

# Introduction to Compartmental Models and Differential Equations

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Slides and tutorial from Jess Metcalf  
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JOHNS HOPKINS

BLOOMBERG SCHOOL  
*of* PUBLIC HEALTH

# Learning objectives

- Understand the difference between statistical and mechanistic models  
*Comprendre la différence entre les modèles statistiques et mécanistes.*
- Understand how to formalize and conceptualize compartmental models  
*Comprendre comment formuler et conceptualiser les modèles compartimentés*
- Example: population growth, predator prey, SIR models



# **Compartmental models (Mechanistic Models)**



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Populations are divided into compartments

Les populations sont subdivisées en compartiments



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Compartments and transition rates are  
determined by biological systems

Les compartiments et les taux de transition sont déterminés par les  
systèmes biologiques



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Rates of transferring between compartments  
are expressed mathematically

Taux de transition entre les compartiments sont exprimés  
mathématiquement



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mathématiquement

*Individuals within a compartment are homogeneously mixed*

*Les individus d'un compartiment sont mélangés de manière homogène*



**How are these different from statistical  
models?**

**En quoi sont-ils différents des modèles  
statistiques?**



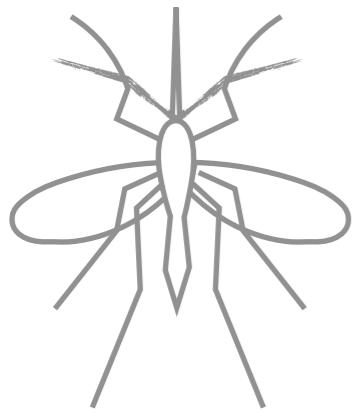
# **How are these different from statistical models?**

## **En quoi sont-ils différents des modèles statistiques?**

Make explicit hypotheses about biological mechanisms that drive dynamics (may not be realistic, but still explicit)

Faire des hypothèses explicites sur les mécanismes biologiques qui régissent la dynamique (peut ne pas être réaliste, mais toujours explicite)



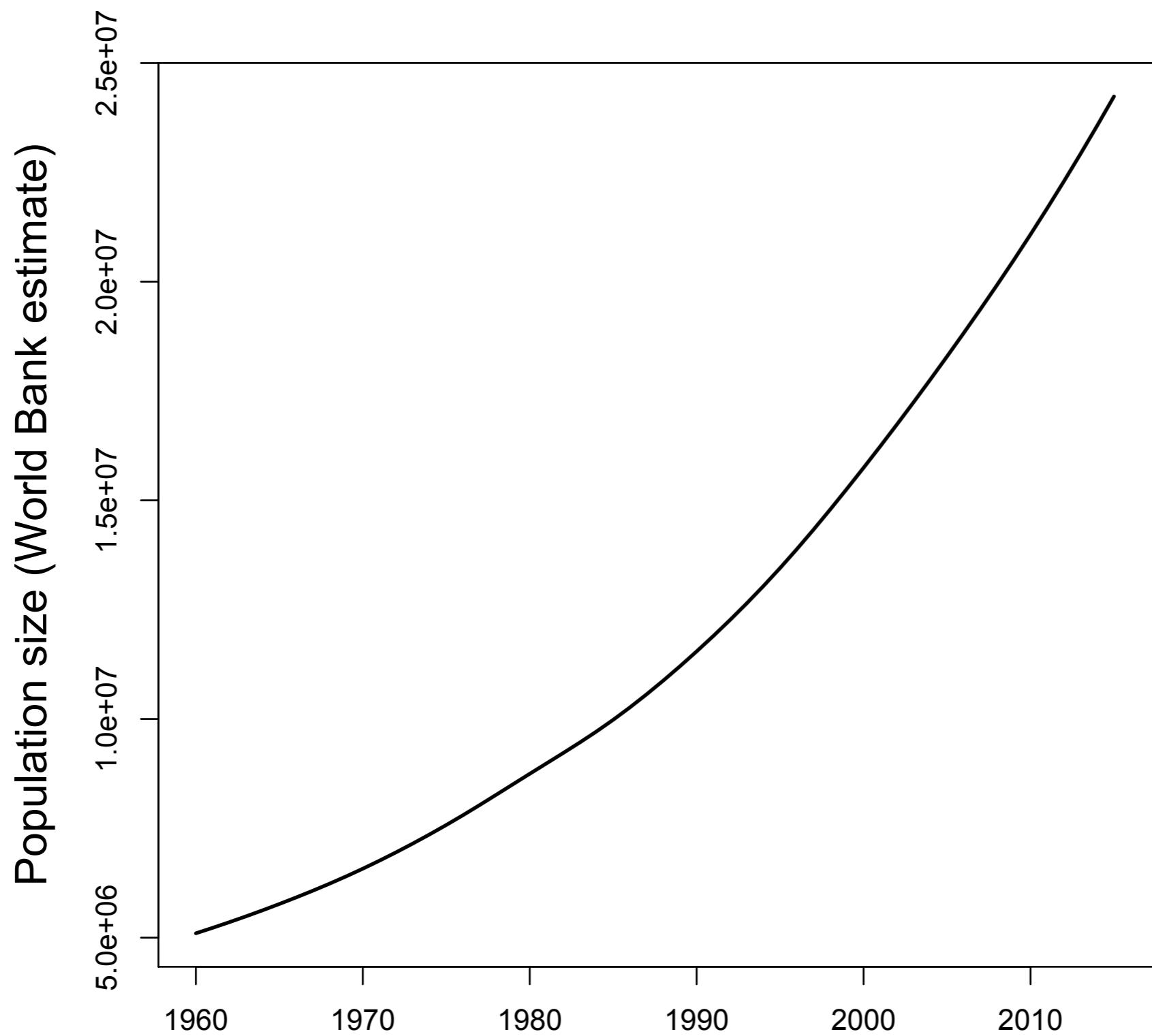


## I. Population Models

## I. modèles de population



# Madagascar



<http://databank.worldbank.org>



# The basic population model

## Compartmental models (Mechanistic Models)

1. Populations are divided into compartments
2. Compartments and transition rates are determined by biological systems
3. Rates of transferring between compartments are expressed mathematically

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## The basic population model

### Compartmental models (Mechanistic Models)

1. Populations are divided into compartments
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**How could we build a compartmental model of population growth?**

**Comment pourrions-nous construire un modèle fragmentaire de croissance démographique?**



# The basic population model

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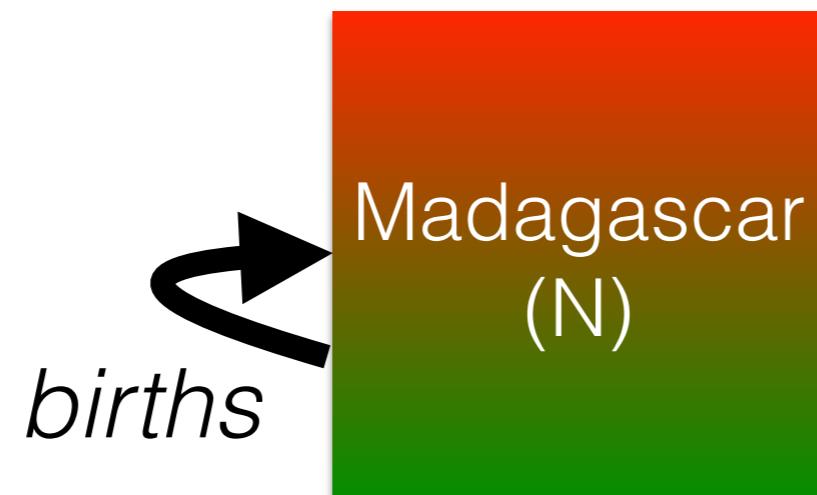
**How does the population increase?**



# The basic population model

## Compartmental models (Mechanistic Models)

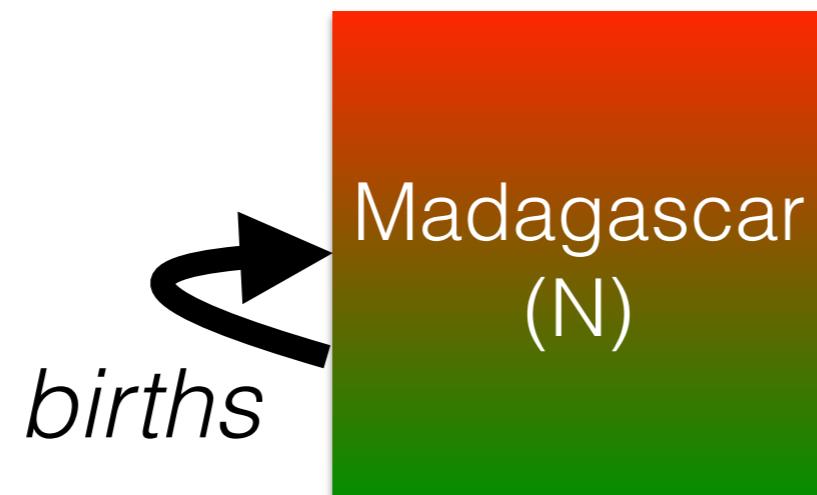
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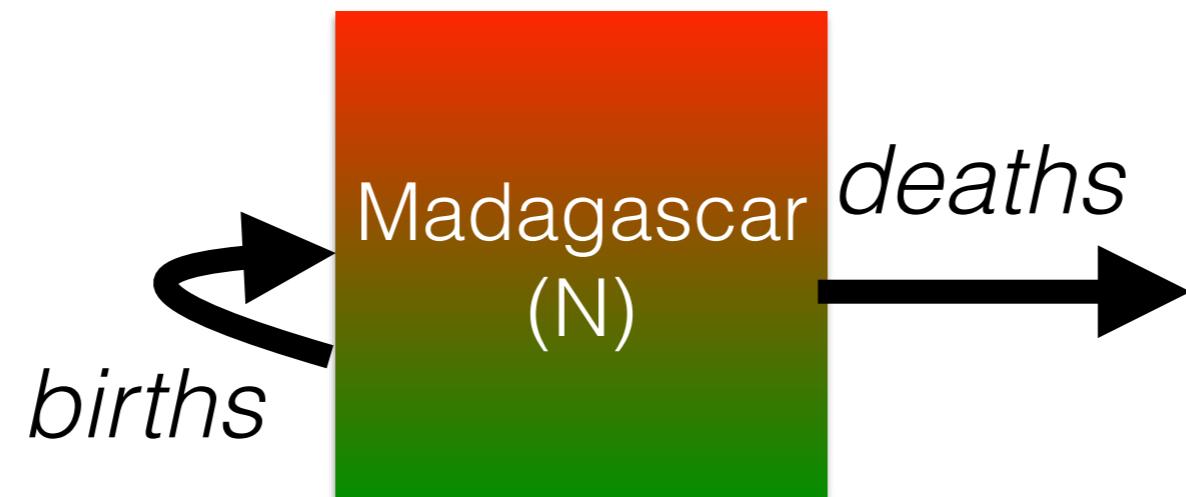
**How does the population decrease?**



# The basic population model

## Compartmental models (Mechanistic Models)

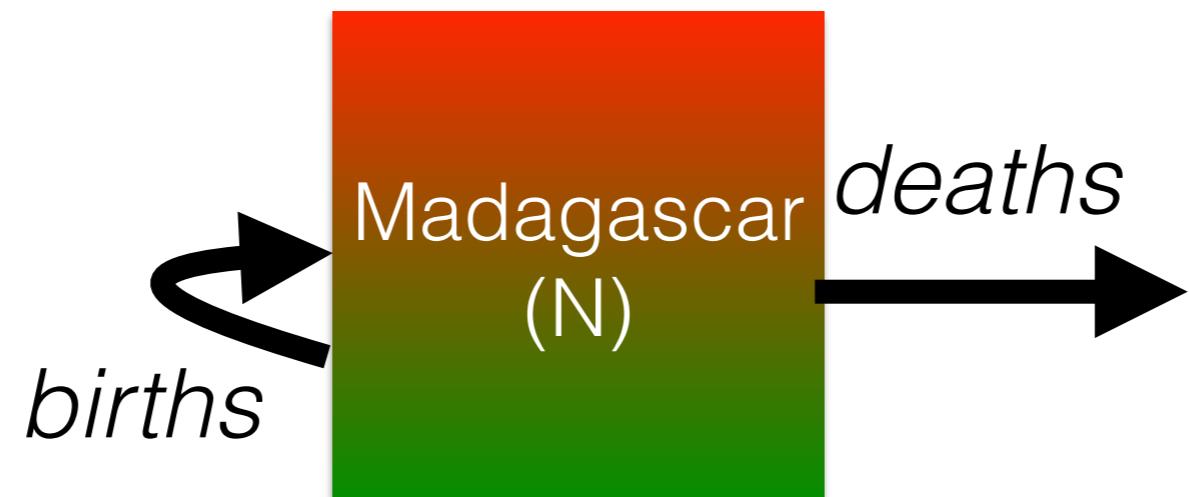
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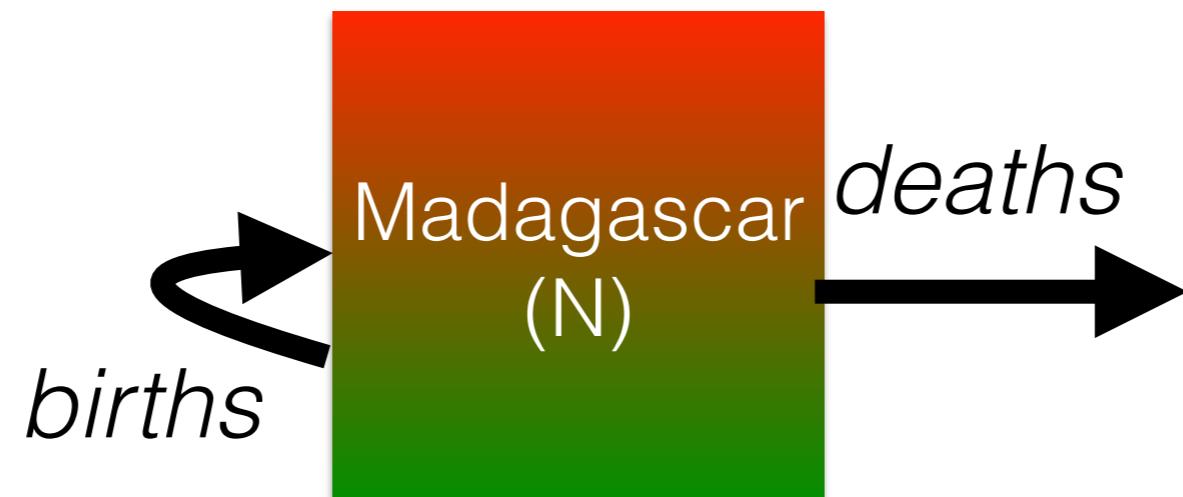
$$N_{t+1} =$$



# The basic population model

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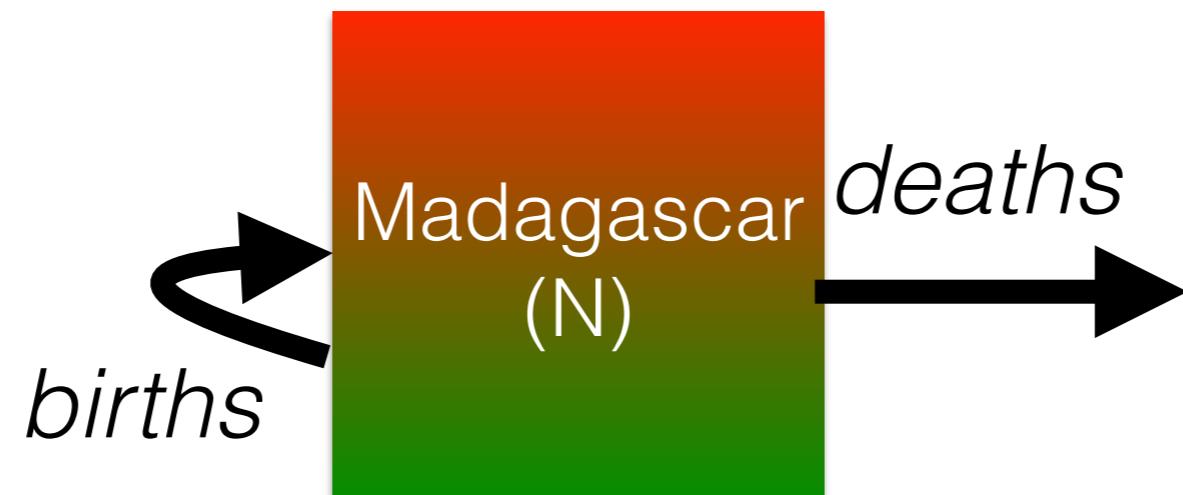
$$N_{t+1} = \text{births} * N_t$$



# The basic population model

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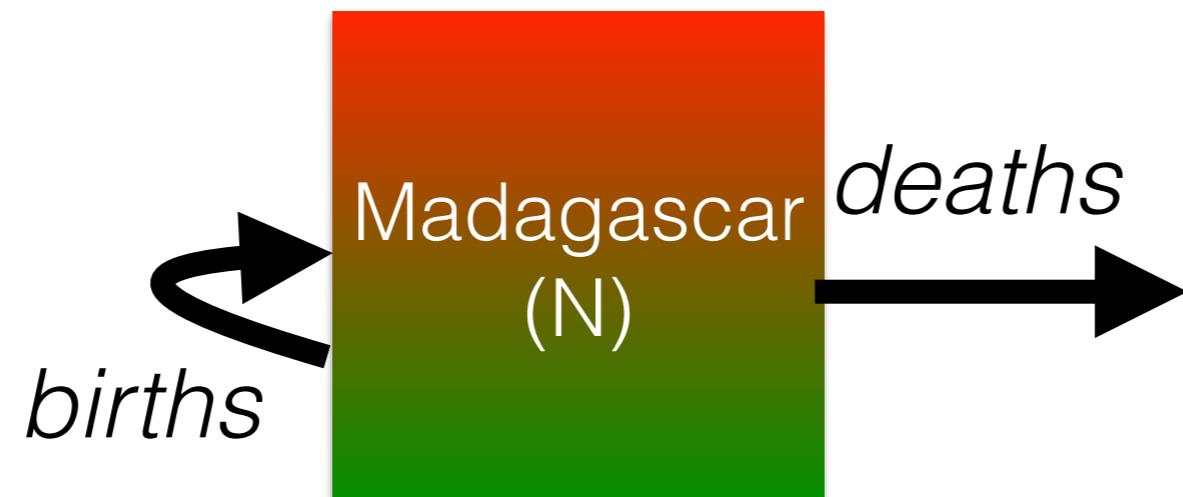
$$N_{t+1} = \text{births} * N_t - \text{deaths} * N_t$$



# The basic population model

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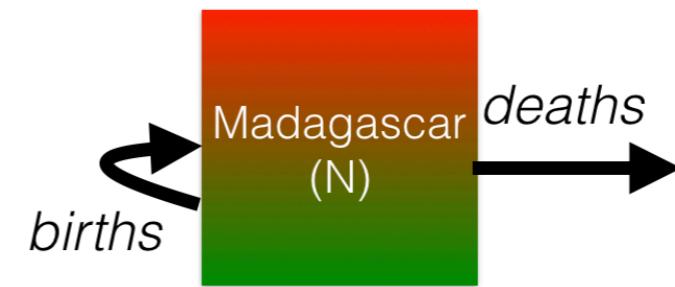
$$N_{t+1} = \text{births} * N_t - \text{deaths} * N_t$$

$$N_{t+1} = (\text{births} - \text{deaths}) * N_t$$

$$N_{t+1} = \lambda * N_t$$

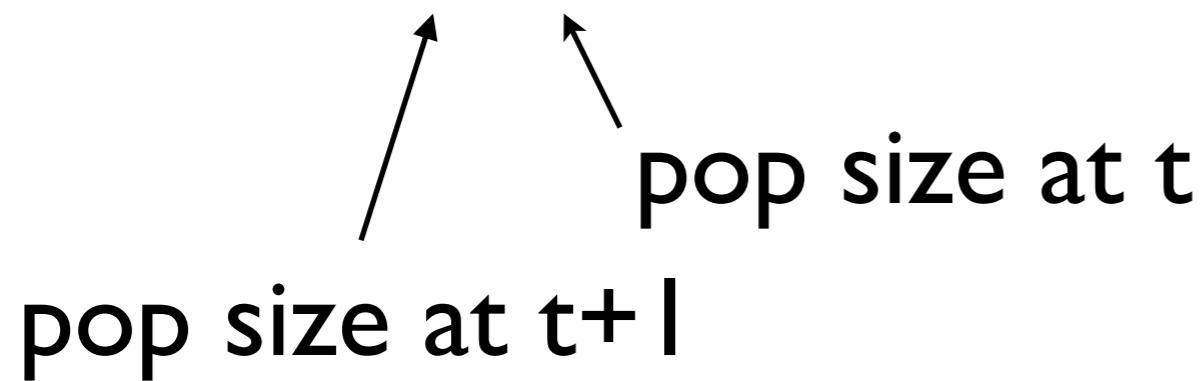


# The basic population model

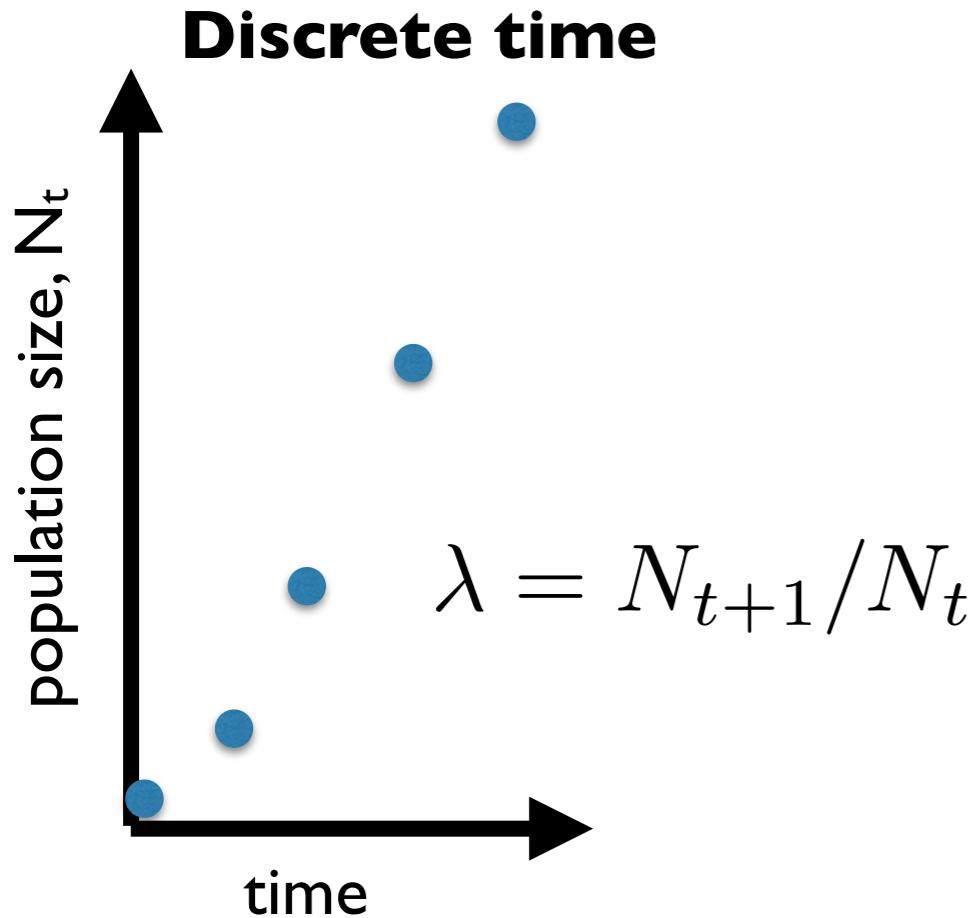
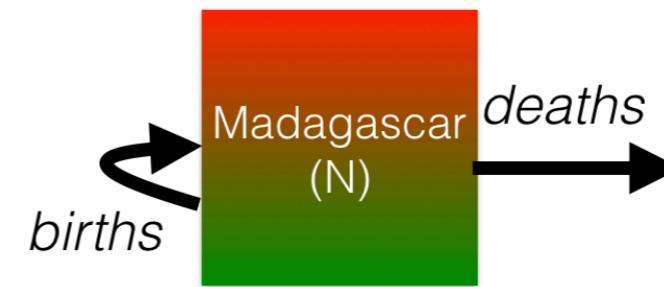


$$\lambda = N_{t+1}/N_t$$

Population rate of increase



# The basic population model



$$N_1 = \lambda N_0$$

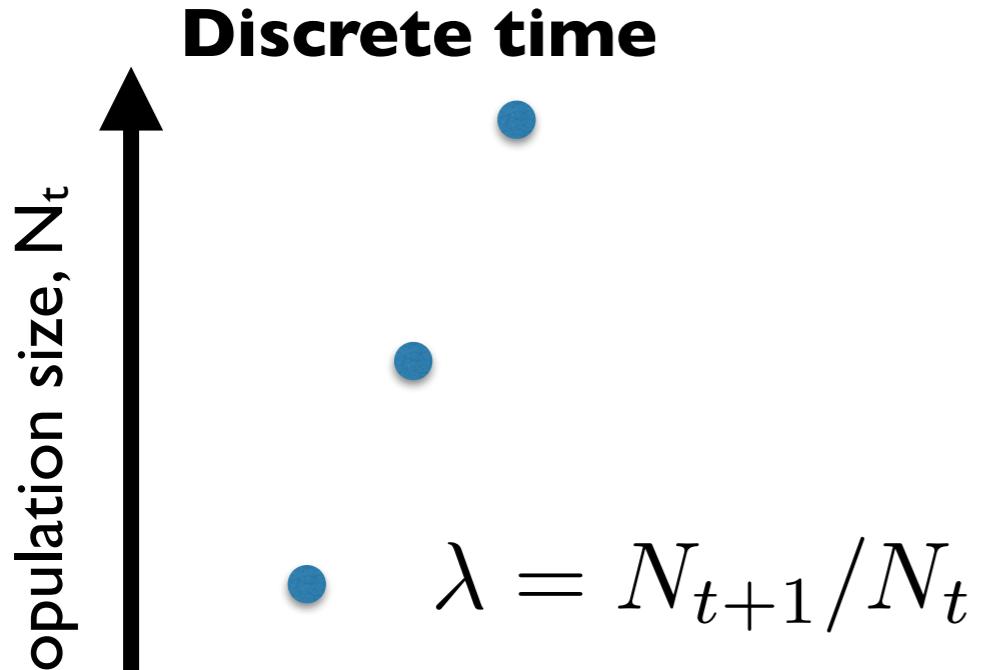
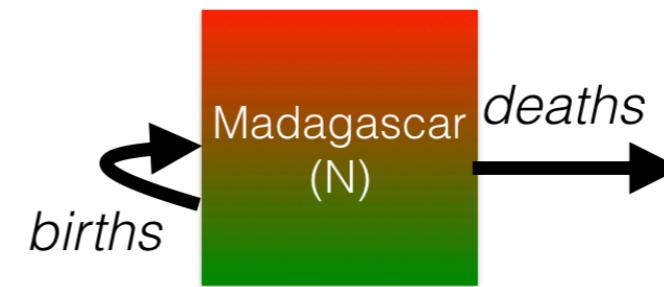
$$N_2 = \lambda [\lambda N_0] = \lambda^2 N_0$$

