

# Increasing Cellular Capacity Using ISM Band Side-channels: A First Study

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# Before Smartphone Revolution



WiFi- Laptop



Cellular- phone

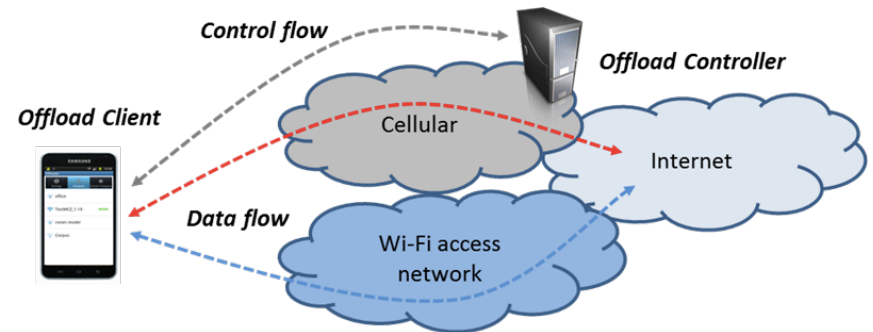
# Today's Smartphones



- Cellular band:
  - UMTS/HSPA+/DC-HSDPA (850, 900, 1700/2100, 1900, 2100 MHz);  
LTE (Bands 1, 2, 3, 4, 5, 8, 13, 17, 19, 20, 25) ...
- ISM band:
  - 802.11a/b/g/n Wi-Fi (802.11n 2.4GHz and 5GHz)
  - Bluetooth 4.0 ...

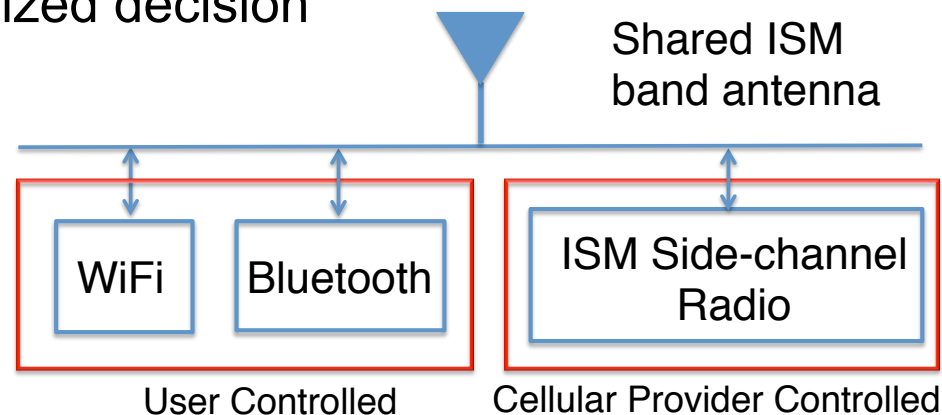
# Use of ISM Band on Smartphones

- Simultaneous use of ISM- and Cellular band
  - Assisted GPS
    - Location accuracy
  - Data Offloading
    - Cellular network congestion
  - Data Forwarding
    - Wireless tethering



# New Use of ISM Band: ISM Side-channel

- Create **side-channels** for interference management to increase the overall cellular network capacity
  - Side-channels are established between mobile clients
- Serve as an additional radio to access ISM bands when available and **controlled by cellular providers**
  - ISM bands are usually controlled by end-users
    - Local knowledge
    - Inefficient and unstructured
  - Make centralized decision

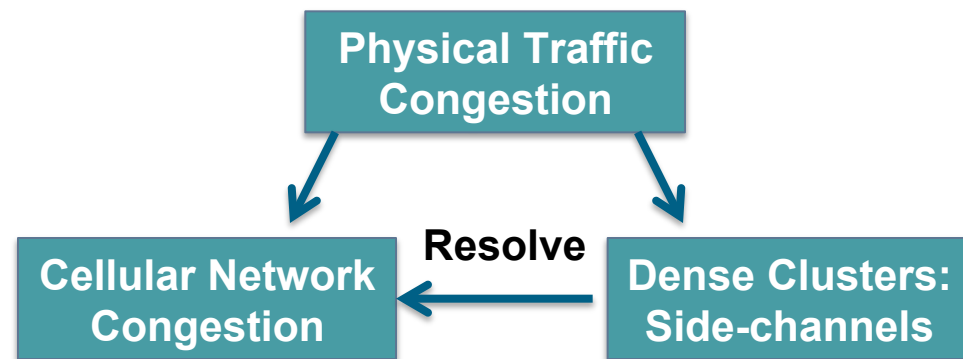


- How often can we establish ISM side-channels between smartphones?
- PART I: Availability of ISM side-channels in highways
- How can we benefit from ISM side-channels?
- PART II: Impact on cellular capacity of future wireless architecture (MU-MIMO and full-duplex network)

# Highway – WiFi Free Locations



- No WiFi infrastructure
  - Opportunity of using ISM band among users
- Rush hour: traffic congestion
  - Need to invoke complicated techniques to increase cellular capacity

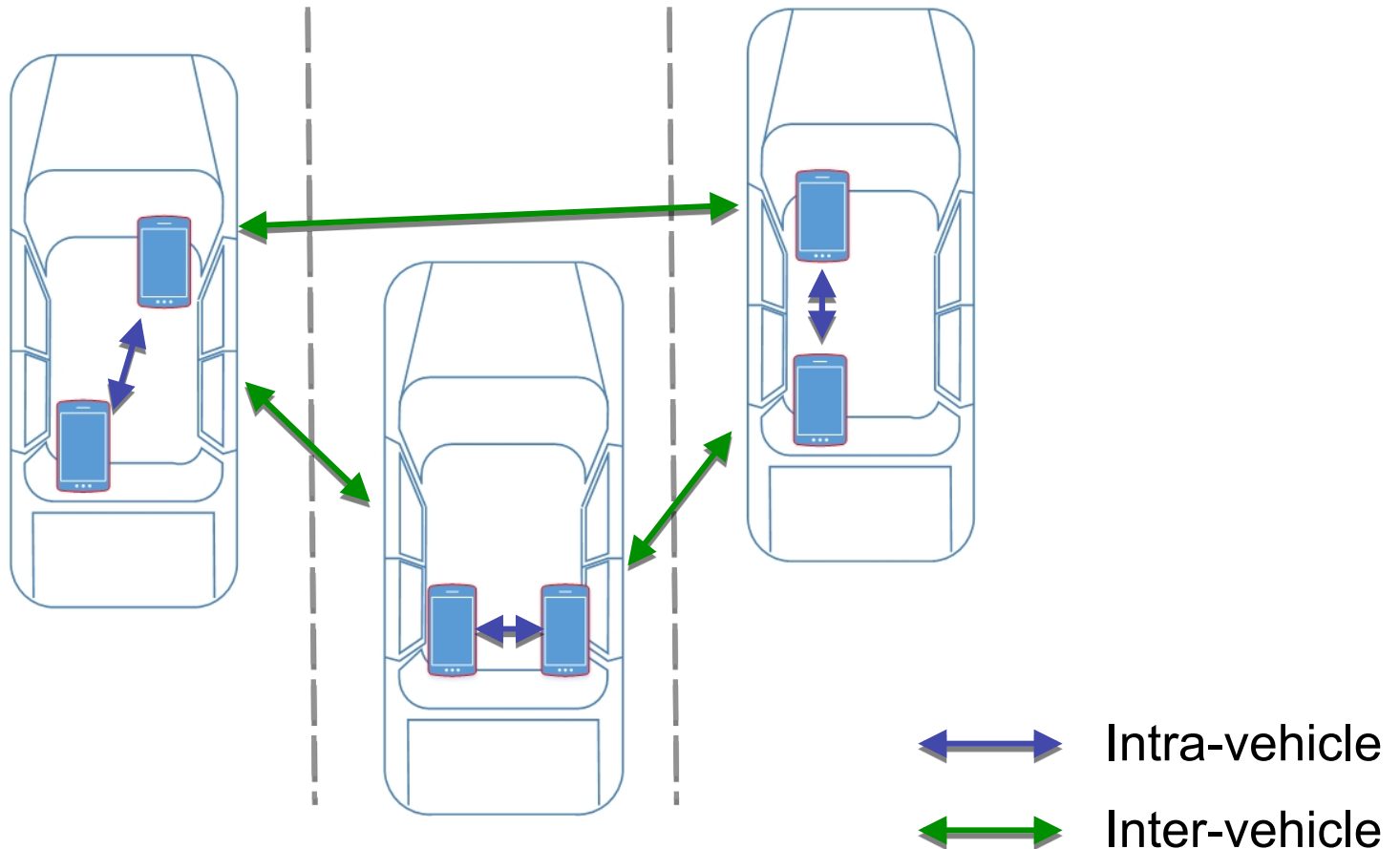


# PART I: Availability of ISM Side-channels in Highways

- Highway: Practically no WiFi coverage
- Methodology
  - Measure WiFi channel strength between smartphones
    - Our designed Android Application
- Range Test + Highway Traffic data = Estimate
  - Use WiFi frequency band as an example in ISM band

