# Introduction to Working with NEON AOP Hyperspectral Reflectance Tiles

Hyperspectral remote sensing data can provide useful for capturing information about the environment at the Earth's surface. In this afternoon's lessons, we will learn how to extract information from a tile (1000m x 1000m x 426 bands) of NEON AOP hyperspectral reflectance data, stored in hdf5 format. If you don't have a strong background in hyperspectral imaging, or would like a quick review, we encourage you to watch the following video:



(http://www.youtube.com/watch?feature=player\_embedded&v=3iaFzafWJQE)

### **Objectives**

This first tutorial will cover how to read NEON AOP hyperspectral hdf5 dataset using Python. We will develop and practice some tools to manipulate and visualize the spectral data. By the end of this tutorial, you will become familiar with the Jupyter Notebook platform and Python syntax, and learn how to:

- 1. Import and use Python packages to visualize hyperspectral reflectance data. In this lesson, we will primarily be working with: numpy, pandas, matplotlib, h5py, and gdal.
- 2. Use the Python package h5py and the visititems functionality to read in an hdf5 file and view how data is stored within the hdf5 format.
- 3. Read the reflectance *data ignore value* and *scale factor* and apply these values to produce a cleaned reflectance array.
- 4. Extract and plot a single band of reflectance data.
- 5. Plot a histogram of reflectance values to visualize the range and distribution of values.
- 6. Optional: Subset an hdf5 reflectance file from the full flightline to a smaller region of interest.
- 7. Optional: Apply a histogram stretch and adaptive equalization to improve the contrast of an image. Before we start coding, make sure you are using the correct version of Python. The gda1 package is compatible with Python versions 3.5 and earlier. For these lessons we will use Python version 3.5.

```
In [1]: #Check that you are using the correct version of Python (should be 3.5 for the se tutorials)
import sys
sys.version
Out[1]: '3.5.4 |Anaconda, Inc.| (default, Nov 8 2017, 14:34:30) [MSC v.1900 64 bit
```

First let's import the required packages:

(AMD64)]'

```
In [2]: import numpy as np
   import h5py
   import gdal, osr, os
   import matplotlib.pyplot as plt
```

Next, set display preferences so that plots are inline (meaning any images you output from your code will show up below the cell in the notebook) and turn off plot warnings:

```
In [3]: %matplotlib inline
    import warnings
    warnings.filterwarnings('ignore')
```

#### Read hdf5 file into Python

```
f = h5py.File('file.h5','r') reads in an h5 file to the variable f.
```

TIP: We will be using a number of built-in and user-defined functions and methods throughout these tutorials. If you are uncertain what a certain function does, or how to call it, you can type ? at the end of the function or method and run the cell (Cell > Run Cells or Shift Enter), which will pop up a window at the bottom of the notebook displaying the docstrings, which includes information about the function and usage. We encourage you to use this tool throughout the tutorial as you come across funcitons you are unfamiliar with. Let's try this out with h5py.File:

```
In [4]: h5py.File?
```

Now that we have an idea of how to use h5py to read in an h5 file, let's try it out. Note that if the h5 file is stored in a different directory than where you are running your notebook, you need to include the path (either relative or absolute) to the directory where that data file is stored. We can use os.path.join to create the full path of the file. You will need to modify the data\_path variable to point to the directory where you are storing your h5 data.

```
In [5]: data_path = r'C:\Users\bhass\Documents\GitHub\NEON_RSDI\RSDI_2018\data';
    f = h5py.File(os.path.join(data_path,'NEON_D02_SERC_DP3_368000_4306000_reflect
    ance.h5'),'r')
    #f = h5py.File('../data/NEON_D02_SERC_DP3_368000_4306000_reflectance.h5','r')
```

