

DBMS

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Week-1

L1.1 Course Overview

- DBMS contains info. abt. a particular enterprise
- collectⁿ of interrelated data
- set of programs to access the data
- convenient and efficient exec.
- DB applicatⁿs — everywhere
- they can be very large, and touch all aspects of our lives
- University DB \rightarrow timetables, GPAs
- these applicatⁿs were built on file system
- drawbacks of using file sys. to store data
 - (i) data redundancy and inconsistency
 - (ii) difficulty in accessing data
 - (iii) data violaⁿ
 - (iv) integrity problems — integrity constraints become "buried" in program code, hard to add new constraints
 - (v) atomicity of updates
 - (vi) concurrent access by multiple users
 - (vii) security problems

DB sys. offer solⁿs to all these problems

- Pure eng.
 - \rightarrow set thi., de morgan's laws, relaⁿs & funcⁿ
 - \rightarrow NPTEL \rightarrow MOOCs: Discrete maths
 - \rightarrow propositional logic / boolean algebra
 - \rightarrow predicate logic (quantifiers), data struc.
(array, list, B-tree, hash tables, etc.)
 - \rightarrow algos and puqg. in C \rightarrow NPTEL (intro to C)
 - \rightarrow OO analysis and design
- DB sys. concepts \rightarrow 6th edition \rightarrow Silberschatz

L1.2 Why DBMS ? 1

- to understand DBMS from historical perspective
- mgmt. of data / records is a basic need of human society - storage, retrieval, transaction, audit, archival
- Fam - individual, small / big enterprise, global
- Approaches - Physical, electronic
- book keeping - using physical ledgers and journals for centuries
- Henry Bessemer - Nov 2, 1886 - Receptacle for storing and preserving papers
- Herman Hollerith, 1890 - punch cards for memory
- 1950s - CP started ; 1960s - data mgmt. with punch cards ; 1970s - COBOL, CODASYL, VisiCalc ; 1980s - RDBMS ; 2000s - NoSQL ; 2010s - DS↑
- eData mgmt depends on parameters - durability, scale, security, retrieval, ease of use, consistency, efficiency, cost
- book keeping problems
- spreadsheet files - useful for single user or small enterprise applications
- the above limits of filesystems paved the way for a comprehensive platform dedicated to data - DBMS
- 1960s & 1970s → Ted Codd - Relational data model → SQL, 1980s → 2000s, XML & XQuery → datav, Google BigTable, Yahoo! PNUTS, Amazon

L1.3 Why DBMS ? 2

- case study on a bank transaction -

'a+' \Rightarrow append mode

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- file based (.csv) sys. using Python DBMS
- Python
- \rightarrow very difficult to handle in-built features
- \rightarrow things
- \rightarrow diff. to Δ the stored use simple SQL queries
- \rightarrow in DB
- \rightarrow implementation difficulty user specific access
- \rightarrow at DB level
- \rightarrow arithmetic ops are easy not easy
- \rightarrow low costs high costs
- needs parameterized comparison yourself

L1.4 Intro to DBMS - I

- phy. level - describes how a record is stored
- logical level - describes data stored in DB and relationships among data
- view level - app. persq. hide details of data types
- schema \rightarrow type of var instance \rightarrow value of var. } analogy
- Logical schema - overall logical struct. of DB
Eg. DB consists of info. about a set of customers
- Phy. schema - overall phy. struct. of DB
- Instance - actual content of the DB at a particular point in time.
- Phy. data indep. - the ability to modify the phy. schema w/o changing the logical schema. Indep. of interface & implementation in OOP.
- data models - a collecⁿ of tools for describing data, data relationships, constraints

- Relational model - all the data is stored in various tables
- DDL → data definition lang. — specific notation for defining DB schema. Eg. create
 - The DDL compiler generates a set of table templates stored in data dictionary
- DML → Manipulation / Query lang.
 - ↳ pure → relational algebra, calculus
 - ↳ commercial → SQL
- SQL → widely commercial lang.
 - is not a Turing Machine equivalent lang
 - SQL & DB are used with other lang.
- Logical design - deciding on DB schema. DB design w.r.t. that we find a good collection of relations.
- Phy. design - deciding on the phy. layout of the DB.

