

# HW 11: Physics 545

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## 1

We start by noting the spin structure of the wave function in a slightly different form than given:  $\psi = i[\mathbf{\Delta} \cdot \boldsymbol{\sigma}][\hat{y} \cdot \boldsymbol{\sigma}]$ . This definition lends itself better to solutions of earlier homework.

The average spin is

$$\langle \mathbf{S} \rangle = \frac{\hbar}{2} \int \frac{d^3k}{(2\pi\hbar)^3} \text{Tr} \left[ \psi(\mathbf{k})^\dagger \boldsymbol{\sigma} \psi(\mathbf{k}) \right] \quad (1)$$

$$= \frac{\hbar}{2} \int \frac{d^3k}{(2\pi\hbar)^3} \text{Tr} \left[ [\hat{y} \cdot \boldsymbol{\sigma}] [\mathbf{\Delta}^* \cdot \boldsymbol{\sigma}] \boldsymbol{\sigma} [\mathbf{\Delta} \cdot \boldsymbol{\sigma}] [\hat{y} \cdot \boldsymbol{\sigma}] \right] \quad (2)$$

$$= \frac{\hbar}{2} \int \frac{d^3k}{(2\pi\hbar)^3} \text{Tr} \left[ [\mathbf{\Delta}^* \cdot \boldsymbol{\sigma}] \boldsymbol{\sigma} [\mathbf{\Delta} \cdot \boldsymbol{\sigma}] \right] \quad (3)$$

Where we used the cyclic exchange symmetry of the trace.

Now, using the formula  $\sigma_i \sigma_j = \delta_{ij} + 2i\epsilon_{ijk} \sigma_k$ , one can write out the above in index notation

$$\langle S_j \rangle = \frac{\hbar}{2} \int \frac{d^3k}{(2\pi\hbar)^3} \text{Tr} \left[ \mathbf{\Delta}_i^* \mathbf{\Delta}_k \sigma_i \sigma_j \sigma_k \right] \quad (4)$$

$$= \frac{\hbar}{2} \int \frac{d^3k}{(2\pi\hbar)^3} \text{Tr} \left[ \mathbf{\Delta}_i^* \mathbf{\Delta}_k (\delta_{ij} + 2i\epsilon_{ijn} \sigma_n) \sigma_k \right] \quad (5)$$

$$= \frac{\hbar}{2} \int \frac{d^3k}{(2\pi\hbar)^3} \text{Tr} \left[ \mathbf{\Delta}_i^* \mathbf{\Delta}_k (2i\epsilon_{ijn} \delta_{kn}) \right] \quad (6)$$

$$\langle \mathbf{S} \rangle = i\hbar \int \frac{d^3k}{(2\pi\hbar)^3} 2[-\mathbf{\Delta}^* \times \mathbf{\Delta}] \quad (7)$$

## 2a

The states in Fock space are  $|00\rangle$ ,  $|11\rangle$ ,  $|01\rangle$ ,  $|10\rangle$ . The result after acting with  $h_{\mathbf{k}}$  operator is:

$$h_{\mathbf{k}}|00\rangle = -\Delta_{\mathbf{k}}|11\rangle \quad (8)$$

$$h_{\mathbf{k}}|11\rangle = -\Delta_{\mathbf{k}}^*|00\rangle + 2\xi_{\mathbf{k}}|11\rangle \quad (9)$$

$$h_{\mathbf{k}}|01\rangle = \xi_{\mathbf{k}}|01\rangle \quad (10)$$

$$h_{\mathbf{k}}|10\rangle = \xi_{\mathbf{k}}|10\rangle \quad (11)$$

As you say, this proves that these are a complete set and we expect to find 4 eigenstates which are a superposition of these.

We can immediately write two of these from the last two equations because  $h_{\mathbf{k}}$  does not alter these states, and their energies are  $\epsilon_{\mathbf{k}} = \xi_{\mathbf{k}}$  (spin independent)

The other two states are linear combinations of the Cooper pair  $|11\rangle$ , and no pair  $|00\rangle$   $\psi = A|11\rangle + B|00\rangle$ . The resulting equations can be written in matrix form and solved.

$$\epsilon_{\mathbf{k}} \begin{pmatrix} A \\ B \end{pmatrix} = \begin{pmatrix} 2\xi_{\mathbf{k}} & -\Delta_{\mathbf{k}}^* \\ -\Delta_{\mathbf{k}} & 0 \end{pmatrix} \begin{pmatrix} A \\ B \end{pmatrix} \quad (12)$$

