

# Introduction to Compartmental Models

Cara Brook

University of California, Berkeley

Adapted from slides by:

Amy Wesolowski, Johns Hopkins University

Jessica Metcalf, Princeton University

# Goals for this lecture

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- Understand the difference between statistical and mechanistic models

Comprendre la différence entre les modèles statistiques et mécanistes.

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Comprendre comment on peut formuler et conceptualiser les modèles compartimentés

# Goals for this lecture

- Understand the difference between statistical and mechanistic models  
*Comprendre la différence entre les modèles statistiques et mécanistes.*
- Understand how to formalize and conceptualize compartmental models  
*Comprendre comment on peut formuler et conceptualiser les modèles compartimentés*
- Example: population growth, predator prey, SIR models

# **Compartmental/Mechanistic/Mathematical Models**

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1. Populations are divided into compartments

Les populations sont subdivisées en compartiments

# Compartmental/Mechanistic/Mathematical Models

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*Les populations sont subdivisées en compartiments*
2. Individuals within a compartment are homogeneously mixed  
*Les individus d'un compartiment sont mélangés de manière homogène*

# Compartmental/Mechanistic/Mathematical Models

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Les individus d'un compartiment sont mélangés de manière homogène
3. Compartments and transition rates are determined by biological systems  
Les compartiments et les taux de transition sont déterminés par les systèmes biologiques

# Compartmental/Mechanistic/Mathematical Models

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Les compartiments et les taux de transition sont déterminés par les systèmes biologiques
4. Rates of transferring between compartments are expressed mathematically  
Taux de transition entre les compartiments sont exprimés mathématiquement

# How are these different from statistical models?

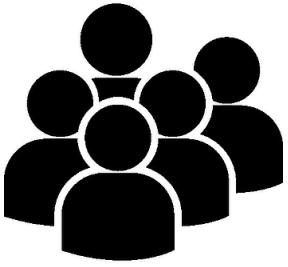
**En quoi sont-ils différents des modèles statistiques?**

# How are these different from statistical models?

## En quoi sont-ils différents des modèles statistiques?

Make explicit hypotheses about biological mechanisms that drive infection dynamics (may not be realistic, but still explicit)

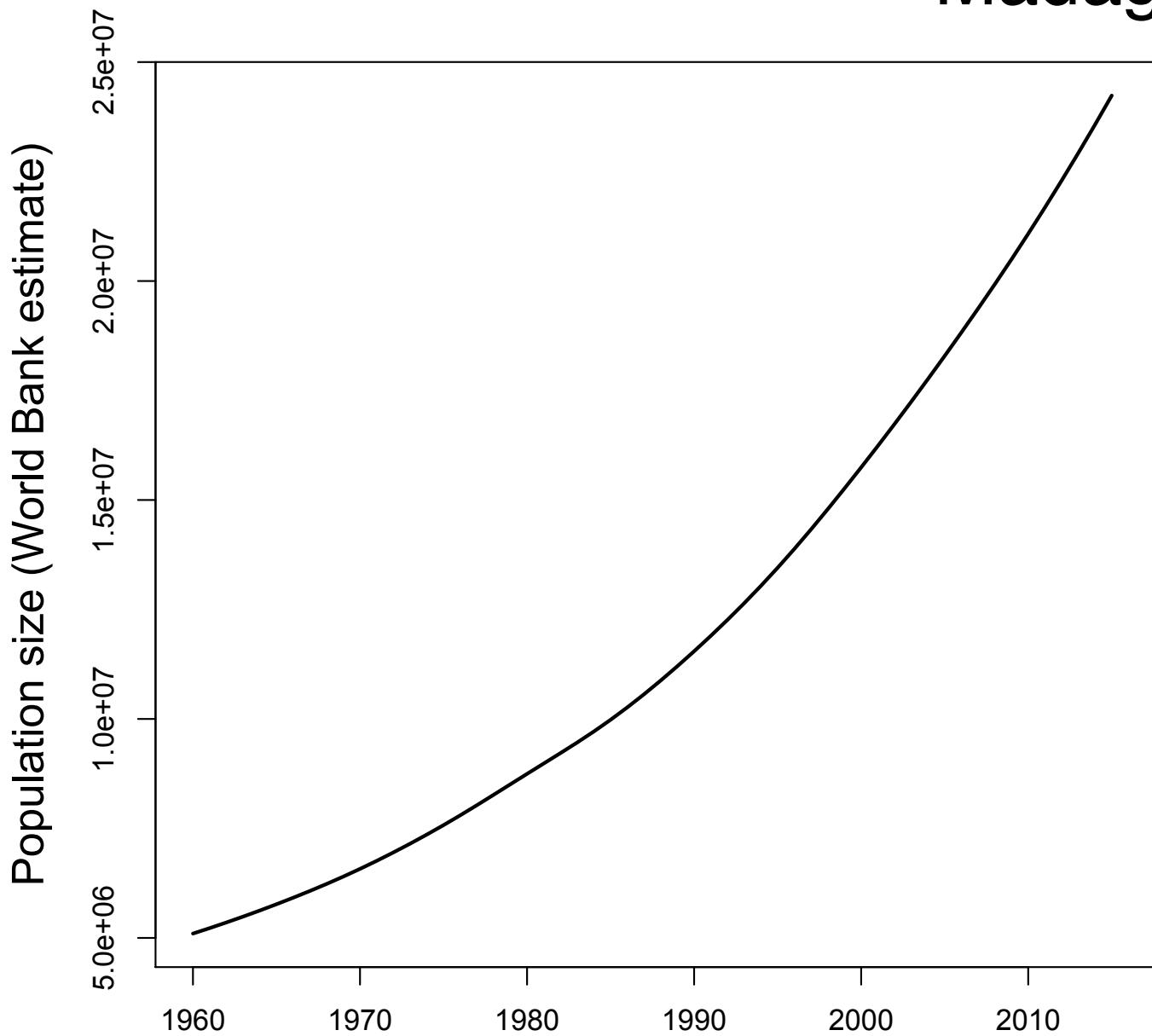
Faire des hypothèses explicites sur les mécanismes biologiques qui régissent la dynamique de l'infection (peut ne pas être réaliste, mais toujours explicite)



# **1. Simple Population Models**

## **1. Modèles simples de population**

# Madagascar



# The basic population model

## Compartmental models (Mechanistic Models)

1. Populations are divided into compartments

## Compartmental models (Mechanistic Models)

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# The basic population model

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## Compartmental models (Mechanistic Models)

1. Populations are divided into compartments
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4. Individuals within a compartment are homogenously mix

**How does the population of Madagascar grow over time?**

**Comment est-ce que la population de Madagascar s'augmente avec le passage du temps?**

# The basic population model

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Madagascar  
(N)

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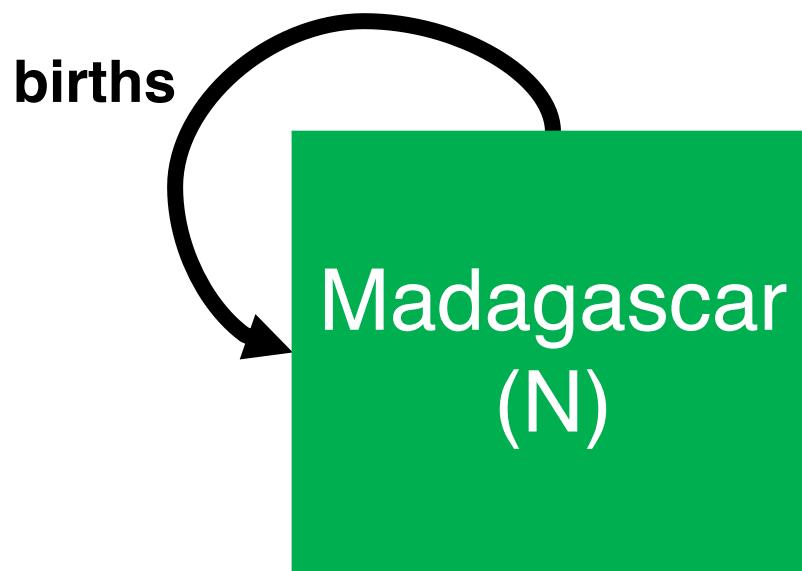
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(N)

How does the population grow?

# The basic population model

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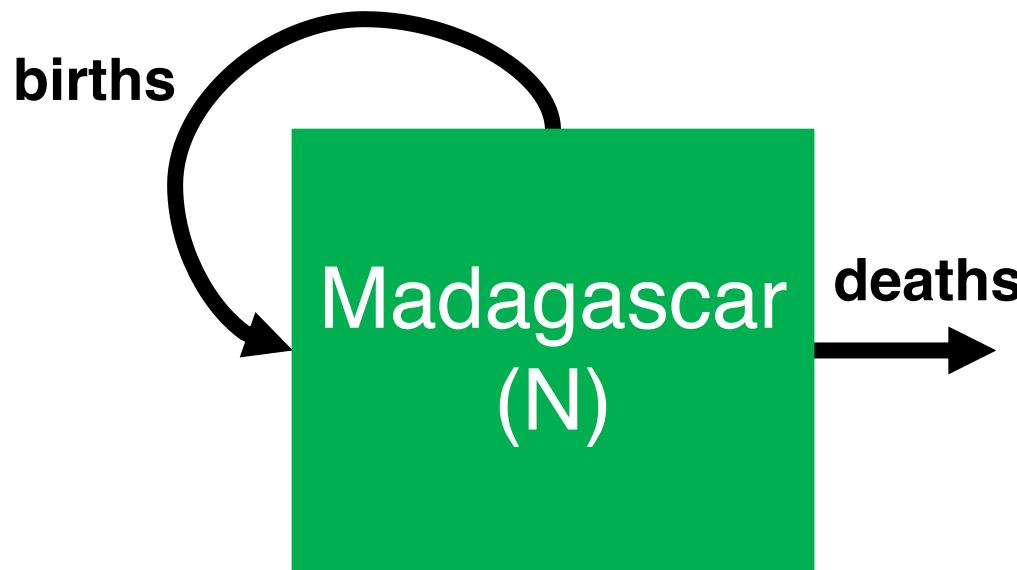


**How does the population grow?**

# The basic population model

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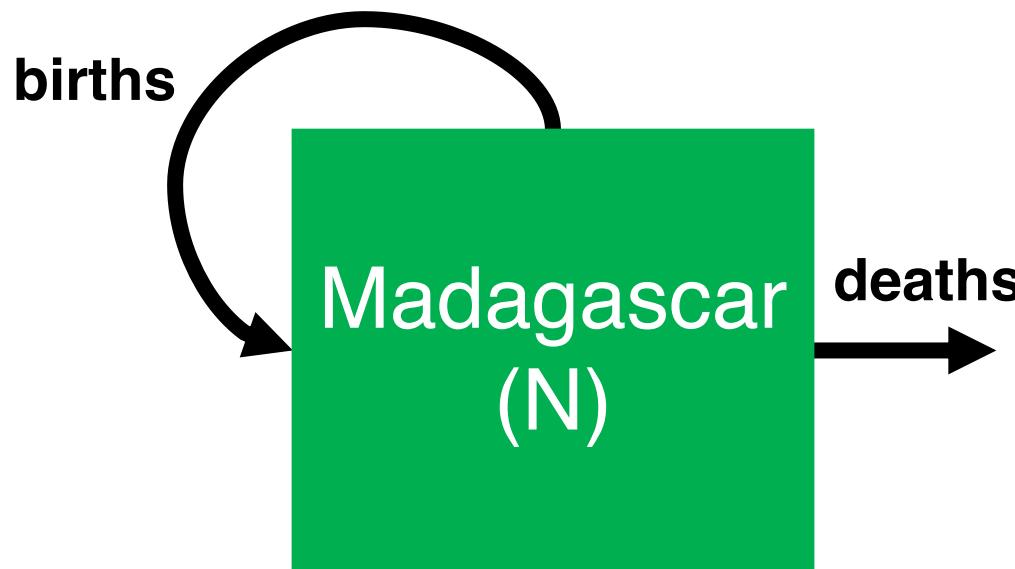


**How does the population decrease?**

# The basic population model

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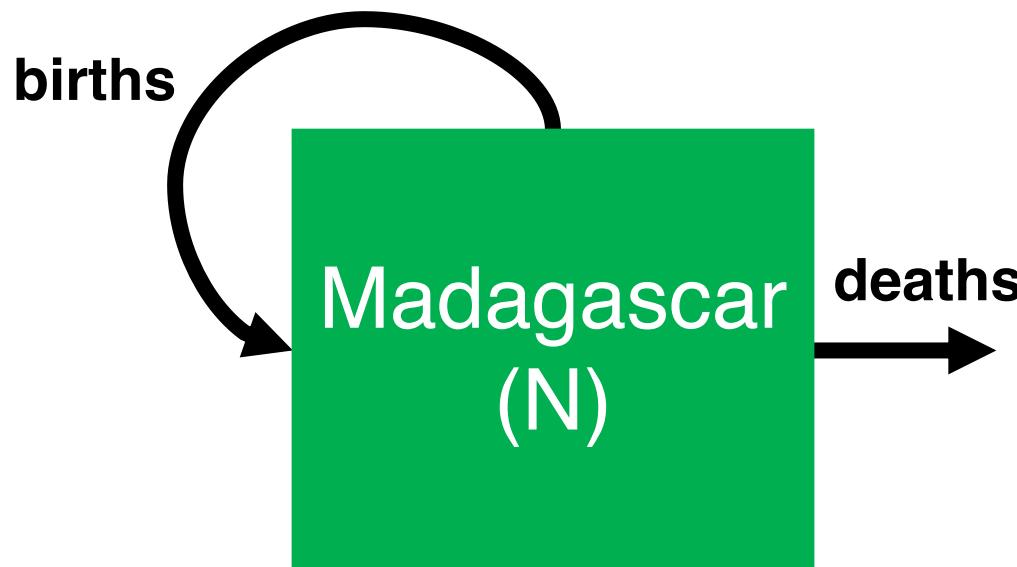


$$N_{t+1} =$$

# The basic population model

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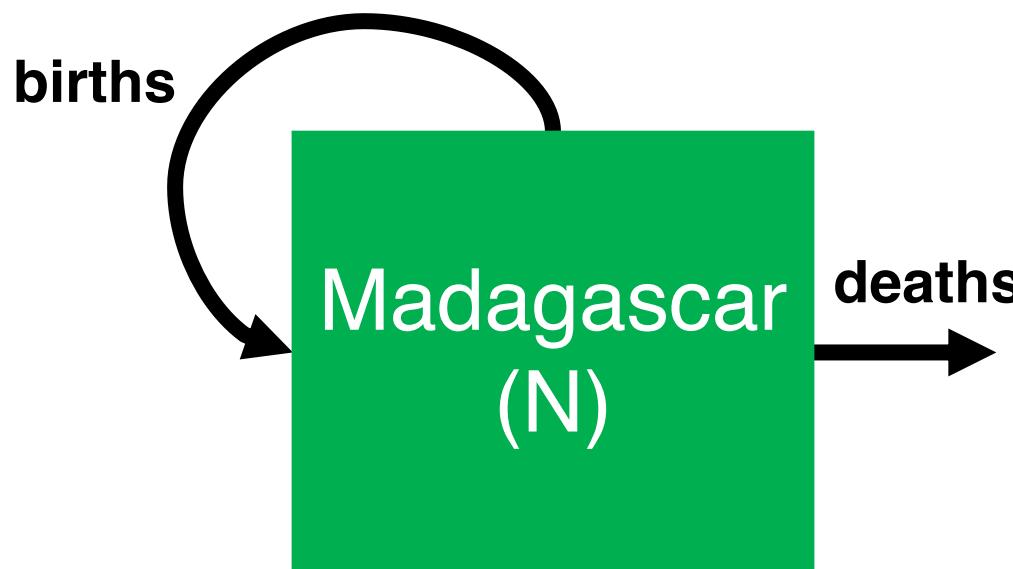


$$N_{t+1} = \text{births} * N_t$$

# The basic population model

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$$N_{t+1} = \text{births} * N_t - \text{deaths} * N_t$$

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$$N_{t+1} = \text{births} * N_t - \text{deaths} * N_t$$

$$N_{t+1} = (\text{births} - \text{deaths}) * N_t$$

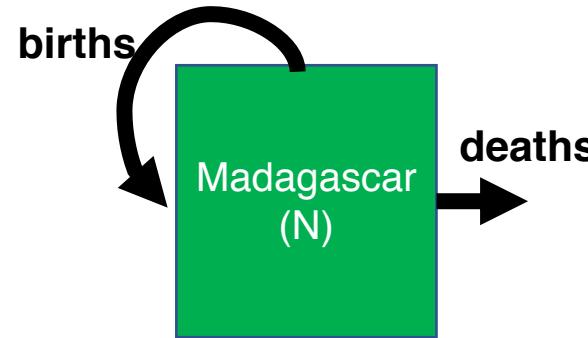
$$N_{t+1} = \lambda * N_t$$

$\lambda$  = pop intrinsic growth rate

# The basic population model

$$\lambda = N_{t+1}/N_t$$

pop size at t+1                      pop size at t

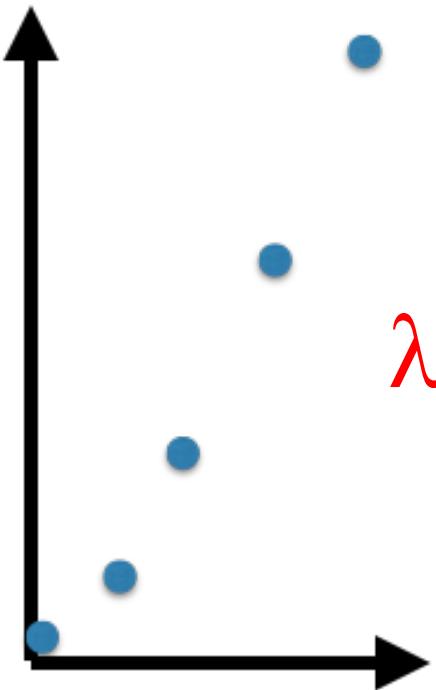


Population rate of increase  
Taux d'accroissement de la population

# The basic population model



Discrete time



$$\lambda = N_{t+1} / N_t$$

$$N_1 = \lambda N_0$$

$$N_2 = \lambda [\lambda N_0] = \lambda^2 N_0$$

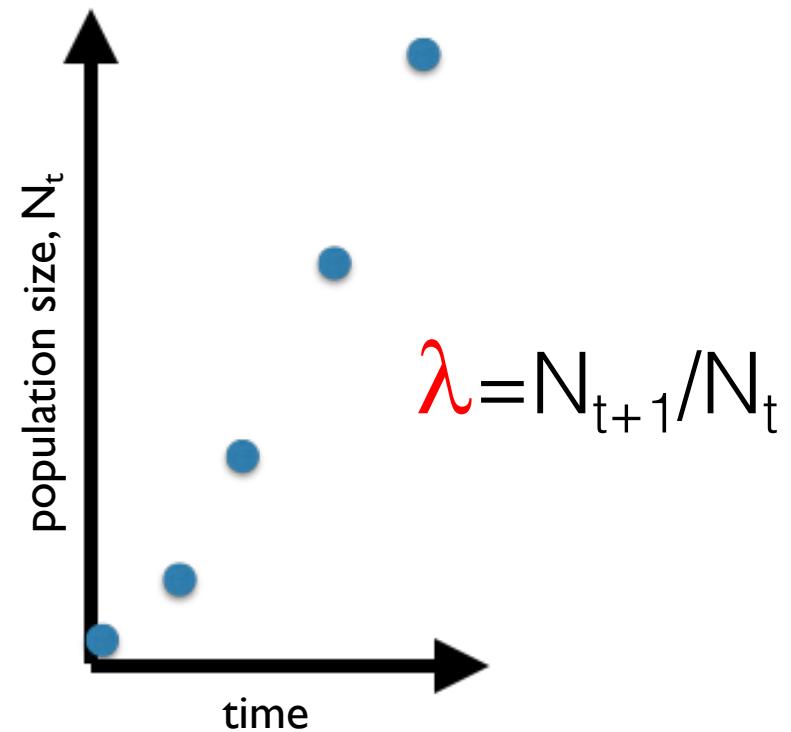
$$N_3 = \lambda^3 N_0$$

$$N_t = \lambda^t N_0$$

# The basic population model



Discrete time



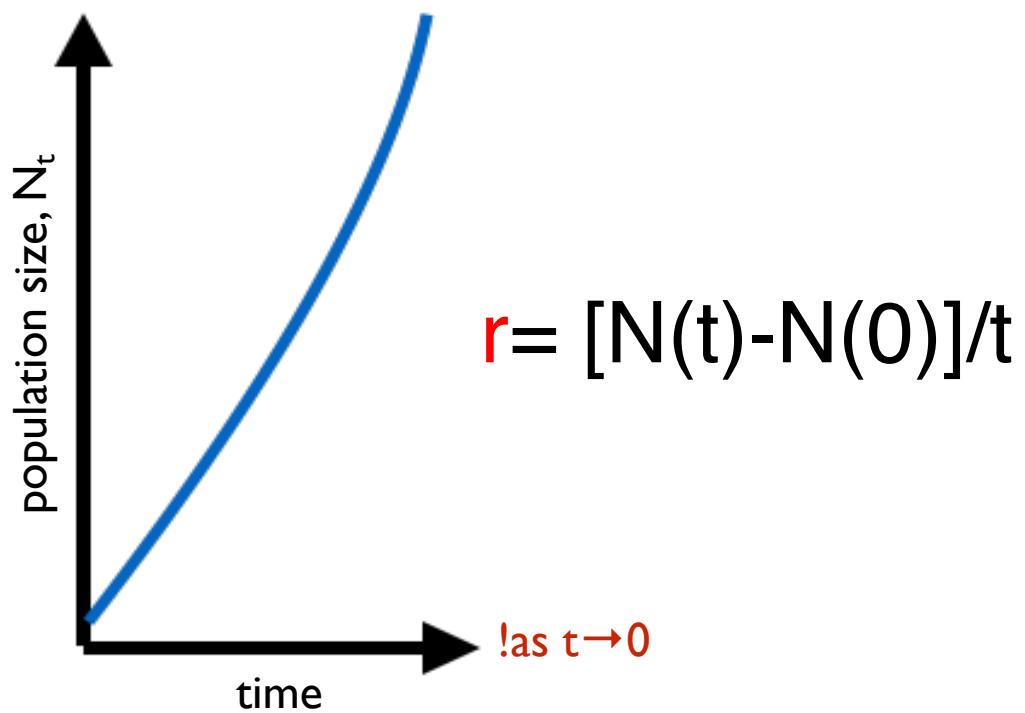
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Continuous time