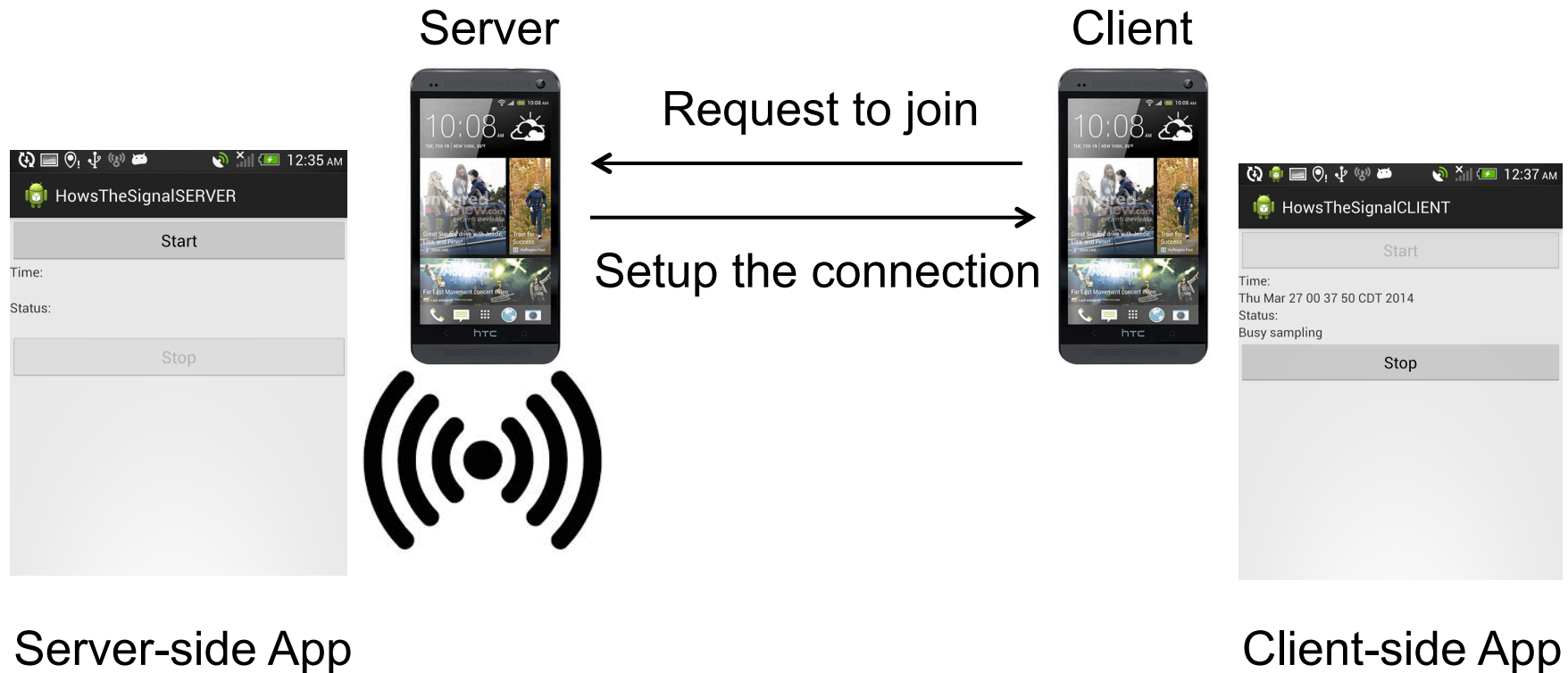


# Intra-vehicle and Inter-vehicle ISM Side-channels in Highway



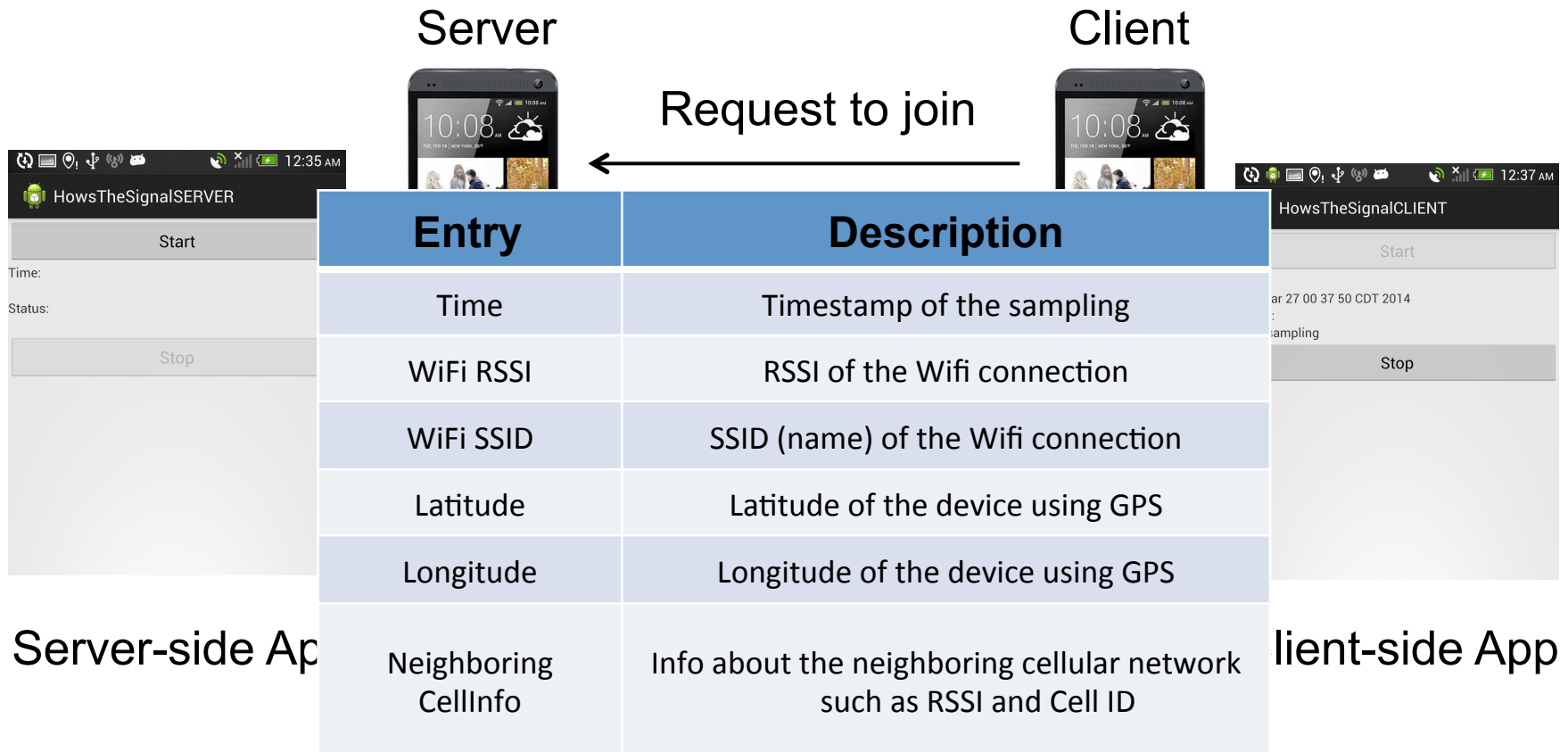
# Methodology

- Set up WiFi connection using WiFi Hotspot
  - Measure the WiFi channel strength using our designed Android apps



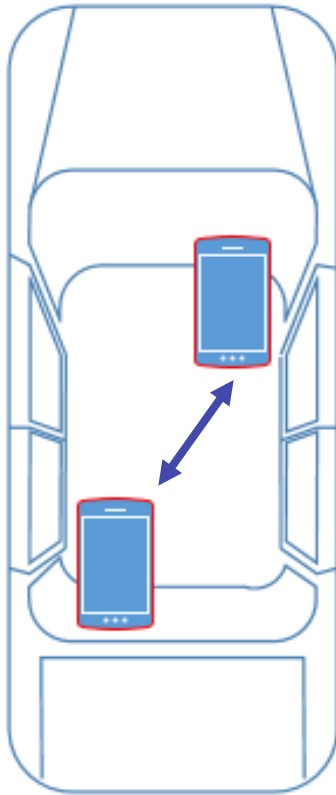
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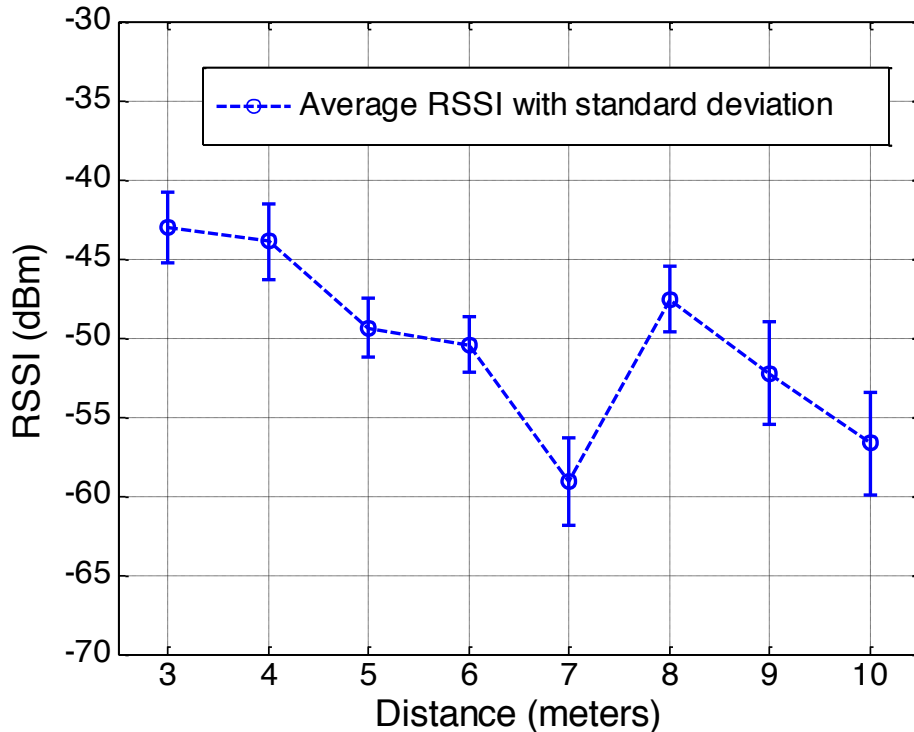
Server-side and Client-side Logs

# Intra-vehicle Environment



- For a compact car:
  - Average RSSI is -34.5 dBm, with a standard deviation of 5.5 dBm.

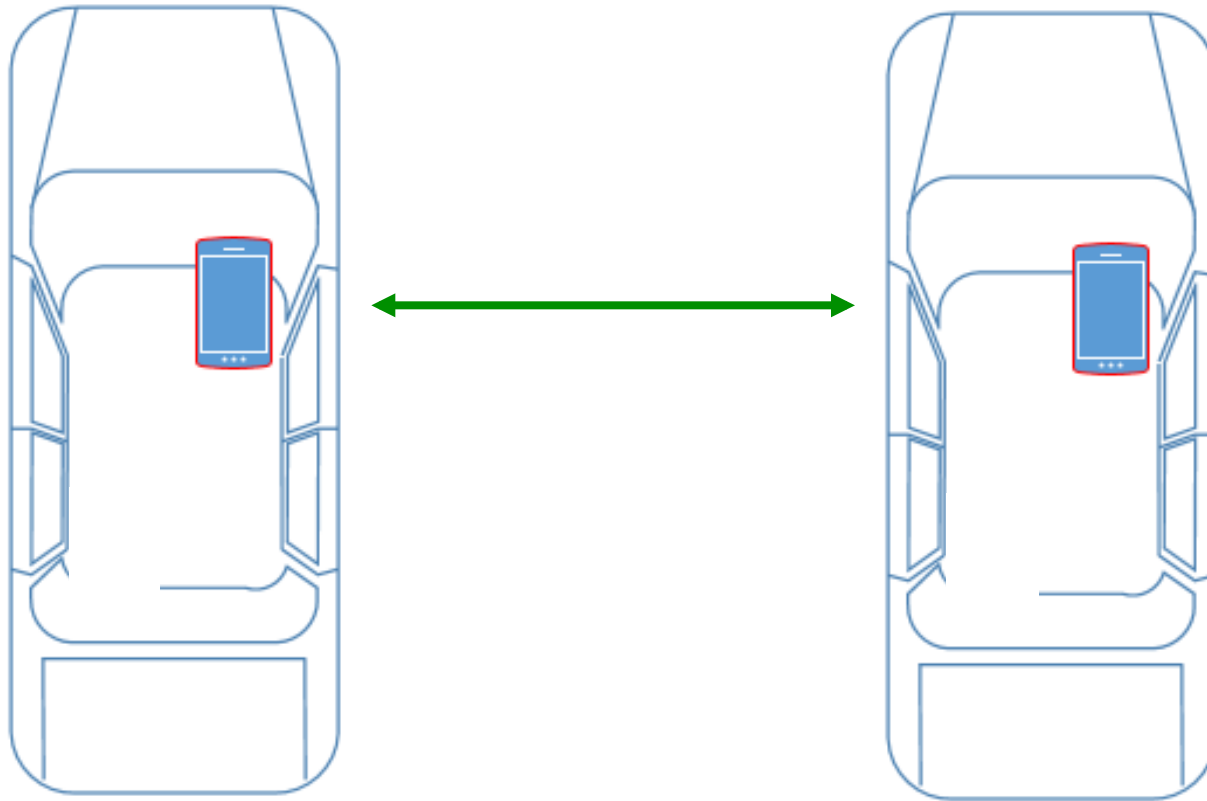
# Mimic Intra-vehicle Environment



- High-scattering indoor
  - Mimic vehicles of large size
  - SNR of 32 to 54 dB (assuming the WiFi noise floor is -95 dBm)

