

LOCAL FUNCTIONALS FROM FORMAL VECTOR FIELDS

0.1. **Gelfand-Fuks cohomology.** The formal disk \widehat{D}^d has ring of functions given by formal power series in d -variables $\widehat{\mathcal{O}}_d = \mathbb{C}[[t_1, \dots, t_d]]$. Let W_n be the Lie algebra of formal vector fields. In other words, the Lie algebra of derivations of $\widehat{\mathcal{O}}_d$.

As with any Lie algebra, there is the adjoint module that we denote by $\widehat{\mathcal{T}}_d$.

Definition/Lemma 1. Consider the following two classes of cocycles on W_d .

Chern type: For $1 \leq k \leq n$, let $\tau_k \in C_{\text{Lie}}^k(W_d; \widehat{\Omega}_d^k)$ be the cocycle

$$\tau_k = \sigma_k \left(\text{At}(\widehat{\mathcal{T}}_d) \right) \dots \text{finish} \dots$$

GL type: For $1 \leq i \leq d$ let $\xi_i \in C_{\text{Lie}}^{2i-1}(W_d; \widehat{\mathcal{O}}_d)$ be the cocycle

$$\xi_i : (f_{i_1} \partial_{i_1}, \dots, f_{i_{2i-1}} \partial_{i_{2i-1}}) \mapsto \sum \dots$$

We will use the notation $\widehat{\Omega}_d^\# = \bigoplus_k \widehat{\Omega}_d^k[-k]$ to denote the graded W_d -module with $\widehat{\Omega}_d^k$ sitting in degree k . The wedge product of forms endows this W_d -module with the structure of a graded commutative algebra.

If V is a graded vector space then we use the notation $\mathbb{C}[V]$ to denote the free graded \mathbb{C} -algebra on V . If V is spanned by vectors $\{v_i\}$ we will use the shorthand $\mathbb{C}[v_i]$ for this graded algebra.

Theorem 0.1 ([?]). *The bigraded commutative algebra $H^*(W_d; \widehat{\Omega}_d^\#)$ is isomorphic to the bigraded commutative algebra*

$$(\mathbb{C}[\xi_1, \dots, \xi_{2d-1}, \tau_1, \dots, \tau_d]) / \left(c_1^{j_1} \dots c_d^{j_d} \right),$$

where the quotient is over all indices $\{j_1, \dots, j_d\}$ that satisfy $j_1 + 2j_2 + \dots + dj_d > d$. Here ξ_{2i-1} is in bidegree $(2i-1, 0)$ and τ_j is in bidegree (j, j) .

In the above result we have not turned on the de Rham differential $d_{dR} : \widehat{\Omega}_d^k \rightarrow \widehat{\Omega}_d^{k+1}$. This endows $\widehat{\Omega}_d^* = (\widehat{\Omega}_d^\#, d_{dR})$ with the structure of a dg commutative algebra in W_d -modules. The formal Poincaré lemma asserts that the inclusion of the trivial W_d -module

$$\mathbb{C} \xrightarrow{\sim} \widehat{\Omega}_d^*$$

is a quasi-isomorphism. In turn, we obtain a quasi-isomorphism of Chevalley-Eilenberg complexes

$$C_{\text{Lie}}^*(W_d) \xrightarrow{\sim} C_{\text{Lie}}^*(W_d; \widehat{\Omega}_d^*).$$

We may think of the cochain complex $C_{\text{Lie}}^*(W_d; \widehat{\Omega}_d^*)$ as the total complex of the double complex with vertical differential given by the W_d Chevalley-Eilenberg differential for the graded module $\widehat{\Omega}_d^\#$ and horizontal differential equal to the de Rham differential.

