

CS109b

# Transformer- based music generation

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# Introduction and Problem Statement



## Problem Statement

Although transformer models were originally used for text-based tasks, how effective are they at interpreting and generating music?

Our goal is to take advantage of the self-attention mechanism in Transformers and adapt it to generate the next pitch, duration, and instrument to create coherent music comparable to the input data.



# EDA & Visualizations



# The Data:

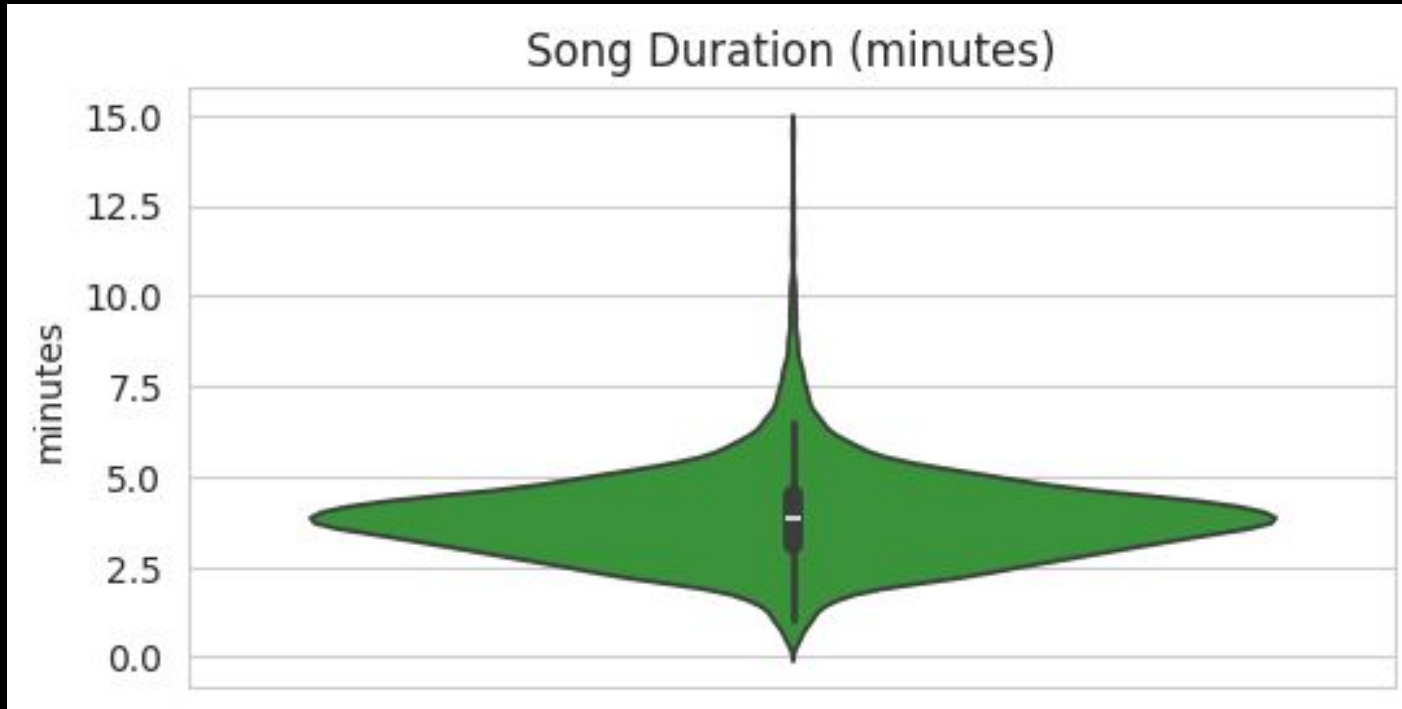
## The Lakh MIDI dataset (Clean MIDI subset)

- MIDI file: “Musical Instrument Digital Interface” file, a more efficient way of storing sound data than traditional audio files
- Lakh MIDI: A collection of ~176k unique MIDI files
- Clean MIDI subset: A subset of MIDI files that include artist names and titles and uses ~17k fully uncorrupted MIDI files

Sources: <https://umatechnology.org/what-is-midi-and-what-are-midi-files/>  
<https://colinraffel.com/projects/lmd/>

