

# **Derivation of Algorithms**

## **Partition Problems using Invariant Diagrams**

COMP H 4018

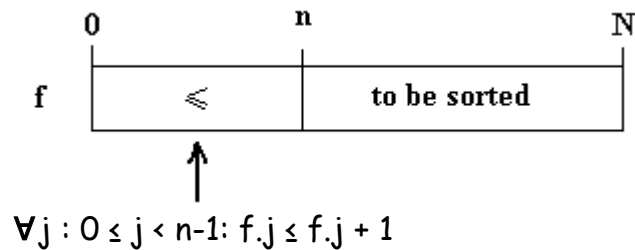
Lecturer: Stephen Sheridan

# Invariant Diagrams & Partition Problems

## Invariant Diagram

Diagram used to describe the state of computation during the ‘middle’ of processing. Invariant diagrams are very useful for array partitioning problems.

For example: to describe sorting one might draw the following diagram.



## Example 1

Using an invariant diagram specify and, hence, derive an  $O(N)$  solution to the following: Given  $f[0..N)$  containing only 0's and 1's, sort it so that all 0's proceed all 1's.

### Specification

[[ Con  $N$ : int  $\{N \geq 0\}$

var

$f$ : array  $[0..N)$  of int;  
 $\{\forall j: 0 \leq j < N: f.j = 0 \vee f.j = 1\}$

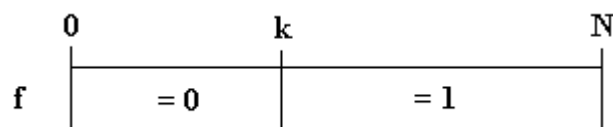
$k$ : int;

S

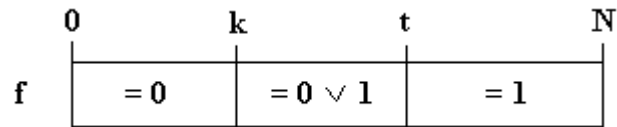
$\{0 \leq k \leq N: \forall j: 0 \leq j < k: f.j = 0 \wedge \forall j: k \leq j < N: f.j = 1\}$

]]

**Step 1:** Draw a diagram to describe the post condition.



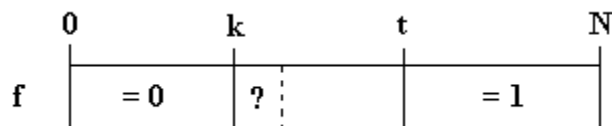
**Step 2:** Construct an invariant diagram by weakening the post-condition, we do this by introducing another segment representing the unsorted data.



**Mathematical equivalent of above diagram**

$$\{ 0 \leq k \leq N: \forall j: 0 \leq j < k: f.j = 0 \wedge \\ \forall j: k \leq j < t: f.j = 0 \vee f.j = 1 \wedge \\ \forall j: t \leq j < N: f.j = 1 \}$$

**Step 3:** Use the invariant diagram to derive  $O(N)$  solution.



**At the start of processing**

$f[k..t)$  represents the whole array.  $f[0..k)$  and  $f[t..N)$  are both empty. In other words  $k, t := 0, N$ .

**At the end of processing**

$f[k..t)$  will be empty, that is,  $k = t$ . Therefore, the guard on the loop is  $k < t$ .

**In the middle of processing**

In the body of the loop we focus on  $f.k$ . There are two possible cases:

$$f.k = 0 \vee f.k = 1$$

We consider each one...

$f.k = 0 \Rightarrow$  Simply increase  $k$  by 1

$$\text{i.e. } k := k + 1$$

$f.k = 1 \Rightarrow$  Swap  $f.k$  with  $f.t - 1$  and decrement  $t$ .

$$\text{i.e. } f.k, f.t - 1 := f.t - 1, f.k; t := t - 1;$$

### Termination

Decrease  $t - k$

At start:

$$\begin{aligned} & (t - k \geq 0) \ (t, k := N, 0) \\ & \equiv \{\text{substitution}\} \\ & N - 0 \geq 0 \\ & \Leftarrow \\ & N \geq 0 \end{aligned}$$

### Body for each case

$$\begin{aligned} & (t - k) \ (k := k + 1) \\ & \equiv \{\text{substitution}\} \\ & t - (k + 1) \\ & \equiv \{\text{arithmetic}\} \\ & t - k - 1 \\ & < \\ & t - k \end{aligned}$$

$$\begin{aligned} & (t - k) \ (t := t - 1) \\ & \equiv \{\text{substitution}\} \\ & t - 1 - k \\ & \equiv \{\text{arithmetic}\} \\ & t - k - 1 \\ & < \\ & t - k \end{aligned}$$

### Code for S

```
k, t := 0, N;
do k < t →
    if f.k = 0 →
        k := k + 1
    [] f.k = 1 →
        f.k, f.t - 1 := f.t - 1, f.k;
        t := t - 1;
    fi
od;
```

This solution is  $O(N)$  because only a single iteration of the data is required!