#### **Explore NEON AOP HDF5 Reflectance Files**

We can look inside the HDF5 dataset with the h5py visititems function. The list\_dataset function defined below displays all datasets stored in the hdf5 file and their locations within the hdf5 file:

```
In [6]: #List dataset Lists the names of datasets in an hdf5 file
        def list dataset(name, node):
            if isinstance(node, h5py.Dataset):
                print(name)
        f.visititems(list dataset)
        SERC/Reflectance/Metadata/Ancillary Imagery/Aerosol Optical Depth
        SERC/Reflectance/Metadata/Ancillary_Imagery/Aspect
        SERC/Reflectance/Metadata/Ancillary_Imagery/Cast_Shadow
        SERC/Reflectance/Metadata/Ancillary_Imagery/Dark_Dense_Vegetation_Classificat
        SERC/Reflectance/Metadata/Ancillary Imagery/Data Selection Index
        SERC/Reflectance/Metadata/Ancillary Imagery/Haze Cloud Water Map
        SERC/Reflectance/Metadata/Ancillary_Imagery/Illumination_Factor
        SERC/Reflectance/Metadata/Ancillary Imagery/Path Length
        SERC/Reflectance/Metadata/Ancillary Imagery/Sky View Factor
        SERC/Reflectance/Metadata/Ancillary Imagery/Slope
        SERC/Reflectance/Metadata/Ancillary_Imagery/Smooth_Surface_Elevation
        SERC/Reflectance/Metadata/Ancillary_Imagery/Visibility_Index_Map
        SERC/Reflectance/Metadata/Ancillary_Imagery/Water_Vapor_Column
        SERC/Reflectance/Metadata/Ancillary_Imagery/Weather_Quality_Indicator
        SERC/Reflectance/Metadata/Coordinate System/Coordinate System String
        SERC/Reflectance/Metadata/Coordinate System/EPSG Code
        SERC/Reflectance/Metadata/Coordinate_System/Map_Info
        SERC/Reflectance/Metadata/Coordinate System/Proj4
        SERC/Reflectance/Metadata/Logs/160154/ATCOR Input file
        SERC/Reflectance/Metadata/Logs/160154/ATCOR_Processing_Log
        SERC/Reflectance/Metadata/Logs/160154/Shadow Processing Log
        SERC/Reflectance/Metadata/Logs/160154/Skyview Processing Log
        SERC/Reflectance/Metadata/Logs/160154/Solar_Azimuth_Angle
        SERC/Reflectance/Metadata/Logs/160154/Solar Zenith Angle
        SERC/Reflectance/Metadata/Logs/160742/ATCOR_Input_file
        SERC/Reflectance/Metadata/Logs/160742/ATCOR Processing Log
        SERC/Reflectance/Metadata/Logs/160742/Shadow Processing Log
        SERC/Reflectance/Metadata/Logs/160742/Skyview Processing Log
        SERC/Reflectance/Metadata/Logs/160742/Solar_Azimuth_Angle
        SERC/Reflectance/Metadata/Logs/160742/Solar Zenith Angle
        SERC/Reflectance/Metadata/Logs/161252/ATCOR Input file
        SERC/Reflectance/Metadata/Logs/161252/ATCOR_Processing_Log
        SERC/Reflectance/Metadata/Logs/161252/Shadow Processing Log
        SERC/Reflectance/Metadata/Logs/161252/Skyview Processing Log
        SERC/Reflectance/Metadata/Logs/161252/Solar_Azimuth_Angle
        SERC/Reflectance/Metadata/Logs/161252/Solar_Zenith_Angle
        SERC/Reflectance/Metadata/Spectral Data/FWHM
        SERC/Reflectance/Metadata/Spectral Data/Wavelength
        SERC/Reflectance/Metadata/to-sensor_azimuth_angle
        SERC/Reflectance/Metadata/to-sensor zenith angle
```

SERC/Reflectance/Reflectance\_Data

You can see that there is a lot of information stored inside this reflectance hdf5 file. Most of this information is *metadata* (data about the reflectance data), for example, this file stores input parameters used in the atmospheric correction. For this introductory lesson, we will only work with two of these datasets, the reflectance data (hyperspectral cube), and the corresponding geospatial information, stored in Metadata/Coordinate\_System:

- SERC/Reflectance/Reflectance\_Data
- SERC/Reflectance/Metadata/Coordinate\_System/

