situations") is profound. Information theory (Shannon 1948) generalized this logarithmic form beyond the atomic and molecular realm where distinctions are literally unknowable by quantum mechanical *uncertainty principles*. In this broader view based on log-probability<sup>p</sup>, *informational entropy*<sup>q</sup> is a quantification of our ignorance, or of our strategic or tactical refusal to draw certain distinctions, not a new law of nature per se. Might principles from statistical mechanics be brought to bear on the problem of atmospheric convection?

Perhaps convection's patterns can be viewed as *exploitation strategies of an energy resource* by *unlikely structures*, echoing evolutionary biology whose distinct plant or animal species and individuals our clouds and storms are sometimes likened to, sometimes for merely cosmetic reasons. Its cousin discipline ecology, and parallel social sciences like economics, are rooted in similar ideas. These fields wrangle with the framing issues around definition-dependent and overlapping multiple-scale (*micro and macro*) *entities and systems*, as we must. Ecological and

<sup>o</sup> Ludwig Boltzmann in 1886 wrote: "... natural science appears completely to lose ... the large and general questions; but all the more splendid is the success when, groping in the thicket of special questions, we suddenly find a small opening that allows a hitherto undreamt of outlook on the whole" (Annila 2019). He hanged himself in 1906.

<sup>p</sup> embodying the *frequentist interpretation* of probability as an enumeration

<sup>q</sup> best rendered verbally as *missing information*, as argued in Ben-Naim (2008)

economic<sup>r</sup> principles like *succession* and *competition* and *survival* which rule our environmental worldviews and daily lives provide, at the very least<sup>k</sup>, a rich trove of metaphors we can draw on for reasoning.

Information theory quantities like *mutual* and *transfer information* are becoming genuinely valuable in convection-adjacent fields (e.g. Ruddell and Kumar 2009). These are calculable from tractable and even simple formulas, once the right strategic framings are laid out, treating various types of entities as macro and micro, distinguished or interchangeable. This is a new flourishing in the somewhat orphaned discipline of statistics, whose problematic 19<sup>th</sup> - 20<sup>th</sup> century culture is fascinatingly reviewed at a popular science level in Pearl and McKenzie (2018). Their mission is to revitalize statistics' broken relationship to *causality*, its vital link to the ultimate goals of science (and of the hope for non-stupid artificial intelligence). Those quests require not mere measurement and quantification, but robust methods of *predictive understanding*, somewhat beyond this book's goal of deep and cross-linked appreciation.

Back in the convection problem, a competition between neighboring air *parcels* (on many overlapping scales) is the central process of cumuliform convection. Pressure is one weapon in this competition, favoring the narrow; but mixing is another, which favors the broad (Part II). Natural selection by gravity relentlessly favors the fittest, but the resource defining fitness consists in more than one ingredient, so 'fitness' is continually redefined by the whole ecosystem, just as in biology. Does selection apply strictly to individual "entities" (however defined), or to whole groups of them plus their "environments", perhaps in the *situations* nomenclature above? Part III revisits these questions.

In biology, each generation of form (*ontogeny*) echoes a much longer-term *phylogeny*, shaped by competitions over the vast time scales of DNA's continuity (Dawkins 1989). In contrast, convective cloud fields develop anew from chaos each time instability becomes available for

---

<sup>r</sup> both from the Greek root *oikos* "house, dwelling place, habitation"

flow structures to exploit. We observe (and would like to measure usefully) how complex multi-cellular storm entities gradually rise to predominance, perhaps through a succession-like process -- whether viewed as competitive, cooperative, or exploitative. The resulting wild beauty we observe in storms can inspire curiosity for a lifetime, even as the droll wisdom of probability and averages and asymptotes and integral constraints "govern" it all, via inescapable but complicated enforcement mechanisms that may be a fool's errand to try to understand in detail.

If neighboring parcels feel the same displacement-reinforcing force, motions driven by gravity ("convective" motions in a broad sense) can be broad and persistent enough to engage the flywheel of horizontally rotational momentum on our spinning planet: this is *synoptic-scale* convection. If neighboring parcels feel differential force, it generates shear, whose momentum instabilities (another class of problem, beyond our titular scope but still powered by convection) drives smaller-scale turbulence. Together all these processes fulfil gravity's grand mission, subject to the realizability constraints of the laws of motion (such as mass continuity). Part III will revisit the prospects of *extremal principles* as a handle on this beautiful mess, but first we must unpack that innocuous phrase "we observe".

## 0.6 Observability, cognitive biases, and scope selection

In addition to natural selection as a force in nature, *cognitive selection* shapes all discourse and even thought, and so necessarily shaped this book. What underlies this moment of my writing, or your reading?

