

Exercises of Petersen's Riemannian Geometry

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To my parents, Jihe Zhang and Yulan Ouyang

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1 Riemannian Metrics

1.1 On product manifolds $M \times N$ one has special product metrics $g = g_M + g_N$, where g_M, g_N are metrics on M, N respectively.

- Show that $(\mathbb{R}^n, can) = (\mathbb{R}, dt^2) \times \cdots \times (\mathbb{R}, dt^2)$.
- Show that the flat square torus

$$T^2 = \mathbb{R}^2/\mathbb{Z}^2 = \left(S^1, \left(\frac{1}{2\pi} \right)^2 d\theta^2 \right) \times \left(S^1, \left(\frac{1}{2\pi} \right)^2 d\theta^2 \right).$$

- Show that

$$F(\theta_1, \theta_2) = \frac{1}{2\pi}(\cos \theta_1, \sin \theta_1, \cos \theta_2, \sin \theta_2)$$

is a Riemannian embedding: $T^2 \rightarrow \mathbb{R}^4$.

Proof. • $can_{\mathbb{R}^n} = \sum_{i=1}^n (dx^i)^2$.

- Note that

$$\left(\frac{1}{2\pi} \right) d(\theta_1)^2 + \left(\frac{1}{2\pi} \right) d(\theta_2)^2 = \left(d \left(\frac{\theta_1}{2\pi} \right) \right)^2 + \left(d \left(\frac{\theta_2}{2\pi} \right) \right)^2,$$

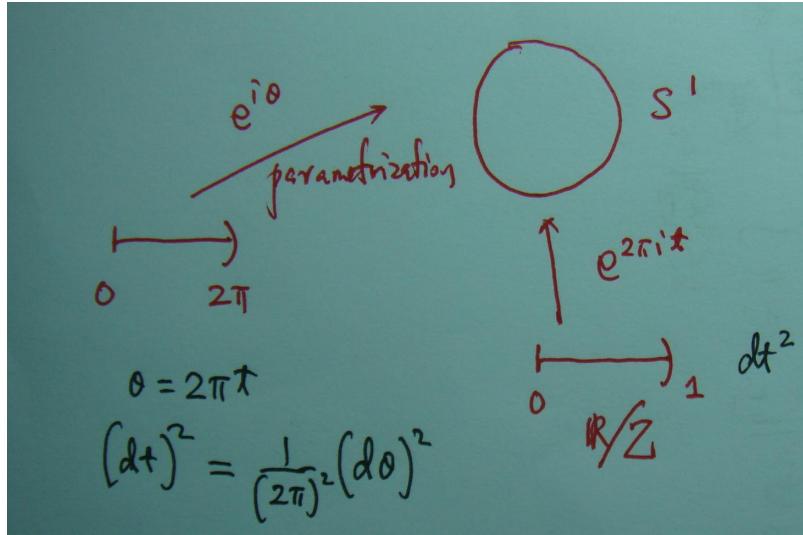
where $\theta_1, \theta_2 \in [0, 2\pi]$.

- ★ F is injective.

$$\sin \theta_1 = \sin \theta_2, \cos \theta_1 = \cos \theta_2 \Rightarrow \theta = 0.$$

★ dF is injective.

$$dF(\partial_{\theta_1}) = \frac{1}{2\pi}(-\sin \theta_1, \cos \theta_1, 0, 0),$$

Figure 1: The isometry between T^1 and S^1 with metric above

$$dF(\partial_{\theta_2}) = \frac{1}{2\pi}(0, 0, -\sin \theta_2, \cos \theta_2).$$

$$dF(\alpha \partial_{\theta_1} + \beta \partial_{\theta_2}) = 0 \Rightarrow \alpha = 0 = \beta.$$

★ F is a Riemannian embedding.

Just note that

$$\begin{aligned} F^*can_{\mathbb{R}^4} &= \left(d\left(\frac{1}{2\pi} \cos \theta_1\right) \right)^2 + \dots \\ &= \left(\frac{1}{2\pi}\right)^2 ((d\theta_1)^2 + (d\theta_2)^2). \end{aligned}$$

□

1.5 Let G be a Lie group.

- Show that G admits a bi-invariant metric, i.e. both right and left translation are isometries.

- Show that the inner automorphism $Ad_h(x) = h x h^{-1}$ is a Riemannian isometry. Conclude that its differential at $x = e$ denoted by the same letters

$$Ad_h : \mathfrak{g} \rightarrow \mathfrak{g}$$

is a linear isometry with respect to g .

- Use this to show that the adjoint action

$$\begin{aligned} ad_U &: \mathfrak{g} \rightarrow \mathfrak{g}, \\ ad_U(X) &= [U, X] \end{aligned}$$

is skew-symmetric, i.e.,

$$g([U, X], Y) = -g(X, [U, Y]).$$

Proof. • Let g_L be a left-invariant metric, i.e.

$$\begin{aligned} g_L(v, w) &= g_L((dL_{x^{-1}})_x(v), (dL_{x^{-1}})_x(w)), \\ &\forall v, w \in T_x G, x \in G. \end{aligned}$$

Let E_1, \dots, E_n the left-invariant orthonormal vector fields, $\sigma^1, \dots, \sigma^n$ the dual 1-forms. Thus the volume form

$$\omega = \sigma^1 \wedge \cdots \wedge \sigma^n.$$

Define

$$g(v, w) = \frac{1}{\int \omega} \int g_L(DR_x(v), DR_x(w)) \omega.$$

We have

★ g is left-invariant.

$$\begin{aligned}
& g((DL_y)_e(v), (DL_y)_e(w)) \\
&= \frac{1}{\int \omega} \int g_L((DR_x)_y \circ (DL_y)_e(v), (DR_x)_y \circ (DL_y)_e(w)) \omega \\
&= \frac{1}{\int \omega} \int g_L((DL_y)_x \circ (DR_x)_e(v), (DL_y)_x \circ (DR_x)_e(w)) \omega \\
&\quad (R_x \circ L_y = L_y \circ R_x) \\
&= \frac{1}{\int \omega} \int g_L((DR_x)_e(v), (DR_x)_e(w)) \omega \\
&\quad (g_L \text{ is left-invariant}) \\
&= g(v, w), \quad \forall v, w \in T_e G; \quad y \in G.
\end{aligned}$$

★ g is right-invariant.

$$\begin{aligned}
& g((DR_y)_e(v), (DR_y)_e(w)) \\
&= \frac{1}{\int \omega} \int g_L((DR_x)_y \circ (DR_y)_e(v), (DR_x)_y \circ (DR_y)_e(w)) \omega \\
&= \frac{1}{\int \omega} \int g_L((DR_{yx})_e(v), (DR_{yx})_e(w)) \omega \\
&= \frac{1}{\int \omega} \int g_L((DR_z)_e(v), (DR_z)_e(w)) \omega \\
&\quad (\text{change of variables: } z = yx) \\
&= g(v, w), \quad \forall v, w \in T_e G; \quad y \in G.
\end{aligned}$$

• Indeed,

$$Ad_h = L_h \circ R_{h^{-1}},$$

thus its differential

$$D(Ad_h) = (DL_h) \circ (DR_{h^{-1}}),$$

and

$$\begin{aligned}
& g(Ad_h(v), Ad_h(w)) \\
&= g(D(Ad_h)_e(v), D(Ad_h)_e(w)) \\
&= g((D_h)_{xh^{-1}} \circ (DR_{h^{-1}})x(v), (D_h)_{xh^{-1}} \circ (DR_{h^{-1}})x(w)) \\
&= g(v, w), \quad \forall v, w \in T_e M,
\end{aligned}$$

i.e. Ad_h is a linear isometry w.r.t. g .

- By the second assertion,

$$g(Ad_{exp(tU)}X, Ad_{exp(tU)}Y) = g(X, Y), \quad \forall X, Y \in \mathfrak{g}.$$

Differentiating the above equality at $t = 0$, we get

$$g(ad_U X, Y) + g(X, ad_U Y) = 0,$$

i.e.

$$g([U, X], Y) = -g(X, [U, Y]).$$

□

1.6 Let V be a n -dimensional vector space with a symmetric nondegenerate bilinear form g of index p .

- Show that there exists a basis e_1, \dots, e_n such that $g(e_i, e_j) = 0$ if $i \neq j$, $g(e_i, e_i) = -1$ if $i = 1, \dots, p$ and $g(e_i, e_i) = 1$ if $i = p+1, \dots, n$. Thus V is isometric to $\mathbb{R}^{p,q}$.
- Show that for any v we have the expansion

$$v = \sum_{i=1}^n \frac{g(v, e_i)}{g(e_i, e_i)} e_i = - \sum_{i=1}^p g(v, e_i) e_i + \sum_{i=p+1}^n g(v, e_i) e_i.$$

- Let $L : V \rightarrow V$ be a linear operator. Show that

$$\text{tr}(L) = \sum_{i=1}^n \frac{g(L(e_i), e_i)}{g(e_i, e_i)}.$$

Proof. Indeed, nothing need to show if one is familiar with the theory of quadratic forms! \square

2 Curvature

- 2.1 Show that the connection on Euclidean space is the only affine connection such that $\nabla X = 0$ for all constant vector fields X .

Proof. If $\nabla X = 0$, $\forall X = a^i \partial_i$, with a^i constant, then for $\forall j$,

$$\begin{aligned} 0 &= \nabla_{\partial_j} X = \nabla_{\partial_j}(a^i \partial_i) \\ &= (\partial_j a^i) \partial_i + a^i \nabla_{\partial_j} \partial_i = a^i \nabla_{\partial_j} \partial_i \\ &= a^i \Gamma_{ji}^k \partial_k, \end{aligned}$$

i.e.

$$\Gamma_{ij}^k = 0, \quad \forall i, j, k,$$

the connection is flat. \square

- 2.2 If $F : M \rightarrow M$ is a diffeomorphism, then the push-forward of a vector field is defined as

$$(F_* X)|_p = DF(X|_{F^{-1}(p)}).$$

Let F be a isometry on (M, g) .

- Show that $F_*(\nabla_X Y) = \nabla_{F_* X} F_* Y$ for all vector fields.

- If $(M, g) = (\mathbb{R}, \text{can})$, then isometries are of the form $F(x) = Ox + b$, where $O \in O(n)$ and $b \in \mathbb{R}^n$.

