# Microkernels (continued)

### Successful Microkernel Systems

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#### **Attempts**

- Windows NT 3.1
  - graphics subsystem ran as user-level process
  - moved to kernel in 4.0 for performance reasons
- Apple OS X
  - based on Mach
  - all services in kernel for performance reasons
- HURD
  - based on Mach
  - services implemented as user processes
  - no one used it, for performance reasons

### Scheduling Part 1

### Sample Sorts of Systems

- Simple batch
- Multiprogrammed batch
- Time sharing
- Partitioned servers
- Real time

### **Scheduling**

- Aims
  - provide timely response
  - provide quick response
  - use resources equitably

### **Timely Response**

- "Hard" real time
  - chores must be completed on time
    - controlling a nuclear power plant
    - landing (softly) on Mars

#### **Fast Response**

- "Soft" real time
  - the longer it takes, the less useful a chore's result becomes
    - responding to user input
    - playing streaming audio or video

### **Sharing**

All active threads share processor time equally

#### **Scenario**

- Scheduling "jobs"
- Run one at a time
- Running time is known

#### **FIFO**



### **Throughput**

- "Goodness" criterion is jobs/hour
- One 168-hour job
- Followed by 168 one-hour jobs



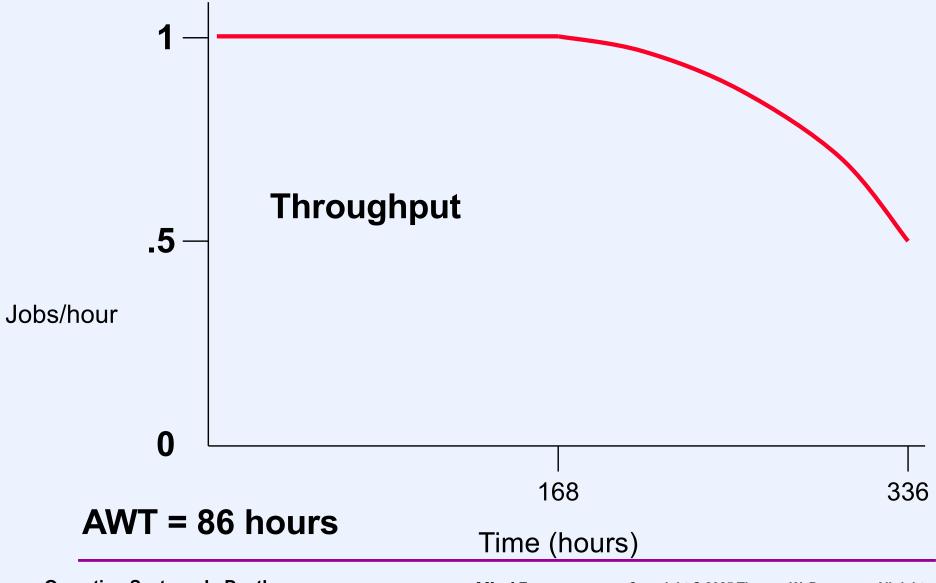
#### **Average Wait Time**

- Jobs J<sub>i</sub> with processing times T<sub>i</sub>
- Average wait time (AWT)
  - Ji started at time ti
  - $-AWT = sum(t_i + T_i)/n$ 
    - $t_i = sum_{j=0} to_{i-1}(T_j)$
- For our example
  - -AWT = 252 hours

#### **Shortest Job First**

- $AWT = sum(t_i + T_i)/n$  $- t_i = sum_{j=0 \text{ to } i-1}(T_j)$
- $AWT = (nT_{i0} + (n-1)T_{i1} + ... + 2T_{in-2} + T_{in-1})/n$
- Minimized when  $i_j$  chosen so that
  - $-T_{ij} \le T_{ij+1}$
  - which is shortest job first

### SJF and Our Example



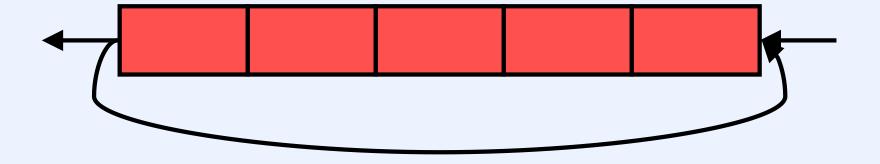
### **Preemption**

- Current job may be preempted by others
  - shortest remaining time next (SRTN)
    - optimized throughput

#### **Fairness**

- FIFO
  - each job eventually gets processed
- SJF and SRTN
  - a long job might have to wait indefinitely
- What's a good measure?