To any double complex there is a spectral sequence abutting to the cohomology of the total complex. The E_1 page of this spectral sequence is given by the cohomology of the vertical differential. Moreover, if the double complex is a bigraded algebra so are each of the pages. In this case,

the E_1 page is precisely the bigraded algebra of Theorem 0.1 and we have a spectral sequence

$$(1) \quad E_2^{p,q} = \left(H^q(W_d; \widehat{\Omega}_d^p), d_{dR} \right) \implies H^*(W_d; \widehat{\Omega}_d^*) \cong H^*(W_d).$$

Example 0.2. For the case $d = 1$ the spectral sequence collapses at the E_2 page. The only nontrivial cohomology is \mathbb{C} in bidegree $(0,0)$ and $\xi_1 \cdot \tau_1$ in bidegree $(1,2)$. The 1-cocycle valued in formal power series ξ_1 is given by $\xi_1(f_i \partial_i) = \partial_i f_i \in \widehat{\mathcal{O}}_n$. The 1-cocycle valued in formal 1-forms τ_1 is given by $\tau_1(g_j \partial_j) = d_{dR}(\partial_j g_j)$. To obtain the generator of $H^3(W_1)$ we perform the following zig-zag:

$$\begin{array}{ccc} C_{\text{Lie}}^3(W_1) & \longrightarrow & C_{\text{Lie}}^3(W_1; \widehat{\mathcal{O}}_1) \\ & \uparrow d_{CE} & \\ & C_{\text{Lie}}^2(W_1; \widehat{\mathcal{O}}_1) & \xrightarrow{d_{dR}} C_{\text{Lie}}^2(W_1; \widehat{\Omega}_1^1). \end{array}$$

The de Rham differential kills $\xi_1 \cdot \tau_1$, so there exists an $\alpha \in C_{\text{Lie}}^2(W_1; \widehat{\mathcal{O}}_1)$ such that $d_{dR}\alpha = -\xi_1 \cdot \tau_1$. Now, the class $d_{CE}^{\widehat{\mathcal{O}}} \alpha \in C_{\text{Lie}}^3(W_1; \widehat{\mathcal{O}}_1)$ satisfies

$$\begin{aligned} d_{dR}(d_{CE}^{\widehat{\mathcal{O}}} \alpha) &= -d_{CE}(\xi_1 \tau_1) = 0 \\ d_{CE} d_{CE}^{\widehat{\mathcal{O}}} \alpha &= 0. \end{aligned}$$

Here, $d_{CE}^{\widehat{\mathcal{O}}}$ denote the Chevalley-Eilenberg differential for $C_{\text{Lie}}^*(W_1; \widehat{\mathcal{O}}_1)$ and d_{CE} is the restriction of this Chevalley-Eilenberg differential to $C_{\text{Lie}}^*(W_1)$. The first line says that $d_{CE}\alpha$ lifts to $C_{\text{Lie}}^3(W_1)$, and the second line says that it is a cocycle for the absolute cohomology. Finally, note that $(d_{CE}^{\widehat{\mathcal{O}}} + d_{dR})\alpha = d_{CE}^{\widehat{\mathcal{O}}} \alpha - \xi_1 \tau_1$. Thus, in the total complex $d_{CE}^{\widehat{\mathcal{O}}} \alpha$ is homotopic to $\xi_1 \tau_1$, and so $[d_{CE}^{\widehat{\mathcal{O}}} \alpha]$ is the generator of $H^3(W_1)$.

For general $d \geq 1$, one can apply this spectral sequence to understand the cohomology $H^*(W_d)$. To describe it, we introduce the following topological space. Let $\text{Gr}(d, n)$ be the complex Grassmannian of d -planes in \mathbb{C}^n . Denote by $\text{Gr}(d, \infty)$ the colimit of the natural sequence

$$\text{Gr}(d, d) \rightarrow \text{Gr}(d, d+1) \rightarrow \dots$$

It is a standard fact that $\text{Gr}(d, \infty)$ is a model for the classifying space $BU(d)$ of principal $U(d)$ -bundles. Let $EU(d) \rightarrow BU(d)$ be the universal principal $U(d)$ -bundle. Using the colimit description above, we have a natural skeletal filtration of $BU(d)$ by

$$\text{sk}_k BU(d) = \text{Gr}(d, k).$$

Let X_d denote the restriction of $EU(d)$ over the $2d$ -skeleton:

$$\begin{array}{ccc} X_d & \longrightarrow & EU(d) \\ \downarrow & & \downarrow \\ \text{sk}_{2d} BU(d) & \longrightarrow & BU(d). \end{array}$$

