Interrupts, Etc.

### Disk I/O

```
int disk_write(...) {
    ...
    startIO(); // start disk operation
    ...
    enqueue(disk_waitq, CurrentThread);
    thread_switch();
        // wait for disk operation to
        // complete
    ...
}
```

```
void disk_intr(...) {
   thread_t *thread;
   ...
   // handle disk interrupt
   ...
   thread = dequeue(disk_waitq);
   if (thread != 0) {
      enqueue(RunQueue, thread);
      // wakeup waiting thread
   }
   ...
}
```

## Improved Disk I/O

```
int disk write(...) {
 oldIPL = setIPL(diskIPL);
 startIO(); // start disk operation
 enqueue (disk waitq, CurrentThread);
 thread switch();
      // wait for disk operation to complete
 setIPL(oldIPL);
```

## Modified thread\_switch

```
void thread switch() {
  thread t *OldThread;
  int oldIPL:
  oldIPL = setIPL(HIGH IPL);
    // protect access to RunQueue by masking all interrupts
  while (queue empty (RunQueue) ) {
    // repeatedly allow interrupts, then check RunQueue
    setIPL(0); // IPL == 0 means no interrupts are masked
    setIPL(HIGH IPL);
  // We found a runnable thread
  OldThread = CurrentThread:
  CurrentThread = dequeue(RunQueue);
  swapcontext(OldThread->context, CurrentThread->context);
  setIPL(oldIPL);
```

# Preemptive Kernels on MP

- What's different?
- A thread accesses a shared data structure:
  - it might be interrupted by an interrupt handler (running on its processor) that accesses the same data structure
  - 2. another thread running on another processor might access the same data structure
  - 3. it might be forced to give up its processor to another thread, either because its time slice has expired or it has been preempted by a higher-priority thread
  - 4. an *interrupt handler* running on *another* processor might access the same data structure

#### Solution?

```
int X = 0;
SpinLock_t L = UNLOCKED;

void AccessXThread() {
    SpinLock(&L);
    X = X+1;
    SpinUnlock(&L);
    X = X+1;
    SpinUnlock(&L);
    X = X+1;
    SpinUnlock(&L);
    ...
}
```

#### Solution ...

```
int X = 0;
SpinLock_t L = UNLOCKED;

void AccessXThread() {
    MaskInterrupts();
    SpinLock(&L);
    X = X+1;
    SpinUnlock(&L);
    UnMaskInterrupts();
}

void AccessXInterrupt() {
    ...
    SpinLock(&L);
    X = X+1;
    SpinUnlock(&L);
    ...
}
```

### Quiz 1

We have a **Single-Core** system with a preemptible kernel. We're concerned about data structure *X*, which is accessed by kernel threads as well as by the interrupt handler for dev.

- a) It's sufficient for threads to mask dev interrupts while accessing X
- b) In addition, threads must lock (blocking) mutexes before masking interrupts and accessing *X*
- c) b doesn't work. Instead, threads must lock spinlocks before accessing *X*
- d) In addition to c, the dev interrupt handler must lock a spinlock before accessing X
- e) Something else is needed

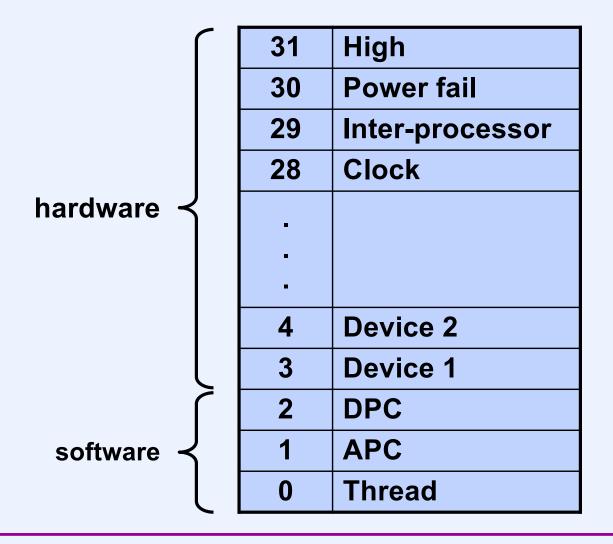
### **Deferred Work**

- Interrupt handlers run with interrupts masked
  - may interfere with handling of other interrupts, particularly if they do a lot of computation
- Solution
  - do minimal work now
  - do rest later without interrupts masked

