Mergesort

COMS10018 - Algorithms

Dr Christian Konrad

Definition of the Sorting Problem

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- **Input:** An array A of n numbers
- **Output:** A reordering of A s.t. $A[0] \leq A[1] \leq \cdots \leq A[n-1]$

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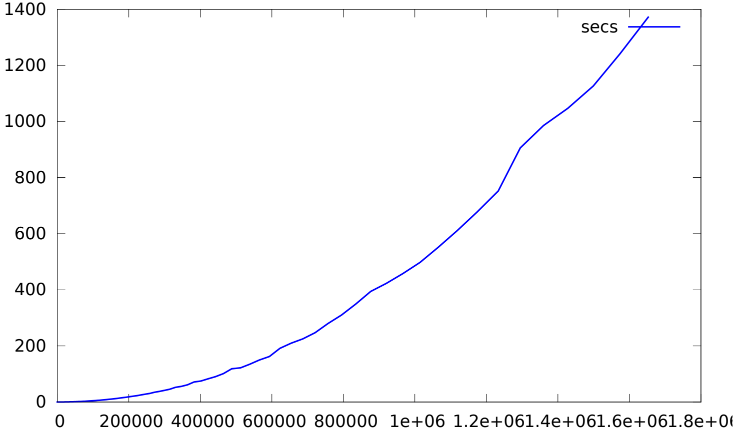
- Worst-case runtime $O(n^2)$
- Surely we can do better?!

Insertion sort in Practice on Worst-case Instances



n	46929	102428	364178	1014570
secs	1.03084	4.81622	61.2737	497.879

Properties of a Sorting Algorithm

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A sorting algorithm is *in place* if at any moment at most $O(1)$ array elements are stored outside the array

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Example: Insertion-sort is stable

Records, Keys, and Satellite Data

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family name	first name	data of birth	role
Smith	Peter	02.10.1982	lecturer
Hills	Emma	05.05.1975	reader
Jones	Tom	03.02.1977	senior lecturer
...			

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Observe: Stability makes more sense when sorting complex data as opposed to numbers

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- Copy left half of A to new array B
- Copy right half of A to new array C
- Traverse B and C simultaneously from left to right and write the smallest element at the current positions to A

Example: Merge Operation

A	1	4	9	10	3	5	7	11

Example: Merge Operation

A	1	4	9	10	3	5	7	11
B	1	4	9	10				
C	3	5	7	11				

Example: Merge Operation

A								
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A	1							
B			1	4	9	10		
C			3	5	7	11		

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A	1	3						
B	1	4	9	10				
C	3	5	7	11				

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Analysis: Merge Operation

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Divide and Conquer!

Merge Sort: A Divide and Conquer Algorithm

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Require: Array A of n numbers
  if $n = 1$ **then**
    return A
  $A[0, \lfloor \frac{n}{2} \rfloor] \leftarrow \textsc{MergeSort}(A[0, \lfloor \frac{n}{2} \rfloor])$
  $A[\lfloor \frac{n}{2} \rfloor + 1, n-1] \leftarrow \textsc{MergeSort}(A[\lfloor \frac{n}{2} \rfloor + 1, n-1])$
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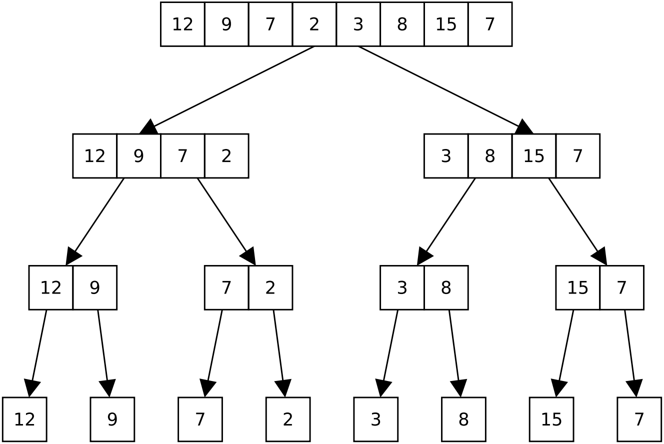
- **Combine** the solutions to the subproblems into the solution for the original problem.