L1.5Introduction to DBMS / 2

- need to come up with a methodology to ensure that each relation in the DB is good
- 2 ways -
 - ① entity relationship mode (ER diag.)
 - ② Normalization theory
- relational model: flat, atomic values
- Obj. relational data models -
 - ① it includes Obj. secondary and constituents to deal with added data types
 - ② allow attributes of tuples to have complex types

- ③ preserving relational foundations, while extending modeling power
- ④ provide upward compatibility with existing relational languages

- XML : Extensible markup lang → W3C
 - originally document markup lang.
 - specify new tags & create nested tag struc.
 - all new gen. data interchange formats
 - DB Engine — storage manager, query processing and transactⁿ manager.
 - Storage Mgmt. — interactⁿ with OS file manager efficient storing, retrieving and updating data
- Issues:
- ① storage access
 - ② file organisatⁿ
 - ③ indexing and hashing
- Query Processing
 - Parsing and transactⁿ
 - Optimizing
 - Evaluating
 - Transactⁿ mgmt. — collection of op^s that performs a single logical funcⁿ in DB applicaⁿ. It ensures that the DB remains in a consistent state despite sys. failures and transactⁿ failures.
 - The architecture of DBS is greatly influenced by underlying compt. sys.
 - centralized, client server, parallel, distributed, cloud

Week-2L2.1 Intro to Relational Model !

- Eg. of a relaⁿ → attributes (columns)
→ tuples (rows)
- The set of allowed values for each attribute is c/d a domain of the attribute
Roll# → alphanumeric string
Dept/Name → alpha string
DOB → date
passport # → string
- attribute values are atomic (indivisible)
- the spe. value null is a member for every domain. Indicates that value is unknown
- The null value cause complicaⁿ in the defⁿ of many languages.
- A_1, A_2, \dots, A_n are attributes

$R = (A_1, A_2, \dots, A_n)$ is a relation schema

Eg. instance = ($ID, salary, \dots$)

D_1, \dots, D_n a relation α is subset of

$$D_1 \times D_2 \times \dots \times D_n$$

- The current values (relation instance) of a relaⁿ are specified by a table.
- An element t_0 of α is a tuple, represented by a row in table.
- Order of tuples / rows is irrelevant. No 2 rows/tuples may be identical.
- Let $K \subseteq R$ where R is the set of attributes in the relaⁿ. K is a superkey of R if values for K are sufficient to identify a unique tuple of each possible relaⁿ $\alpha(R)$
- Superkey K is a candidate key if K is minimal

- One of the candidate key should be 1° key. A surrogate / synthetic key in a db is a unique identifier for either an entity in the modified record or an obj. in the db.
 - does not come from applic. data unlike natural / business key coming from applic. data.

Eg. Aadhar or Roll No. for 1° key. Aadhar gives unique info. But, roll no. has additional useful info.

- 2° / alternate keys, simple key and comp. key
- Foreign key constraint: Value in 1 relaⁿ must appear in another
- A comp. key consists of more than 1 attribute to uniquely identify an entity occurrence.
- Procedural prrog. — e.g. that prrog. tells the comp. what to do. (how)
- Declarative prrog. — more detailed style (what)
- pure language — relational algebra

L 2.2 Intro. to Relational Model / 2

- Basic prop. of relations —
 - ① relaⁿ is a set. Duplicating is not necessary, all rows / tuples must be distinct.
 - select opⁿ → selecⁿ of rows.
 - project opⁿ → selecⁿ of columns (attributes)
 - union of 2 relaⁿ $\cup \cup s$ → join 2 tables
- \sum $A = B$ $D > 5$ (a) meaning $\boxed{A \Rightarrow \text{and}}$
 $\rightarrow (A = B \text{ and } D > 5)$ $V \Rightarrow \text{see}$
- $J_1 A, C (a) \rightarrow$ show A & C column

- set diff. of 2 relations
 $u - s \rightarrow$ take out string which are in u
- intersection of 2 relations
 $u \cap s \Rightarrow$ common of u & s
 $u \cap s = u - (u - s)$
- joining 2 relations — cartesian product
 $u \times s$ e.g. $2 \times 4 = 8$
- cartesian prod. \Rightarrow naming issues $a.b \overset{B}{\underset{A}{\rightarrow}} b$
- $P_x(E) \Rightarrow$ returns the exp. E under the name x
- $u \times P_s(u)$
- composition — can build exp. using multiple operators
- natural joins of R & S on RUS —
 - (i) consider each pair of tuples t_R & t_S
 - (ii) if t_R & t_S have same value on each attribute of RUS
- $u \bowtie s$
- $\prod_{A,a.B, C, a.D, E} (T_{a.b} = s.B \wedge a.D = s.D)^{u \times s}$
- aggregate operators — SUM, AVG, MAX, MIN
- relational algebra is having comp.