Proof. • By Koszul formula, we have for $\forall Z \in \mathcal{X}(M)$,

$$\begin{aligned}
& g(F_*(\nabla_X Y), F_* Z) \circ F = (F^* g)(\nabla_X Y, Z) \\
= & \frac{1}{2} [X((F^* g)(Y, Z)) + Y((F^* g)(Z, X)) - Z((F^* g)(X, Y)) \\
& + (F^* g)(Z, [X, Y]) + (F^* g)(Y, [Z, X]) - (F^* g)(X, [Y, Z])] \\
= & \frac{1}{2} [X(g(F_* Y, F_* Z) \circ F) + Y(g(F_* Z, F_* X) \circ F) \\
& - Z(g(F_* X, F_* Y) \circ F) \\
& + g(F_* Z, [F_* X, F_* Y]) \circ F + g(F_* Y, [F_* F_* Z, F_* X]) \circ F \\
& + g(F_* X, [F_* Y, F_* Z]) \circ F] \\
= & g(\nabla_{F_* X} F_* Y, F_* Z) \circ F.
\end{aligned}$$

- If $(M, g) = (\mathbb{R}^n, \text{can})$, and F is an isometry, then due to

$$0 = F_*(\nabla_{\partial_i} \partial_j) = \nabla_{F_* \partial_i} F_* \partial_j,$$

we have

$$\nabla F_* \partial_j = 0.$$

Thus

$$\frac{\partial^2 F_i}{\partial x^j \partial x^k} = 0, \quad \forall i, j, k.$$

While, F is an isometry implies

$$\begin{aligned}\delta_{ij} &= \langle \partial_i, \partial_j \rangle \\ &= \langle F_*\partial_i, F_*\partial_j \rangle \\ &= \left\langle \frac{\partial F_i}{\partial x_k} \partial_k, \frac{\partial F_j}{\partial x_l} \partial_l \right\rangle \\ &= \sum_k \frac{\partial F_i}{\partial x_k} \frac{\partial F_j}{\partial x_k}.\end{aligned}$$

i.e.

$$\left[\frac{\partial F_i}{\partial x_j} \right] \in O(n).$$

Thus Taylor's expansion tells us

$$F = Ox + b,$$

with $O \in O(n), b \in \mathbb{R}^n$.

□

2.4 Show that if X is a vector field of constant length on a Riemannian manifold, then $\nabla_v X$ is always perpendicular to X .

Proof.

$$0 = D_v g(X, X) = 2g(\nabla_v X, X).$$

□

2.5 For any $p \in (M, g)$ and orthonormal basis e_1, \dots, e_n for $T_p M$, show that there is an orthonormal frame E_1, \dots, E_n in a neighborhood of p such that $E_i = e_i$ and $(\nabla E)|_p = 0$.

Proof. Fix an orthonormal frame \overline{E}_i near $p \in M$ with $\overline{E}_i(p) = e_i$. If we define $E_i = \alpha_i^j \overline{E}_j$, where $[\alpha_i^j(x)] \in SO(n)$ and $\alpha_i^j(p) = \delta_i^j$, then this will yield the desired frame provided that the $D_{e_k} \alpha_i^j$ are prescribed as

$$0 = D_{e_k}(\alpha_i^j \overline{E}_j) = D_{e_k} \alpha_i^j \overline{E}_j + \alpha_i^j D_{e_k} \overline{E}_j.$$

□

2.7 Let M be a n -dimensional submanifold of \mathbb{R}^{n+m} with the induced metric and assume that we have a local coordinate system given by a parametrization $x^s(u^1, \dots, u^n), s = 1, \dots, n+m$. Show that in these coordinates we have:

- $g_{ij} = \sum_{s=1}^{n+m} \frac{\partial x^s}{\partial u^i} \frac{\partial x^s}{\partial u^j}$.
- $\Gamma_{ij,k} = \sum_{s=1}^{n+m} \frac{\partial x^s}{\partial u^k} \frac{\partial^2 x^s}{\partial u^i \partial u^j}$.
- R_{ijkl} depends only on the first and second partials of x^s .

Proof.

$$\begin{aligned} g_{ij} &= g\left(dx^s \left(\frac{\partial}{\partial u^i}\right), dx^s \left(\frac{\partial}{\partial u^j}\right)\right) \\ &= g\left(\frac{\partial x^s}{\partial u^i} \frac{\partial}{\partial x^s}, \frac{\partial x^t}{\partial u^j} \frac{\partial}{\partial x^t}\right) \\ &= \frac{\partial x^s}{\partial u^i} \frac{\partial x^t}{\partial u^j} \delta_{st} \\ &= \frac{\partial x^s}{\partial u_i} \frac{\partial x^s}{\partial u_j}. \end{aligned}$$

•

$$\begin{aligned}
\Gamma_{ij,k} &= \Gamma_{ij}^l g_{lk} \\
&= \frac{1}{2}(\partial_j g_{ik} + \partial_i g_{jk} - \partial_k g_{ij}) \\
&= \frac{1}{2}[\partial_j(\partial_i x^s \partial_k x^s) + \partial_i(\partial_j x^s \partial_k x^s) - \partial_k(\partial_i x^s \partial_j x^s)] \\
&= \frac{1}{2}(\partial_{ij}^2 x^s \partial_k x^s + \partial_k x^s \partial_{ij}^2 x^s) \\
&= \partial_k x^s \partial_{ij}^2 x^s.
\end{aligned}$$

•

$$\begin{aligned}
R_{ijkl} &= g(R(\partial_i, \partial_j) \partial_k, \partial_l) \\
&= g(\nabla_{\partial_i} \nabla_{\partial_j} \partial_k - \nabla_{\partial_j} \nabla_{\partial_i} \partial_k - \nabla_{[\partial_i, \partial_j]} \partial_k, \partial_l) \\
&= g(\nabla_i(\Gamma_{jk}^p \partial_p) - \nabla_j(\Gamma_{ik}^p \partial_p), \partial_l) \\
&= \partial_i \Gamma_{jk}^p g_{pl} + \Gamma_{jk}^p \Gamma_{ip}^q g_{ql} - \partial_j \Gamma_{ik}^p g_{pl} - \Gamma_{ik}^p \Gamma_{jp}^q g_{ql},
\end{aligned}$$

while the terms involving third partials of x^s offset:

$$\partial_p x^s \partial_i(\partial_{jk}^2 x^s) - \partial_p x^s \partial_j(\partial_{ik}^2 x^s) = 0.$$

□

2.8 Show that $Hess f = \nabla df$.

Proof.

$$\begin{aligned}
 \text{Hess } f(X, Y) &= g(\nabla_X \nabla f, Y) \\
 &= Xg(\nabla f, Y) - g(\nabla f, \nabla_X Y) \\
 &= \nabla_X(df(Y)) - df(\nabla_X Y) \\
 &= (\nabla_X df)(Y) \\
 &= (\nabla df)(X, Y).
 \end{aligned}$$

□

2.10 Let (M, g) be oriented and define the Riemannian volume form $dvol$ as follows:

$$dvol(e_1, \dots, e_n) = \det(g(e_i, e_j)) = 1,$$

where e_1, \dots, e_n is a positively oriented orthonormal basis for $T_p M$.

- Show that if v_1, \dots, v_n is positively oriented, then

$$dvol(v_1, \dots, v_n) = \sqrt{\det(g(v_i, v_j))}.$$

- Show that the volume form is parallel.
- Show that in positively oriented coordinates,

$$dvol = \sqrt{\det(g_{ij})} dx^1 \wedge \cdots \wedge dx^n.$$

- If X is a vector field, show that

$$L_X dvol = \text{div}(X) dvol.$$

- Conclude that the Laplacian has the formula:

$$\Delta u = \frac{1}{\sqrt{\det(g_{ij})}} \partial_k \left(\sqrt{\det(g_{ij})} g^{kl} \partial_l u \right).$$

Given that the coordinates are normal at p we get as in Euclidean space that

$$\Delta f(p) = \sum_{i=1}^n \partial_i \partial_i f.$$

Proof. • Let $v_i = \alpha_i^j e_j$, then

$$\begin{aligned} dvol(v_1, \dots, v_n) &= \sum \alpha_1^{i_1} \cdots \alpha_n^{i_n} \det(e_{i_1}, \dots, e_{i_n}) \\ &= \sum sgn(i_1, \dots, i_n) \alpha_1^{i_1} \cdots \alpha_n^{i_n} \\ &= \det \alpha_i^j \\ &= \sqrt{\det \alpha_i^j \cdot \det \alpha_j^i} \\ &= \sqrt{\det(\alpha_i^k \alpha_k^j)} \\ &= \sqrt{\det(g(v_i, v_j))}. \end{aligned}$$

- By Exercise 5, \exists local orthonormal frame (E_i) around p , such that

$$E_i(p) = e_i, \quad \nabla E_i(p) = 0.$$

Then for any $X \in \mathcal{X}(M)$, $X = X^i E_i$, we have

$$\begin{aligned} &(\nabla_X dvol)(E_1, \dots, E_n) \\ &= X(dvol(E_1, \dots, E_n)) - \sum_d vol(E_1, \dots, \nabla_X E_i, \dots, E_n) \\ &= 0. \end{aligned}$$

- This is just a direct consequence of the first assertion, i.e.

$$dvol(\partial_1, \dots, \partial_n) = \sqrt{\det(g_{ij})}$$

implies that

$$dvol = \sqrt{\det(g_{ij})} dx^1 \wedge \cdots \wedge dx^n.$$

•

$$\begin{aligned} & (L_X dvol)(E_1, \dots, E_n) \\ &= L_X(dvol(E_1, \dots, E_n)) - \sum dvol(E_1, \dots, L_X E_i, \dots, E_n) \\ &= (div X)dvol(E_1, \dots, E_n), \end{aligned}$$

where we use the fact

$$L_X E_i = [X, E_i] = \nabla_X E_i - \nabla_{E_i} X = -\nabla_{e_i} X = -(\nabla_{e_i} X^i)e_i.$$

•

$$\Delta u \cdot dvol = div(\nabla u)dvol = L_{\nabla u}dvol = L_{g^{kl}\partial_l u \partial_k}dvol$$

implies that

$$\begin{aligned} & \Delta u \cdot dvol(\partial_1, \dots, \partial_n) \\ &= (L_{g^{kl}\partial_l u \partial_k}dvol)(\partial_1, \dots, \partial_n) \\ &= g^{kl}\partial_l u (L_{\partial_k}dvol)(\partial_1, \dots, \partial_n) \\ &\quad + d(g^{kl}\partial_l u)(\partial_m)dvol(\partial_1, \dots, \partial_k, \dots, \partial_n) \\ &= g^{kl}\partial_l u \partial_k \sqrt{\det(g_{ij})} + \partial_k(g^{kl}\partial_l u) \sqrt{\det(g_{ij})} \\ &= \partial_k \left(\sqrt{\det(g_{ij})} g^{kl} \partial_l u \right) \\ &= \frac{1}{\sqrt{\det(g_{ij})}} \partial_k \left(\sqrt{\det(g_{ij})} g^{kl} \partial_l u \right) dvol(\partial_1, \dots, \partial_n), \end{aligned}$$

i.e.