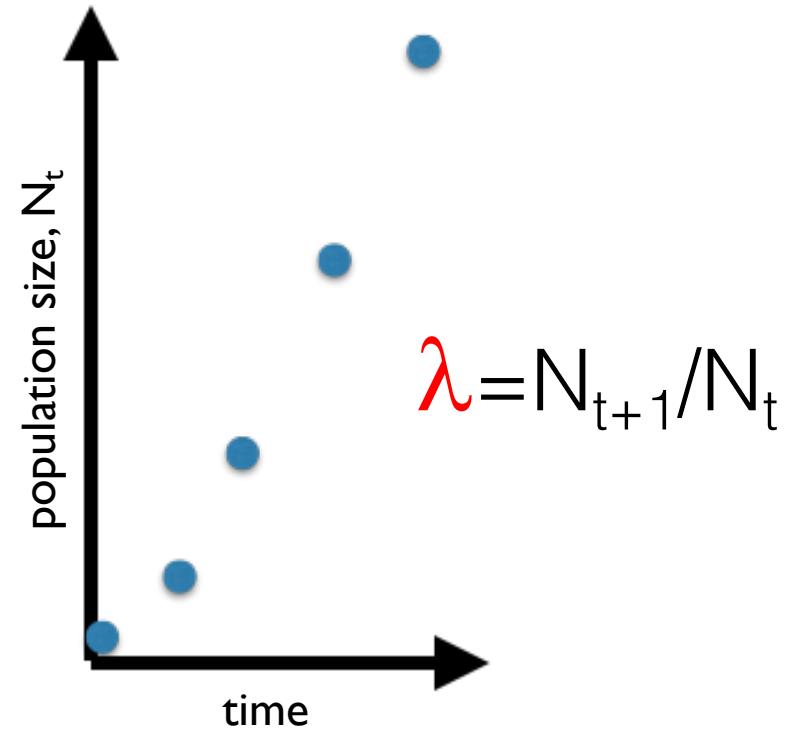


$$dN/dt = rN$$

# The basic population model



Discrete time



Continuous time

$$dN(t)/dt = rN(t)$$

$$N_1 = \lambda N_0$$

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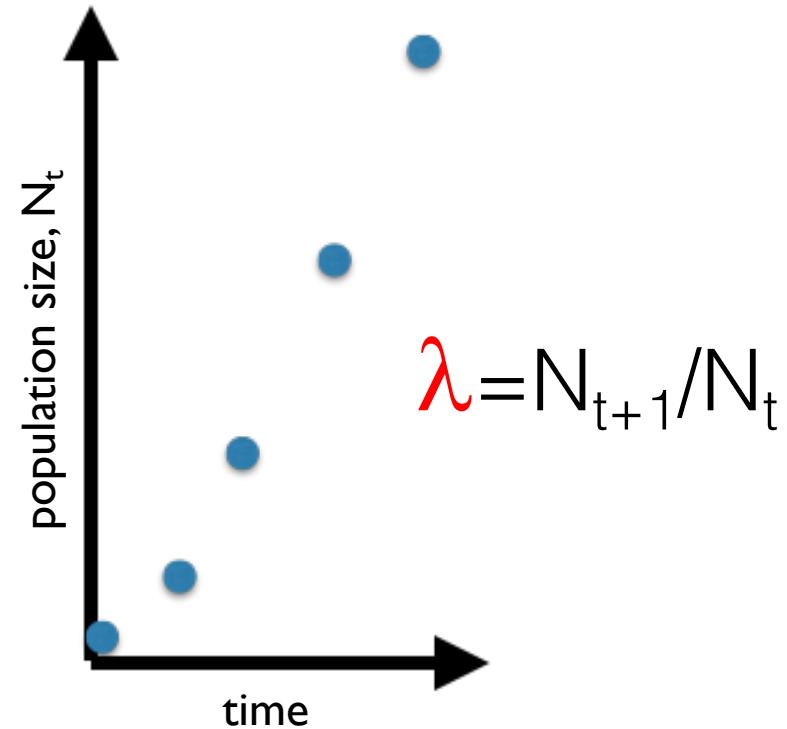
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# The basic population model



Discrete time



Continuous time

$$dN(t)/dt = rN(t)$$

*Separation of variables:*  
 $dN(t)/N(t) = r dt$

$$N_1 = \lambda N_0$$

$$N_2 = \lambda [\lambda N_0] = \lambda^2 N_0$$

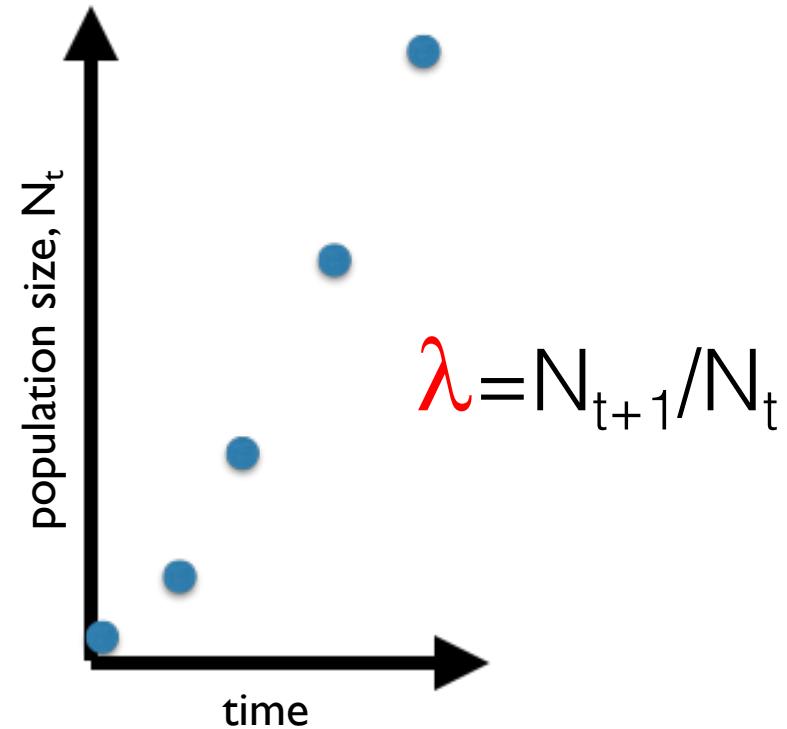
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# The basic population model



Discrete time



Continuous time

$$dN(t)/dt = rN(t)$$

*Separation of variables:*  
 $dN(t)/N(t) = r dt$

*Integrate both sides:*  
 $\int dN(t)/N(t) = \int r dt$

$$N_1 = \lambda N_0$$

$$N_2 = \lambda [\lambda N_0] = \lambda^2 N_0$$

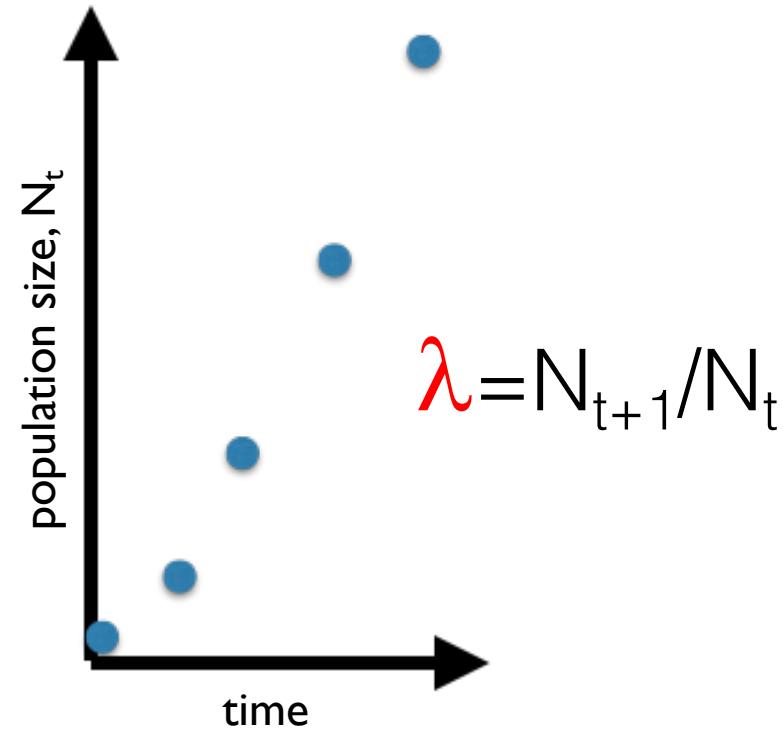
$$N_3 = \lambda^3 N_0$$

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# The basic population model



Discrete time



$$N_1 = \lambda N_0$$

$$N_2 = \lambda [\lambda N_0] = \lambda^2 N_0$$

$$N_3 = \lambda^3 N_0$$

$$N_t = \lambda^t N_0$$

Continuous time

$$\frac{dN(t)}{dt} = rN(t)$$

*Separation of variables:*  
 $\frac{dN(t)}{N(t)} = r dt$

*Integrate both sides:*  
 $\int \frac{dN(t)}{N(t)} = \int r dt$

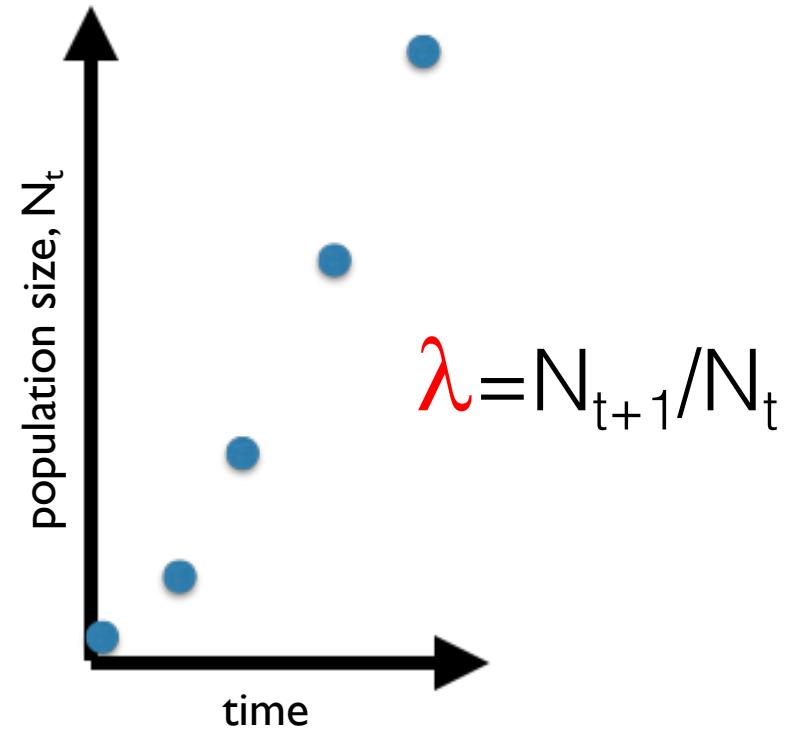
*By definition:*  
 $\log(N(t)) = rt + c$

*Take exponentials:*  
 $N(t) = e^{rt+c} = Ce^{rt}$   
 $N(t) = N(0)e^{rt}$

# The basic population model



Discrete time



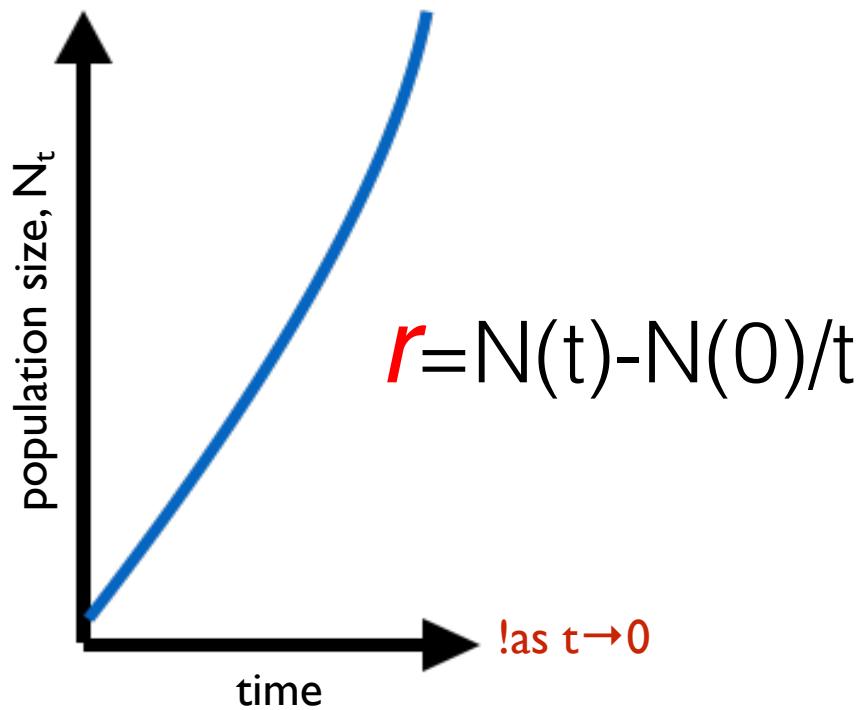
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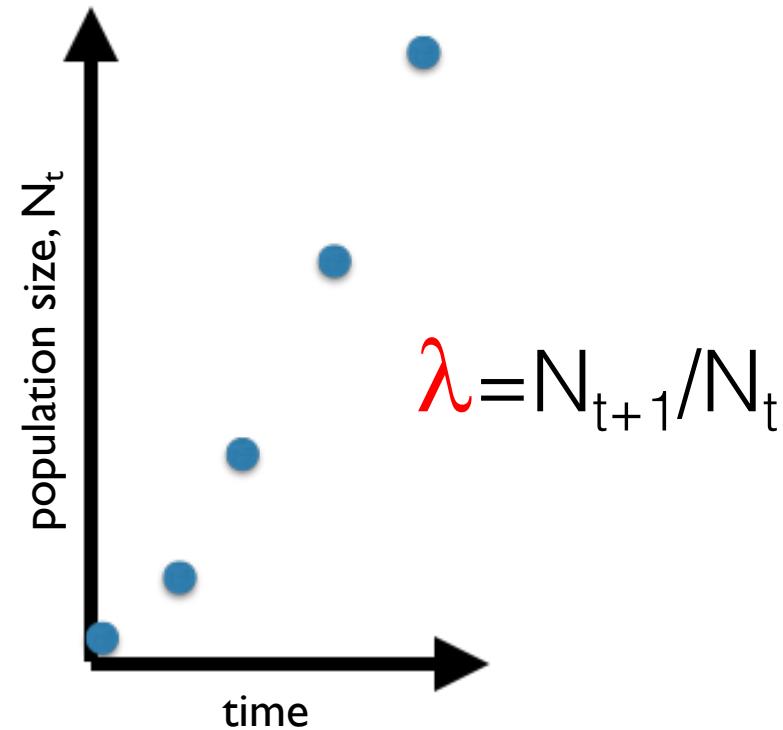


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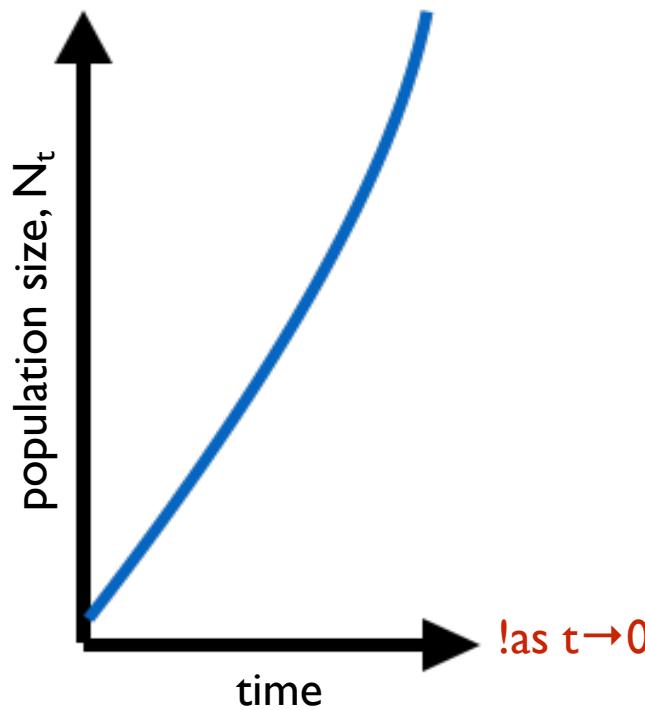
# The basic population model



Discrete time

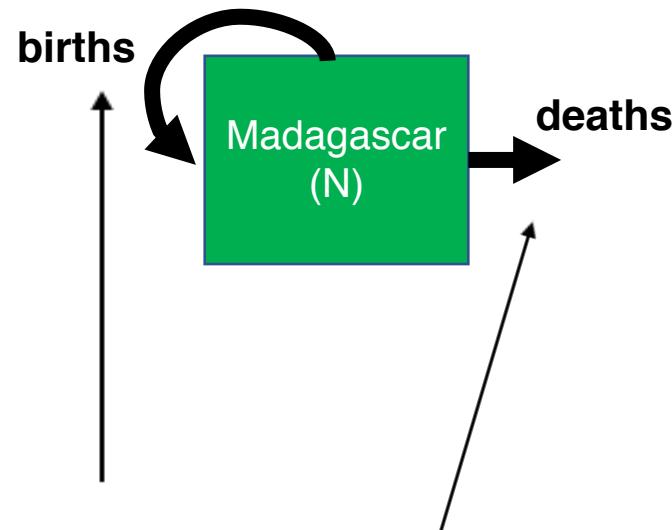


Continuous time



Continuous models can be discretized; discrete models can be approximated by continuous ones. The appropriate framing may depend on the data / question.

# The basic population model

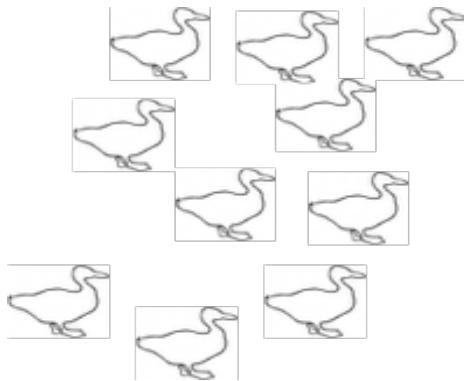


What about those rates?  
Are they the same every year?  
And in every person?

# The basic population model



starting population



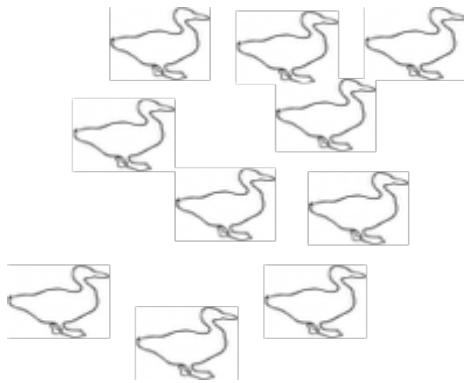
if deterministic    "always the same"

probability of  
death = 0.5

# The basic population model



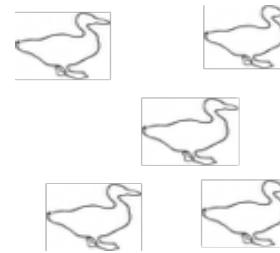
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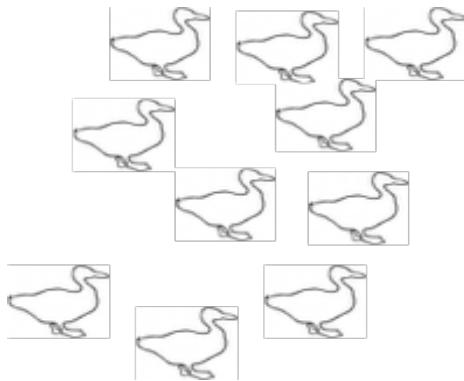
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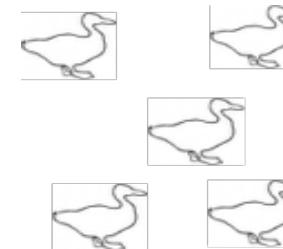


starting population

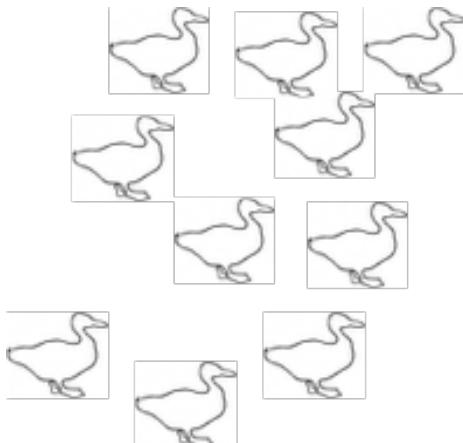


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starting population



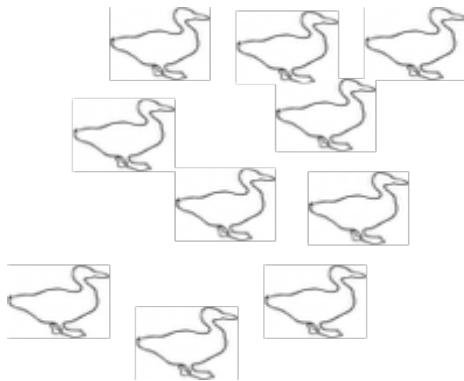
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if stochastic?     "up to chance"

# The basic population model

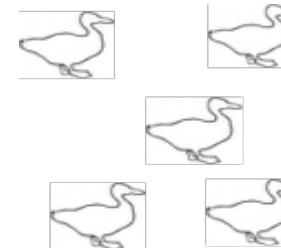


starting population

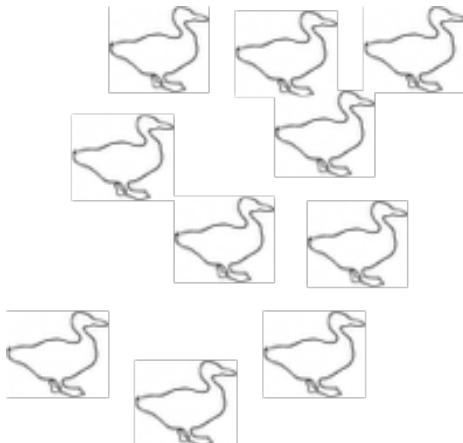


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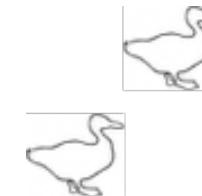


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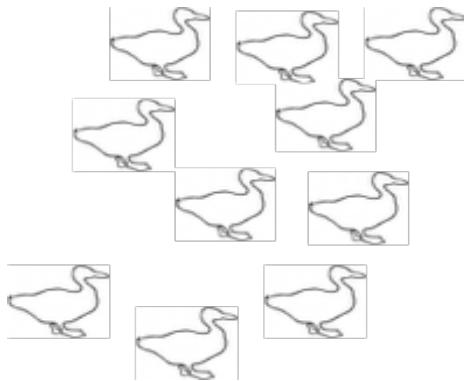
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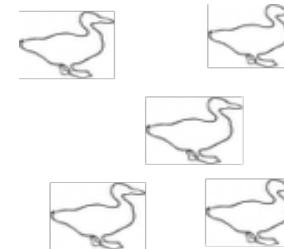


starting population



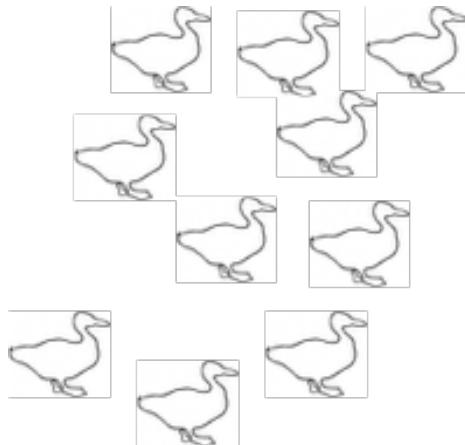
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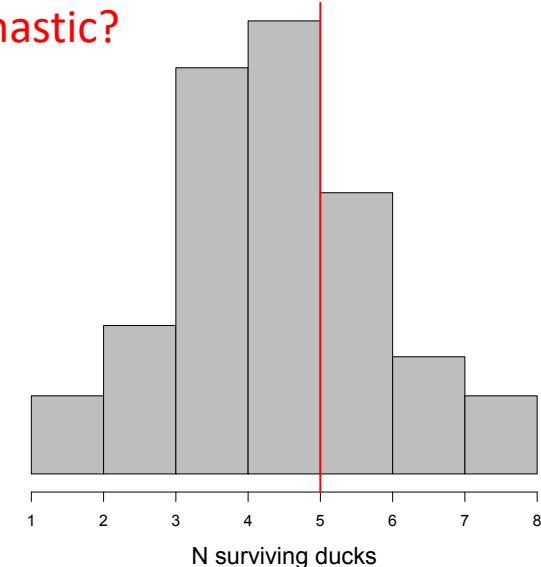
rbinom(200,10,0.5)

starting population



probability of  
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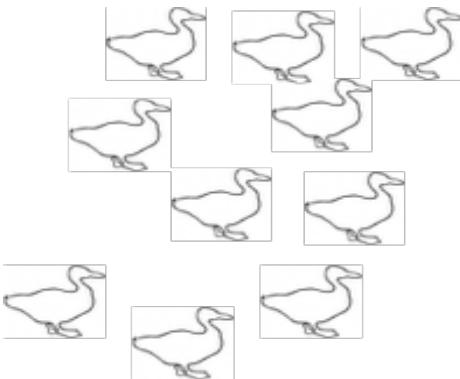
if stochastic?