L2.3 Intro to SQL / I

- IBM — SEQUEL \rightarrow SQL
- ANSI & ISO std. SQL
- DDL — allows specific "char", "varchar", "int", "small int", "numerical", "float"

- create table instructor


```
CREATE TABLE instructor (
        ID char(5),
        name varchar(20),
        dept_name varchar(20))
```
- integrity constraints - not null, 1^o key, foreign key
- 1^o key declaration on an attribute automatic ensures not null
- update tables.
 - insert (DML)
 - delete (DML) → removes all tuples
 - drop (DDL) → drop tables
 - alter (DDL) → edit schema
- SQL query \Rightarrow select A₁, A₂...
 from a₁, a₂ ...
 result → relation
- select → list the attributes desired in the result of a query
- SQL allows duplicates "in cells" in query results, if wants remove duplicates
 $\text{select distinct } A \dots$
- where → specifies conditions
- from → scope of relations

L2.4 Intro to SQL / 2

- $\text{select } * \text{ from instructor, teaches}$
 - $\downarrow \text{ID} \downarrow \downarrow \rightarrow \text{instructor.ID}$
- not very useful, meaningless tuples
- $\text{select } * \text{ from ins, teaches where ins.ID = teaches.ID}$

⇒ equi-join, Natural Join

- select distinct T.name

↳ form instructor as T, instructor as s
 ↳ keyword) as is optional
 ↳ instructor T

- '%' matches any substring

'_ ' matches any character
 like '% dan' escape '\'

↳ we use '\' as the escape character.

- list in alphabetic order → order by
 'desc' or 'asc'

- 'select top' → specify the no. of records
 on limit to return

on first first n rows only

- between → comparison operators

- in → allows you to specify multiple values in a where clause

L2.5

Intro to SQL / 3

- set → union

in this and in this ⇒ intersect

in this but not in this ⇒ except

- select distinct salary
 from instructor

except

select distinct T.salary

from instructors T, S

where T.salary < S.salary

- to retain duplicates in multiset ⇒ union all, intersect all, except all.

- null → signifies an unknown value or that a value doesn't exist

largest
salary of
all
instructors

- OR unknown or true = true

un. or false = un.

un. or un. = un.

AND true and un. >= un.

false and un. = false

un. and un. = un.

NOT not un. = un.

- aggregates → avg, max, min, add, count

- group by \Rightarrow several names, then it
group by certain attribute

- for null values \rightarrow count returns 0
and all other aggregates return null

Week-3

13.1 SQL Examples

- select distinct building / all c-building from classroom c where c < 100 ;
- select name , budget , rate from student s , dept d where s.dept_name = d.dept_name and budget < 100000;
- select title , name , pd from student s courses c where course_id like '%-%';
- select _____ from _____ where _____ in ('comp' , 'Stats');
- select building , avg(capacity) from classroom group by building having avg(capacity) > 25;
- select dept , sum(credits) from course group by dept.;

13.2 Intermediate SQL

- select A , ... An from a , ... un where P
- tests → set membership
set comparisons
set cardinality
- intersect → nested query 'in'
except → " " 'not in'

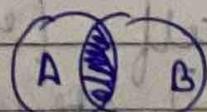
- 'some' clause
- 'all' clause \rightarrow existential quantification

$$(5 < \text{all } \boxed{\frac{0}{5}}) = \text{false}$$

- exists between true if the argument subquery is nonempty.
- correlatⁿ name \rightarrow var. s in outer query
- correlatⁿ subquery \rightarrow inner query
- $X - Y = \emptyset \Leftrightarrow X \subseteq Y$
- with \Rightarrow provides a way of defining a temp. correlatⁿ whose defⁿ is only available only to the query in which the 'with' clause occurs
- scalar subquery is one in which is used where a single value is expected
- delete from _____
- where Dept = 'finance'
- insert into course
- value ('__', __, __, __, __, 4);
- update ruleⁿ
 - set salary = $5 \times \text{salary}$] the order is
 - where salary > 1000;] imp + .

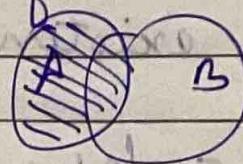
1.3.3 Intermediate SQL | 2

- cross join
- inner join



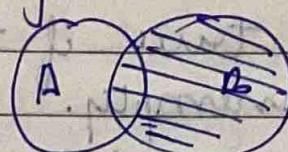
- outer join — doesn't loose any info., uses: null values

- natural left outer join



($\{2\} \text{ left} \rightarrow 2$)

- natural right outer join



- natural full outer join



- view - provides a mechanism to hide certain data from the views of certain users

- any column that is not part of the view for all.