$$N_3 = \lambda^3 N_0$$

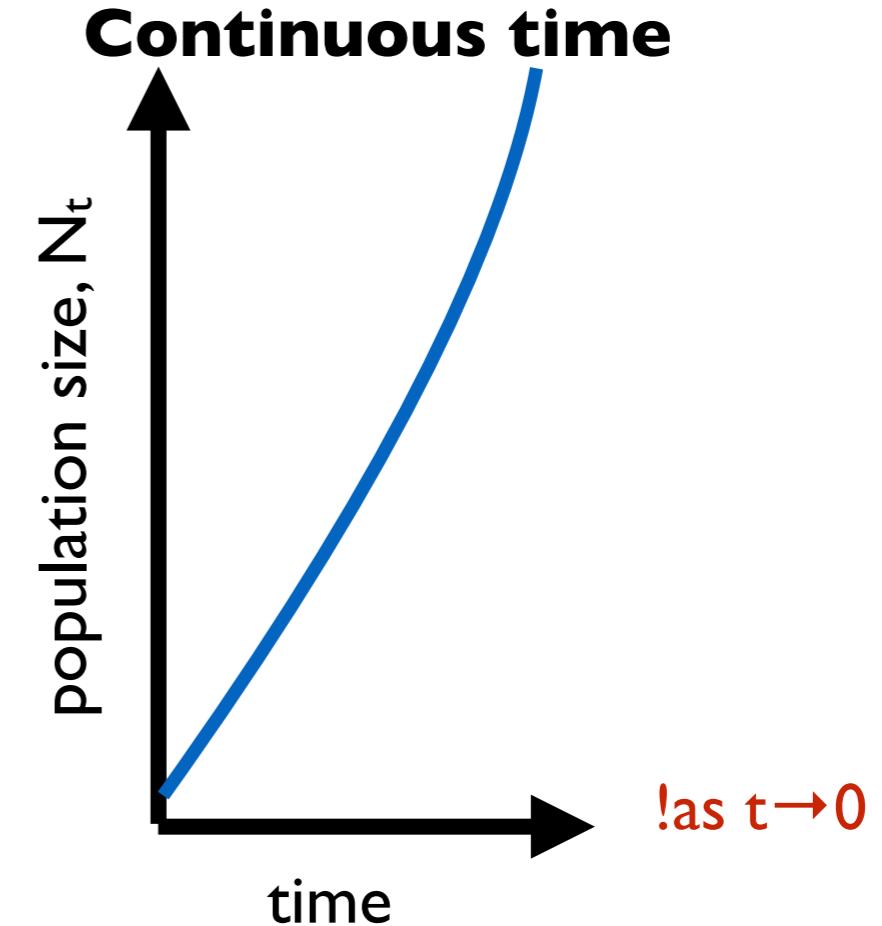
$$N_t = \lambda^t N_0$$



# The basic population model



$$\lambda = N_{t+1}/N_t$$



$$\frac{dP}{dt} = rP$$
$$r = [P(t) - P(0)]/t$$

$$N_1 = \lambda N_0$$

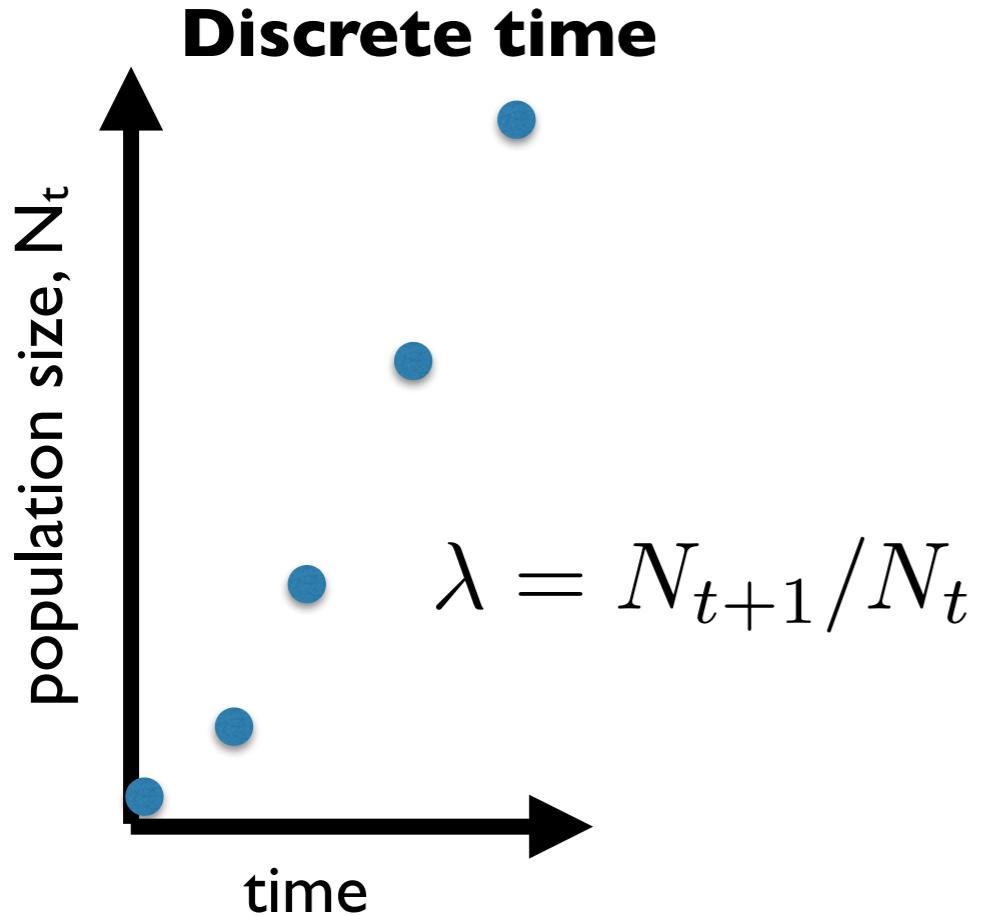
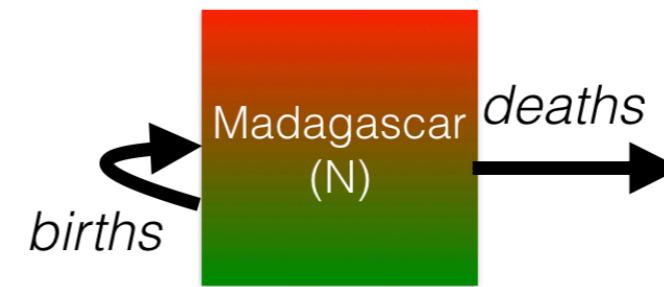
$$N_2 = \lambda [\lambda N_0] = \lambda^2 N_0$$

$$N_3 = \lambda^3 N_0$$

$$N_t = \lambda^t N_0$$



# The basic population model



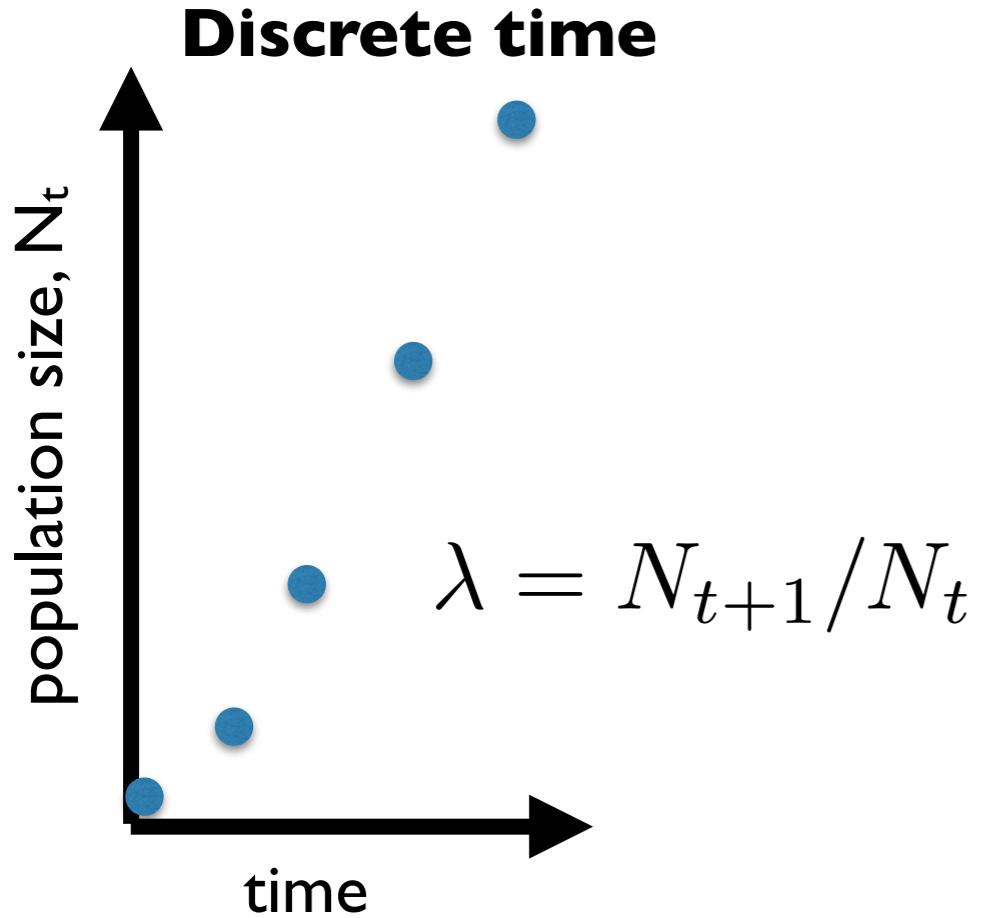
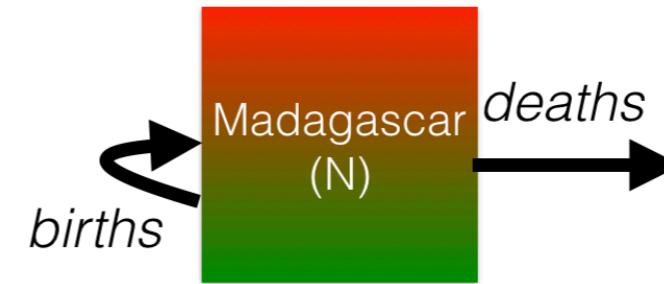
**Continuous time**

$$dP(t)/dt = rP(t)$$

$$\begin{aligned}N_1 &= \lambda N_0 \\N_2 &= \lambda[\lambda N_0] = \lambda^2 N_0 \\N_3 &= \lambda^3 N_0 \\N_t &= \lambda^t N_0\end{aligned}$$



# The basic population model



## Continuous time

$$dP(t)/dt = rP(t)$$

*Separation of variables:*  
 $dP(t)/P(t) = r dt$

$$N_1 = \lambda N_0$$

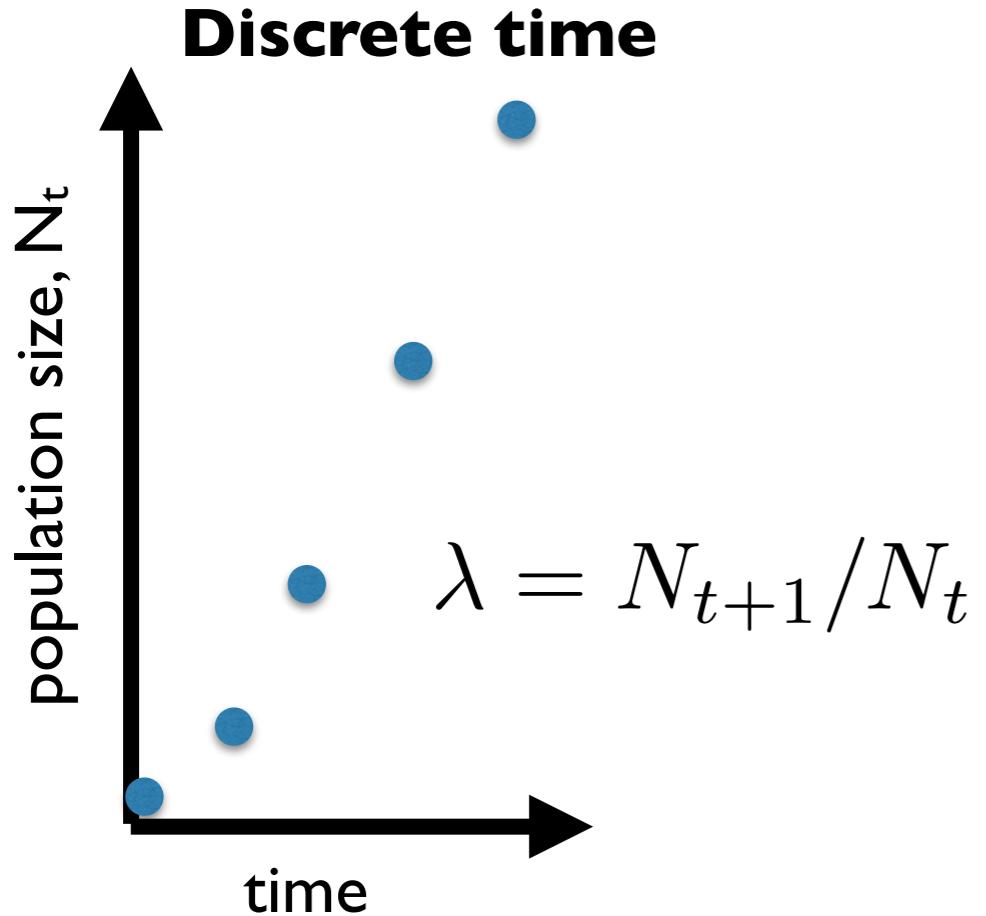
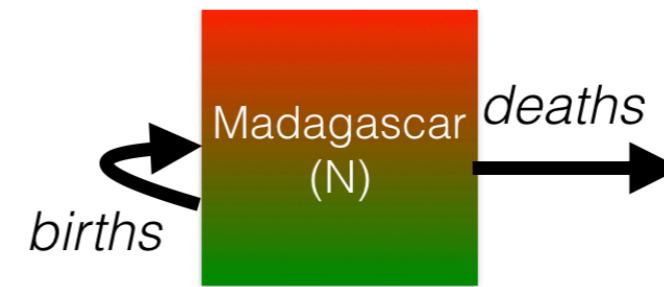
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# The basic population model



## Continuous time

$$dP(t)/dt = rP(t)$$

*Separation of variables:*  
 $dP(t)/P(t) = r dt$

*Integrate both sides:*  
 $\int dP(t)/P(t) = \int r dt$

$$N_1 = \lambda N_0$$

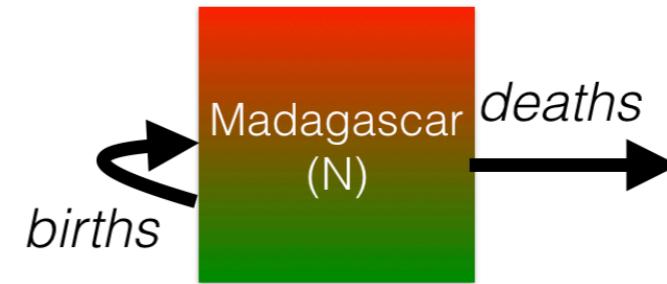
$$N_2 = \lambda [\lambda N_0] = \lambda^2 N_0$$

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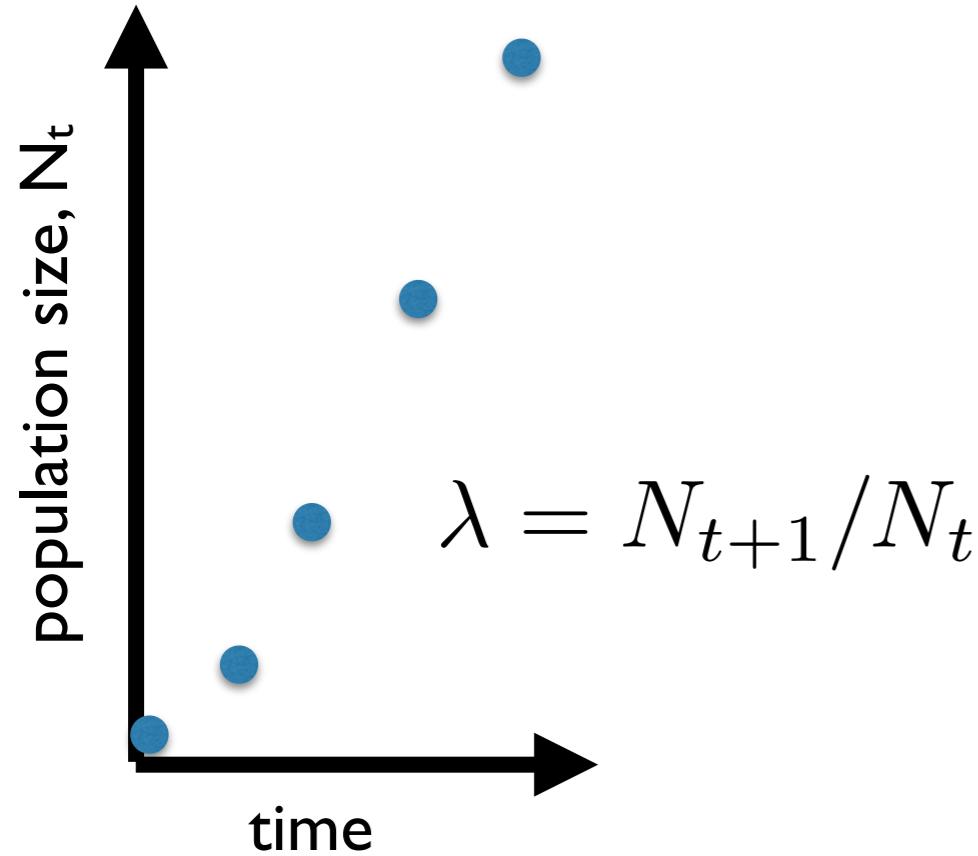
$$N_t = \lambda^t N_0$$



# The basic population model



## Discrete time



$$N_1 = \lambda N_0$$

$$N_2 = \lambda [\lambda N_0] = \lambda^2 N_0$$

$$N_3 = \lambda^3 N_0$$

$$N_t = \lambda^t N_0$$

## Continuous time

$$\frac{dP(t)}{dt} = rP(t)$$

*Separation of variables:*  
 $\frac{dP(t)}{P(t)} = r dt$

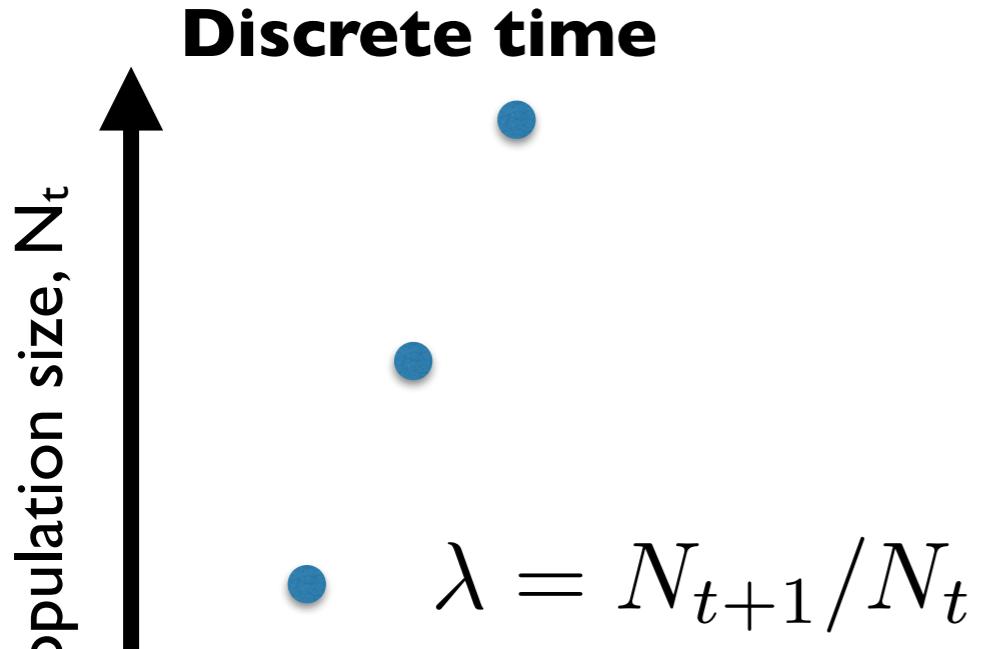
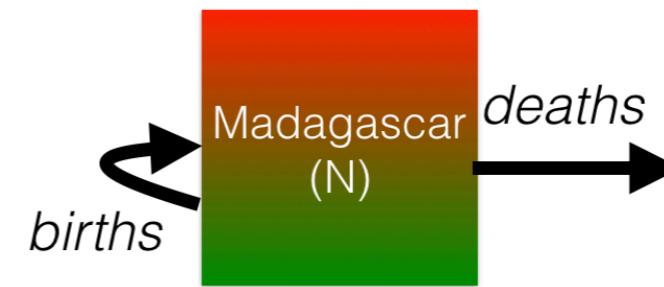
*Integrate both sides:*  
 $\int \frac{dP(t)}{P(t)} = \int r dt$

*By definition:*  
 $\log(P(t)) = rt + c$

*Take exponentials:*  
 $P(t) = e^{rt + c} = C e^{rt}$   
 $P(t) = P(0)e^{rt}$

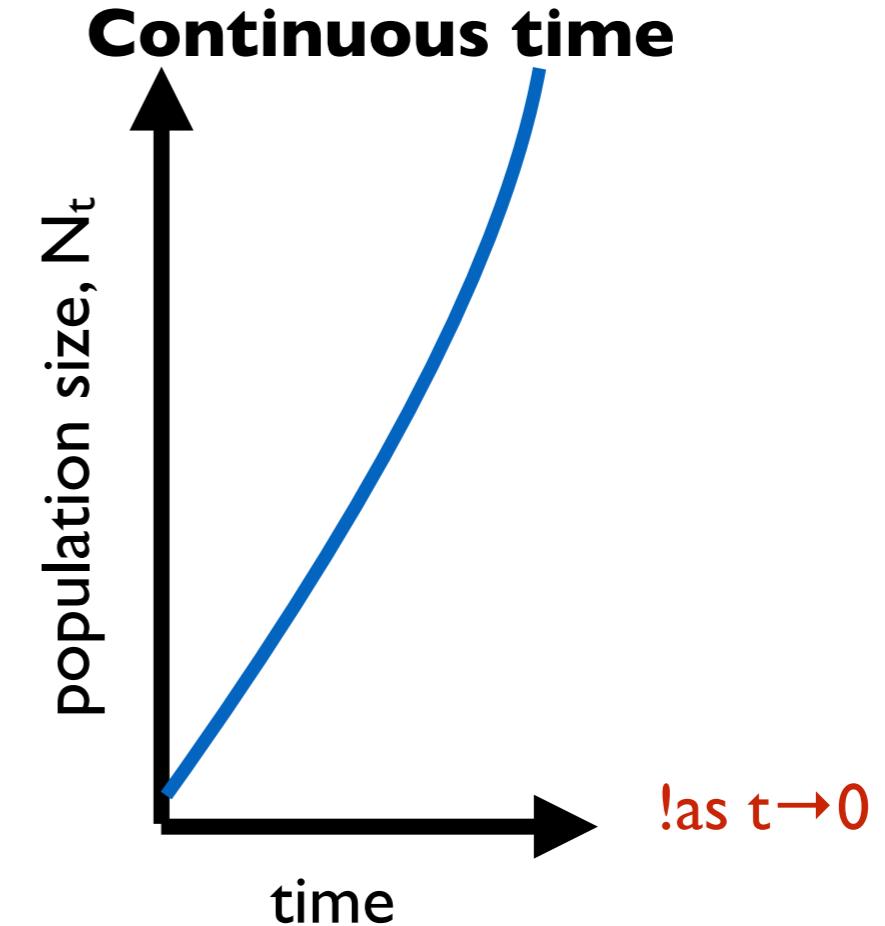


# The basic population model



$$N_1 = \lambda N_0$$
$$N_2 = \lambda[\lambda N_0] = \lambda^2 N_0$$
$$N_3 = \lambda^3 N_0$$

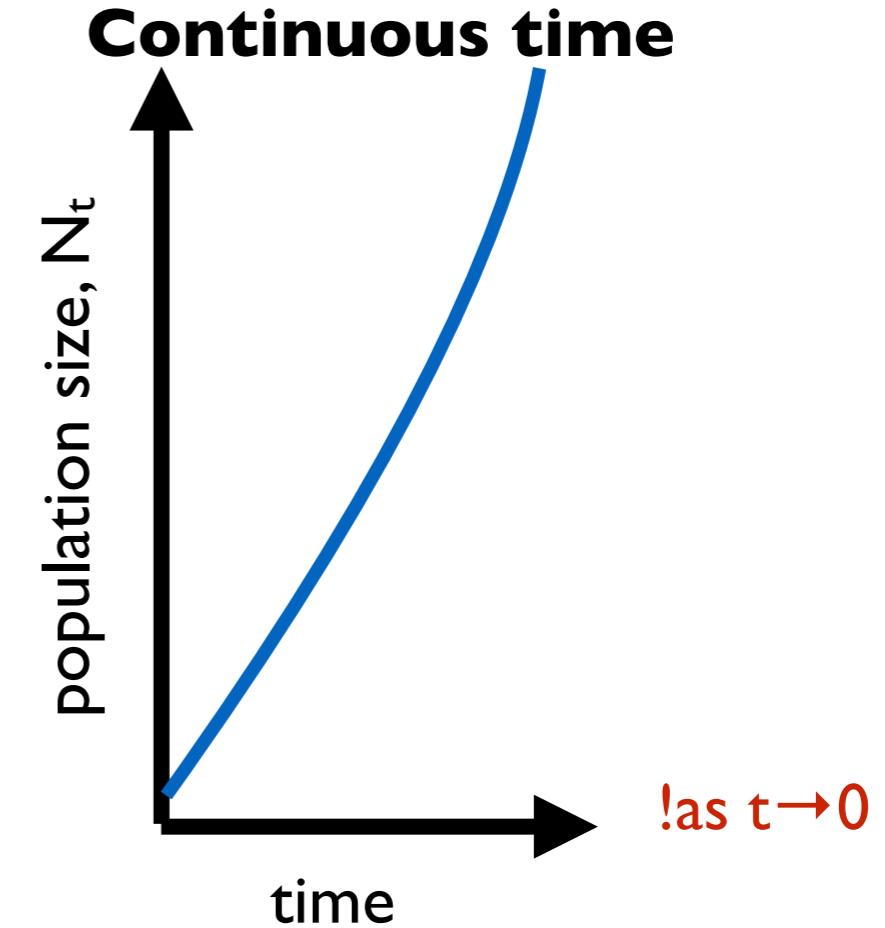
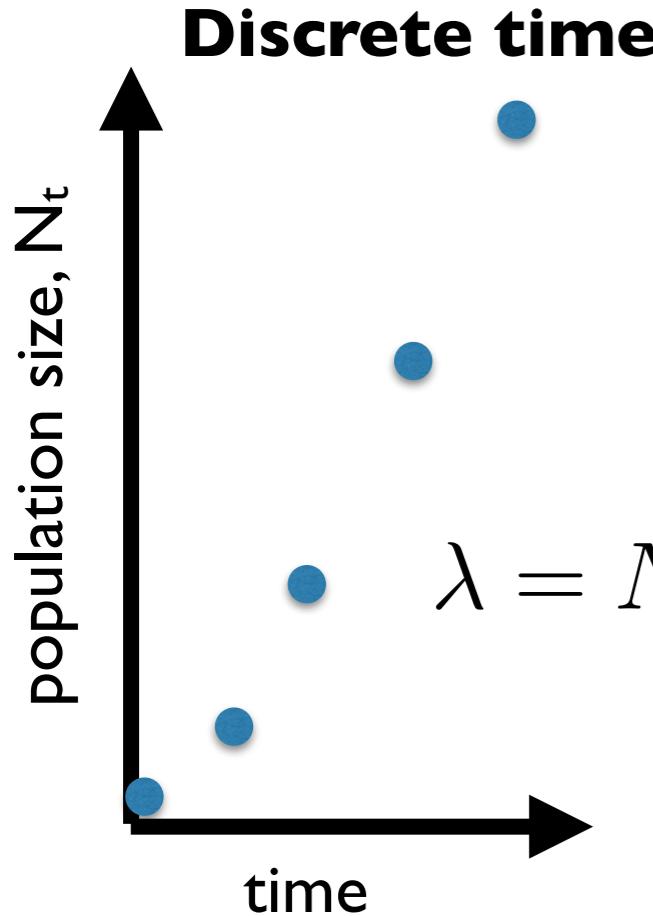
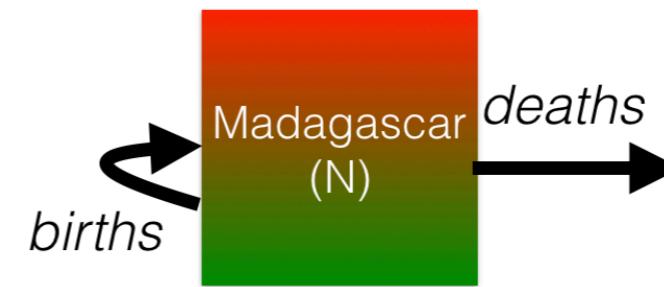
$$N_t = \lambda^t N_0$$



$$P(t) = P(0)e^{rt}$$



# The basic population model



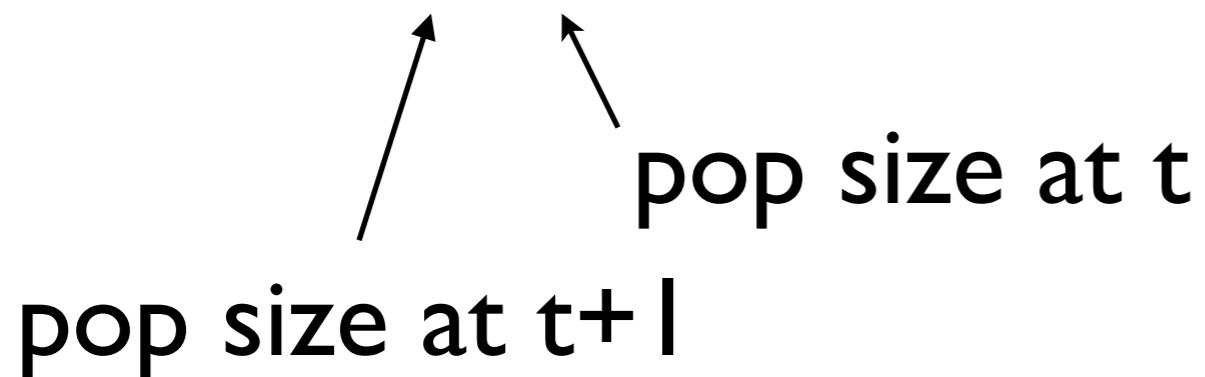
Continuous models can be discretized; discrete models can be approximated by continuous ones. The appropriate framing may depend on the data / question.