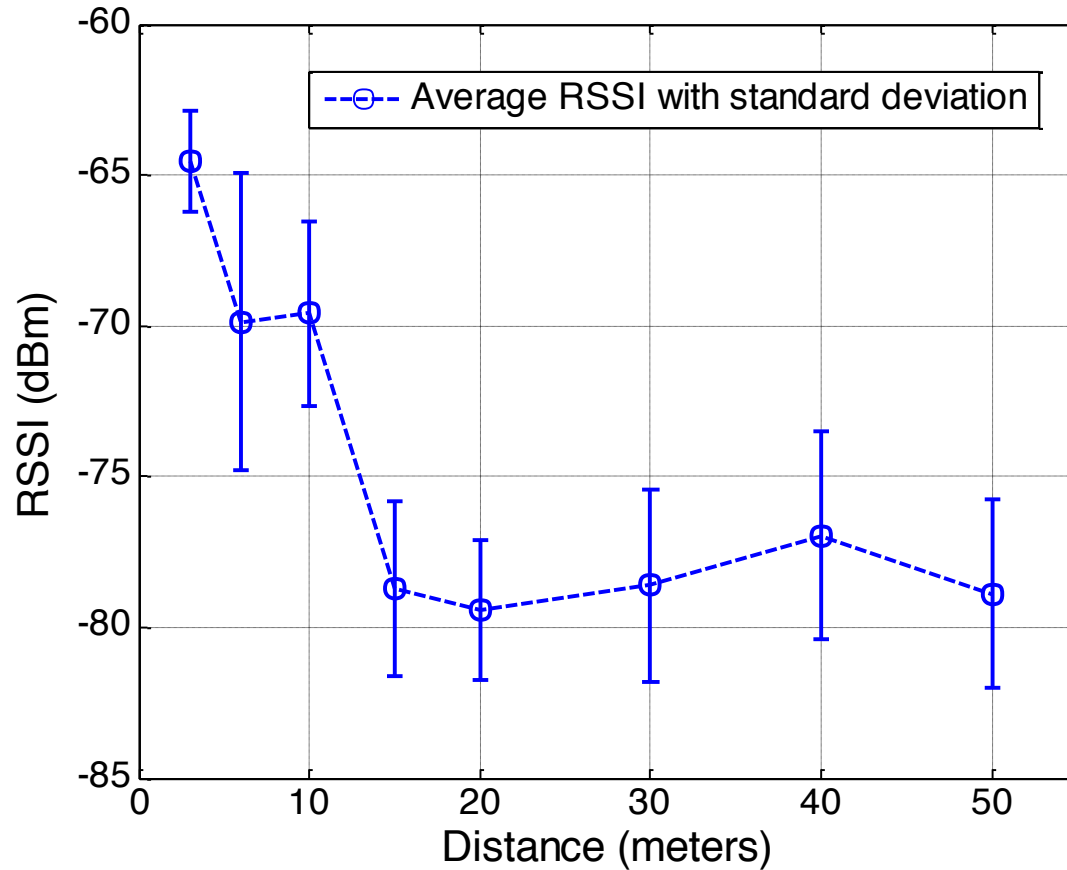
High-Scattering Indoor Environment

# Inter-vehicle Environment



- Place two vehicles at different distance separation
  - Measure inter-vehicle ISM side-channel

# Inter-vehicle Environment



Average SNR  $\geq 15$  dB

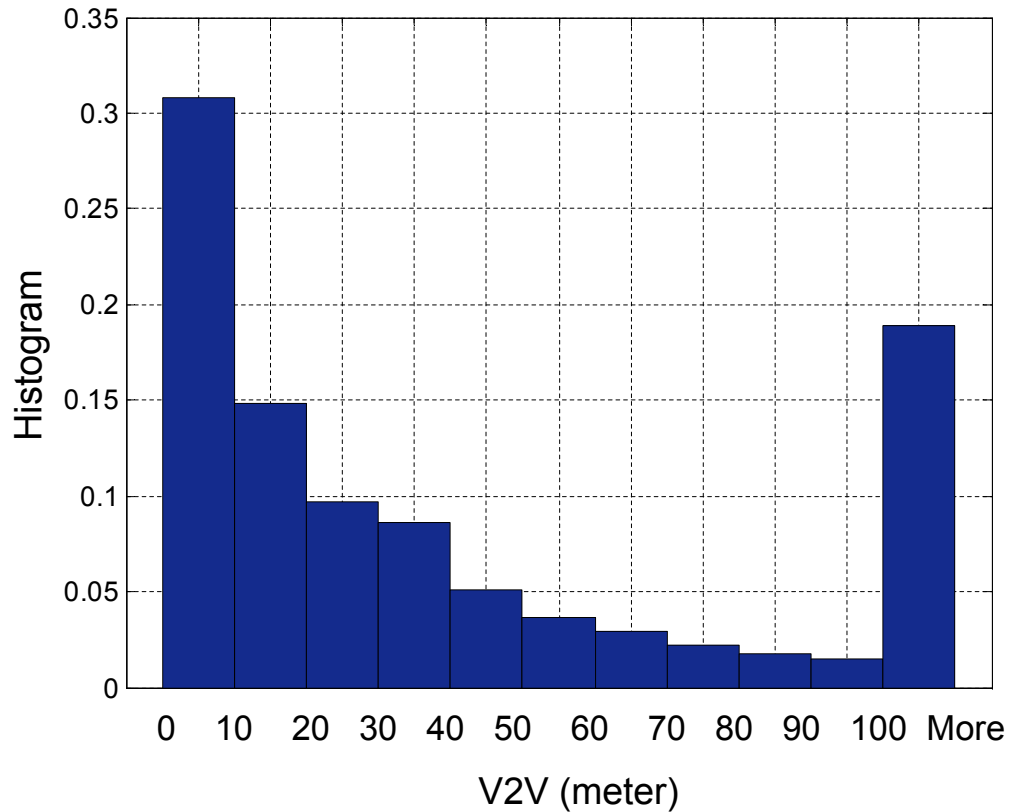
# Rush Hour Highway Traffic Data

- Rush hour traffic counts on California State
  - 900 California State Highways: interstate, CA Route, US Route
  - Calculate vehicle-to-vehicle range
  - Estimate of the smartphone-to-smartphone WiFi communication range

$$\text{V2V Range(meter)} = \frac{\text{Avg Rush Hour Speed(meters/hour)}}{\text{Rush Hour Traffic Counts(vehicles/hour)}}.$$



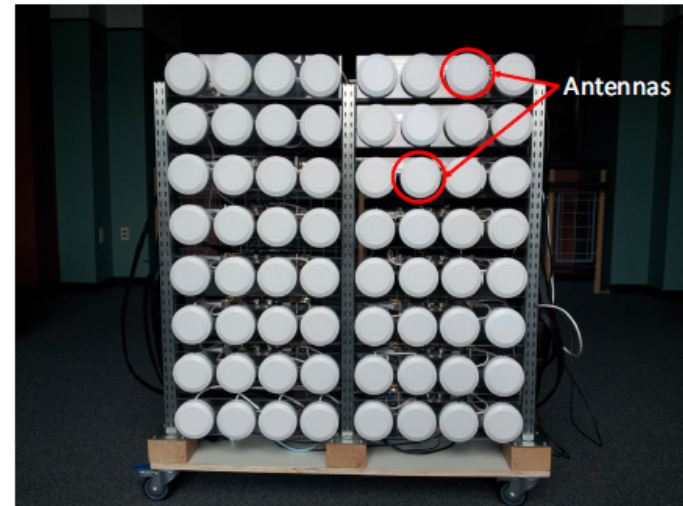
# V2V Range Histogram



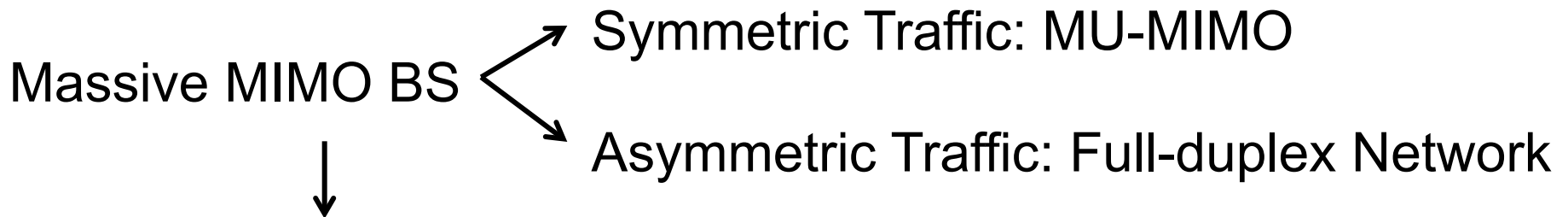
- 69% of time, there is at least one ISM side-channel within 50m
  - Given at least one smartphone per vehicle