## **Deferred Processing**

```
void TopLevelInterruptHandler(int dev) {
  InterruptVector[dev](); // call appropriate handler
  if (PreviousContext == ThreadContext) {
    UnMaskInterrupts();
    while(!Empty(WorkQueue)) {
      Work = DeQueue(WorkQueue);
      Work();
void NetworkInterruptHandler() {
  // deal with interrupt
  EnQueue (WorkQueue, MoreWork);
```

# Windows Interrupt Priority Levels



### **Deferred Procedure Calls**

```
void InterruptHandler() {
    // deal with interrupt
    ...
    QueueDPC(MoreWork, arg);
    /* enqueues MoreWork on
        the DPC queue and
        requests a DPC
        interrupt
    */
}
```

```
void DPCHandler( ... ) {
    while(!Empty(DPCQueue)) {
        Work = DeQueue(DPCQueue);
        Work();
    }
}
```

## **Software Interrupt Threads**

## **Preemption: User-Level Only**

```
void ClockHandler() {
    // deal with clock interrupt
    ...
    if (TimeSliceOver())
        ShouldReschedule = 1;
}
```

```
void TopLevelInterruptHandler(int dev) {
  InterruptVector[dev]();
  if (PreviousMode == UserMode) {
    // the clock interrupted user-mode code
      if (ShouldReschedule)
        Reschedule();
void TopLevelTrapHandler(...) {
  SpecificTrapHandler();
  if (ShouldReschedule) {
    /* the time slice expired while the thread
       was in kernel mode */
    Reschedule();
```

## **Preemption: Full**

```
void ClockInterruptHandler() {
    // deal with clock interrupt
    ...
    if (TimeSliceOver)
        QueueDPC(Reschedule);
}
```

# **Directed Processing**

- Signals: Unix
  - perform given action in context of a particular thread in user mode
- APC: Windows asynchronous procedure calls
  - roughly same thing, but also may be done in kernel mode

# **Asynchronous Procedure Calls**

- Two uses
  - kernel APC: release of kernel resources
  - user APC: notifying a thread of an external event

### **Kernel APC**

- Release of kernel resources
  - interrupt handler has information that must be copied to user process
  - can't be done unless in context of process
    - otherwise address space not mapped in
  - interrupt handler requests kernel APC to have user thread, running in kernel mode, copy information to user space, and then free data in the kernel

#### **User APC**

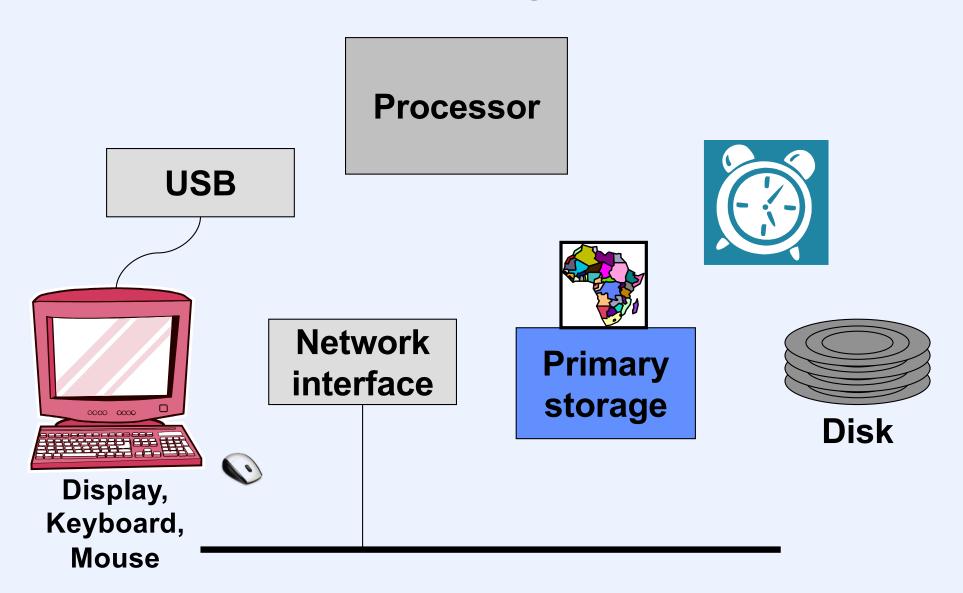
- Notifying thread of external event
  - example: asynchronous I/O
    - thread supplies completion routine when starting asynchronous I/O request
    - called in thread's context when I/O completes
      - similar to a Unix signal
      - called only when thread is in alertable wait state
        - an option in certain blocking system calls