# The basic population model

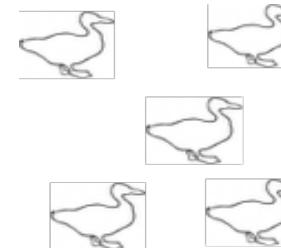


starting population



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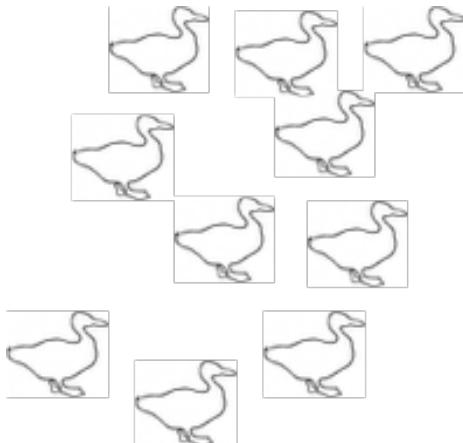
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If you test your 10 ducks  
many times, on average  
you get 5

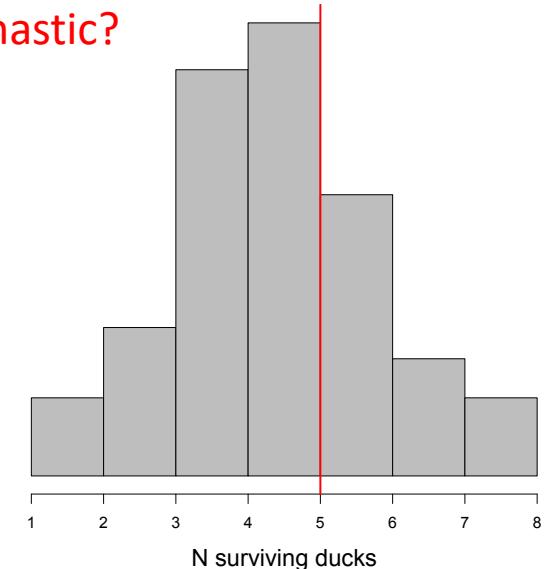
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starting population



probability of  
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if stochastic?



**Stochasticity matters for *statistical design*, and  
*projecting future population growth*....**

It has been suggested that it might also have been a key element in the ***evolution of the unique fauna and flora of Madagascar.***

## **Evolution in the hypervariable environment of Madagascar**

**Robert E. Dewar<sup>\*†</sup> and Alison F. Richard<sup>‡</sup>**

<sup>\*</sup>McDonald Institute of Archaeological Research, University of Cambridge, Downing Street, Cambridge CB2 3ER, England; and <sup>†</sup>Vice-Chancellor, University of Cambridge, Cambridge CB2 1TN, England

Communicated by Henry T. Wright, University of Michigan, Ann Arbor, MI, June 29, 2007 (received for review August 26, 2005)

We show that the diverse ecoregions of Madagascar share one distinctive climatic feature: unpredictable intra- or interannual precipitation compared with other regions with comparable rainfall. Climatic unpredictability is associated with unpredictable patterns of fruiting and flowering. It is argued that these features

are primates; however, in Madagascar medium- to large-sized frugivorous lem of fruit in the diets of extant Malagasy with other primate communities (8). Wit primate species tend to include more



# Key concepts

- Compartmental/mechanistic/mathematical models

*Modèles en compartiments*

- Continuous vs. discrete models

*Modèles en temps continue vs. modèles en temps discrète*

- Deterministic vs. stochastic models

*Modèles déterministique vs. stochastique*

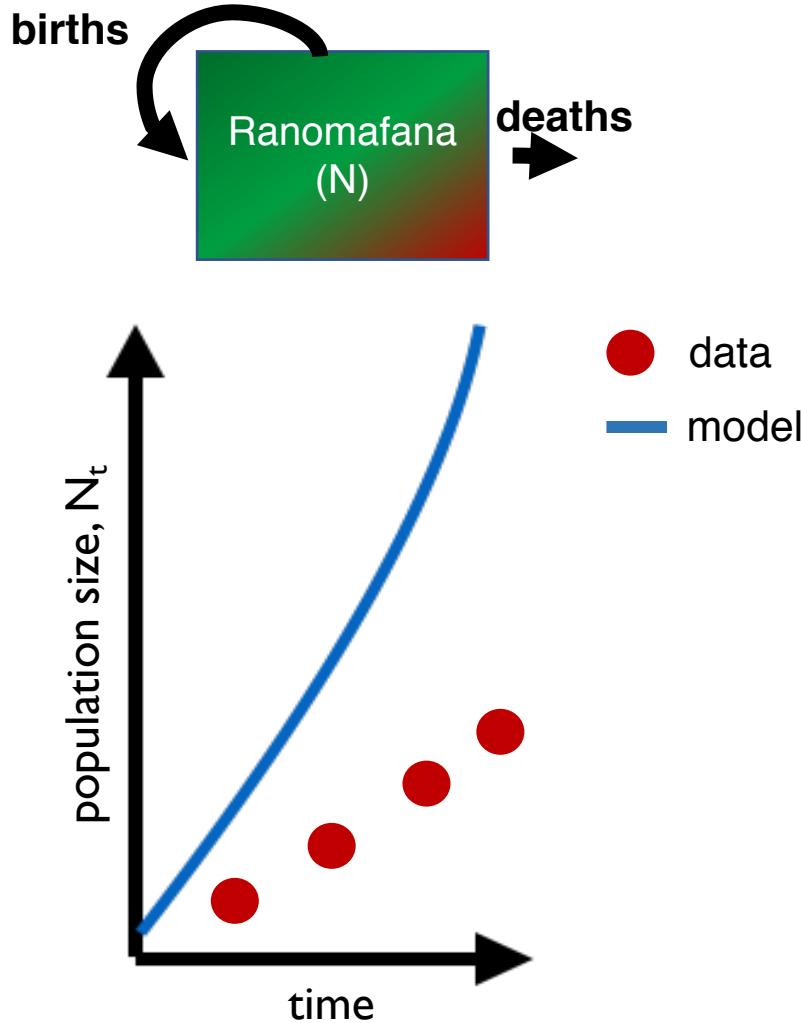


## **2. Structured Population Models**

## **2. Modèles de la population structurée**

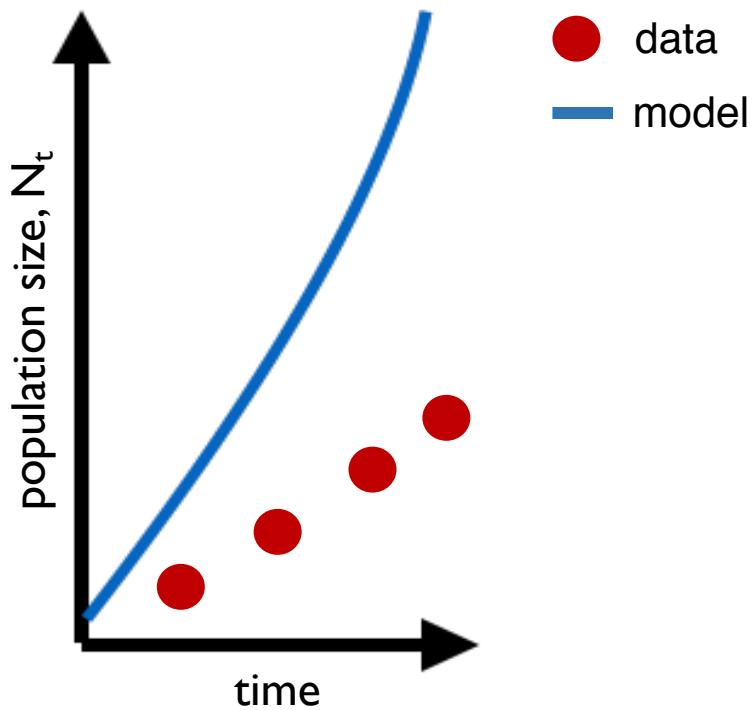
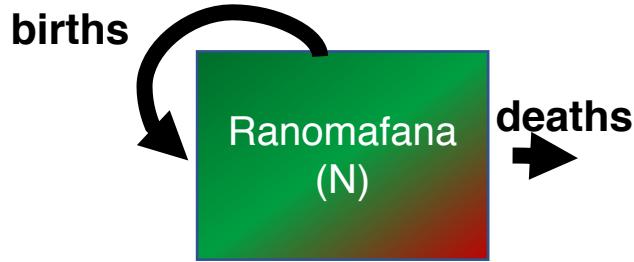
# The structured population model

Why does the model perform poorly?



# The basic population model

Why does the model perform poorly?



We need population structure!

# The structured population model

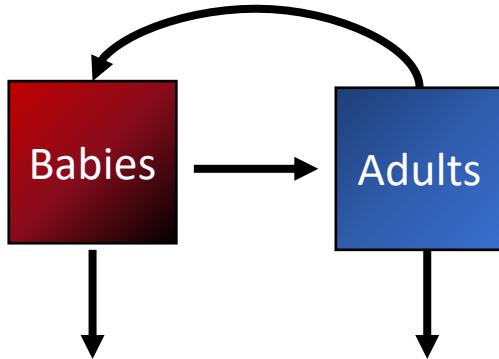
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**How does the population of Ranomafana grow over time?**

**Comment est-ce que la population de Ranomafana s'augmente avec le passage du temps?**

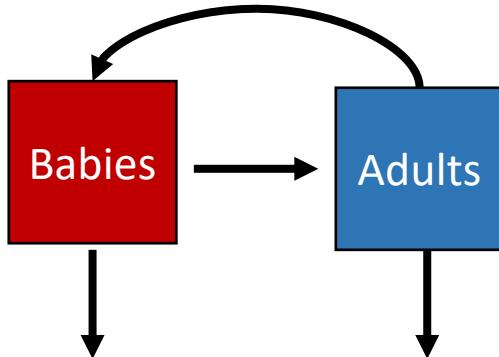
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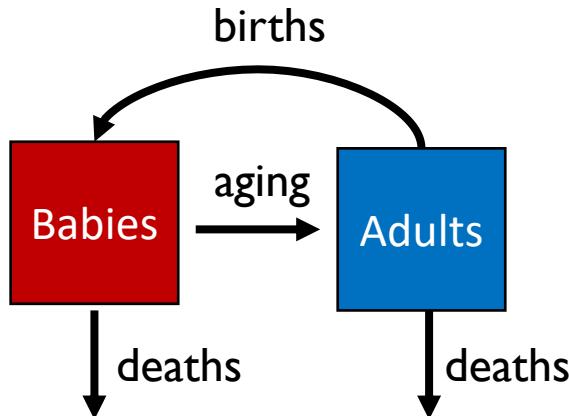
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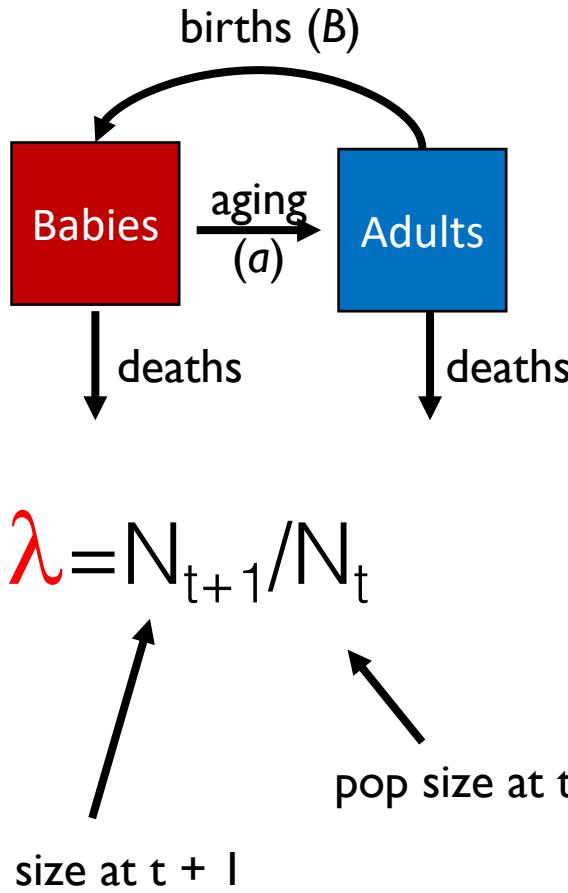
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# The structured population model



Population rate of increase

Taux d'accroissement de la population

## Compartmental models (Mechanistic Models)

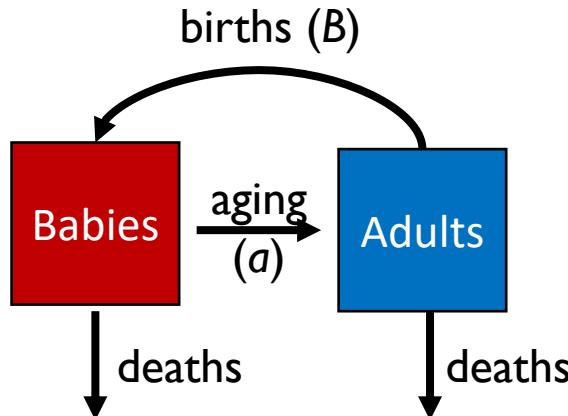
1. Populations are divided into compartments
2. Individuals within a compartment are homogenously mixed
3. Compartments and transition rates are determined by biological systems
4. Rates of transferring between compartments are expressed mathematically

$$n_{t+1} = A n_t$$

vector of population sizes

$s_b(1-a)$	$B$
$s_b a$	$s_a$

# The structured population model



$$\lambda = \frac{N_{t+1}}{N_t}$$

pop size at t + 1      pop size at t

Population rate of increase

Taux d'accroissement de la population

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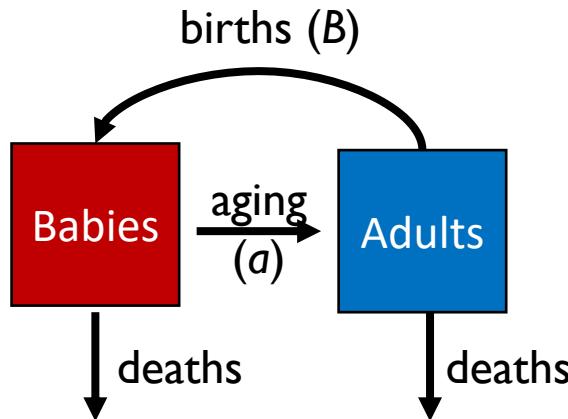
$$n_{t+1} = A n_t$$

vector of population sizes

$s_b(1-a)$	$B$
$s_b a$	$s_a$

\*Discrete time

# The structured population model



**A**

$$\begin{matrix} s_b(1-a) & B \\ \hline s_b a & s_a \end{matrix} \times$$

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$$\mathbf{n}_{t+1} = \mathbf{A} \mathbf{n}_t$$

$\mathbf{n}_t$

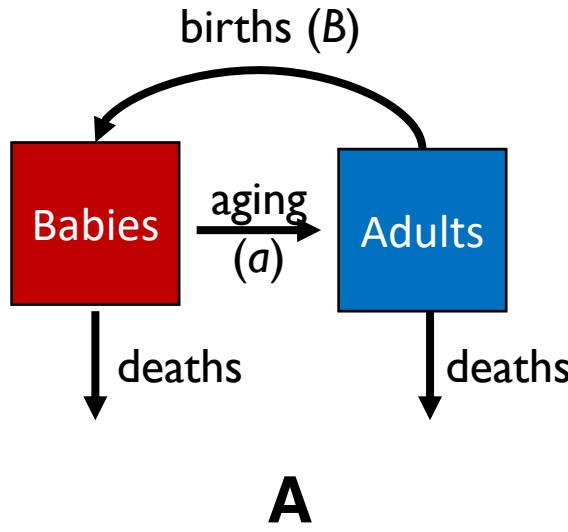
$$\begin{matrix} n_b \\ \hline n_a \end{matrix}$$

=

$\mathbf{n}_{t+1}$

$$\begin{matrix} s_b(1-a) n_b + b n_a \\ \hline s_b a n_b + s_a n_a \end{matrix}$$

# The structured population model



$$\begin{matrix} s_b(1-a) & B \\ \hline s_b a & s_a \end{matrix} \times$$

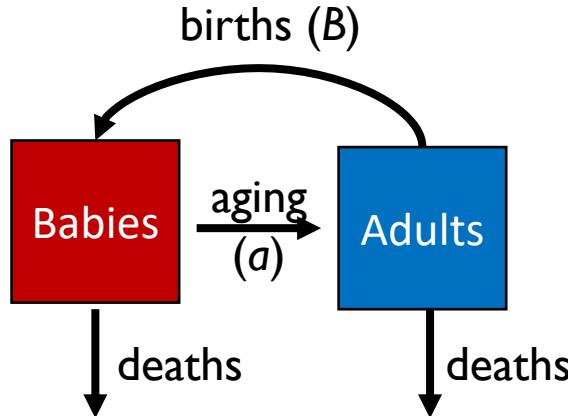
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$$n_{t+1} = A n_t$$

$$\begin{matrix} n_b \\ \hline n_a \end{matrix} = \begin{matrix} s_b(1-a) n_b + b n_a \\ \hline s_b a n_b + s_a n_a \end{matrix}$$

Population growth will depend on population structure!

# The structured population model



## Compartmental models (Mechanistic Models)

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## Conservation and Management of a Threatened Madagascar Palm Species, *Neodypsis decaryi*, Jumelle

JOELISOA RATSIRARSON,\*‡ JOHN A. SILANDER, JR., \* AND ALISON F. RICHARD†

\*Department of Ecology and Evolutionary Biology, 75 N. Eagleville Road, The University of Connecticut, Storrs, CT 06269, U.S.A.

†Yale School of Forestry and Environmental Studies, 205 Prospect Street, New Haven, CT 06520, U.S.A.

‡Current Address: Yale School of Forestry and Environmental Studies, 205 Prospect Street, New Haven, CT 06520, U.S.A.

# Key concepts

- Compartmental/mechanistic/mathematical models

*Modèles en compartiments*

- Continuous vs. discrete models

*Modèles en temps continue vs. modèles en temps discrète*

- Deterministic vs. stochastic models

*Modèles déterministique vs. stochastique*

- Structured models

*Modèles structurés.*



### 3. Two-population model

### 3. modèles de deux populations

# The predator-prey model

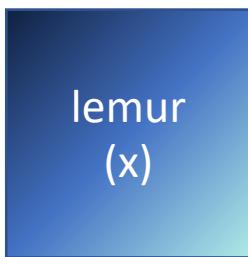
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**How does the population of fossa regulate the population of lemurs in Ranomafana?**

*Comment la population de “fossa” régule la population de lemuriens à Ranomafana?*

# The predator-prey model



## Compartmental models (Mechanistic Models)

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# The predator-prey model

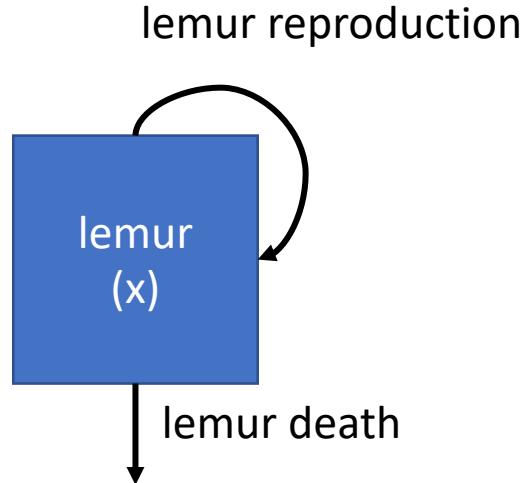
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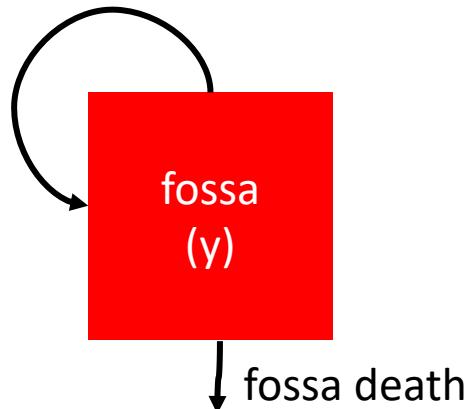
lemur  
(x)

fossa  
(y)

