

# CS 5780: HW3

Kimberly Sheriff, kgs45

Brian Toth, bdt25

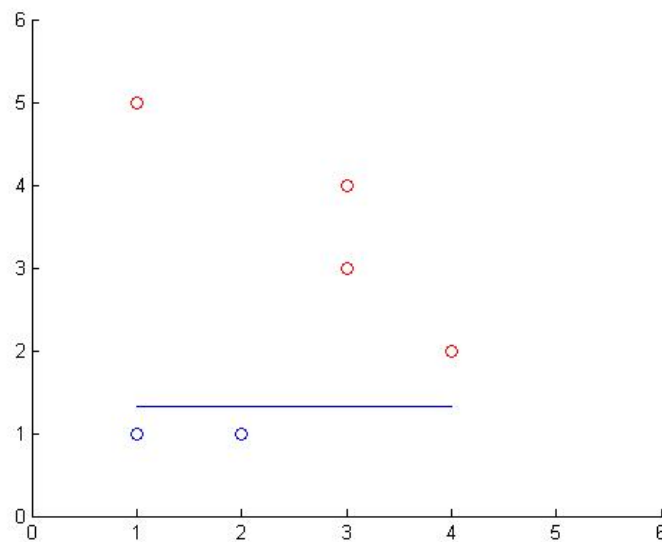
October 5, 2012

## 1 Question 1

### 1. Part A:

No, the resulting hyperplane,  $w_{biased} = \langle 0, 3, -4 \rangle$ , does not maximize the margin between the two classes. The algorithm converges as soon as zero training error exists and therefore it cannot reach the optimal hyperplane. The plot below shows the resulting hyperplane as a blue line. The blue circles represent the negative examples, and the red circles represent the positive examples.

Figure 1: Plot for Question 1, Part a



### 2. Part B:

Intuitively the hyperplane should be diagonal with a negative slope cutting through the positive and negative groups. Starting off, we can tell that point 4 will not be a support vector because if 3 is classified correctly, 4 will also be classified correctly. Point 6 will

also not be a support vector because if 5 is classified correctly, 6 will be also. This leaves points 1, 2, 3, and 5 as support vectors with non-zero  $\alpha$ . Now, points 1, 3, and 2 form a line,  $L_{123}$ . Because they form a line, one of the points here is redundant. We will ignore point 1 and use 2 and 3 as support vectors. Since the hyperplane will be a straight line as well, the hyper plane must be parallel to  $L_{123}$ . A line is drawn parallel to  $L_{123}$  intersecting point 5,  $L_5$ . The hyperplane should be placed half way between  $L_{123}$  and  $L_5$  parallel to both.  $w_{opt}$  and  $b_{opt}$  can be found geometrically as shown on the attached page at the end of this document.

$$w_{opt} = \langle 1, 1 \rangle \text{ and } b_{opt} = -4.5$$

The geometric margin can be found in the following manner:

$$\gamma_{opt} = y_i * (w_{opt} \cdot x_i + b) / ||w_{opt}||$$

$$||w_{opt}|| = (1^2 + 1^2)^{1/2} = 2^{1/2}$$

For point 1,

$$\gamma_{opt} = 1 * (\langle 1, 1 \rangle \cdot \langle 1, 5 \rangle - 4.5) / (2^{1/2}) = 1.0607 \approx 1$$

This is consistent for all support vectors. Therefore, this is the optimal hyperplane.

### 3. Part C:

The modified biased perceptron algorithm nearly obtains the optimal weight vector and bias. The algorithm results were:

$$w = \langle 2, 2 \rangle \text{ and } b = -8$$

This corresponds to a hyperplane along the line  $y = -x + 4$ , which is very close to the optimal found in part b. The slopes are the same and the bias is off by 0.5.

Calculating the geometric margin for this hyper plane:

$$\gamma_{opt} = y_i * (w_{opt} \cdot x_i + b) / ||w_{opt}||$$

$$||w_{opt}|| = (2^2 + 2^2)^{1/2} = 8^{1/2}$$

For point 1,

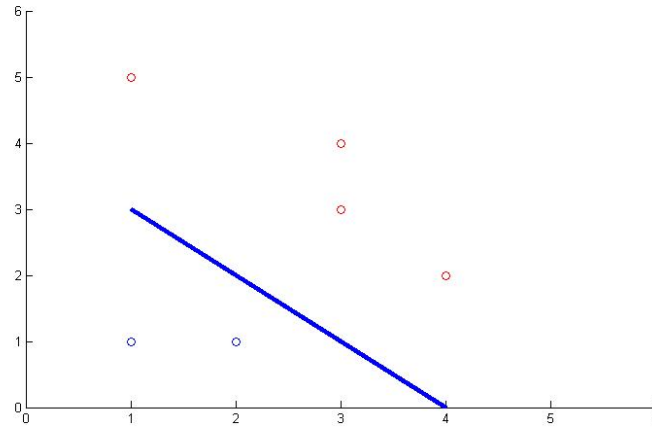
$$\gamma_{opt} = 1 * (\langle 2, 2 \rangle \cdot \langle 1, 5 \rangle - 4) / (8^{1/2}) = 2.828$$

For point 5,

$$\gamma_{opt} = -1 * (\langle 2, 2 \rangle \cdot \langle 2, 1 \rangle - 4) / (8^{1/2}) = -0.707$$

The geometric margin is not equal to the optimal geometric margin, so this is not the optimal hyperplane.

