# Textual Syntax<sup>1</sup> Introduction

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 $<sup>^{1}</sup>$ Materials in these slides are borrowed from [1]

## Do we need concrete syntax?

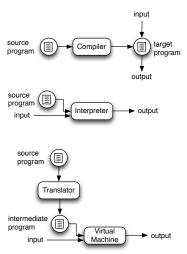
- A metamodel defines the abstract syntax for a language
- The concrete syntax is the "UI" for the language and is critical for DSL users
  - concise vs redundant
  - intuitive
  - simple to write and read
- Tool support matters
  - IDE integration
  - syntax highlighting
  - metamodel awareness

# Strengths of Textual DSLs

- Textual languages have specific strengths compared to graphical languages
  - ideally there should be the option to have both
- Compact and expressive syntax
  - productivity for experienced users
  - IDE support softens users' learning curve
- Configuration management/versioning and integration into the "usual" development process
  - splitting a model into several files
  - concurrent work on a model, especially with a version control system: diff, merge

## **Approaches**

- Compiler: program that reads a program in one (source) language and translates it into an equivalent program in a (target) language
- Interpreter: directly executes the operations specified in the source program on inputs supplied by the user
- Hybrid compiler: usually based on a virtual machine (e.g. Java)



## Outline of the lecture

- 1 Compiler theory notions
  - Context-free grammar
  - Derivations
  - ASTs
  - Languages
  - Grammar design
- 2 Xtext Grammars
  - EBNF vs Ecore (EMF)
  - Xtext
  - Bridging Xtext (grammars) and EMF (metamodels)

#### **Context-Free Grammars**

- Concrete syntax: program representation, including lexical details such as the placement of keywords and punctuation marks
- Concepts:
  - Syntactic category or object symbol <E> (aka non-terminal): represents a set of strings being defined
  - Terminal symbol:
    - Characters (e.g. '+' or '( ') or keywords (e.g. if or while)
    - Abstract symbols, such as 'id', 'string', 'number': placeholder for any string that can be defined as an 'id', 'string' or 'number' respectively
  - Production rule: H → B
    - H(ead): syntactic category that is (partially defined) by the rule
    - → means consists of
    - B(ody): string formed by zero or more terminals and non-terminals
- Start symbol: syntactic category that represents the language being defined
- A context-free grammar is a tuple (N,T,P,S) where:
  - N is a set of non-terminal symbols
  - T is a set of terminal symbols
  - P is a set of production rules
  - S is the start symbol or sentential symbol of the grammar

## Example

A grammar to represent lists of digits separated by plus or minus signs

```
list -> list + digit
list -> list - digit
list -> digit
digit -> 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9

Or

list -> list + digit | list - digit | digit
digit -> 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9
```

What are the terminals of the grammar?

```
+ - 0 1 2 3 4 5 6 7 8 9
```

Languages

## **Notational Conventions**

- Terminals
  - Lowercase letters early in the alphabet: a, b, c ...
  - Operator symbols: +, \*, ...
  - Punctuation symbols: parentheses, comma, ...
  - The digits: 0,1,...,9.
  - strings in between quotes such as 'id' or 'if'
- Nonterminals
  - Uppercase letters early in the alphabet: A, B, C
  - The letter S for the start symbol
  - Lowercase names such expr or stmt
- Uppercase letters late in the alphabet, such as X, Y, ... Z, represent grammar symbols, i.e. either nonterminals or terminals.
- Lowercase Greek letters, such as  $\alpha$ ,  $\beta$ ,  $\gamma$ , represent (possibly empty) strings of grammar symbols.
  - For example, a generic production can be written  $A \rightarrow \alpha$
- Lowercase letters late in the alphabet, chiefly u,v,... z, represent (possibly empty) strings of terminals.

# **Empty string and recursive rules**

Define a grammar that captures the list of parameters in a function call

```
call -> id ( optparams ) optparams -> params | \epsilon params -> params | param
```

- $\bullet$   $\epsilon$  stands for the empty string of symbols
- optparams can be replaced with the empty string
- Production rules can be recursive

```
stmt -> if ( expr ) stmt else stmt
```

Define a grammar for palindromes: 0, 1, 00, 11, 0110, 10101, 0101111010

## What do you want to produce?

#### Recursive inference

- Infer if a string is in the language of a given non-terminal.
- Parsing: the problem of taking a string of terminals and figuring out how to derive it from the start symbol of the grammar.