$$\Delta u = \frac{1}{\sqrt{\det(g_{ij})}} \partial_k \left(\sqrt{\det(g_{ij})} g^{kl} \partial_l u \right).$$

In normal coordinates around p ,

$$\Delta u = \sum \partial_i \partial_i f.$$

□

2.11 Let (M, g) be a oriented Riemannian manifold with volume form $dvol$ as above.

- If f has compact support, then

$$\int_M \Delta f \cdot dvol = 0.$$

- Show that

$$div(f \cdot X) = g(\nabla f, X) + f \cdot divX.$$

- Establish the integration by parts formula for functions with compact support:

$$\int_M f_1 \cdot \Delta f_2 \cdot dvol = - \int_M g(\nabla f_1, \nabla f_2) \cdot dvol.$$

- Conclude that if f is sub- or superharmonic (i.e. $\Delta f \geq 0$ or $\Delta f \leq 0$) then f is constant. This result is known as the weak maximum principle. More generally, one can show that any subharmonic (respectively superharmonic) function that has a global maximum (respectively minimum) must be constant. This result is usually referred to as the strong maximum principle.

Proof.

•

$$\begin{aligned}
\int_M \Delta f \cdot dvol &= \int_M L_{\nabla f} dvol \\
&= \int_M i_{\nabla f} d(dvol) + d(i_{\nabla f} dvol) \\
&= 0.
\end{aligned}$$

•

$$\begin{aligned}
&\operatorname{div}(f \cdot X) \\
&= \operatorname{div}(f \cdot X) dvol(e_1, \dots, e_n) \\
&= (L_{f \cdot X} dvol)(E_1, \dots, E_n) \\
&= f(L_X dvol)(E_1, \dots, E_n) + df(E_i) dvol(E_1, \dots, X, \dots, E_n) \\
&= f(\operatorname{div} X) dvol(E_1, \dots, E_n) + g(\nabla f, E_i) g(X, E_i) \\
&= f \cdot \operatorname{div} X + g(\nabla f, X).
\end{aligned}$$

•

$$\begin{aligned}
\Delta(f_1 \cdot f_2) &= \operatorname{div}(\nabla(f_1 \cdot f_2)) \\
&= \operatorname{div}(f_1 \cdot \nabla f_2 + f_2 \cdot \nabla f_1) \\
&= f_1 \Delta f_2 + g(\nabla f_1, \nabla f_2) + f_2 \Delta f_1 + g(\nabla f_2, \nabla f_1) \\
&= f_1 \Delta f_2 + 2g(\nabla f_1, \nabla f_2) + f_2 \Delta f_1.
\end{aligned}$$

•

$$\begin{aligned}
\int_M f_1 \cdot \Delta f_2 \cdot dvol &= \int_M f_1 \cdot \operatorname{div}(\nabla f_2) \cdot dvol \\
&= \int_M (\operatorname{div}(f_1 \cdot \nabla f_2) - g(\nabla f_1, \nabla f_2)) \cdot dvol \\
&= - \int_M g(\nabla f_1, \nabla f_2) \cdot dvol.
\end{aligned}$$

- If $\Delta f \geq 0$, then

$$0 = \int_M \Delta f \cdot dvol \geq 0,$$

this implies

$$\Delta f = 0.$$

And hence

$$0 = \int_M f \cdot \Delta f \cdot dvol = - \int_M g(\nabla f, \nabla f) \cdot dvol,$$

$$\nabla f = 0,$$

i.e. f is constant.

For the proof of the strong maximum principle, see P280 of the book.

□

2.13 Let X be a unit vector field on (M, g) such that $\nabla_X X = 0$.

- Show that X is locally the gradient of a distance function iff the orthogonal distribution is integrable.
- Show that X is the gradient of a distance function in a neighborhood of $p \in M$ iff the orthogonal distribution has an integral submanifold through p .
- Find X with the given conditions so that it is not a gradient field.

Proof. • Let X, Y_2, \dots, Y_n be orthonormal frame on M , and θ_X be defined as

$$\theta_X(Y) = g(X, Y), \quad \forall Y \in \mathcal{X}(M),$$

be the 1-form dual to X . \Rightarrow : If X is locally the gradient of a distance function, i.e. $X = \nabla r$ for some $r : U(\subset M) \rightarrow \mathbb{R}$. Then

$$\theta_X(Y) = g(X, Y) = g(\nabla r, Y) = dr(Y), \quad \forall Y \in \mathcal{X}(M),$$

i.e. $\theta_X = dr, d\theta_X = d \circ dr = 0$. Hence

$$\begin{aligned} 0 &= d\theta_X(Y_i, Y_j) \\ &= Y_i(\theta_X(Y_j)) - Y_j(\theta_X(Y_i)) - \theta_X([Y_i, Y_j]) \\ &= -g(X, [Y_i, Y_j]), \end{aligned}$$

i.e.

$$[Y_i, Y_j] = \sum c_{ij}^k Y_k, \text{ for some } c_{ij}^k.$$

\Leftarrow : If the distribution $Y = \{Y_2, \dots, Y_n\}$ is integrable, then

$$g([Y_i, Y_j], X) = 0.$$

We claim that $d\theta_X = 0$ then.

✓

$$d\theta_X(X, X) = X\theta_X(X) - X\theta_X(X) - \theta_X([X, X]) = 0,$$

✓

$$\begin{aligned} d\theta_X(X, Y_i) &= X\theta_X(Y_i) - Y_i\theta_X(X) - \theta_X(X, Y_i) \\ &= Xg(X, Y_i) - Yg(X, X) - g(X, [X, Y]) \\ &= g(\nabla_X X, Y_i) + g(X, \nabla_X Y_i) \\ &\quad - g(\nabla_{Y_i} X, X) - g(X, \nabla_{Y_i} X) \\ &\quad - g(X, [X, Y_i]) \\ &= g(\nabla_X X, Y) - \frac{1}{2}Yg(X, X) \\ &= 0, \end{aligned}$$

✓

$$d\theta_X(Y_i, Y_j) = Y_i \theta_X(Y_j) - Y_j \theta_X(Y_i) - \theta_X([Y_i, Y_j]) = 0.$$

Next, we shall show $X = \nabla r$ for some $r : U(\subset M) \rightarrow \mathbb{R}$.

Indeed, in local coordinates, written $X = X^i \partial_i$, we have

$$\begin{aligned} 0 &= d\theta_X(\partial_i, \partial_j) \\ &= \partial_i g(X, \partial_j) - \partial_j g(X, \partial_i) \\ &= \partial_i (X^k g_{kj}) - \partial_j (X^k g_{ki}). \end{aligned}$$

Then a simple mathematical analysis leads to the fact that

$$X^k g_{ki} = \partial_i r, \quad i = 1, 2, \dots, n,$$

for some $r : U(\subset M) \rightarrow \mathbb{R}$. Hence

$$X = X^i \partial_i = g^{ij} \partial_j r \partial_i = \nabla r,$$

as desired.

- This is just a consequence of the first assertion and the Frobenius integrability Theorem for vector fields.
- We consider $S^3 = SU(2)$ with bi-invariant metric, so that

$$g(X_i, X_j) = \delta_{ij},$$

where

$$X_1 = \begin{bmatrix} i & 0 \\ 0 & -1 \end{bmatrix}, \quad X_2 = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}, \quad X_3 = \begin{bmatrix} 0 & i \\ i & 0 \end{bmatrix}.$$

Our claim is then that $\nabla_{X_1} X_1 = 0$ while $[X_2, X_3] = 2X_1$. Thus X_1 is not locally a gradient field.

✓ $[X_2, X_3] = 2X_1$.

Indeed, $[X_i, X_{i+1}] = 2X_i$ (indices are mod 3).

✓ $\nabla_{X_1} X_1 = 0$.

This follows from the Koszul Formula and the Lie bracket just determined.

□

2.14 Given an orthonormal frame E_1, \dots, E_n on (M, g) , define the structure constants c_{ij}^k by $[E_i, E_j] = c_{ij}^k E_k$. Then define the Γ s and R s as

$$\nabla_{E_i} E_j = \Gamma_{ij}^k E_k,$$

$$R(E_i, E_j)E_k = R_{ijk}^l E_l$$

and compute them in terms of c s. Notice that on Lie groups with left-invariant metrics the structure constants can be assumed to be constant. In this case, computations simplify considerably.

Proof. • Γ_{ij}^k is just computed by Koszul Formula.

$$\begin{aligned} 2\Gamma_{ij}^k &= 2g(\nabla_{E_i} E_j, E_k) \\ &= E_i g(E_j, E_k) + E_j g(E_k, E_i) - E_k g(E_i, E_j) \\ &\quad + g(E_k, [E_i, E_j]) + g(E_j, [E_k, E_i]) - g(E_i, [E_j, E_k]) \\ &= c_{ij}^k + c_{ki}^j - c_{jk}^i. \end{aligned}$$

•

$$\begin{aligned} R_{ijk}^l &= \partial_i \Gamma_{jk}^l - \partial_j \Gamma_{ik}^l + \Gamma_{jk}^s \Gamma_{is}^l - \Gamma_{ik}^s \Gamma_{js}^l \\ &= \dots. \end{aligned}$$

□

2.15 There is yet another effective method for computing the connection and curvatures, namely, the Cartan formalism. Let (M, g) be a Riemannian manifold. Given a frame E_1, \dots, E_n , the connection can be written

$$\nabla E_i = \omega_i^j E_j,$$

where ω_i^j are 1-forms. Thus,

$$\nabla_v E_i = \omega_i^j(v) E_j.$$

Suppose now that the frame is orthonormal and let ω^i be the dual coframe, i.e. $\omega^i(E_j) = \delta_j^i$. Show that the connection forms satisfy

$$\omega_i^j = -\omega_j^i,$$

$$d\omega^i = \omega^j \wedge \omega_j^i.$$

These two equations can, conversely, be used to compute the connection forms given the the orthonormal frame. Therefore, if the metric is given by declaring a certain frame to be orthonormal, then this method can be very effective in computing the connection.