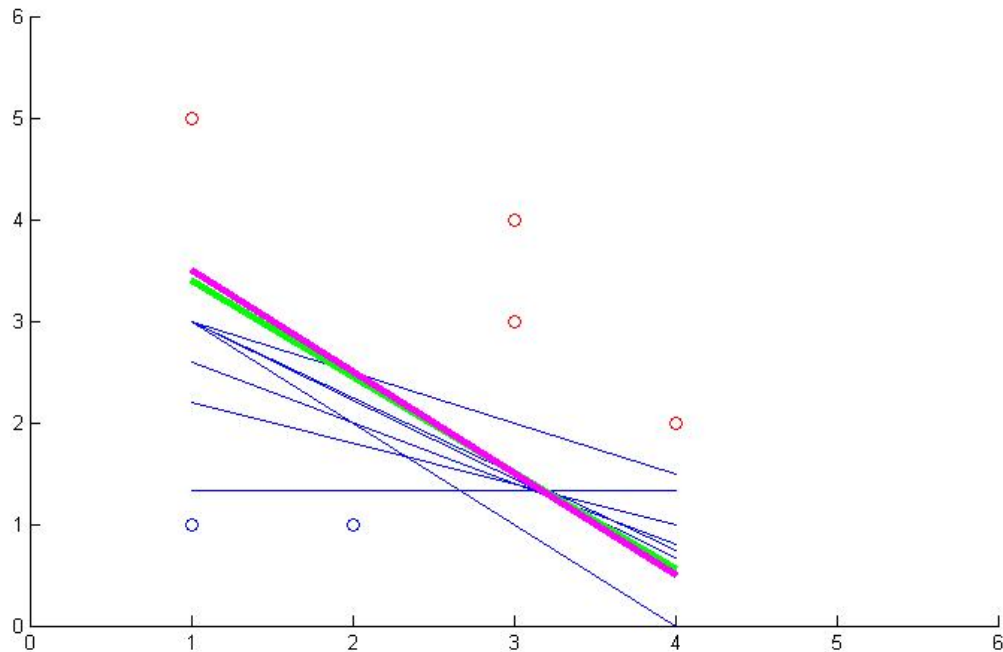
Figure 2: Plot for Question 1, Part c



4. Part D:

The  $w_{opt}$  for  $\gamma = 0.99$  is the closest to the optimal found in part b. In the graph below, the green line is the  $w_{opt}$  for  $\gamma = 0.99$  and the magenta line is the  $w_{opt}$  found in part b.

Figure 3: Plot for Question 1, Part d



5. Part E:

As beta increased the result became closer to the optimal weight vector and bias found in part b. Yes, this algorithm is a good alternative to an SVM for computing

the optimal hyperplane because it is accurate and easy to implement. In part d, for  $\gamma = 0.99$ ,

$$w_{opt} = \langle 36, 38 \rangle \text{ and } b_{opt} = -165$$

Calculating the geometric margin for the  $w_{opt}$  for  $\gamma = 0.99$ ,

$$\gamma_{opt} = y_i * (w_{opt} \cdot x_i + b) / ||w_{opt}||$$

$$||w_{opt}|| = (36^2 + 38^2)^{1/2} = 2740^{1/2}$$

For point 1,

$$\gamma_{opt} = 1 * (\langle 36, 38 \rangle \cdot \langle 1, 5 \rangle - 165) / (2740^{1/2}) = 1.165$$

For point 5,

$$\gamma_{opt} = -1 * (\langle 36, 38 \rangle \cdot \langle 2, 1 \rangle - 165) / (2740^{1/2}) = 1.051$$

The geometric margins are very close to 1, which shows this solution is very close to optimal. This translates to a hyperplane on the line  $y = -0.9474 + 4.3421x$  which is very close to the optimal found in part b. The algorithm was also easy to implement and did not take long to run. The down side to this algorithm is that you need to know the geometric margin you want before you can run the algorithm.

## 6. Part F:

This algorithm converges after 100 iterations. The values for the weight vector and bias for more than 100 iterations is

$$w = \langle 7, 9 \rangle \text{ and } b = -34$$

$$\text{For point 1, } ||w_{opt}|| = (7^2 + 9^2)^{1/2} = 130^{1/2}$$

$$\gamma_{opt} = 1 * (\langle 7, 9 \rangle \cdot \langle 1, 5 \rangle - 34) / (130^{1/2}) = 1.579$$

This translates to a hyperplane along the line  $y = -0.78x + 3.78$ . For  $\gamma = 0.9$ , the optimal vectors from part c, primal, were:

$$w = \langle 7, 9 \rangle \text{ and } b = -34$$

This is the expected result because the primal and dual optimizations should produce the same result. The chart below shows the alpha values for each number of iterations.

Figure 4: Plot for Question 1, Part f

Iterations	$\alpha_1$	$\alpha_2$	$\alpha_3$	$\alpha_4$	$\alpha_5$	$\alpha_6$
1	1	0	0	0	1	1
2	1	1	0	0	2	2
5	1	3	0	0	5	4
10	2	6	0	0	10	7
20	2	13	0	0	20	11
50	3	30	0	0	50	16
100	3	31	0	0	52	16
120	3	31	0	0	52	16

## 7. Part G:

The following table shows the alpha values for three different gamma values. The alpha values have been normalized with the w vector such that  $\alpha_i = \alpha'_i / \|w\|$  where  $\alpha'_i$  is the raw alpha value.