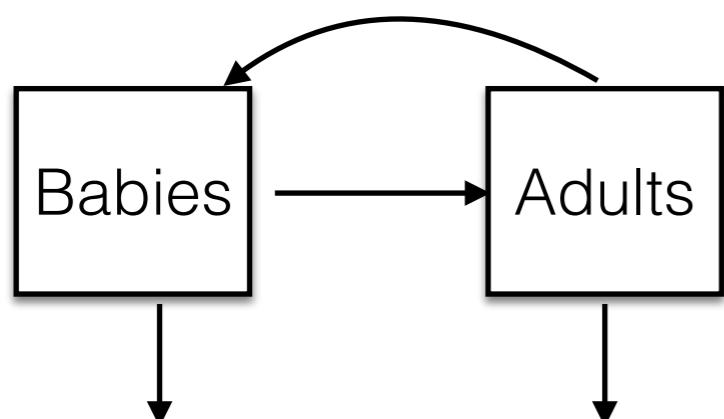
# The basic population model

$$\lambda = N_{t+1}/N_t$$

Population rate of increase



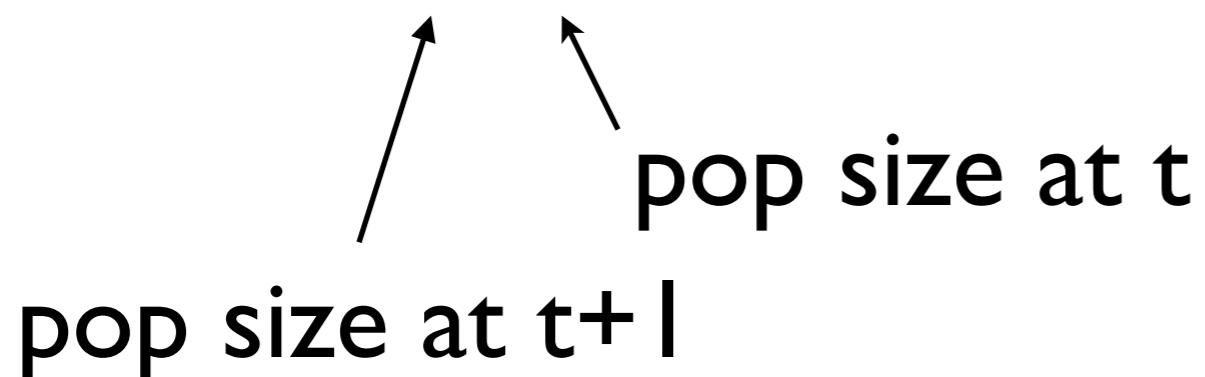
Structured population model



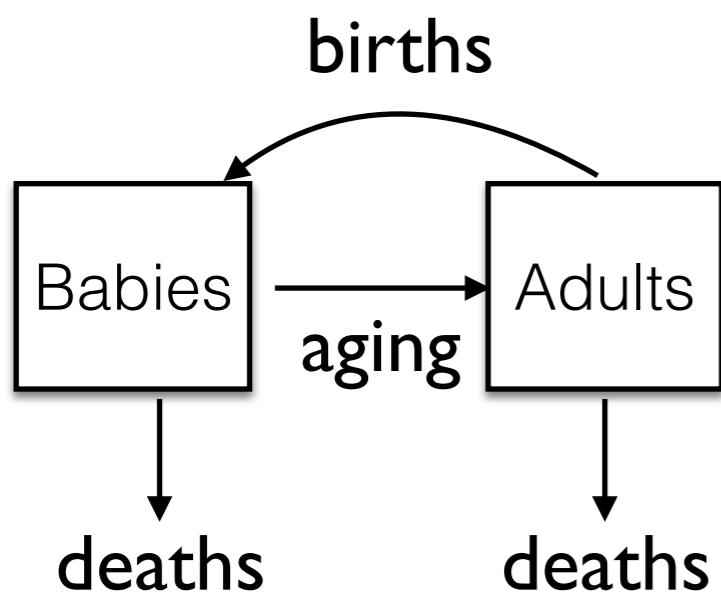
# The basic population model

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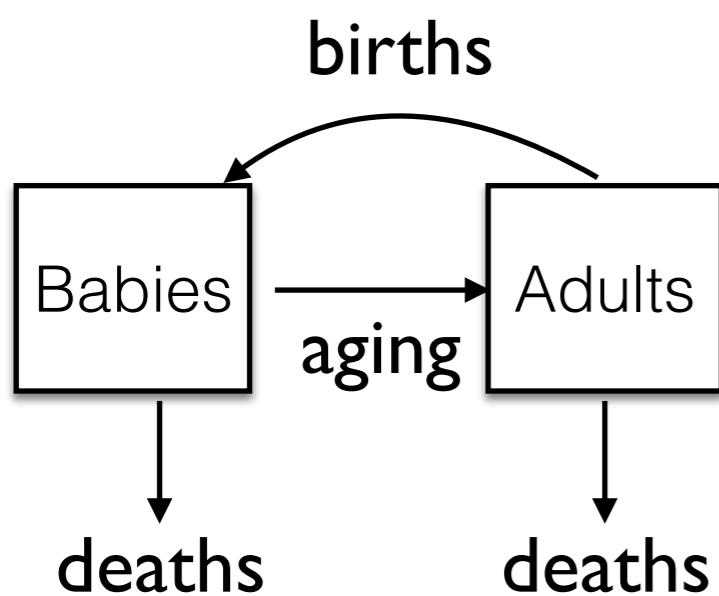
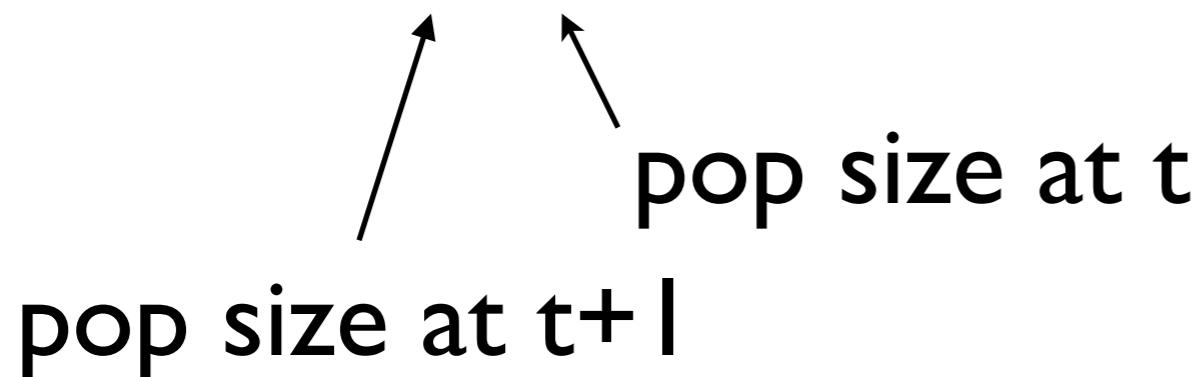
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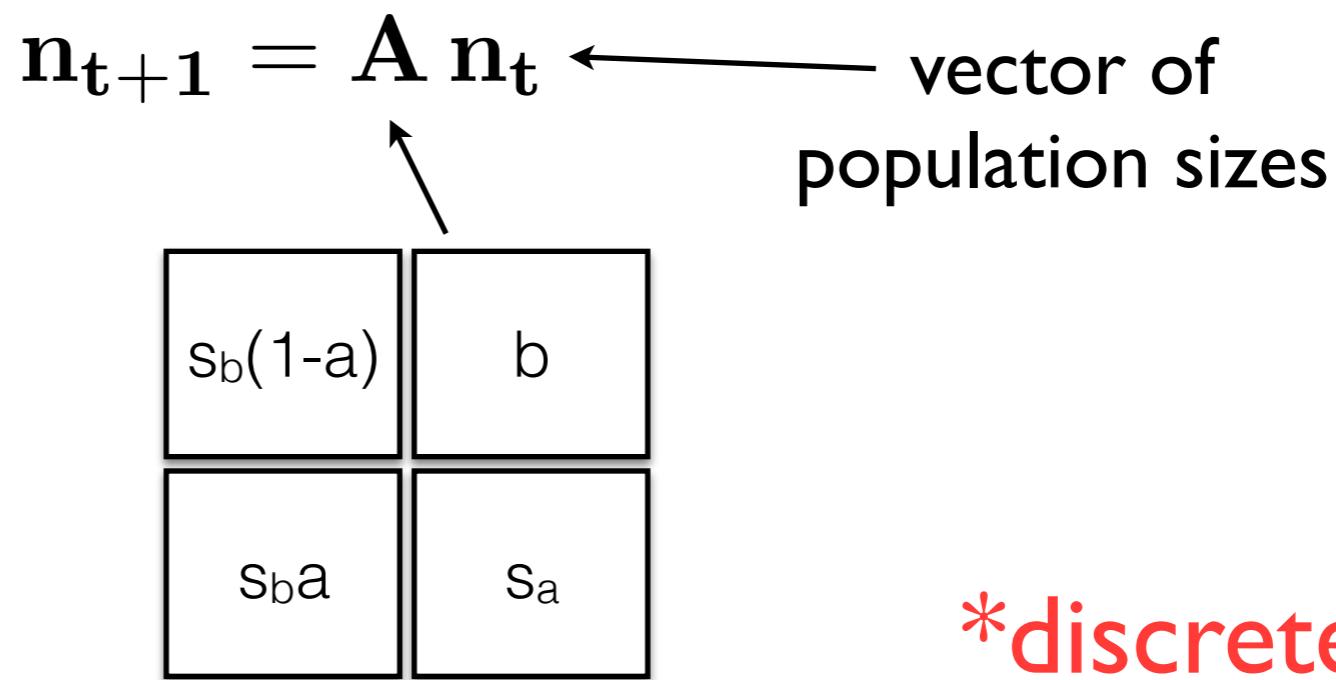
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Population rate of increase



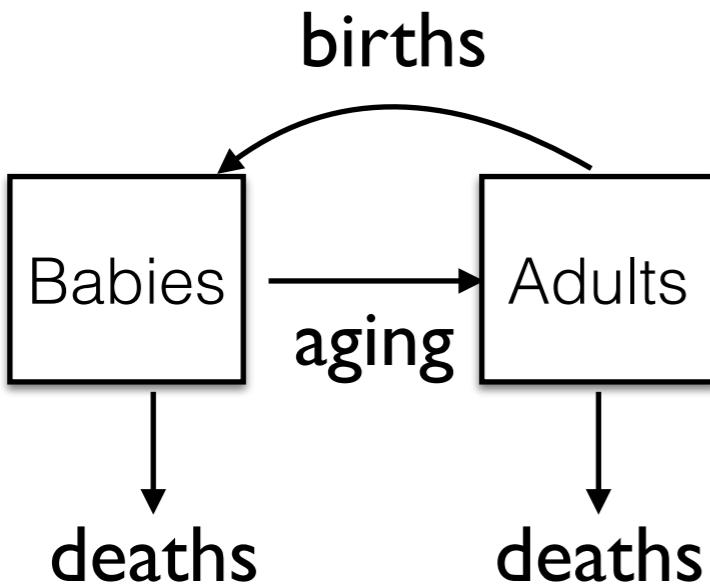
Structured population model



\*discrete time



# The basic population model



## Structured population model

$$\mathbf{n}_{t+1} = \mathbf{A} \mathbf{n}_t$$

A diagram showing the matrix multiplication for a structured population model. On the left, a matrix  $\mathbf{A}$  is shown as a 2x2 grid:

$s_b(1-a)$	$b$
$s_b a$	$s_a$

Next to it is a multiplication sign ( $\times$ ). To the right of the multiplication sign is a vector  $\mathbf{n}_t$  as a 2x1 column:

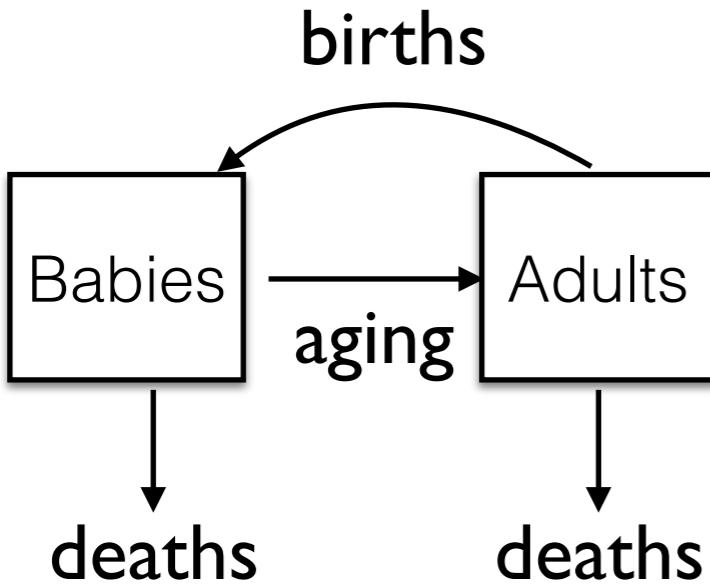
$n_b$
$n_a$

Followed by an equals sign (=), is the resulting vector  $\mathbf{n}_{t+1}$  as a 2x1 column:

$s_b(1-a)n_b + b n_a$
$s_b a n_b + s_a n_a$



# The basic population model



## Structured population model

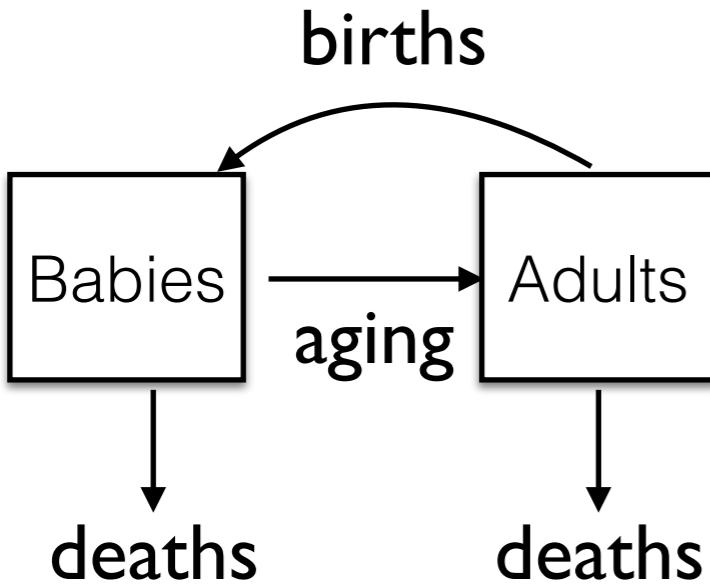
$$\mathbf{n}_{t+1} = \mathbf{A} \mathbf{n}_t$$

Population growth will depend on population structure

<b>A</b>	<b>n<sub>t</sub></b>	<b>n<sub>t+1</sub></b>
$\begin{matrix} s_b(1-a) & b \\ s_b a & s_a \end{matrix}$	$\times$	$\begin{matrix} n_b \\ n_a \end{matrix}$
	=	$\begin{matrix} s_b(1-a)n_b + bn_a \\ s_b a n_b + s_a n_a \end{matrix}$



# The basic population model



## Structured population model

$$\mathbf{n}_{t+1} = \mathbf{A} \mathbf{n}_t$$

Dominant eigenvalue provides growth rate at equilibrium

**A**

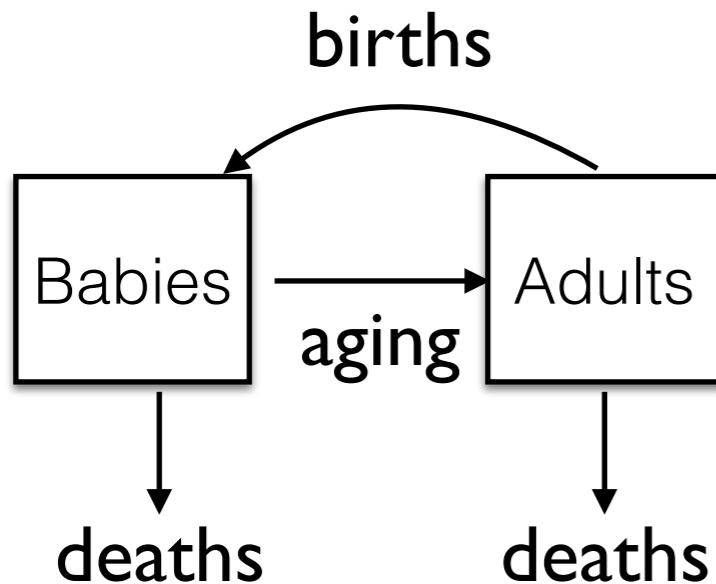
**n<sub>t</sub>**

**n<sub>t+1</sub>**

$$\begin{array}{c|c} s_b(1-a) & b \\ \hline s_b a & s_a \end{array} \times \begin{array}{c|c} n_b \\ \hline n_a \end{array} = \begin{array}{c|c} s_b(1-a)n_b + bn_a \\ \hline s_b a n_b + s_a n_a \end{array}$$



# The basic population model



## Structured population model

$$n_{t+1} = A n_t$$

### Conservation and Management of a Threatened Madagascar Palm Species, *Neodypsis decaryi*, Jumelle

JOELISOA RATSIRARSON,\*‡ JOHN A. SILANDER, JR., \* AND ALISON F. RICHARD†

\*Department of Ecology and Evolutionary Biology, 75 N. Eagleville Road, The University of Connecticut, Storrs, CT 06269, U.S.A.

†Yale School of Forestry and Environmental Studies, 205 Prospect Street, New Haven, CT 06520, U.S.A.

‡Current Address: Yale School of Forestry and Environmental Studies, 205 Prospect Street, New Haven, CT 06520, U.S.A.



# Key concepts

-Continuous vs. discrete models

*Modèles en temps continue vs. modèles en temps discret*

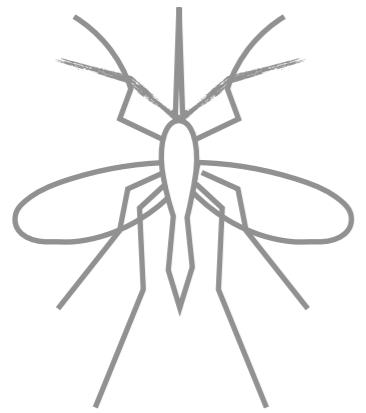
-Deterministic vs. stochastic models

*Modèles déterministique vs. stochastique*

-Structured models

*Modèles structurés .*





## 2. Predator-Prey Models



## The predator prey model

### Compartmental models (Mechanistic Models)

1. Les populations sont subdivisées en compartiments
2. Les compartiments et les taux de transition sont déterminés par les systèmes biologiques
3. Taux de transition entre les compartiments sont exprimés mathématiquement

**How could we build a compartmental model of two animals: a predator (fosa) and prey (rabbit)?**

*Comment pourrions-nous construire un modèle compartimenté de deux animaux: un prédateur (fosa) et une proie (lapin)?*



# The predator prey model

## Compartmental models (Mechanistic Models)

1. Populations are divided into compartments
2. Compartments and transition rates are determined by biological systems
3. Rates of transferring between compartments are expressed mathematically

rabbit  
x

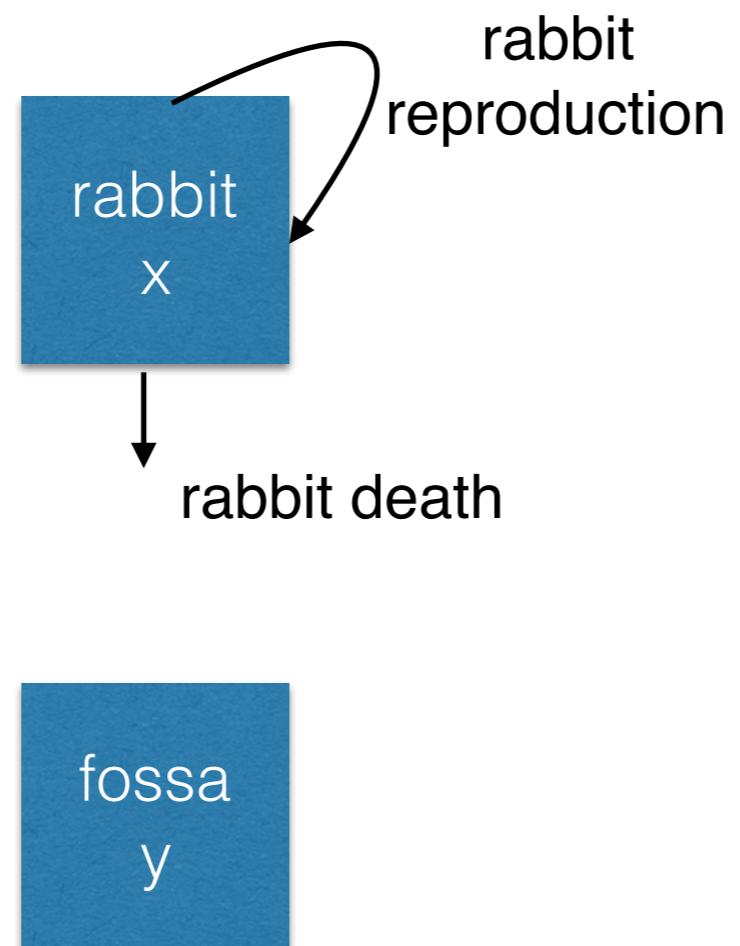
fossa  
y



# The predator prey model

## Compartmental models (Mechanistic Models)

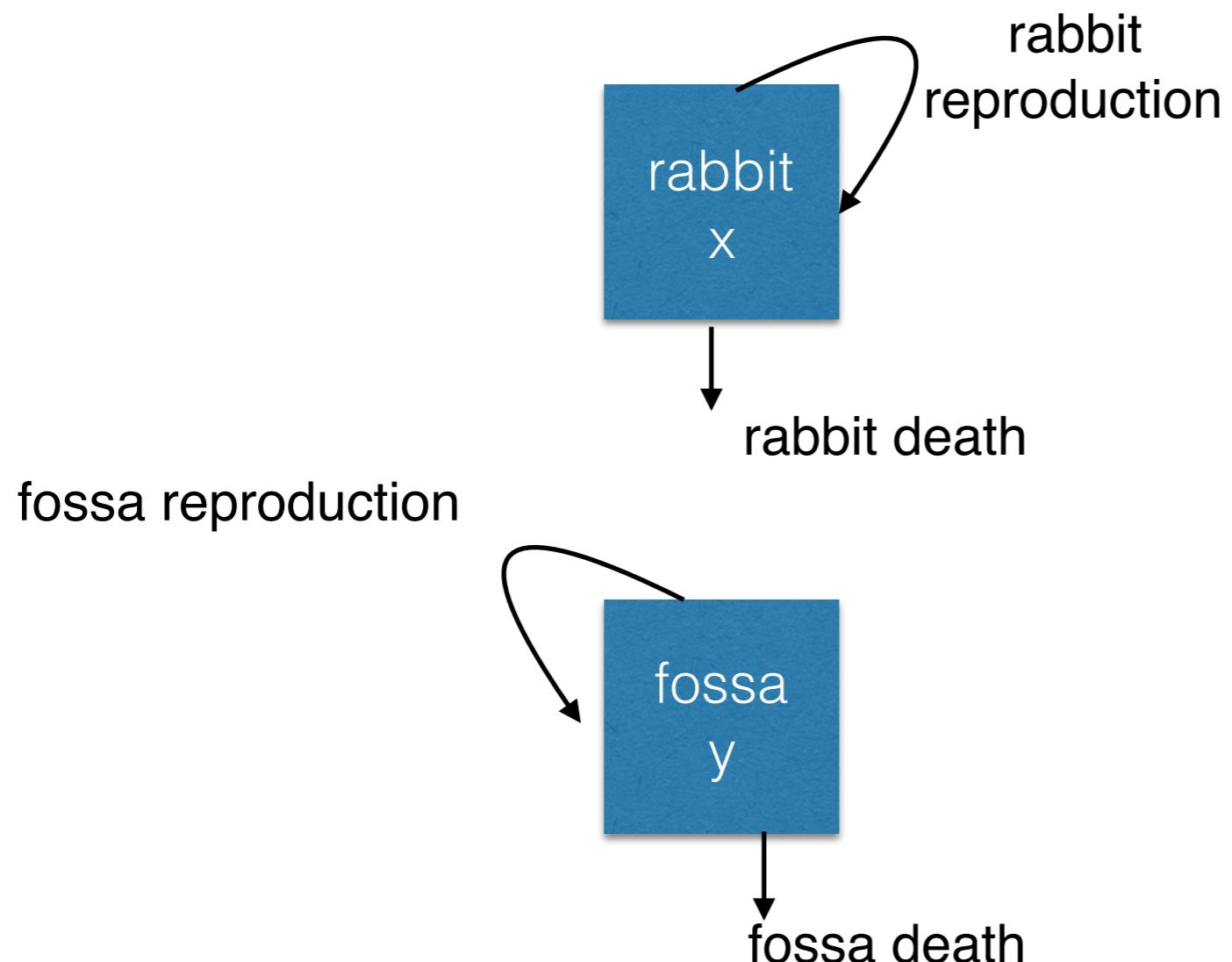
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## The predator prey model

### Compartmental models (Mechanistic Models)

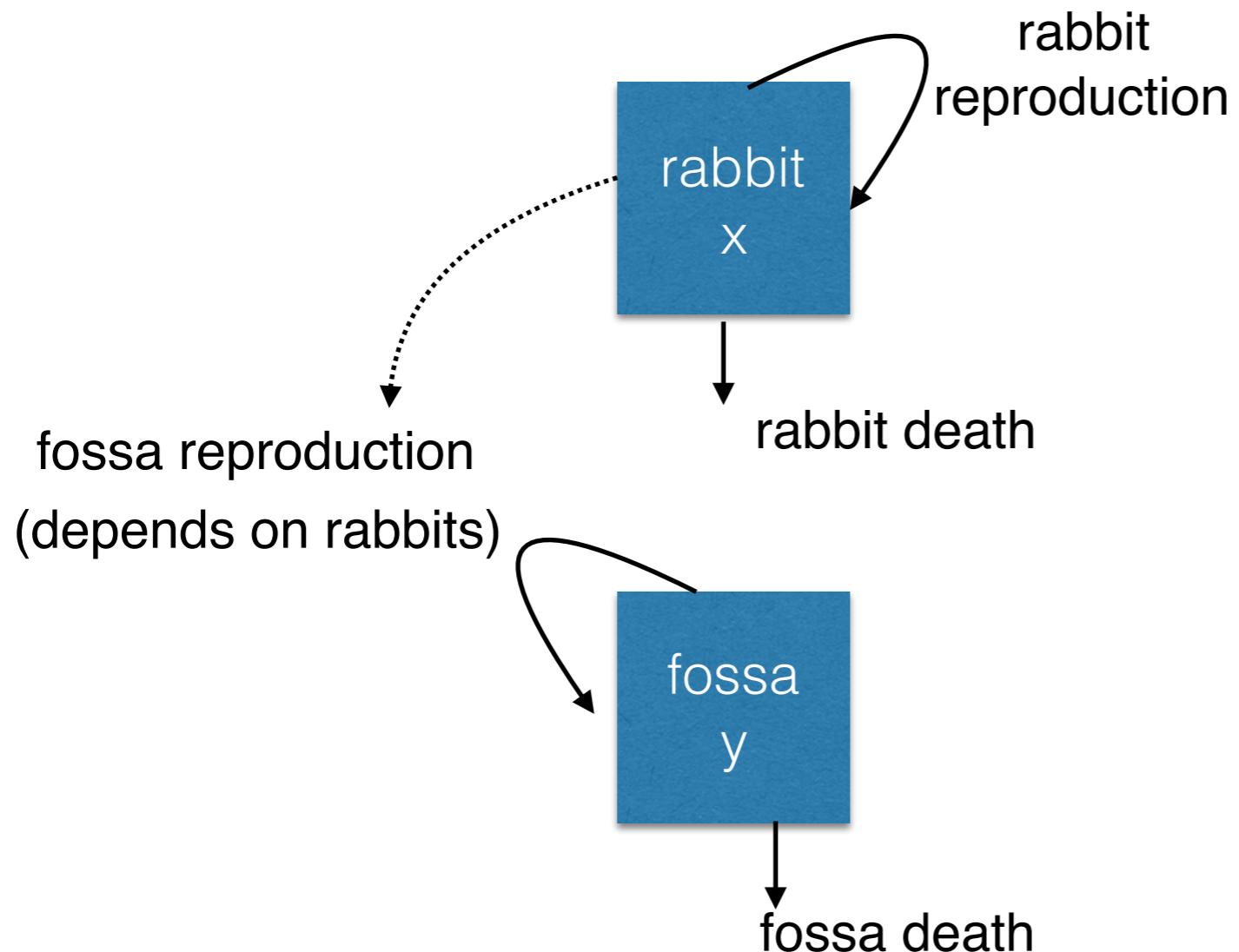
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# The predator prey model

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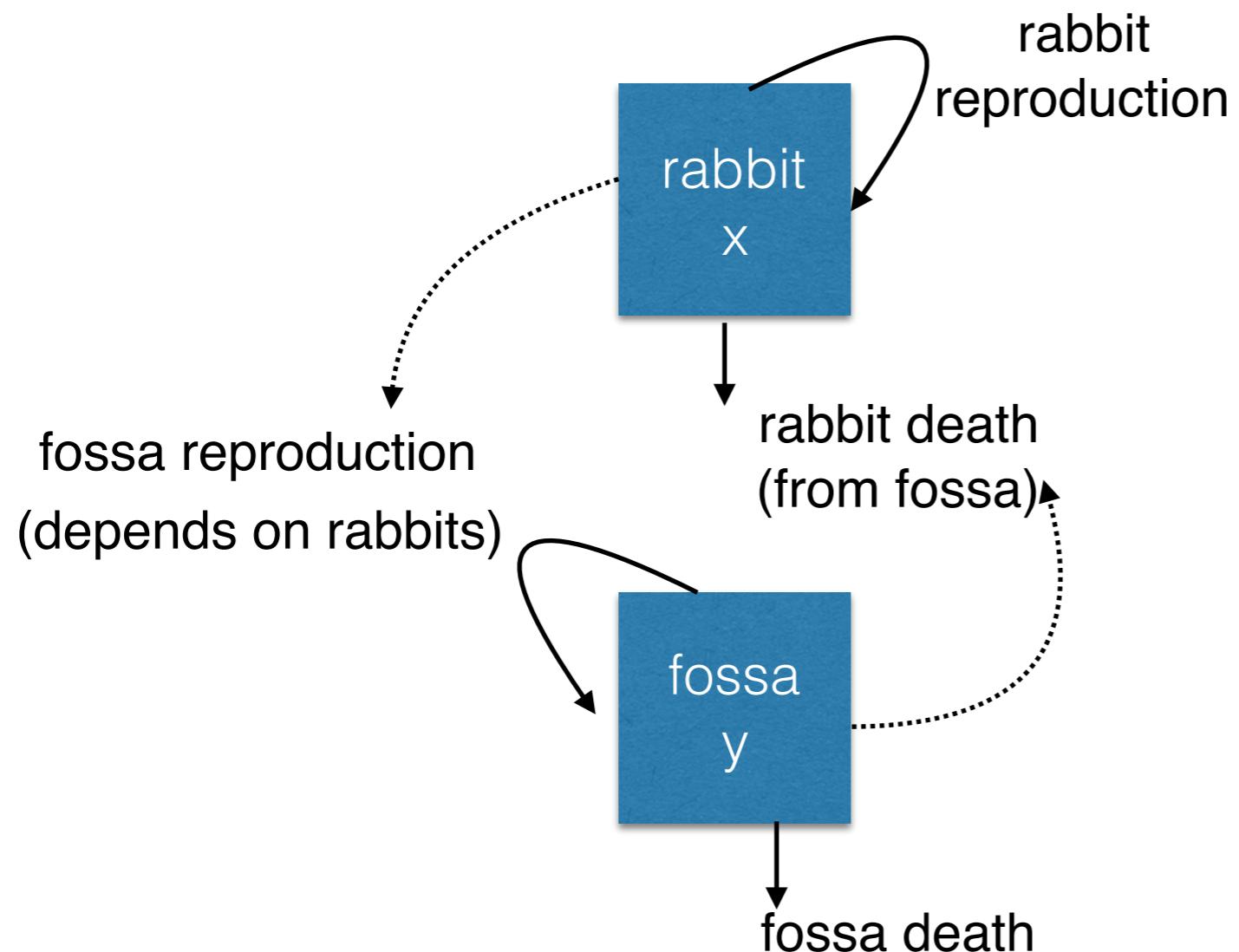
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# The predator prey model