# The predator-prey model



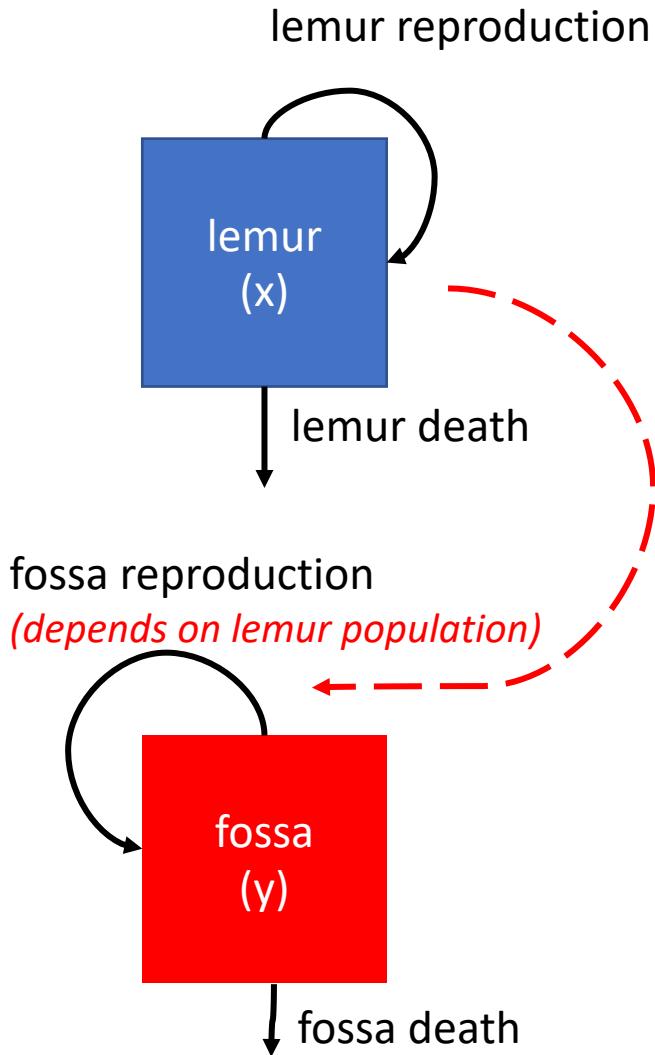
fossa reproduction



## Compartmental models (Mechanistic Models)

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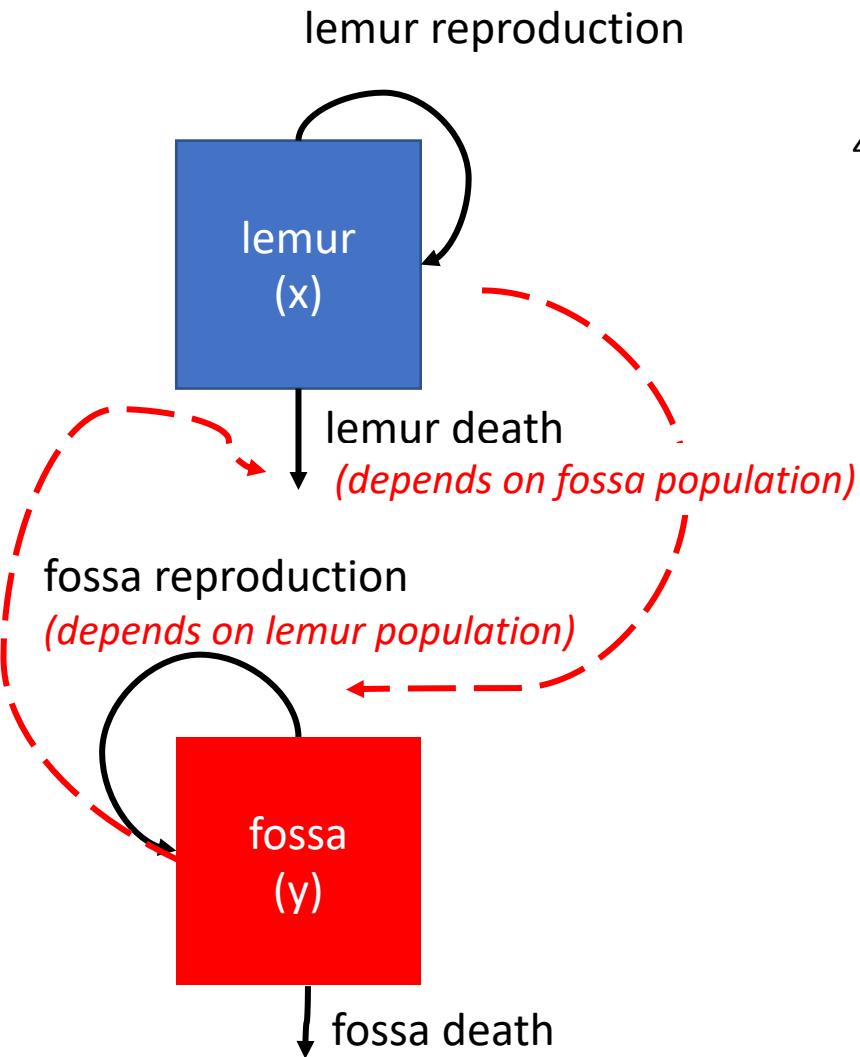
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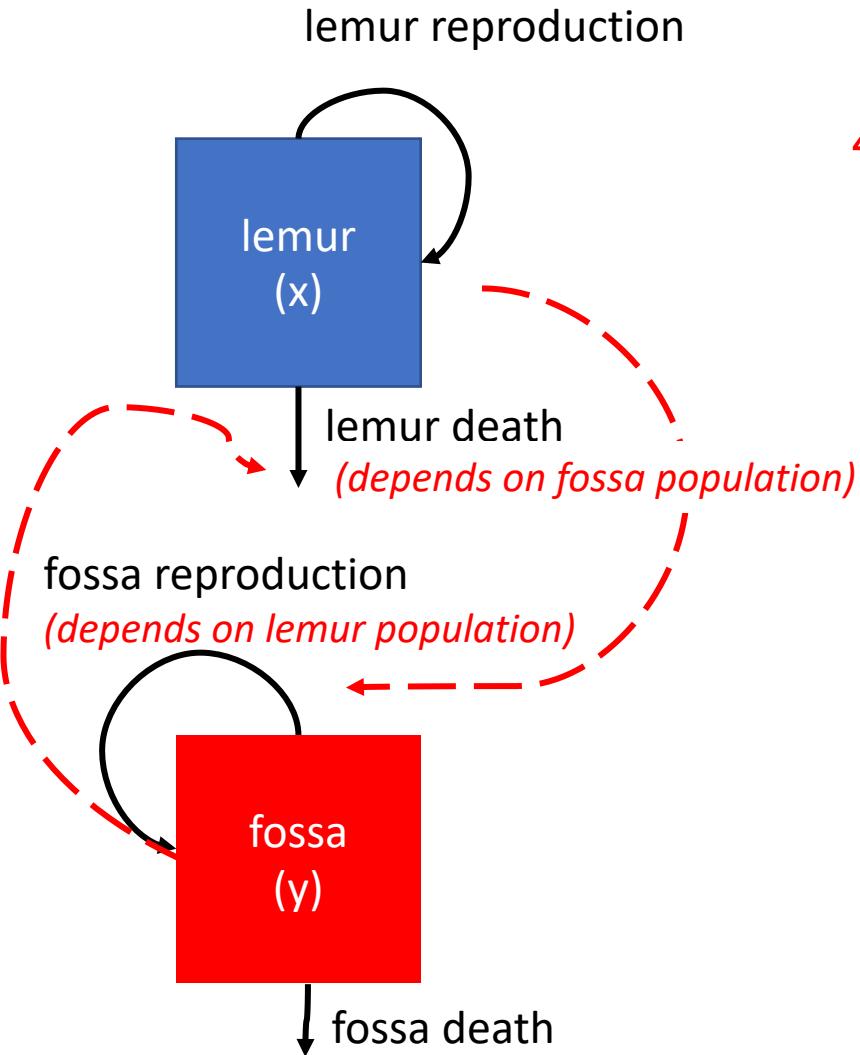
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# The predator-prey model



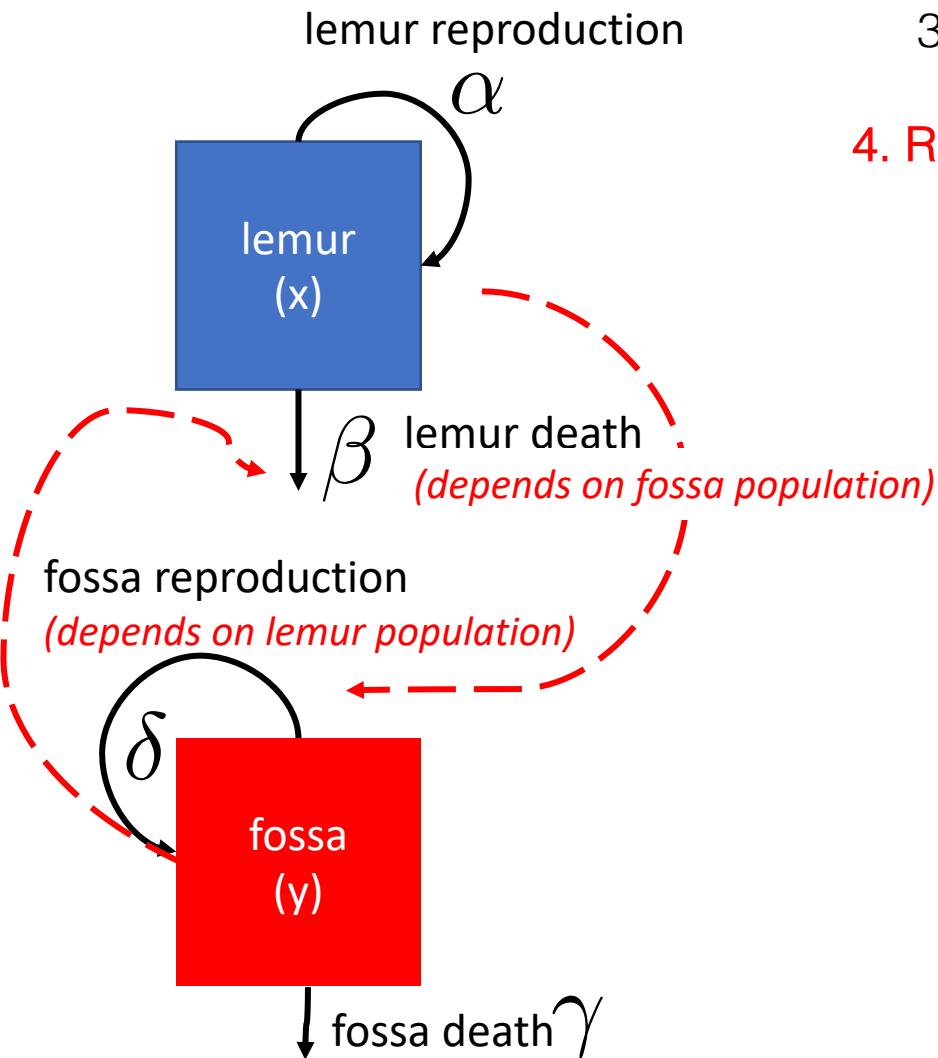
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### **Parameters**

- : lemur rep. rate
- : lemur death rate
- : fossa rep. rate
- : fossa death rate

# The predator-prey model



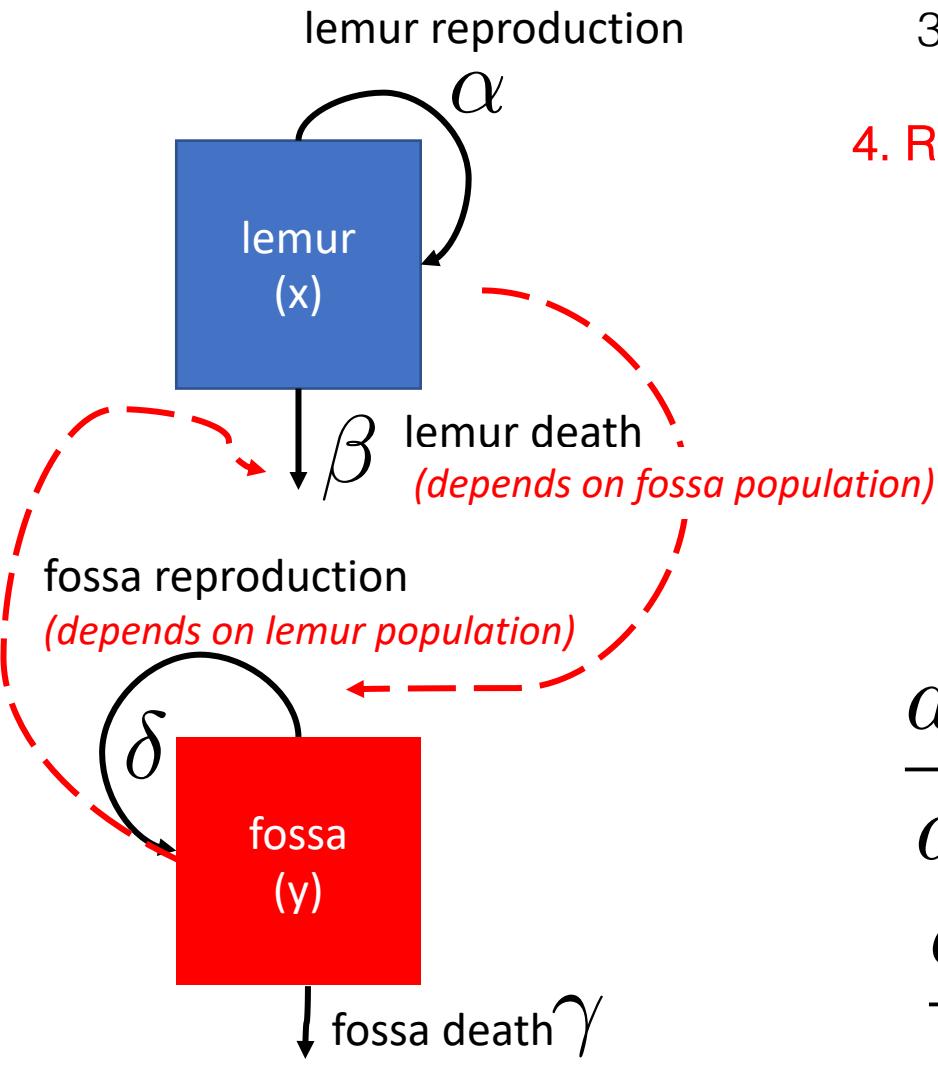
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### Parameters

- $\alpha$  : lemur rep. rate
- $\beta$  : lemur death rate
- $\delta$  : fossa rep. rate
- $\gamma$  : fossa death rate

# The predator-prey model



## Compartmental models (Mechanistic Models)

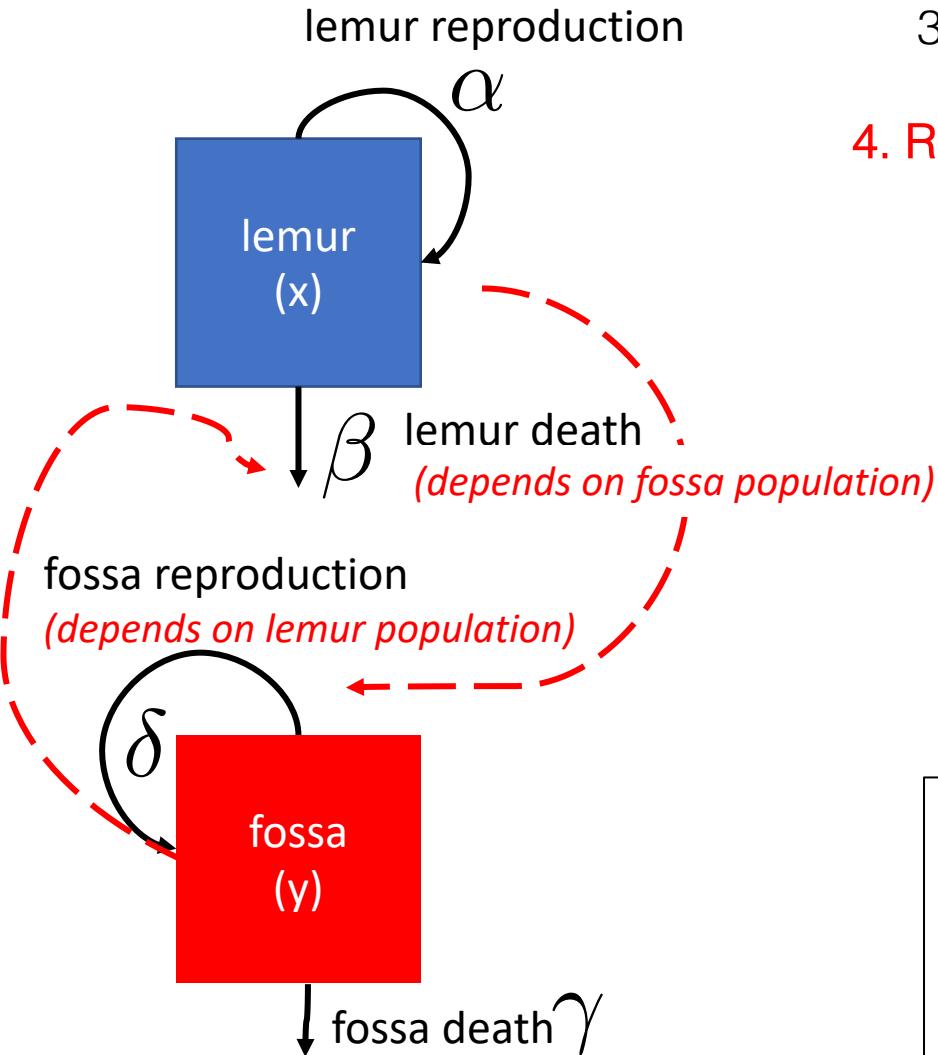
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### Parameters

- $\alpha$  : lemur rep. rate  
 $\beta$  : lemur death rate  
 $\delta$  : fossa rep. rate  
 $\gamma$  : fossa death rate

$$\frac{dx}{dt} = x(\alpha - \beta y)$$
$$\frac{dy}{dt} = y(\delta x - \gamma)$$

# The predator-prey model



## Compartmental models (Mechanistic Models)

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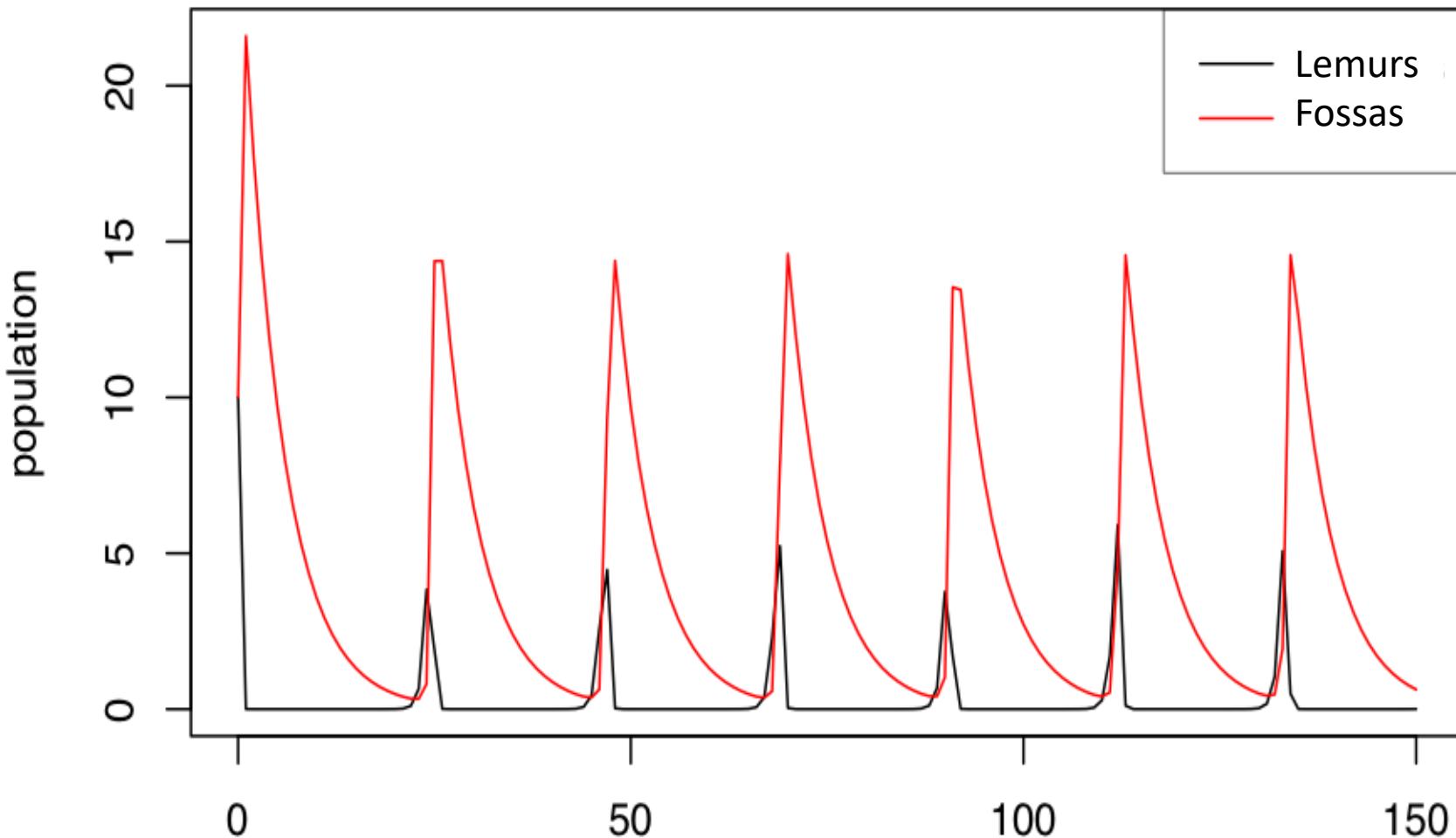
$$\frac{dx}{dt} = x(\alpha - \beta y)$$

$$\frac{dy}{dt} = y(\delta x - \gamma)$$

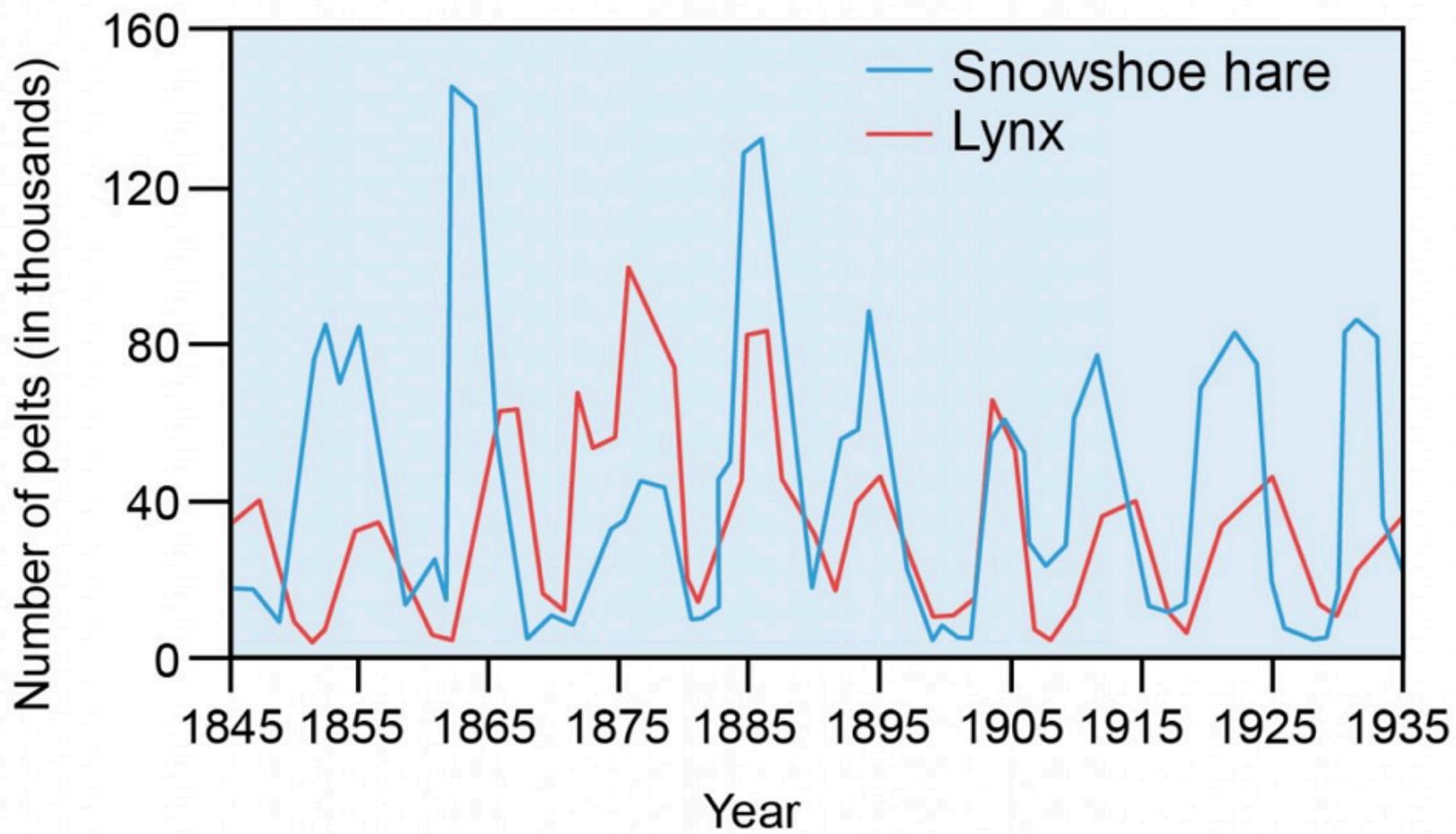
### SOME ASSUMPTIONS

- the **lemur** has an unlimited food supply
- the **lemur** only dies from being eaten by fossa
- the **fossa** is totally dependent on a single prey species (the lemur) as its only food supply