Remark 0.3. Though not the way the Gelfand and Fuks originally proved the result, one can use the computation of the cohomology of W_d with coefficients in $\widehat{\Omega}_d^k$ together with the spectral sequence (1) to prove this description of $H^*(W_d)$. Indeed, the spectral sequence (1) is isomorphic, up to regradings, to the Serre spectral sequence for the principal $U(d)$ -bundle $X_d \rightarrow \text{sk}_{2d} BU(d)$.

In other words, the formal de Rham differential on $\widehat{\Omega}_d^*$ is exactly the E_2 differential for the Serre spectral sequence.

Theorem 0.4 ([?] Theorem 2.2.4). *There is an isomorphism of graded vector spaces*

$$H^*(W_d) \cong H_{dR}^*(X_d).$$

Moreover, the commutative algebra structure on $H^(W_d)$ is trivial.*

As a simple example, note that when $d = 1$ we have $\text{sk}_2 BU(1) = \mathbb{P}^1 \subset \mathbb{P}^\infty = BU(1)$. Moreover, the restriction of the universal bundle is Hopf fibration $U(1) \rightarrow S^3 \rightarrow \mathbb{P}^1$. In particular, one has $X_1 = S^3$.

0.2. Local cocycles on holomorphic vector fields. We now turn to a description of local central extensions of the local Lie algebra of holomorphic vector fields $\mathcal{T}_X = \Omega^{0,*}(X; T_X^{1,0})$ for any complex d -fold X . Recall, such a central extension is determined by a cocycle in complex of local functionals $C_{\text{loc}}^*(\mathcal{T}_X)$. Our main result is to identify such local cocycles with Gelfand-Fuks cocycles we have just discussed.

Our first goal is to construct, from a Gelfand-Fuks class in $C_{\text{Lie}}^*(W_d)$, a local functional on \mathcal{T}_X . We have seen that the cochain complex $C_{\text{Lie}}^*(W_d; \widehat{\Omega}_d^*)$, equipped with the total differential $d_{CE} + d_{dR}$, computes the absolute Gelfand-Fuks cohomology $H^*(W_d)$. We will use this property to represent elements of $H^*(W_d)$ by local cocycles on \mathcal{T}_X .

Using the natural framing on the formal disk, we can decompose a class $\alpha \in C_{\text{Lie}}^k(W_d; \widehat{\Omega}_d^*)$ as

$$\alpha = f^I dt_I$$

where the sum is over the multi-index $I = (i_1, \dots, i_k)$ where $1 \leq i_j \leq d$, and for each I , f^I is a k multi-linear symmetric functional on W_d valued in $\widehat{\mathcal{O}}_d$

$$f^I : \text{Sym}^k(W_d[1]) \rightarrow \widehat{\mathcal{O}}_d.$$

We extend f^I to a functional on the Dolbeault complex $\Omega^{0,*}(\mathbb{C}^d; T^{1,0}\mathbb{C}^d)$ as follows. Using the framing on \mathbb{C}^d , every element of the Dolbeault complex can be written as

$$X^J(z, \bar{z}) d\bar{z}_J$$

where $J = (j_1, \dots, j_l)$ is a multi-index and X^J is an ordinary holomorphic vector field on \mathbb{C}^d . We extend f^I to a Dolbeault valued functional $\Omega^{0,*}(\mathbb{C}^d; T^{1,0}\mathbb{C}^d)$ via the formula

$$\begin{aligned} f_{\Omega^{0,*}}^I : \quad & \text{Sym}^k \left(\Omega^{0,*}(\mathbb{C}^d; T^{1,0}) \right) \rightarrow \Omega^{0,*}(\mathbb{C}^d) \\ & \left(X_1^{J(1)}(z, \bar{z}) d\bar{z}_{J(1)}, \dots, X_k^{J(k)}(z, \bar{z}) d\bar{z}_{J(k)} \right) \mapsto f^I(X_1^{J(1)}, \dots, X_k^{J(k)}) d\bar{z}_{J(1)} \wedge \dots \wedge d\bar{z}_{J(k)} \end{aligned}$$