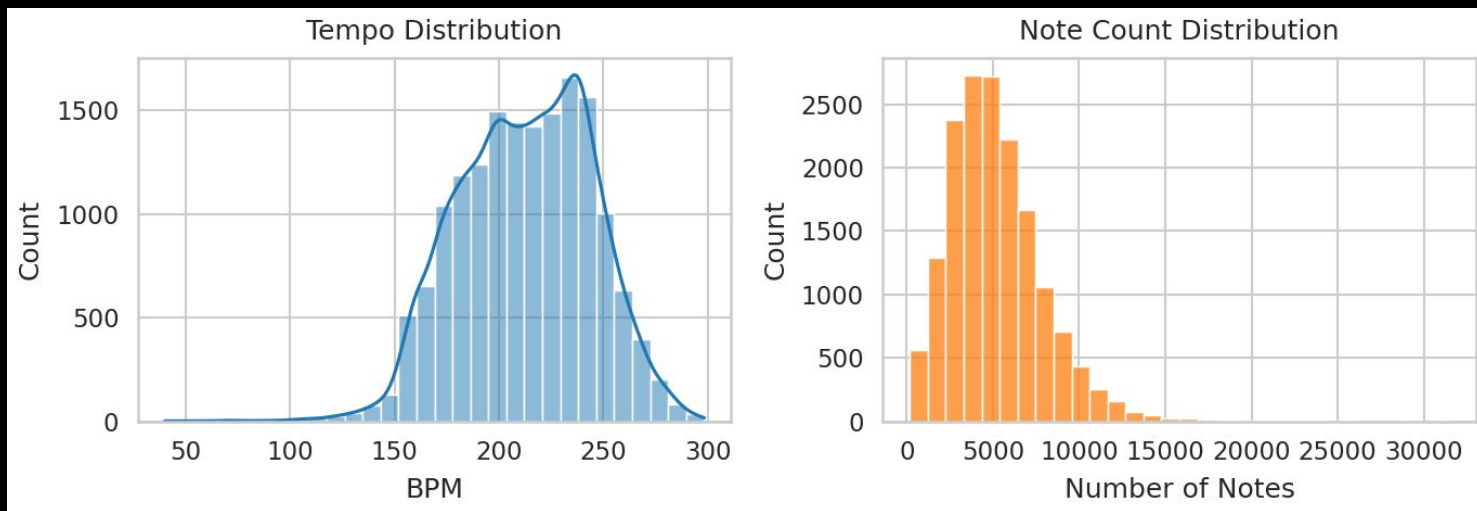
## Song Duration

There's some rather pronounced right-skew in song duration.



## Notes and Tempo

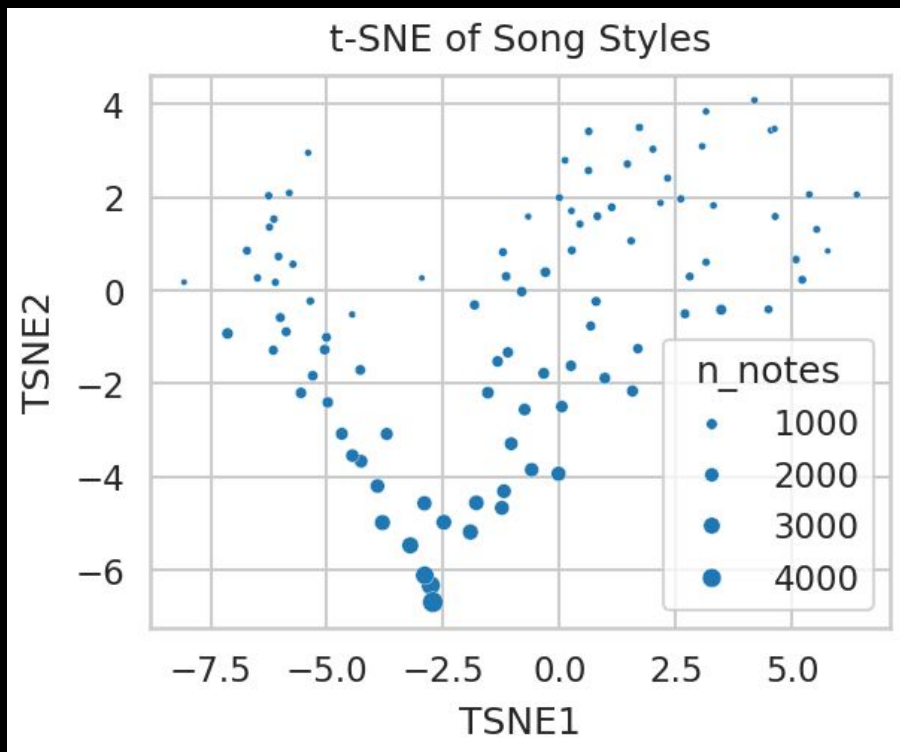
There's a similar right skew in note-count, and there are one (or maybe two?) visual peaks in tempo. Perhaps we can include a tempo token in the future?