# The predator-prey model



# The predator-prey model



# Key concepts

- Compartmental/mechanistic/mathematical models

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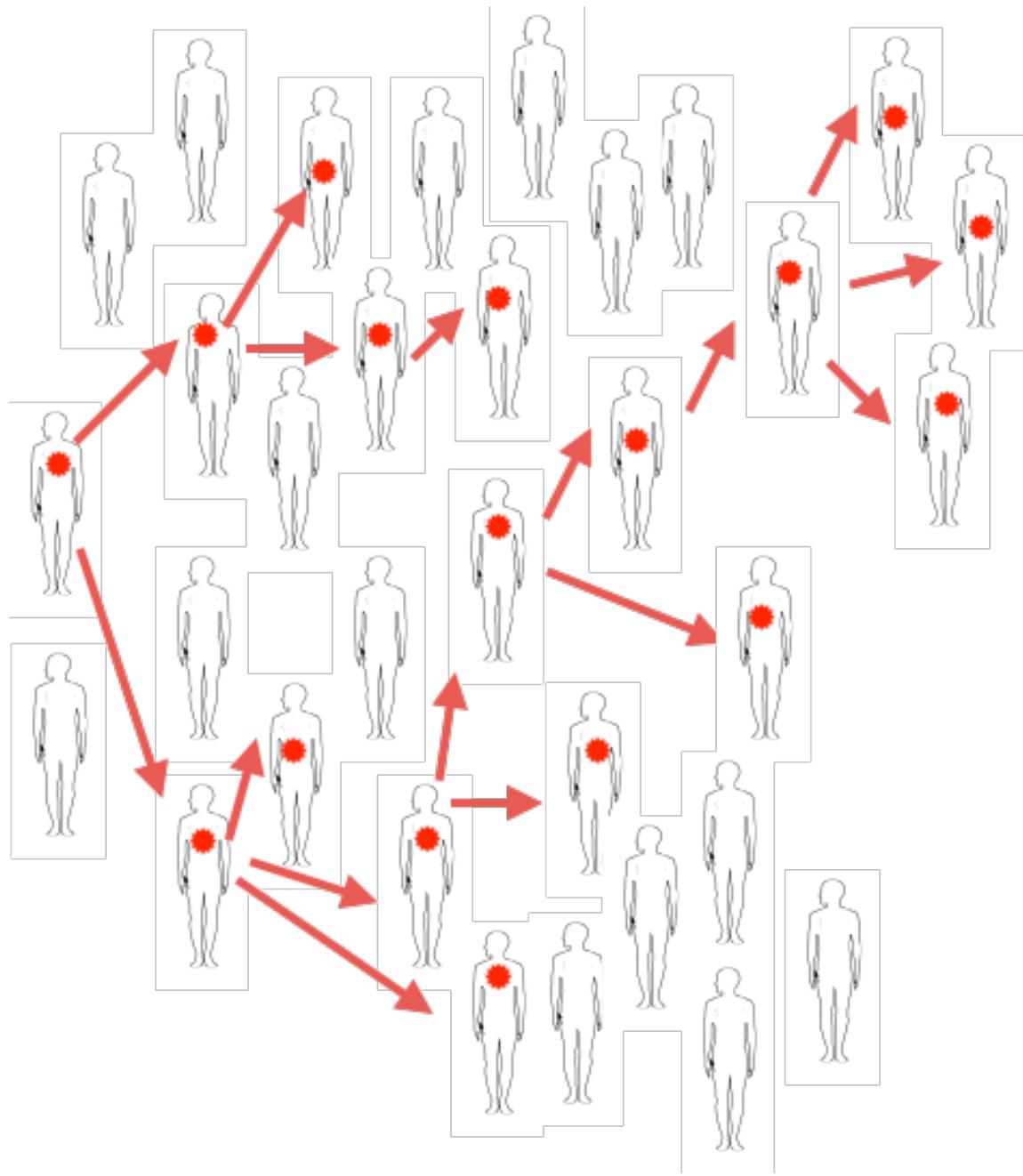
- Two population models

*Modèles des deux populations*



## 4. SIR Models

## 4. Les modèles SIR



# The SIR model

## Compartmental models (Mechanistic Models)

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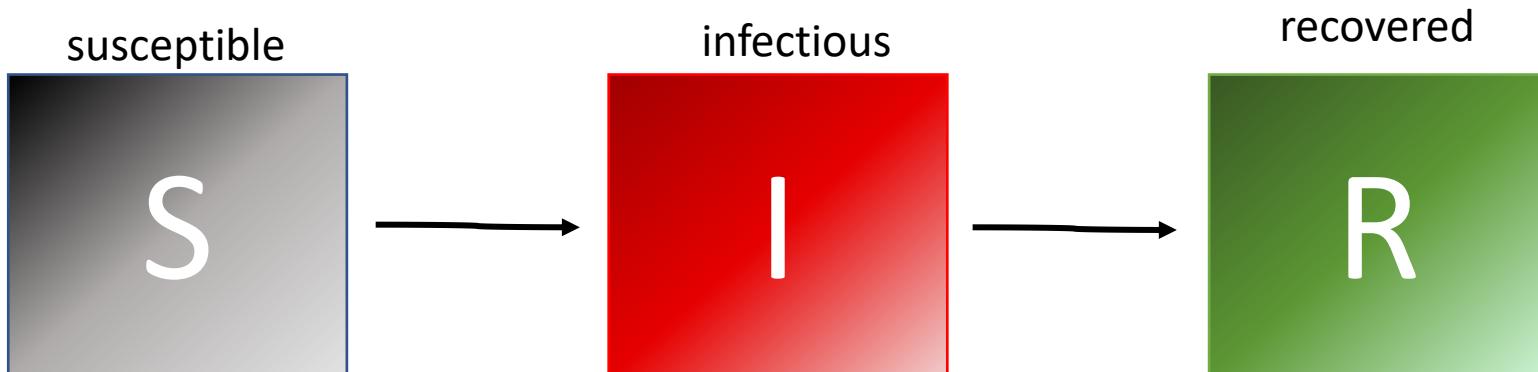
**How does measles transmit through  
Antananarivo?**

*Comment la rougeole se transmet-elle à  
Antananarivo?*

# The SIR model

## Compartmental models (Mechanistic Models)

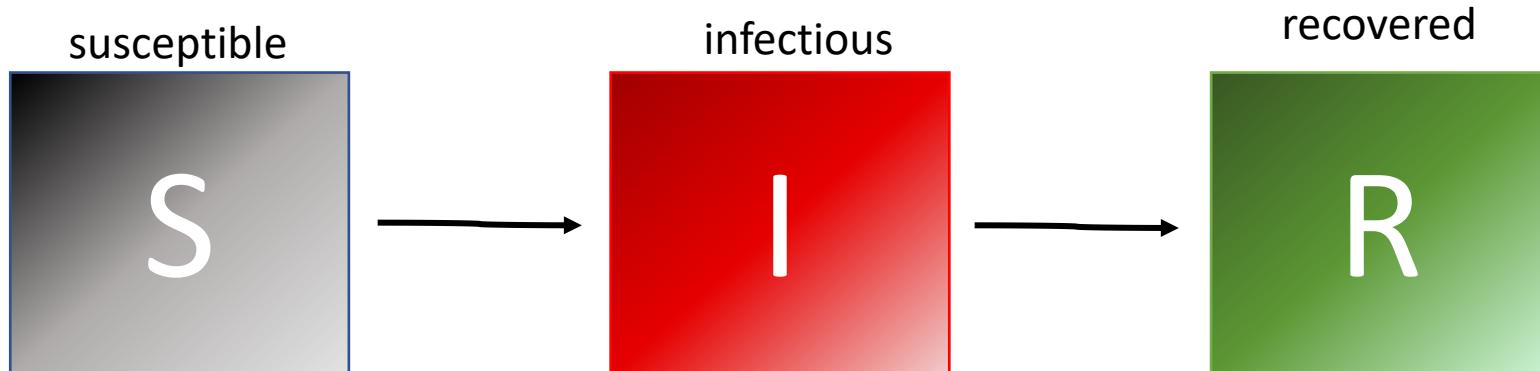
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# The SIR model

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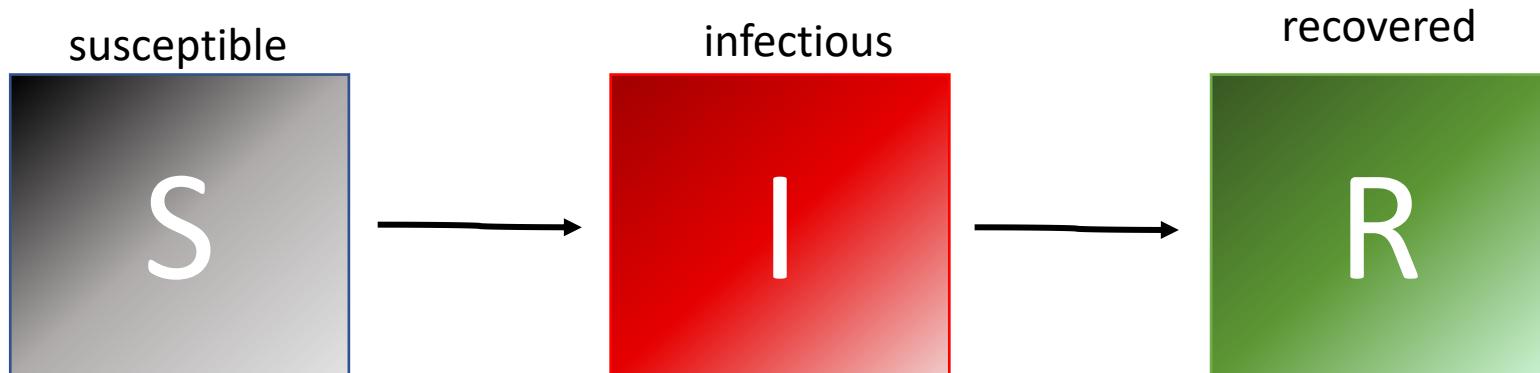
**What are the big assumptions here?**

# The SIR model

## Compartmental models (Mechanistic Models)

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everyone is either:



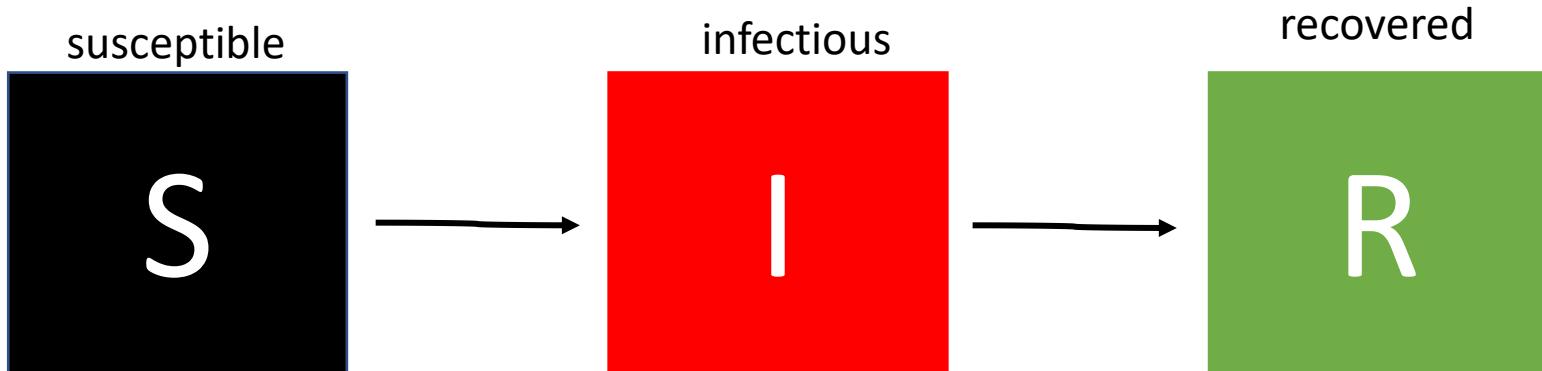
**What are the big assumptions here?**

# The SIR model

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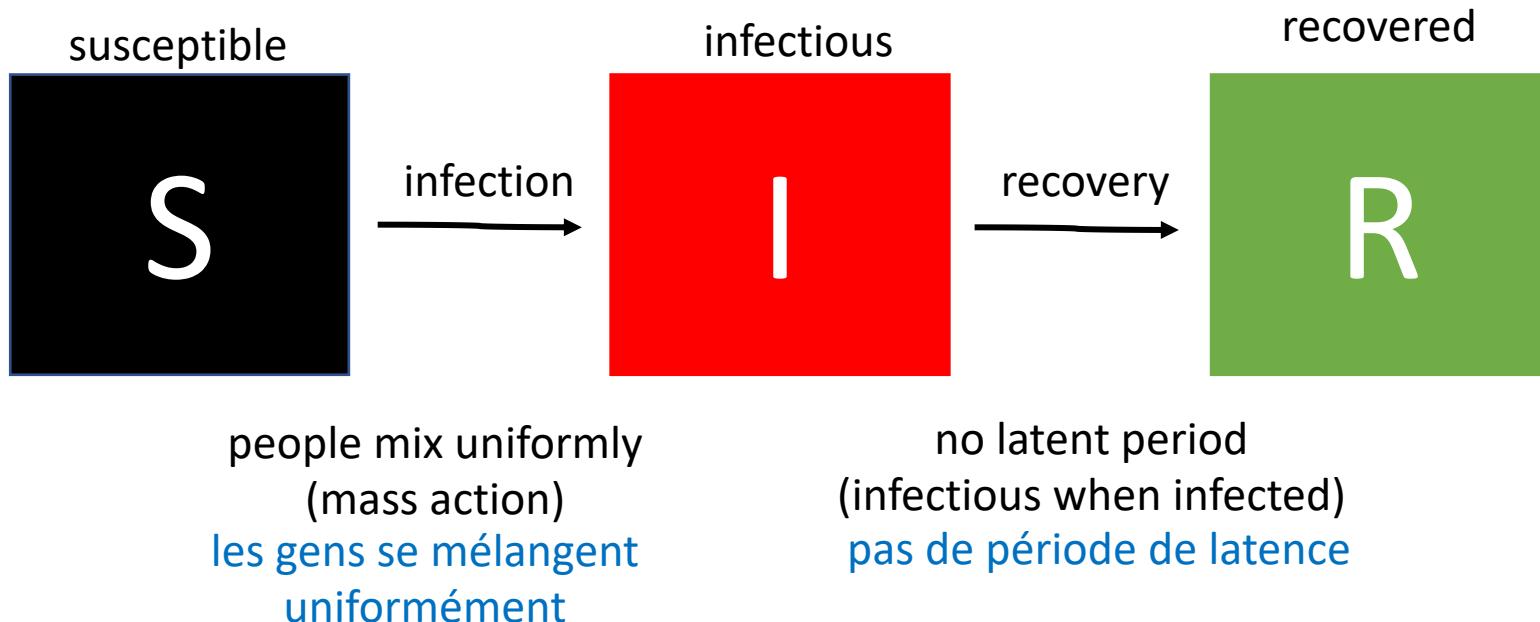
people mix uniformly (mass action)

les gens se mélagent uniformément

# The SIR model

## Compartmental models (Mechanistic Models)

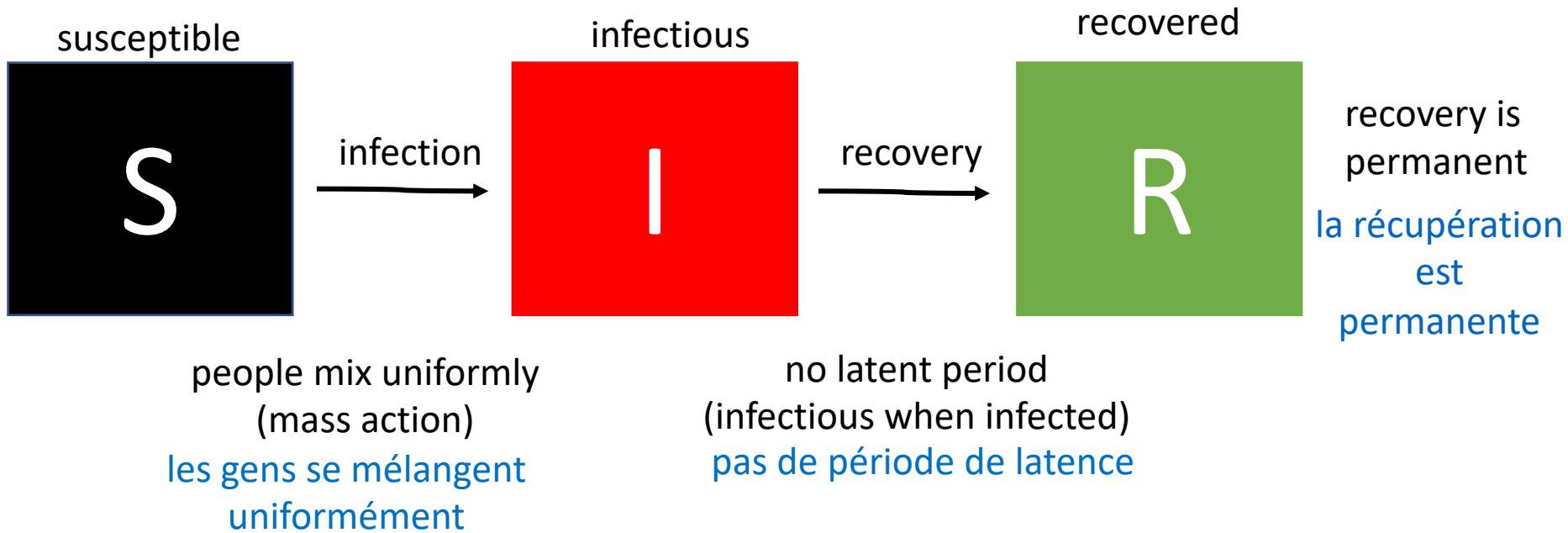
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# The SIR model

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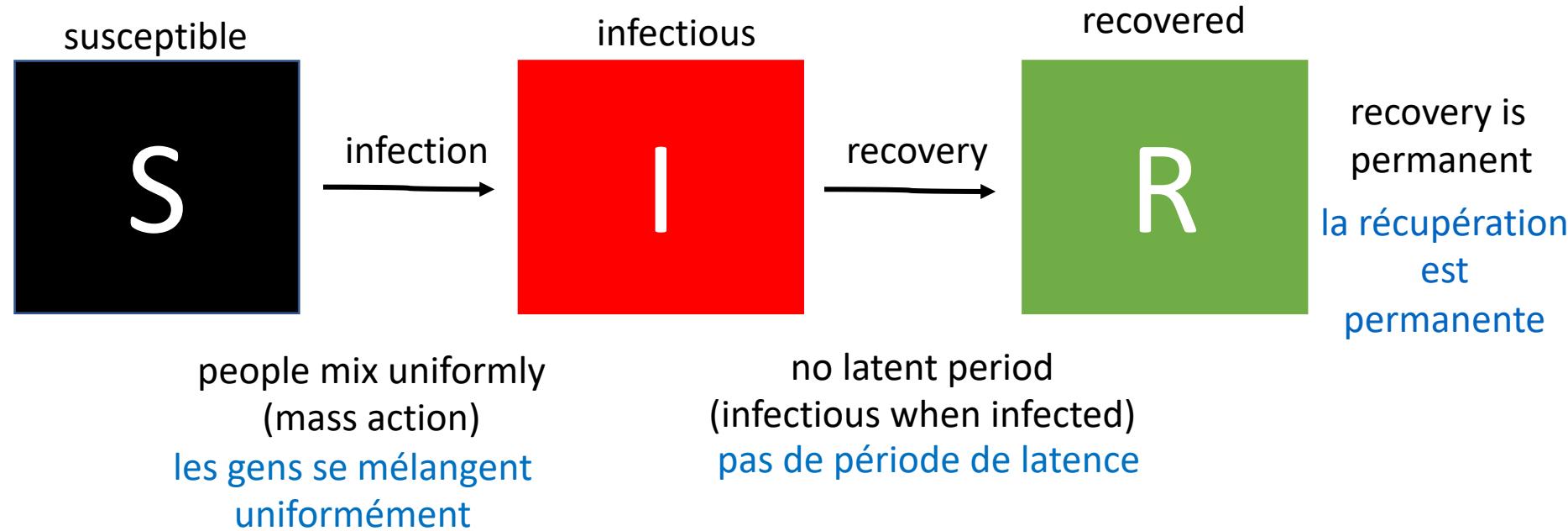
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4. Rates of transferring between compartments are expressed mathematically



# The SIR model

population size constant -  
no births or deaths,  
migration

taille de population  
constante



## Compartmental models (Mechanistic Models)

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# The SIR model

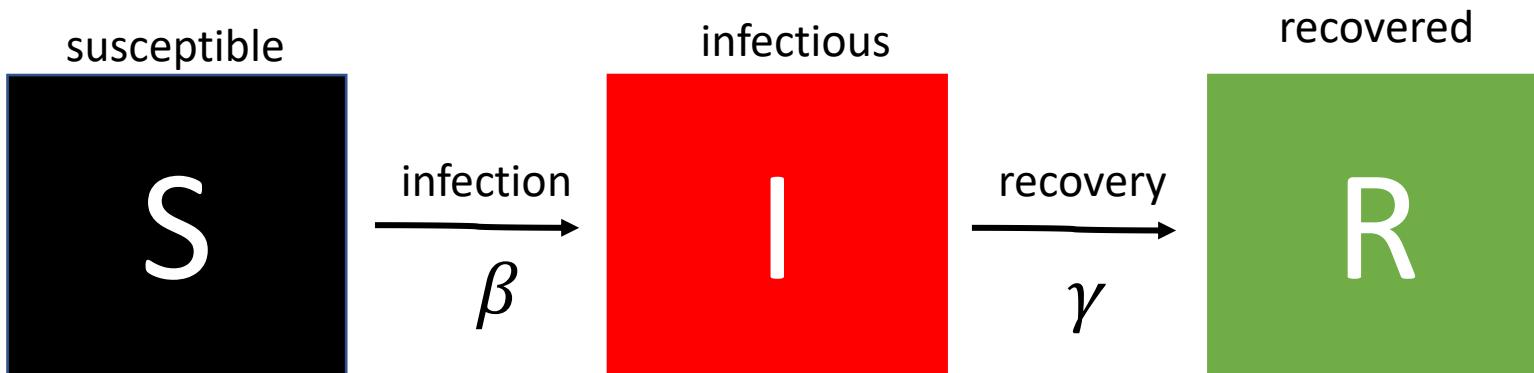
## Parameters

$\beta$ : transmission rate

$\gamma$ : rate of recovery

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$$\frac{dS(t)}{dt} = -\beta S(t)I(t)$$

$$\frac{dI(t)}{dt} = \beta S(t)I(t) - \gamma I(t)$$

$$\frac{dR(t)}{dt} = \gamma I(t)$$

# The SIR model

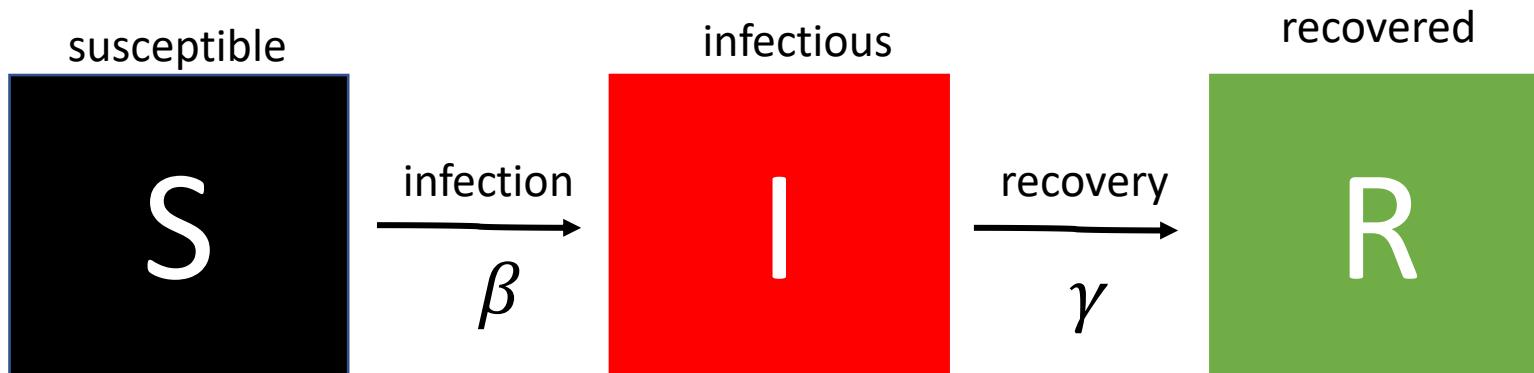
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$$\frac{dS(t)}{dt} = -\beta S(t)I(t)$$

...multiply rates by box you start in....