#### **Round Robin**



#### Quiz 1

We implement a round-robin scheduler. Jobs are served from the queue in FIFO order with a fixed time quantum. After a job has executed for its quantum, it's preempted and goes to the end of the queue.

Does this scheduler improve the average wait time (compared to SJF) if applied to our example? Assume the time quantum is close to 0.

- a) yes
- b) no

#### Round Robin + FIFO

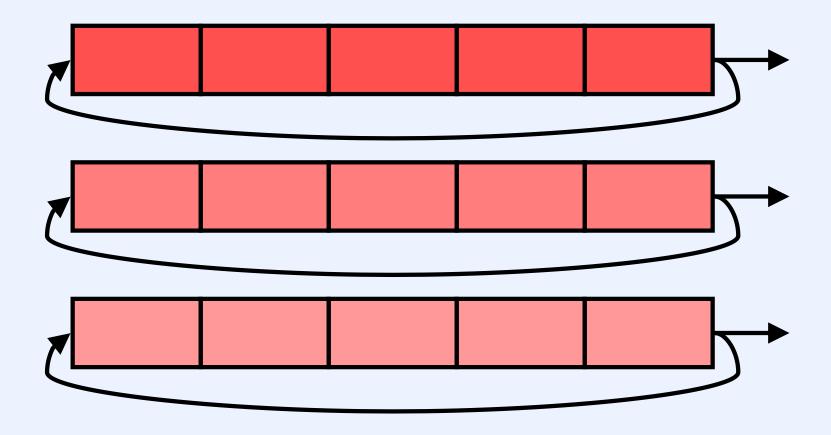
#### AWT?

- let quantum approach 0:
  - 169 jobs sharing the processor
  - run at 1/169<sup>th</sup> speed for first week
  - short jobs receive one hour of processor time in 169 hours
  - long job completes in 336 hours
  - AWT = 169.99 hours
  - average deviation = 1.96 hours
  - for FIFO, average deviation = 42.25 hours

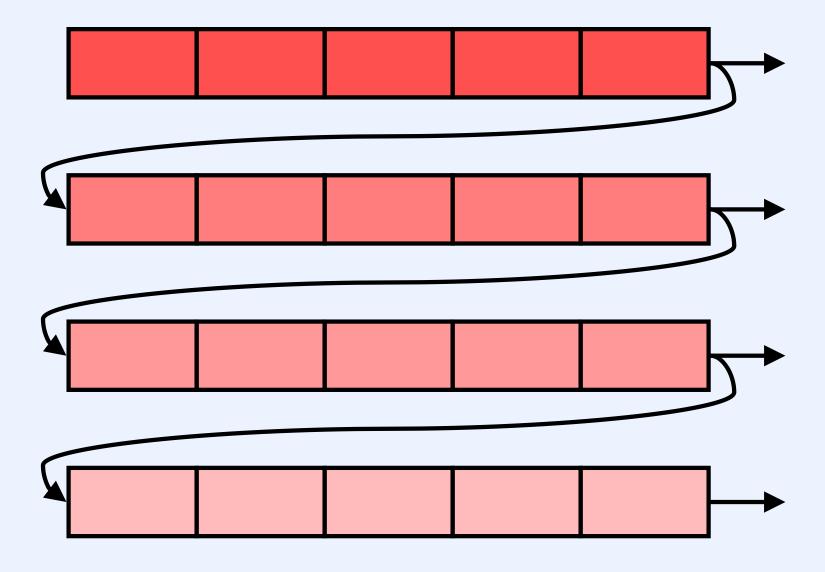
### **Interactive Systems**

- Length of "jobs" not known
- Jobs don't run to completion
  - run till they block for user input
- Would like to favor interactive jobs