We can also display the name, shape, and type of each of these datasets using the ls\_dataset function defined below, which is also called with the visitiems method:

```
In [7]: #ls_dataset displays the name, shape, and type of datasets in hdf5 file
def ls_dataset(name,node):
    if isinstance(node, h5py.Dataset):
        print(node)
```

```
In [8]: #to see what the visititems methods does, type ? at the end:
f.visititems?
```

```
In [9]: f.visititems(ls dataset)
        <HDF5 dataset "Aerosol Optical Depth": shape (1000, 1000), type "<i2">
        <HDF5 dataset "Aspect": shape (1000, 1000), type "<f4">
        <HDF5 dataset "Cast Shadow": shape (1000, 1000), type "|u1">
        <HDF5 dataset "Dark Dense Vegetation Classification": shape (1000, 1000), typ</p>
        e "|u1">
        <HDF5 dataset "Data_Selection_Index": shape (1000, 1000), type "<i4">
        <HDF5 dataset "Haze_Cloud_Water_Map": shape (1000, 1000), type "|u1">
        <HDF5 dataset "Illumination_Factor": shape (1000, 1000), type "|u1">
        <HDF5 dataset "Path_Length": shape (1000, 1000), type "<f4">
        <HDF5 dataset "Sky View Factor": shape (1000, 1000), type "|u1">
        <HDF5 dataset "Slope": shape (1000, 1000), type "<f4">
        <HDF5 dataset "Smooth Surface Elevation": shape (1000, 1000), type "<f4">
        <HDF5 dataset "Visibility_Index_Map": shape (1000, 1000), type "|u1">
        <HDF5 dataset "Water_Vapor_Column": shape (1000, 1000), type "<f4">
        <HDF5 dataset "Weather Quality Indicator": shape (1000, 1000, 3), type "|u1">
        <HDF5 dataset "Coordinate_System_String": shape (), type "|0">
        <HDF5 dataset "EPSG Code": shape (), type "|0">
        <HDF5 dataset "Map_Info": shape (), type "|0">
        <HDF5 dataset "Proj4": shape (), type "|0">
        <HDF5 dataset "ATCOR_Input_file": shape (), type "|0">
        <HDF5 dataset "ATCOR_Processing_Log": shape (), type "|0">
        <HDF5 dataset "Shadow Processing Log": shape (), type "|0">
        <HDF5 dataset "Skyview Processing Log": shape (), type "|0">
        <HDF5 dataset "Solar_Azimuth_Angle": shape (), type "<f4">
        <HDF5 dataset "Solar_Zenith_Angle": shape (), type "<f4">
        <HDF5 dataset "ATCOR_Input_file": shape (), type "|0">
        <HDF5 dataset "ATCOR Processing Log": shape (), type "|0">
        <HDF5 dataset "Shadow_Processing_Log": shape (), type "|0">
        <HDF5 dataset "Skyview_Processing_Log": shape (), type "|0">
        <HDF5 dataset "Solar_Azimuth_Angle": shape (), type "<f4">
        <HDF5 dataset "Solar_Zenith_Angle": shape (), type "<f4">
        <HDF5 dataset "ATCOR_Input_file": shape (), type "|0">
        <HDF5 dataset "ATCOR_Processing_Log": shape (), type "|0">
        <HDF5 dataset "Shadow Processing Log": shape (), type "|0">
        <HDF5 dataset "Skyview_Processing_Log": shape (), type "|0">
        <HDF5 dataset "Solar Azimuth Angle": shape (), type "<f4">
        <HDF5 dataset "Solar_Zenith_Angle": shape (), type "<f4">
        <HDF5 dataset "FWHM": shape (426,), type "<f4">
        <HDF5 dataset "Wavelength": shape (426,), type "<f4">
        <HDF5 dataset "to-sensor_azimuth_angle": shape (1000, 1000), type "<f4">
        <HDF5 dataset "to-sensor_zenith_angle": shape (1000, 1000), type "<f4">
        <HDF5 dataset "Reflectance Data": shape (1000, 1000, 426), type "<i2">
```