$$\frac{dI(t)}{dt} = \beta S(t)I(t) - \gamma I(t)$$

$$\frac{dR(t)}{dt} = \gamma I(t)$$

# The SIR model

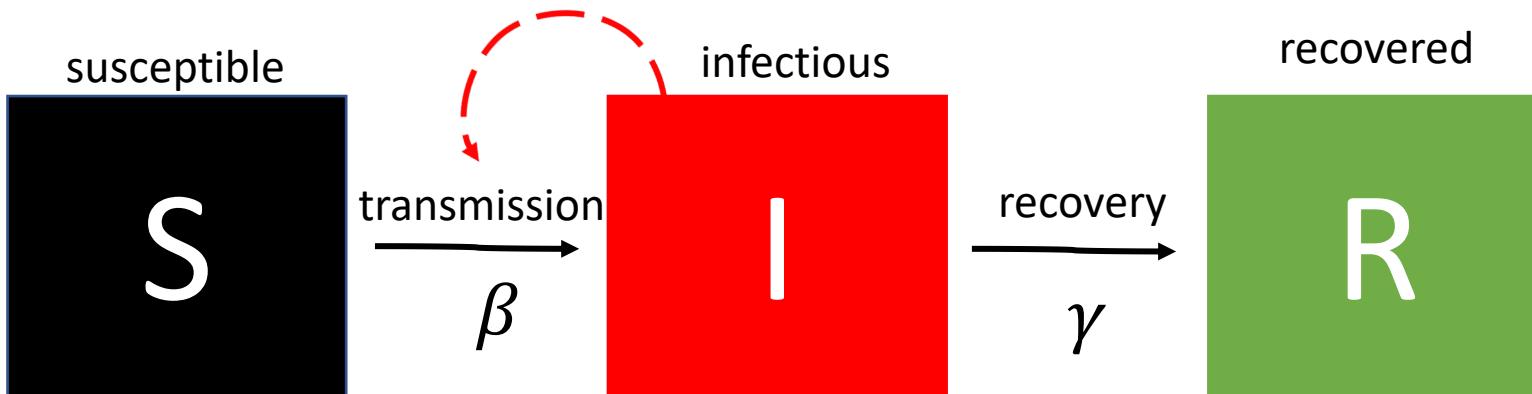
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$$\frac{dS(t)}{dt} = -\beta S(t) I(t)$$

...infected numbers influence the transmission rate....

$$\frac{dI(t)}{dt} = \beta S(t) I(t) - \gamma I(t)$$

$$\frac{dR(t)}{dt} = \gamma I(t)$$

# The SIR model

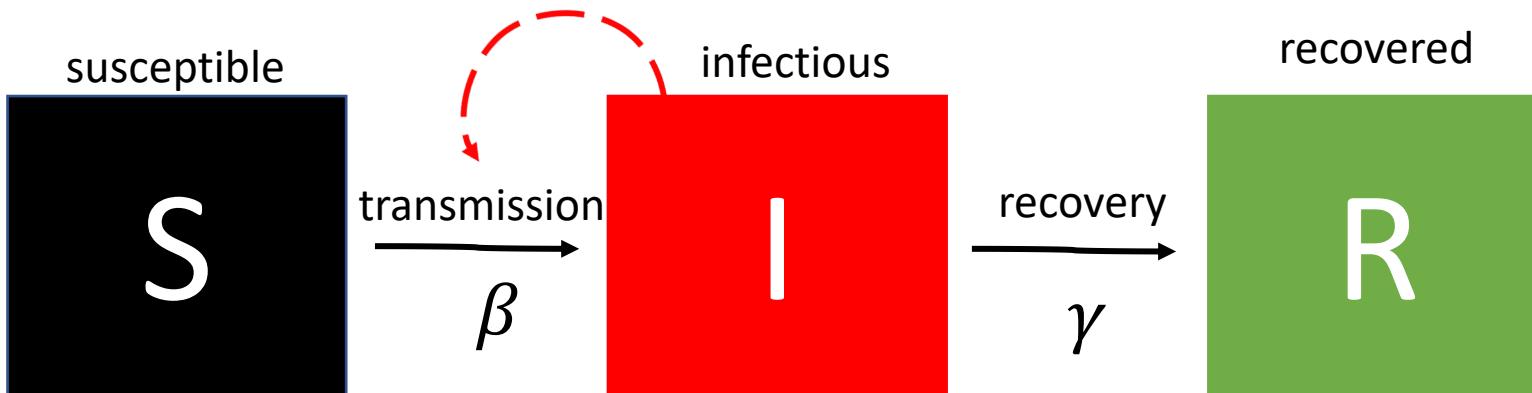
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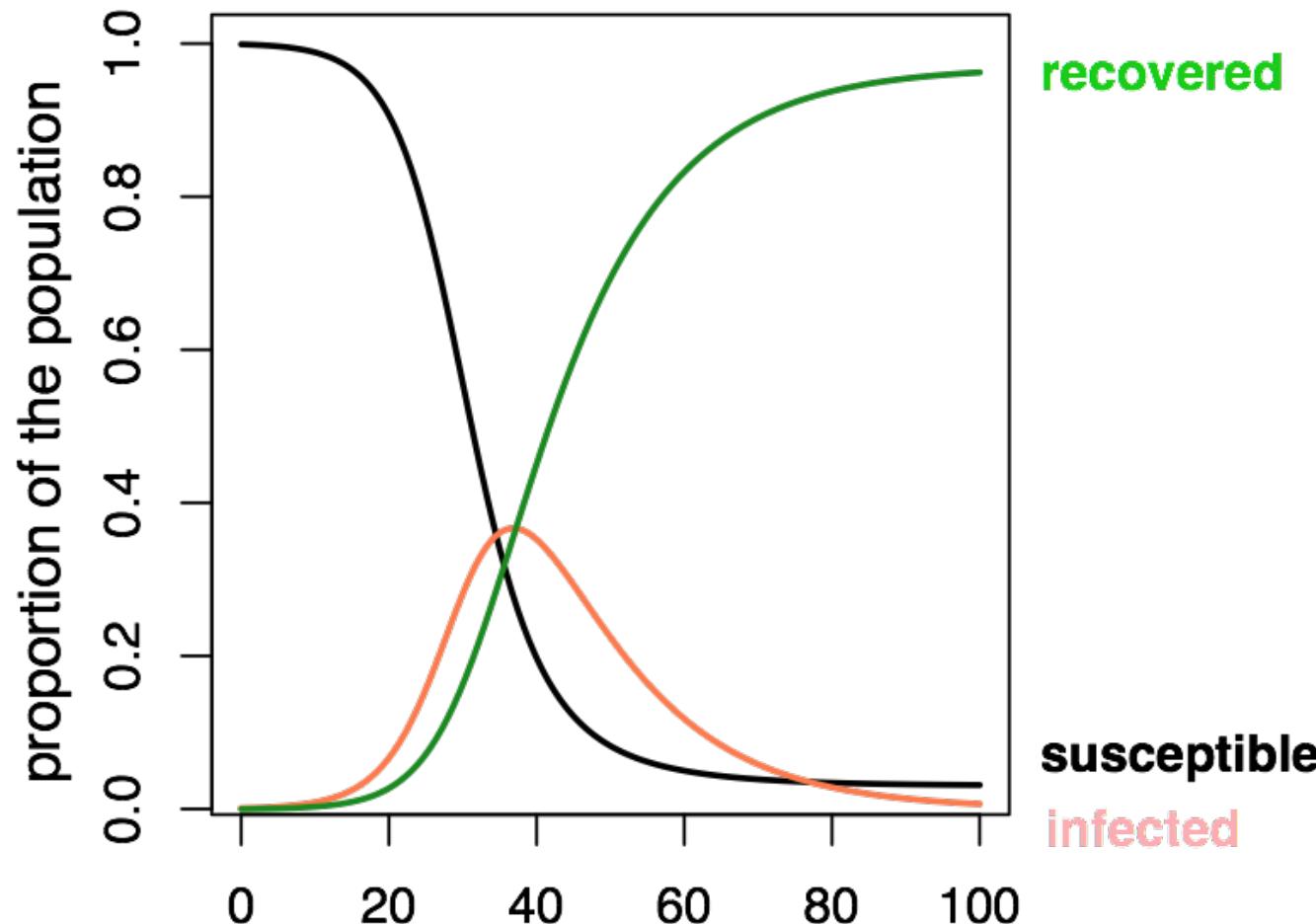
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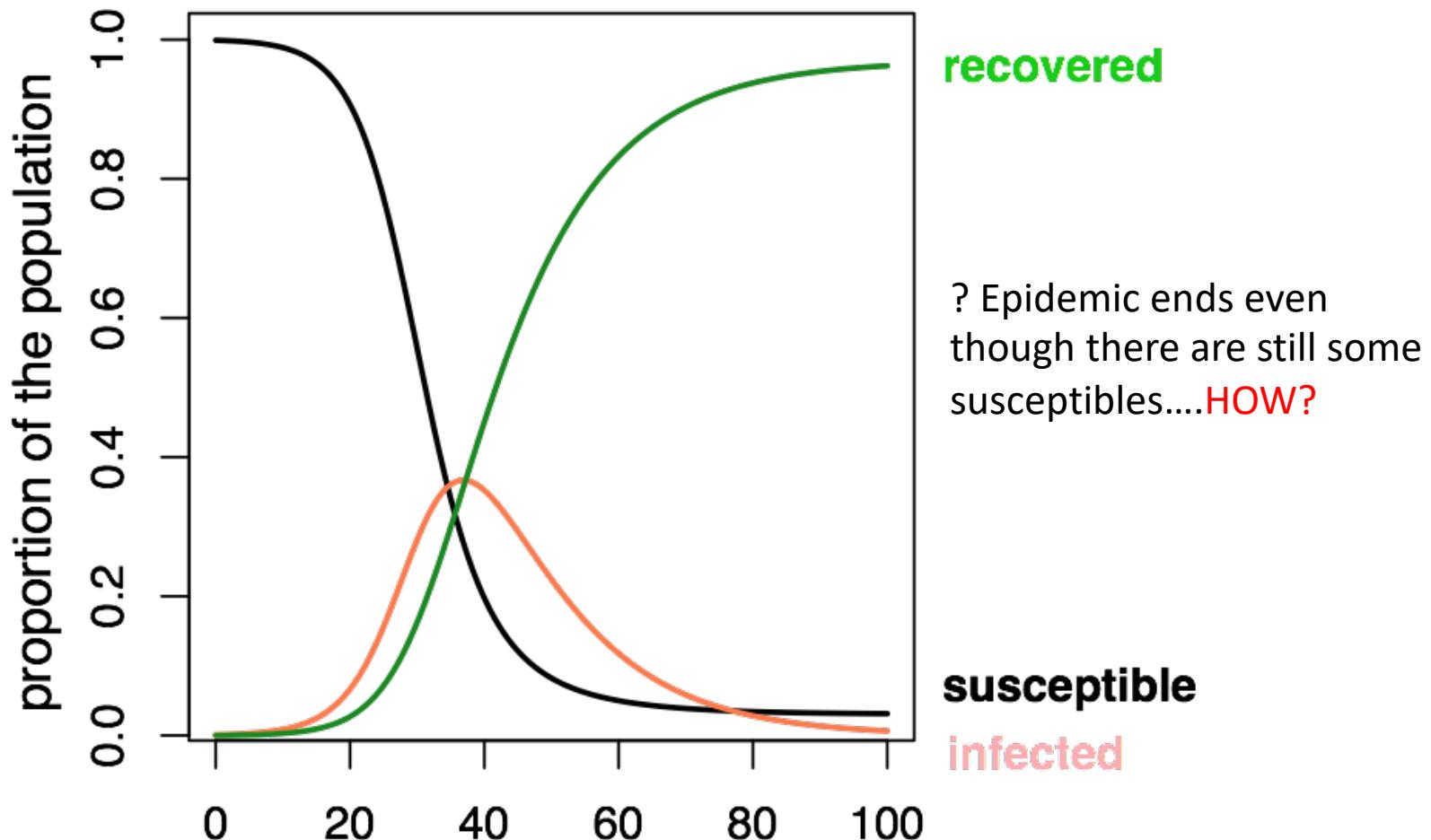


**What will the dynamics look like?**

# The SIR model

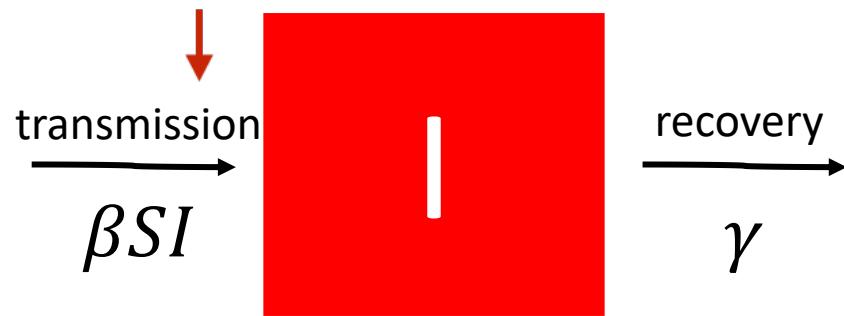


# The SIR model



# The SIR model

Set:  $I=1$

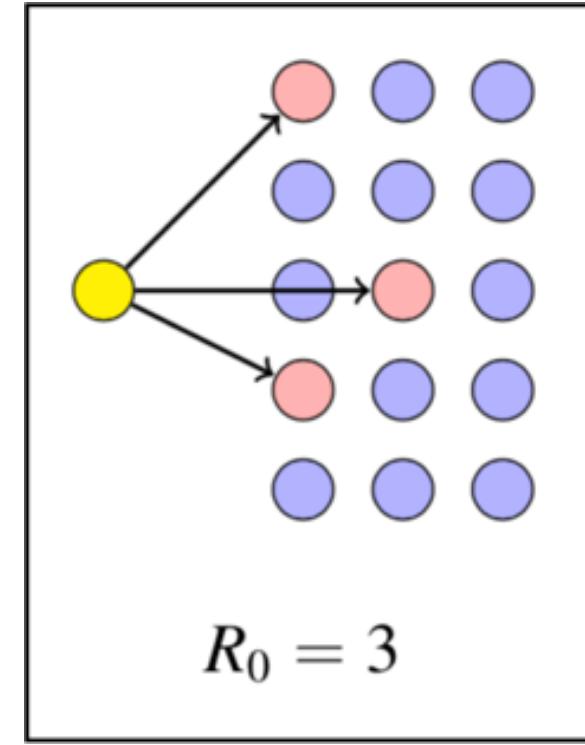
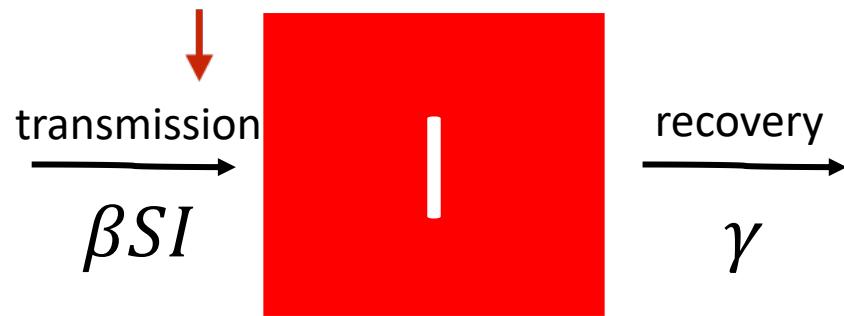


$$R_0 = \beta N / \gamma$$

The average number of persons infected by an infectious individual when everyone is susceptible ( $S=100\%$ , or  $S=1$ , start of an epidemic)

# The SIR model

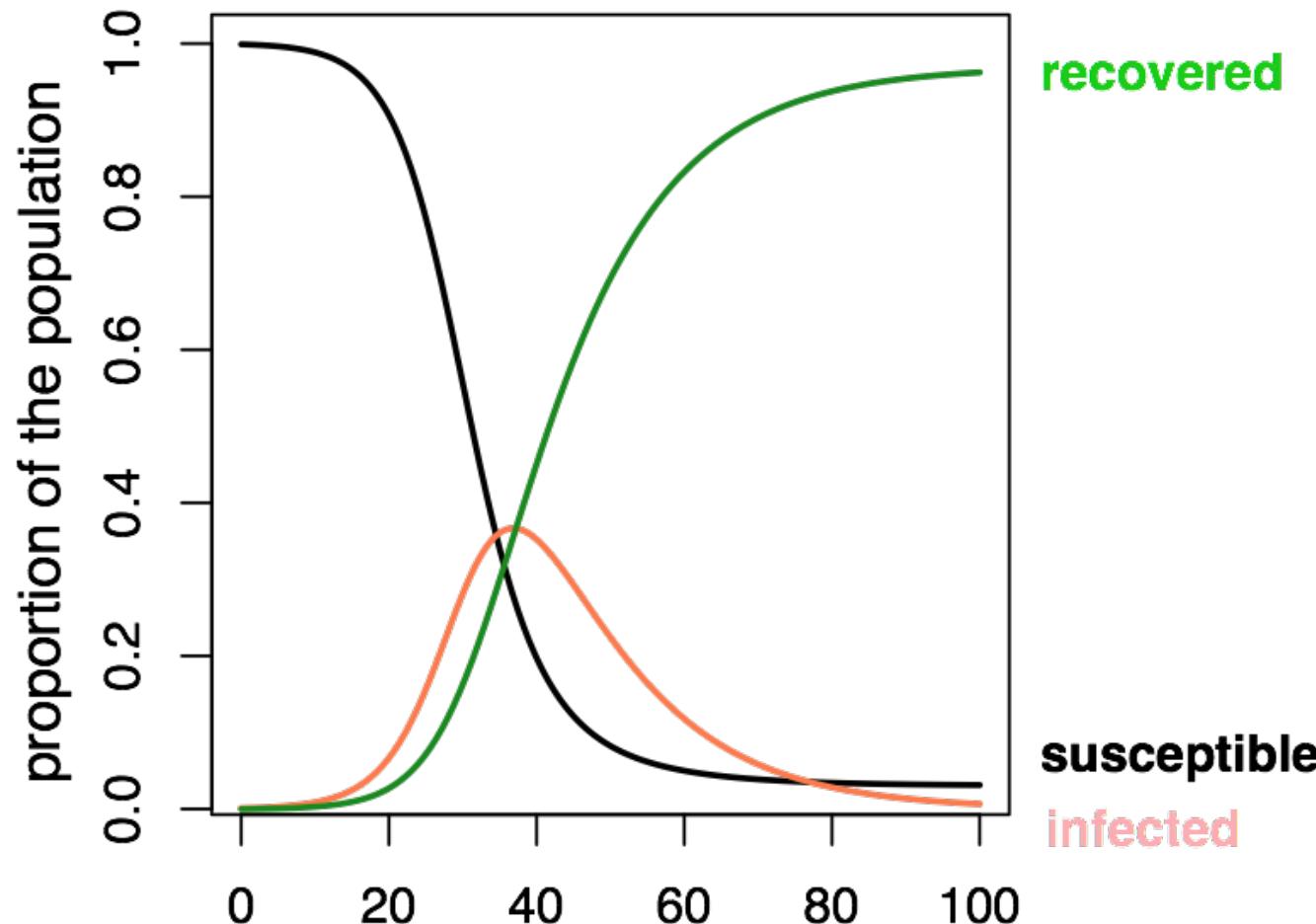
Set:  $I=1$



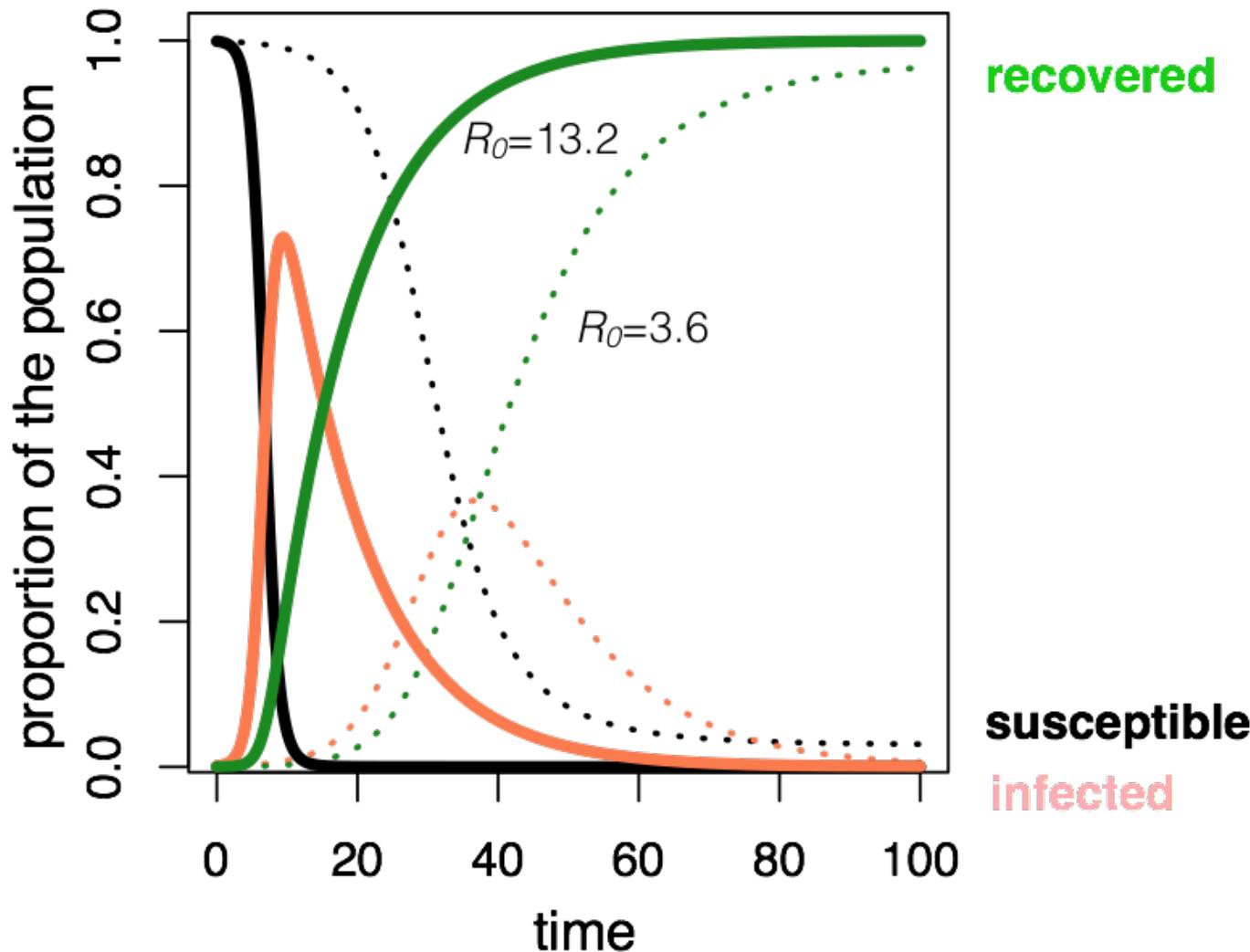
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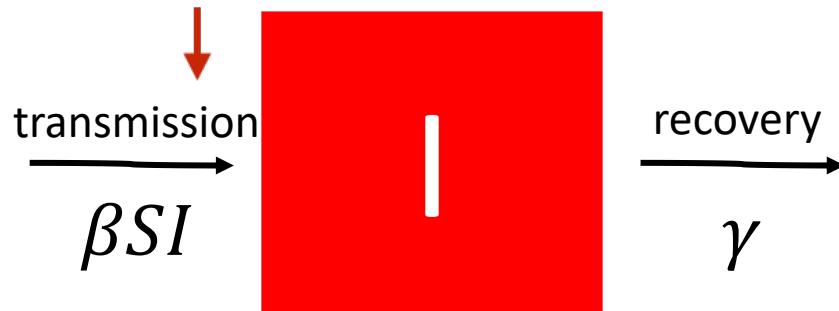