### **Round Robin with Priority**



#### Multi-Level Feedback Queues



### Interactive Scheduling

- Time-sliced, priority-based, preemptive
- Priority depends on expected time to block
  - interactive threads should have high priority
  - compute threads should have low priority
- Determine priority using past history
  - processor usage causes decrease
  - sleeping causes increase

### Scheduling in Early Unix

- Interactive applications
  - shell, editors
- Lengthier applications
  - compiles
- Long-running applications
  - computing  $\pi$

### 6th-Edition Unix Scheduling

- Process priority computation
  - $-p = (pp->p_cpu & 0377)/16;$
  - p =+ PUSER + pp->p\_nice;
  - (numerically low priorities are better than numerically high priorities)
- Every "clock tick"
  - current process: p\_cpu++
- Every second
  - all processes: p\_cpu = max(0, p\_cpu 10)
- Every four seconds
  - force rescheduling
    - time quantum

#### Early BSD Unix Scheduler

- priority =  $c_1$  + (cpuAvg/4) +  $c_2$ ·nice
  - thread priority, computed periodically
  - lowest-priority thread runs
- cpuAvg++
  - every .01 second, while thread is running
- cpuAvg = (2/3)-cpuAvg
  - computed once/second for each thread
- time quantum is .1 second

#### Quiz 2

## The UNIX schedulers seen so far work on the following principle:

- priority steadily gets worse while a thread is running
- priority steadily gets better while a thread is not running
- a) These schedulers work fine under heavy load
- b) These schedulers don't work well under heavy load because they incorrectly implement the above principle
- c) These schedulers don't work well under heavy load because they correctly implement the above principle

#### Later BSD Unix Scheduler

- priority =  $c_1$  + (cpuAvg/4) +  $c_2$ ·nice
  - thread priority, computed periodically
- cpuAvg++
  - every .01 second, while thread is running
- cpuAvg = ((2·load)/(2·load+1))·cpuAvg
  - load is the short-term average of the sum of the run-queue size (including current thread) and the number of "short-term" sleeping threads
  - computed once/second for each thread
- time quantum still .1 second

#### **Shared Servers**

- You and four friends each contribute \$1000 towards a server
  - you, rightfully, feel you own 20% of it
- Your friends are into threads, you're not
  - they run 5-threaded programs
  - you run a 1-threaded program
- Their programs each get 5/21 of the processor
- Your programs get 1/21 of the processor

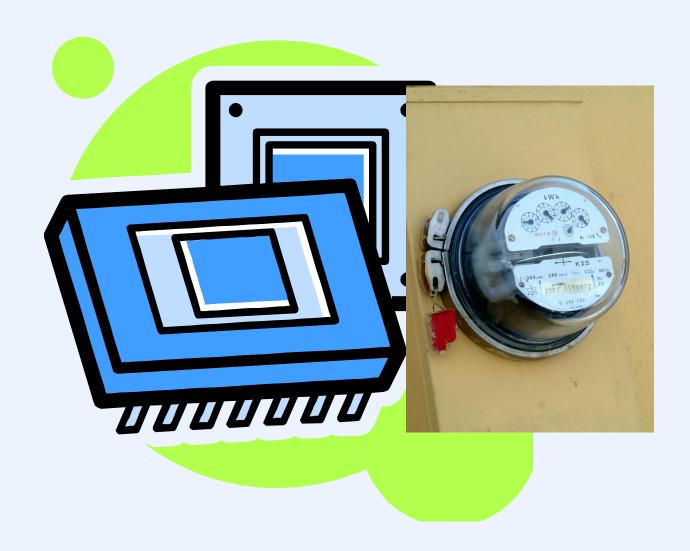
### **Lottery Scheduling**

- 25 lottery tickets are distributed equally to you and your four friends
  - you give 5 tickets to your one thread
  - they give one ticket each to their threads
- A lottery is held for every scheduling decision
  - your thread is 5 times more likely to win than the others