- create view `as` as <query expr>

eg. create view faculty as

`select id, name, dept_name`

`from constructor`

new, select name

from faculty

where dept-name = 'Biology'

- → depends directly

- → depend on

- → depends on itself (recursice)

repeat]

until]

otherwise

loop won't terminate

- materialize a view → then maintain it.

L 3.4 Intermediate SQL / 3

- transactions → unit of work, atomic
 - ↳ commit work or roll back work
- by default each statement gets committed
- integrity constraints guard against accidental damage to database.
- 1° key can't be null, but candidate key can be null
- check (P) → P is predicate
- referential integrity — it is b/w 2 tables, foreign key.
- with cascading, you can define the actions that the database engine takes when a user tries to delete or update a key.
- there could be integrity constraint violations during transaction → solved using 'references'
- date — 2005-7-27
- time — 09:00:30
- timestamp — date + time
- interval
- create index student ID-index
on student (ID)
- authorization —
 - ↳ used, insert, update, delete
 - ↳ to modify index, resources, alteration, drop
- 'grant' statement is used to confer authorization
- 'revoke' statement to take back authorization
- create role instructor
grant instructor to Amit

L3.5 Adv. SQL

- Predicate logic / calculus is extension to propositional
- Tuple relational domain of relational calculus are based on predicates
- \rightarrow predicates and quantifiers

$$P(x) \equiv x > 3 \text{ true if } x \neq \text{null}$$

$$\Rightarrow P(5) = T \quad (9)$$

$$P(2) = F$$

Universal Quantification - mathematical statements

Sometimes assert that a prop. is true for all values of variable in a particular domain, called the domain of discourse

- Existential Quantification
- TRC \rightarrow non-procedural query lang.

$$\{ t \mid P(t) \}$$

$$Q. \{ t \cdot \text{Fname} \mid \text{Student}(t) \wedge t \cdot \text{age} > 21 \}$$

(4) students more than 21

~ students less than 21

shelby, eric, terry, karen

student at university

david, matthew, rebecca, eric

student at university through

~ student at university

david student at university

~ student at university

matthew student at university

eric student at university

Week 4

L4.1 Formal Relational Query Lang /

- relational $\rightarrow r(\text{select}), \Pi (\text{project}), U, -, \times$
- $\text{select} \Rightarrow \sigma_p(u) = \{t \mid t \in u \text{ and } p(t)\}$
- $\text{project} \Rightarrow \Pi_{\text{id}}$
- $u \cup s = \{t \mid t \in u \text{ or } t \in s\} \Rightarrow \text{union}$
- difference $\Rightarrow -$
- intersection $\rightarrow u \cap s$
- $u \times s = \{t \mid q \mid f \in u \text{ and } q \in s\} \Rightarrow \text{cartesian}$
- division $\Rightarrow R(z) \div s(x) \Rightarrow \text{where } X \subseteq Z$
- $$Y = Z - X \quad (Z = X \cup Y)$$

$$u \div s = \Pi_{R-S}(u) - \Pi_{R-S}((\Pi_{R-S}(u)) \times s) - \Pi_{R-S \times S}(u)$$

L4.2 Predicates

- predicate logic $p \vee q \mid \neg p$ $p \leq_f^T q \vee q \leq_f^T$

$p(x) \quad x > 3$
predicate

$p(5) \Rightarrow T$
 $p(2) \Rightarrow F$
proposition

- predicate \rightarrow use quantifiers

① universal

② existential

- Eg.

$\{t \cdot \text{Fname} \mid \text{Student}(t) \wedge t \cdot \text{age} \geq 21\}$

- safety of expressions — it is possible to write tuple calculus expression that generate ∞ relations

- domain relational calc.

$$\{ \langle x_1 \dots x_n \rangle \mid \langle x_1 \dots x_n \rangle \in A \wedge b = 17 \}$$

L4.3 Entity Relationship Model / 1

- abstract " provides a tool to handle design complexity by chunking info."