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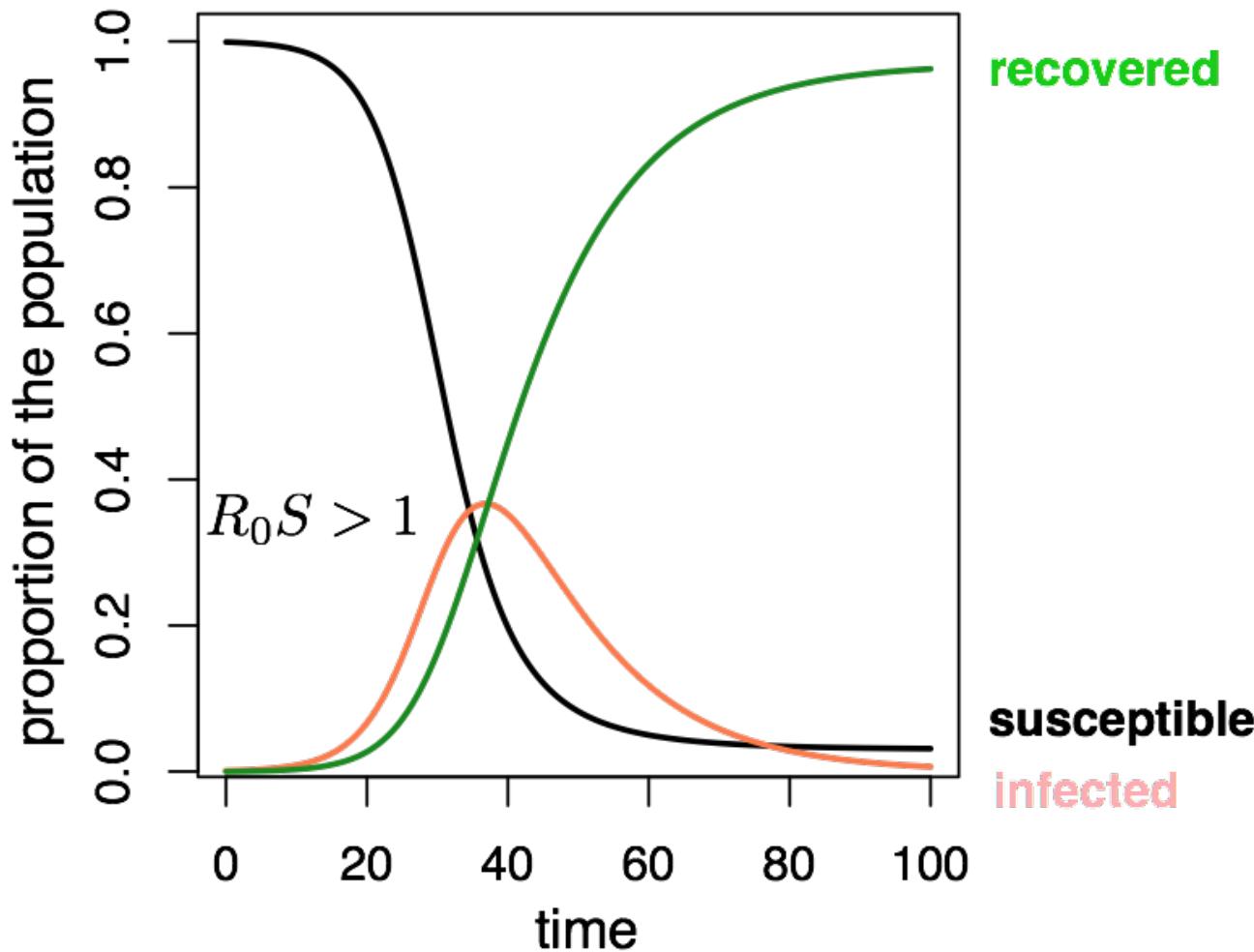
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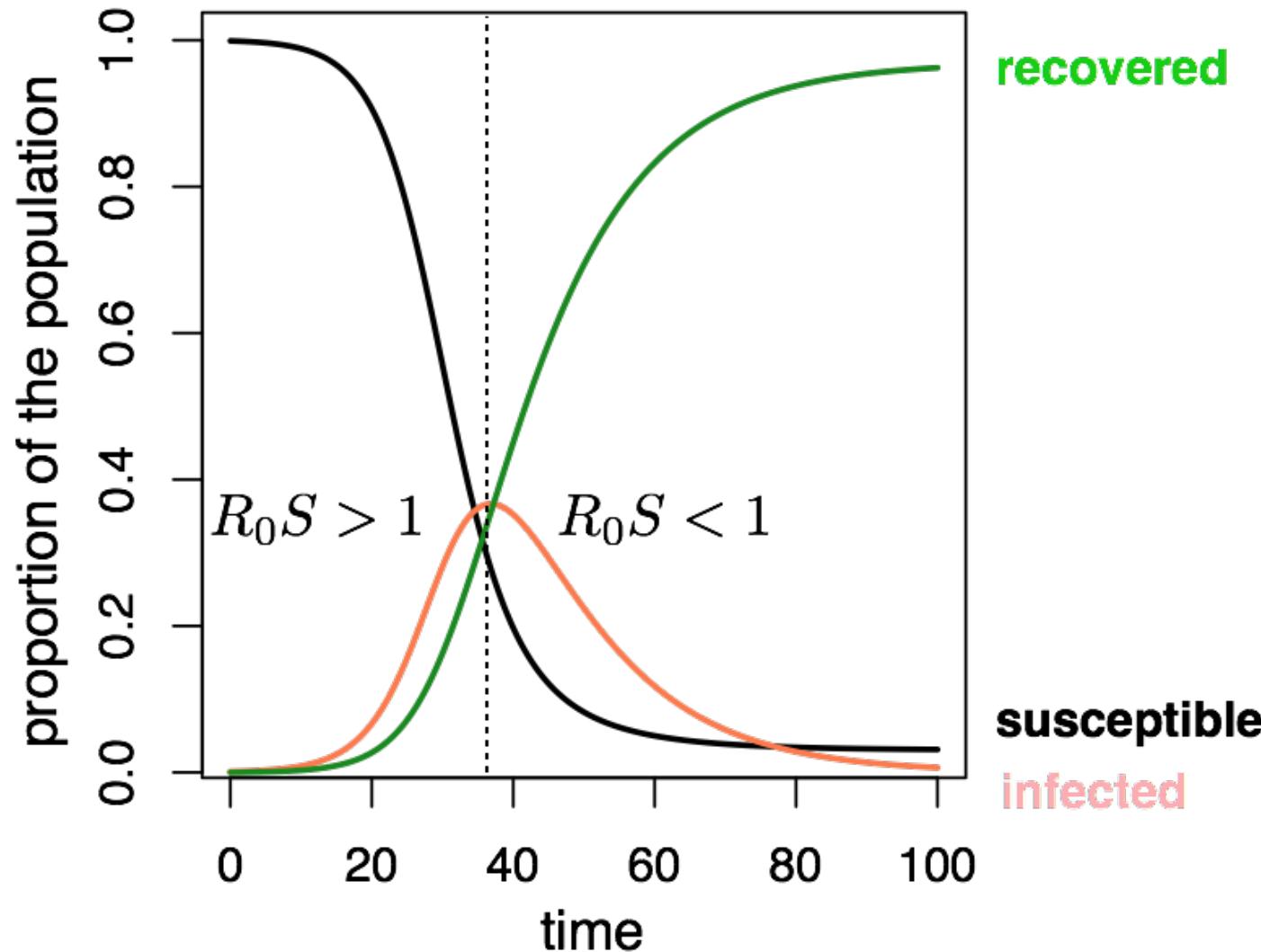
$$R_E = R_0 S \text{ "R-effective"}$$

...as the epidemic progresses and  $S$  falls

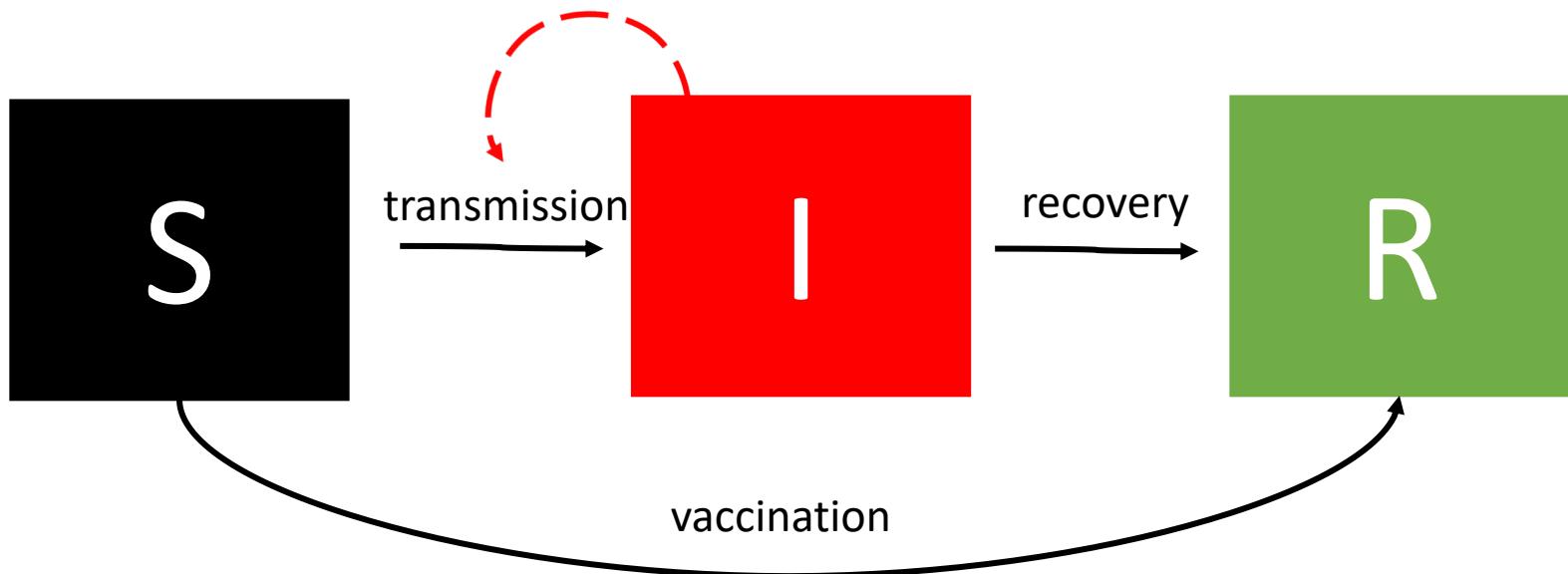
# The SIR model



# The SIR model



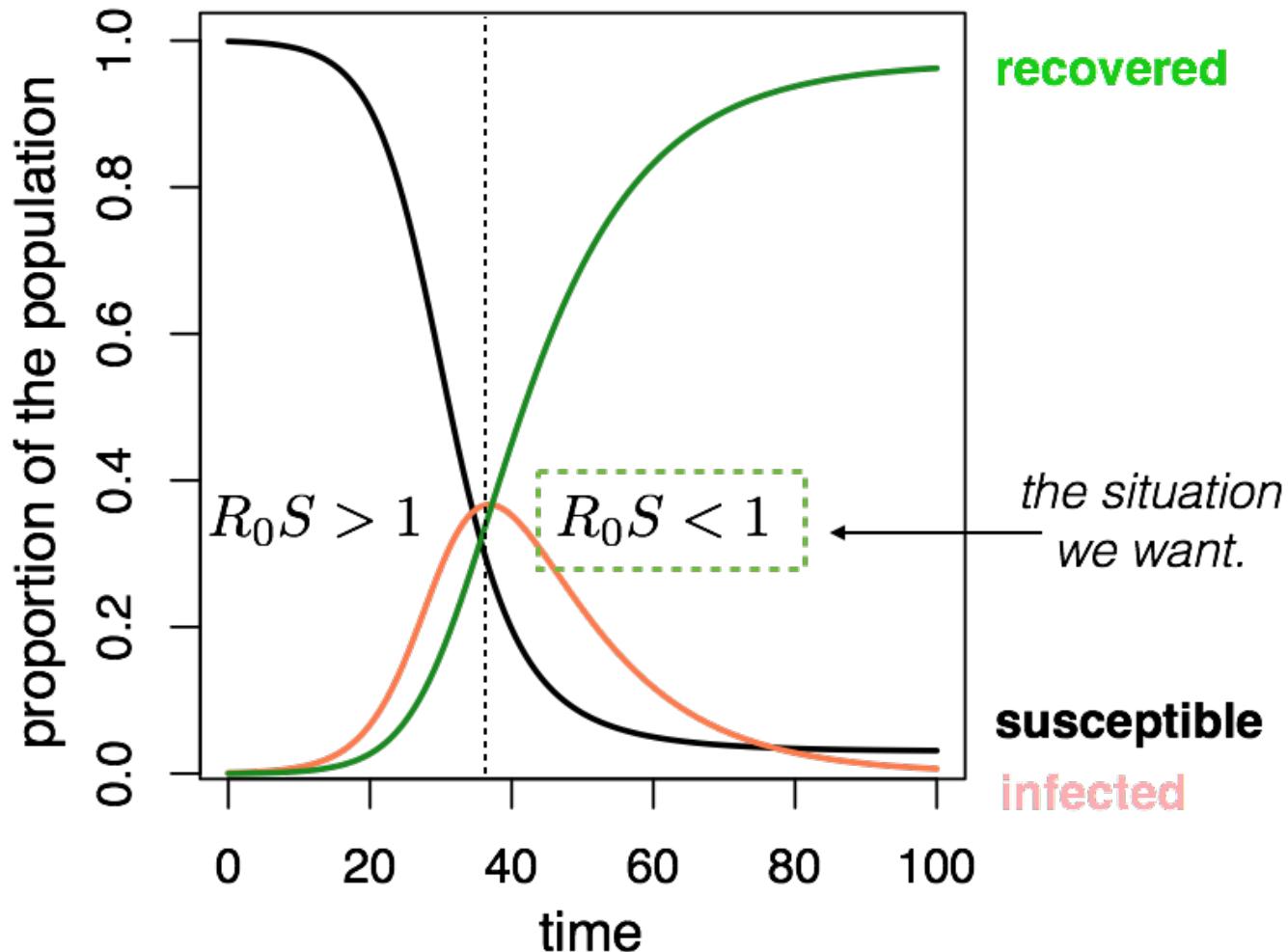
# The SIR model : vaccination



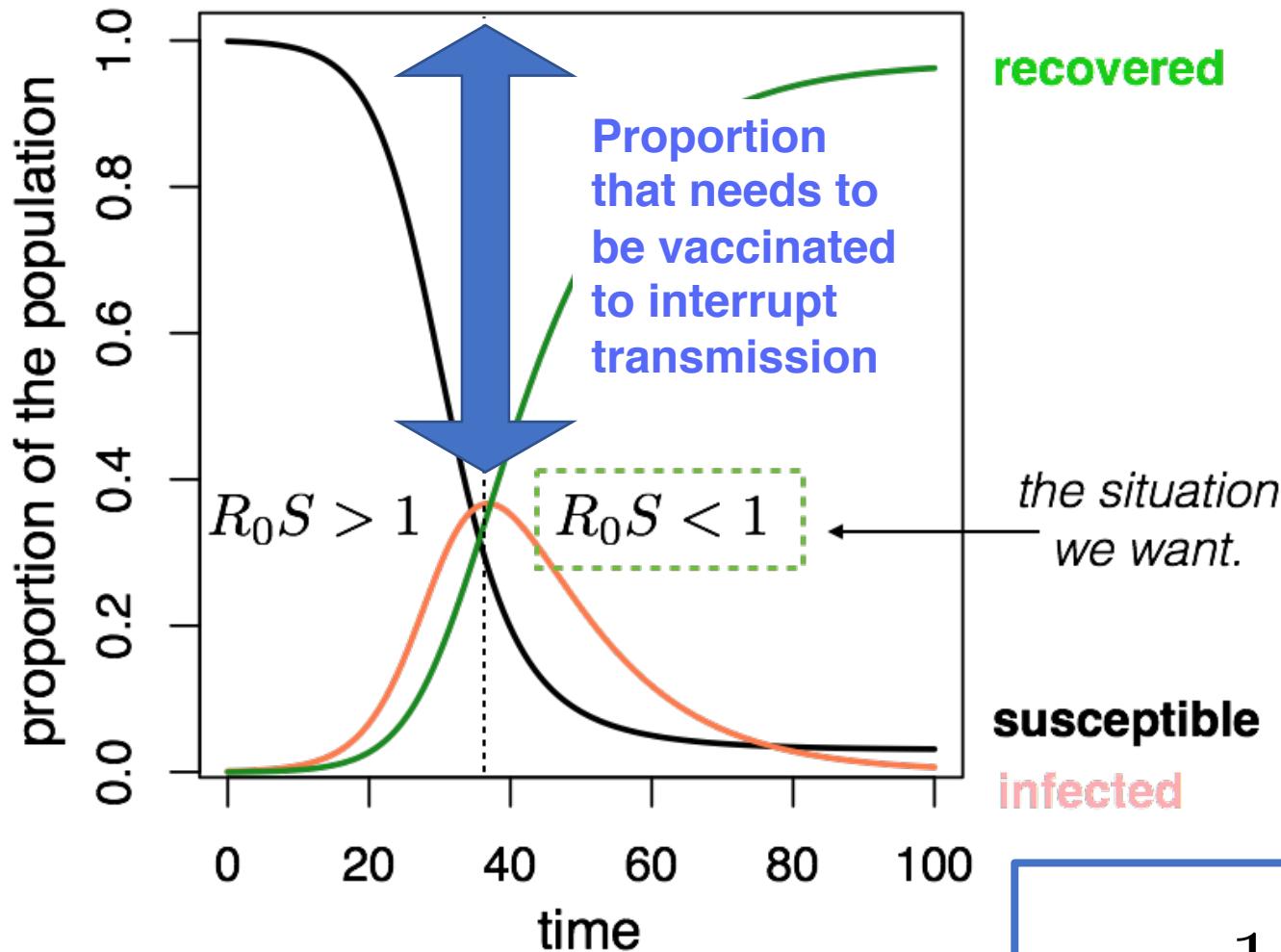
Vaccination moves people out of susceptibles into the immune (recovered) class.

La vaccination éloigne les personnes sensibles de la maladie dans la classe immunitaire (rétablie).