# **APC Implementation**

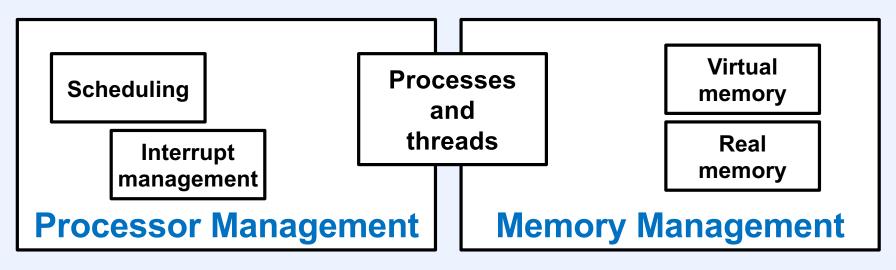
- Per-thread list of pending APCs
  - on notification, thread executes them
- User APC
  - thread in alertable state is woken up and executes pending APCs when it returns to user mode
- Kernel APC
  - running thread interrupted by APC interrupt (lowest-priority interrupt)
  - waiting thread is "unwaited"
  - execute pending kernel APCs

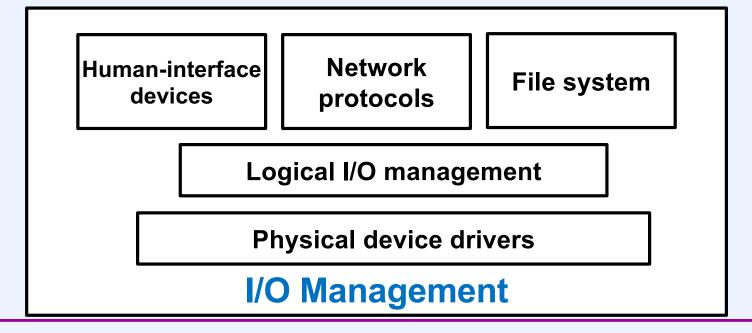
I/O

# **Simple Configuration**

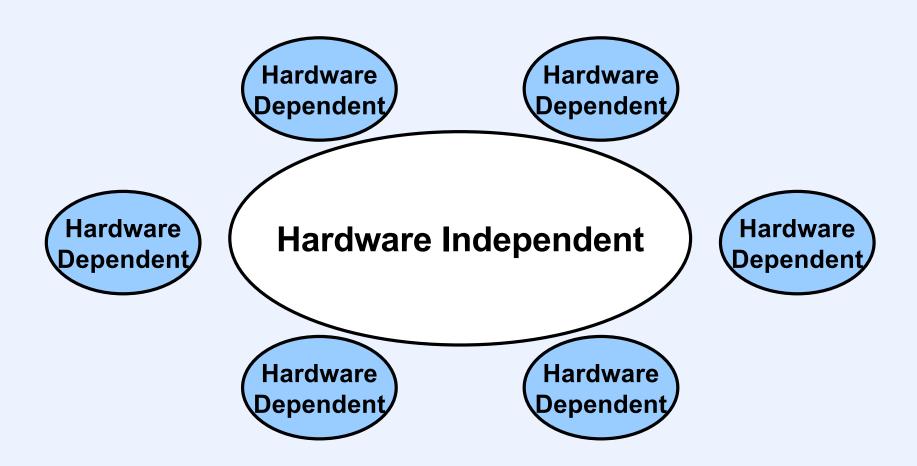


# **OS Components: Functional**

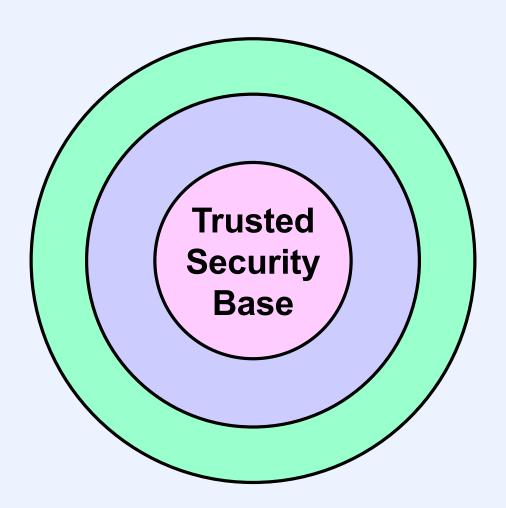