Now that we can see the structure of the hdf5 file, let's take a look at some of the information that is stored inside. Let's start by extracting the reflectance data, which is nested under SERC/Reflectance/Reflectance Data:

```
In [10]: serc_ref1 = f['SERC']['Reflectance']
print(serc_ref1)

<HDF5 group "/SERC/Reflectance" (2 members)>
```

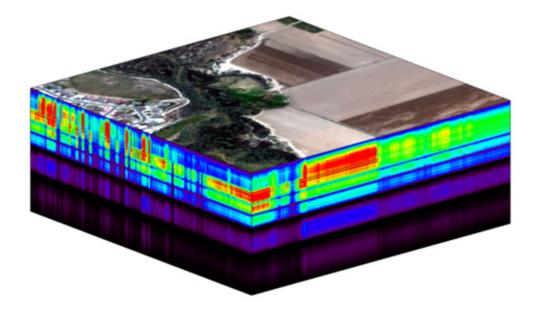
The two members of the HDF5 group /SERC/Reflectance are Metadata and Reflectance\_Data. Let's save the reflectance data as the variable serc\_reflArray:

```
In [11]: serc_reflArray = serc_refl['Reflectance_Data']
    print(serc_reflArray)

<HDF5 dataset "Reflectance_Data": shape (1000, 1000, 426), type "<i2">
```

We can extract the size of this reflectance array that we extracted using the shape method:

This 3-D shape (1000,1000,426) corresponds to (y,x,bands), where (x,y) are the dimensions of the reflectance array in pixels. Hyperspectral data sets are often called "cubes" to reflect this 3-dimensional shape.



NEON hyperspectral data contain around 426 spectral bands, and when working with tiled data, the spatial dimensions are 1000 x 1000, where each pixel represents 1 meter. Now let's take a look at the wavelength values. First, we will extract wavelength information from the serc ref1 variable that we created:

```
In [13]: #define the wavelengths variable
    wavelengths = serc_refl['Metadata']['Spectral_Data']['Wavelength']

#View wavelength information and values
    print('wavelengths:',wavelengths)

wavelengths: <HDF5 dataset "Wavelength": shape (426,), type "<f4">
```

We can then use numpy (imported as np) to see the minimum and maximum wavelength values:

```
In [14]: # Display min & max wavelengths
print('min wavelength:', np.amin(wavelengths),'nm')
print('max wavelength:', np.amax(wavelengths),'nm')
min wavelength: 383.534 nm
```

Finally, we can determine the band widths (distance between center bands of two adjacent bands). Let's try this for the first two bands and the last two bands. Remember that Python uses 0-based indexing ([0] represents the first value in an array), and note that you can also use negative numbers to splice values from the end of an array ([-1] represents the last value in an array).

max wavelength: 2511.89 nm

```
In [15]: #show the band widths between the first 2 bands and Last 2 bands
print('band width between first 2 bands =',(wavelengths.value[1]-wavelengths.v
alue[0]),'nm')
print('band width between last 2 bands =',(wavelengths.value[-1]-wavelengths.v
alue[-2]),'nm')
band width between first 2 bands = 5.0079 nm
band width between last 2 bands = 5.00781 nm
```

The center wavelengths recorded in this hyperspectral cube range from 383.66 - 2511.94 nm, and each band covers a range of ~5 nm. Now let's extract spatial information, which is stored under SERC/Reflectance/Metadata/Coordinate\_System/Map\_Info:

```
In [16]: serc_mapInfo = serc_refl['Metadata']['Coordinate_System']['Map_Info']
    print('SERC Map Info:',serc_mapInfo.value)

SERC Map Info: b'UTM, 1.000, 1.000, 368000.00, 4307000.0,
    1.0000000, 1.0000000, 18, North, WGS-84, units=Meters, 0'
```

Here we can spatial information about the reflectance data. Below is a break down of what each of these values means:

- UTM coordinate system (Universal Transverse Mercator)
- 1.000, 1.000 -
- 368000.000, 4307000.0 UTM coordinates (meters) of the map origin, which refers to the upper-left corner of the image (xMin, yMax).
- 1.0000000, 1.0000000 pixel resolution (meters)
- 18 UTM zone
- N UTM hemisphere (North for all NEON sites)
- WGS-84 reference ellipoid

**Note**: The letter b that appears before UTM signifies that the variable-length string data is stored in binary format when it is written to the hdf5 file. Don't worry about it for now, as we will convert the numerical data we need into floating point numbers. For more information on hdf5 strings, you can refer to: <a href="http://docs.h5py.org/en/latest/strings.html">http://docs.h5py.org/en/latest/strings.html</a>)

Let's extract relevant information from the Map\_Info metadata to define the spatial extent of this dataset. To do this, we can use the split method to break up this string into separate values:

```
In [17]: #First convert mapInfo to a string
    mapInfo_string = str(serc_mapInfo.value) #convert to string

#see what the split method does
mapInfo_string.split?

In [18]: #split the strings using the separator ","
mapInfo_split = mapInfo_string.split(",")
print(mapInfo_split)

["b'UTM", ' 1.000', ' 1.000', ' 368000.00', ' 4307000.0', '
    1.0000000', ' 1.0000000', ' 18', ' North', ' WGS-84', ' units=Me
ters', " 0'"]
```

Now we can extract the spatial information we need from the map info values, convert them to the appropriate data type (float) and store it in a way that will enable us to access and apply it later when we want to plot the data:

```
In [19]: #Extract the resolution & convert to floating decimal number
    res = float(mapInfo_split[5]),float(mapInfo_split[6])
    print('Resolution:',res)

Resolution: (1.0, 1.0)

In [20]: #Extract the upper left-hand corner coordinates from mapInfo
    xMin = float(mapInfo_split[3])
    yMax = float(mapInfo_split[4])
```

```
In [21]: #Calculate the xMax and yMin values from the dimensions
         xMax = xMin + (refl shape[1]*res[0]) #xMax = left edge + (# of columns * x pix
         el resolution)
         yMin = yMax - (refl shape[0]*res[1]) #yMin = top edge - (# of rows * y pixel r
         esolution)
In [22]: #Define extent as a tuple:
         serc ext = (xMin, xMax, yMin, yMax)
         print('serc_ext:',serc_ext)
         print('serc ext type:',type(serc ext))
         #Define extent as dictionary (key-value pair):
         serc_extDict = {}
         serc_extDict['xMin'] = xMin
         serc extDict['xMax'] = xMax
         serc_extDict['yMin'] = yMin
         serc extDict['yMax'] = yMax
         print('serc extDict:',serc extDict)
         print('serc_extDict type:',type(serc_extDict))
         serc ext: (368000.0, 369000.0, 4306000.0, 4307000.0)
         serc ext type: <class 'tuple'>
         serc_extDict: {'xMax': 369000.0, 'yMax': 4307000.0, 'yMin': 4306000.0, 'xMi
         n': 368000.0}
         serc extDict type: <class 'dict'>
```