# PART II: Impact on Cellular Capacity of Future Wireless Architecture

- Trend of Base Station
  - RF resources
  - Processing capability

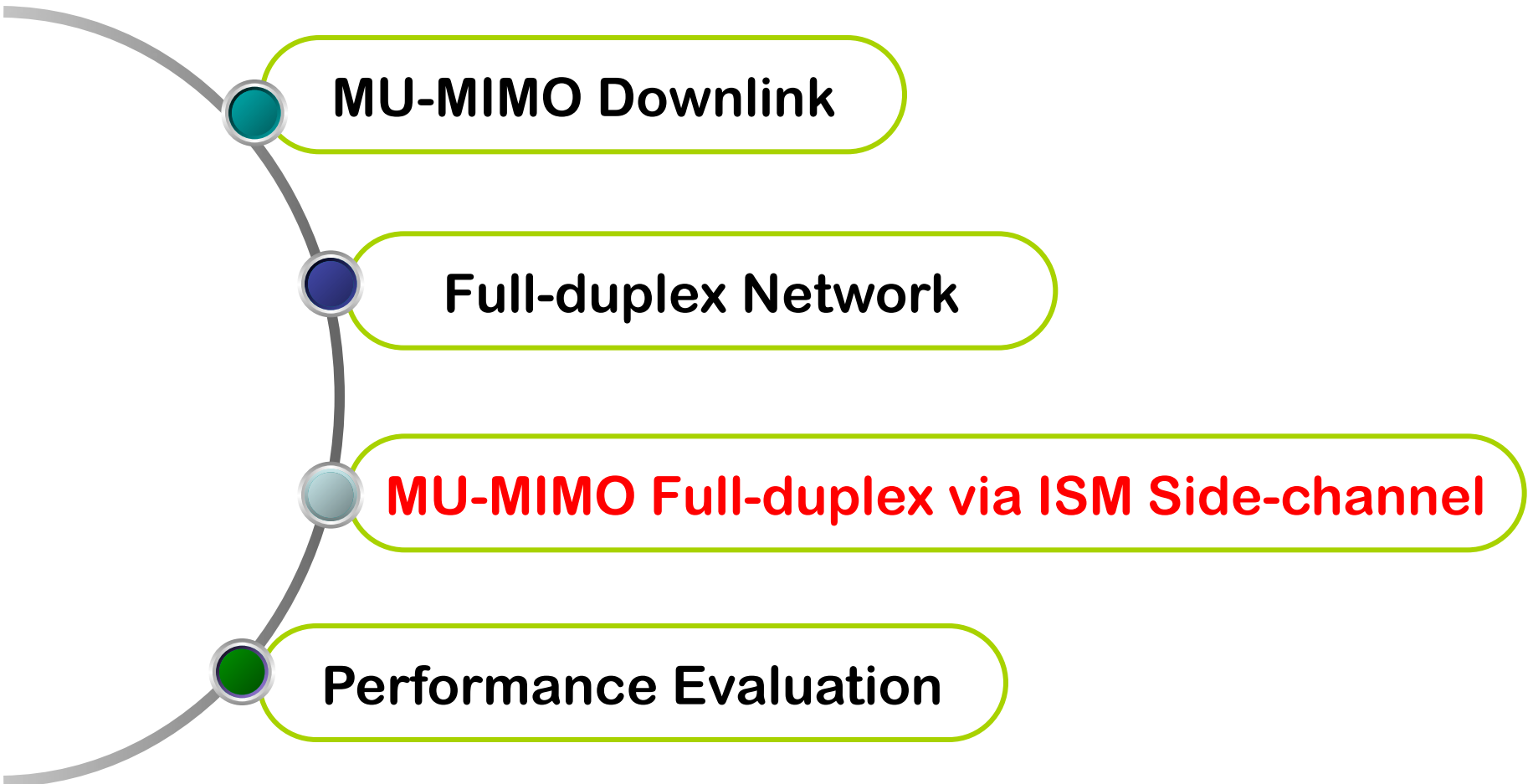


Rice Argos Platform

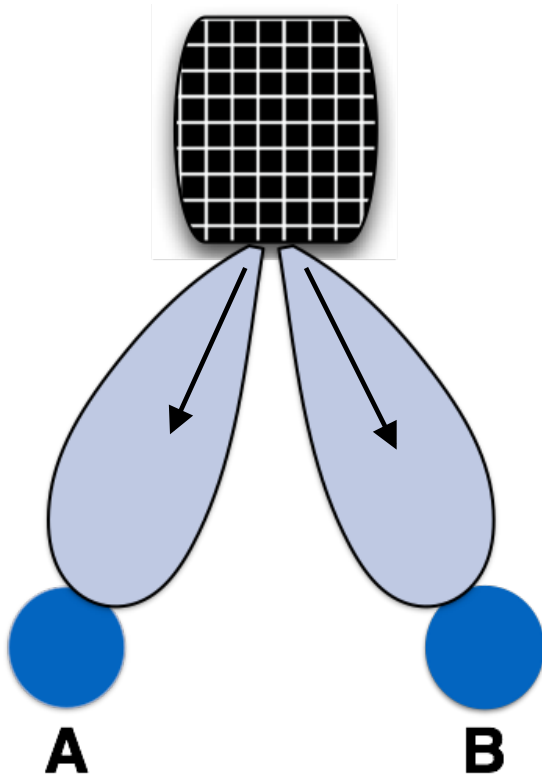


Support multiple flows in the same cell simultaneously

# PART II: Impact on Cellular Capacity of Future Wireless Architecture



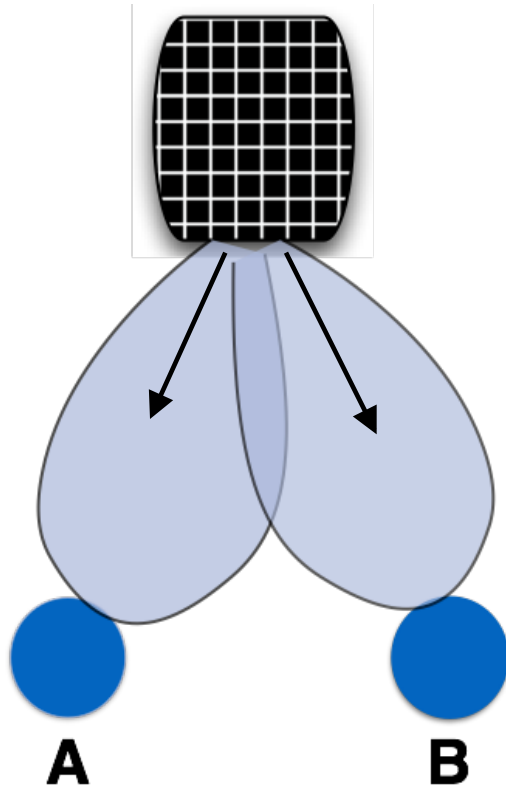
# MU-MIMO Downlink



- Massive MIMO BS
  - Zero-forcing beamforming (ZFBF)
    - Create orthogonal beam for each user
  - With perfect Channel State Info at the Transmitter (CSIT), ZFBF can completely null out interference

MU-MIMO ZFBF System with Perfect CSIT

# MU-MIMO Downlink



- In practice: CSIT is not perfect
  - Finite feedback bit  $\rightarrow$  quantize channel instantiation
- Imperfect CSIT  $\rightarrow$  **Inter-beam Interference**

MU-MIMO ZFBF System with Imperfect CSIT

# PART II: Impact on Cellular Capacity of Future Wireless Architecture



**MU-MIMO Downlink**

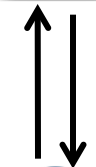
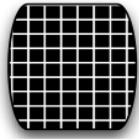
**Full-duplex Network**

**MU-MIMO Full-duplex via ISM Side-channel**

**Performance Evaluation**

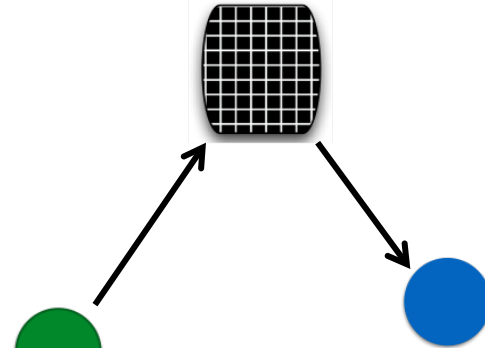
# Full-duplex Network

- Asymmetric traffic: full-duplex doubles spectral efficiency



**B**

Bi-directional Full-duplex



**C**

**B**

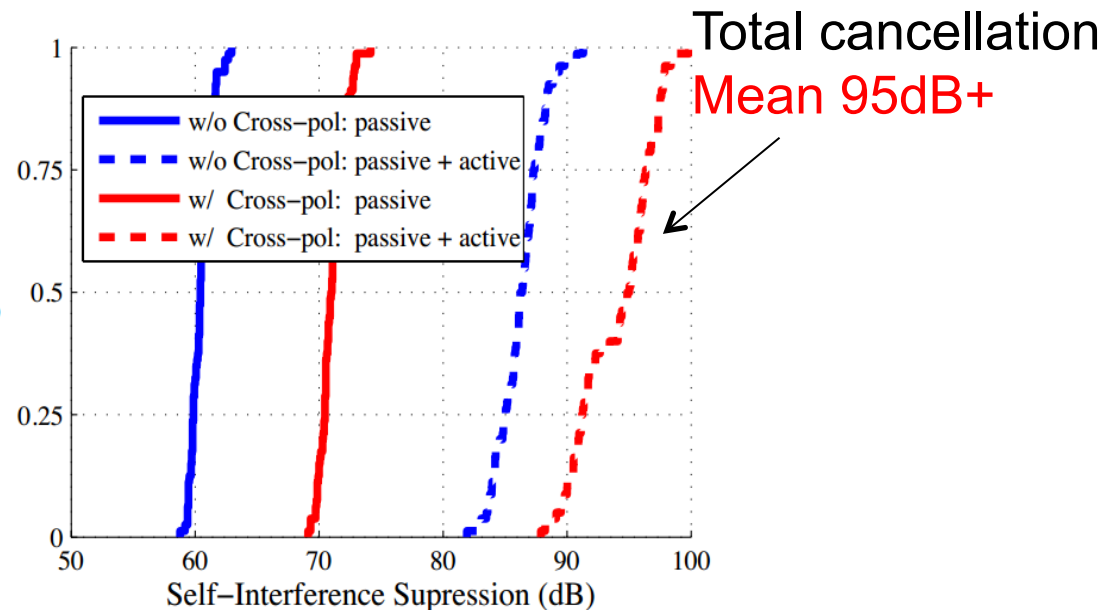
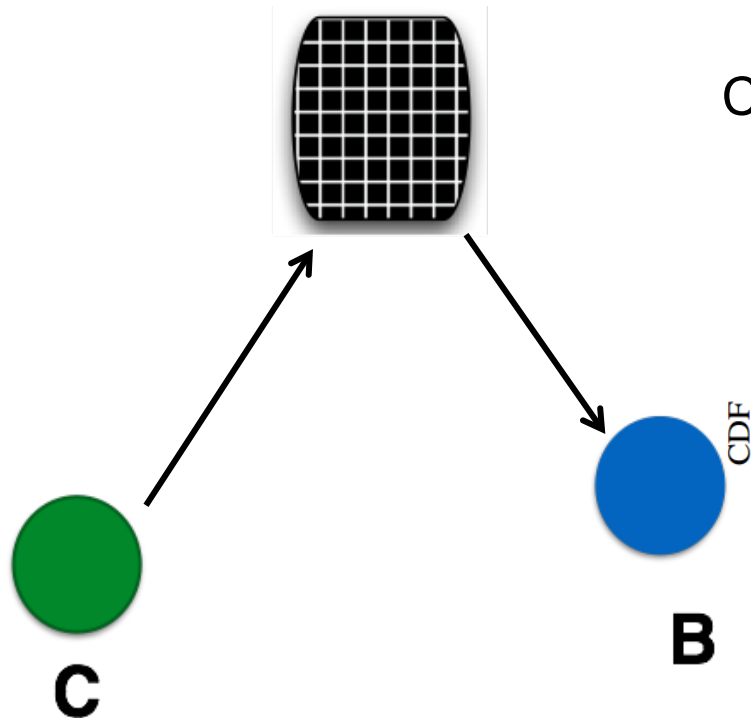
Full-duplex Network

- Massive MIMO BS
  - Use some of the antennas for transmission and others for reception to enable **full-duplex** operation.
  - Passive self-interference suppression
    - Polarization, directionality, absorption
  - Active self-interference cancellation