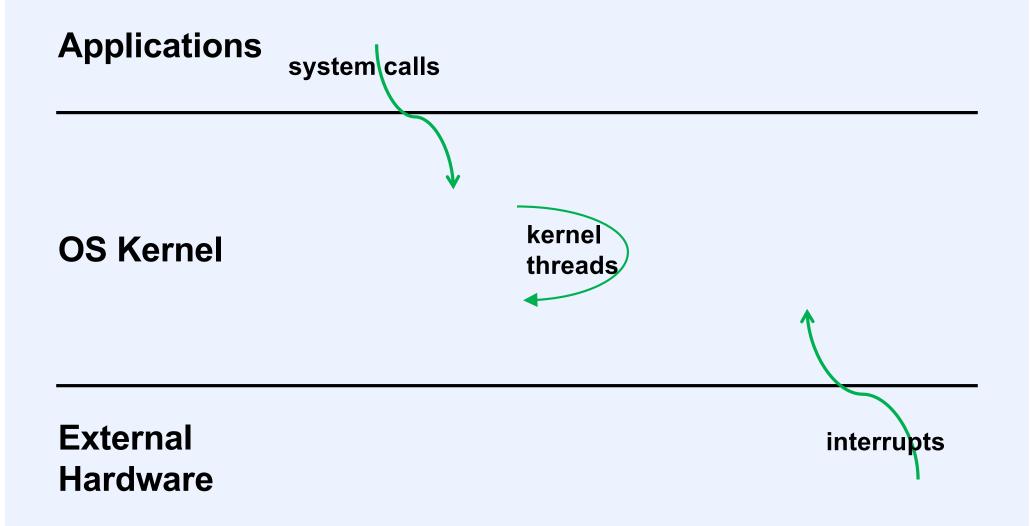
# **OS Components: Portability**



# **OS Components: Importance**



# **OS Components: Flow of Control**

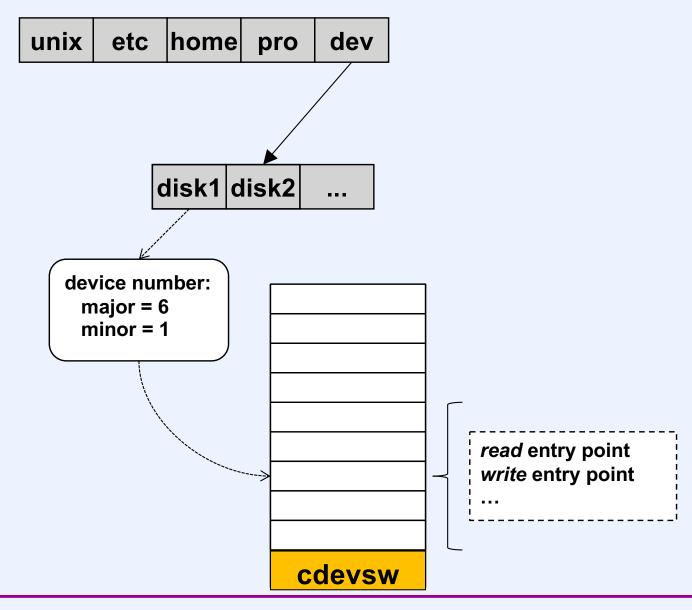


#### To Be Discussed

- What is the functionality of the components?
- What are the key data structures?
- How is the system broken up into modules?
- To what extent is the system extensible?
- What parts run in the OS kernel in privileged mode? What parts run as library code in user applications? What parts run as separate applications?
- In which execution contexts do the various activities take place?