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# The predator prey model

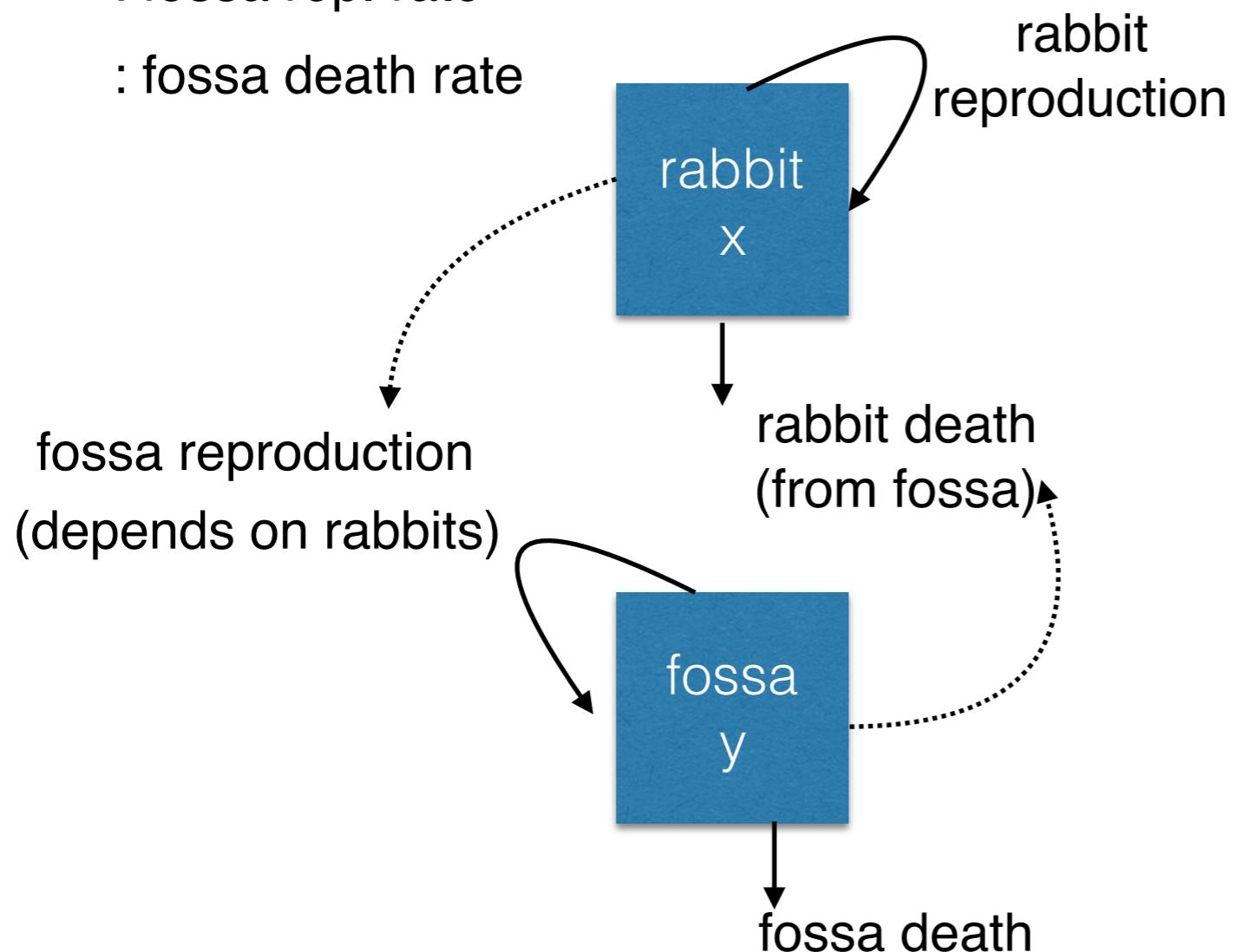
## Parameters

: rabbit rep. rate

: rabbit death rate

: fossa rep. rate

: fossa death rate



## Compartmental models (Mechanistic Models)

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# The predator prey model

## Parameters

$\alpha$  : rabbit rep. rate

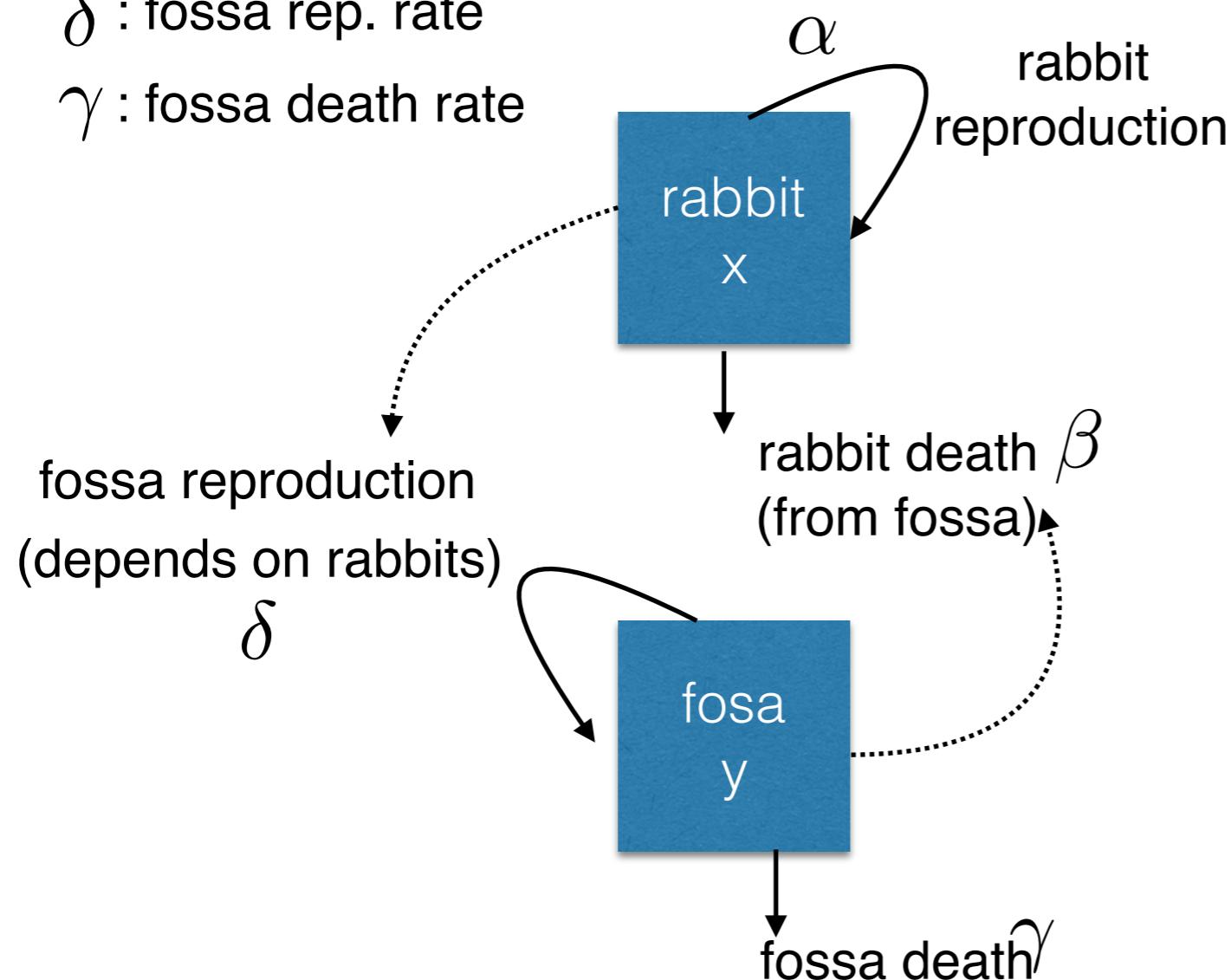
$\beta$  : rabbit death rate

$\delta$  : fossa rep. rate

$\gamma$  : fossa death rate

## Compartmental models (Mechanistic Models)

1. Populations are divided into compartments
2. Compartments and transition rates are determined by biological systems
3. Rates of transferring between compartments are expressed mathematically



# The predator prey model

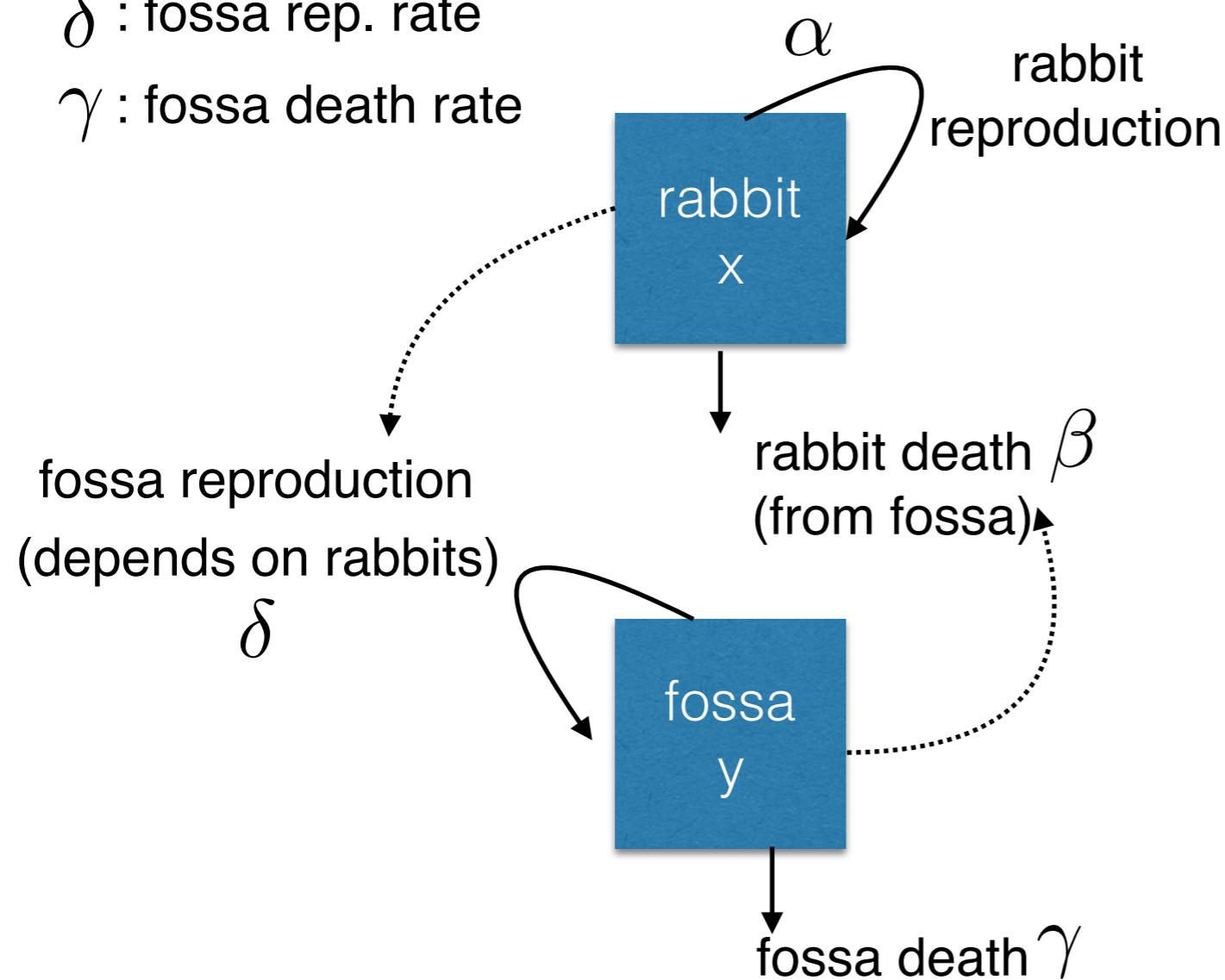
## Parameters

$\alpha$  : rabbit rep. rate

$\beta$  : rabbit death rate

$\delta$  : fossa rep. rate

$\gamma$  : fossa death rate



## Compartmental models (Mechanistic Models)

1. Populations are divided into compartments
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3. Rates of transferring between compartments are expressed mathematically

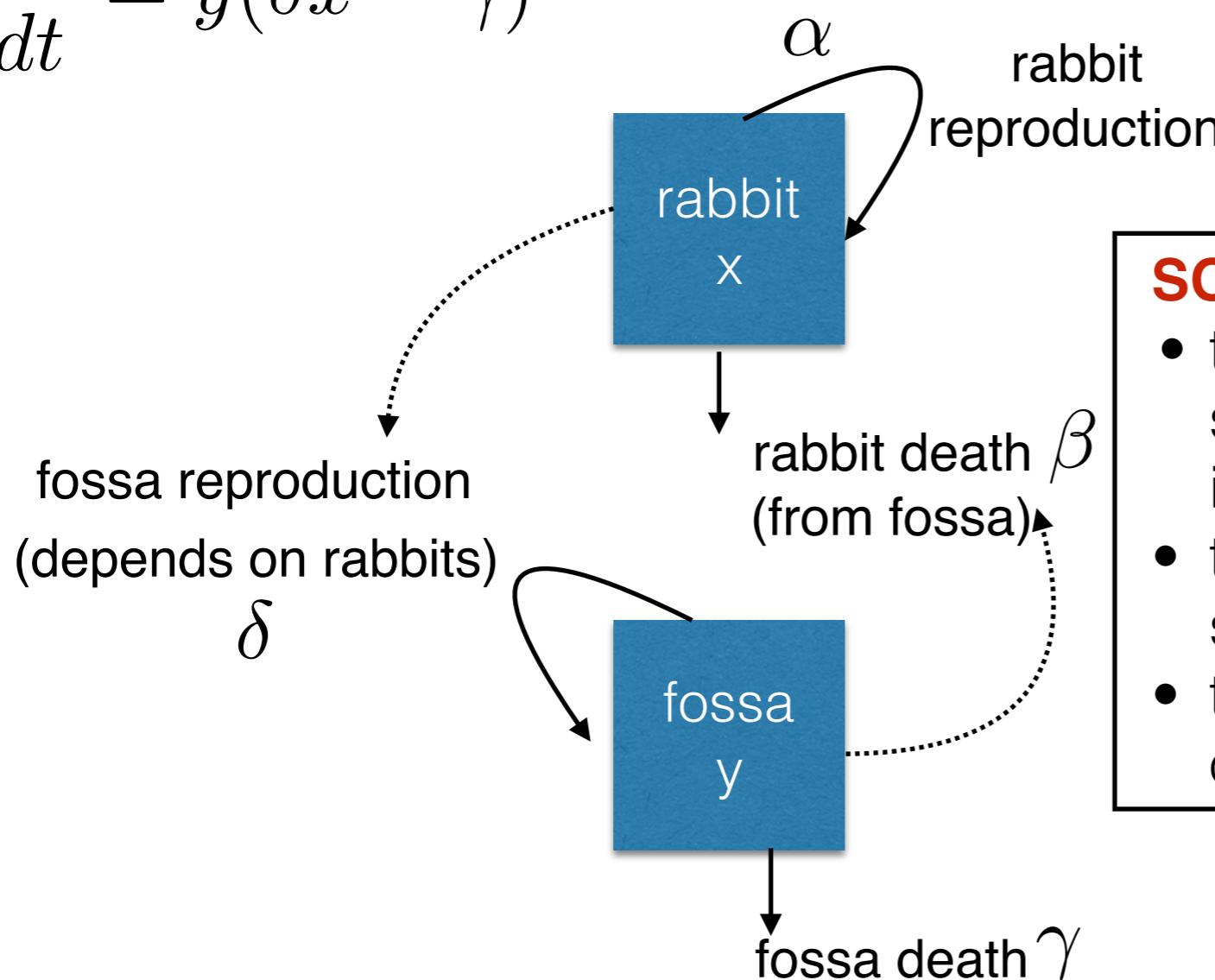
$$\frac{dx}{dt} = x(\alpha - \beta y)$$
$$\frac{dy}{dt} = y(\delta x - \gamma)$$



## The predator prey model

$$\frac{dx}{dt} = x(\alpha - \beta y)$$

$$\frac{dy}{dt} = y(\delta x - \gamma)$$



## Compartmental models (Mechanistic Models)

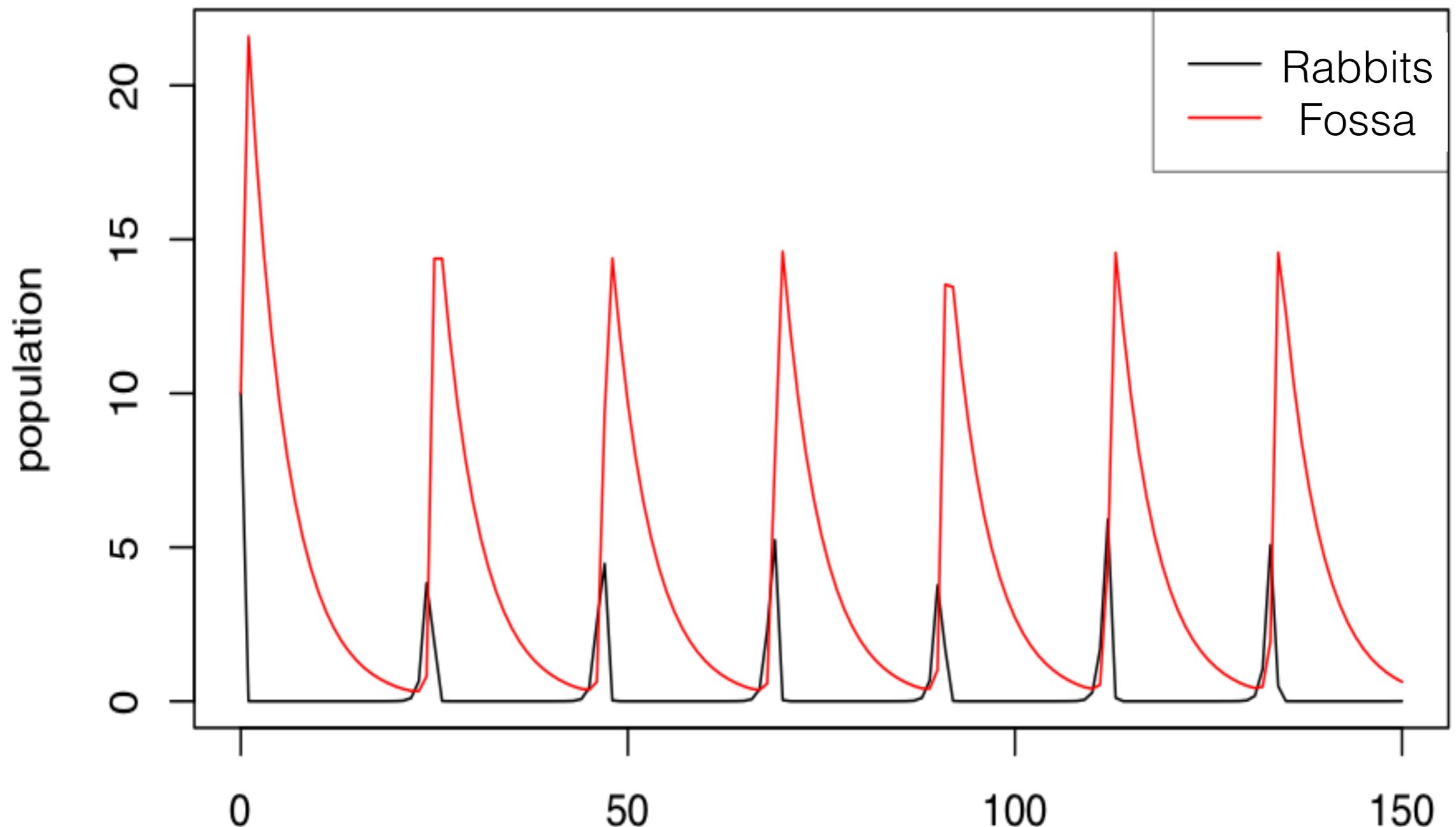
1. Populations are divided into compartments
2. Compartments and transition rates are determined by biological systems
3. Rates of transferring between compartments are expressed mathematically

### SOME ASSUMPTIONS

- the **fossa** is totally dependent on a single prey species (**the rabbit**) as its only food supply,
- the **rabbit** has an unlimited food supply,
- there is no threat to the **rabbit** other than the specific predator.



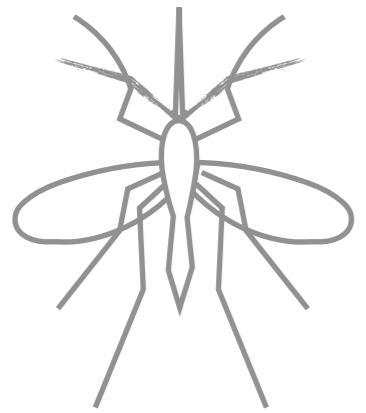
# The predator prey model



## Key concepts

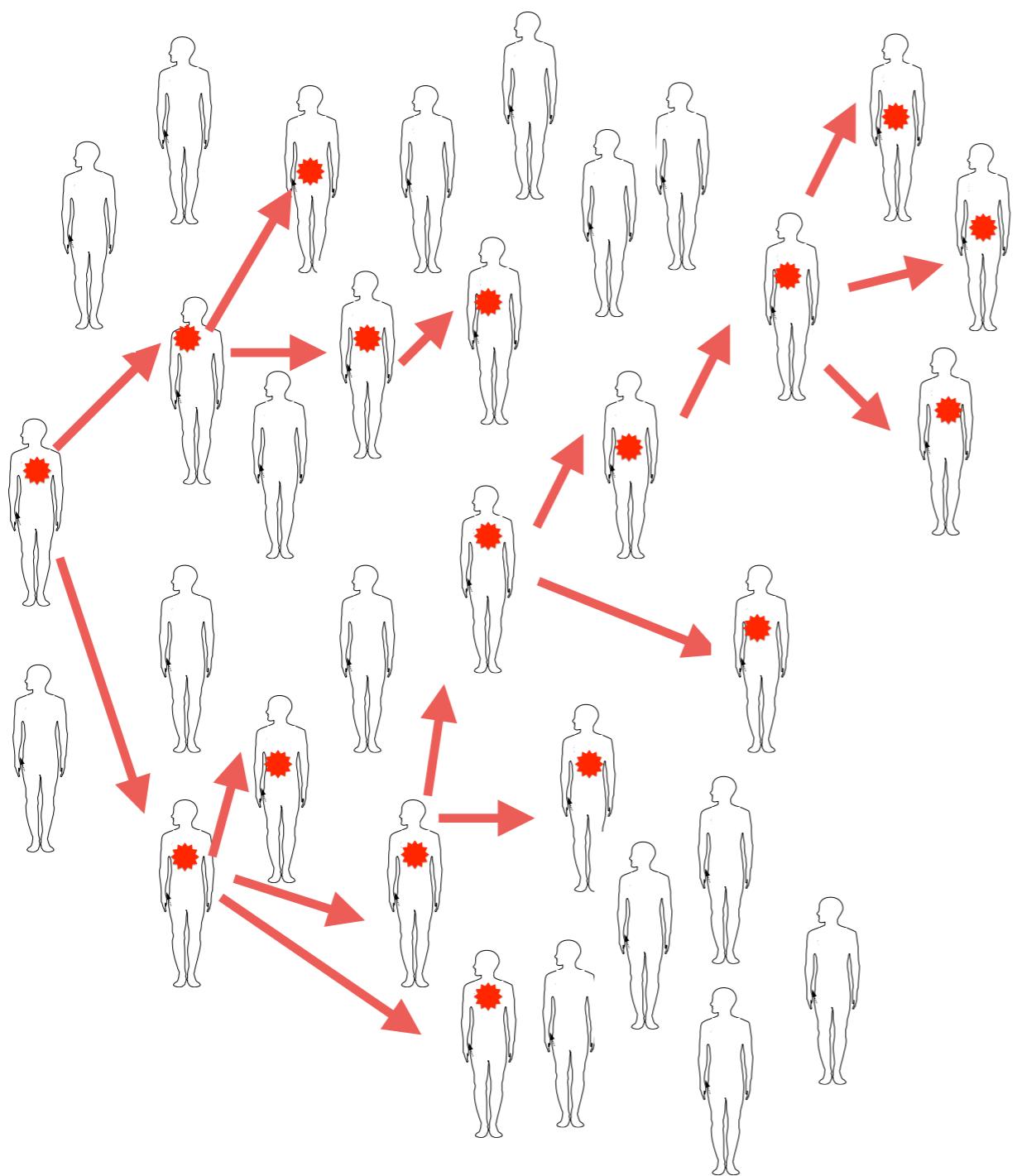
- Inter-dependence de la démographie des espèces (nous avons parlé de **predation**, mais la **competition** est aussi possible)
- Les cycles peuvent émerger de forces internes, sans un rôle d'environnement, saisonnalité, etc.
- Beaucoup d'assumptions dans ce modèle simple! On pourrait ajouter des détails pour se rapprocher de vraies systèmes.





### 3. SIR models





## The SIR model

### Compartmental models (Mechanistic Models)

1. Les populations sont subdivisées en compartiments
2. Les compartiments et les taux de transition sont déterminés par les systèmes biologiques
3. Taux de transition entre les compartiments sont exprimés mathématiquement

**How could we build a mechanistic model of disease transmission where individuals are susceptible, become infected, and then recover?**

*Comment pourrions-nous construire un modèle mécaniste de transmission de maladies où les individus sont susceptibles, deviennent infectés, puis guérissent?*



# The SIR model

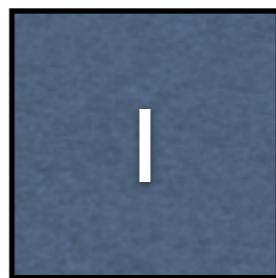
## Compartmental models (Mechanistic Models)

1. Populations are divided into compartments
2. Compartments and transition rates are determined by biological systems
3. Rates of transferring between compartments are expressed mathematically

susceptible



infected



recovered



## The SIR model

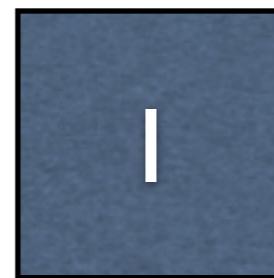
### Compartmental models (Mechanistic Models)

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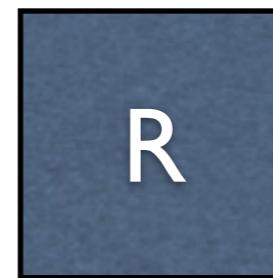
susceptible



infected



recovered



**Easiest infections to stylize...** completely immunizing viruses.

Replicate inside the host = no dose dependence

Immunizing = once you recover, recovered forever.

Measles, mumps, rubella



## The SIR model

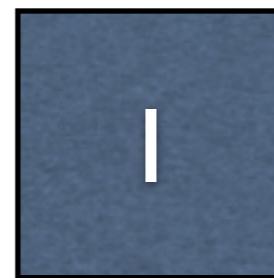
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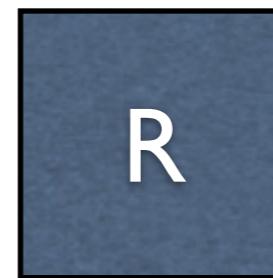
susceptible



infected



recovered



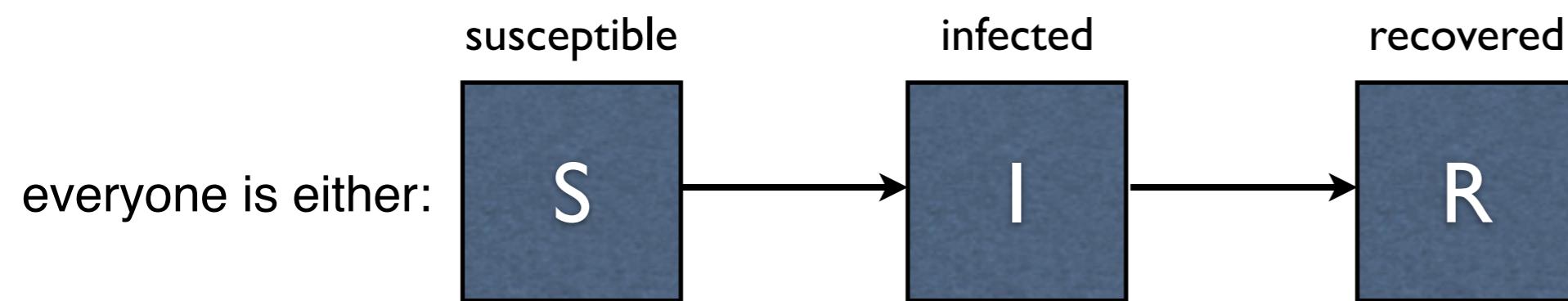
**What are the big assumptions here?**



# The SIR model

## Compartmental models (Mechanistic Models)

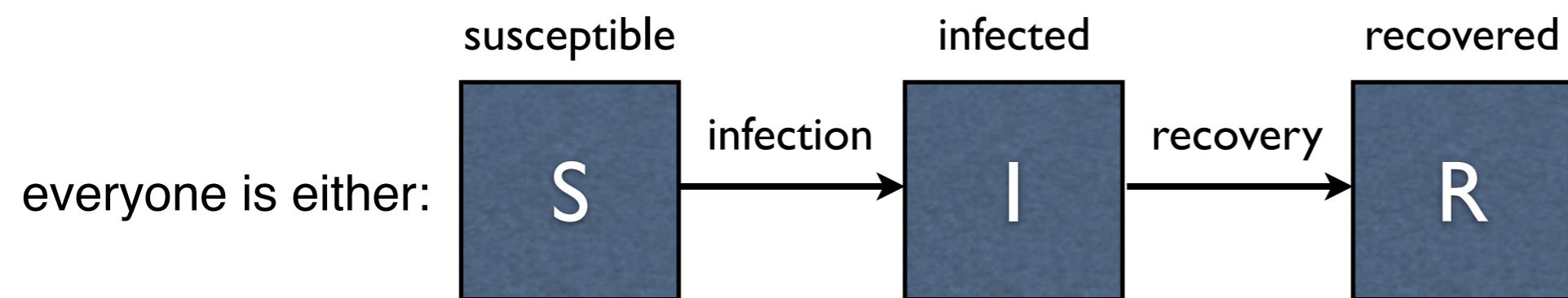
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3. Rates of transferring between compartments are expressed mathematically



# The SIR model

## Compartmental models (Mechanistic Models)

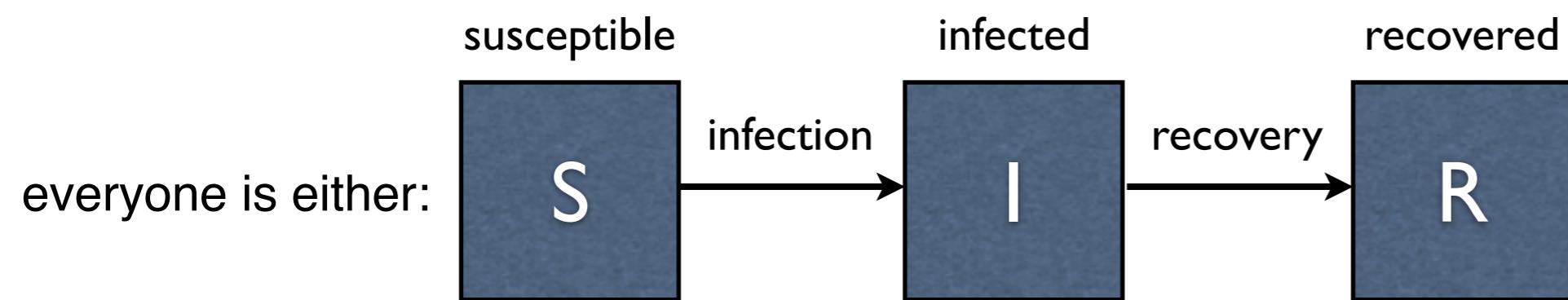
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# The SIR model