Figure 5: Plot for Question 1, Part g

$\gamma$	$\alpha_1$	$\alpha_2$	$\alpha_3$	$\alpha_4$	$\alpha_5$	$\alpha_6$
1.0501	0.0404	1.0110	0	0	1.8717	0.1329
0.9016	0.1049	0.8042	0	0	1.2937	0.5594
0.9546	0.0837	0.8645	0	0	1.4500	0.4462

From this table we can determine the dominant support vectors for the positive and negative examples. Clearly 3 and 4 are not support vectors because their alpha values are zero, but by normalizing the alpha values we can see the relative importance of examples with non-zero alpha values. For example,  $\alpha_1$  is very small compared to  $\alpha_2$  and is never above 1. Example 2 is the dominant positive example. Similarly,  $\alpha_5$  is much greater than  $\alpha_6$ . Therefore, it seems the hyperplane would be mostly the same if only examples 2 and 5 were used. However, this is not the case when the program is run with only these two points. The result,

$$w = \langle 4, 2 \rangle \text{ and } b = -15$$

$$\gamma_{opt} = 1 * (\langle 4, 2 \rangle \cdot \langle 1, 5 \rangle - 15) / (20^{1/2}) = -4.72$$

Clearly this is not the correct hyperplane. If you were to connect points 2 and 5 with a straight line, find the midpoint, and draw a line perpendicular to the connecting line intersecting the midpoint, that line would not be the optimal hyperplane found in part b.

Using points 1, 2, and 5:

$w = \langle 10, 14 \rangle$  and  $b = -15$

For point 1,

$$\gamma_{opt} = 1 * (\langle 10, 14 \rangle \cdot \langle 1, 5 \rangle - 15) / (296^{1/2}) = 3.778$$

This is still not the optimal hyperplane.

However, if point 3 were added, such that the only points were 2, 3, and 5, the result is,

$w = \langle 6, 6 \rangle$  and  $b = -27$

For point 1,

$$\gamma_{opt} = 1 * (\langle 6, 6 \rangle \cdot \langle 1, 5 \rangle - 27) / (72^{1/2}) = 1.061 \approx 1$$

For point 3,

$$\gamma_{opt} = 1 * (\langle 6, 6 \rangle \cdot \langle 3, 3 \rangle - 27) / (72^{1/2}) = 1.061 \approx 1$$

Therefore, the support vectors are as discussed in part b. The reason for the discrepancy could be the order in which the points are learned. Because point 3 is learned after points 1 and 2, it does not add any new information to the algorithm.

## 2 Question 2

1. Part A:

training error for class 1 = 0.0

training error for class 2 = 0.0

training error for class 3 = 0.0

training error for class 4 = 0.0

multiclass test error= 0.0970833333333

2. Part B:

for  $c = 0.125$  multi-class validation error= 0.063

for  $c = 0.125$  multi-class train error= 0.0

for  $c = 0.25$  multi-class validation error= 0.069

for  $c = 0.25$  multi-class train error= 0.0

for  $c = 0.5$  multi-class validation error= 0.068

for  $c = 0.5$  multi-class train error= 0.0

for  $c = 1.0$  multi-class validation error= 0.076

for  $c = 1.0$  multi-class train error= 0.0

for  $c = 2.0$  multi-class validation error= 0.077

for  $c = 2.0$  multi-class train error= 0.0

for  $c = 4.0$  multi-class validation error= 0.078

for c = 4.0 multi\_class train error= 0.0  
for c = 8.0 multi\_class validation error= 0.078  
for c = 8.0 multi\_class train error= 0.0  
for c = 16.0 multi\_class validation error= 0.078  
for c = 16.0 multi\_class train error= 0.0  
for c = 32.0 multi\_class validation error= 0.078  
for c = 32.0 multi\_class train error= 0.0  
for c = 64.0 multi\_class validation error= 0.078  
for c = 64.0 multi\_class train error= 0.0  
for c = 128.0 multi\_class validation error= 0.078  
for c = 128.0 multi\_class train error= 0.0  
for c = 256.0 multi\_class validation error= 0.078  
for c = 256.0 multi\_class train error= 0.0  
for c = 512.0 multi\_class validation error= 0.078  
for c = 512.0 multi\_class train error= 0.0

The graph below shows the multi-class validation error and multi-class training error as a function of  $\log(C)$ . The lowest validation error occurs when  $C = 0.125$ . Although the training error is not at its minimum, it is more important for the validation error be minimized. Therefore, the best  $C$  for the multi-class is 0.125. Normally, we would expect the best  $c$  parameter to be somewhere inside the range of  $c$  values observed. The best  $c$  parameter should occur at a valley of the validation error. There should be  $c$  parameters corresponding to higher validation error on either side of the best  $c$  parameter. This indicates that we should have looked at smaller values of  $c$  for this problem to verify that  $c = 0.125$  is in fact the best parameter.

Figure 6: Plot for Question 2, Part b



3. Part C:

The best  $c$  value found in part b was  $c = 0.125$ . The results from part c for this  $c$  value are shown below.

test error for  $c=.125$  soft margin= 0.08125

The multi-class test error for the soft margin algorithm is less than the multi-class test error for the hard margin in part a. In a hard margin classifier, a single outlier can determine the boundary. A soft margin classifier reduces the effect of noise on the data. A soft margin allows for more training error in order to improve the validation and test error.



#### 4. Part D:

Normalizing the data greatly impacts the results. For example, take a text classification with only two words of interest. The x-axis is the number of times the word "apple" is mentioned and the y axis the number of times the word "celery" is mentioned in the text. A text is classified as positive or negative. Suppose there are four example text as shown in the following plot. The red are positive and the blue are negative. The black line represent the general direction and location of the optimal hyperplane.