## t-SNE of 100 Songs

Possible clusters corresponding to genres? Maybe we can use these clusters to improve coherence in the future.





# Modeling/ Training Details



## Evaluation Metrics

- Beyond accuracy in predicting the next pitch, we also calculated some basic evaluation metrics.
- **Consonance Score:** Percentage of the intervals between notes that are consonant (e.g., perfect fifths, major thirds)
- **Chord Recognition Rate:** Percentage of groups of simultaneously played notes that match standard chords
- **Pitch Class Entropy:** How spread out is the usage of pitch classes in a piece of music? Low pitch class entropy tends to be associated with high tonality, and high pitch class entropy tends to be associated with atonality.



## Baseline Transformer

Beyond the initial input and position-encoding layers, we sandwiched a multi-head attention layer with LayerNorm, two Dense layers, and another LayerNorm layer. Repeating this sandwich twice then produced the general transformer.

Performance metrics:

Consonance score	0.0
Chord recognition rate	1.0
Pitch class entropy	2.075

**output**



# Baseline Transformer Training Details

Data	MIDI files parsed into pitch sequences of length 128, 10043 total windows
Epochs	<u>2</u>
Training Time	8 minutes
Hyperparameters	sparse_categorical_crossentropy
Other details	Positional encoding via learned Embedding(SEQ_LEN, EMBED_DIM) Metric is accuracy



## Advanced Transformer

- Similar architecture to the baseline model, but added pitch duration and instrument type (in addition to pitch) as inputs and outputs.

Metric	Score
val_pitch_accuracy	0.1981
val_duration_loss	0.2317
val_program_loss	1.8700
consonance_score	0.449
chord_recognition_rate	1.0
pitch_class_entropy	1.833

**output**



# Advanced Transformer Training Details



Data	Truncated: 1000 songs. Converted to TF dataset and split into <u>train/val sets</u> .
Epochs	<u>10</u>
Training Time	~5 mins
Hyperparameters	Batch size = 128, learning rate = 0.001 (Adam default)
Other details	Loss function: validation pitch accuracy  Used early stopping – but stopped at epoch 10

## References:

- Dong et al., 2023: <https://arxiv.org/pdf/2207.06983>
- Hsiao et al., 2021: <https://github.com/YatingMusic/compound-word-transformer?tab=readme-ov-file#readme>
- MIDI-GPT: <https://www.metacreation.net/projects/midi-gpt>



# Results/ Conclusions





### Baseline Transformer

Metric	Score
consonance_score	0.0
chord_recognition_rate	1.0
pitch_class_entropy	2.75

### Advanced Transformer

Metric	Score
consonance_score	0.449
chord_recognition_rate	1.0
pitch_class_entropy	1.833

### “I’m Not in Love” by 10cc

Metric	Score
consonance_score	0.382
chord_recognition_rate	1.0
pitch_class_entropy	3.315

#### Conclusions:

- Adding duration and instrument variation makes a large impact.
- Although the AT didn’t achieve a very high pitch accuracy, its consonance score vastly improved from the baseline model and was higher than the real song.
- Not much data was needed (1000 songs) to get a real-sounding output.



# Future Work/ Improvements



## Transfer Learning – Hugging Face MusicGen

- Keeping it short- It failed :(
- Preparing dataset – Midi → Wav files, with empty text entry
- Fine Tuning with a dataset of 1000 sampled
  - 42000 seq len at 32 hz
- Error:
  - Starting training...
  - Error during training: CUDA out of memory. Tried to allocate 143.05 GiB. GPU 0 has a total capacity of 21.96 GiB of which 18.44 GiB is free.
- Incomplete audio
  - Prompt: "A gentle piano melody with soft background strings"

Output



# Future Work and Improvements

## Harmonic & Polyphonic Generation

Feed chord progressions into the model for a clear harmonic roadmap

Expand from single-note to true polyphonic prediction (multiple voices/instruments)

## Expressive Performance Modeling

Include note durations and velocities so the model learns expressive timing and loudness

Apply advanced positional encodings to capture motifs and thematic arcs

## Genre-Aware Style Control & Evaluation

Label each track by its t-SNE – derived genre cluster and fine-tune per style

Run listening tests to ensure our objective metrics align with human perception





# Thank you



# Models

## Baseline Transformer

After turning our data into time-ordered pitch sequences, we trained a standard Transformer to predict the next pitch.

## Advanced Transformer

We modified this standard Transformer so that it predicts duration and instrument type in addition to pitch.