# Full-duplex Network

- Mobile handsets remain half-duplex

Cancel Self-Interference Close to Noise Floor

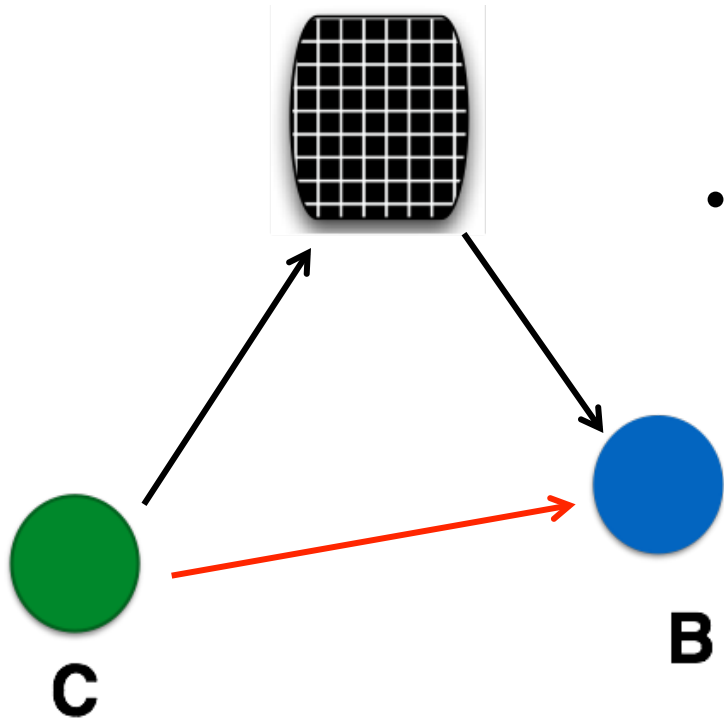


*Everett, Sahai, Sabharwal, Rice, 2013*

Full-duplex Network with Half-duplex Clients



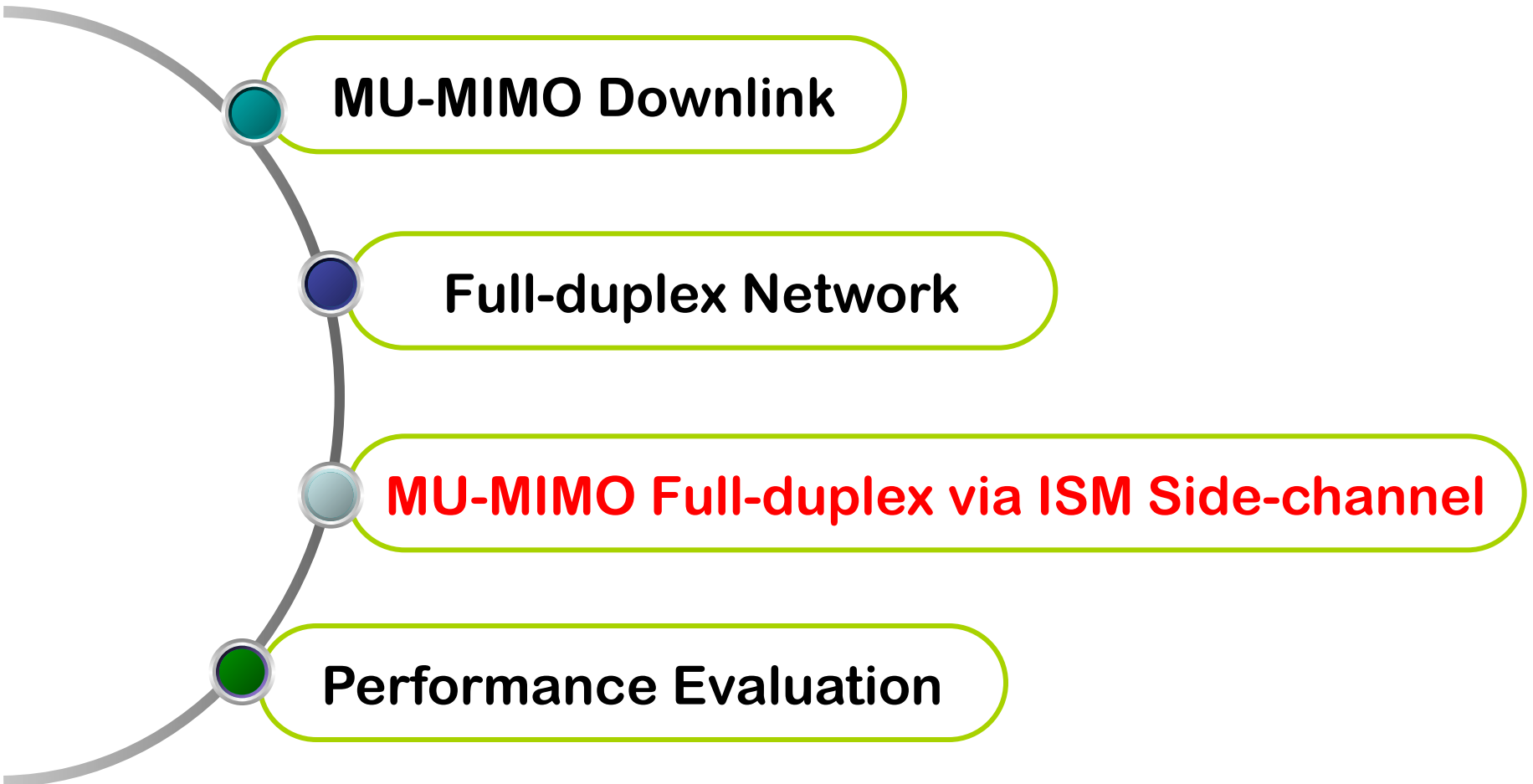
# Full-duplex Network



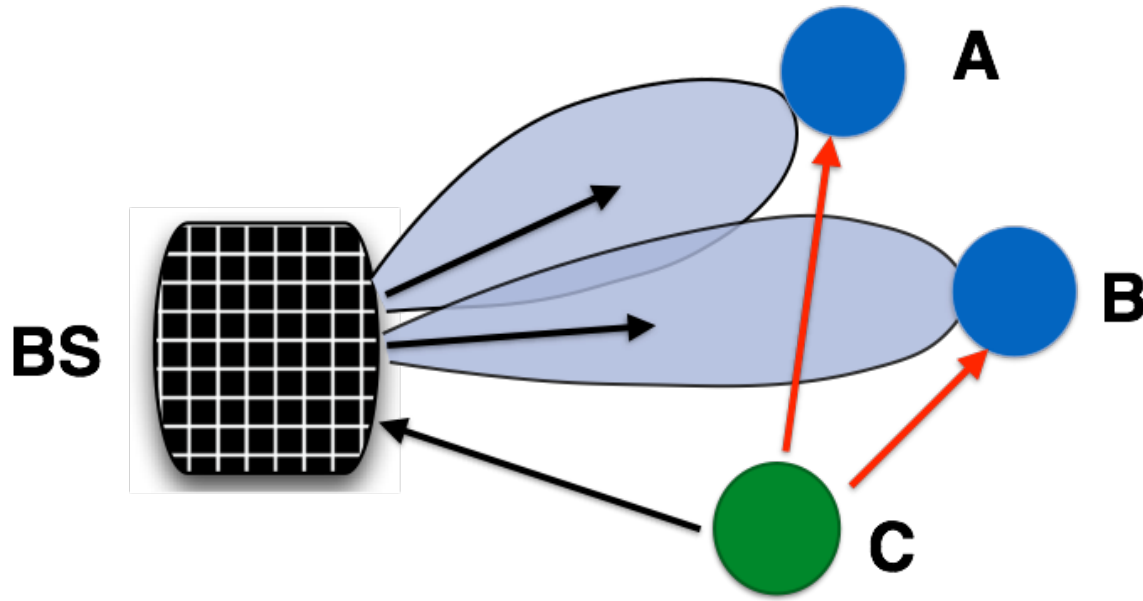
- Close distance between UL and DL users → **Uplink-downlink Interference**

Full-duplex Network with Half-duplex Clients

# PART II: Impact on Cellular Capacity of Future Wireless Architecture



# Massive MIMO: MU-MIMO Full-duplex



Crisis

MU-MIMO Downlink -- Inter-beam interference

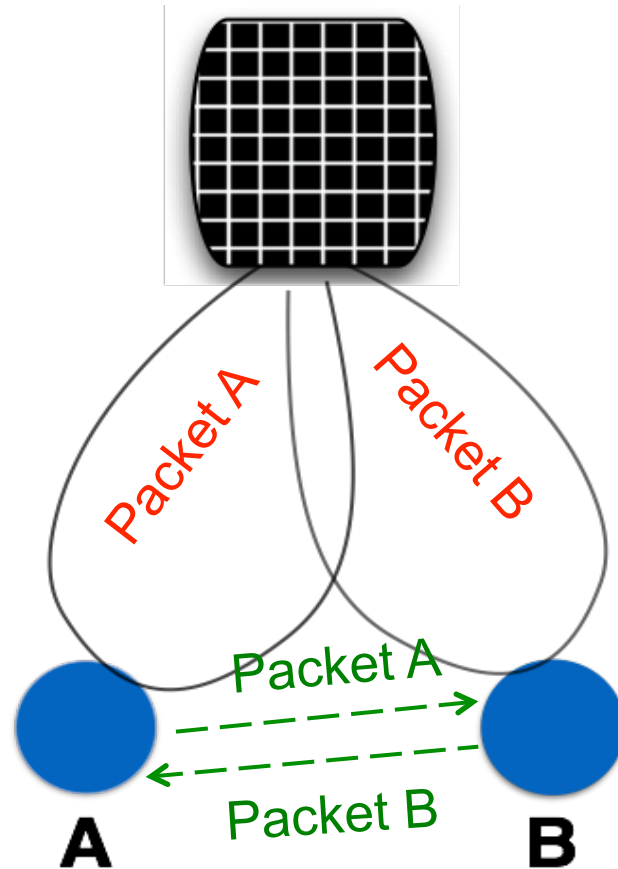
Full-duplex -- Uplink-downlink interference

**MU-MIMO Full-duplex: Intra-cell Interference**

# Improved Interference Management

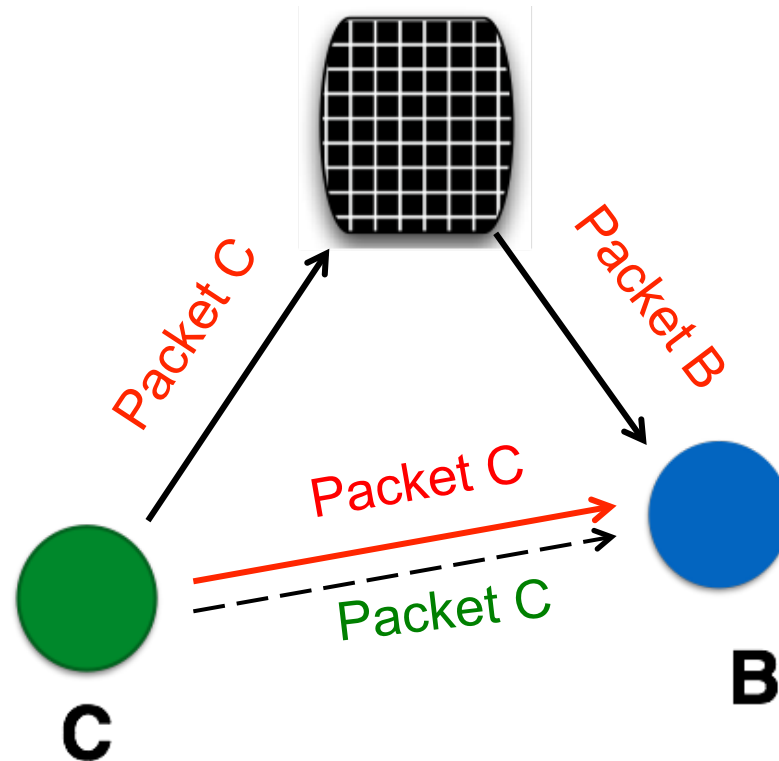
- Leverage ISM side-channels in dense environments
- Our Solution
  - **Amplify-and-forward**: Inter-beam interference for MU-MIMO downlink
  - **Decode-and-cancel**: Uplink-downlink interference for full-duplex network

# MU-MIMO Downlink: Amplify-and-Forward



- A (B) amplifies the received signal and forwards it to B (A) on the **side-channel**
- A and B perform receive-beamforming to decode its **own packet** based on all received signal and channel knowledge.

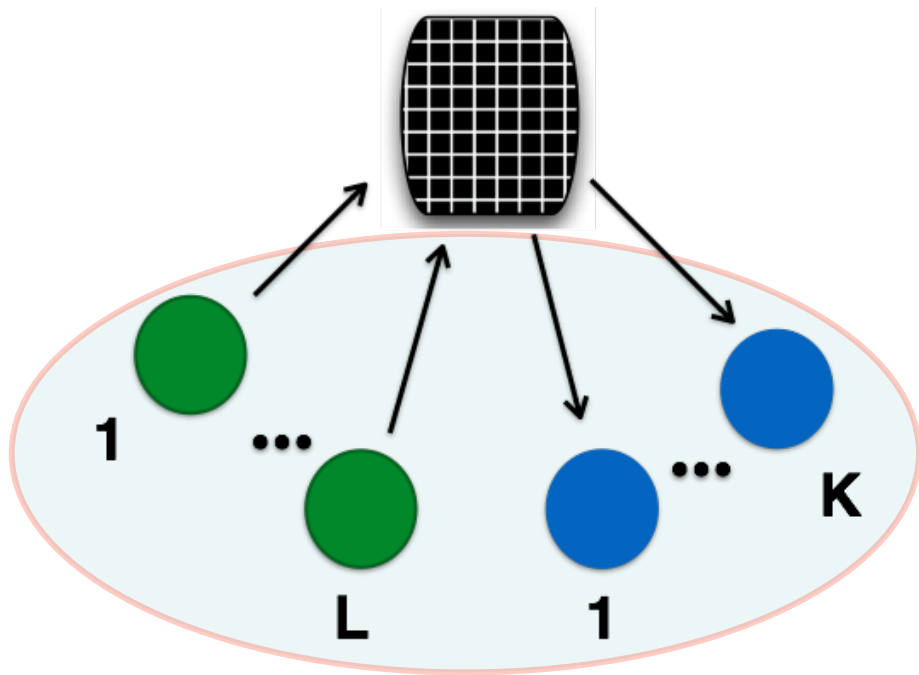
# Full-duplex: Decode-and-Cancel



- C sends the packet encoded for the **side-channel**
- B decodes **Packet C**, re-encodes, then cancels from **main-channel**
- After canceling out **Packet C**, B can decode **Packet B**