## Compartmental models (Mechanistic Models)

1. Populations are divided into compartments
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3. Rates of transferring between compartments are expressed mathematically



people mix uniformly  
**(mass action)**

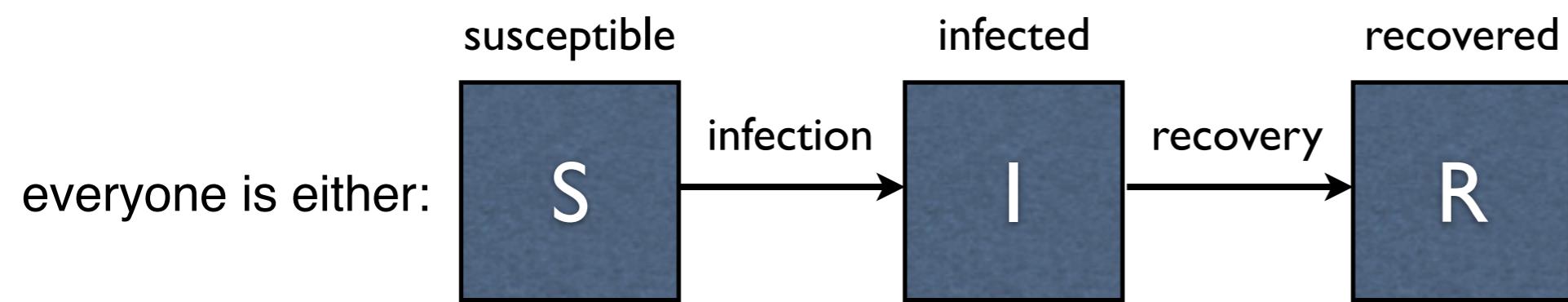
- les gens se mélagent uniformément



# The SIR model

## Compartmental models (Mechanistic Models)

1. Populations are divided into compartments
2. Compartments and transition rates are determined by biological systems
3. Rates of transferring between compartments are expressed mathematically



everyone is either:

people mix uniformly (mass action)

**no latent period**  
(infectious when infected)

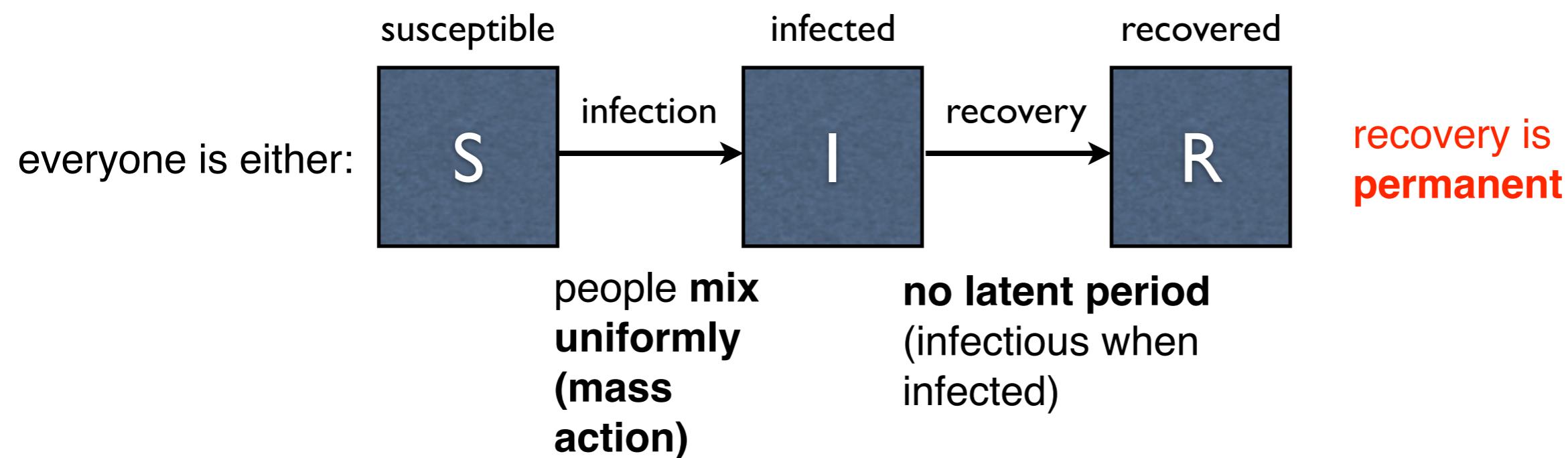
- les gens se mélagent uniformément
- pas de période de latence



# The SIR model

# Compartmental models (Mechanistic Models)

1. Populations are divided into compartments
  2. Compartments and transition rates are determined by biological systems
  3. Rates of transferring between compartments are expressed mathematically



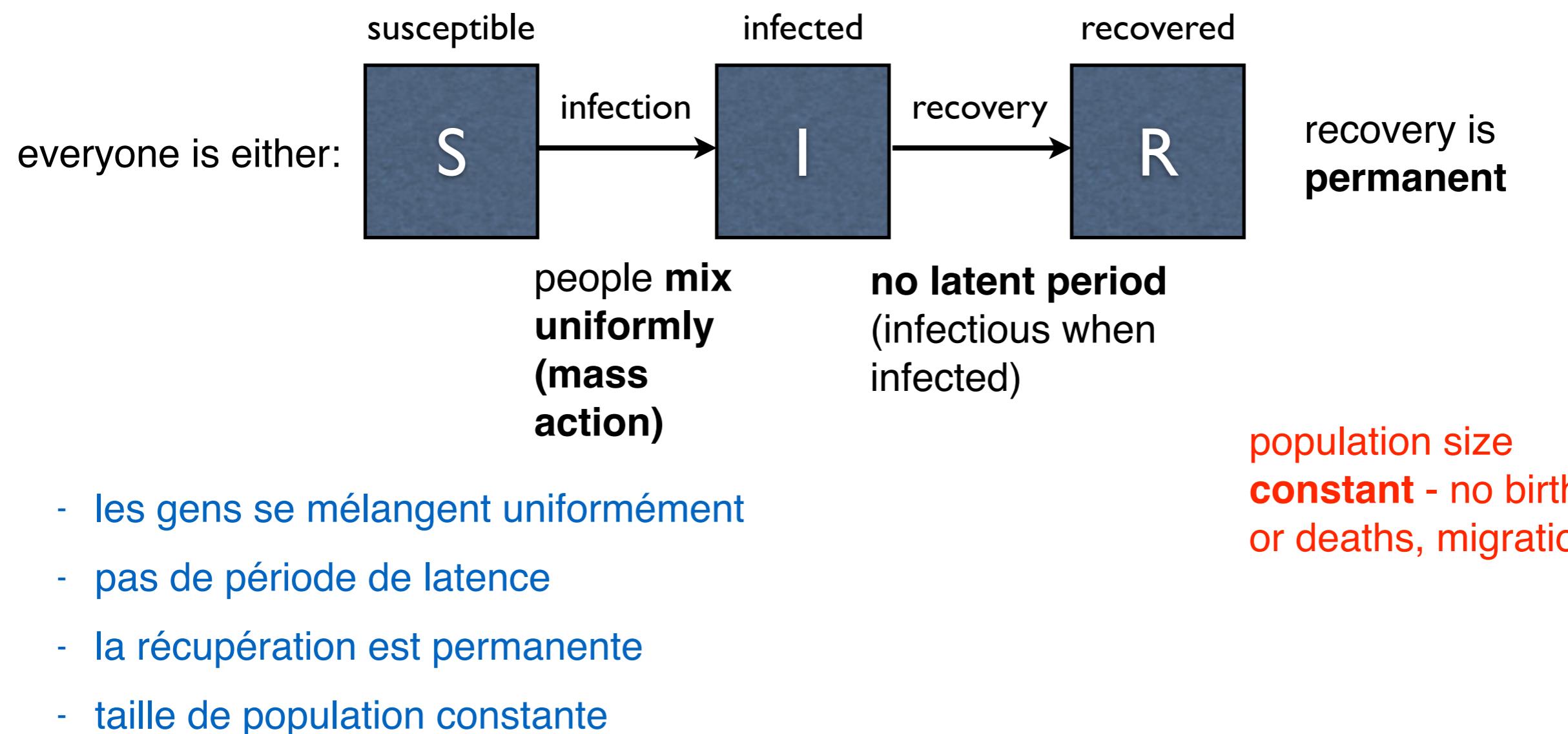
- les gens se mélangent uniformément
  - pas de période de latence
  - la récupération est permanente



# The SIR model

## Compartmental models (Mechanistic Models)

1. Populations are divided into compartments
2. Compartments and transition rates are determined by biological systems
3. Rates of transferring between compartments are expressed mathematically



# The SIR model

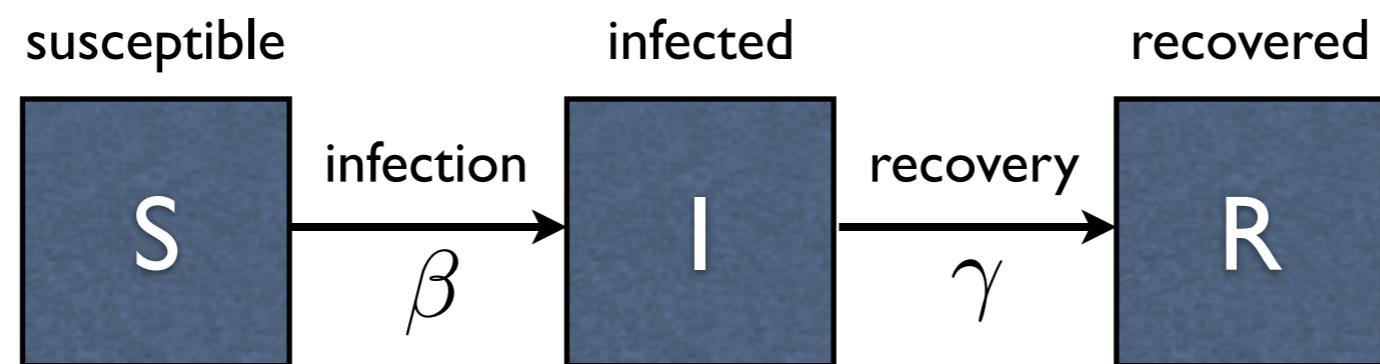
## Parameters

$\beta$  : transmission rate

$\gamma$  : rate of recovery

## Compartmental models (Mechanistic Models)

1. Populations are divided into compartments
2. Compartments and transition rates are determined by biological systems
3. Rates of transferring between compartments are expressed mathematically



# The SIR model

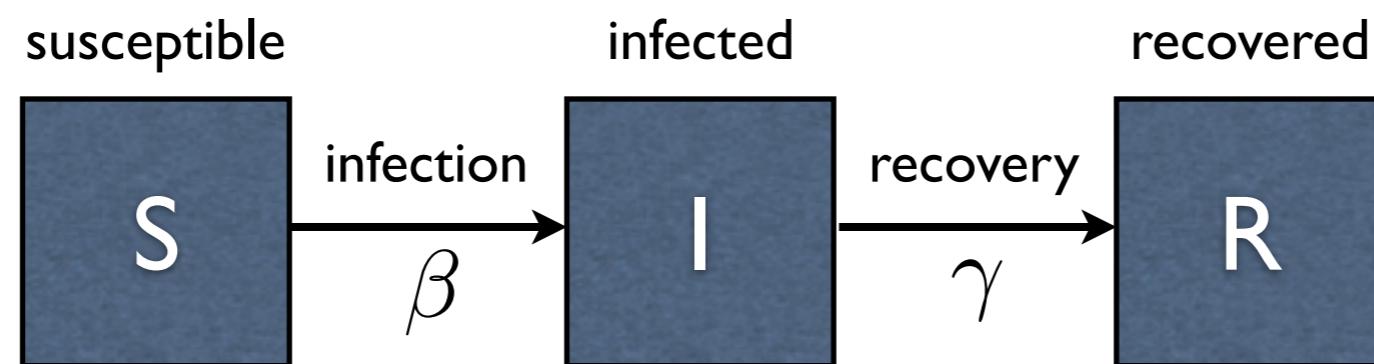
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## Compartmental models (Mechanistic Models)

1. Populations are divided into compartments
2. Compartments and transition rates are determined by biological systems
3. Rates of transferring between compartments are expressed mathematically



$$\frac{dS(t)}{dt} = -\beta S(t)I(t)$$

$$\frac{dI(t)}{dt} = \beta S(t)I(t) - \gamma I(t)$$

$$\frac{dR(t)}{dt} = \gamma I(t)$$

...multiply rates by  
box you start in....



# The SIR model

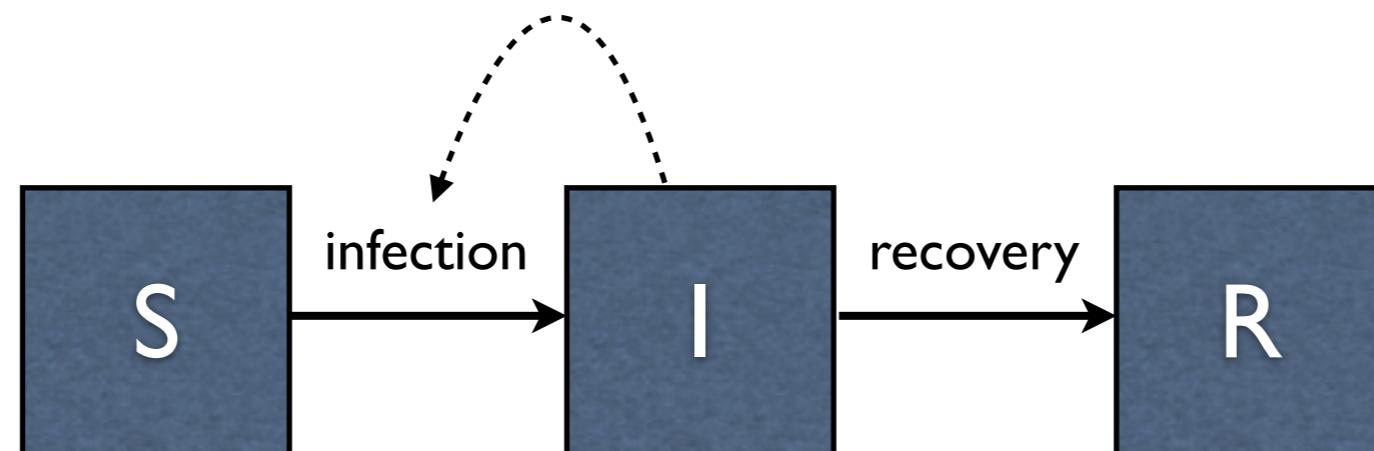
## Parameters

$\beta$  : transmission rate

$\gamma$  : rate of recovery

## Compartmental models (Mechanistic Models)

1. Populations are divided into compartments
2. Compartments and transition rates are determined by biological systems
3. Rates of transferring between compartments are expressed mathematically



$$\frac{dS(t)}{dt} = -\beta S(t)I(t)$$

...infected numbers shape infection....

$$\frac{dI(t)}{dt} = \beta S(t)I(t) - \gamma I(t)$$

$$\frac{dR(t)}{dt} = \gamma I(t)$$

...multiply rates by box you start in....



# The SIR model

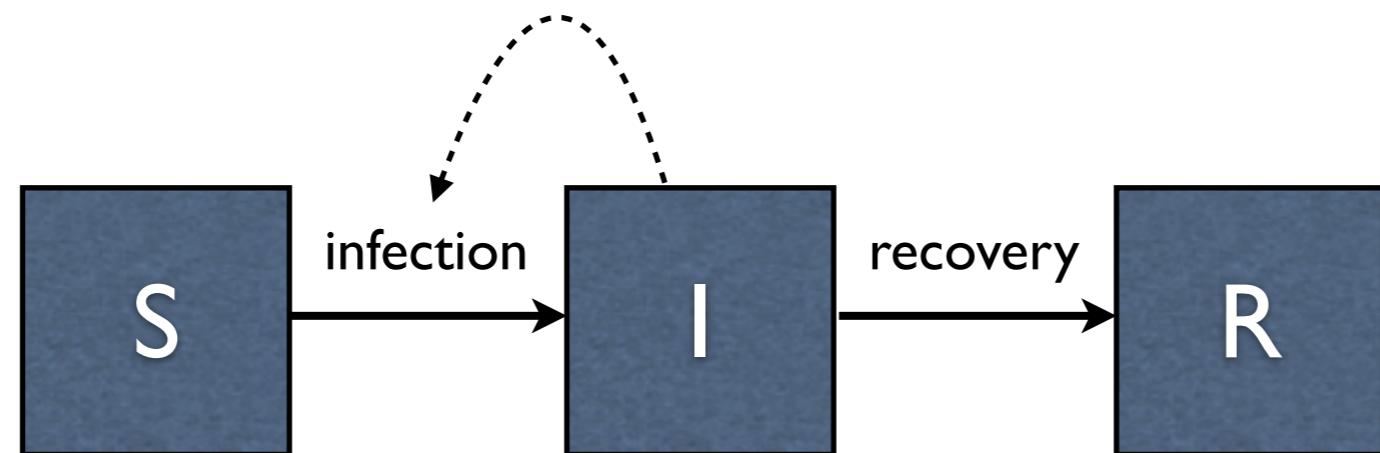
## Parameters

$\beta$  : transmission rate

$\gamma$  : rate of recovery

## Compartmental models (Mechanistic Models)

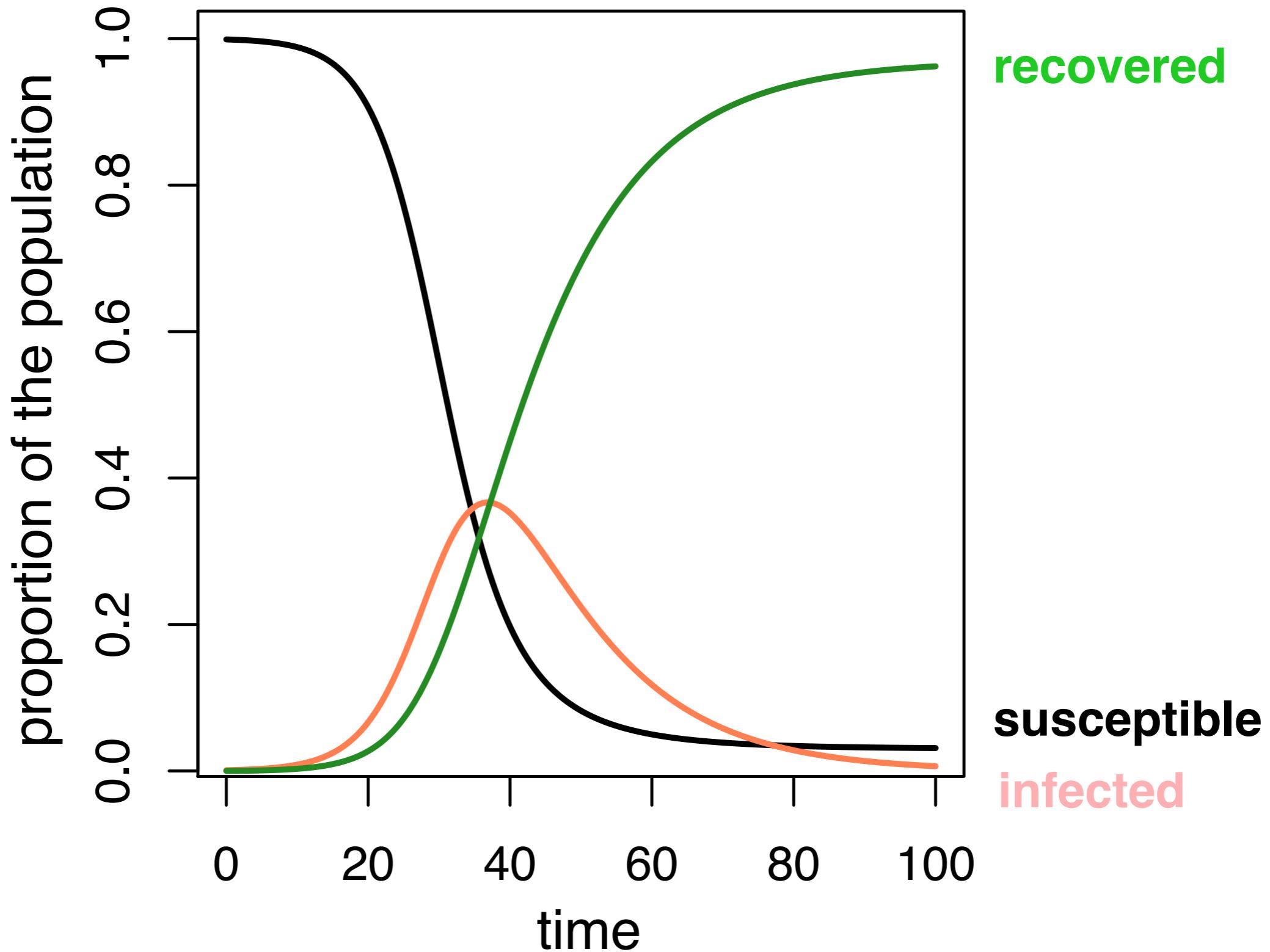
1. Populations are divided into compartments
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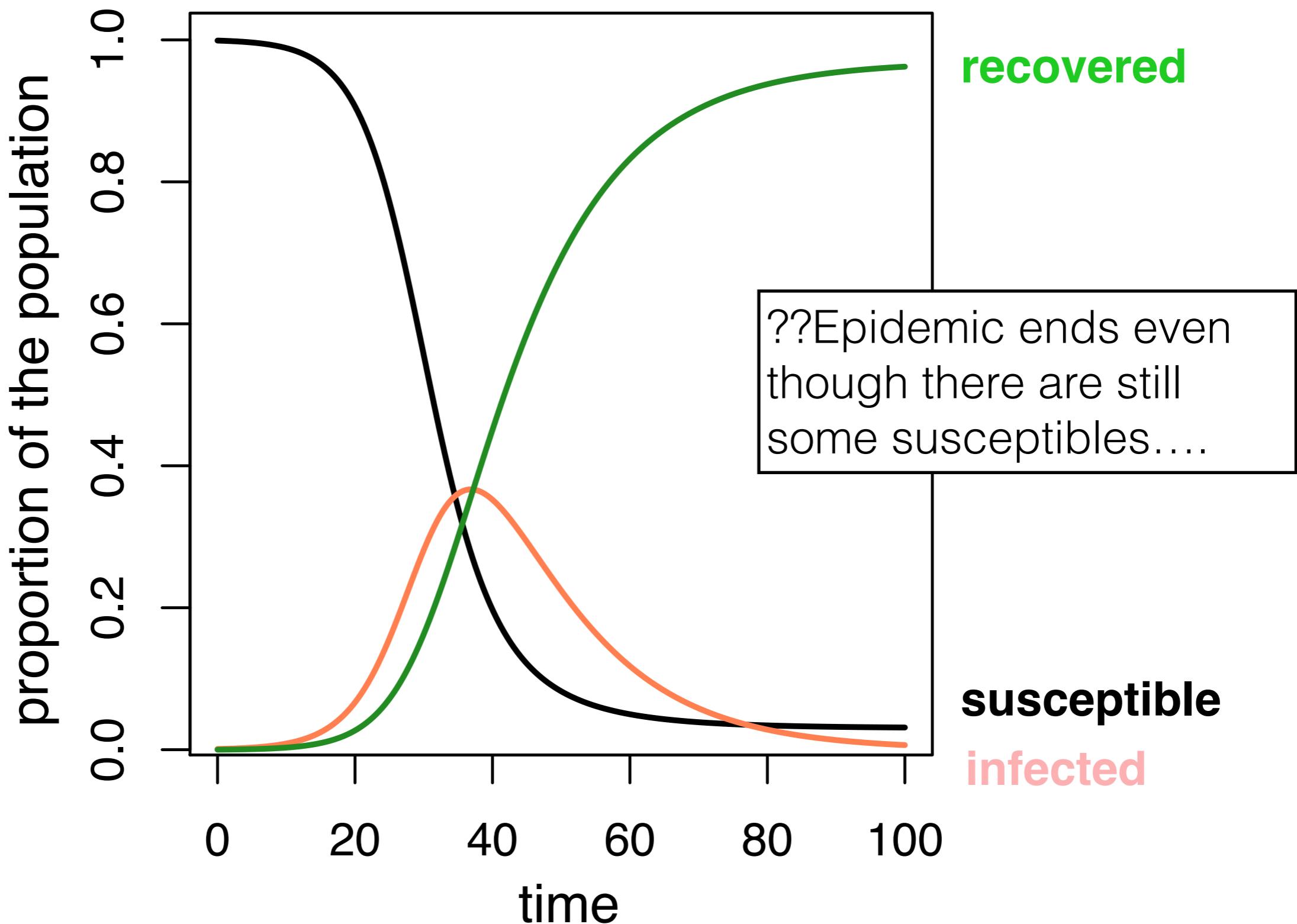
**What will the dynamics look like?**



## The SIR model: dynamics

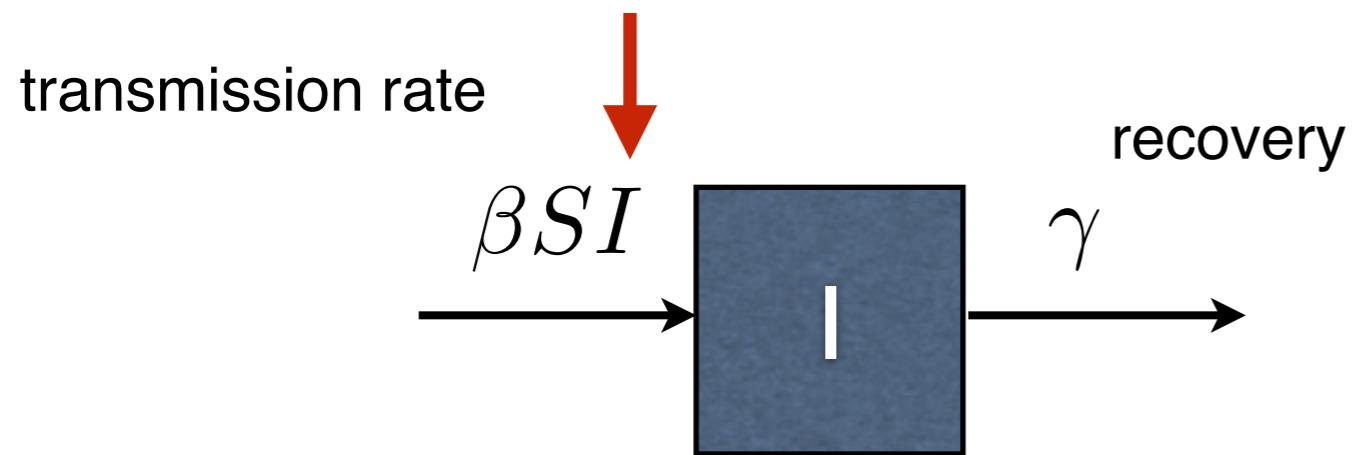


## The SIR model: dynamics



# The SIR model: dynamics

Set:  $I=1$

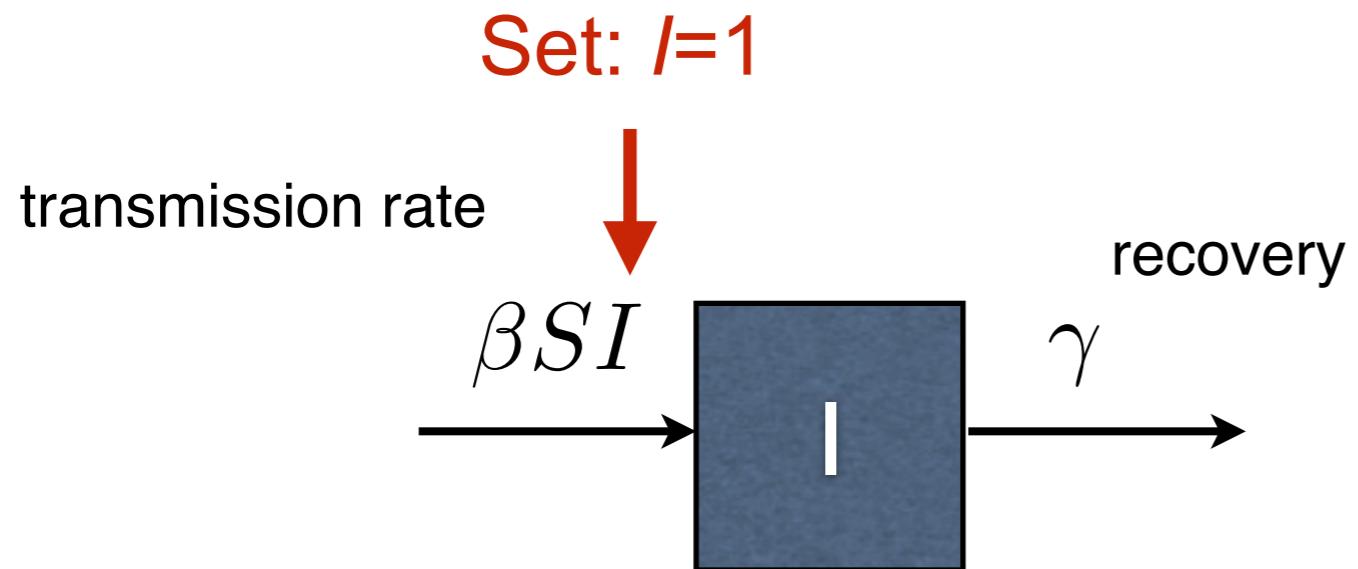


$$R_0 = \beta N / \gamma$$

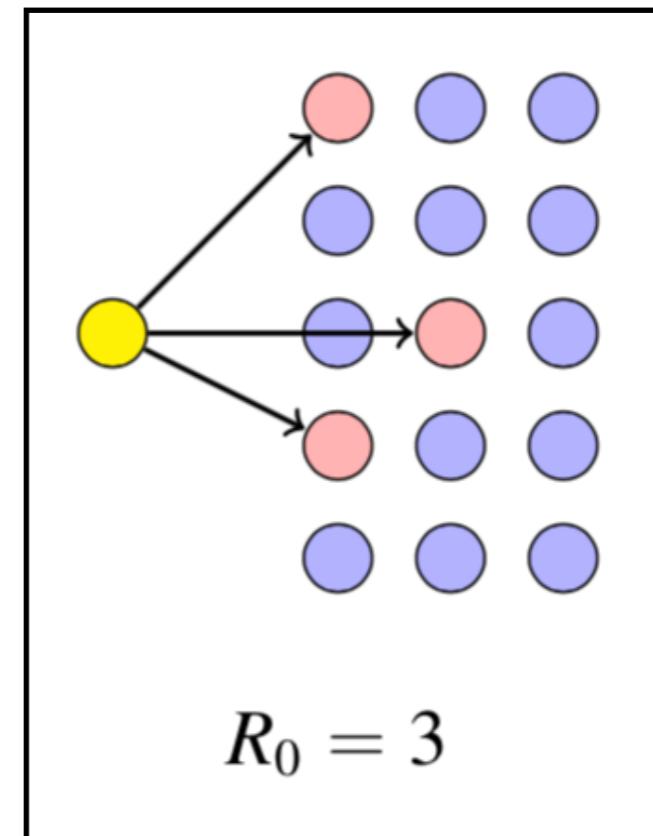
The average number of persons infected by an infectious individual when everyone is susceptible ( $S=100\%$ , or  $S=1$ , start of an epidemic)