#### Derivation

- Expand the start symbol using one of its productions.
  - Usually the start symbol is defined by the first production rule
- Language generation: a grammar derives strings by beginning with the start symbol and by repeatedly replacing a nonterminal with the body of a production for that nonterminal.

## Derivations in a CFG

• Given a CFG G = (N, T, P, S), we use productions in G from head to body starting with the start symbol of the grammar.

Languages

- The language of the grammar is all the strings that we can generate in this way.
- One derivation step:
  - mathematically<sup>2</sup>: Let  $\alpha A\beta$  be a string of terminals and variables such that  $A \in \mathbb{N}$  and  $\alpha, \beta \in (\mathbb{N} \cup T)^*$ . Let  $A \to \gamma$  be a production of G. Then we say  $\alpha A\beta \Rightarrow \alpha \gamma \beta$ .
  - idea: one derivation step replaces any variable anywhere in the string by the body of one of its productions.
- The relationship  $\Rightarrow$  can be extended to represent zero, one, or many derivation steps, denoted  $\Rightarrow^*$ .
- Ex:  $P \Rightarrow_G^* 01110 = P \Rightarrow_G 0P0 \Rightarrow_G 01P10 \Rightarrow_G 01110$

<sup>&</sup>lt;sup>2</sup> \* corresponds to the Kleene star

CFGs

**ASTs** 

## Leftmost and Rightmost Derivations

- To reduce the number of choices we have in deriving a string using a CFG:
  - Leftmost derivation: at each step we replace the leftmost variable by one of its production bodies
  - Rightmost derivation: at each step we replace the rightmost variable by one of its production bodies
- Ex: given the grammar  $E \to E' + E|E' + E|$ leftmost derivation for the expression -(id + id):

 $E \Rightarrow -E \Rightarrow -(E) \Rightarrow -(E+E) \Rightarrow -(id+E) \Rightarrow -(id+id)$ . and its rightmost derivation:

 $E \Rightarrow -E \Rightarrow -(E) \Rightarrow -(E+E) \Rightarrow -(E+id) \Rightarrow -(id+id)$ .

- A sentential form  $\alpha$  of the grammar is a string of terminals and nonterminals or  $\epsilon$ .
- A sentence of G is a sentential form that has no nonterminals.

#### Parse trees

- Data structure of choice to represent a source program in a compiler.
- The parse trees for a grammar G = (N, T, P, S) are trees with the following conditions:
  - 1 Each interior node is labeled by a nonterminal in N.
  - **2** Each leaf is labeled by either a nonterminal, a terminal, or  $\epsilon$ . If the leaf is labeled  $\epsilon$ , then it must be the only child of its parent.
  - 3 If an interior node is labeled A, and its children are labeled

$$X_1, X_2, \ldots, X_k$$

respectively, from the left, then  $A \to X_1 X_2 \dots X_k$  is a production in P.

- The yield of a parse tree, which is a string formed by the leaves of the tree from left to right. Parse trees whose yield is always a sentence are of great importance.
- The root node of a parse tree is the start symbol of the grammar.
- The set of yields of parse trees of a grammar form the language of the grammar.

## **Abstract Syntax Trees**

- Parse trees are very detailed: every step in a derivation is a node.
  - interior nodes represent nonterminals
- A semantic analyzer removes intermediate productions to create an (abstract) syntax tree.
  - interior nodes represent programming constructs
- Transformation:
  - 1 Atomic operands are condensed to a single node labelled by that operand.
  - 2 Operators are moved from leaves to their parent node.
  - Interior nodes that remain labelled by a syntactic category have their label removed.