Analyzing MergeSort: An Example



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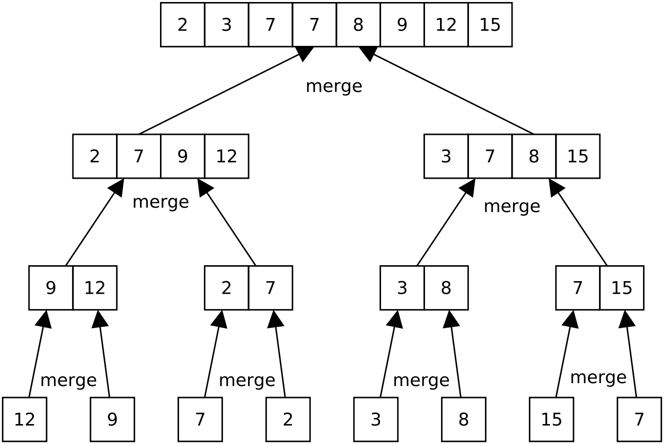

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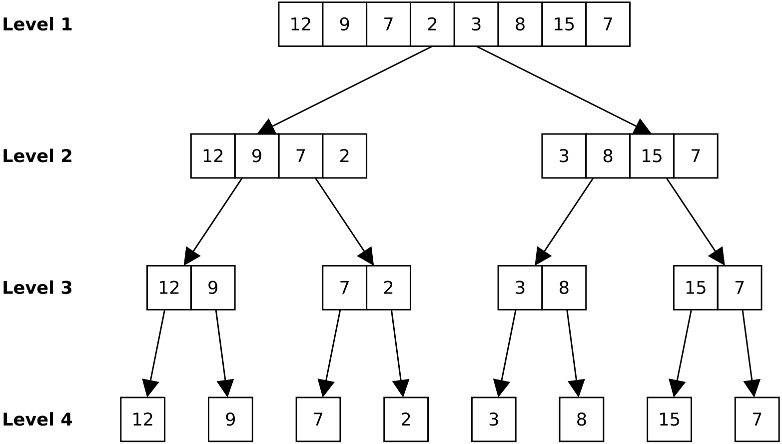
- How many nodes per level?
- Time spent per node?

Number of Levels



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$$\frac{n}{2^{l-2}} > 1$$

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$$\log(n) + 1 \leq l < \log(n) + 2$$

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- 2^{i-1} nodes (at most)
- Array length in level i is $\lceil \frac{n}{2^{i-1}} \rceil$ (at most)
- Runtime of merge operation for each node in level i: $O(\frac{n}{2^{i-1}})$

Number of Levels:

- Array length in last level l is 1: $\lceil \frac{n}{2^{l-1}} \rceil = 1$

$$\frac{n}{2^{l-1}} \leq 1 \Rightarrow n \leq 2^{l-1} \Rightarrow \log(n) + 1 \leq l$$

- Array length in last but one level $l-1$ is 2: $\lceil \frac{n}{2^{l-2}} \rceil = 2$

$$\frac{n}{2^{l-2}} > 1 \Rightarrow n > 2^{l-2} \Rightarrow \log(n) + 2 > l$$

$$\log(n) + 1 \leq l < \log(n) + 2$$

Hence, $l = \lceil \log n \rceil + 1$.

Runtime of Merge Sort

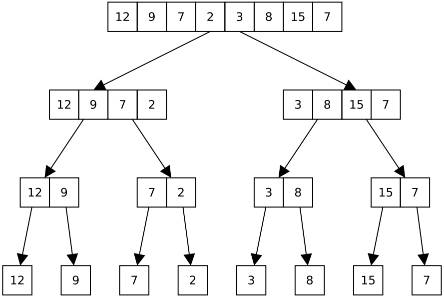
Sum up Work:

- Levels:

  $l = \lceil \log n \rceil + 1$
- Nodes on level i:

  at most 2^{i-1}
- Array length in level i:

  at most $\lceil \frac{n}{2^{i-1}} \rceil$



Runtime of Merge Sort

Sum up Work:

- Levels:
  $l = \lceil \log n \rceil + 1$
- Nodes on level i:
  at most 2^{i-1}
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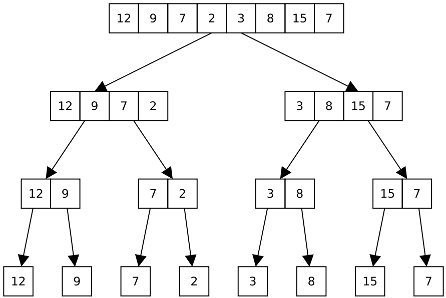

Worst-case Runtime:

Runtime of Merge Sort

Sum up Work:

- Levels:

  $l = \lceil \log n \rceil + 1$
- Nodes on level i:

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- Array length in level i:

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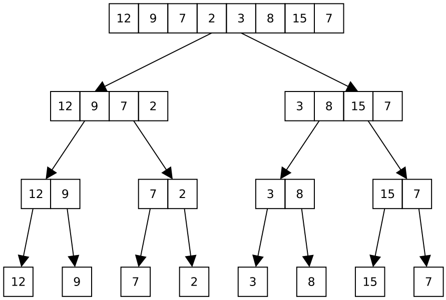

Worst-case Runtime:

$$\sum_{i=1}^{\lceil \log n \rceil + 1} 2^{i-1} O\left(\lceil \frac{n}{2^{i-1}} \rceil \right)$$

Runtime of Merge Sort

Sum up Work:

- Levels:
  $l = \lceil \log n \rceil + 1$
- Nodes on level i:
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- Array length in level i:
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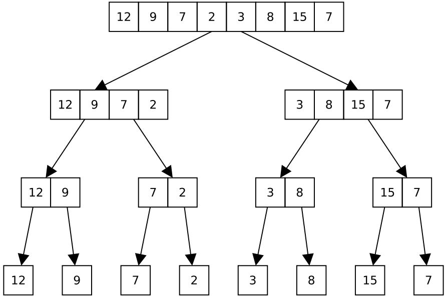

Worst-case Runtime:

$$\sum_{i=1}^{\lceil \log n \rceil + 1} 2^{i-1} O\left(\lceil \frac{n}{2^{i-1}} \rceil\right) = \sum_{i=1}^{\lceil \log n \rceil + 1} 2^{i-1} O\left(\frac{n}{2^{i-1}}\right)$$

Runtime of Merge Sort

Sum up Work:

- Levels:
  $l = \lceil \log n \rceil + 1$
- Nodes on level i:
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- Array length in level i:
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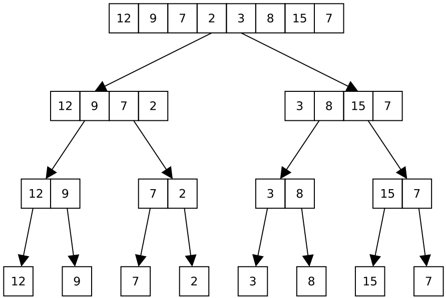

Worst-case Runtime:

$$\sum_{i=1}^{\lceil \log n \rceil + 1} 2^{i-1} O\left(\lceil \frac{n}{2^{i-1}} \rceil\right) = \sum_{i=1}^{\lceil \log n \rceil + 1} 2^{i-1} O\left(\frac{n}{2^{i-1}}\right)$$

$$= \sum_{i=1}^{\lceil \log n \rceil + 1} O(n)$$

Runtime of Merge Sort

Sum up Work:

- Levels:
  $l = \lceil \log n \rceil + 1$
- Nodes on level i:
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- Array length in level i:
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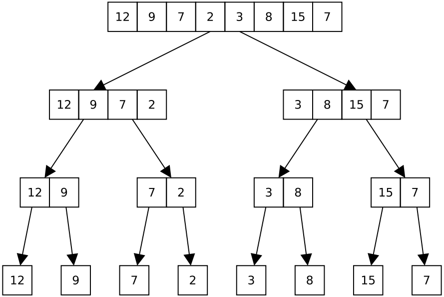