If we think of $[\omega_i^j]$ as a matrix, then it represents 1-form with values in the skew-symmetric $n \times n$ matrices, or in other words, with values in the Lie algebra $\mathfrak{so}(n)$ for $O(n)$.

The curvature forms Ω_i^j are 2-forms with values in $\mathfrak{so}(n)$. They are defined as

$$R(\cdot, \cdot)E_i = \Omega_i^j E_j.$$

Show that they satisfy

$$d\omega_i^j = \omega_i^k \wedge \omega_k^j + \Omega_i^j.$$

When reducing to Riemannian metrics on surfaces we obtain for an orthonormal frame E_1, E_2 with coframe ω^1, ω^2

$$d\omega^1 = \omega^2 \wedge \omega_2^1,$$

$$d\omega^2 = -\omega^1 \wedge \omega_2^1,$$

$$d\omega_2^1 = \Omega_2^1,$$

$$\Omega_2^1 = \sec \cdot dvol.$$

Proof. •

$$\omega_i^k = \omega_i^j g_{jk} = g(\nabla E_i, E_k) = -g(E_i, \nabla E_k) = -g_{il} \omega_k^l = -\omega_k^i.$$

•

$$\begin{aligned} d\omega^i(X, Y) &= X(\omega^i(Y)) - Y(\omega^i(X)) - \omega^i([X, Y]) \\ &= X(g(E_i, Y)) - Yg(E_i, X) - g(E_i, [X, Y]) \\ &= g(\nabla_X E_i, Y) + g(E_i, \nabla_X Y) \\ &\quad - g(\nabla_Y E_i, X) - g(E_i, \nabla_Y X) \\ &\quad - g(E_i, [X, Y]) \\ &= g(\nabla_X E_i, Y) - g(\nabla_Y E_i, X) \\ &= \omega_i^j(X)g(E_j, Y) - \omega_i^j(Y)g(E_j, X) \\ &= \omega^j(X)\omega_j^i(Y) - \omega^j(Y)\omega_j^i(X) \\ &= (\omega^j \wedge \omega_j^i)(X, Y). \end{aligned}$$

- One may calculate as before, but here we note that both sides of

$$d\omega_i^j = \omega_i^k \wedge \omega_k^j + \Omega_i^j$$

are tensors. We need only to check

$$\begin{aligned} d\omega_i^j(E_m, E_l) &= E_m(\omega_i^j(E_l)) - E_l(\omega_i^j(E_m)) \\ &= E_m(g(\nabla_{E_l} E_i, E_j)) - E_l(g(\nabla_{E_m} E_i, E_j)) \\ &= g(\nabla_{E_m} \nabla_{E_l} E_i, E_j) + g(\nabla_{E_l} E_i, \nabla_{E_m} E_j) \\ &\quad - g(\nabla_{E_l} \nabla_{E_m} E_i, E_j) - g(\nabla_{E_m} E_i, \nabla_{E_l} E_j) \\ &= g(R(E_m, E_l)E_i, E_j) \\ &\quad + g(\nabla_{E_l} E_i, \nabla_{E_m} E_j) - g(\nabla_{E_m} E_i, \nabla_{E_l} E_j) \\ &= \Omega_i^j(E_m, E_l) + \omega_i^k(E_l)\omega_j^k(E_m) - \omega_i^k(E_m)\omega_j^k(E_l) \\ &= \omega_i^k(E_m)\omega_j^k(E_l) - \omega_i^k(E_l)\omega_j^k(E_m) + \Omega_i^j(E_m, E_l) \\ &= (\omega_i^k \wedge \omega_j^k + \Omega_i^j)(E_m, E_l). \end{aligned}$$

- In two dimensional case,

$$\Omega_2^1(E_1, E_2) = g(R(E_1, E_2)E_2, E_1) = sec,$$

thus

$$\Omega_2^1 = sec \cdot dvol.$$

□

- 2.16 Show that a Riemannian manifold with parallel Ricci curvature has constant scalar curvature. In Chapter 3, it will be shown that the converse is not true, and also that a metric with parallel Ricci curvature doesn't have to be Einstein.

Proof. • $dscal = 2divRic$.

We calculate at a fixed point $p \in M$, choose a normal orthonormal frame E_1, \dots, E_n at p , i.e. $\nabla E_i(p) = 0$. For $\forall X \in \mathcal{X}(M)$,

$$\begin{aligned}
dscal(X) &= X(g(Ric(E_i), E_i)) \\
&= X(g(R(E_i, E_j)E_j, E_i)) \\
&= g((\nabla_X R)(E_i, E_j)E_j, E_i) \\
&= -g((\nabla_{E_i} R)(E_j, X)E_j, E_i) \\
&\quad - g((\nabla_{E_j} R)(X, E_i)E_j, E_i) \\
&= g((\nabla_{E_i} R)(X, E_j)E_j, E_i) \\
&\quad + g((\nabla_{E_j} R)(X, E_i)E_i, E_j) \\
&= 2g((\nabla_{E_i} R)(X, E_j)E_j, E_i) \\
&= 2g(\nabla_{E_i}(Ric(X)) - Ric(\nabla_{E_i} X), E_i) \\
&= 2g((\nabla_{E_i} Ric)X, E_i) \\
&= 2(divRic)(X).
\end{aligned}$$

• $\nabla Ric = 0 \Rightarrow dscal = 0 \Rightarrow scal$ is constant.

□

2.17 Show that if R is the $(1-3)$ -curvature tensor and Ric is the $(0, 2)$ -Ricci tensor, then

$$(divR)(X, Y, Z) = (\nabla_X Ric)(Y, Z) - (\nabla_Y Ric)(X, Z).$$

Conclude that $divR = 0$ if $\nabla Ric = 0$. Then show that $divR = 0$ iff the $(1, 1)$ -Ricci tensor satisfies:

$$(\nabla_X Ric)(Y) = (\nabla_Y Ric)(X), \quad \forall X, Y \in \mathcal{X}(M).$$

Proof. • $(\text{div}R)(X, Y, Z) = (\nabla_X \text{Ric})(Y, Z) - (\nabla_Y \text{Ric})(X, Z)$.

$$\begin{aligned}
& (\text{div}R)(X, Y, Z) \\
&= g((\nabla_{E_i} R)(X, Y, Z), E_i) \\
&= -g((\nabla_X R)(Y, E_i, Z), E_i) - g((\nabla_Y R)(E_i, X, Z), E_i) \\
&= -X(g(R(Y, E_i)Z, E_i)) \\
&\quad + g(R(\nabla_X Y, E_i)Z, E_i) + g(R(Y, E_i)\nabla_X Z, E_i) \\
&\quad + \dots \\
&= X(\text{Ric}(Y, Z)) - \text{Ric}(\nabla_X Y, Z) - \text{Ric}(Y, \nabla_X Z) + \dots \\
&= (\nabla_X \text{Ric})(Y, Z) - (\nabla_Y \text{Ric})(X, Z).
\end{aligned}$$

- $\nabla \text{Ric} = 0 \Rightarrow \text{div}R = 0$.
- $\text{div}R = 0 \Leftrightarrow [(\nabla_X \text{Ric})(Y) = (\nabla_Y \text{Ric})(X), \forall X, Y \in \mathcal{X}(M)]$

Just note that

$$\begin{aligned}
& g((\nabla_X \text{Ric})Y, Z) \\
&= X(\text{Ric}(Y, Z)) - \text{Ric}(\nabla_X Y, Z) - \text{Ric}(Y, \nabla_X Z) \\
&= (\nabla_X \text{Ric})(Y, Z).
\end{aligned}$$

□

2.20 Suppose we have two Riemannian manifolds (M, g_M) and (N, g_N) . Then the product has a natural product metric $(M \times N, g_M + g_N)$. Let X be a vector field on M and Y one on N , show that if we regard these as vector fields on $M \times N$, then $\nabla_X Y = 0$. Conclude that $\text{sec}(X, Y) = 0$. This means that product metrics always have many curvatures that are zero.

Proof. • $\nabla_X Y = 0$.

This is easily done by Koszul formula. Indeed, for any $Z \in \mathcal{X}(M), W \in X(N)$,

$$\begin{aligned} 2g(\nabla_X Y, Z) &= X \langle Y, Z \rangle + Y \langle Z, X \rangle - Z \langle X, Y \rangle \\ &\quad - \langle X, [Y, Z] \rangle + \langle Y, [Z, X] \rangle + \langle Z, [X, Y] \rangle \\ &= 0, \end{aligned}$$

$$2g(\nabla_X Y, W) = \dots = 0.$$

• $\sec(X, Y) = 0$.

$$\begin{aligned} \sec(X, Y) &= \frac{g(R(X, Y)Y, X)}{|X|^2|Y|^2} \\ &= \frac{g(\nabla_X \nabla_Y Y - \nabla_Y \nabla_X Y - \nabla_{[X, Y]} Y, X)}{|X|^2|Y|^2} = 0. \end{aligned}$$

□

2.24 The Einstein tensor on a Riemannian manifold is defined as

$$G = Ric - \frac{scal}{2} \cdot I.$$

Show that $G = 0$ in dimension 2 and that $\text{div}G = 0$ in higher dimensions. This tensor is supposed to measure the mass/energy distribution. The fact that it is divergence free tells us that energy and momentum are conserved. In a vacuum, one therefore imagines that $G = 0$. Show that this happens in dimensions > 2 iff the metric is Ricci flat.

Proof. • In dimension 2,

$$\sec(e_1, e_2) = R_{1221} = \langle Ric(e_1), e_1 \rangle = \langle Ric(e_2), e_2 \rangle,$$

$$scal = \langle Ric(e_1), e_1 \rangle + \langle Ric(e_2), e_2 \rangle = 2R_{1221},$$

where e_1, e_2 orthonormal at a given point p of M .

Thus

$$G(e_1) = Ric(e_1) - \frac{scal}{2}e_1 = R_{1221}e_1 - R_{1221}e_1 = 0,$$

$$G(e_2) = \dots = 0.$$

- In dimensions ≥ 3 ,

$$\operatorname{div} G = \operatorname{div} Ric - \operatorname{div} \left(\frac{scal}{2} \cdot I \right) = \frac{dscal}{2} - \frac{dscal}{2} = 0.$$

Indeed,

$$\begin{aligned} \operatorname{div}(scal \cdot I)(e_i) &= \sum_j \langle \nabla_{e_j}(scal \cdot I), e_j \rangle (e_i) \\ &= \sum_j \langle (\nabla_{e_j}(scal \cdot I)) e_i, e_j \rangle \\ &= \sum_j \langle \nabla_{e_j}(scal \cdot e_i), e_j \rangle \\ &= \sum_j \langle (\nabla_{e_j}scal)e_i, e_j \rangle \\ &= \nabla_{e_i}scal = dscal(e_i). \end{aligned}$$

Note that we calculate at a normal neighborhood at a given point.