# MU-MIMO Full-duplex via ISM Side-channels

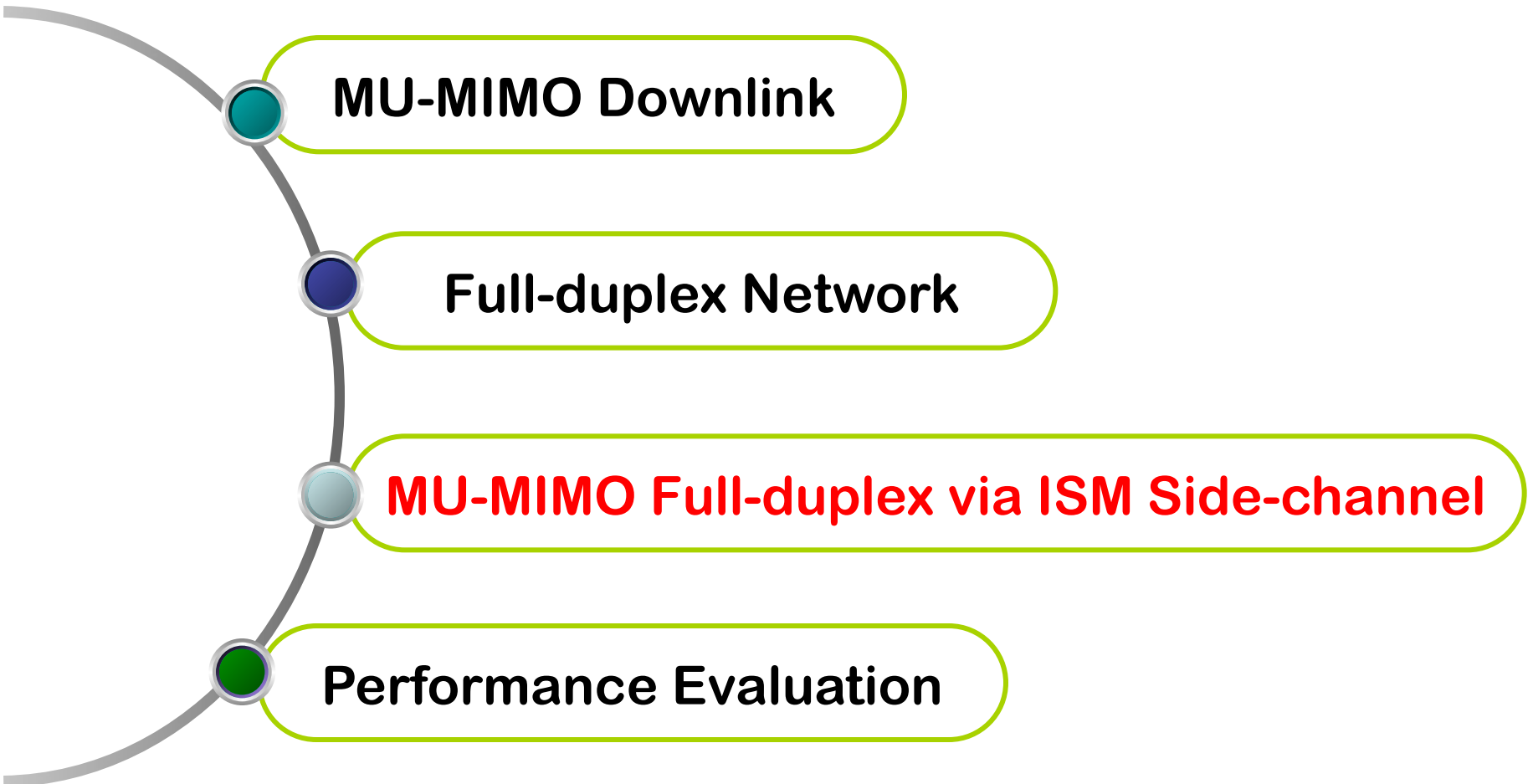
Base Station:  $M$  antennas



- BS: **No CSIT is required**
  - Blindly serve DL with only  $K$  antennas
  - ZFBF to serve UL with the remaining antennas
- DL users:
  - amplify-and-forward
- UL users:
  - decode-and-cancel

BS can schedule the use of ISM side-channels for intra-cell interference management

# PART II: Impact on Cellular Capacity of Future Wireless Architecture





# Performance Evaluation

- Goal: show the benefits of leveraging ISM side-channels

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|                         |                          |
|-------------------------|--------------------------|
| Area                    | 50×50 square meters      |
| Base station antennas   | M = 20                   |
| Maximum number of users | K + L = 20               |
| Uplink and Downlink SNR | 35 dB                    |
| ISM Side-channel RSSI   | Refer to our measurement |
| Main-channel            | Rayleigh fading          |

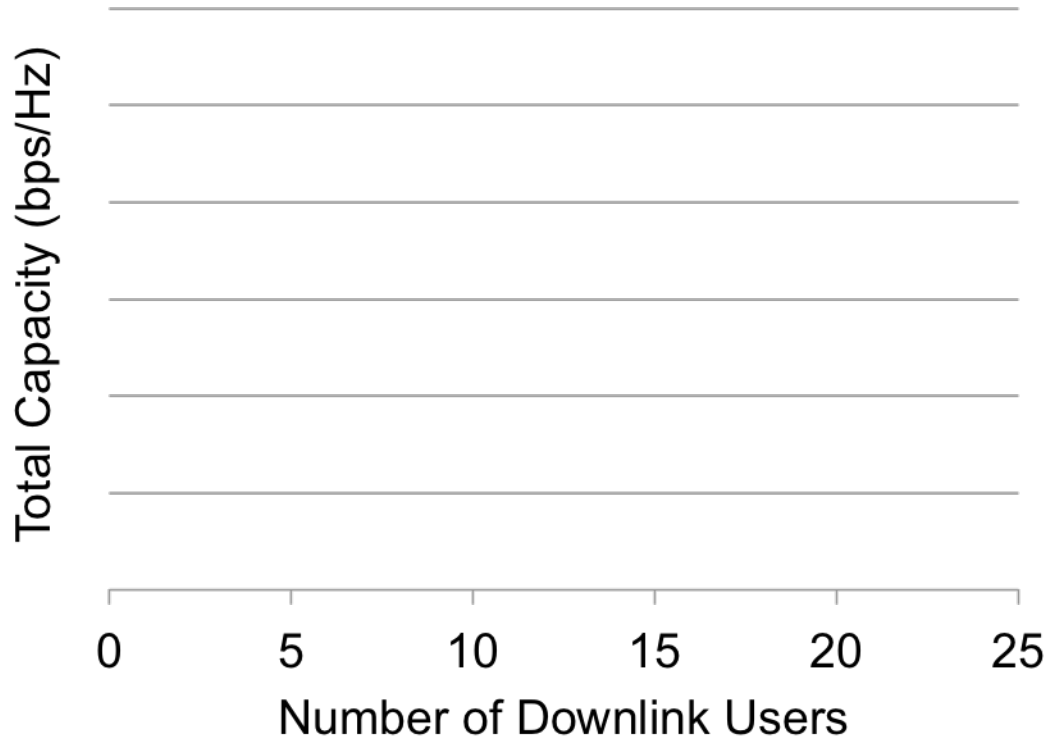
# Results I: MU-MIMO Downlink

- Compare three systems with only downlink users:
  - ZFBF with perfect CSIT
    - Use all  $M$  antennas
  - ZFBF with finite-bit feedback
    - Use all  $M$  antennas
    - Finite-bit feedback: 10 bits per user
  - User cooperation via ISM side-channels
    - Amplify-and-forward
    - Use only  $K$  antennas without acquiring CSIT

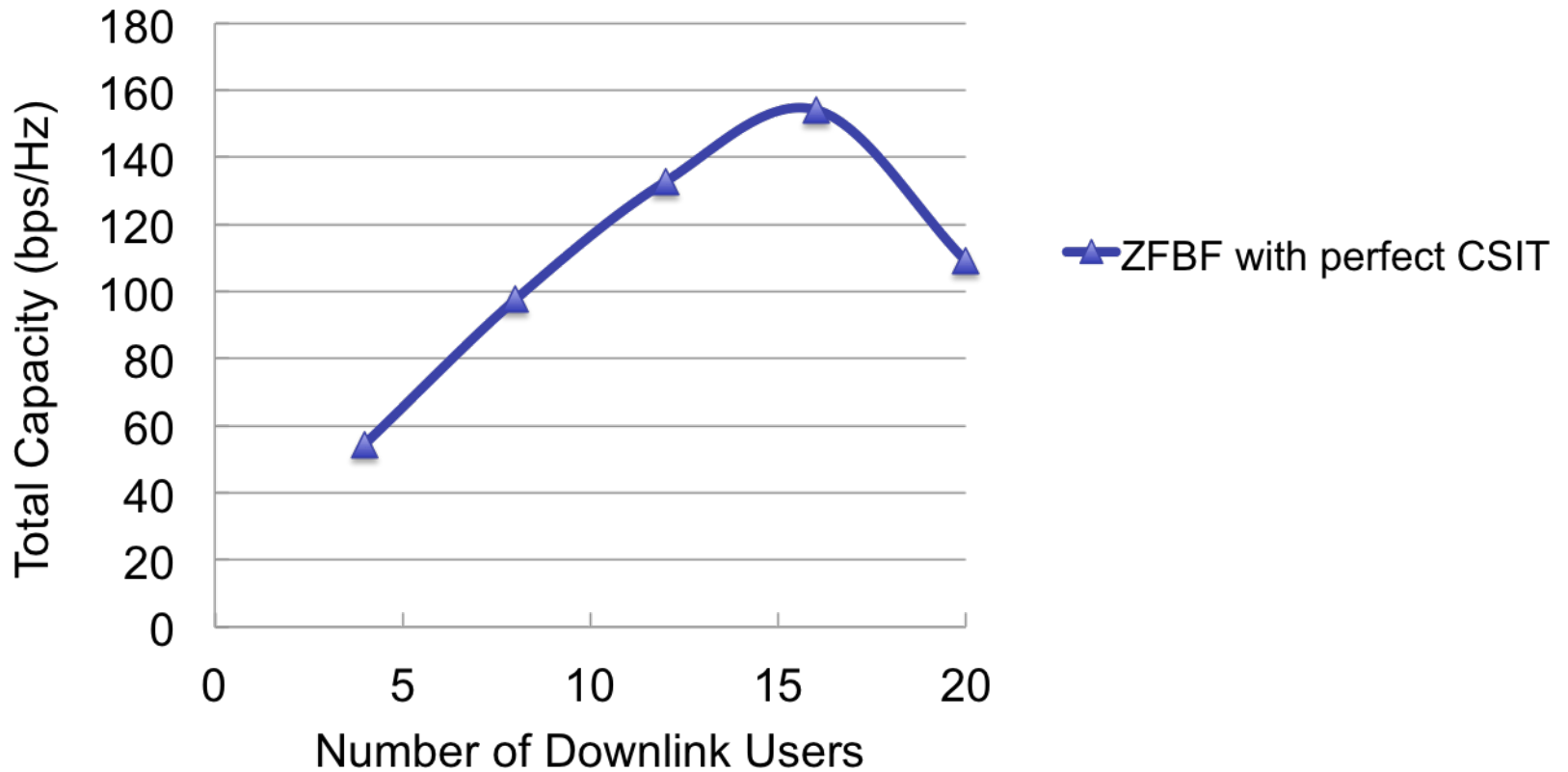
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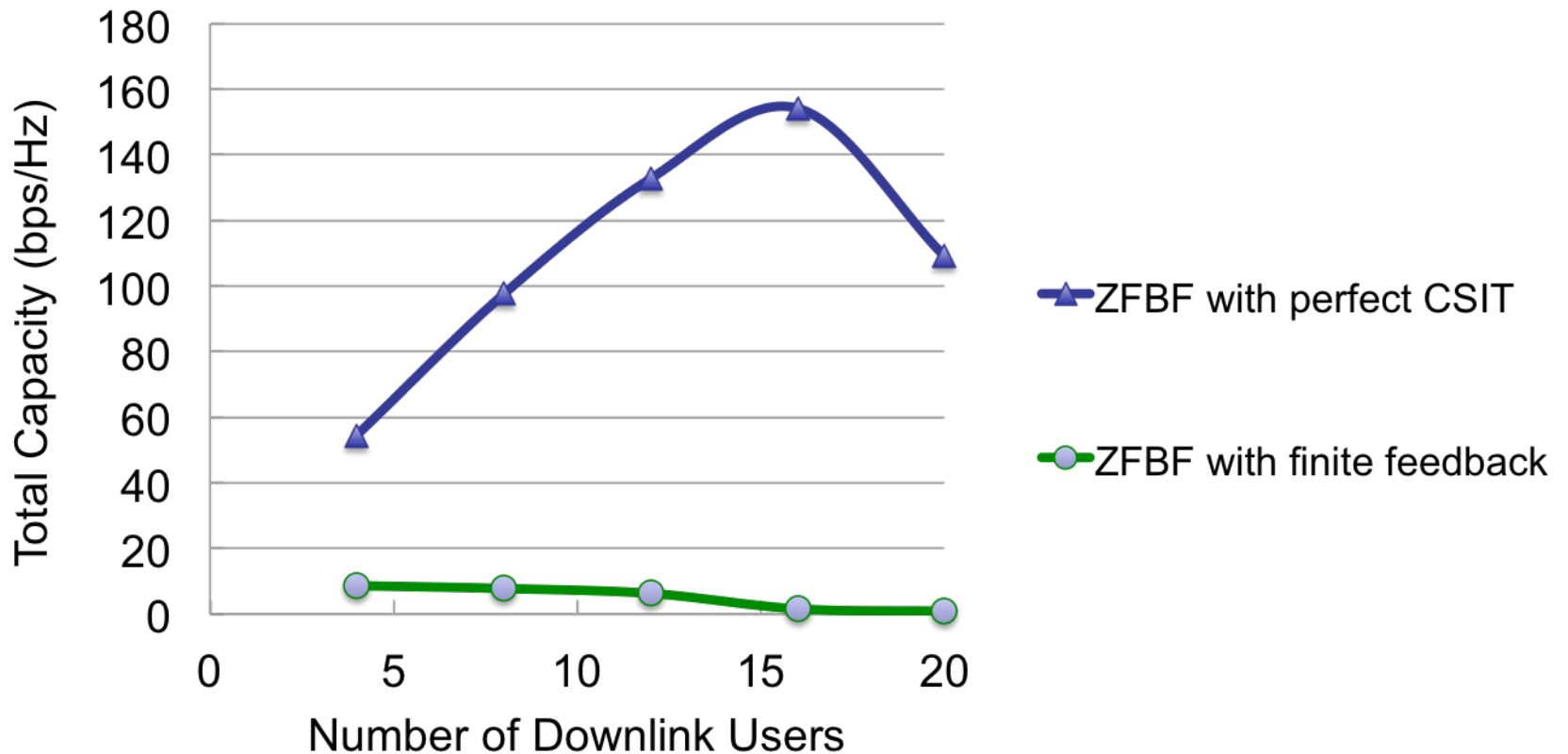
# MU-MIMO Downlink with Increasing User Density