Is Earth's wondrously life-sustaining climate, with atmospheric convection bringing water to its lands, an 'unlikely' coincidence of factors? No, it is an *inevitable* coincidence of those factors, because the entire question is asked downstream of the condition that we are here to observe the situation. This is cosmology's *anthropic principle* (footnoted above), brought down to Earth. More mundane versions of this same cognitive pitfall (with facets that could be variously called *pre-screening*

*bias, unarticulated conditional sampling, survivor bias, confirmation bias*) cast shadows throughout our corpus of knowledge about the world (as evoked in the Preface). An area of great advances in contemporary thought, long known but exposed so starkly by the large number of enumerated instances made easy in the data age, is the naming and thus spotlighting of these cognitive biases and distortions themselves. Everyone who has discussed politics at a family meal knows that nonunique or even wildly divergent worldviews can develop, even in perfectly-rational *Bayesian learners*, when fed on differently pre-screened *information diets*. These are now the classic paradoxes of *artificial intelligence safety*, one of humanity's direst new concerns.

In convection science, one major observation bias is that condensed water reflects electromagnetic radiation (light, radar waves, etc.). It doesn't help that our brains are built to *identify* (literally: assign identity to) contiguous opaque entities in the air we inhabit, assigning them a sense of longevity (only sometimes valid for cloud systems) and *heft*. It is worth recalling that a buoyant cloud is actually a relative void of mass compared to the invisible air around it, by definition of buoyant. If these feel like obvious truths you feel intuitively when looking at the sky or radar data, you have a better mind than mine. What are the consequences for our science?

This field has matured from case-study description to generalized knowledge over about 2-3 generations. But an account of "convection" focusing mainly on its opaque parts is incomplete, no matter how many instances are accumulated. For instance, airplane-window views have a visibility bias against overcast areas 100s of km in size, arguably underpinning simplistic early views of the *cumulus parameterization problem* that my PhD advisor and his generational cohort made a career of contrasting (e.g. Houze and Betts 1981) with the new data gushers of radars and satellites. Today the discourse may almost have overcompensated, as the acronym MCS (for *mesoscale convective system*) is sometimes taken with excessive specificity – it is a mere umbrella term, slightly less vague than its predecessor term "cloud clusters" but still based largely on blob size in satellite or radar imagery.

Without functional measures of the importance of mesoscale contiguity or structural patterns (Mapes 2019), might cosmetic categorizations and labels like "scale" and "organization" be of little or even negative utility scientifically?

Internal structure of convective storms sampled by aircraft also has biases, toward some combination of interest (justifying the expense and effort) and safe flying conditions, as thoughtfully discussed in Ludlam and Scorer (1953) for instance. Aircraft sampling was consciously partitioned by quartiles of size of satellite-observed deep cloud blobs in one large field campaign (Lukas and Webster 1992, Mapes and Houze 1992), although in practice the logistics of aircraft targeting are challenging, and such a blob-size measure may be more of a convenient metric than a profoundly important one.

## 0.7 The pull of interests: extremes vs. large scales

The biggest divide in the science of atmospheric convection is between the mission of improved prediction and warning against hazardous local storms (Brooks et al. 2018), and the mission of larger-scale weather and climate prediction and projection, which involves the *moist dynamics of convecting flows* on larger scales.

In the first kind of science, the importance filter for what gets studied is a cost function based on life and property damage. That function is extremely steep with respect to storm characteristics like local intensity and stationarity or coverage of areas especially on land. In that version of convective meteorology, it is perfectly rational to focus on tails of the tails of the distribution  $P(\text{situation})$ , without dragging along a whole science of gentler instances. In the second kind of science, the expected mean value of latent heat release and eddy fluxes by convection are an integral over  $P(\text{situation})$  dominated by its middle, emphasizing typical or likely phenomenology.

With this book's mission of imparting "appreciation", extremes are not the main focus, but are noticed where their dynamics are truly distinctive, like in *supercells*. But there I have nothing new to add to the many passionate treatises on those exciting phenomena (e.g. Doswell 2001, the lavishly well illustrated Markowski and Richardson 2010, Bluestein 2013, Trapp 2013). Students and many researchers tend to be excited more by storms than statistics, but this book leans more to the latter.

A major driver of the multiscale study of convecting flow is *cumulus parameterization*, an engineering effort with underlying genuine science (as reviewed in Arakawa 2004). The aim is to devise a computationally fast, simple *surrogate model* that can deliver unbiased horizontal averages of the heat, moisture, and momentum tendencies produced by the whole ensemble of convective entities in larger-scale grid boxes, as a function of the gridbox-averaged<sup>s</sup> conditions. This enterprise could hardly be more different from storm interests, yet this book must touch on both, and the governing equations (Part I) and basic elements of reasoning ("cells", Part II) do overlap considerably. Again this book cannot begin to compete with the great tomes on that topic (e.g. Plant and Yano 2015), and will seek merely to impart an appreciation of principles (in Part III).

---

<sup>s</sup> and perhaps of other moments of a statistical treatment of sub-gridbox scale fluctuations