- $G = 0 \Leftrightarrow Ric = 0$ if $n \geq 3$.

Indeed, if $G = 0$, then $Ric = \frac{scal}{2} \cdot I$, taking contractions imply that

$$scal = \frac{n}{2}scal,$$

thus if $n \geq 3$, $scal = 0$, $Ric = \frac{scal}{2} \cdot I = 0$.

□

2.25 This exercise will give you a way of finding the curvature tensor from the sectional curvatures. Using the Bianchi identity show that

$$\begin{aligned} -6R(X, Y, Z, W) &= \frac{\partial^2}{\partial s \partial t} \Big|_{s=t=0} \{ R(X + sZ, Y + tW, Y + tW, X + sZ) \\ &\quad - R(X + sW, Y + tZ, Y + tZ, X + sW) \}. \end{aligned} \quad (2.1)$$

Proof. Since

$$\begin{aligned} &R(X + sZ, Y + tW, Y + tW, X + sZ) \\ &= st \{ R(Z, W, Y, X) + R(Z, Y, W, X) + R(X, W, Y, Z) + R(X, Y, W, Z) \} \\ &\quad + \cdots \\ &= -2stR(X, Y, W, Z) + 2stR(Z, Y, W, X) + \cdots, \end{aligned}$$

we have

$$\begin{aligned} &\frac{\partial^2}{\partial s \partial t} \Big|_{s=t=0} R(X + sZ, Y + tW, Y + tW, X + sZ) \\ &= -2R(X, Y, W, Z) + 2R(Z, Y, W, X). \end{aligned}$$

Thus

$$\begin{aligned} \text{R.H.S. of Eq. (2.1)} &= -2R(X, Y, W, Z) + 2R(Z, Y, W, X) \\ &\quad + 2R(X, Y, Z, W) - 2R(W, Y, Z, X) \\ &= -4R(X, Y, Z, W) \\ &\quad + 2(R(Z, Y, W, X) + R(Y, W, Z, X)) \\ &= -6R(X, Y, Z, W) \\ &= \text{L.H.S. of Eq. (2.1)}. \end{aligned}$$

□

3 Examples

3.4 The Heisenberg group with its Lie algebra is

$$G = \left\{ \begin{bmatrix} 1 & a & c \\ 0 & 1 & b \\ 0 & 0 & 1 \end{bmatrix} : a, b, c \in \mathbb{R} \right\},$$

$$\mathfrak{g} = \left\{ \begin{bmatrix} 0 & x & z \\ 0 & 0 & y \\ 0 & 0 & 0 \end{bmatrix} : x, y, z \in \mathbb{R} \right\}.$$

A basis for the Lie algebra is:

$$X = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, Y = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}, Z = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}.$$

- Show that the only nonzero bracket are

$$[X, Y] = -[Y, X] = Z.$$

Now introduce a left-invariant metric on G such that X, Y, Z form an orthonormal frame.

- Show that the Ricci tensor has both negative and positive eigenvalues.
- Show that the scalar curvature is constant.
- Show that the Ricci tensor is not parallel.

Proof. Due to the fact

$$A \in \mathfrak{g} \Leftrightarrow e^{tA} \in G,$$

we have the elements of \mathfrak{g} are upper triangle matrices.

- Since $XY = Z, YX = 0; XZ = 0, ZX = 0; YZ = 0, ZY = 0$; we deduce that

$$[X, Y] = -[Y, X] = Z,$$

while other brackets being zero.

- Applying Koszul formula, we have

$$\nabla_X Y = Z; \nabla_X Z = \nabla_Z X = -Y; \nabla_Y Z = \nabla_Z Y = X;$$

while other connections being zero.

Hence

$$Ric(X) = R(X, Y)Y + R(X, Z)Z = -2X + X = -X;$$

$$Ric(Y) = R(Y, X)X + R(Y, Z)Z = -Y + Y = 0;$$

$$Ric(Z) = Ric(Z, X)X + R(Z, Y)Y = Z + 0 = Z.$$

Thus the eigenvalues of Ric are $-1, 0, 1$.

- $scal = Ric(X, X) + Ric(Y, Y) + Ric(Z, Z) = -1 + 0 + 1 = 0$. Aha, the Heisenberg group is scalar flat.
- Since

$$\begin{aligned} (\nabla_X Ric)(Y, Z) &= \nabla_X(Ric(Y, Z)) - Ric(\nabla_X Y, Z) - Ric(Y, \nabla_X Z) \\ &= 0 - 1 - 0 = -1, \end{aligned}$$

we gather that $\nabla Ric \neq 0$, the Ricci tensor is not parallel.

□

3.5 Let $\tilde{g} = e^{2\psi}g$ be a metric conformally equivalent to g . Show that

•

$$\tilde{\nabla}_X Y = \nabla_X Y + ((D_X \psi)Y + (D_Y \psi)X - g(X, Y)\nabla\psi).$$

- If X, Y are orthonormal with respect to g , then

$$\begin{aligned} e^{2\psi} \widetilde{\sec}(X, Y) &= \sec(X, Y) - \text{Hess}\psi(X, X) - \text{Hess}\psi(Y, Y) \\ &\quad - |\nabla\psi|^2 + (D_X \psi)^2 + (D_Y \psi)^2. \end{aligned}$$

Proof. • Again, we invoke the Koszul formula,

$$\begin{aligned} 2\tilde{g}(\tilde{\nabla}_X Y, Z) &= X\tilde{g}(Y, Z) + \cdots - \tilde{g}(X, [Y, Z]) + \cdots \\ &= X(e^{2\psi}g(Y, Z)) + \cdots - e^{2\psi}g(X, [Y, Z]) + \cdots \\ &= 2(\nabla_X \psi)\tilde{g}(Y, Z) + 2(\nabla_Y \psi)\tilde{g}(Y, Z) + \cdots \\ &\quad - e^{2\psi}g(X, [Y, Z]) + \cdots \\ &= 2(\nabla_X \psi)\tilde{g}(Y, Z) + 2(\nabla_Y \psi)\tilde{g}(Z, X) - 2(\nabla_Z \psi)\tilde{g}(X, Y) \\ &\quad + \tilde{g}(\nabla_X Y, Z) \\ &= 2\tilde{g}((\nabla_X \psi)Y + (\nabla_Y \psi)Z - g(X, Y)\nabla\psi + \nabla_X Y, Z), \end{aligned}$$

where in the last inequality, we use the following fact:

$$\nabla_Z \psi = d\psi(Z) = g(\nabla\psi, Z),$$

and

$$\begin{aligned} (\nabla_Z \psi)\tilde{g}(X, Y) &= g(\nabla\psi, Z)\tilde{g}(X, Y) \\ &= \tilde{g}(\nabla\psi, Z)g(X, Y) = \tilde{g}(g(X, Y)\nabla\psi, Z). \end{aligned}$$

- Note that if X, Y are orthonormal w.r.t. g , then

$$\widetilde{\sec}(X, Y) = \frac{\tilde{g}(\tilde{R}(X, Y)Y, X)}{\tilde{g}(X, X)\tilde{g}(Y, Y)} = e^{-2\psi} g(\tilde{R}(X, Y)Y, X),$$

i.e.

$$\begin{aligned} e^{2\psi} \widetilde{\sec}(X, Y) &= g(\tilde{R}(X, Y)Y, X) \\ &= g(\tilde{\nabla}_X \tilde{\nabla}_Y Y - \tilde{\nabla}_Y \tilde{\nabla}_X Y - \tilde{\nabla}_{[X, Y]} Y, X). \end{aligned} \quad (3.1)$$

We just need to calculate each term on the R.H.S. of Eq. (3.1).

★ Calculation of $g(\tilde{\nabla}_X \tilde{\nabla}_Y Y, X)$.

$$\tilde{\nabla}_Y Y = \nabla_Y Y + 2(\nabla_Y \psi)Y - \nabla \psi;$$

$$\begin{aligned} \tilde{\nabla}_X \tilde{\nabla}_Y Y &= \nabla_X (\nabla_Y Y + 2(\nabla_Y \psi)Y - \nabla \psi) \\ &\quad + (\nabla_X \psi) (\nabla_Y Y + 2(\nabla_Y \psi)Y - \nabla \psi) \\ &\quad (\nabla_{\nabla_Y Y + 2(\nabla_Y \psi)Y - \nabla \psi} \psi) X \\ &\quad - g(X, \nabla_Y Y + 2(\nabla_Y \psi)Y - \nabla \psi) \psi; \end{aligned}$$

$$\begin{aligned} &g(\tilde{\nabla}_X \tilde{\nabla}_Y Y, X) \\ &= g(\nabla_X \nabla_Y Y, X) + 2(\nabla_Y \psi)g(\nabla_X Y, X) - g(\nabla_X \nabla \psi, X) \\ &\quad + (\nabla_X \psi)g(\nabla_Y Y, X) - |\nabla_X \psi|^2 \\ &\quad + g(\nabla_Y Y, \nabla \psi) + 2|\nabla_Y \psi|^2 - |\nabla \psi|^2 \\ &\quad - g(X, \nabla_Y Y)(\nabla_X \psi) + |\nabla_X \psi|^2 \\ &= g(\nabla_X \nabla_Y Y, X) + 2(\nabla_Y \psi)g(\nabla_X Y, X) - \text{Hess}\psi(X, X) \\ &\quad + (\nabla_Y \nabla_Y \psi - \text{Hess}\psi(Y, Y)) - |\nabla \psi|^2 + 2|\nabla_Y \psi|^2. \end{aligned} \quad (3.2)$$

★ Calculation of $g(\tilde{\nabla}_Y \tilde{\nabla}_X Y, X)$.