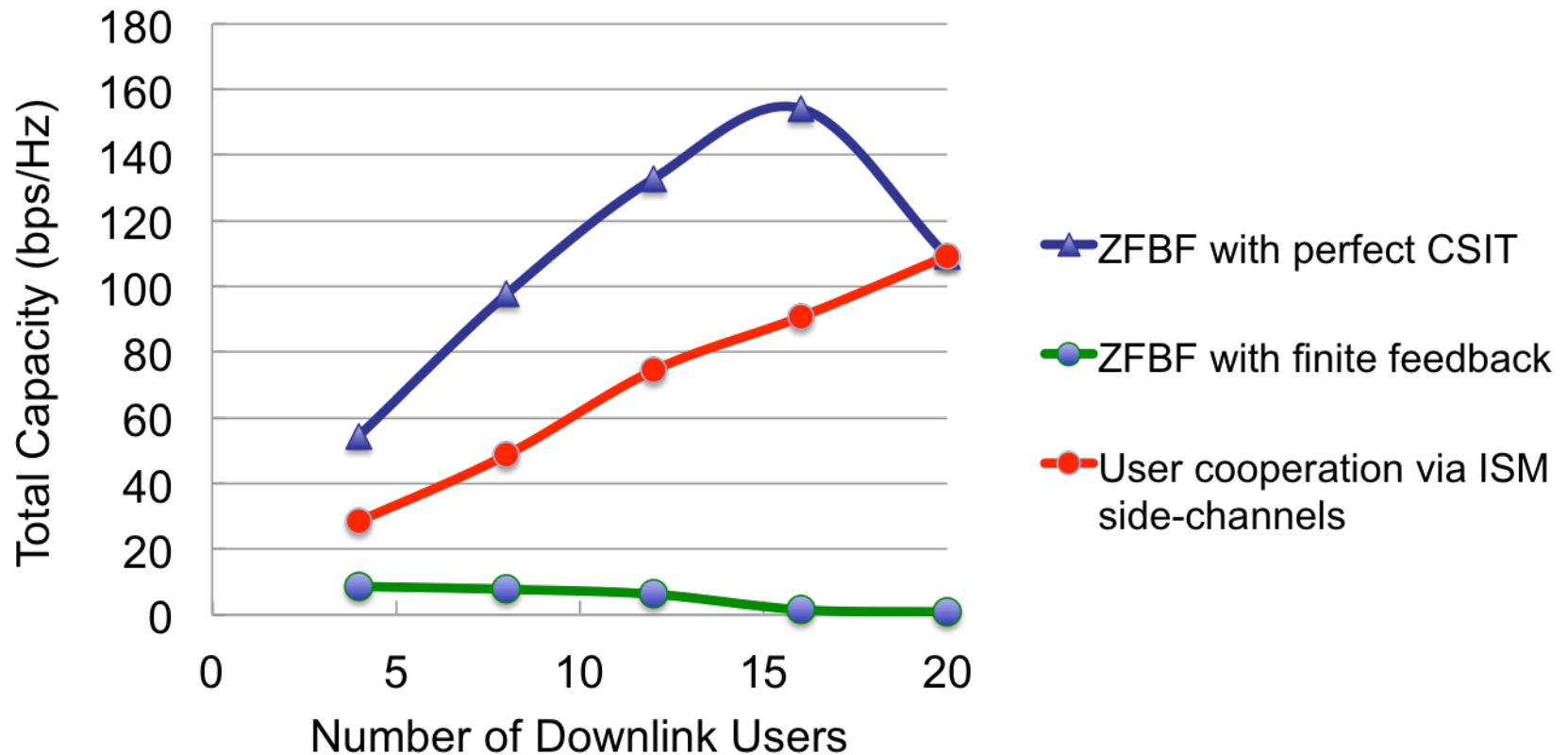
# MU-MIMO Downlink with Increasing User Density



# MU-MIMO Downlink with Increasing User Density



# MU-MIMO Downlink with Increasing User Density



# Results II: MU-MIMO Full-duplex

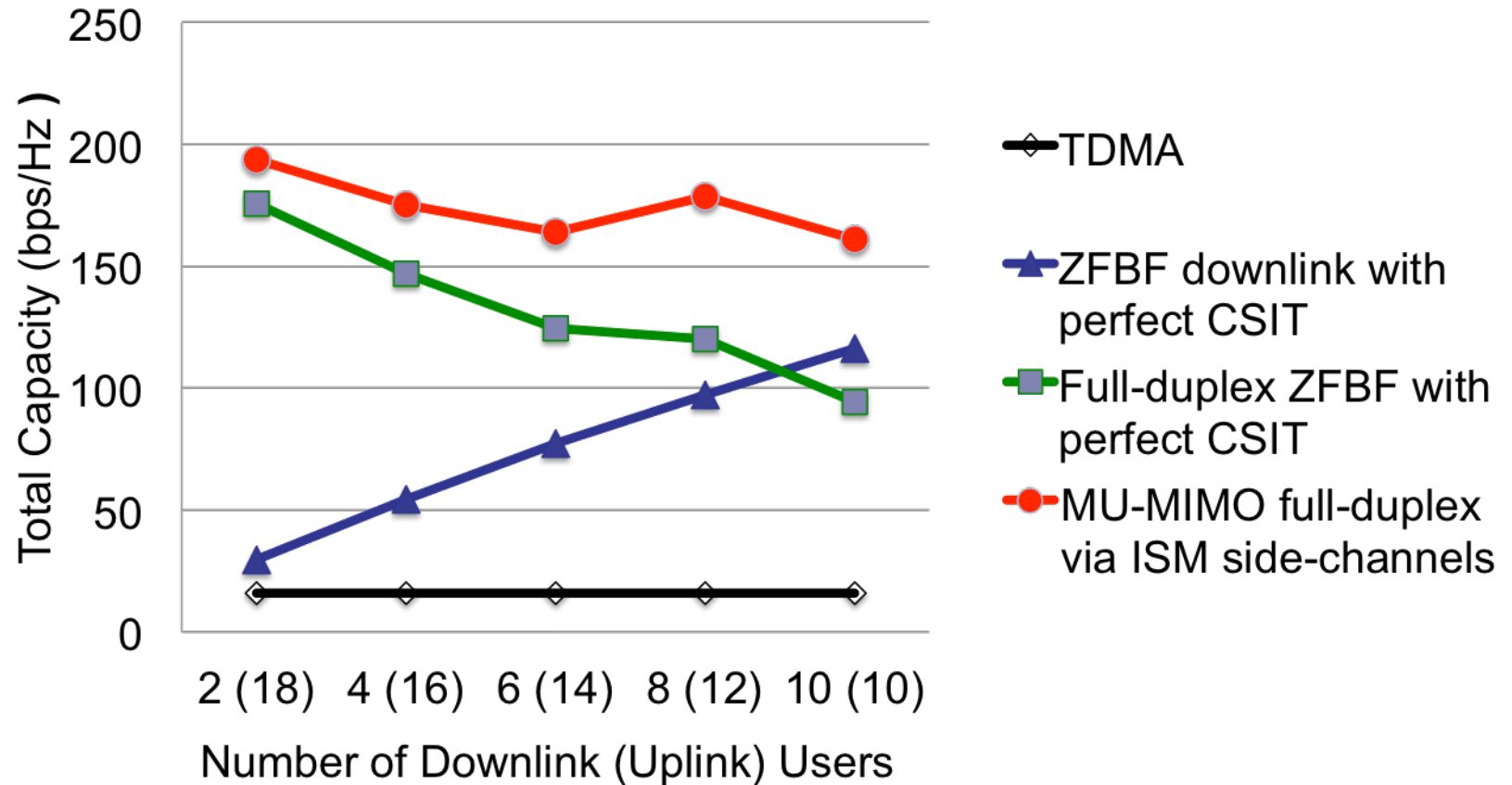
- Compare four systems with both up- & downlink users:
  - TDMA
    - Serve one user at a time; Use all  $M$  antennas
  - ZFBF downlink with perfect CSIT
    - Use all  $M$  antennas
  - Full-duplex ZFBF with perfect CSIT
    - Use a subset of antennas for DL
    - Remaining antennas for UL
  - MU-MIMO full-duplex via ISM side-channels
    - Use a subset of antennas to blindly serve DL without CSIT
    - Remaining antennas for UL