The local functional corresponding to the original class $\alpha = f^I dt_I \in C_{\text{Lie}}^*(W_n; \widehat{\Omega}_d^*)$ is defined by the k -multi-linear functional

$$(\xi_1, \dots, \xi_k) \mapsto \int_{\mathbb{C}^d} f_{\Omega^{0,*}}^I(\xi_1, \dots, \xi_k) dz_I.$$

Denote this functional by $J^{GF}(\alpha)$. Note that it is only nonzero when the multi-index I is a permutation of $(1, \dots, d)$. Since it is given by the integral of a some multi-differential operators against a density it is manifestly a local functional.

Proposition 0.5. Let $C_{\text{loc}}^*(\mathcal{T}_{\mathbb{C}^d})$ be the local functionals of $\mathcal{T}_{\mathbb{C}^d}$ on \mathbb{C}^d . The map

$$J^{GF} : C_{\text{Lie}}^*(W_d; \widehat{\Omega}_n^*)[2d] \rightarrow C_{\text{loc}}^*(\mathcal{T}_{\mathbb{C}^d})$$

sending $\alpha \mapsto J^{GF}(\alpha)$ is a map of cochain complexes. Moreover, it is a quasi-isomorphism.

Theorem 0.6. Let X be a complex d -fold. Then, the map

$$J^{GF} : C_{\text{Lie}}^*(W_d; \widehat{\Omega}_n^*)[2d] \rightarrow C_{\text{loc}}^*(\mathcal{T}_X)$$

is a quasi-isomorphism of sheaves. In particular, there is an isomorphism of graded vector spaces

$$(2) \quad H^{*+2d}(W_n) \cong H^*(X, C_{\text{loc}}^*(\mathcal{T}_X)),$$

where the right-hand side denotes the hypercohomology.

Example 0.7. Again, take the case $d = 1$. We can describe the local cocycle corresponding to the generator $H^3(W_1) \cong H^1(\mathcal{T}_{\mathbb{C}})$ explicitly. Recall, the generator of $H^3(W_1)$ came from the element $\xi_1 \tau_1 \in C_{\text{Lie}}^2(W_1; \widehat{\Omega}_1^1)$ on the E_2 page of the spectral sequence (1). Using the formulas for ξ_1, τ_1 above, we see that the local functional $J^{GF}(\xi_1 \tau_1)$ is given by

$$\left(f(z, \bar{z}) \frac{\partial}{\partial \bar{z}}, g(z, \bar{z}) d\bar{z} \frac{\partial}{\partial z} \right) \mapsto \int_{\mathbb{C}} \left(\frac{\partial}{\partial z} f \right) \partial \left(\frac{\partial}{\partial z} g \right) d\bar{z}.$$

For instance, the linear functional $\tau_1 : g(t) \frac{\partial}{\partial t} \mapsto d_{dR}(\partial_t g(t))$ is mapped to the functional on the Dolbeault complex of holomorphic vector fields given by $g(z, \bar{z}) \frac{\partial}{\partial \bar{z}} \mapsto \partial(\partial_z g(z, \bar{z}))$.

If we integrate by parts, we can put $J^{GF}(\xi_1 \tau_1)$ in the form $\int f \partial_z^3 g dz d\bar{z}$. If one restricts this local functional to the annulus and performs the radial integration, we recover the usual formula for the generator of $H^2(\text{Vect}(S^1))$ [BW: citation](#) defining the central extension of the Virasoro Lie algebra. In fact, in [?] [BW: finish](#)