# The SIR model : vaccination



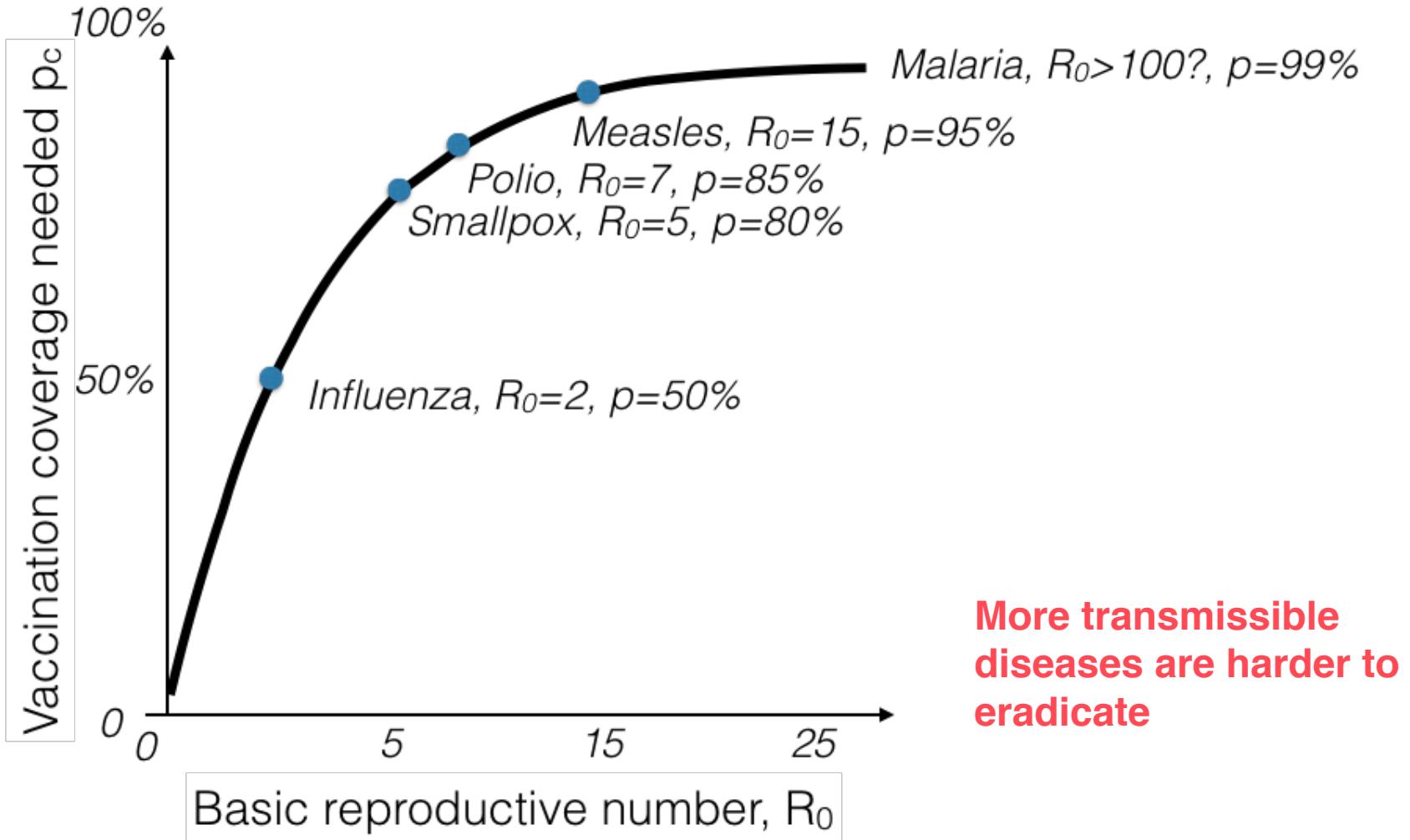
# The SIR model : vaccination



$$p_c = 1 - \frac{1}{R_0}$$

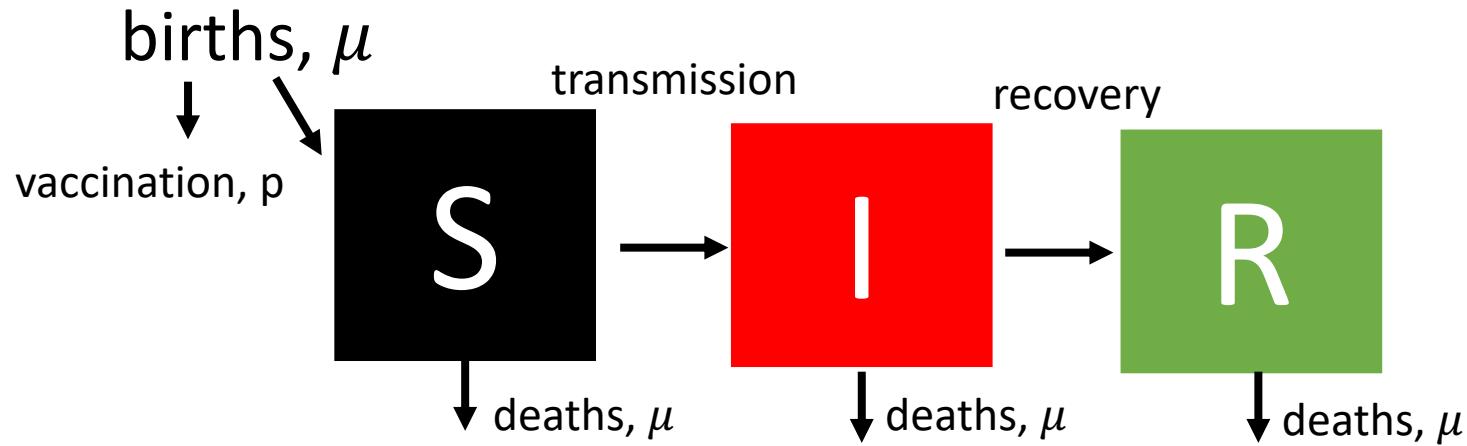
# The SIR model : eradication

$$p_c = 1 - \frac{1}{R_0}$$



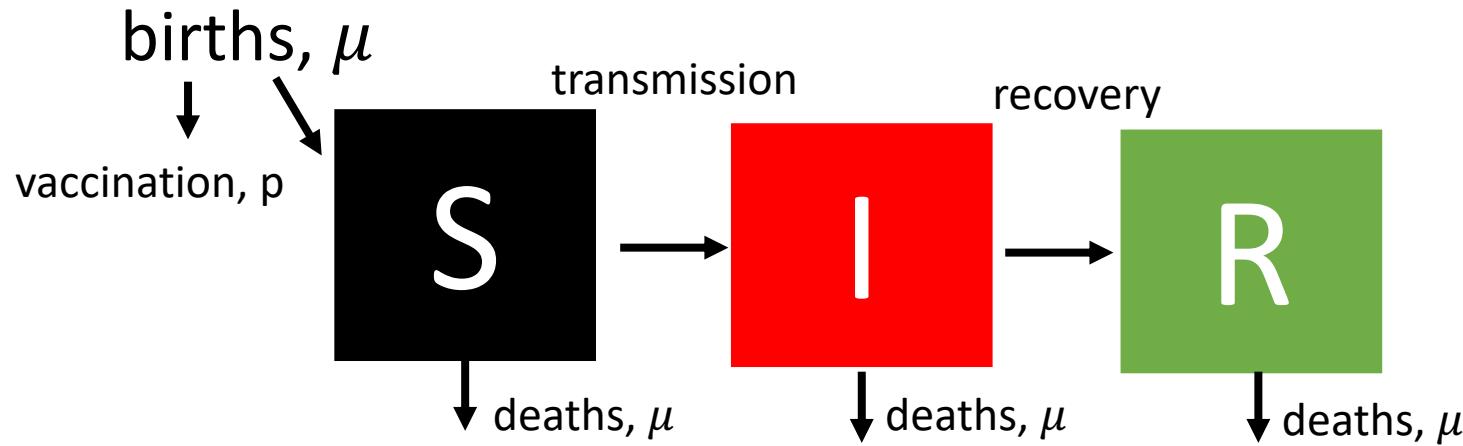
# The SIR model : extensions

Moving beyond a ‘closed’ population



# The SIR model : extensions

Moving beyond a ‘closed’ population

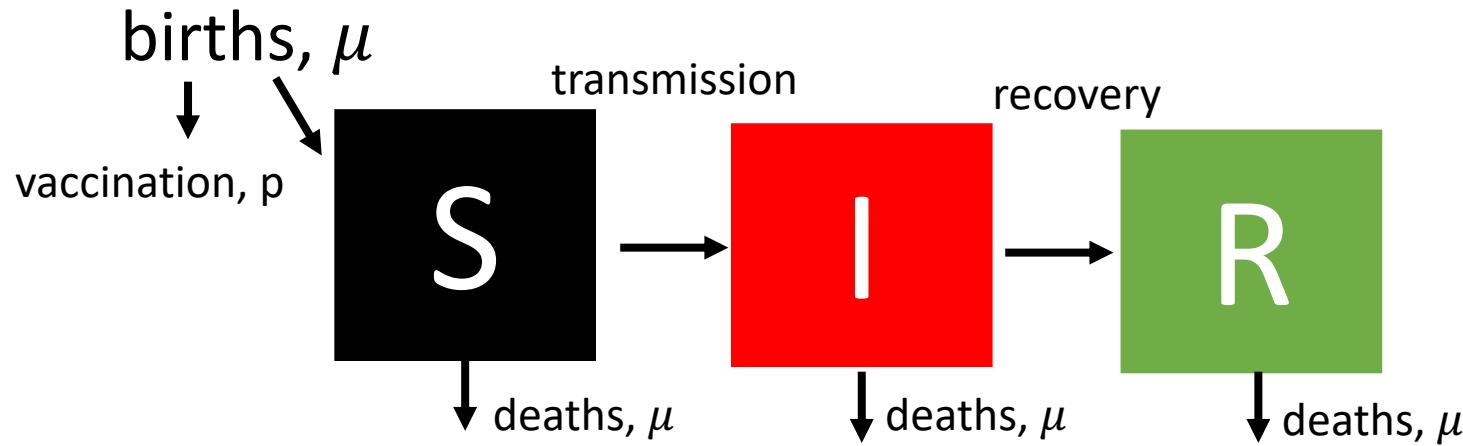


$$\frac{dS(t)}{dt} = \mu(1 - p) - \beta S(t)I(t) - \mu S(t)$$

$$\frac{dI(t)}{dt} = \beta S(t)I(t) - \gamma I(t) - \mu I$$

# The SIR model : add births

Moving beyond a ‘closed’ population

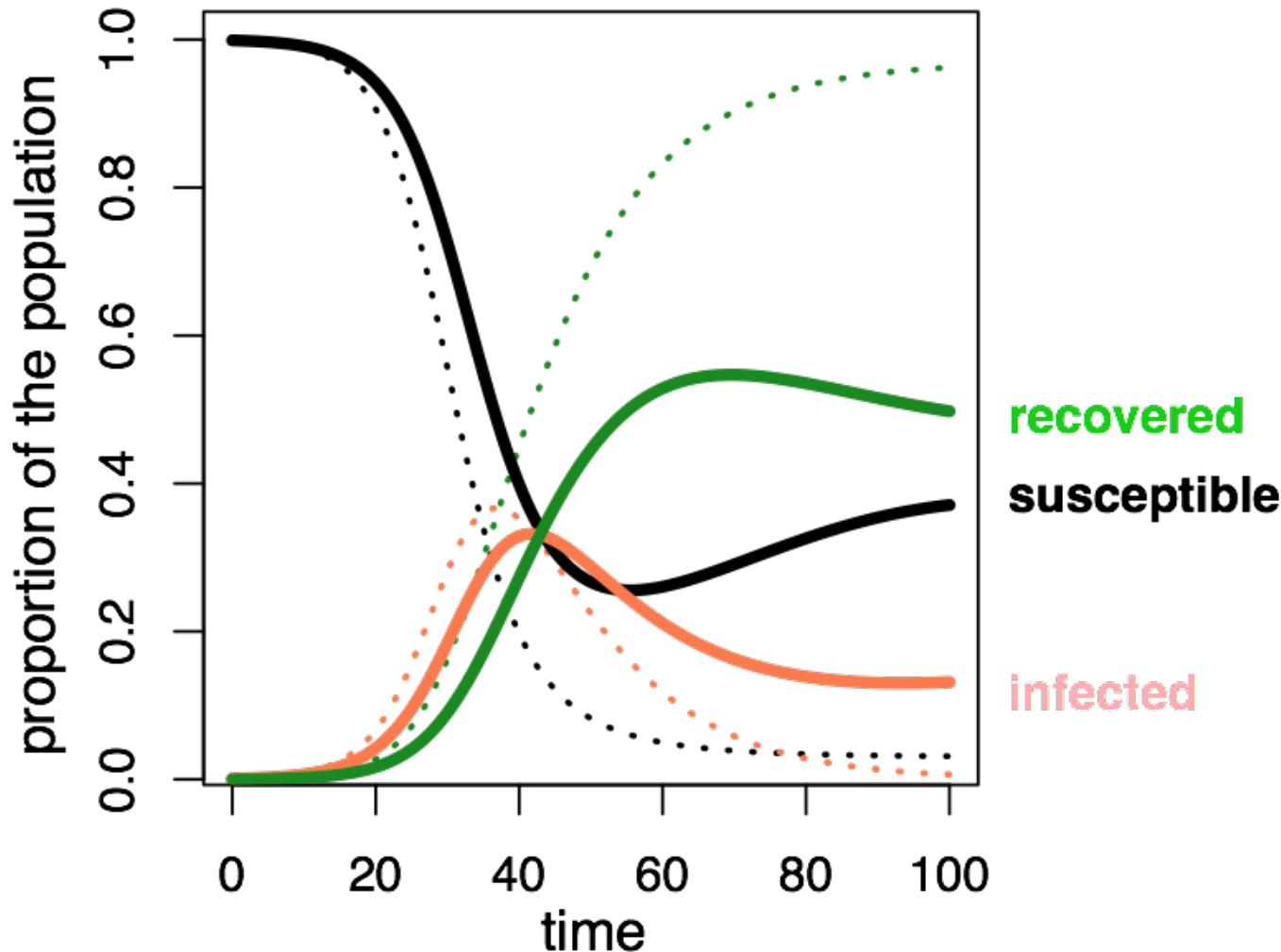


$$\frac{dS(t)}{dt} = \mu(1 - p) - \beta S(t)I(t) - \mu S(t)$$

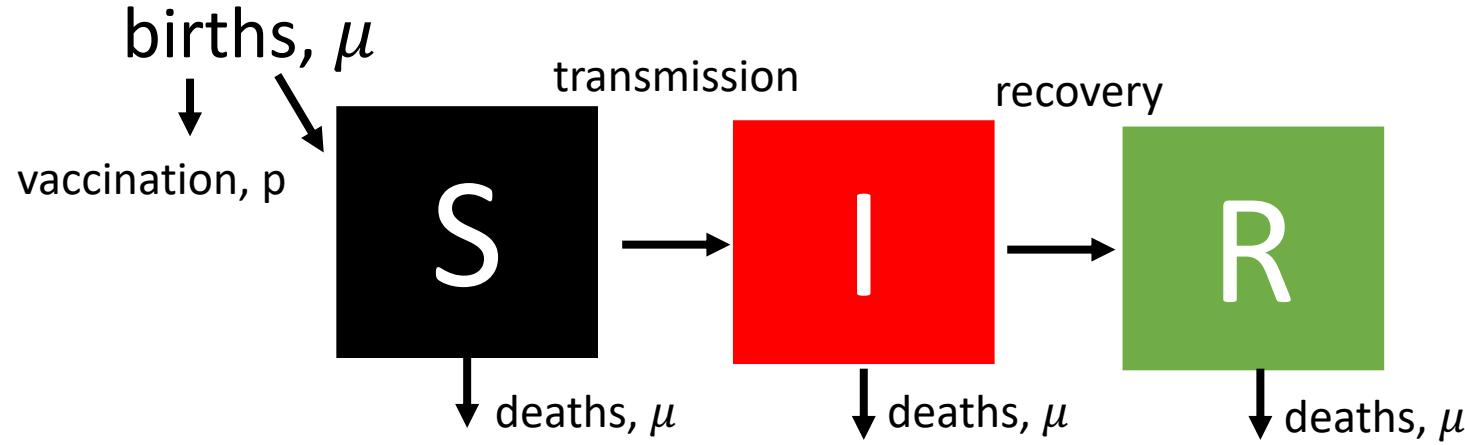
$$\frac{dI(t)}{dt} = \beta S(t)I(t) - \gamma I(t) - \mu I$$

**What is likely to be the BIGGEST dynamical difference?**

# The SIR model : add births

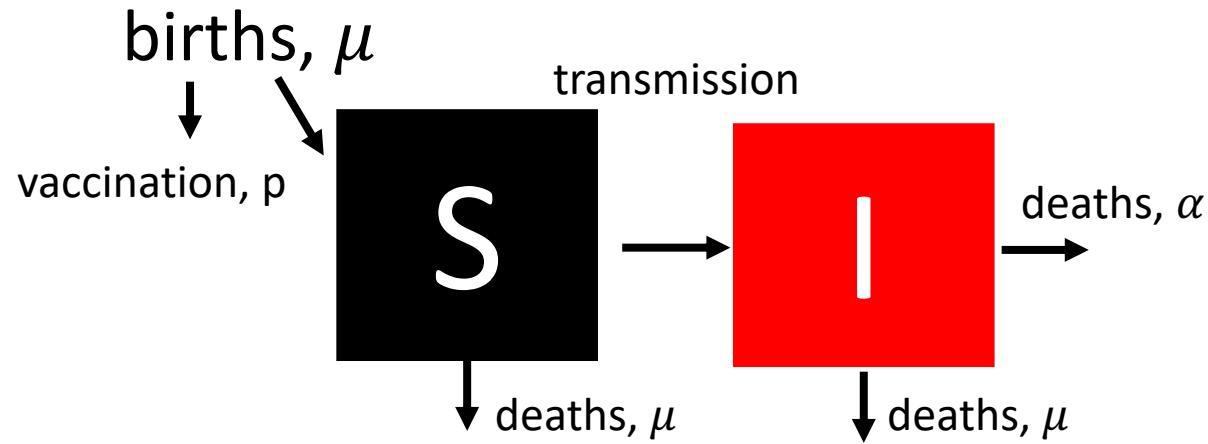


# Beyond the SIR model



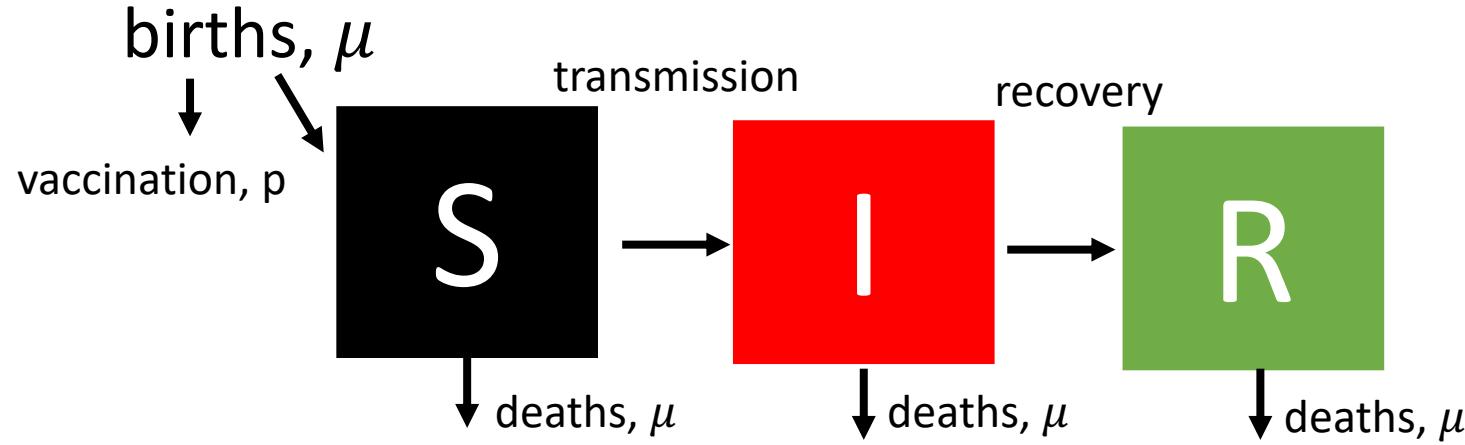
**What do we change if infection is always FATAL?**

# Beyond the SIR model



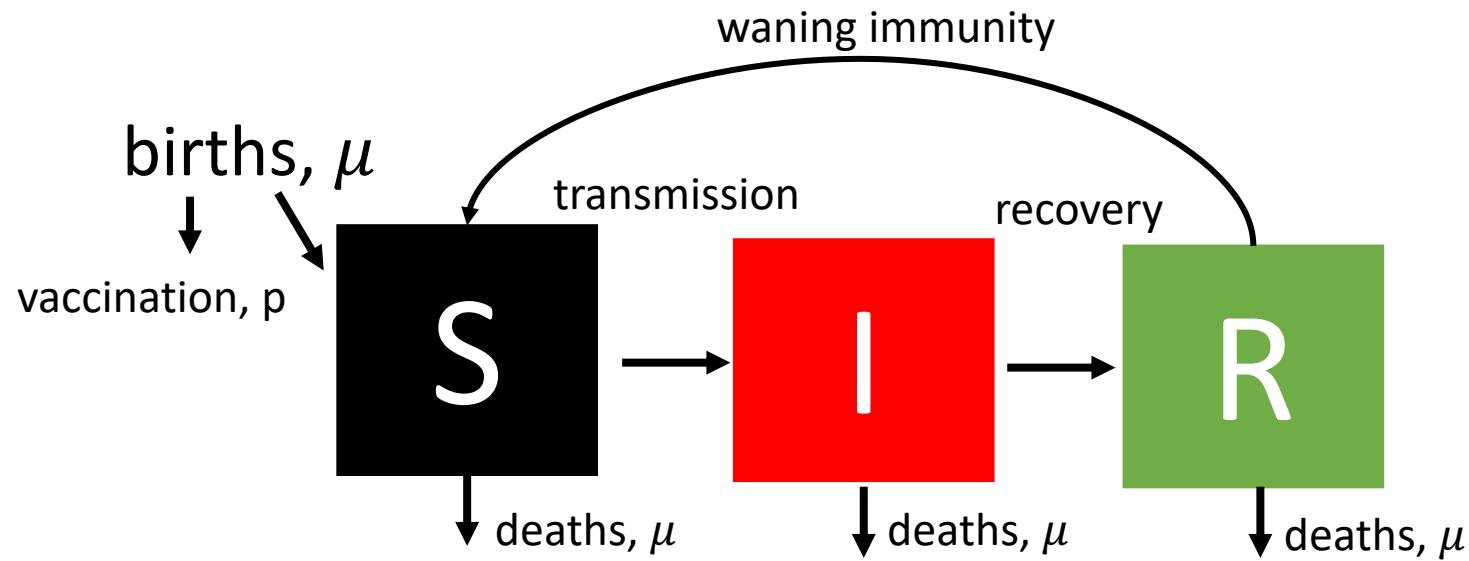
What do we change if infection is always FATAL?

# Beyond the SIR model



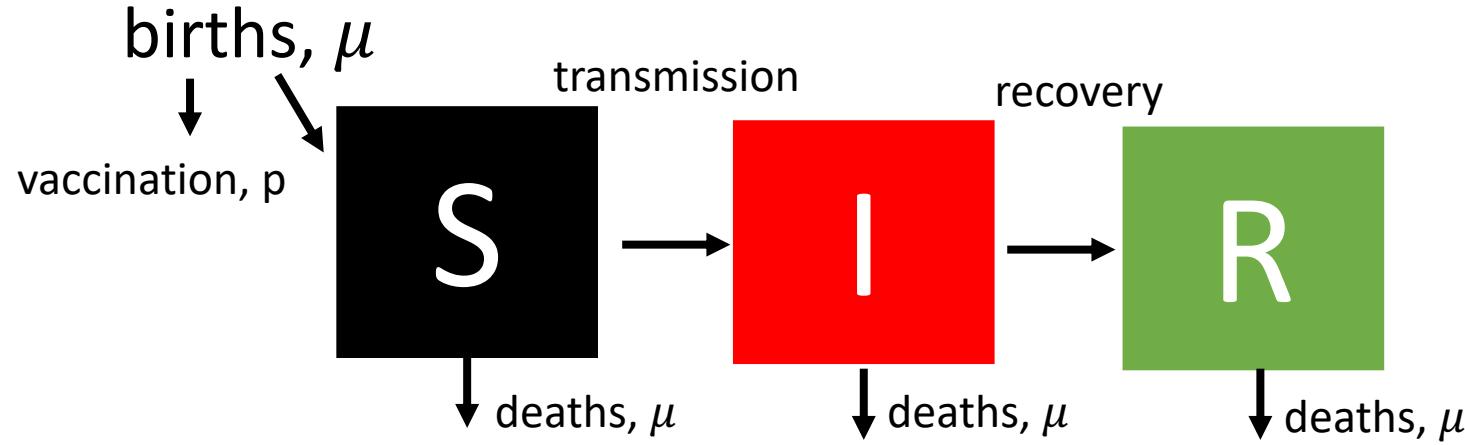
**What if immunity wanes?**

# Beyond the SIR model



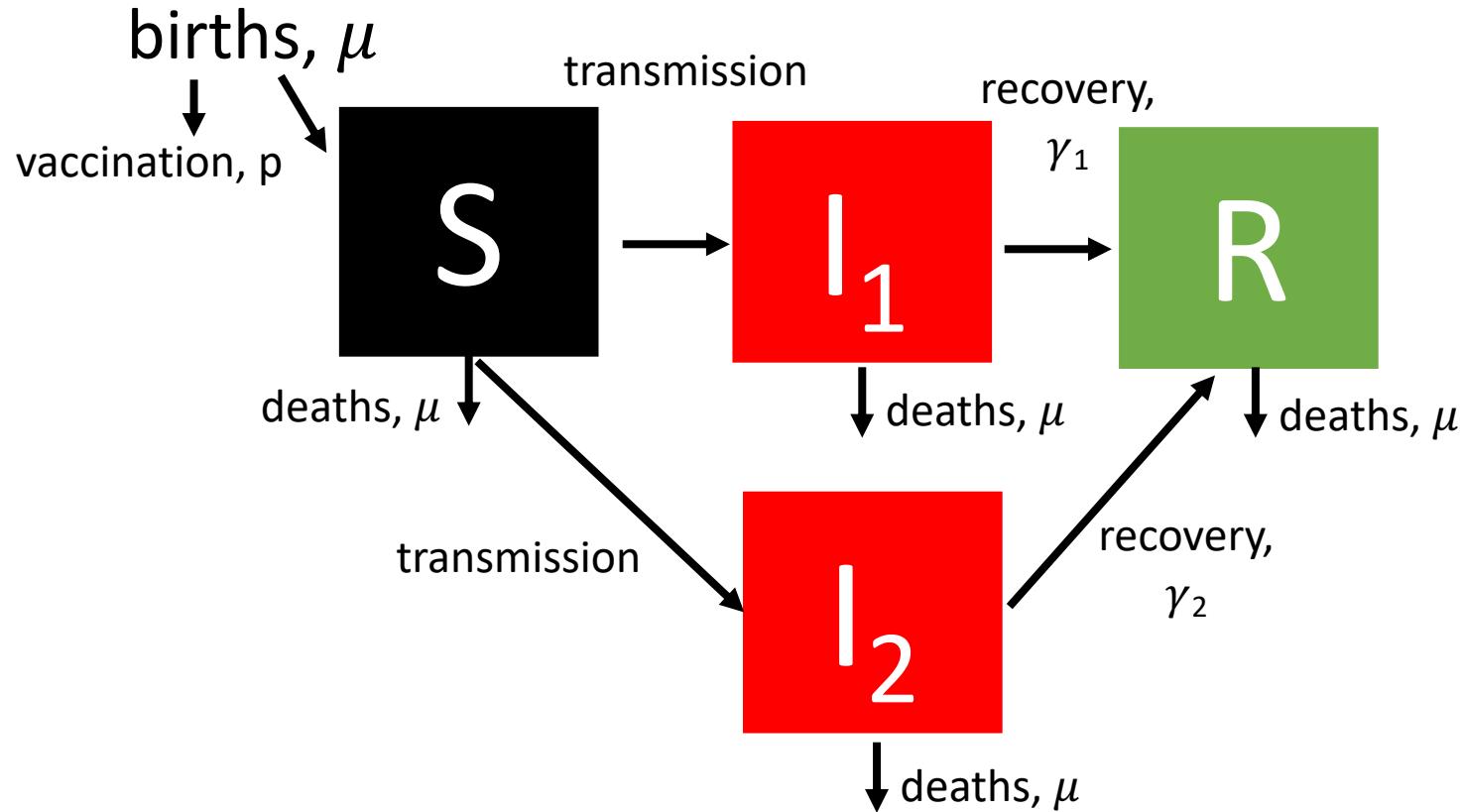
**What if immunity wanes?**

# Beyond the SIR model



**What if people recover at different rates?**

# Beyond the SIR model



What if people recover at different rates?

# Key concepts

- Compartmental/mechanistic/mathematical models  
*Modèles en compartiments*
- Continuous vs. discrete models  
*Modèles en temps continue vs. modèles en temps discrète*
- Deterministic vs. stochastic models  
*Modèles déterministique vs. stochastique*
- Structured models  
*Modèles structurés.*
- Two population models  
*Modèles des deux populations*
- SIR models – and beyond!  
*Modèles SIR – et au-delà!*

# Which model?