**VI-27** 

# **Finding Devices**



# **Discovering Devices**

- You plug in a new device to your computer ...
  - OS must notice
    - must find a device driver
      - what kind of device is it?
      - where is the driver?
    - must assign a name
      - how chosen?
    - multiple similar devices
      - how does application choose?

# **Computer Terminal**



# A "tty"



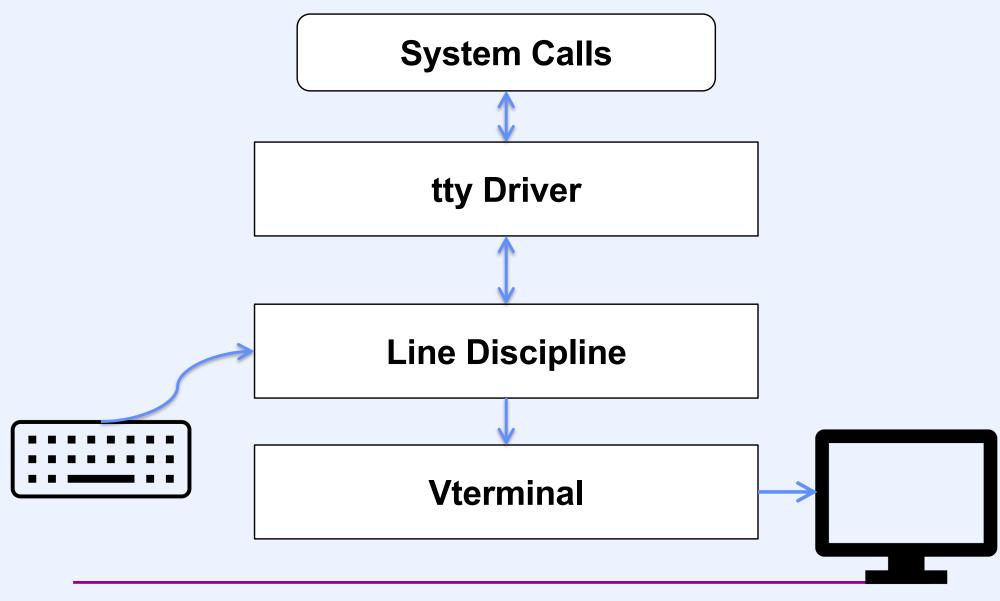
# **A** Typewriter



### **Terminals**

- Long obsolete, but still relevant
- Issues
  - 1) characters are generated by the application faster than they can be sent to the terminal
  - 2) characters arrive from the keyboard even though there isn't a waiting read request from an application
  - 3) input characters may need to be processed in some way before they reach the application

### **Terminals**



### Quiz 2

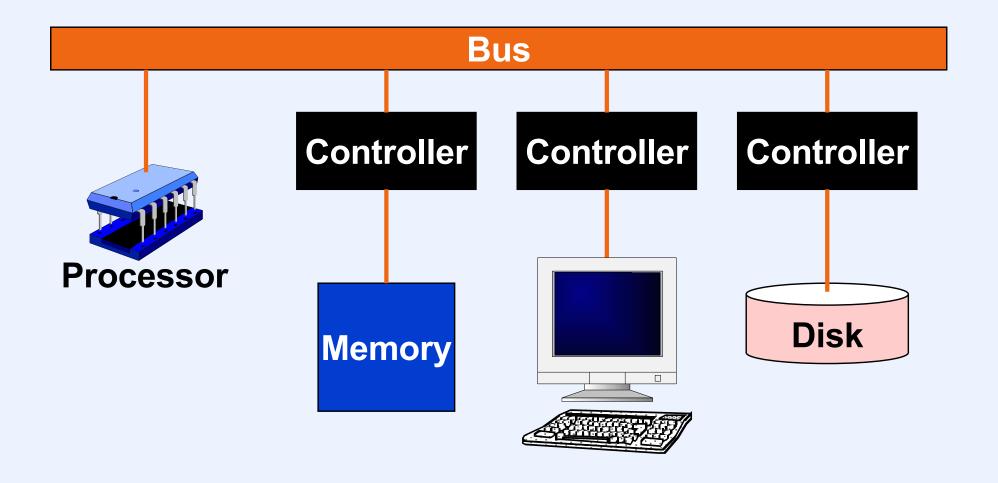
In which context are characters transformed from raw into cooked?