$$\tilde{\nabla}_X Y = \nabla_X Y + (\nabla_X \psi)Y + (\nabla_Y \psi)X;$$

$$\begin{aligned} \tilde{\nabla}_Y \tilde{\nabla}_X Y &= \nabla_Y (\nabla_X Y + (\nabla_X \psi)Y + (\nabla_Y \psi)X) \\ &\quad + (\nabla_Y \psi) (\nabla_X Y + (\nabla_X \psi)Y + (\nabla_Y \psi)X) \\ &\quad + (\nabla_{\nabla_X Y + (\nabla_X \psi)Y + (\nabla_Y \psi)X} \psi) Y \\ &\quad - g(Y, \nabla_X Y + (\nabla_X \psi)Y + (\nabla_Y \psi)X) \nabla \psi; \end{aligned}$$

$$\begin{aligned} &g(\tilde{\nabla}_Y \tilde{\nabla}_X Y, X) \\ &= (g(\nabla_Y \nabla_X Y, X) + (\nabla_X \psi)g(\nabla_Y Y, X) \\ &\quad + \nabla_Y \nabla_Y \psi + (\nabla_X \psi)g(\nabla_Y X, X)) \\ &\quad + (\nabla_Y \psi)g(\nabla_X Y, X) + |\nabla_Y \psi|^2 \\ &\quad - g(Y, \nabla_X Y)(\nabla_X \psi) - |\nabla_X \psi|^2 \\ &= g(\nabla_Y \nabla_X Y, X) + (\nabla_X \psi)g(\nabla_Y Y, X) + \nabla_Y \nabla_Y \psi \\ &\quad + (\nabla_Y \psi)g(\nabla_X Y, X) + |\nabla_Y \psi|^2 \\ &\quad - |\nabla_X \psi|^2. \tag{3.3} \\ &\left(g(Y, \nabla_X Y) = \frac{1}{2}X|Y|^2 = 0; g(\nabla_Y X, X) = \dots = 0 \right) \end{aligned}$$

★ Calculation of $g(\tilde{\nabla}_{[X,Y]} Y, X)$.

$$\begin{aligned} \tilde{\nabla}_{[X,Y]} Y &= \nabla_{[X,Y]} Y + (\nabla_{[X,Y]} \psi)Y \\ &\quad + (\nabla_Y \psi)[X, Y] - g([X, Y], Y) \nabla \psi; \end{aligned}$$

$$\begin{aligned}
& g(\bar{\nabla}_{[X,Y]}Y, X) \\
= & \quad g(\nabla_{[X,Y]}Y, X) \\
& + (\nabla_Y\psi)g(\nabla_XY, X) - (\nabla_Y\psi)g(\nabla_YX, X) \\
& - (\nabla_X\psi)g(\nabla_XY, Y) + (\nabla_X\psi)g(\nabla_YX, Y) \\
= & \quad g(\nabla_{[X,Y]}Y, X) + (\nabla_Y\psi)g(\nabla_XY, X) + (\nabla_X\psi)g(\nabla_YX, Y)
\end{aligned} \tag{3.4}$$

Combining Eqs. (3.2), (3.3), (3.4), and substituting into Eq. (3.1), we gather that

$$\begin{aligned}
e^{2\psi}\widetilde{\sec}(X, Y) = & \sec(X, Y) - \text{Hess}\psi(X, X) - \text{Hess}\psi(Y, Y) \\
& - |\nabla\psi|^2 + (D_X\psi)^2 + (D_Y\psi)^2,
\end{aligned}$$

as required. \square

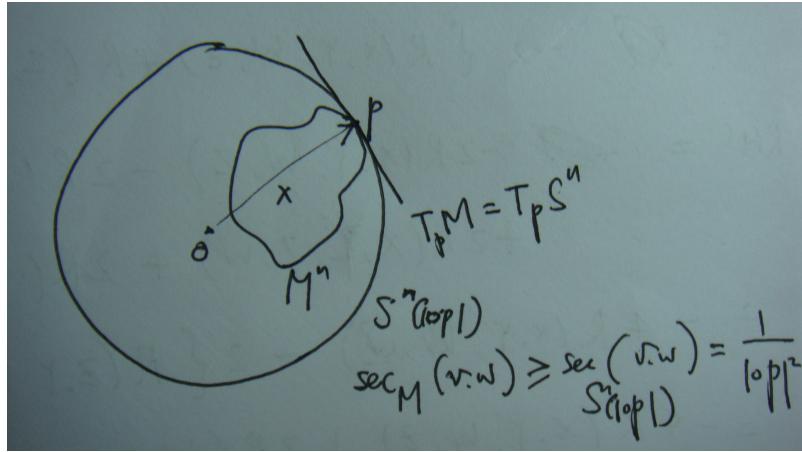
4 Hypersurfaces

4.4 Let (M, g) be a closed Riemannian manifold, and suppose that there is a Riemannian embedding into \mathbb{R}^{n+1} . Show that there must be a point $p \in M$ where the curvature operator $\mathfrak{R} : \wedge^2 T_p M \rightarrow \wedge T_p M$ is positive.

Proof. This is geometrically obvious, but the analytical proof is as follows.

Let

$$\begin{aligned}
f : \quad \mathbb{R}^n & \rightarrow \quad \mathbb{R} \\
x & \mapsto \quad |x|^2.
\end{aligned}$$

Figure 2: Curvature comparison between M and S^n

Then since M is closed, $f|_M$ attains its maximum at $p \in M$.

Claim $x \perp T_p M$.

Indeed, by Exercise 5.9,

$$0 = \langle \nabla f, v \rangle = \langle Df, v \rangle = 2 \langle x, v \rangle, \quad \forall v \in T_p M.$$

Here and thereafter, we use the notation:

★ ∇ : the connection on M ,

★ D : the connection on \mathbb{R}^n .

Now, choose an orthonormal basis $\{e_i\}$ of $T_p M$ such that

$$D_{e_i} \frac{x}{|x|} = S(e_i) = \lambda_i e_i,$$

and let E_i be the orthonormal extension on M of e_i around p .

Differentiating

$$\langle \nabla, E_i \rangle = \langle Df, E_i \rangle = 2 \langle x, E_i \rangle$$

in the direction E_i , we obtain

$$\langle \nabla_{E_i} \nabla f, E_i \rangle + \langle \nabla f, \nabla_{E_i} E_i \rangle = 2 \langle D_{E_i} X, E_i \rangle + 2 \langle X, D_{E_i} E_i \rangle. \quad (4.1)$$

While at $p \in M$,

$$\text{L.H.S. of Eq. (4.1)} = \text{Hess } f(E_i, E_i) \leq 0;$$

$$\begin{aligned} & \text{R.H.S. of Eq. (4.1)} \\ &= 2 \left\langle D_{E_i} \left(\frac{x}{|x|} |x| \right), E_i \right\rangle - 2 \left\langle x, \nabla_{E_i}^{S^n(|x|)} E_i - D_{E_i} E_i \right\rangle \\ &= 2|x| \left\langle D_{e_i} \frac{x}{|x|}, E_i \right\rangle - 2|0p| \left\langle \frac{x}{|x|}, II^{S^n(|x|)}(E_i, E_i) \right\rangle \\ &= 2|x| \langle S(E_i), E_i \rangle + 2|x| \langle S^{S^n(|op|)}(E_i), E_i \rangle \\ & \quad (\text{Here we use the notation as in Exercise 5.8}) \\ &= 2|x|\lambda_i + 2|x| \cdot \frac{1}{|x|} \\ &= 2(|x|\lambda_i + 1). \end{aligned}$$

Thus we gather that

$$\lambda_i \leq -\frac{1}{|op|},$$

$$\begin{aligned} \sec(e_i, e_j) &= \langle S(e_i), e_i \rangle \langle S(e_j), e_j \rangle - |\langle S(E_i), E_j \rangle|^2 \\ &= \lambda_i \lambda_j \\ &\geq \frac{1}{|op|^2} > 0. \end{aligned}$$

□

4.5 Suppose (M, g) is immersed as a hypersurface in \mathbb{R}^{n+1} , with shape operator S .

- Using the Codazzi-Mainardi equations, show that

$$\operatorname{div} S = d(\operatorname{tr} S).$$

- Show that if $S = f(x) \cdot I$ for some function f , then f must be a constant and the hypersurface must have constant curvature.
- Show that $S = \lambda \cdot \operatorname{Ric}$ iff the metric has constant curvature.

Proof. • We calculate in a normal neighborhood as:

$$\begin{aligned} (\operatorname{div} S)(E_i) &= \sum_j \langle \nabla_{E_j} S, E_j \rangle (E_i) = \sum_j \langle (\nabla_{E_j} S)(E_i), E_j \rangle \\ &= \sum_j \langle (\nabla_{E_i} S)(E_j), E_j \rangle = \sum_j \langle \nabla_{E_i}(S(E_j)), E_j \rangle \\ &= \sum_j \nabla_{E_i} \langle S(E_j), E_j \rangle = \nabla_{E_i} \operatorname{tr} S = d(\operatorname{tr} S)(E_i). \end{aligned}$$

- If $S = f(x) \cdot I$, then

$$df = \operatorname{div} S = d(\operatorname{tr} S) = d(nf).$$

Thus $(n - 1)df = 0$. Since $n > 1$ (we consider this case), $df = 0$, $f \equiv \text{const.}$ And $S = \text{const} \cdot I$,

$$\begin{aligned} \sec(E_i, E_j) &= \langle R(E_i, E_j)E_j, E_i \rangle \\ &= \langle S(E_i, E_i) \langle S(E_j), E_j \rangle - \langle S(E_i), E_j \rangle \langle S(E_j), E_i \rangle \rangle \\ &= \text{const}^2. \end{aligned}$$

- \Rightarrow If $S = \lambda \cdot Ric$, by Codazzi-Mainardi equations and Exercise 2.17, we have $\text{div}R = 0$, thus
 \Leftarrow If (M, g) has constant curvature, then by Exercise 2.17 again,

$$(\nabla_X Ric)(Y) = (\nabla_Y Ric)(X). \quad (4.2)$$

We now have another identity:

$$\begin{aligned} \langle R(X, Y)Z, W \rangle &= \lambda^2 \langle Ric(X), W \rangle \langle Ric(Y), Z \rangle \\ &\quad - \lambda^2 \langle Ric(X), Z \rangle \langle Ric(Y), W \rangle, \end{aligned} \quad (4.3)$$

for some constant $\lambda \in \mathbb{R}$.

A tedious calculation may verify, using the polarization identity like Exercise 2.25.