Figure 7: Example: Not Normalized Data

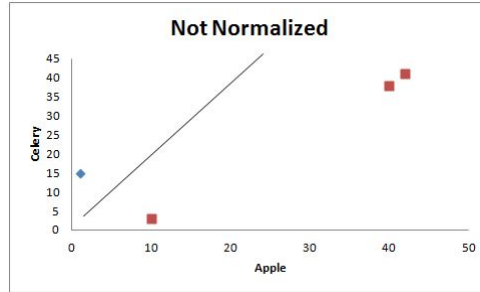
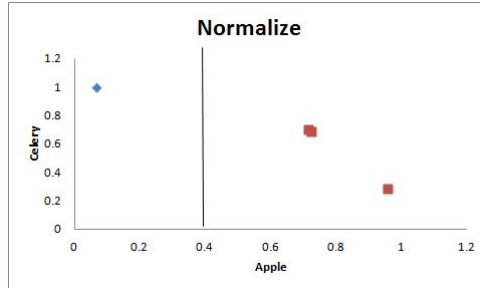


Figure 8: Example: Normalized Data



When the data is normalized the data and hyperplane are shifted. This is because the two points that were in the top right corner are shifted. Although these points do not have a strong preference for apples or celery they have a large number of both. If, as in this example, the positive cases have very large numbers of both data, it could skew the set into classifying all points which have a very large number of either celery and apples into the positive class.

Results for Part D:

for  $c = 0.125$  multi\_class validation error= 0.11

for  $c = 0.125$  multi\_class train error= 0.06933333333333

for  $c = 0.25$  multi\_class validation error= 0.07

for  $c = 0.25$  multi\_class train error= 0.041

for  $c = 0.5$  multi\_class validation error= 0.051

for  $c = 0.5$  multi\_class train error= 0.01766666666667

```

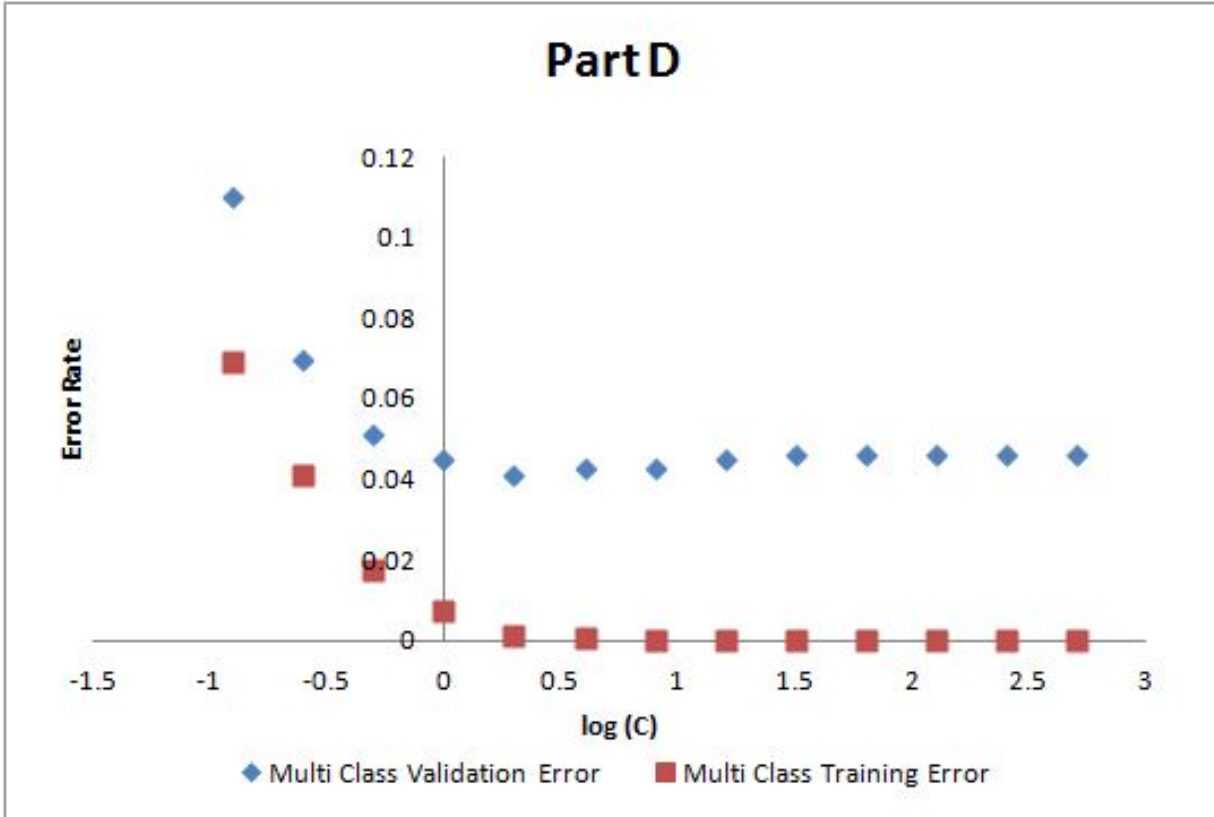
for c = 1.0 multi_class validation error= 0.045
for c = 1.0 multi_class train error= 0.007333333333333
for c = 2.0 multi_class validation error= 0.041
for c = 2.0 multi_class train error= 0.001
for c = 4.0 multi_class validation error= 0.043
for c = 4.0 multi_class train error= 0.000333333333333
for c = 8.0 multi_class validation error= 0.043
for c = 8.0 multi_class train error= 0.0
for c = 16.0 multi_class validation error= 0.045
for c = 16.0 multi_class train error= 0.0
for c = 32.0 multi_class validation error= 0.046
for c = 32.0 multi_class train error= 0.0
for c = 64.0 multi_class validation error= 0.046
for c = 64.0 multi_class train error= 0.0
for c = 128.0 multi_class validation error= 0.046
for c = 128.0 multi_class train error= 0.0
for c = 256.0 multi_class validation error= 0.046
for c = 256.0 multi_class train error= 0.0
for c = 512.0 multi_class validation error= 0.046
for c = 512.0 multi_class train error= 0.0