Octal \Rightarrow 610010101001

$$\text{Octal} \Rightarrow (110)(010)(101)(001) = 6257$$

$$\text{Hex} \Rightarrow (1100)(1010)(1001) = \text{CA9}$$

- logical model \rightarrow business decisions
- CS decisions

ER Model

↳ associa " among multiple distinguishable entries
specify in enterprise

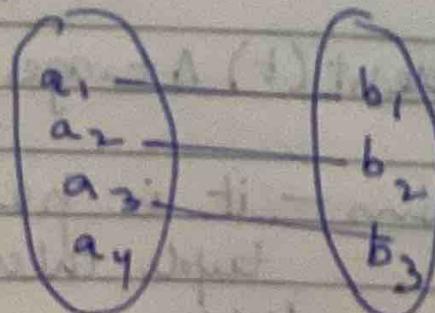
- ER data model - attributes, entity sets, relationship sets

composite attributes

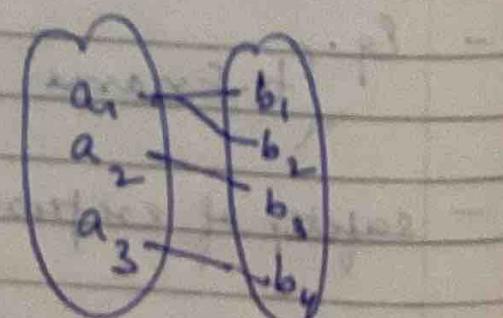
name

first middle last

- relationship set is a mathematical rel' among $n \geq 2$ entities.



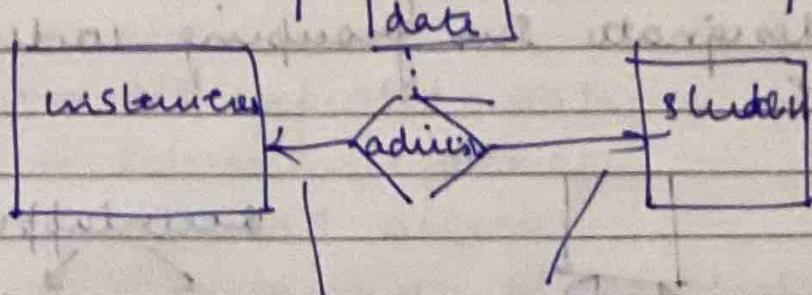
one-to-one



one-to-many

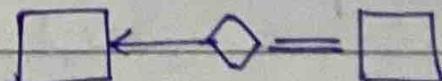
L4.4 ER / 2

- diamond represent relationship sets

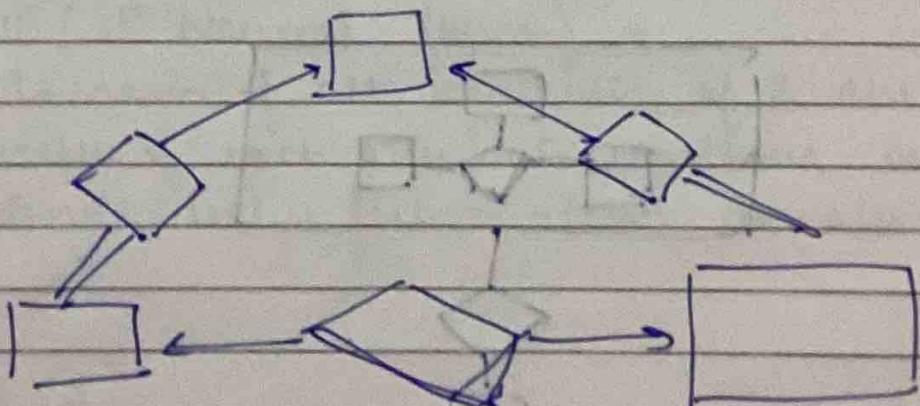


cardinality constraints

- ID
name
first
middle
last } → multi valued
- age () → func → has to be computed
- Rid → relational schema