Worst-case Runtime:

$$\sum_{i=1}^{\lceil \log n \rceil + 1} 2^{i-1} O\left(\lceil \frac{n}{2^{i-1}} \rceil\right) = \sum_{i=1}^{\lceil \log n \rceil + 1} 2^{i-1} O\left(\frac{n}{2^{i-1}}\right)$$

$$= \sum_{i=1}^{\lceil \log n \rceil + 1} O(n) = (\lceil \log n \rceil + 1) O(n)$$

Runtime of Merge Sort

Sum up Work:

- Levels:
  $l = \lceil \log n \rceil + 1$
- Nodes on level i:
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- Array length in level i:
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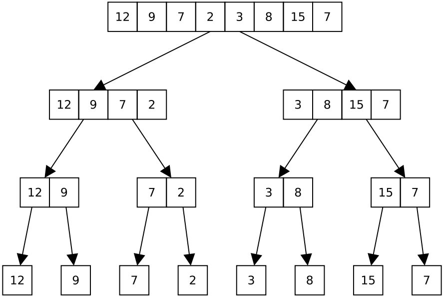
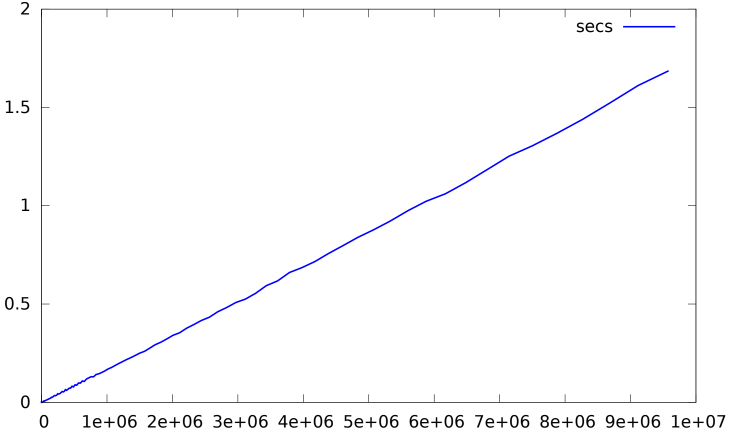


Worst-case Runtime:

$$\sum_{i=1}^{\lceil \log n \rceil + 1} 2^{i-1} O\left(\lceil \frac{n}{2^{i-1}} \rceil \right) = \sum_{i=1}^{\lceil \log n \rceil + 1} 2^{i-1} O\left(\frac{n}{2^{i-1}} \right)$$

$$= \sum_{i=1}^{\lceil \log n \rceil + 1} O(n) = (\lceil \log n \rceil + 1) O(n) = O(n \log n) .$$

Merge sort in Practice on Worst-case Instances



n	46929	102428	364178	1014570
secs	1.03084	4.81622	61.2737	497.879 (Insertion-sort)
secs	0.007157	0.015802	0.0645791	0.169165 (Merge-sort)

Stability and In Place Property?

Stability and In Place Property?

Stability and In Place Property?

Stability and In Place Property?

- Merge sort is stable

Stability and In Place Property?

Stability and In Place Property?

- Merge sort is stable
- Merge sort does not sort in place

Generalizing the Analysis

Divide and Conquer Algorithm:

Generalizing the Analysis

Divide and Conquer Algorithm:

Let **A** be a divide and conquer algorithm with the following properties:

Generalizing the Analysis

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1. **A** performs two recursive calls on input sizes at most $n/2$

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Generalizing the Analysis

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Let **A** be a divide and conquer algorithm with the following properties:

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2. The conquer operation in **A** takes $O(n)$ time

Then:

Generalizing the Analysis

Divide and Conquer Algorithm:

Let **A** be a divide and conquer algorithm with the following properties:

1. **A** performs two recursive calls on input sizes at most $n/2$
2. The conquer operation in **A** takes $O(n)$ time

Then:

$$\boxed{\textbf{A} \text{ has a runtime of } O(n \log n)\ .}$$