```

The graph below shows the error after normalization. As was just explained the results are very different. Normalization is better because it reduces the effect that large data sets have on the results and reduces the effect of impurities. The best  $c$  value is 2.0 where the validation error is 0.041. This is a lower validation error than the minimum validation error from part b with the non-normalized data.

Furthermore, normalization also improves the results from part c in which the entire training data set was used to train. The soft margin multiclass error when using the entire normalized training set was found to be 0.0675. This is smaller than the soft margin multi-class error found in part c, 0.08125.

Figure 9: Plot for Question 2, Part d



5. Part E:

for  $c = 0.125$  multi\_class validation error= 0.155

for  $c = 0.125$  multi\_class train error= 0.1376666666667

for  $c = 0.25$  multi\_class validation error= 0.1

for  $c = 0.25$  multi\_class train error= 0.0786666666667

for  $c = 0.5$  multi\_class validation error= 0.068

for  $c = 0.5$  multi\_class train error= 0.034

for  $c = 1.0$  multi\_class validation error= 0.046

for  $c = 1.0$  multi\_class train error= 0.0133333333333

for  $c = 2.0$  multi\_class validation error= 0.036

for  $c = 2.0$  multi\_class train error= 0.0026666666667

for  $c = 4.0$  multi\_class validation error= 0.036

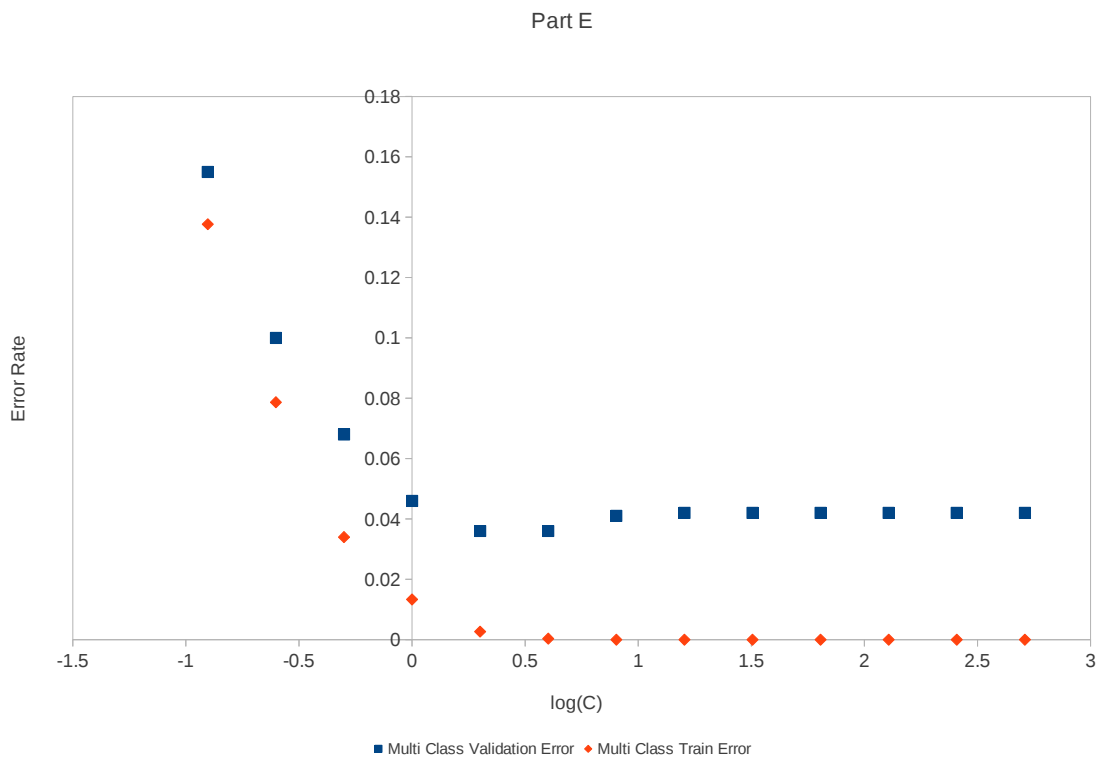
for  $c = 4.0$  multi\_class train error= 0.0003333333333

for  $c = 8.0$  multi\_class validation error= 0.041

for  $c = 8.0$  multi\_class train error= 0.0

for  $c = 16.0$  multi\_class validation error= 0.042  
for  $c = 16.0$  multi\_class train error= 0.0  
for  $c = 32.0$  multi\_class validation error= 0.042  
for  $c = 32.0$  multi\_class train error= 0.0  
for  $c = 64.0$  multi\_class validation error= 0.042  
for  $c = 64.0$  multi\_class train error= 0.0  
for  $c = 128.0$  multi\_class validation error= 0.042  
for  $c = 128.0$  multi\_class train error= 0.0  
for  $c = 256.0$  multi\_class validation error= 0.042  
for  $c = 256.0$  multi\_class train error= 0.0

Figure 10: Example: Normalized Data



The best  $c$  parameter is  $c = 2.0$  or  $c = 4.0$  when the validation error is 0.036. This validation is slightly better than that found for part d (1 v all).