Now, the fundamental theorem of Hypersurface theory tells us (by Eqs. (4.2), (4.3)) that $\lambda \cdot Ric = S'$ for some shape operator of M , but M is already immersed in \mathbb{R}^{n+1} , we have

$$\lambda \cdot Ric = S' = S.$$

□

5 Geodesics and Distance

5.2 A Riemannian manifold is said to be homogeneous if the isometry group acts transitively. Show that homogeneous manifolds are geodesically complete.

Proof. For any $p \in M$, $v \in T_p M$ with $|v| = 1$, let γ be the geodesic with data (p, v) . Denote by \mathcal{T}^* the maximal existence time for γ . Then we have the

Claim $\mathcal{T}^* = \infty$.

Indeed, if $\mathcal{T}^* < \infty$, let

- $\varepsilon > 0$ be such that

$$\exp_p : \overline{B(0, 2\varepsilon)} \subset T_p M \rightarrow \overline{B_{2\varepsilon}(0)} \subset M$$

is a diffeomorphism,

- $F \in Iso(M, g)$ with

$$F(p) = \gamma(\mathcal{T}^* - \varepsilon), \quad w \triangleq (dF^{-1})_{\gamma(\mathcal{T}^* - \varepsilon)} \dot{\gamma}(\mathcal{T}^* - \varepsilon).$$

Now since

$$|w| = |\dot{\gamma}(\mathcal{T}^* - \varepsilon)| = |\dot{\gamma}(0)| = |v| = 1,$$

there is a geodesic $\tilde{\gamma} : [0, 2\varepsilon] \rightarrow M$ with data (p, w) . Hence $F(\tilde{\gamma})$ is a geodesic with data $(\gamma(\mathcal{T}^* - \varepsilon), \dot{\gamma}(\mathcal{T}^* - \varepsilon))$. Indeed,

$$0 = (DF(\nabla_{\dot{\tilde{\gamma}}} \dot{\tilde{\gamma}}) = \nabla_{(F(\tilde{\gamma}))'} F(\tilde{\gamma}))$$

While uniqueness of ode tells us that

$$\sigma = \begin{cases} \gamma, & \text{on } [0, \mathcal{T}^* - \varepsilon], \\ F(\tilde{\gamma}), & \text{on } [\mathcal{T}^* - \varepsilon, \mathcal{T}^* + \varepsilon], \end{cases}$$

is a geodesic with data (p, v) . This contradicts the definition of \mathcal{T}^* .

Finally the proof is complete if we invoke the classical Hopf-Rinow theorem and notice the homogeneity of geodesics. \square

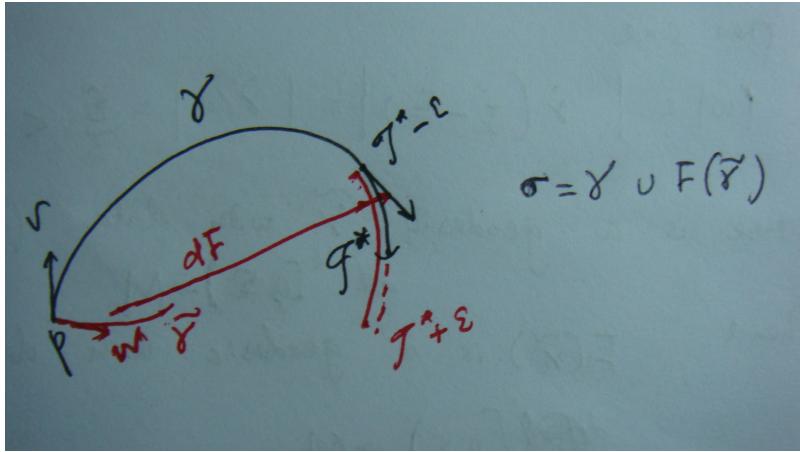


Figure 3: the composed geodesic

5.8 Let $N \subset (M, g)$ be a submanifold. Let ∇^N denote the connection on N that comes from the metric induced by g . Define the second fundamental form of N in M by

$$II(X, Y) = \nabla_X^N Y - \nabla_X Y.$$

- Show that $II(X, Y)$ is symmetric and hence tensorial in X and Y .
- Show that $II(X, Y)$ is always normal to N .
- Show that $II = 0$ on N iff N is totally geodesic.
- If \mathbb{R}^N is the curvature form of N , then

$$\begin{aligned} g(R(X, Y)Z, W) &= g(R^N(X, Y)Z, W) \\ &- g(II(Y, Z), II(X, W)) + g(II(X, Z), II(Y, W)). \end{aligned}$$

Proof. • Due to the fact

$$\begin{aligned} II(X, Y) &= \nabla_X^N Y - \nabla_Y X = ([X, Y] + \nabla_Y^N X) - ([X, Y] + \nabla_X X) \\ &= \nabla_Y^N X - \nabla_X X = II(Y, X), \end{aligned}$$

we see that II is symmetric. And by definition of the connection, II is tensorial in X , thus tensorial in Y as

$$\begin{aligned} II(X, fY_1 + gY_2) &= II(fY_1 + gY_2, X) \\ &= fII(Y_1, X) + gII(Y_2, X) = fII(X, Y_1) + gII(X, Y_2). \end{aligned}$$

- Indeed, Koszul formula tells us that $\nabla_X^N Y = (\nabla_X Y)^\top$, where \top is the projection from TM to TN , thus

$$II(X, Y) = (\nabla_X Y)^\top - \nabla_X Y = (\nabla_X Y)^\perp,$$

which is normal to N .

- Recall that N is totally geodesic in M iff any geodesic in N is a geodesic in M . Now we prove the assertion.

\Rightarrow If $II = 0$ and γ is a geodesic in N , then $\nabla_{\dot{\gamma}}^N \dot{\gamma} = 0$, thus $\nabla_{\dot{\gamma}} \dot{\gamma} = 0$, γ is a geodesic.

\Leftarrow By the formula

$$II(X, Y) = \frac{1}{2} [II(X + Y, X + Y) - II(X, X) - II(Y, Y)],$$

we need only to show that $II(X, X) = 0$, $\forall X \in \mathcal{X}(M)$. But II is tensorial, we are redirected to prove that

$$II(v, v) = 0, \quad \forall v \in T_p N, \forall p \in N.$$

This is obviously true. In fact, for any $p \in N$, $v \in T_p N$, let γ be the geodesic in N with initial data (p, v) , then $\nabla_{\dot{\gamma}}^N \dot{\gamma} = 0$, and by hypothesis, $\nabla_{\dot{\gamma}} \dot{\gamma} = 0$, $II(v, v) = 0$.

□

5.9 Let $f : (M, g) \rightarrow \mathbb{R}$ be a smooth function on a Riemannian manifold.

- If $\gamma : (a, b) \rightarrow M$ is a geodesic, compute the first and second derivative of $f \circ \gamma$.
- Use this to show that at a local maximum (or minimum) for f the gradient is zero and the Hessian nonpositive (or nonnegative).
- Show that f has everywhere nonnegative Hessian iff $f \circ \gamma$ is convex for all geodesics γ in (M, g) .

Proof.

- We omit the subscript for simplicity.

$$\frac{d}{ds}(f \circ \gamma) = Df(\dot{\gamma}) = df(\dot{\gamma}) = D_{\dot{\gamma}} f = g(\nabla f, \dot{\gamma}),$$

$$\begin{aligned} \frac{d^2}{ds^2}(f \circ \gamma) &= \frac{d}{ds} \left(\frac{d}{ds}(f \circ \gamma) \right) = D_{\dot{\gamma}}(D_{\dot{\gamma}} f) = D_{\dot{\gamma}}(Df(\dot{\gamma})) \\ &= (D_{\dot{\gamma}}(Df))(\dot{\gamma}) + Df(D_{\dot{\gamma}} \dot{\gamma}) \\ &= (D(Df))(\dot{\gamma}, \dot{\gamma}) = D^2 f(\dot{\gamma}, \dot{\gamma}). \end{aligned}$$

- We consider the case when f attains its local minimum at $p \in M$. Then for $\forall v \in T_p M$, let γ be the geodesic with initial data (p, v) , we have

$$0 = \frac{d}{ds}(f \circ \gamma) = g(\nabla f, \dot{\gamma}) = g(\nabla f, v),$$

and

$$0 \geq \frac{d^2}{ds^2}(f \circ \gamma) = \text{Hess } f(\dot{\gamma}, \dot{\gamma}) = \text{Hess } f(v, v),$$

at p . Hence the conclusion.

- We just take the following equiv.:

$$\begin{aligned} & \text{Hess } f(v, v) \geq 0, \quad \forall v \in T_p M \\ \Leftrightarrow & \frac{d^2}{ds^2}(f \circ \gamma) \geq 0, \quad \forall \gamma \text{ geodesic} \\ \Leftrightarrow & f \circ \gamma \text{ is convex, } \forall \gamma \text{ geodesic.} \end{aligned}$$

□

5.12 Compute the cut locus on a sphere and real projective space with constant curvature metrics.

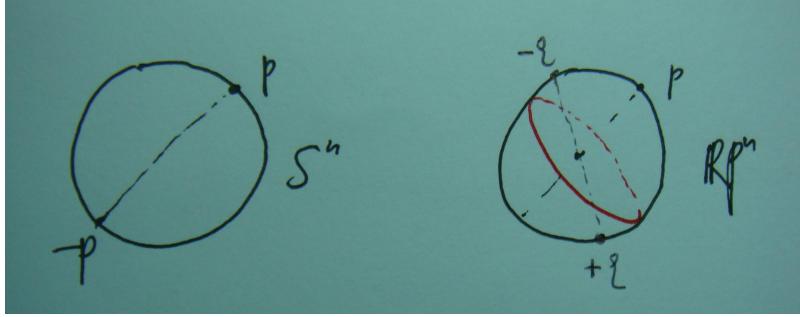
Proof. We consider the case $(S^n, \text{can}_{\mathbb{R}^n}|_{S^n})$ with curvature 1. For any $p \in S^n$, $\text{cut}(p) = \{-p\}$. While for $\mathbb{R}P^n$ (What's the meaning of the problem? Is it mean that $\mathbb{R}P^n$ is given a metric so that it is of constant curvature or ...), $\text{cut}([p]) =$ the equator.

□

6 Sectional Curvature Comparison I

6.1 Show that in even dimension the sphere and real projective space are the only closed manifolds with constant positive curvature.

Proof. If M is of even dimension, closed (compact and without boundary), and with positive curvature,

Figure 4: Cut locus of S^n and $\mathbb{R}P^n$

- in case M is orientable, then Synge theorem tells us that M is simple connected, thus M are spheres;
- in case M is non-orientable, then the orientable double covering of M are spheres, thus M are real projective spaces.