The equation for  $\epsilon_{\mathbf{k}}$  is  $\epsilon_{\mathbf{k}}^2 - 2\xi_{\mathbf{k}}\epsilon_{\mathbf{k}} - |\Delta_{\mathbf{k}}|^2 = 0$  which has two solutions

$$\epsilon_{\mathbf{k}}^{\pm} = \xi_{\mathbf{k}} \pm \sqrt{\xi_{\mathbf{k}}^2 + |\Delta_{\mathbf{k}}|^2} \quad (13)$$

We write the un-normalized eigenvectors from the second line of the matrix equation ( $B = -\frac{\Delta_{\mathbf{k}}}{\epsilon_{\mathbf{k}}^{\pm}} A$ )

$$\psi^{\pm} = A \left( |11\rangle - \frac{\Delta_{\mathbf{k}}}{\epsilon_{\mathbf{k}}^{\pm}} |00\rangle \right) \quad (14)$$

And after normalization:

$$A = \frac{\epsilon_{\mathbf{k}}^{\pm}}{\sqrt{(\epsilon_{\mathbf{k}}^{\pm})^2 + \Delta_{\mathbf{k}}^2}} \quad (15)$$

Now we can write these eigenvectors using:

$$u_{\mathbf{k}}^{\pm} = -\frac{\Delta_{\mathbf{k}}}{\sqrt{(\epsilon_{\mathbf{k}}^{\pm})^2 + \Delta_{\mathbf{k}}^2}} \quad (16)$$

$$v_{\mathbf{k}}^{\pm} = \frac{\epsilon_{\mathbf{k}}^{\pm}}{\sqrt{(\epsilon_{\mathbf{k}}^{\pm})^2 + \Delta_{\mathbf{k}}^2}} \quad (17)$$

$$\psi^{\pm} = u_{\mathbf{k}}^{\pm}|00\rangle + v_{\mathbf{k}}^{\pm}|11\rangle \quad (18)$$

## 2b

The state with the lowest energy is  $\epsilon_{\mathbf{k}}^- = \xi_{\mathbf{k}} - \sqrt{\xi_{\mathbf{k}}^2 + |\Delta_{\mathbf{k}}|^2}$  and acting with  $b_{\mathbf{k}\uparrow} = u_{\mathbf{k}}a_{\mathbf{k}\uparrow} - v_{\mathbf{k}}a_{-\mathbf{k}\downarrow}^\dagger$  does indeed annihilate the BCS ground state  $|BCS\rangle = u_{\mathbf{k}}|00\rangle + v_{\mathbf{k}}|11\rangle$

$$b_{\mathbf{k}\uparrow}|BCS\rangle = -v_{\mathbf{k}}u_{\mathbf{k}}|01\rangle + u_{\mathbf{k}}v_{\mathbf{k}}|01\rangle = 0 \quad (19)$$

This means that this state is the ground state for these  $b$  operators.

We can define  $b_{\mathbf{k}\downarrow} = u_{\mathbf{k}}a_{-\mathbf{k}\downarrow} + v_{\mathbf{k}}a_{\mathbf{k}\uparrow}^\dagger$  and use the anti-commutation relations of Fermions to see that:

$$a_{-\mathbf{k}\downarrow}|11\rangle = a_{-\mathbf{k}\downarrow}a_{-\mathbf{k}\downarrow}^\dagger a_{\mathbf{k}\uparrow}^\dagger|00\rangle = -|10\rangle$$

And this operator also annihilates the BCS state.

## 2c

If we combine the above relations we can see that the other eigenstates of Hamiltonian can be written as:

$$|10\rangle = b_{\mathbf{k}\uparrow}^\dagger|BCS\rangle \quad (20)$$

$$|01\rangle = b_{\mathbf{k}\downarrow}^\dagger|BCS\rangle \quad (21)$$

$$u_{\mathbf{k}}^+|00\rangle + v_{\mathbf{k}}^+|11\rangle = b_{\mathbf{k}\uparrow}^\dagger|BCS\rangle \quad (22)$$

And their excitation energy above the BCS energy  $\epsilon_{\mathbf{k}}^{BCS} = \xi_{\mathbf{k}} - \sqrt{\xi_{\mathbf{k}}^2 + |\Delta_{\mathbf{k}}|^2}$ , are:

$$\sqrt{\xi_{\mathbf{k}}^2 + |\Delta_{\mathbf{k}}|^2} \quad (23)$$

$$\sqrt{\xi_{\mathbf{k}}^2 + |\Delta_{\mathbf{k}}|^2} \quad (24)$$

$$2\sqrt{\xi_{\mathbf{k}}^2 + |\Delta_{\mathbf{k}}|^2} \quad (25)$$

respectively