The soft margin multi class test errors for  $c = 2.0$  are:

1 vs 1: 0.1366666666667

1 vs all: 0.0675

Thus, the accuracies are:

1 vs 1:  $1 - 0.136666666667 = 0.863$

1 vs all:  $1 - 0.675 = 0.9325$

The 1 vs all method is more accurate than the 1 vs 1 method.

The running times are as follows:

Running Time (1 vs 1) = 39.245677948 seconds

Running Time (1 vs all) = 84.2226040363 seconds

Using the python stopwatch script, we found that the 1 vs all method takes over twice as much time to run as the 1 vs 1 method as shown above. The most expensive task is learning followed by classifying and then voting. The 1 vs 1 method has 6 classes each with approximately  $750 * 2$  examples, 750 positive and 750 negative. 1 vs all has 4 classes each with approximately  $750 * 4$  examples. The total number of examples for the 1 vs 1 is approximately  $12 * 750$  whereas the total number of examples for 1 vs all is approximately  $16 * 750$ . The total size of the classes for 1 vs all is  $4/3$  as large as the 1 vs 1 method. This means that the 1 vs all case will need to learn  $1/3$  more examples than the 1 vs 1 method. Therefore the running time for 1 vs 1 should be about  $4/3$  as long as the running time for 1 vs all based on the number of examples to learn.

### 3 READ ME

For Question 1, open the code in MatLab for each part and click run. The name of the code indicates which part it is for. No additional inputs or libraries are needed.

For Question 2, save all python files into one folder. In the folder containing the folder containing the python files, save the test and train documents. To run the code, type "python \*.py" into the terminal (for Linux users). Replace the star with the name of the program for the given part listed below.

### 4 Code

```
1 % Homework 3 Problem 1 Part A
2 clc
3 clear all
4 points = [1 4 3 3 2 1;
5           5 2 3 4 1 1;
6           1 1 1 1 1 1];
7 y = [1 1 1 1 -1 -1];
8
9
10
11 w = [0; 0; 0];
12 k = 0;
13 done = false;
```

```

14
15
16 while ~done
17     thisLoop = 0;
18     for i = 1:6
19         if y(i)*dot(w,points(:,i)) <= 0
20             w = w + y(i)*points(:,i);
21             k=k+1;
22             thisLoop = thisLoop +1;
23         end
24     end
25
26     if thisLoop == 0
27         done = true;
28     end
29
30 end
31
32 x_pos = [1 3 3 4];
33 y_pos = [5 4 3 2];
34 x_neg = [1 2];
35 y_neg = [1 1];
36 hold on
37 scatter(x_pos,y_pos,'r')
38 scatter(x_neg,y_neg,'b')
39 reline(-w(1)/w(2),-w(3)/w(2))
40
41 axis([0 6 0 6])
42 hold off
43
44 %%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
45 Question 1 Part C
46
47 clc
48 clear all
49 points = [1 4 3 3 2 1;
50           5 2 3 4 1 1;
51           1 1 1 1 1 1];
52 y = [1 1 1 1 -1 -1];
53
54 gamma_opt = (3/4)*sqrt(2);
55
56
57 gamma = 0.5*gamma_opt;
58 done = false;
59 k = 0;
60 w = [0;0;0];
61 while ~done
62     thisLoop = 0;
63     for i = 1:6
64         if k == 0
65             w = w + y(i)*points(:,i);
66             k=k+1;
67             thisLoop = thisLoop +1;

```

```

68         elseif (y(i)*dot(w,points(:,i)))/norm(w(1:2)) < gamma
69             w = w + y(i)*points(:,i);
70             k=k+1;
71             thisLoop = thisLoop +1;
72         end
73     end
74
75     if thisLoop == 0
76         done = true;
77     end
78
79 end
80 w
81 x_pos = [1 3 3 4];
82 y_pos = [5 4 3 2];
83 x_neg = [1 2];
84 y_neg = [1 1];
85 hold on
86 scatter(x_pos,y_pos,'r')
87 scatter(x_neg,y_neg,'b')
88 reffline(-w(1)/w(2),-w(3)/w(2))
89 axis([0 6 0 6])
90
91 hold off
92
93 %%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
94 %% Question 1 Part D
95
96 clc
97 clear all
98 points = [1 4 3 3 2 1;
99           5 2 3 4 1 1;
100          1 1 1 1 1 1];
101 y = [1 1 1 1 -1 -1];
102
103 gamma_opt = (3/8)*sqrt(2);
104
105 beta = [0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9 0.94 0.99];
106 w_final = zeros(3,length(beta));
107
108 for j = 1:length(beta)
109     gamma = beta(j)*gamma_opt;
110
111     w =[0; 0; 0];
112     k = 0;
113     done = false;
114     iterations = 0;
115     while ~done
116         thisLoop = 0;
117         for i = 1:6
118             if k == 0
119                 w = w + y(i)*points(:,i);
120                 k=k+1;
121                 thisLoop = thisLoop +1;