# The SIR model: dynamics



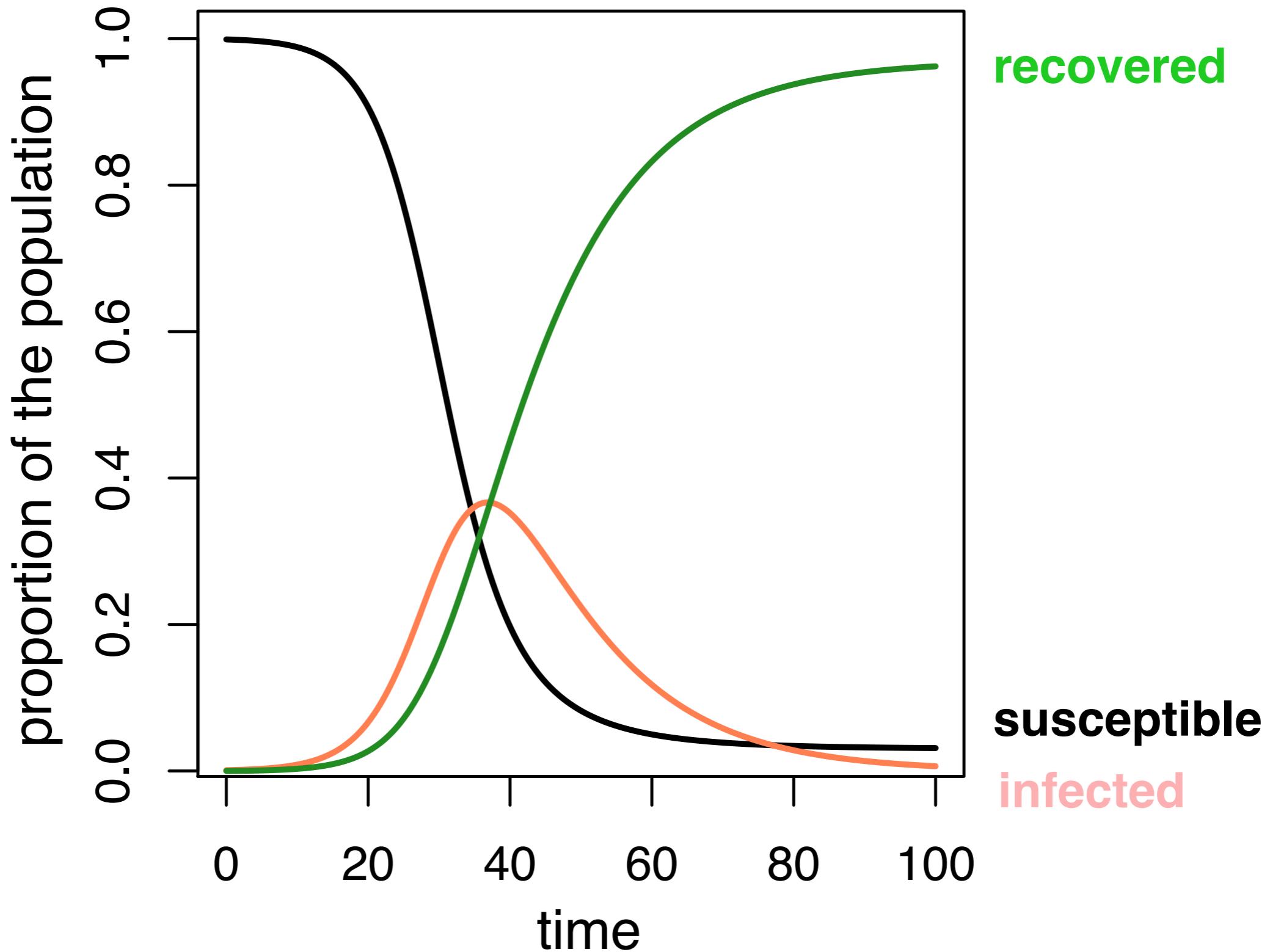
$$R_0 = \beta N / \gamma$$



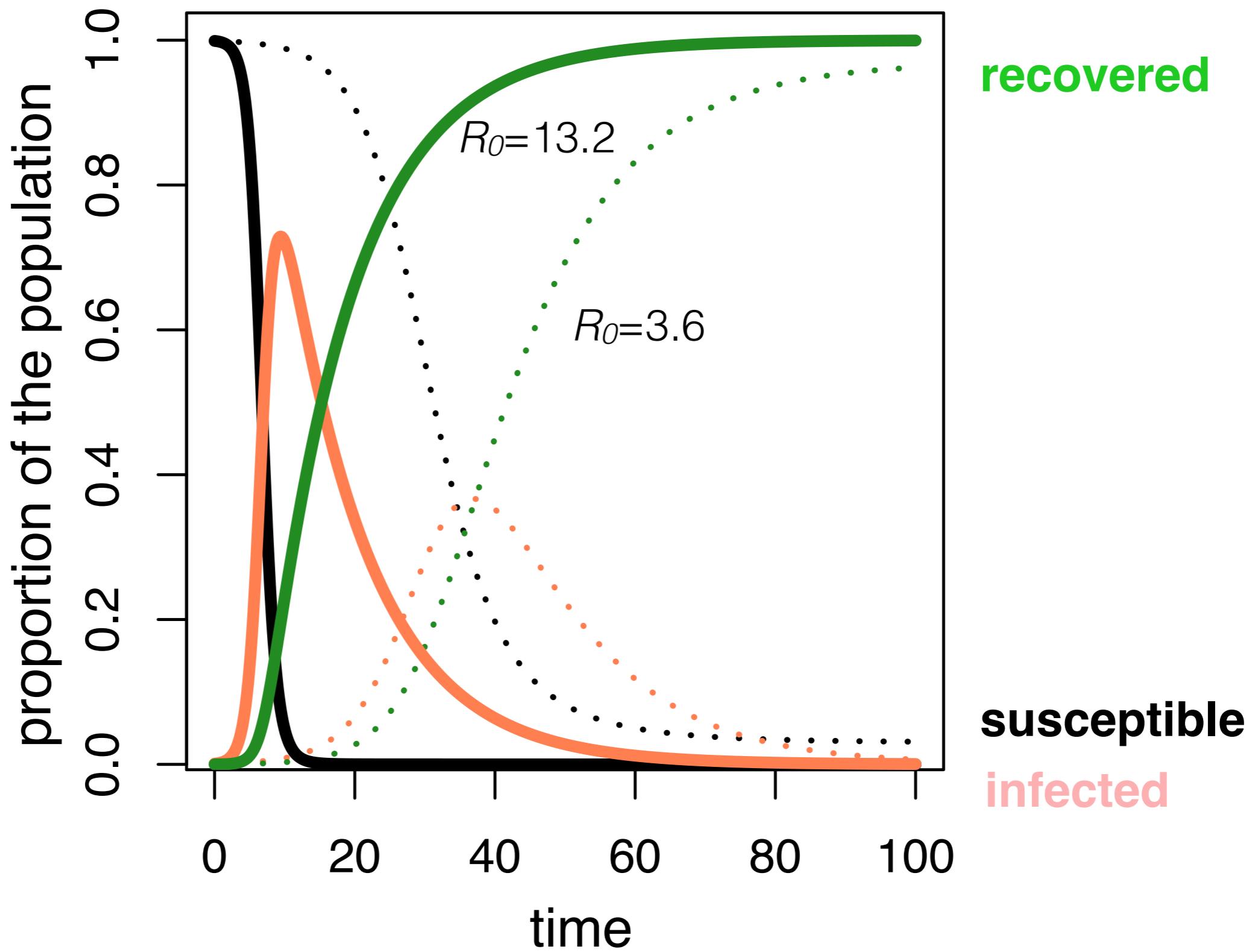
The average number of persons infected by an infectious individual when everyone is susceptible ( $S=100\%$ , or  $S=1$ , start of an epidemic)



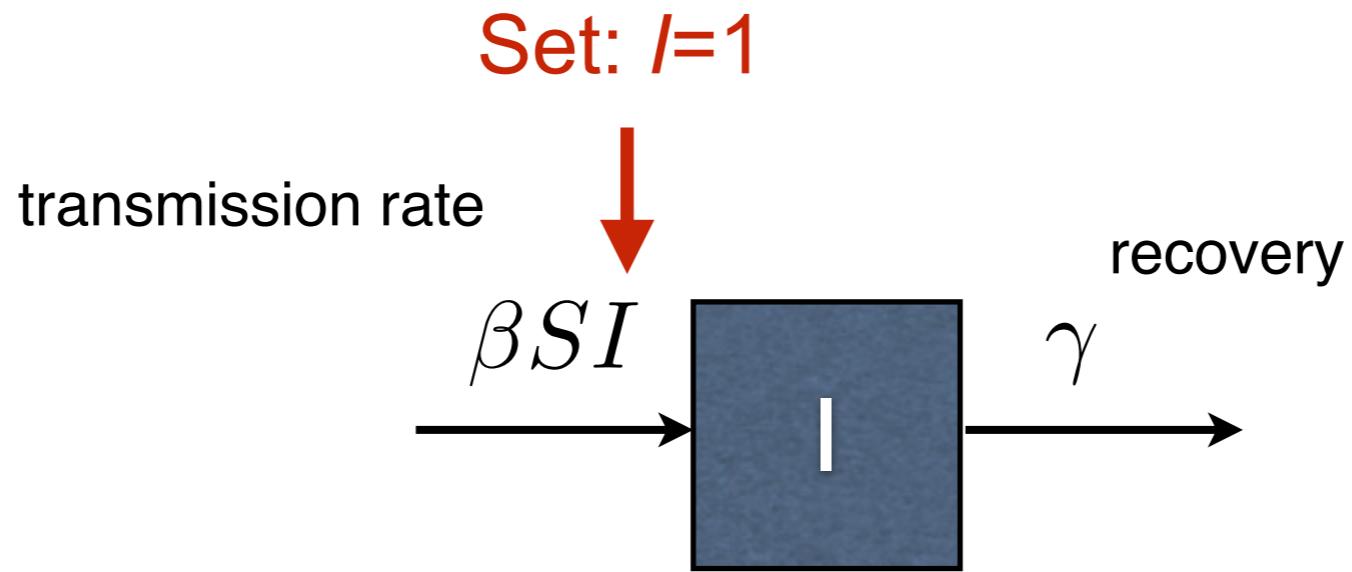
## The SIR model: dynamics



# The SIR model: dynamics



# The SIR model: dynamics



$$R_0 = \beta N / \gamma$$

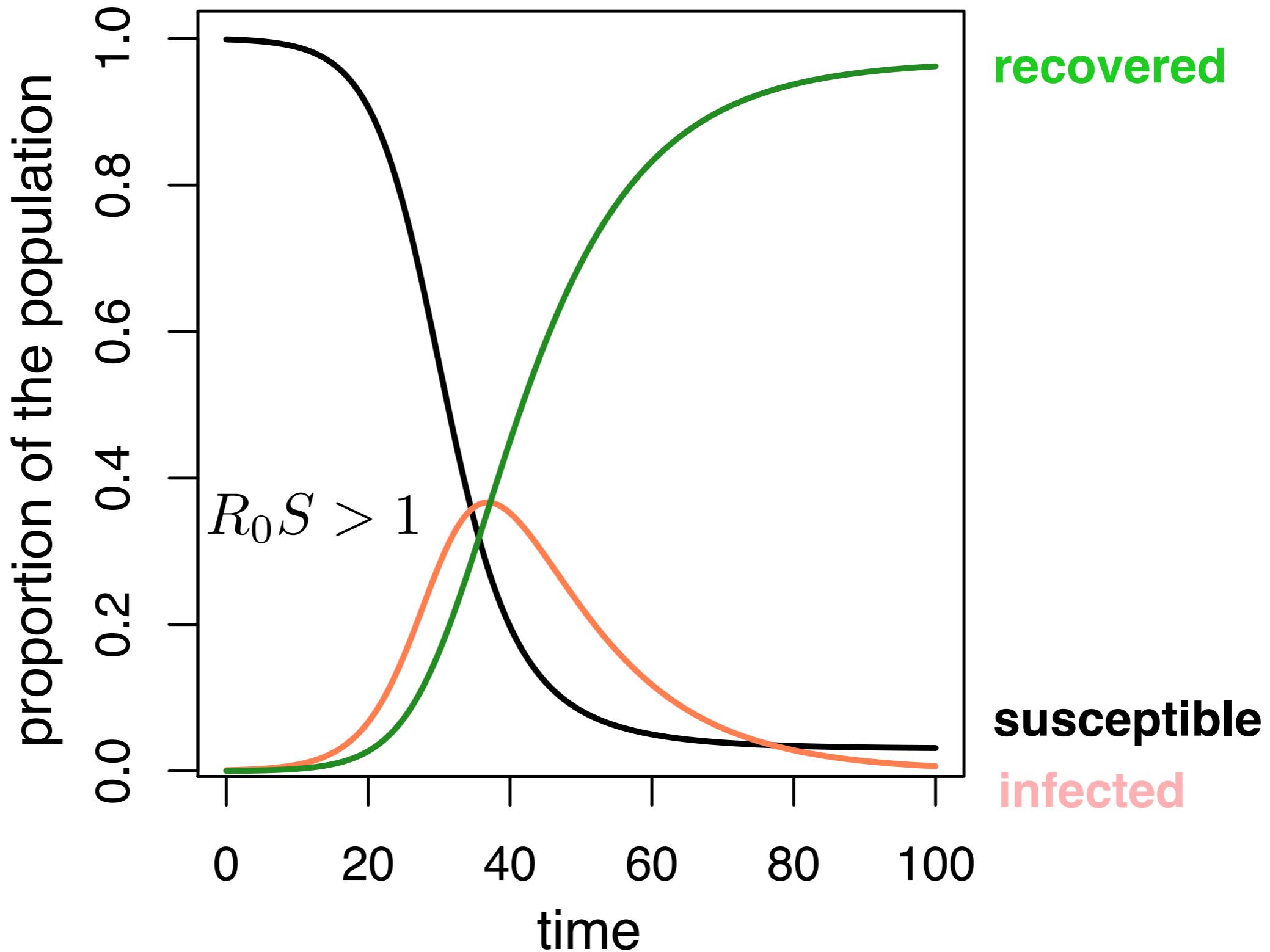
The average number of persons infected by an infectious individual when everyone is susceptible ( $S=100\%$ , or  $S=1$ , start of an epidemic) which is:

$$R_0 S$$

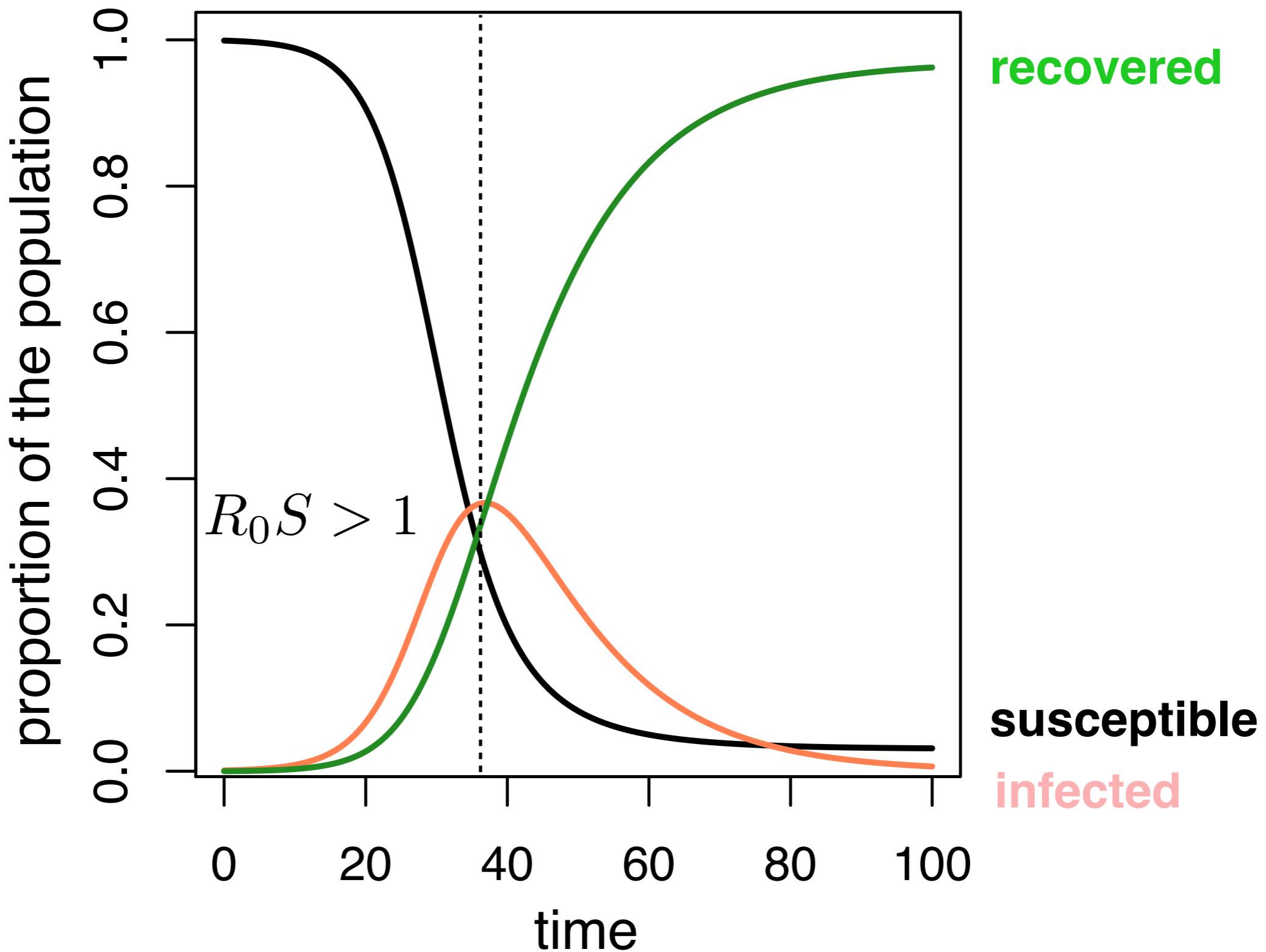
as the epidemic progresses and  $S$  falls



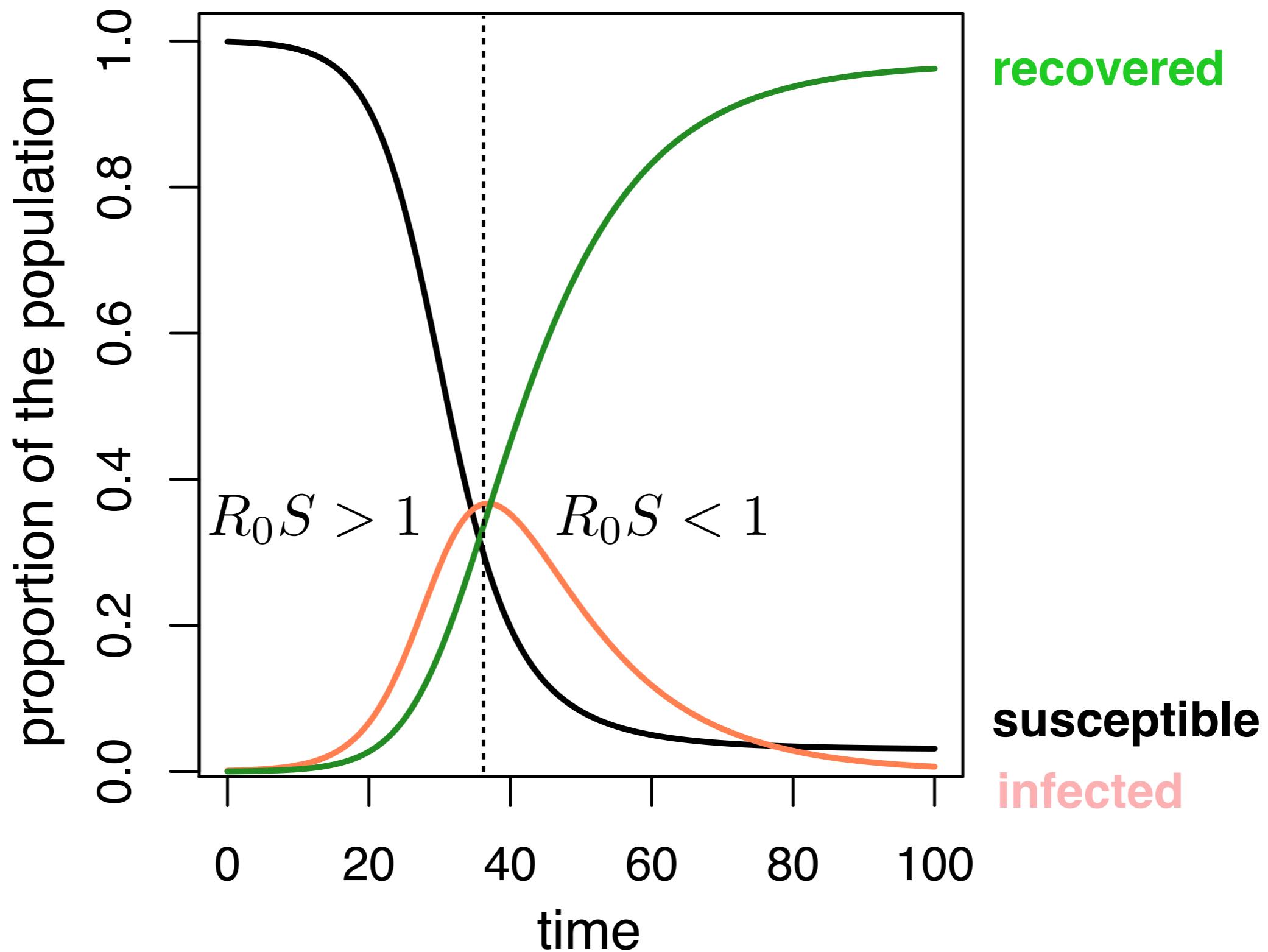
## The SIR model: dynamics



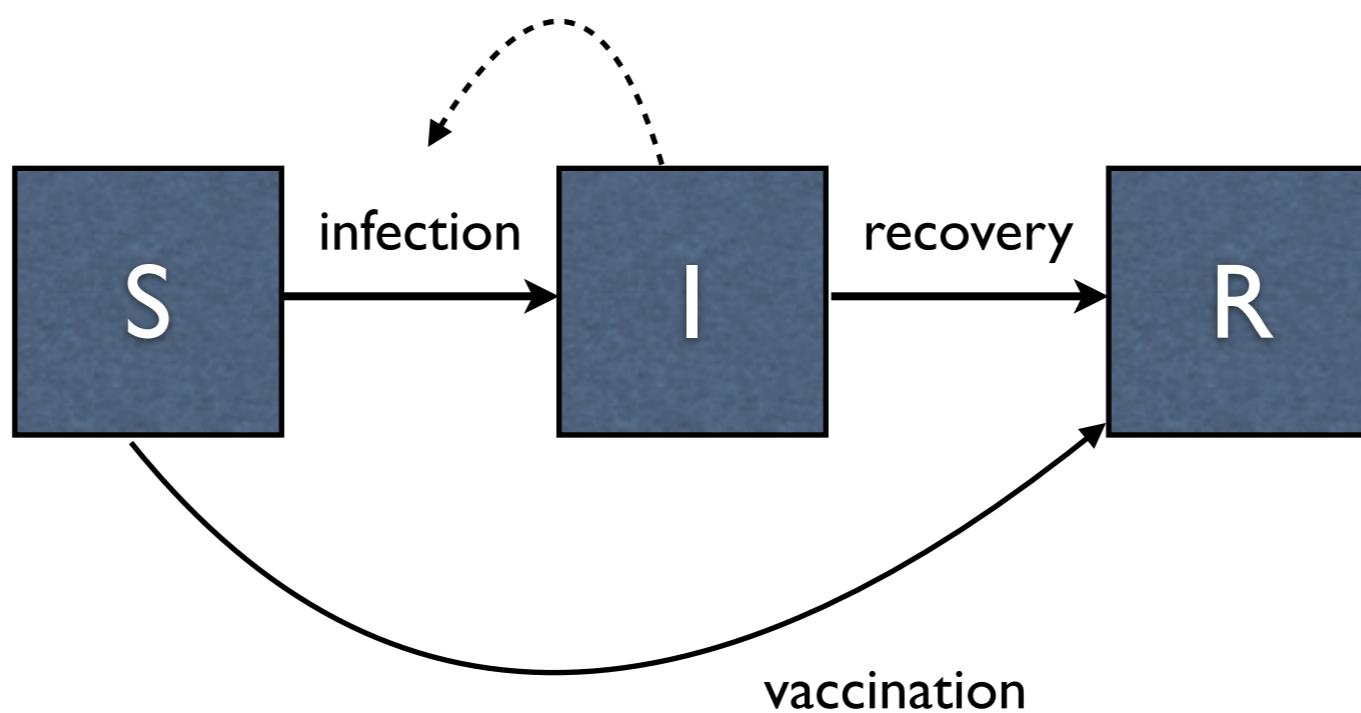
## The SIR model: dynamics



## The SIR model: dynamics



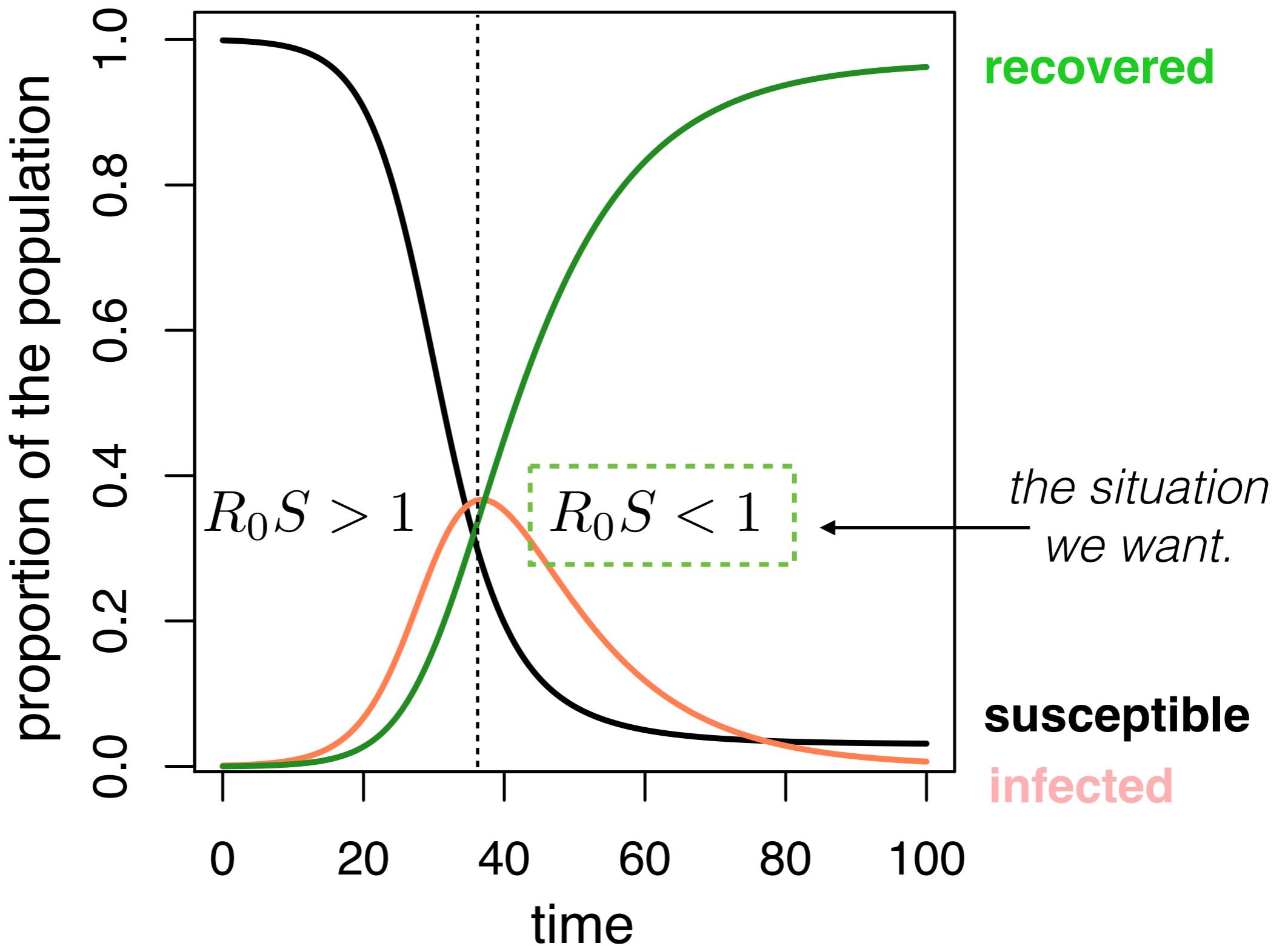
# The SIR model: vaccination



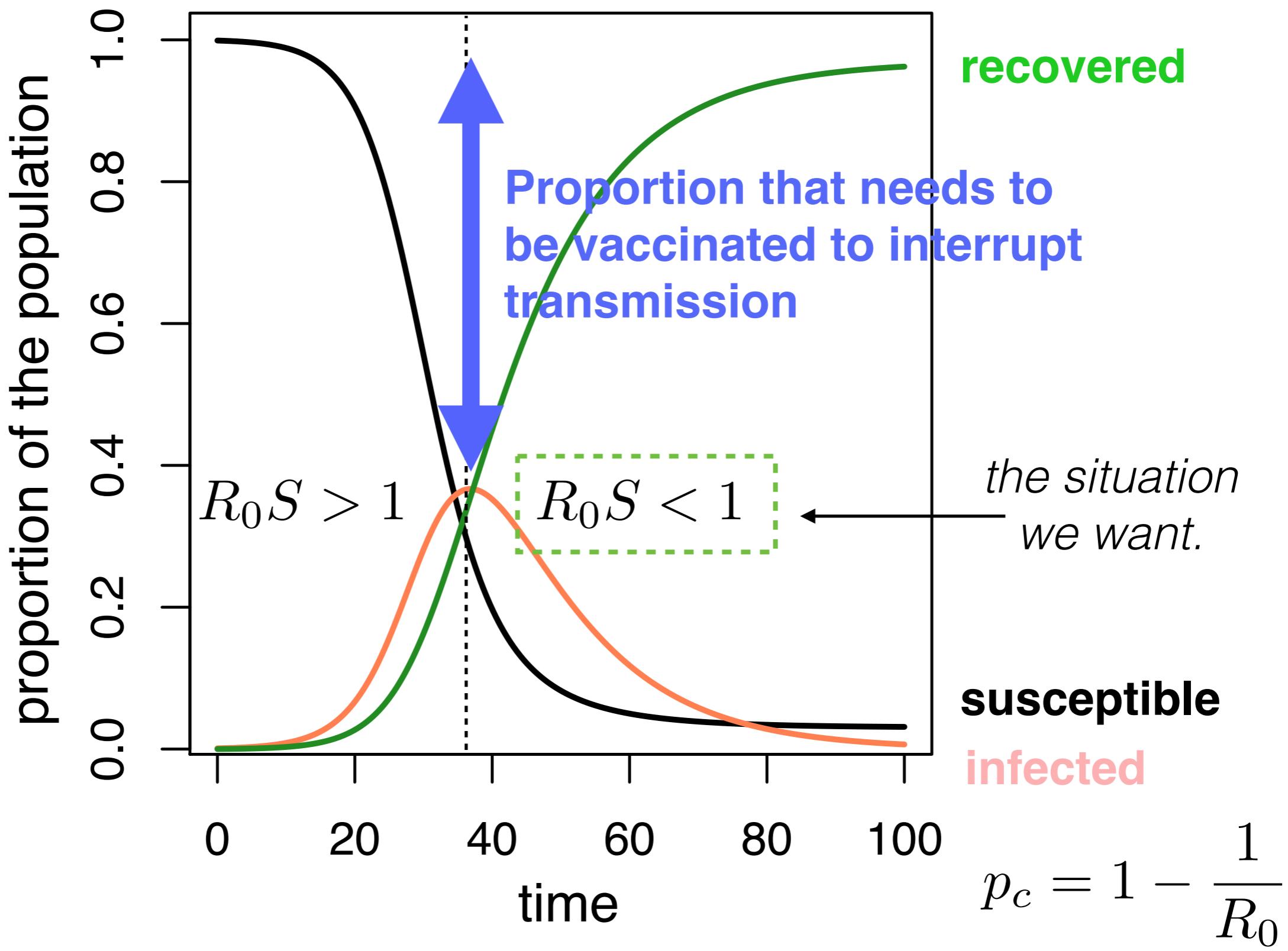
Vaccination moves people out of susceptibles into the immune (recovered) class.



