Lower Bound for Sorting COMS10018 - Algorithms

Dr Christian Konrad

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Example: Sort an array $A \in \{0,1\}^n$ in time O(n)?

- Count number of 0s n_0
- Write n_0 0s followed by $n n_0$ 1s
- Both operations take time O(n)

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- We will prove that every comparison-based sorting algorithm requires $\Omega(n \log n)$ comparisons
- This implies that $O(n \log n)$ is an optimal runtime for comparison-based sorting

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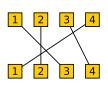
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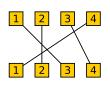
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• A reordering of [n]

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Rephrasing our Task: Find permutation $\pi \in \Pi$ such that:

$$A[\pi^{-1}(1)] < A[\pi^{-1}(2)] < \dots < A[\pi^{-1}(n-1)] < A[\pi^{-1}(n)]$$

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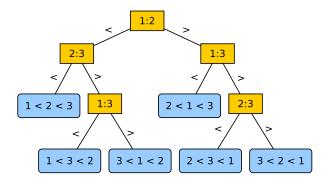
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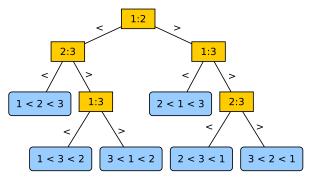
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Every Guessing Strategy (and Sorting Algorithm) is a Decision-tree



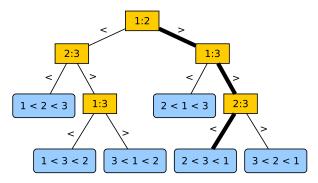
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- An execution is a root-to-leaf path

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 $h \geq \log(n!) \geq \log\left(\left(\frac{n}{e}\right)^{n}\right) = n\log\left(\frac{n}{e}\right)$

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Proof Observe that decision-tree is a binary tree. Every potential permutation is a leaf. There are n! leaves. A binary tree of height h has no more than 2^h leaves. Hence:

$$2^h \ge n!$$

 $h \ge \log(n!) \ge \log\left(\left(\frac{n}{e}\right)^n\right) = n\log\left(\frac{n}{e}\right) = \Omega(n\log n)$.

Stirling's approximation: $n! \ge \left(\frac{n}{e}\right)^n$