□

6.5 Let $\gamma : [0, 1] \rightarrow M$ be a geodesic. Show that $\exp_{\gamma(0)}$ has a critical point at $t\dot{\gamma}(0)$ iff there is a Jacobi field J along γ such that $J(0) = 0$, $J'(0) \neq 0$, and $J(t) = 0$.

Proof. We assume w.l.o.g. that $t = 1$. First note that

$$\exp_p \text{ has a critical point at } \dot{\gamma}(0)$$

$$\Leftrightarrow \exists 0 \neq w \in T_{\dot{\gamma}(0)}T_{\gamma(0)}M, s.t. (d\exp_{\gamma(0)})_{\dot{\gamma}(0)}(w) = 0.$$

\Rightarrow Let $J(t) = (d\exp_{\gamma(0)})_{t\dot{\gamma}(0)}(tw)$, $t \in [0, 1]$, then J is the Jacobi field we are chasing.

\Leftarrow If we have a Jacobi field $J(t)$ as in the problem, then

$$(dexp_{\gamma(0)})_{\dot{\gamma}(0)}(\dot{J}(0)) = J(1) = 0,$$

with $0 \neq \dot{J}(0) \in T_{\dot{\gamma}(0)}(T_{\gamma(0)}M)$. \square

6.8 Let γ be geodesic and X be a Killing field in a Riemannian manifold.

Show that the restriction of X to γ is a Jacobi field.

Proof. Recall that

$$X \text{ Killing field} \Leftrightarrow L_X g = 0.$$

Now let $\{e_1 = \dot{\gamma}, e_2, \dots, e_n\}$ be the parallel orthonormal vector fields along γ , then

$$\begin{aligned} 0 &= (L_X g)(\dot{\gamma}, e_i) \\ &= D_X(g(\dot{\gamma}, e_i)) - g(L_X \dot{\gamma}, e_i) - g(\dot{\gamma}, L_X e_i) \\ &= -g(\nabla_X \dot{\gamma}, e_i) + g(\nabla_{\dot{\gamma}} X, e_i) - g(\dot{\gamma}, \nabla_X e_i) + g(\dot{\gamma}, \nabla_{e_i} X) \\ &= g(\nabla_{\dot{\gamma}} X, e_i) + g(\dot{\gamma}, \nabla_{e_i} X). \end{aligned} \tag{6.1}$$

In particular,

$$g(\nabla_{\dot{\gamma}} X, \dot{\gamma}) = 0. \tag{6.2}$$

Now differentiating Eq. (6.1) w.r.t. $\dot{\gamma}$, we find that

$$\begin{aligned}
0 &= D_{\dot{\gamma}}(g(\nabla_{\dot{\gamma}}X, e_i)) + D_{\dot{\gamma}}(g(\dot{\gamma}, \nabla_{e_i}X)) \\
&= g(\nabla_{\dot{\gamma}}\nabla_{\dot{\gamma}}X, e_i) + g(\dot{\gamma}, \nabla_{\dot{\gamma}}\nabla_{e_i}X) \\
&= g(\nabla_{\dot{\gamma}}\nabla_{\dot{\gamma}}X, e_i) + g(\dot{\gamma}, \nabla_{\dot{\gamma}}\nabla_{e_i}X - \nabla_{e_i}\nabla_{\dot{\gamma}}X - \nabla_{[\dot{\gamma}, e_i]}X) \quad (6.3) \\
&= g(\nabla_{\dot{\gamma}}\nabla_{\dot{\gamma}}X, e_i) + g(R(\dot{\gamma}, e_i)X, \dot{\gamma}) \\
&= g(\nabla_{\dot{\gamma}}\nabla_{\dot{\gamma}}X, e_i) + g(R(X, \dot{\gamma})\dot{\gamma}, e_i) \\
&= g(\nabla_{\dot{\gamma}}\nabla_{\dot{\gamma}}X + R(X, \dot{\gamma})\dot{\gamma}, e_i).
\end{aligned}
\tag{6.4}$$

Hence

$$\nabla_{\dot{\gamma}}\nabla_{\dot{\gamma}}X + R(X, \dot{\gamma})\dot{\gamma} = 0,$$

i.e. X is a Jacobi field along γ .

Note that in Eq. (6.3), we have used the following fact:

$$\begin{aligned}
&g(\dot{\gamma}, \nabla_{e_i}\nabla_{\dot{\gamma}}X + \nabla_{[\dot{\gamma}, e_i]}X) \\
&= D_{e_i}\{g(\dot{\gamma}, \nabla_{\dot{\gamma}}X)\} - g(\nabla_{e_i}\dot{\gamma}, \nabla_{\dot{\gamma}}X) - g(\dot{\gamma}, \nabla_{\nabla_{e_i}\dot{\gamma}}X) \\
&= -(g(\nabla_{e_i}\dot{\gamma}, \nabla_{\dot{\gamma}}X) + g(\dot{\gamma}, \nabla_{\nabla_{e_i}\dot{\gamma}}X)) \quad (\text{by (6.2)}) \\
&= 0. \quad (\text{skew-symmetric property of Killing fields})
\end{aligned}$$

□

6.21 (The Index Form) Below we shall use the second variation formula to prove several results established in Chapter 5. If V, W are vector fields along a geodesic $\gamma : [0, 1] \rightarrow (M, g)$, then the index form is the symmetric bilinear form

$$I_0^1(V, W) = I(V, W) = \int_0^1 \left(g(\dot{V}, \dot{W}) - g(R(V, \dot{\gamma})\dot{\gamma}, W) \right) dt.$$

In case the vector fields come from a proper variation of γ this is equal to the second variation of energy. Assume below that $\gamma : [0, 1] \rightarrow (M, g)$ locally minimize the energy functional. This implies that $I(V, V) \geq 0$ for all proper variations.

- If $I(V, V) = 0$ for a proper variation, then V is a Jacobi field.
- Let V and J are variational fields along γ such that $V(0) = J(0)$ and $V(1) = J(1)$. If J is a Jacobi field show that

$$I(V, J) = I(J, J).$$

- (The Index Lemma) Assume in addition that there are no Jacobi fields along γ that vanish at both end points. If V and J are both as above. Show that $I(V, V) \geq I(J, J)$ with equality holding only if $V = J$ on $[0, 1]$.
- Assume that there is a nontrivial Jacobi field J that vanishes at 0 and 1. Show that $\gamma : [0, 1 + \varepsilon] \rightarrow M$ is not locally minimizing for $\varepsilon > 0$.

Proof. Note that the vector fields we consider are all smooth.

- For any proper variational filed W (i.e. $W(0) = 0 = W(1)$),

$$\begin{aligned} 0 &\leq I(V + \varepsilon W, V + \varepsilon W) \\ &= I(V, V) + 2\varepsilon I(V, W) + \varepsilon^2 I(W, W) \\ &= \varepsilon [2I(V, W) + \varepsilon I(W, W)]. \end{aligned}$$

Letting $\varepsilon \rightarrow 0^+, 0^-$, we get $I(V, W) = 0$. Thus

$$0 = I(V, W) = - \int_0^1 g(\ddot{V} + R(V, \dot{\gamma})\dot{\gamma}, W) dt,$$

and hence $\ddot{V} + R(V, \dot{\gamma})\dot{\gamma} = 0$, V is a Jacobi field.

- This follows from direct computation as

$$\begin{aligned}
 I(V - J, J) &= \int_0^1 \left(g(\dot{V} - \dot{J}, \dot{J}) - g(R(V - J, \dot{\gamma})\dot{\gamma}, J) \right) dt \\
 &= - \int_0^1 \left(g(V - J, \ddot{J}) + g(V - J, R(J, \dot{\gamma})\dot{\gamma}) \right) dt \\
 &\quad (\text{Here we use the boundary conditions...}) \\
 &= - \int_0^1 g(V - J, \ddot{J} + R(J, \dot{\gamma})\dot{\gamma}) dt = 0.
 \end{aligned}$$

- If $V \neq J$, then $V - J$ is a proper variational field.

Claim $0 < I(V - J, V - J) = I(V, V) - I(J, J)$.

Indeed, if $I(V - J, V - J) = 0$, then the first assertion tells us that $V - J$ is a nontrivial, proper Jacobi field, contradicting the hypotheses.

- See the figure attached and one may compute as

$$\begin{aligned}
 0 &= I_0^1(J, J) = I_0^{1+\varepsilon}(J, J) \\
 &= I_0^{1-\varepsilon}(J, J) + I_{1-\varepsilon}^{1+\varepsilon}(J, J) \\
 &> I_0^{1-\varepsilon}(J, J) + I_{1-\varepsilon}^{1+\varepsilon}(K, K) \\
 &\quad (\text{Here we use the Index Lemma}) \\
 &= I(V, V).
 \end{aligned}$$

□

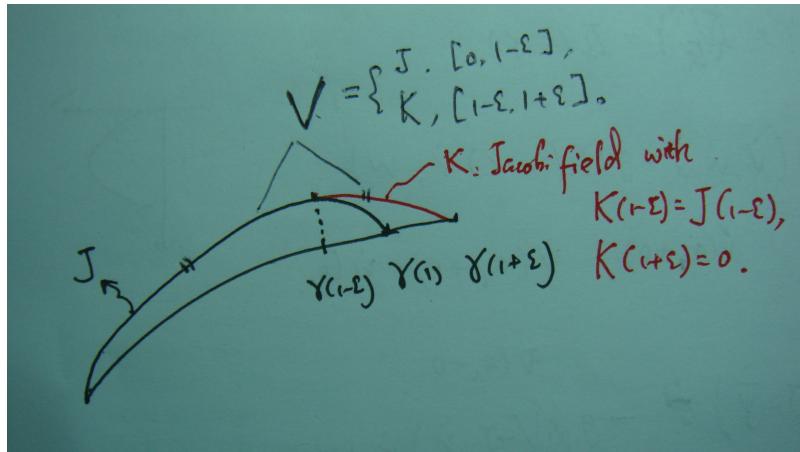


Figure 5: the composed variational field

Concluding Remarks

Thanks to the inspiring and fantastic lectures of Professor Zhu, from whom the author learnt a lot.

But due to

- the author's limited knowledge,
- the fast process of this tedious work,

errors or even blunders may occur. So any comments, whether

- critical, i.e. the reference answer is wrong(?), not accurate(?), misprints(?), e.t.c.
- constructive, i.e. there are beautiful proofs, e.t.c.

is welcome.

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