```

```

122         elseif (y(i)*dot(w,points(:,i)))/norm(w(1:2)) < gamma
123             w = w + y(i)*points(:,i);
124             k=k+1;
125             thisLoop = thisLoop +1;
126         end
127     end
128     %iterations = iterations +1;
129     if thisLoop == 0 %|| iterations == 10000
130         done = true;
131     end
132
133     end
134     w_final(:,j) = w;
135 end
136
137 w_final
138 x_pos = [1 3 3 4];
139 y_pos = [5 4 3 2];
140 x_neg = [1 2];
141 y_neg = [1 1];
142 hold on
143 scatter(x_pos,y_pos,'r')
144 scatter(x_neg,y_neg,'b')
145 % refline(0,4/3)
146 % refline(-1/2,7/2)
147 % refline(-1,4)
148 for n = 1:length(beta)
149     m = -w_final(1,n)/w_final(2,n);
150     b = -w_final(3,n)/w_final(2,n);
151     refline(m,b)
152 end
153
154 axis([0 6 0 6])
155
156 hold off
157
158 %%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
159 Question 1 Part F
160
161 clc
162 clear all
163 points = [1 4 3 3 2 1;
164           5 2 3 4 1 1;
165           1 1 1 1 1 1];
166 y = [1 1 1 1 -1 -1];
167
168
169 gamma_opt = (3/4)*sqrt(2);
170
171 beta = .9;
172
173 a = zeros(1,6);
174
175 gamma = beta*gamma_opt

```



```

176
177 done = false;
178 iterations = 0;
179 flag = 1;
180 while ~done
181
182     for i = 1:6
183
184         w = [0; 0; 0];
185         for k = 1:length(a)
186             w = w + a(k)*y(k)*points(:,k);
187         end
188
189         if y(i)*dot(w,points(:,i))/norm(w(1:2)) <= gamma || flag == 1
190             flag = 0;
191             a(i) = a(i) + 1;
192         end
193     end
194     iterations = iterations + 1;
195     if iterations == 1000
196
197         done = true;
198     end
199
200 end
201
202 %%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
203 Question 2:
204
205 General Code used throughout;
206
207 %%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
208 %%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
209 def parse(file_name):
210     file = open(file_name)
211     train= []
212     for line in file:
213         has_class= False
214         current_example= None
215         for s in line.split():
216             if not has_class:
217                 my_class= int(s)
218                 current_example= (my_class, [])
219                 train.append(current_example)
220                 has_class= True
221             else:
222                 word, frequency= [int(t) for t in s.split(":")]
223                 current_example[1].append((word, frequency))
224
225     file.close
226     return train
227 %%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
228 Part A:
229

```

```

230 parta.py:
231
232 from parser import parse
233 from parta_funcs import find_single_class_error, find_multiclass_error,
    find_models
234
235 tst= parse("../articles.test")
236 trn= parse("../articles.train")
237 mdl= find_models(trn, 1024)
238
239 for i in range(len(mdl)):
240     print "training error for class " + str(i+1) + " = " + \
241           str(find_single_class_error(mdl[i], trn, i+1))
242
243 print "multiclass test error=" + str(find_multiclass_error(mdl, tst))
244
245 parta_funcs.py:
246
247 from parser import parse
248 import svmight
249
250
251 def predict_classification(classifications, example):
252     best_value= classifications[0][example]
253     best_index= 0
254     for classification_index in range(len(classifications)):
255         if classifications[classification_index][example] > best_value:
256             best_value= classifications[classification_index][example]
257             best_index= classification_index
258
259     return best_index+1
260
261 def find_multiclass_error(models, test):
262     errors= 0
263     classifications= []
264     for i in range(len(models)):
265         classifications.append(svmight.classify(models[i], test))
266
267     for i in range(len(test)):
268         predicted_classification= predict_classification(classifications, i)
269         if predicted_classification != test[i][0]:
270             errors= errors+1
271
272     return float(errors)/len(test)
273
274 def find_single_class_error(model, test, target_example):
275     errors= 0
276     test= change_to_binary_examples(test, target_example)
277     classification= svmight.classify(model, test)
278     for i in range(len(test)):
279         predicted_classification= 0
280         if(classification[i] > 0):
281             predicted_classification= 1
282     else:

```

```

283         predicted_classification= -1
284
285     if predicted_classification != test[i][0]:
286         errors= errors+1
287
288     return float(errors)/len(test)
289
290 def change_to_binary_examples(training_data , target_example):
291     binary= []
292     for i in range(len(training_data)):
293         if training_data[i][0] == target_example:
294             binary.append((1, training_data[i][1]))
295         else:
296             binary.append((-1, training_data[i][1]))
297
298     return binary
299
300
301 def find_models(training_data , c_value):
302     models= []
303     for i in range(1,5):
304         train= change_to_binary_examples(training_data , i)
305         models.append(svmlight.learn(train , type='classification' , C=c_value))
306
307     return models
308
309 %%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
310 Part B:
311
312 partb.py:
313
314 from parser import parse
315 from partb_funcs import find_multiclass_validate_and_train_error
316
317 train= parse("../articles.train")
318 find_multiclass_validate_and_train_error(train)
319
320 partb_funcs.py
321
322 import random
323 from parser import parse
324 from parta_funcs import find_multiclass_error , find_models
325
326 def create_validate_and_train_subsets(train):
327     random.shuffle(train)
328
329     train_subset= []
330     validate_subset= []
331
332     i= 0
333
334     while i < len(train)*.75:
335         train_subset.append(train[i])
336         i= i+1