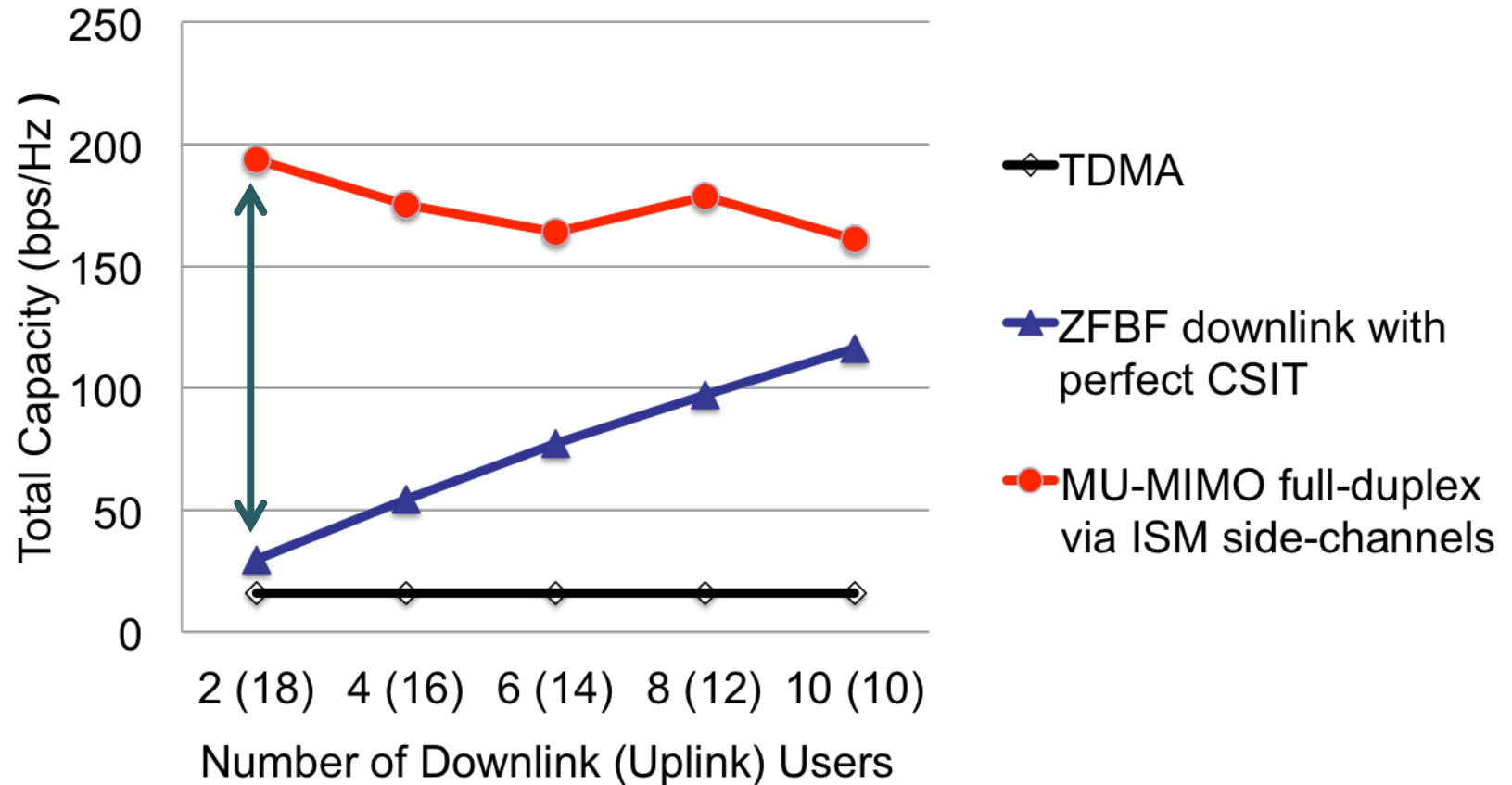
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# MU-MIMO Full-duplex with Fixed User Density

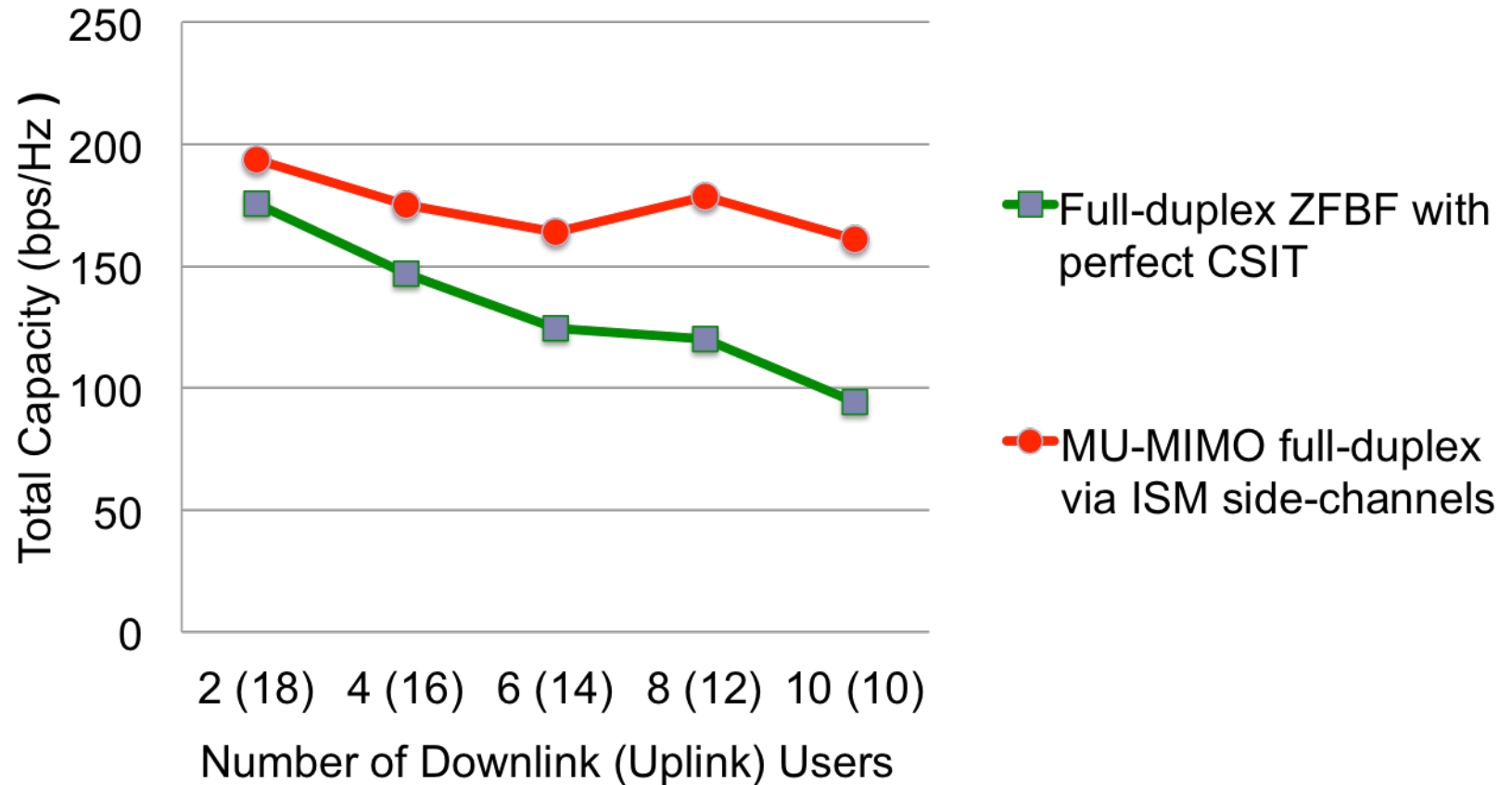


# MU-MIMO Full-duplex with Fixed User Density



**6.5X over ZFBF Downlink**  
**12X over TDMA**

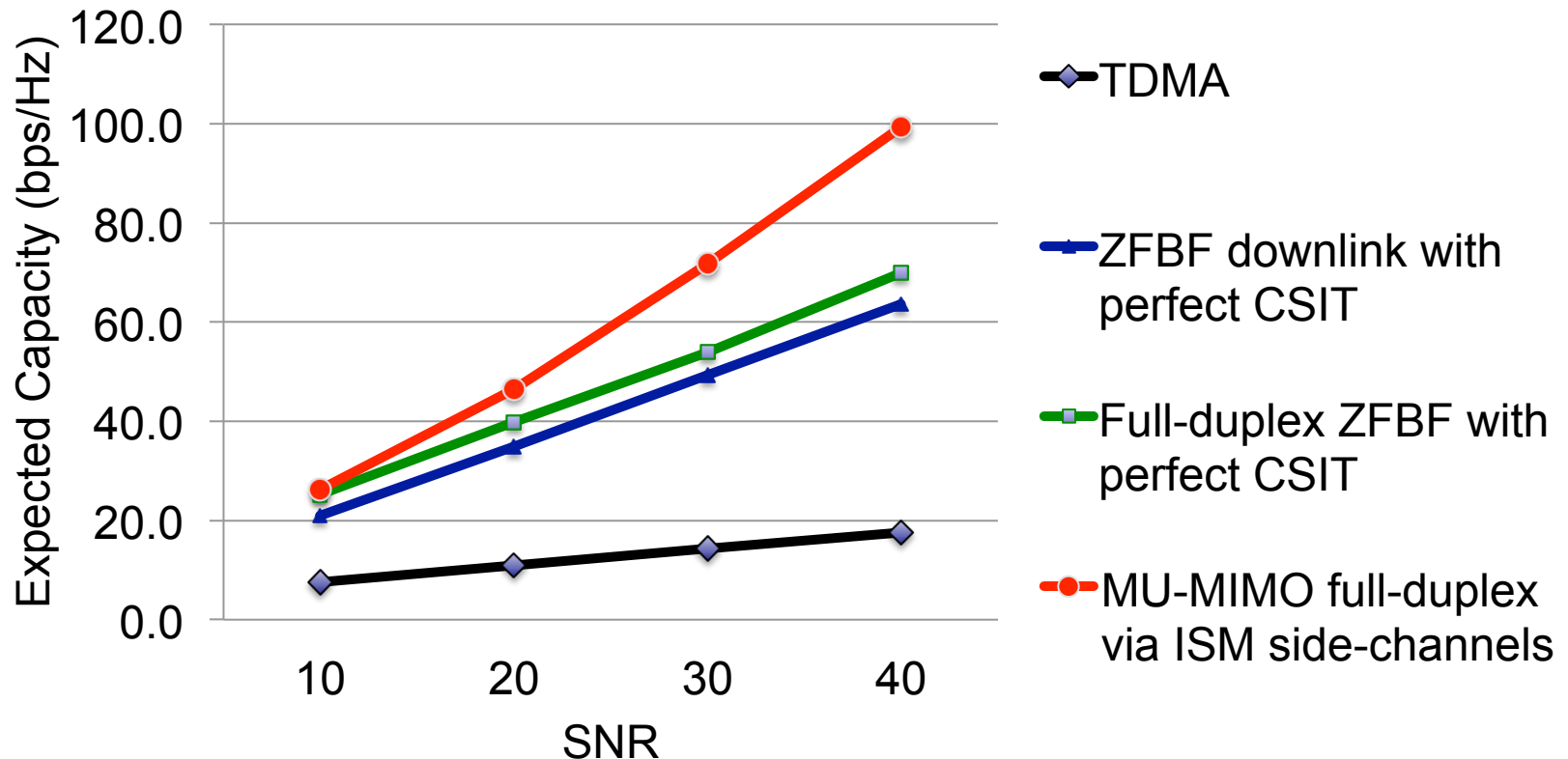
# MU-MIMO Full-duplex with Fixed User Density



**Recovering 2X full-duplex gain**

# MU-MIMO Full-duplex with SNR

- Expected capacity  $\mathbb{E}_d [\text{Capacity}(d)]$ 
  - The expectation is taken over the estimate of the ISM side-channel range distribution we found in PART I



**Expected Capacity Scales with SNR**

# Conclusion

- Availability of ISM side-channels
  - 69% of time, we can establish ISM side-channels within 50m range on highway during rush hour with reliable link quality
- ISM band for improved interference management
  - Enable a flexible wireless architecture design of MU-MIMO full-duplex
  - Promise to improve the cellular network capacity many-fold