### **Proportional-Share Scheduling**

- Stride scheduling
  - 1995 paper by Waldspurger and Weihl
- Completely fair scheduling (CFS)
  - added to Linux in 2007

#### **Metered Processors**



#### **Algorithm**

- Each thread has a meter, which runs only when the thread is running on the processor
- At every clock tick
  - give processor to thread that's had the least processor time as shown on its meter
  - in case of tie, thread with lowest ID wins

#### **Issues**

- Some threads may be more important than others
- What if new threads enter system?
- What if threads block for I/O or synchronization?

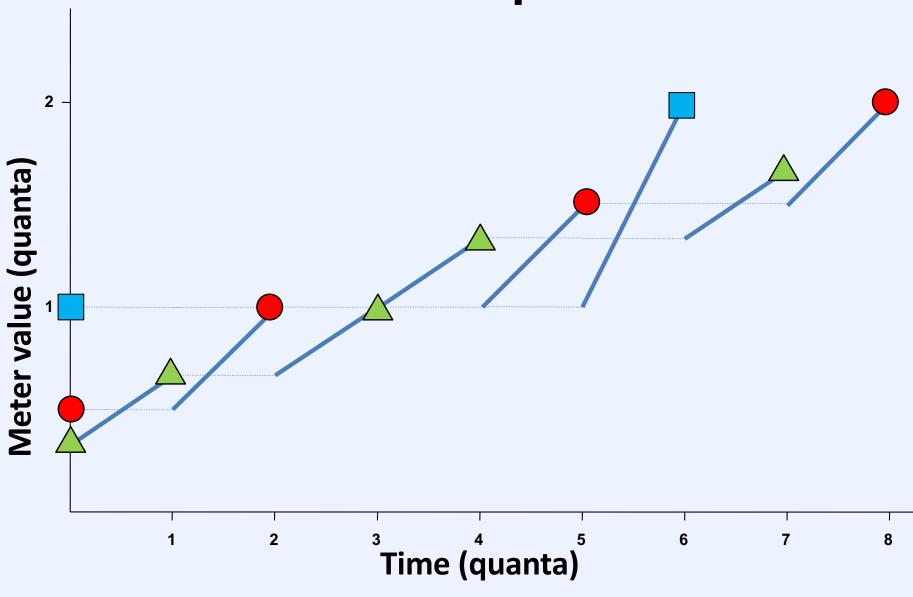
#### Details ...

- Each thread pays a bribe
  - the greater the bribe, the slower the meter runs
  - to simplify bribing, you buy "tickets"
    - one ticket is required to get a fair meter
    - two tickets get a meter running at half speed
    - three tickets get a meter running at 1/3 speed
    - etc.

#### **New Algorithm**

- Each thread has a (possibly crooked) meter that runs only when the thread is running on the processor
- Each thread's meter is initialized as 1/bribe
- At every clock tick
  - give processor to thread that's had the least processor time as shown on its meter
  - in case of tie, thread with lowest ID wins





#### Quiz 3

Suppose n threads are being scheduled; assume thread i payed bribe B<sub>i</sub>. After X clock ticks, each thread's meter will be incremented by 1. What is X?

- a) n
- b)  $\sum_{i=0}^{n-1} B_i$
- c)  $n \cdot \sum_{i=0}^{n-1} B_i$
- d) none of the above

#### **Example**

- Three threads
  - T<sub>1</sub> has one ticket: meter\_rate = 1
  - T<sub>2</sub> has two tickets: meter\_rate = 1/2
  - T<sub>3</sub> has three tickets: meter\_rate = 1/3
  - six total tickets
- After 6 clock ticks
  - T<sub>1</sub>'s meter incremented by 1 once
  - T<sub>2</sub>'s meter incremented by 1/2 twice
  - T<sub>3</sub>'s meter incremented by 1/3 three times
- Each meter shows increase of 1
  - what "1" means depends on the bribe