- a) In the interrupt context (i.e., on a "borrowed" stack)
- b) In the context of the thread performing the read system call
- c) Some other context

# Input/Output

- Architectural concerns
  - memory-mapped I/O
    - programmed I/O (PIO)
    - direct memory access (DMA)
  - I/O processors (channels)
- Software concerns
  - device drivers
  - concurrency of I/O and computation

### Simple I/O Architecture



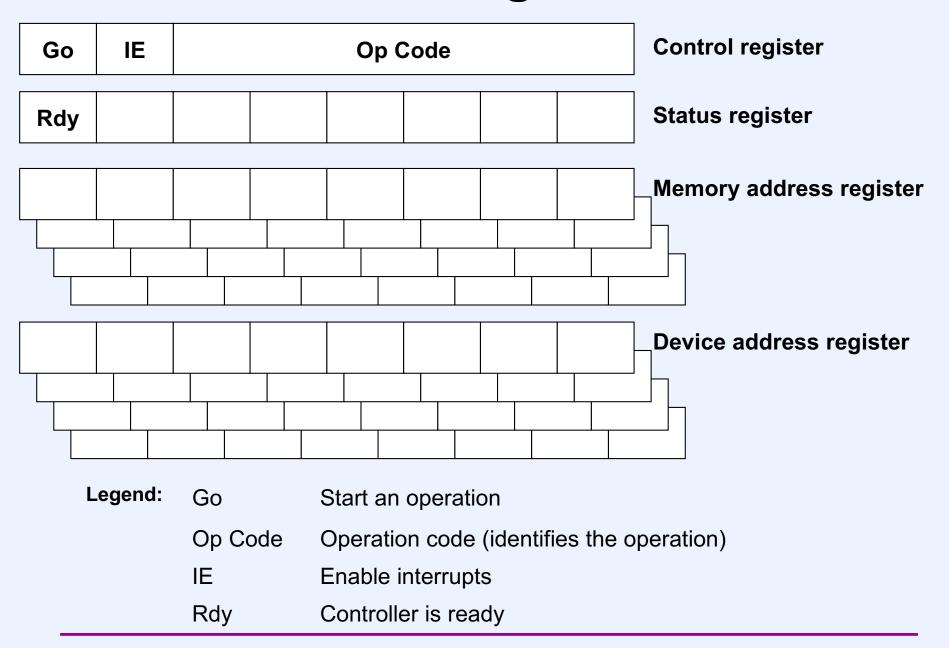
# **PIO Registers**

GoR	GoV	V IER	IEW					Control register
RdyR	Rdy	W						Status register
	•	•	•					
								Read register
	•	,	-				,	
								Write register
Lege	end:	GoR	Go read (start a read operation)					
		GoW	Go write (start a write operation)					
		IER	Enable read-completion interrupts					
		IEW	Enable write-completion interrupts					
		RdyR	Ready to read					
		RdyW	Ready to write					

# **Programmed I/O**

- E.g.: Terminal controller
- Procedure (write)
  - write a byte into the write register
  - set the WGO bit in the control register
  - wait for WREADY bit (in status register) to be set (if interrupts have been enabled, an interrupt occurs when this happens)

# **DMA Registers**



## **Direct Memory Access**

- E.g.: Disk controller
- Procedure
  - set the disk address in the device address register (only relevant for a seek request)
  - set the buffer address in the memory address register
  - set the op code (SEEK, READ or WRITE), the GO bit and, if desired, the interrupt ENABLE bit in the control register
  - wait for interrupt or for READY bit to be set

### **Device Drivers**