#### Extract a single band from the array

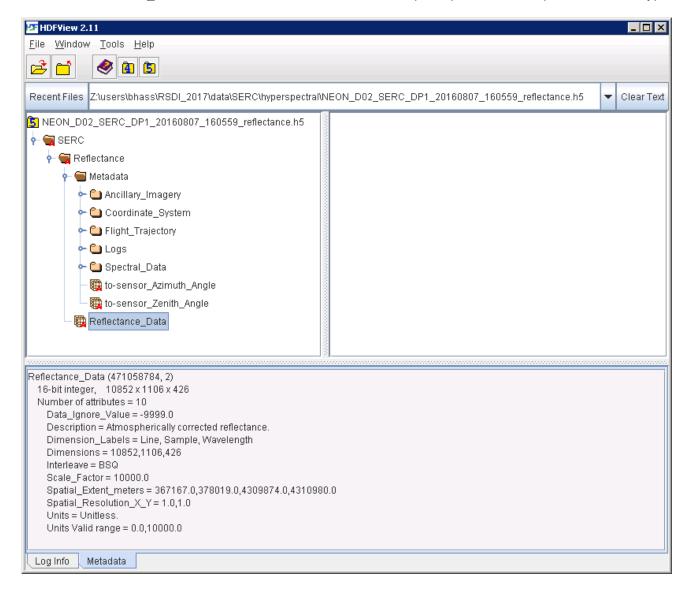
While it is useful to have all the data contained in a hyperspectral cube, it is difficult to visualize all this information at once. We can extract a single band (representing a ~5nm band, approximating a single wavelength) from the cube by using splicing as follows. Note that we have to cast the reflectance data into the type float.

```
In [23]:
         b56 = serc reflArray[:,:,55].astype(float)
         print('b56 type:',type(b56))
         print('b56 shape:',b56.shape)
         print('Band 56 Reflectance:\n',b56)
         b56 type: <class 'numpy.ndarray'>
         b56 shape: (1000, 1000)
         Band 56 Reflectance:
          [[ 254.
                     241.
                                                      330.]
                            250. ...,
                                        334.
                                               313.
                    260.
                                                     291.]
            253.
                           624. ...,
                                       281.
                                              311.
             262.
                    413. 1050. ...,
                                       295.
                                              349.
                                                     280.]
            281.
                    231.
                           292. ..., 1168.
                                              978.
                                                     916.
             240.
                    222.
                           189. ..., 1654.
                                             1728.
                                                    1694.]
             319.
                    329.
                           317. ..., 1176.
                                                    1582.]]
                                             1466.
```

Here we can see that we extracted a 2-D array (1000 x 1000) of the scaled reflectance data corresponding to the wavelength band 56. Before we can use the data, we need to clean it up a little. We'll show how to do this below.

### Clean reflectance data: apply the scale factor and data ignore value.

This array represents the scaled reflectance for band 56. Recall from exploring the HDF5 data in HDFViewer that NEON AOP reflectance data uses a Data\_Ignore\_Value of -9999 to represent missing data (often called NaN), and a reflectance Scale\_Factor of 10000.0 in order to save disk space (can use lower precision this way).



We can extract and apply the Data Ignore Value and Scale Factor as follows:

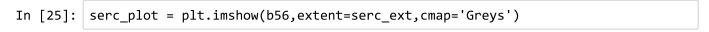
```
In [24]: #View and apply scale factor and data ignore value
    scaleFactor = serc_reflArray.attrs['Scale_Factor']
    noDataValue = serc_reflArray.attrs['Data_Ignore_Value']
    print('Scale Factor:',scaleFactor)
    print('Data Ignore Value:',noDataValue)

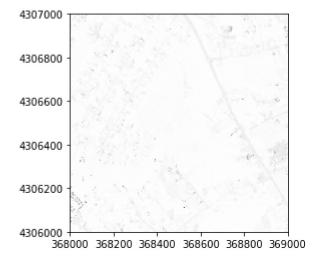
    b56[b56==int(noDataValue)]=np.nan
    b56 = b56/scaleFactor
    print('Cleaned Band 56 Reflectance:\n',b56)
```

```
Scale Factor: 10000.0
Data Ignore Value: -9999.0
Cleaned Band 56 Reflectance:
 [[ 0.0254  0.0241  0.025
                                0.0334 0.0313 0.033 ]
                                               0.0291]
 [ 0.0253 0.026
                  0.0624 ...,
                               0.0281 0.0311
 [ 0.0262 0.0413
                  0.105
                               0.0295
                                       0.0349
                                               0.028 ]
 [ 0.0281 0.0231 0.0292 ...,
                               0.1168 0.0978
                                               0.09161
          0.0222
                  0.0189 ...,
                                       0.1728
                                               0.1694]
 [ 0.024
                               0.1654
 [ 0.0319  0.0329
                  0.0317 ..., 0.1176 0.1466
                                               0.1582]
```

#### Plot single reflectance band

Now we can plot this band using the Python package matplotlib.pyplot, which we imported at the beginning of the lesson as plt. Note that the default colormap is jet unless otherwise specified. You can explore using different colormaps on your own; see <a href="https://matplotlib.org/examples/color/colormaps\_reference.html">https://matplotlib.org/examples/color/colormaps\_reference.html</a>) for other options.