```

```

337
338     while i < len(train):
339         validate_subset.append(train[i])
340         i= i+1
341
342     return train_subset , validate_subset
343
344 def find_multiclass_validate_and_train_error(train):
345     train_subset , validate_subset= create_validate_and_train_subsets(train)
346     for c in [.125 * 2**j for j in range(13)]:
347         models= find_models(train_subset , c)
348         print "for c = " + str(c) + " multi-class validation error= " + \
349             str(find_multiclass_error(models, validate_subset))
350
351         print "for c = " + str(c) + " multi-class train error= " + \
352             str(find_multiclass_error(models, train_subset))
353
354 %%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
355 Part C:
356
357 partc.py:
358
359 import random
360 from parser import parse
361 from parta_funcs import find_multiclass_error , find_models
362
363 train= parse("../articles.train")
364 test= parse("../articles.test")
365
366 i= 0
367
368 models= find_models(train , .125)
369
370 print "test error for c=.125 soft margin= " + \
371     str(find_multiclass_error(models, test))
372
373 %%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
374 Part D:
375
376 partd.py:
377
378 from parser import parse
379 from partd_funcs import normalize
380 from partb_funcs import find_multiclass_validate_and_train_error
381 import stopwatch
382
383 t = stopwatch.Timer()
384
385 train= parse("../articles.train")
386 train= normalize(train)
387 find_multiclass_validate_and_train_error(train)
388
389 print "Running Time = " + str(t.elapsed)
390

```

```

391
392 partd_funcs.py:
393
394 import math
395
396 def normalize(examples):
397     normalized_examples= []
398     for ex in examples:
399         features= ex[1]
400         div= math.sqrt(reduce(lambda x, y: x+y[1]**2, features, 0))
401         normalized_features= []
402         for feat in features:
403             normalized_features.append((feat[0], feat[1]/div))
404         normalized_examples.append((ex[0], normalized_features))
405
406     print str(math.sqrt(reduce(lambda x, y: x+y[1]**2,
407                                normalized_examples[0][1], 0)))
408     return normalized_examples
409
410 partc_norm.py:
411
412 import random
413 from parser import parse
414 from parta_funcs import find_multiclass_error, find_models
415 from partd_funcs import normalize
416
417 train= parse("../articles.train")
418 train = normalize(train)
419 test= parse("../articles.test")
420
421 i= 0
422
423 models= find_models(train, 2)
424
425 print "test error for c=2 soft margin= " + \
426       str(find_multiclass_error(models, test))
427
428 %%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
429
430 Part E:
431
432 parte.py:
433
434 from parser import parse
435 from parte_funcs import onevone_multiclass_validate_and_train_error
436 import stopwatch
437
438 t = stopwatch.Timer()
439
440 train= parse("../articles.train")
441 onevone_multiclass_validate_and_train_error(train)
442
443 print "Running Time = " + str(t.elapsed)
444

```

```

445
446 parte_funcs.py:
447
448 import svmlight
449 import random
450 from partd_funcs import normalize
451 from partb_funcs import create_validate_and_train_subsets
452
453 def make_lv1_examples(training_data , positive_example , negative_example):
454     onevone= []
455     for example in training_data:
456         if example[0] == positive_example:
457             onevone.append((1, example[1]))
458         elif example[0] == negative_example:
459             onevone.append((-1, example[1]))
460
461     return onevone
462
463 def find_lv1_models(training_data , c_value):
464     models= {}
465     for i in range(1,5):
466         for j in range(i+1,5):
467             train= make_lv1_examples(training_data , i, j)
468             models[(i,j)]= \
469                 svmlight.learn(train , type='classification' , C=c_value)
470     return models
471
472 def predict_classification_lv1(classifications , i):
473     votes= {}
474     for pair in classifications.keys():
475         if classifications[pair][i] > 0:
476             if pair[0] in votes:
477                 votes[pair[0]]= votes[pair[0]] + 1
478             else:
479                 votes[pair[0]]= 1
480         else:
481             if pair[1] in votes:
482                 votes[pair[1]]= votes[pair[1]] + 1
483             else:
484                 votes[pair[1]]= 1
485
486     max_vote_num = max(votes.values())
487
488     highest_votes= {}
489
490     for class_num in votes.keys():
491         if votes[class_num] == max_vote_num:
492             highest_votes[class_num] = 1
493
494     for vote_weight in highest_votes.values():
495         vote_weight= float(vote_weight)/len(highest_votes)
496
497     return highest_votes
498

```

```

499 def multiclass_error_1v1(models, test):
500     errors= 0
501     classifications= {}
502     for pair in models.keys():
503         classifications[pair]=(svmlight.classify(models[pair], test))
504
505     for i in range(len(test)):
506         votes= predict_classification_1v1(classifications, i)
507         for classNum in range(1,5):
508             if classNum in votes and test[i][0] != classNum:
509                 errors= errors + votes[classNum]
510
511     return float(errors)/len(test)
512
513 def onevone_multiclass_validate_and_train_error(train):
514     train= normalize(train)
515     train_subset, validate_subset= create_validate_and_train_subsets(train)
516     for c in [.125 * 2**j for j in range(13)]:
517         models= find_1v1_models(train_subset, c)
518         print "for c = " + str(c) + " multi-class validation error= " + \
519             str(multiclass_error_1v1(models, validate_subset))
520         print "for c = " + str(c) + " multi-class train error= " + \
521             str(multiclass_error_1v1(models, train_subset))
522
523
524 parte2.py:
525
526 from parte_funcs import multiclass_error_1v1, find_1v1_models
527 from parser import parse
528
529 train= parse("../articles.train")
530 test= parse("../articles.test")
531
532 models= find_1v1_models(train, 2)
533
534 print "test error for c=2 soft margin= " + \
535     str(multiclass_error_1v1(models, test))
536
537
538 stopwatch.py:
539 *This was downloaded from http://code.google.com/p/7oars/downloads/detail?name=stopwatch-0.3.1-py2.5.egg&can=2&q=

```