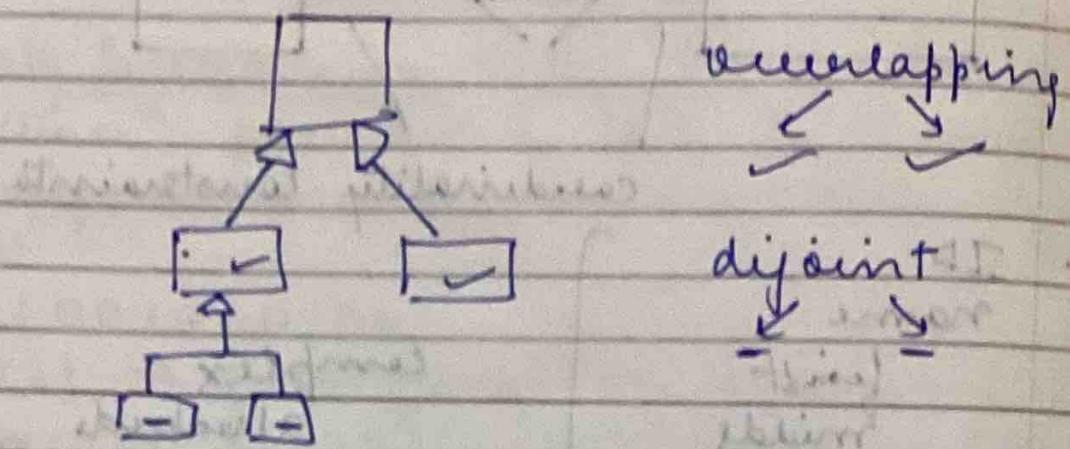
weak entity



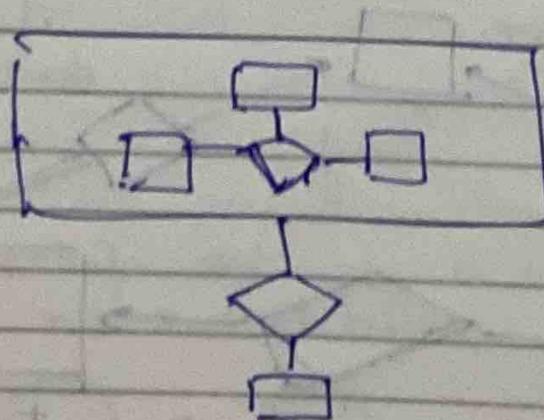
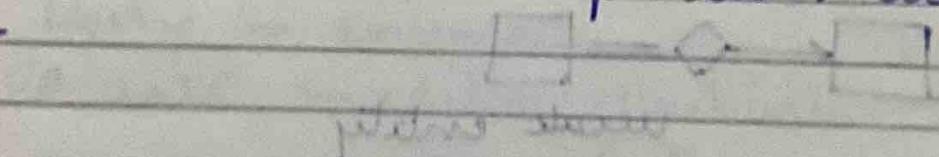
many - to - one & one - to - many

L 4.5 ER / 2

- top down decisions process
- ↳ we designate sub grouping within an entity



- bottom up design process
- aggregation
- treat relationships as an abstract entity
- allow relationship b/w. relationships



- binary vs/ non-binary relationships

Week 5

LS.1 Relational DB design

- good relational design
 - real-world sense.
 - all expected data
 - redundant storage
 - efficient access
 - maintenance of data integrity
 - clean, consistent and easy
 - good schema
 - redundancy - having multiple copies of same data in DB
 - anomaly - insertion, deletion, update
 - redundancy \Rightarrow anomaly
 - dependency \Rightarrow redundancy
 - good decomposition \Rightarrow minimization of dependency
 - normalization \Rightarrow good decomposition
 - lossless join decomposition
- $$L_1 \cup L_2 = R, R_1 \cap R_2 \neq \emptyset$$
- $$a_1 \bowtie a_2 = a \quad \forall a$$

- INF (1st Normal form) -

- domain of all attributes of R are atomic
- value of each attribute contains only a single value from that domain

LS.2 Part 2

- theory for good algs
 - functional dependencies
 - multivalued dependences
 - other dependencies
- functional

$\alpha \subseteq R$ and $\beta \subseteq R$

FD

$\alpha \rightarrow \beta$

iff. any tuples t_1 & t_2 of R agree on α , they also agree on β

$$t_1[\alpha] = t_2[\alpha] \Rightarrow t_1[\beta] = t_2[\beta]$$

- K is a superkey for R iff. $K \rightarrow R$
- Armstrong axioms

Reflexivity $\Rightarrow \beta \subseteq \alpha$ then $\alpha \rightarrow \beta$

Augmentation $\Rightarrow \alpha \supseteq \beta$ then $\gamma\alpha \rightarrow \gamma\beta$

Transitivity $\Rightarrow \alpha \rightarrow \beta$ & $\beta \rightarrow \gamma \Rightarrow \alpha \rightarrow \gamma$

they are sound and complete

$$F = \{A \rightarrow B, B \rightarrow C\}$$

$$F^+ = \{A \rightarrow B, B \rightarrow C, A \rightarrow C\}$$

L4.3 Part - 3

- (most important to) 9.11
- cinema and go individuals to be members
- extra constraint: students must be males
- members teach course of either science

"of the basic and present
members of family -
members of birth family -
members of wife -
consistency -