## The SIR model: dynamics

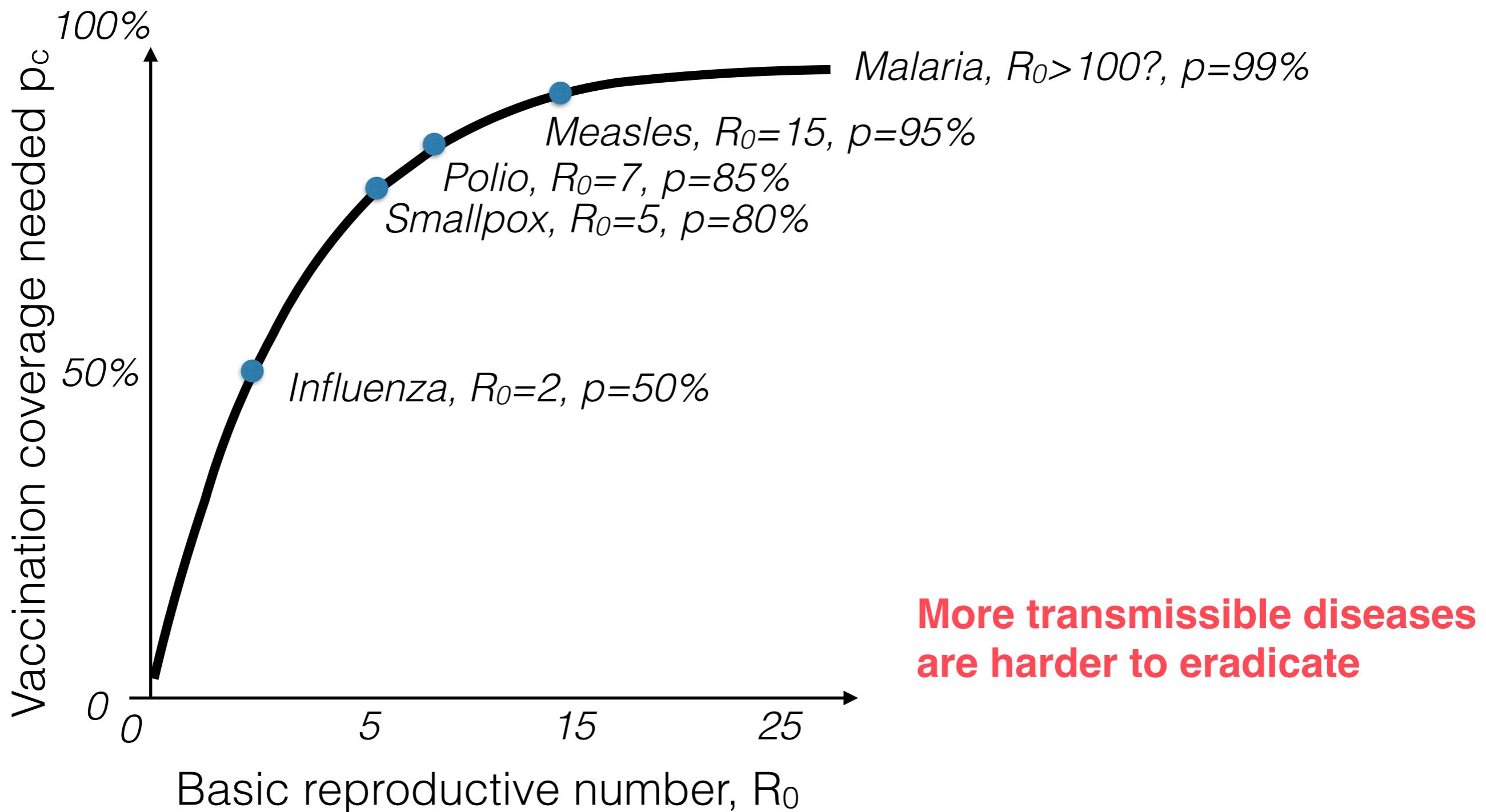


## The SIR model: dynamics



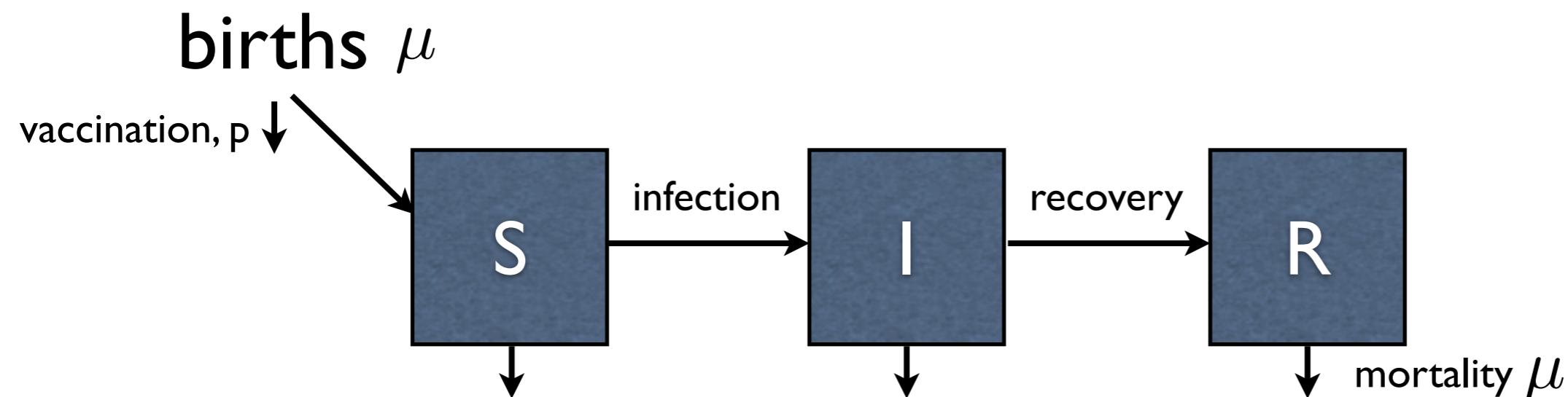
## The SIR model: eradication

Same logic as without births:  $p_c = 1 - \frac{1}{R_0}$



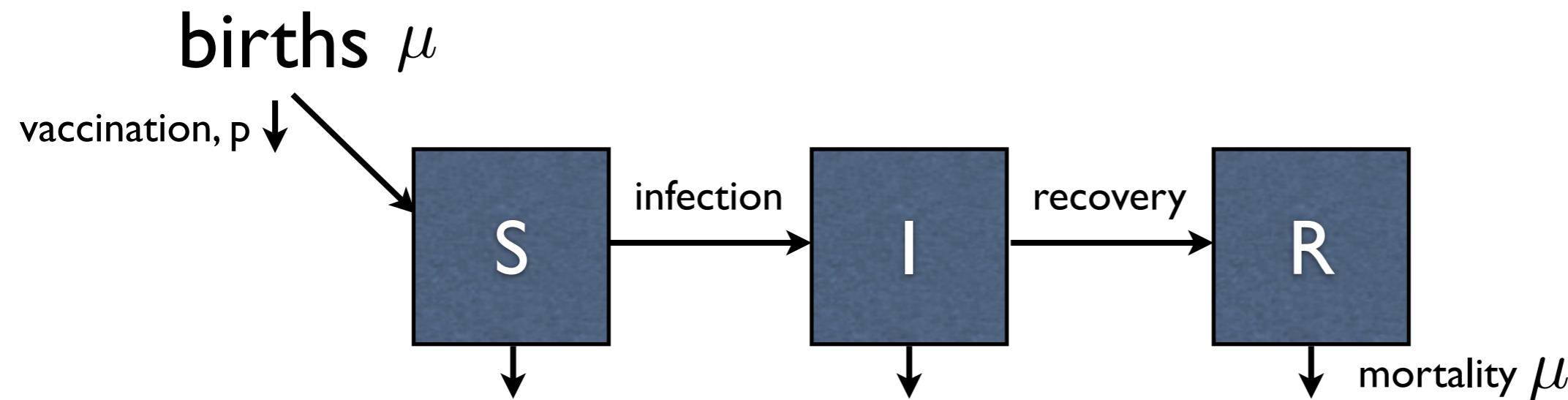
## The SIR model: extensions

Moving beyond a ‘closed’ population



## The SIR model: extensions

Moving beyond a ‘closed’ population



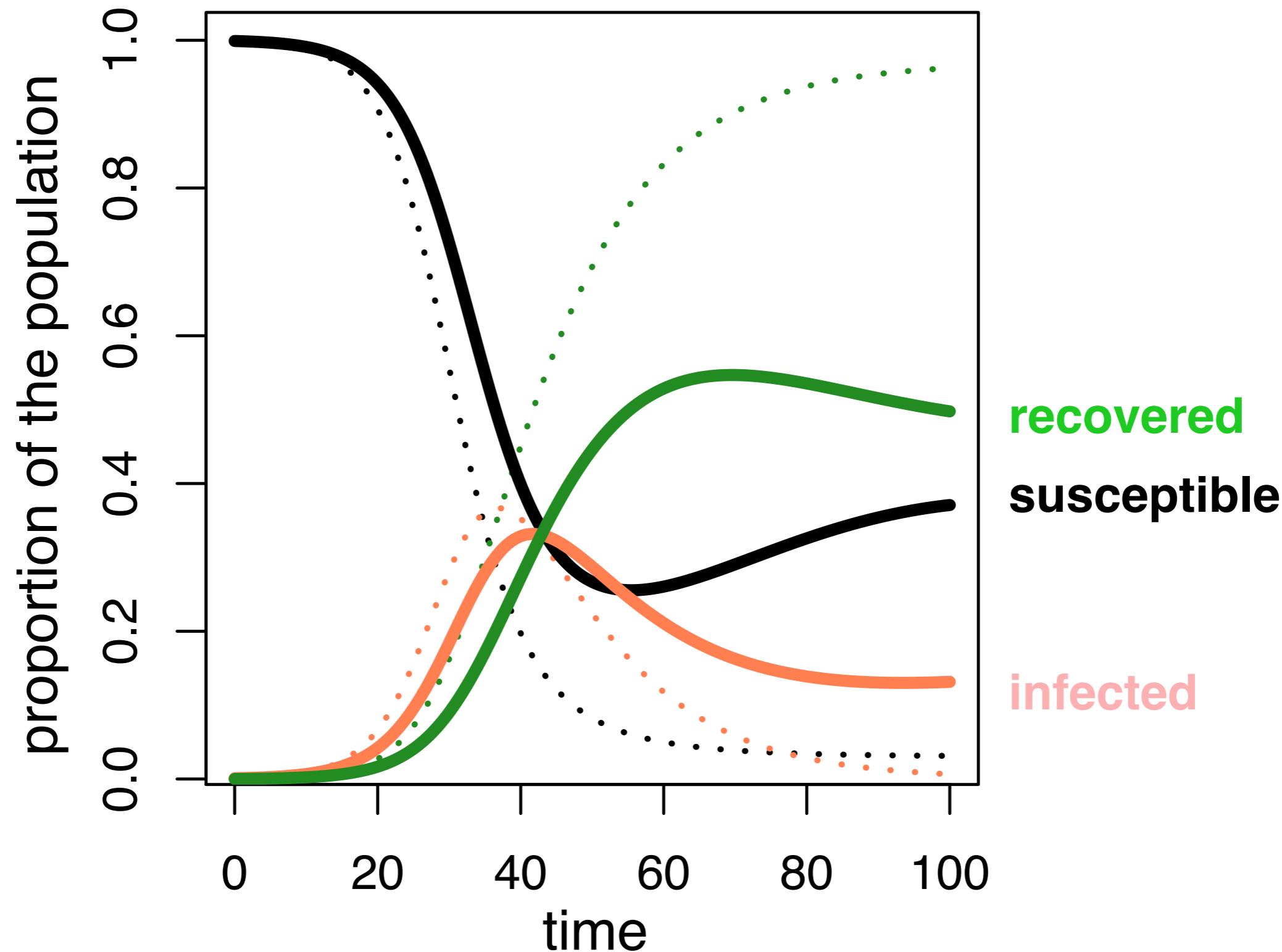
$$\frac{dS(t)}{dt} = \mu(1 - p) - \beta S(t)I(t) - \mu S(t)$$

$$\frac{dI(t)}{dt} = \beta S(t)I(t) - \gamma I(t) - \mu I$$

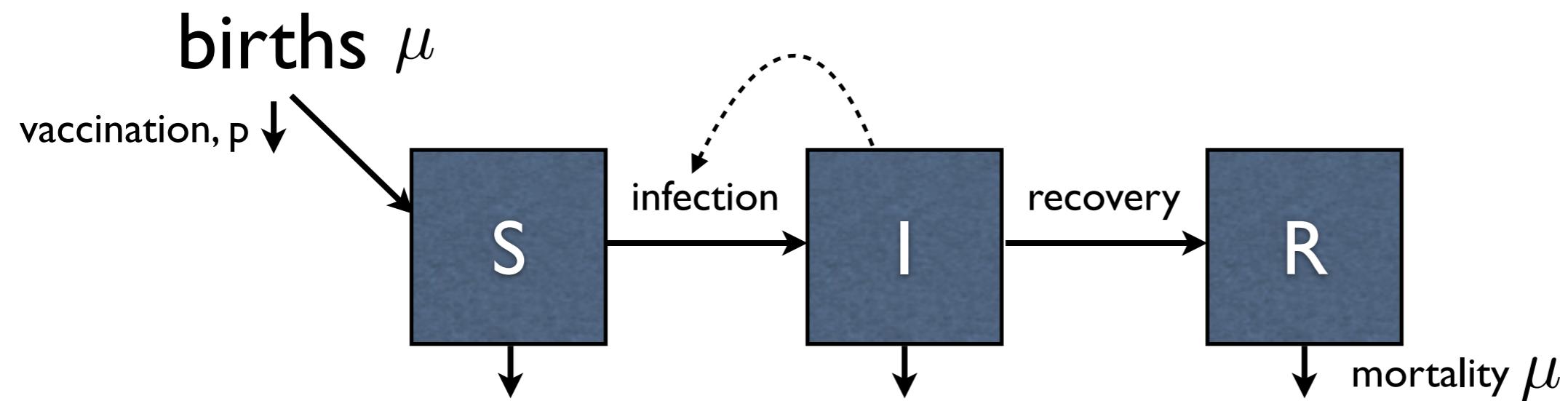
**What is likely to be the BIGGEST dynamical difference?**



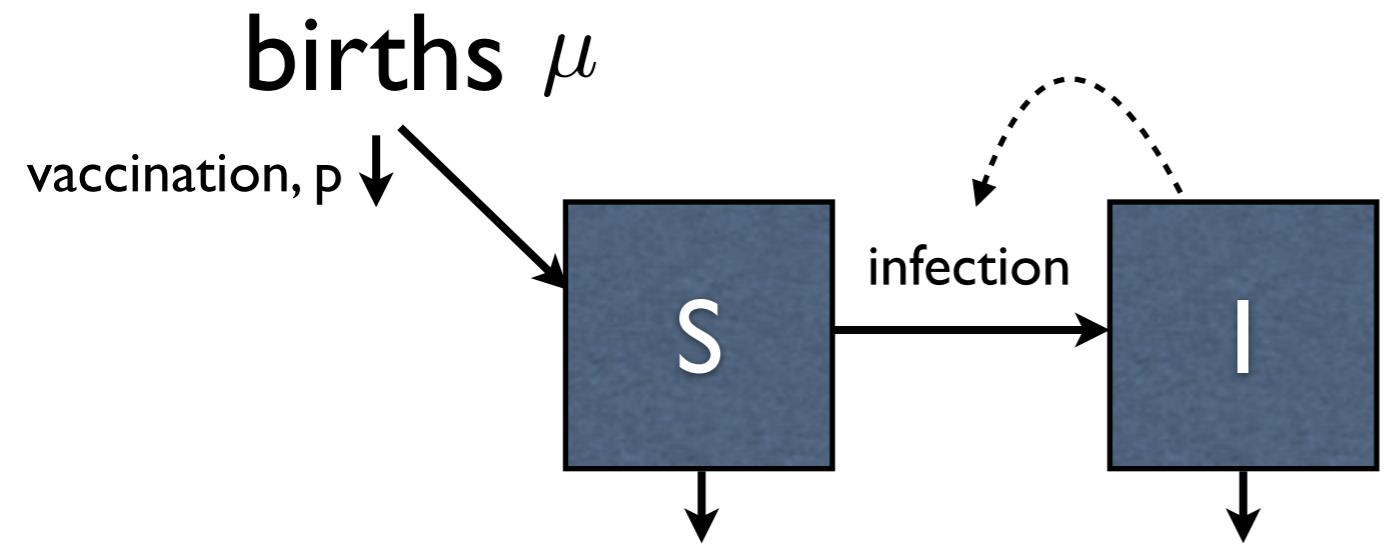
## The SIR model: add births



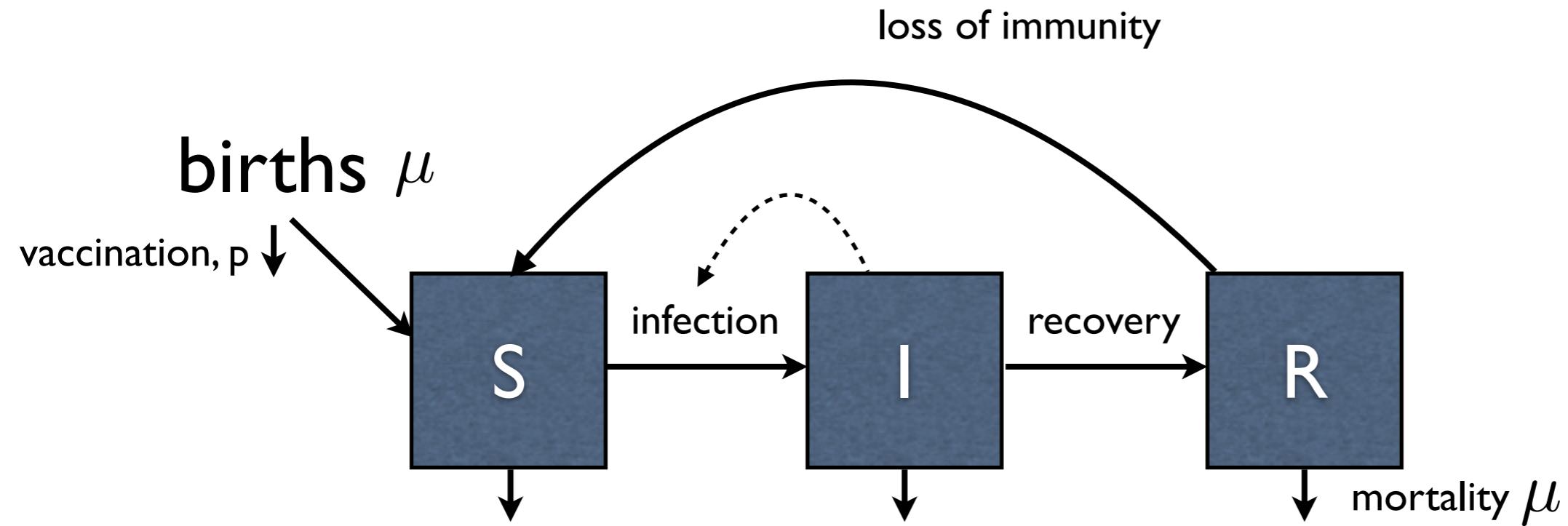
# Beyond the SIR model



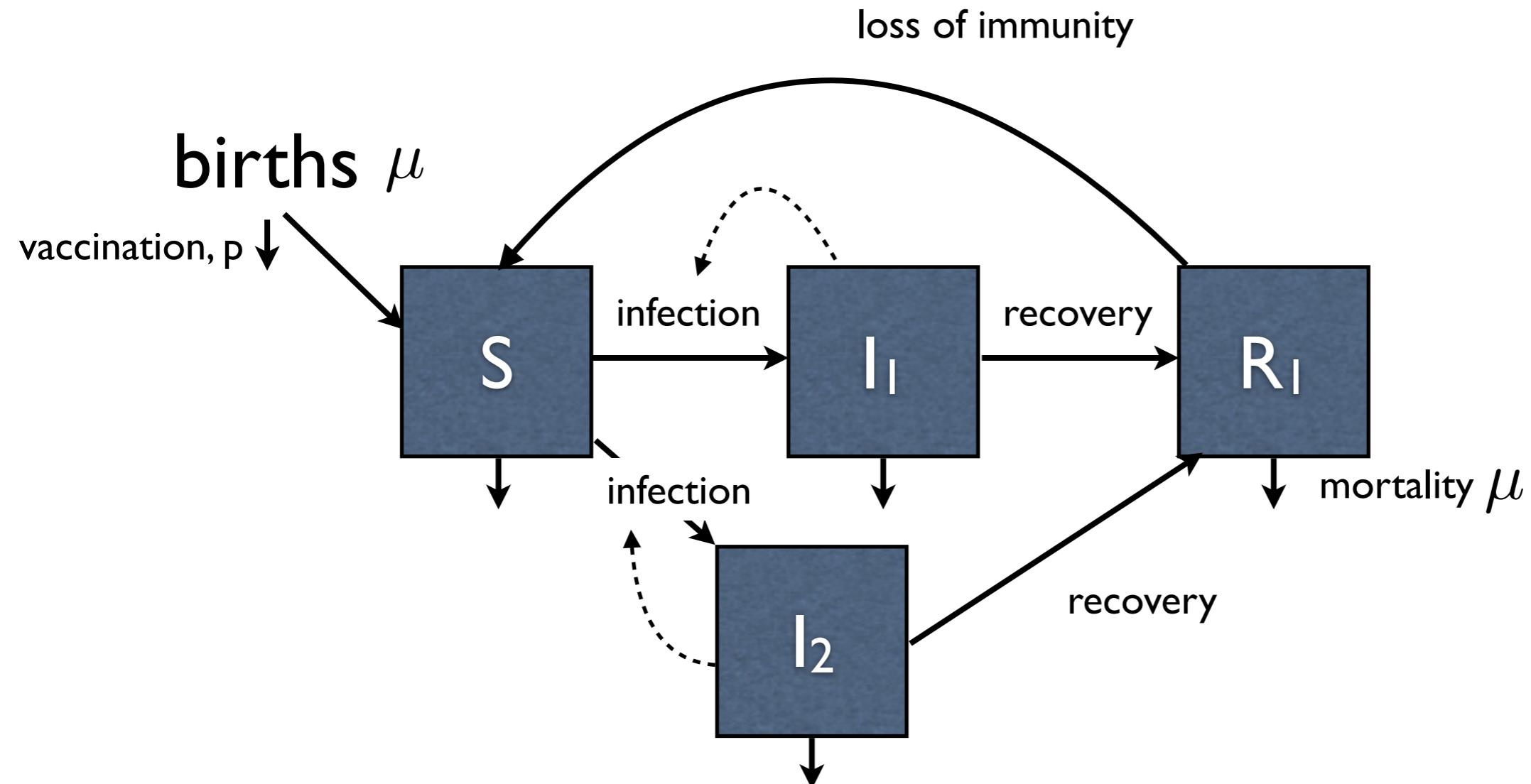
# Beyond the SIR model



# Beyond the SIR model



# Beyond the SIR model

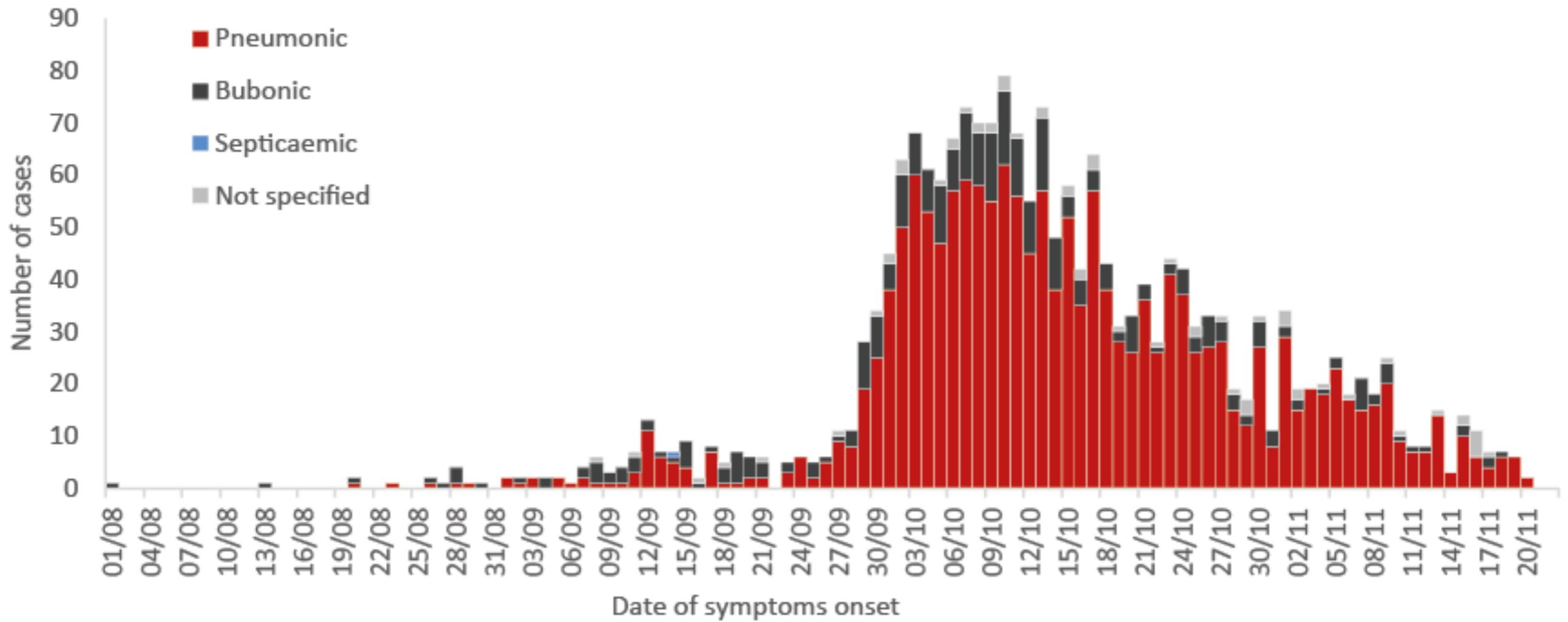


## Key concepts

- Les modèles SIR ressemblent en essence à des modèles de dynamic predator-prey
- Pour des pathogènes avec un cycle de vie qui ressemble à SIR, en ajoutant quelques details de realism on peut prédire la trajectoire future de l'infection avec grande exactitude.
- Il y a une énorme variété de modèles, avec different '**states**', et different '**processus**' qui pourrait mieux refléter des cas biologiques spécifiques.



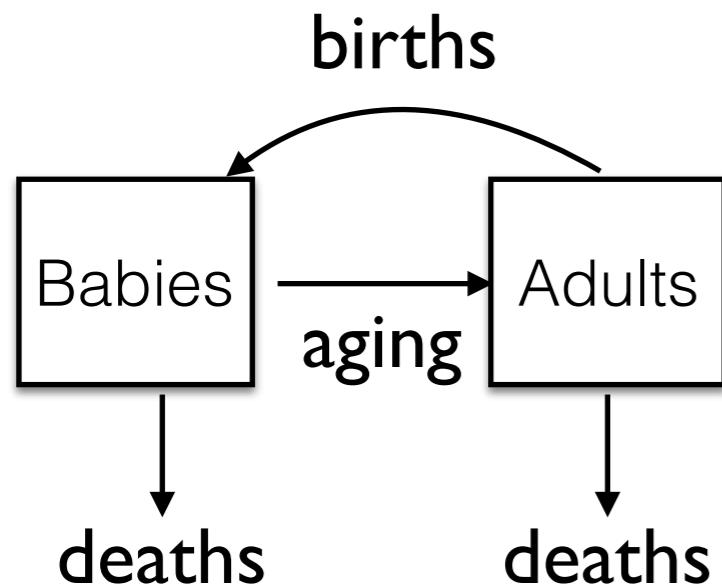
# Which model?