## **Abstract Syntax Trees**

Grammar:

```
number -> number digit
expr -> number | ( expr ) | expr '+' expr | expr '*' expr
```



• Expression: 3 \* (2+14)

## Languages from Grammars

A grammar is an inductive definition involving sets of strings. For each nonterminal N of a grammar we define a language L(N):

- Basis case: assume that for each N, L(N) is empty.
- Induction case:
  - Suppose the grammar has a production  $N \to X_1 X_2 \dots X_n$
  - For each  $X_i$  select a string  $s_i$  for  $X_i$  as follows:
    - If  $X_i$  is a terminal, then we may only use  $X_i$  as the string  $s_i$
    - If  $X_i$  is a nonterminal, then select any string  $s_i$  that is known to be in  $L(X_i)$ . When there are several  $X_i$ 's, we can pick a different string from  $L(X_i)$  for each occurrence.
- Then the concatenation  $s_1 s_2 \dots s_n$  of those selected strings is a string in the language L(N). If n = 0, then we put  $\epsilon$  in the language.

## Language for a Grammar

```
S -> while cond S | { L } | s; L -> L S | \epsilon
```

## Define the language generated by this grammar:

	S	L
round 1	s;	$\epsilon$
round 2	while cond s;	s;
	{}	
round 3	while cond while cond s;	while cond s;
	while cond {}	{}
	{ s; }	s; s;
		s; while cond s;
		s; {}

#### **EBNF**

- Problems with Backus-Naur Form:
  - It is too long.
  - We must use recursion to specify repeated occurrences
  - We must use separate alternatives for every option
- Extended BNF:
  - The standard metalanguage: Extended BNF
  - Terminal symbols of the language are quoted
  - = is the defining symbol
  - , denotes concatenation
  - [ and ] denote optional symbols
  - { and } denote repetition
  - ( and ) are used to group items.
    - In EBNF, we need to quote ( and ) literals as '(' ... ')'.
  - | denotes alternatives.
  - ; termination symbol

# **Grammar Design**

• BNF:

```
expr -> expr '+' expr | expr '-' expr | term
term -> term '*' factor | term '/' factor | factor
factor -> (expr) | id | number
```

EBNF:

```
expr = term , { ('+' | '-') , term } ;
term = factor , { ('*' | '/') , term } ;
factor = '(' , expr , ')' | id | number ;
```

**ASTs** 

expr

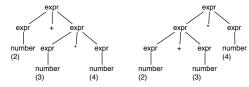
number

(4)

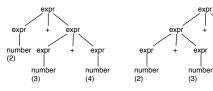
## **Ambiguous Grammars**

CFGs

- A grammar is ambiguous if there is more than one parse tree, whose root
  is labelled with the same symbol, for a valid sentence.
- Given the following production rules: expr → expr ' + 'expr | expr ' \* 'expr | id | number
  - How would you parse 2 + 3 \* 4?



• How would you parse 2 + 3 + 4 ?



## Ambiguous Grammars: conflict resolution

nonterminal to enforce precedence

Replace multiple occurrences of the same nonterminal with a different

- Choose a replacement that gives correct associativity: expr → expr '+' term
- Add new rules in order to achieve the correct precedence:

```
expr -> expr '+' term | term
term -> term '*' factor | factor
factor -> ( expr ) | id | number
```

# Writing a Grammar

- Avoid ambiguities
- 2 Avoid left recursion
  - A grammar is left recursive if it has a nonterminal A such that there is a derivation A ⇒ Aα for some string α

Languages

- Tools like Xtext do not admit left-recursive grammars
- Technique to eliminate left-recursion
  - Group productions as  $A := A\alpha_1 | \dots | A\alpha_n | \beta_1 | \dots | \beta_n$
  - Replace A-productions

$$A ::= \beta_1 A' | \dots | \beta_n A'$$

$$A' := \alpha_1 A' | \dots | \alpha_n A' | \epsilon$$

- 3 Apply left factoring
  - To remove ambiguities when deciding which rule to apply using a predictive parsing method
  - Ex:  $A := \alpha \beta_1 | \alpha \beta_2$ ; could be left factored to  $A := \alpha A'$ ;  $A' := \beta_1 | \beta_2$ ;

## What's next?

- EBNF vs Ecore
- Xtext
- Bridging Grammars and Metamodels

## References



R. Sethi A. V. Aho, M. S. Lam and J. D. Ullman. *Compilers: Principles, Techniques, and Tools.*Pearson Education, Inc., 2nd edition, 2007.