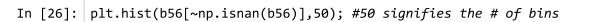


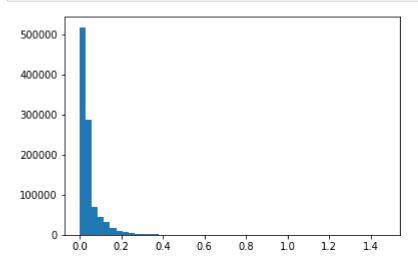


We can see that this image looks pretty washed out. To see why this is, it helps to look at the range and distribution of reflectance values that we are plotting. We can do this by making a histogram.

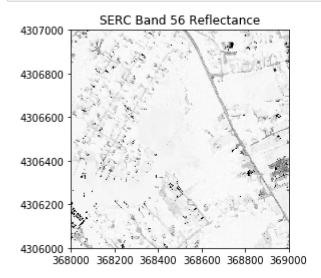
#### Plot histogram of reflectance data values

We can plot a histogram using the matplotlib.pyplot.hist function. Note that this function won't work if there are any NaN values, so we can ensure we are only plotting the real data values using the call below. You can also specify the # of bins you want to divide the data into.





We can see that most of the reflectance values are < 0.4. In order to show more contrast in the image, we can adjust the colorlimit (clim) to 0-0.4:

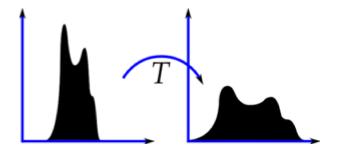


Here you can see that adjusting the colorlimit displays features (eg. roads, buildings) much better than when we set the colormap limits to the entire range of reflectance values.

## OPTIONAL: Basic Image Processing -- Contrast Stretch & Histogram Equalization

We can also try out some basic image processing to better visualize the reflectance data using the ski-image package.

Histogram equalization is a method in image processing of contrast adjustment using the image's histogram. Stretching the histogram can improve the contrast of a displayed image, as we will show how to do below.



#### http://opencv-python-

tutroals.readthedocs.io/en/latest/py\_tutorials/py\_imgproc/py\_histograms/py\_histogram\_equalization/py\_histogram\_(http://opencv-python-

tutroals.readthedocs.io/en/latest/py\_tutorials/py\_imgproc/py\_histograms/py\_histogram\_equalization/py\_histogram

These tutorials were adapted from the following skikit-image tutorial: <a href="http://scikit-image">http://scikit-image</a>

<u>image.org/docs/stable/auto\_examples/color\_exposure/plot\_equalize.html#sphx-glr-auto-examples-color-exposure-plot-equalize-py (http://scikit-</u>

<u>image.org/docs/stable/auto\_examples/color\_exposure/plot\_equalize.html#sphx-glr-auto-examples-color-exposure-plot-equalize-py)</u>

Below we demonstrate a widget to interactively display different linear contrast stretches:

4

#### **Explore the contrast stretch feature interactively using IPython widgets:**

```
In [28]:
         from skimage import exposure
         from IPython.html.widgets import *
         def linearStretch(percent):
             pLow, pHigh = np.percentile(b56[~np.isnan(b56)], (percent,100-percent))
             img_rescale = exposure.rescale_intensity(b56, in_range=(pLow,pHigh))
             plt.imshow(img rescale,extent=serc ext,cmap='gist earth')
             #cbar = plt.colorbar(); cbar.set label('Reflectance')
             plt.title('SERC Band 56 \n Linear ' + str(percent) + '% Contrast Stretch'
         );
             ax = plt.gca()
             ax.ticklabel_format(useOffset=False, style='plain') #do not use scientific
          notation #
             rotatexlabels = plt.setp(ax.get xticklabels(),rotation=90) #rotate x tick
          labels 90 degree
         interact(linearStretch, percent=(0,100,1))
```

Out[28]: <function \_\_main\_\_.linearStretch>