# **Extra Slides**



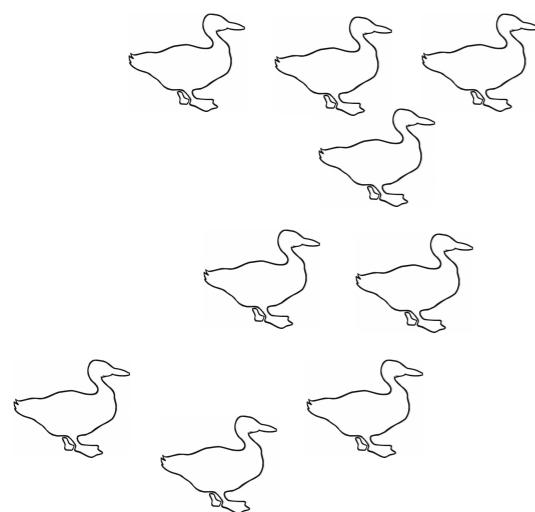
# Structured population model



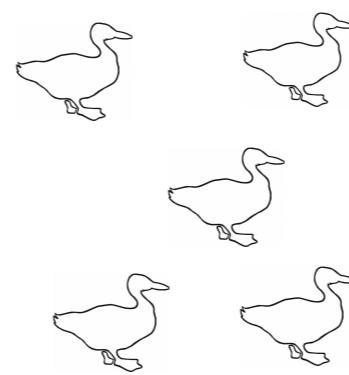
$$\mathbf{n}_{t+1} = \mathbf{A} \mathbf{n}_t$$

Assumes no role of chance

starting population



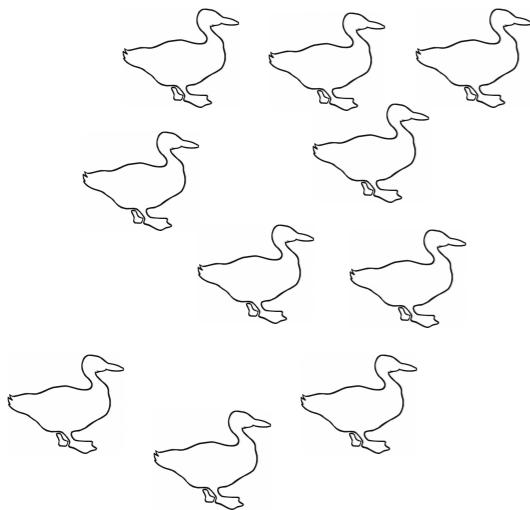
if deterministic



probability  
of  
survival = 0.5



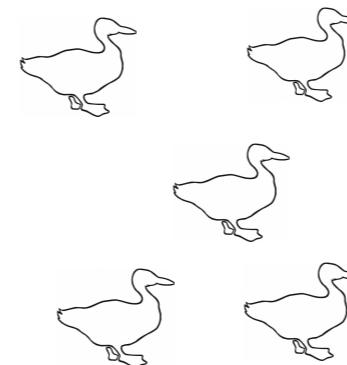
starting population



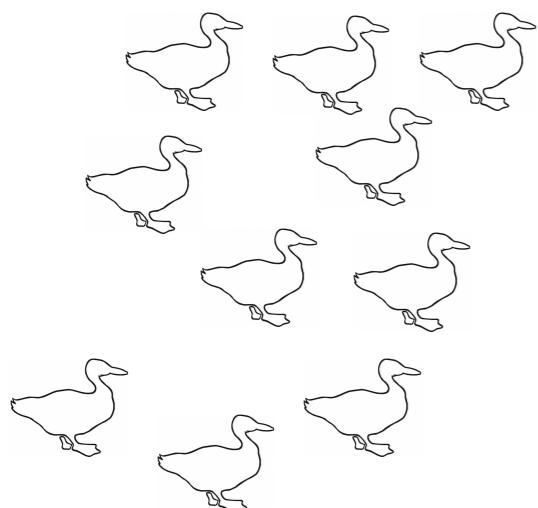
if deterministic



probability of  
survival = 0.5



starting population



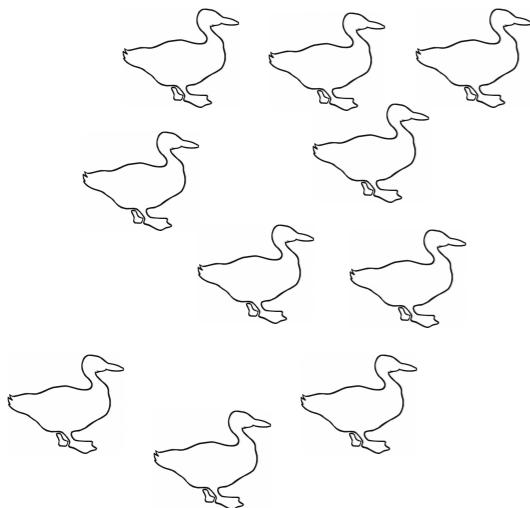
if stochastic?



probability of  
survival = 0.5



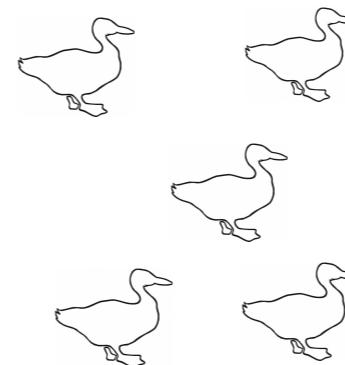
starting population



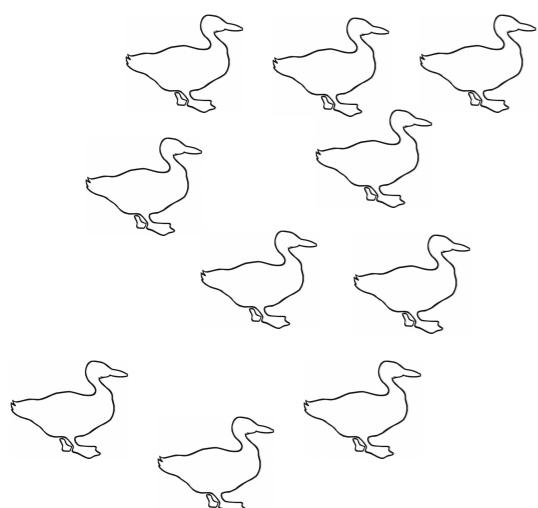
if deterministic



probability of  
survival = 0.5



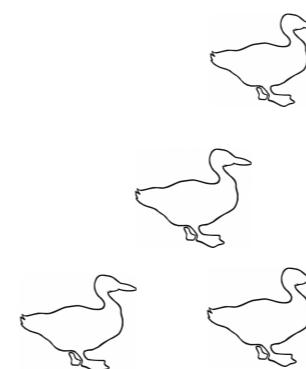
starting population



if stochastic?



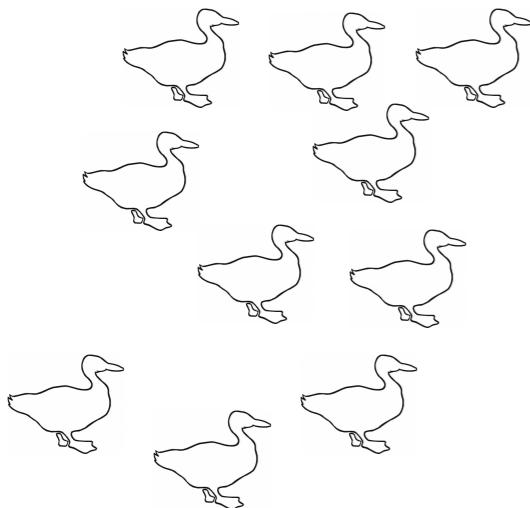
probability of  
survival = 0.5



Flip a coin for  
every duck;



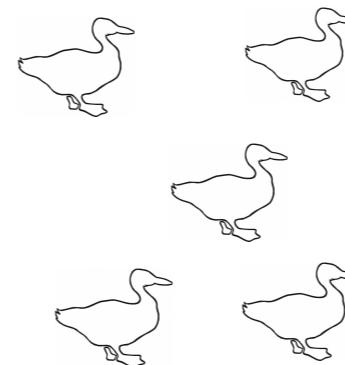
starting population



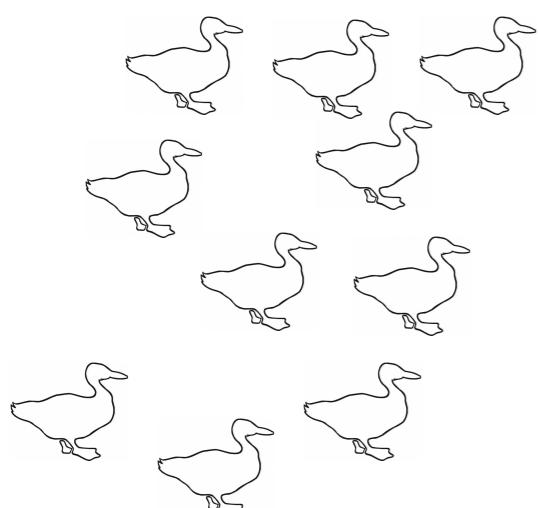
if deterministic



probability of  
survival = 0.5



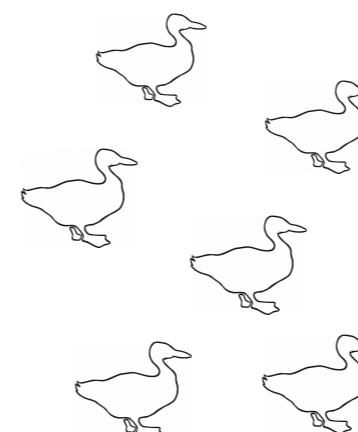
starting population



if stochastic?



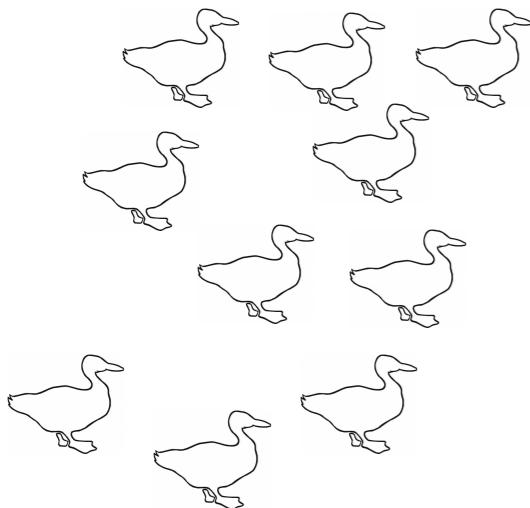
probability of  
survival = 0.5



Flip a coin for  
every duck;



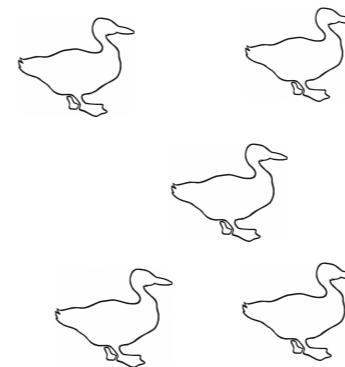
starting population



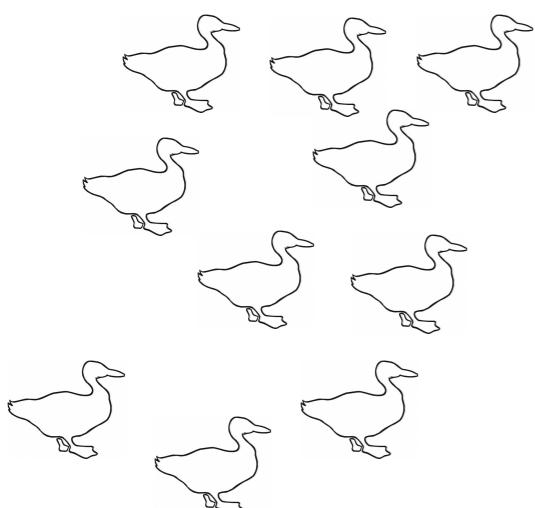
if deterministic



probability of  
survival = 0.5



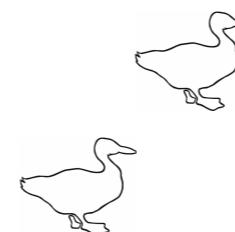
starting population



if stochastic?



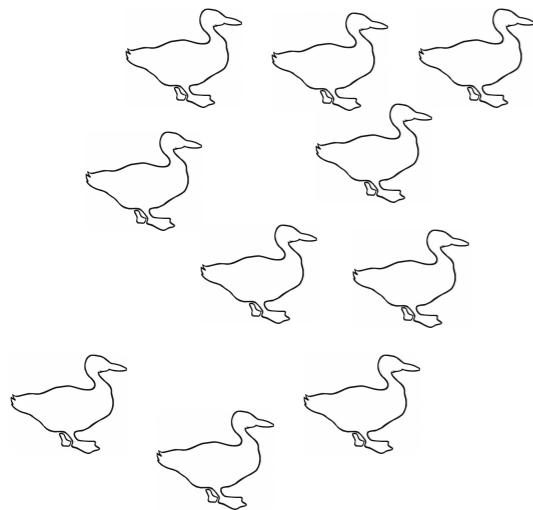
probability of  
survival = 0.5



Flip a coin for  
every duck;



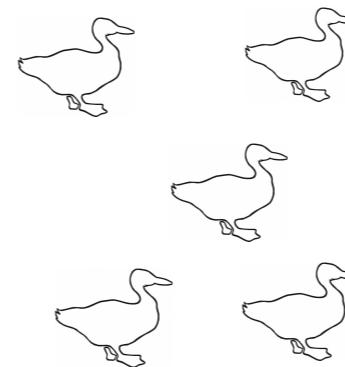
starting population



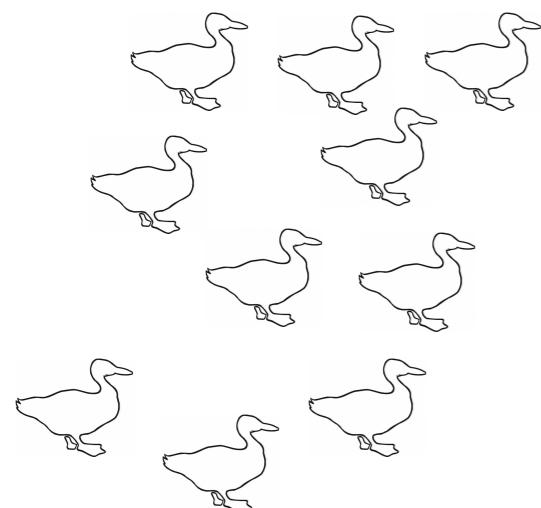
if deterministic



probability of  
survival = 0.5



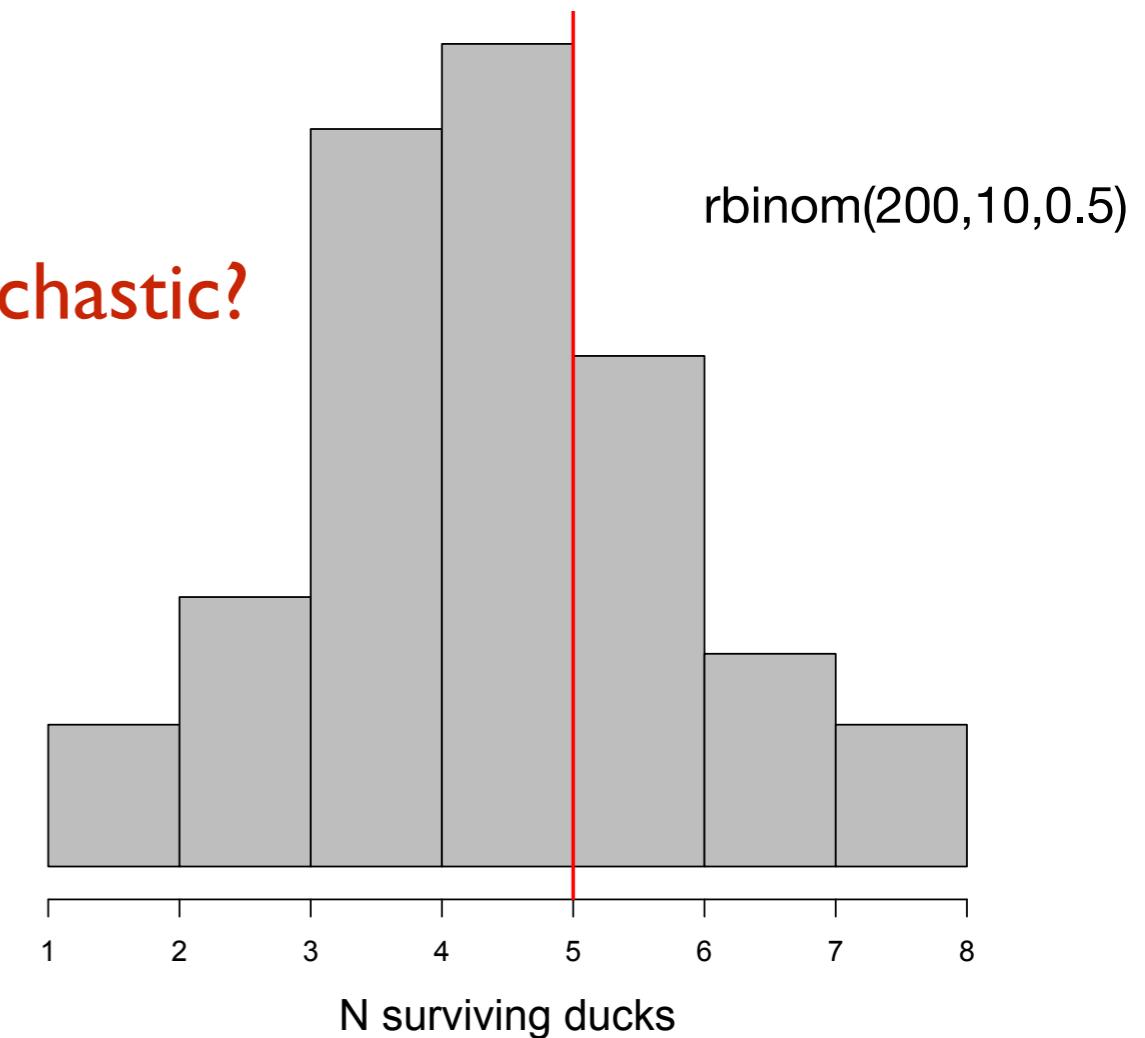
starting population



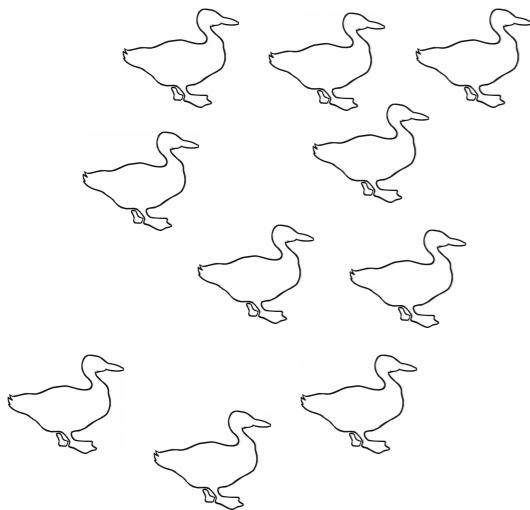
if stochastic?



probability of  
survival = 0.5

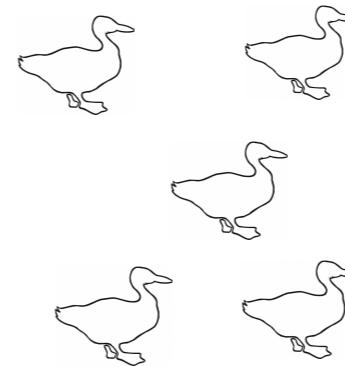


starting population



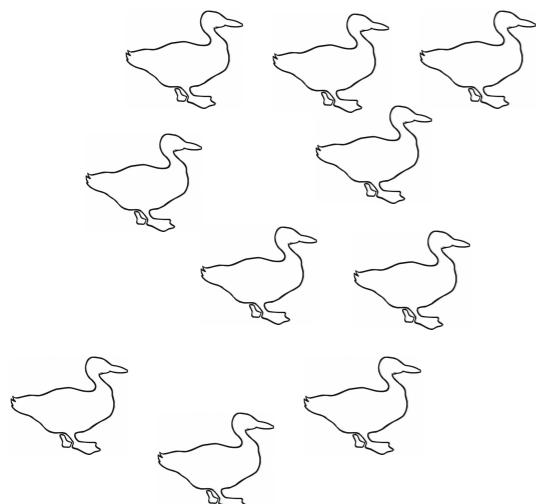
if deterministic

probability of  
survival = 0.5



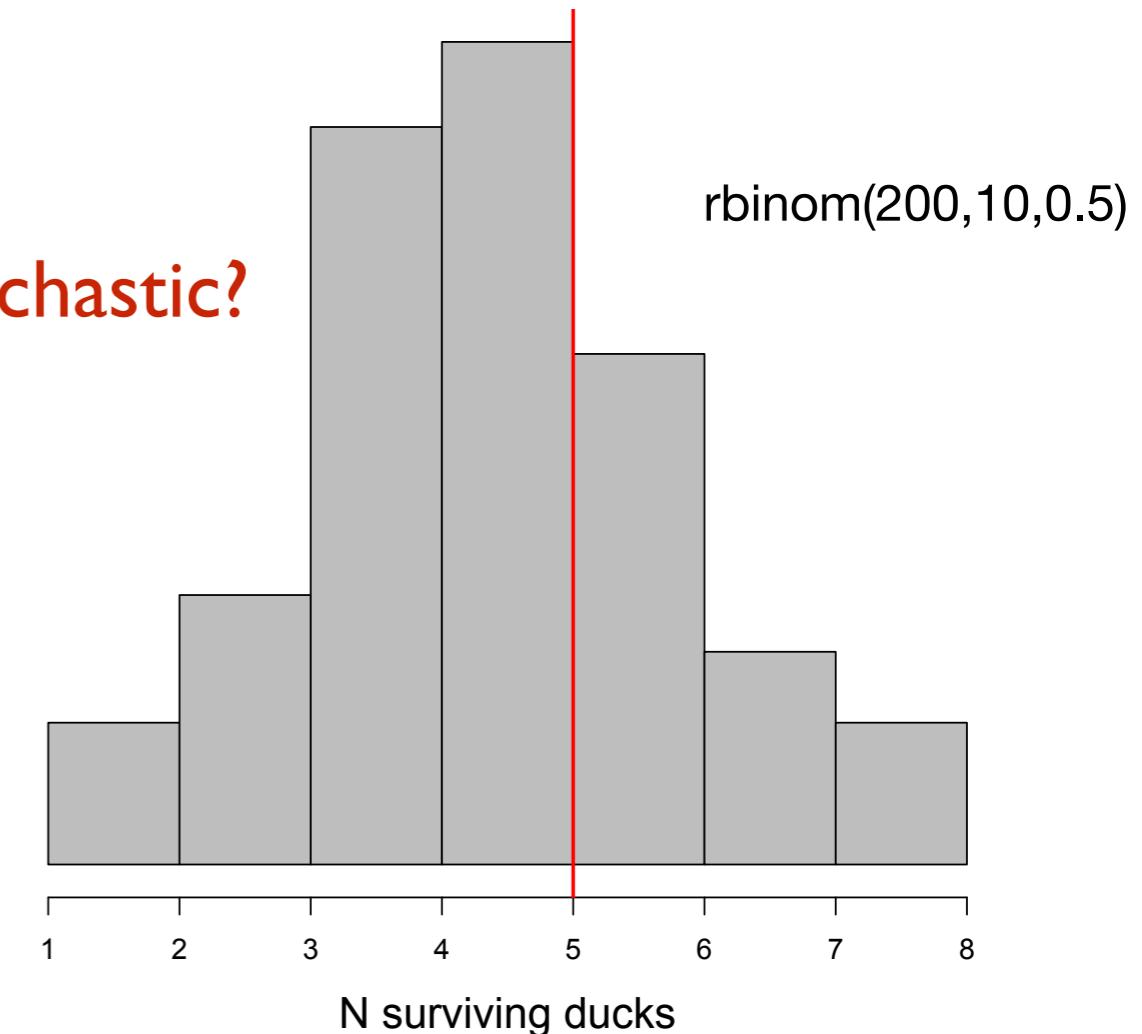
If you test your 10 ducks  
many times, on average  
you get 5

starting population

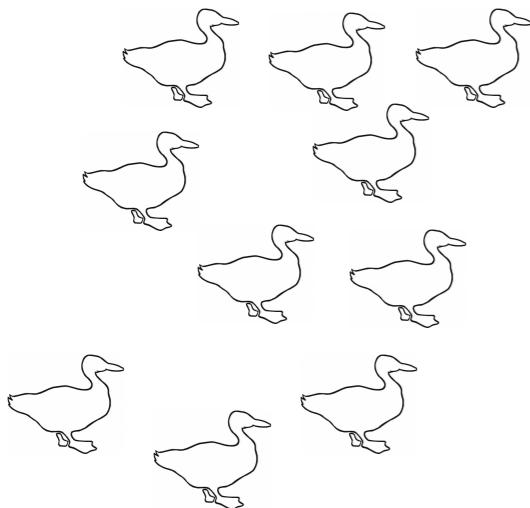


probability of  
survival = 0.5

if stochastic?

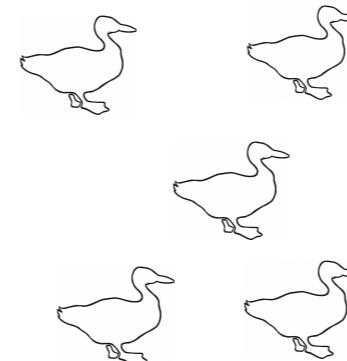


starting population



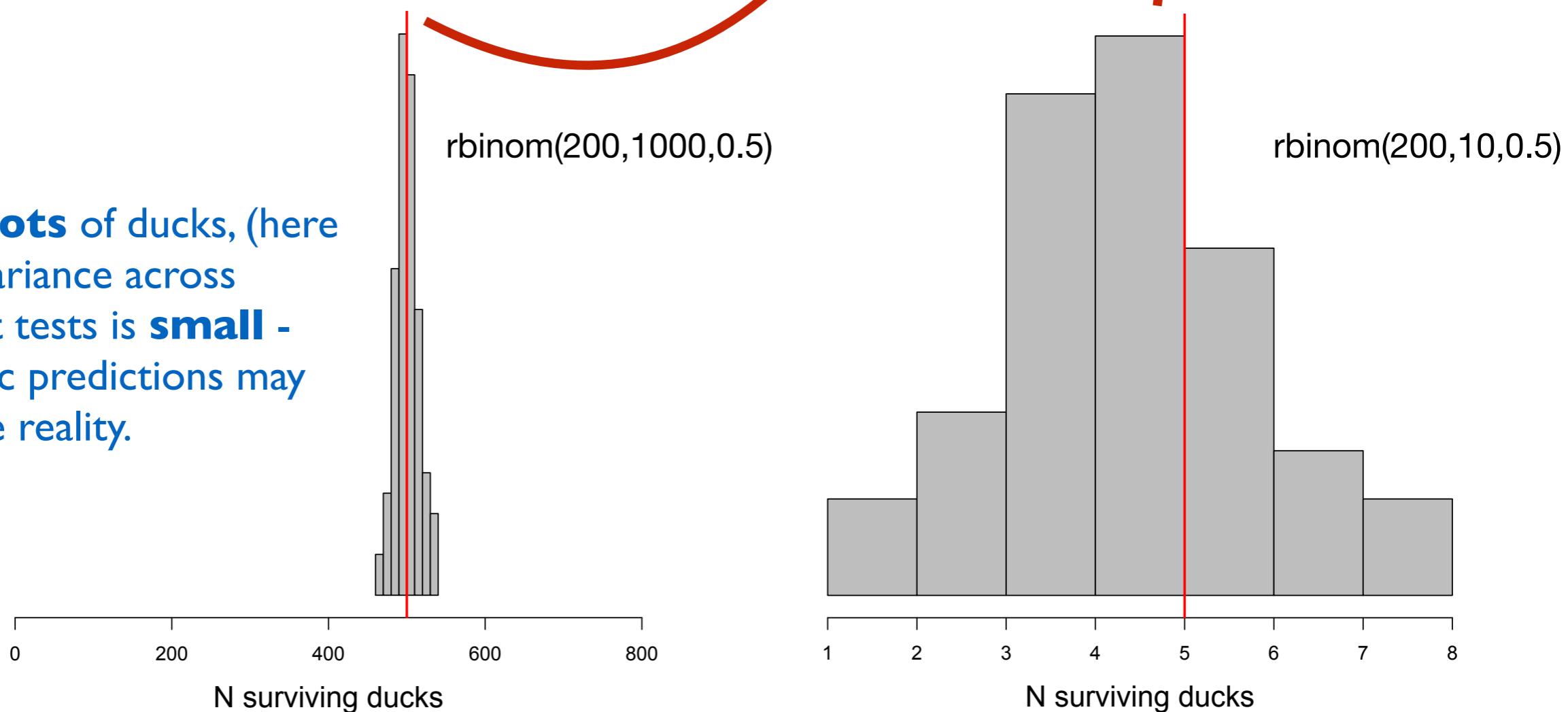
if deterministic

probability of survival = 0.5



If you test your 10 ducks many times, on average you get 5

If you have **lots** of ducks, (here 1000) the variance across many repeat tests is **small** - deterministic predictions may approximate reality.



Stochasticity matters for ***statistical design***, and  
***projecting future population growth*....**

It has been suggested that it might also have been a key element in the ***evolution of the unique fauna and flora of Madagascar.***



## Evolution in the hypervariable environment of Madagascar

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We show that the diverse ecoregions of Madagascar share one distinctive climatic feature: unpredictable intra- or interannual precipitation compared with other regions with comparable rainfall. Climatic unpredictability is associated with unpredictable patterns of fruiting and flowering. It is argued that these features

