nanoarq

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Abstract

This document introduces nanoarq, a single-file C library that provides reliability over an unreliable communications channel. nanoarq implements the Selective Repeat ARQ algorithm and provides basic functionality for establishing and gracefully destroying connections. nanoarq is meant to be suitable for embedded systems, with a design focus on simplicity, flexibility, and ease of integration. The nanoarq implementation is released into the public domain.

Cite ARQ

1 Introduction

Many communications channels in embedded systems, such as UART lines between multiple CPUs, or between a target and host system, provide an unreliable transport for transmitting and receiving data. Bits can be altered in flight on the wire, in isolation or in bursts. Crosstalk and signal degradation can occur when the routing of critical signals is too long, or the signals are transmitted over cables. Even bytes that are transmitted without errors can be lost due to infrequent servicing of the transport layer, overwritten in a hardware register by the arrival of the next byte. Finally, application backpressure can cause valid incoming bytes to be discarded, since the system can run out of space to store them.

All of these problems speak to the necessity of a reliability layer in software. Sliding window protocols are the ubiquitous solution, and are used as the foundation for more complex protocols like TCP. Fundamentally, sliding window protocols guarantee that the application layer will be presented with all data that was sent to it, in order, with integrity and without duplicates.

nanoarq is an implementation of the "Selective Repeat ARQ" protocol, and uses an explicit ACK mechanism to retransmit lost or corrupted frames. Additionally, nanoarq provides connectivity services; a standard 3-way handshake and FIN/ACK disconnect strategy can be optionally used to establish and statefully manage a connection.

1.1 Goals

Reliability

First and foremost, nanoarq provides reliability to unreliable communications. As long as the physical link still exists between the two endpoints, nanoarq ensures that data will be transmitted in-order, without corruption, and without duplication of data.

Simplicity

nanoarq does as little work as possible to achieve its functionality, intentionally eschewing complex and powerful behavior that is present in TCP. nanoarq is not a general-purpose networking toolkit; it exists only to provide reliability over a predictable link with reasonable performance between two endpoints.

Transparency

nanoarq does not hide implementation details from the user, or enforce a notion of encapsulation. nanoarq is not object-oriented; all internal data structures are accessible to users. In the case the provided API does not offer sufficient functionality, it should be as easy as possible to access, manipulate, and extend nanoarq's state.

Ease of Integration

It can be difficult to integrate third-party C libraries into a user application. The C language does not have a unified build system, which can have a "Tower of Babel" effect on attempts to reuse code. While packages like CMake and Ninja are growing in popularity, assuming their presence puts a significant burden on a would-be user. Additionally, deploying libraries on Windows with Visual Studio has the extra complexity of having to provide support for the static (/MT) and

shorten here, break details out into motivation / implementation section dynamic (/MD) versions of the Microsoft C Runtime. While some C libraries prefer to provide support for as many build systems as possible, nanoarq aims to be as easy to integrate by providing no build system, instead existing in a single header file. This approach is prevalent and proven in Sean Barrett's stb_* libraries.

A similar challenge exists at runtime. nanoarq is intended to be used in embedded systems, which have no standard operating system. Some systems run on so-called "bare metal" with a static task scheduling algorithm, while others may use sophisticated pre-emptive RTOS's that provide concurrency primitives, conditional waits, and work queues. nanoarq is designed to work in any environment, as long as the user can provide the amount of time that has elapsed since the last polling call was made.

Finally, the nanoarq implementation and tests are released into the public domain, which means there are no licesness or fees for use.

1.2 Non-goals

Implementing a Large Standard

nanoarq does not aim to be a full TCP, IP, PPP, or POSIX-compatible sockets implementation. nanoarq has no concept of routing, endpoints, ports, addresses, sockets, or names. nanoarq clients do not statefully listen for connections; if a connection request arrives, it is serviced.

Security

nanoarq provides no encryption or authentication methods. If security is required, it is up to the user to ensure that all data transmitted via nanoarq is properly secured.

Dynamic Configuration

nanoarq assumes that both endpoints are compatibly configured. nanoarq provides no services for communicating connection options during connection establishment.

Congestion Control

nanoarq is oblivious to the concept of congestive collapse, and performs no dynamic throttling of data in response to data loss. nanoarq relies on the application to address the problems of congestion and backpressure.

Physical Link Management

nanoarq does not assume control over any specific communications hardware or OS resources. The user is responsible for the actual low-level transmission of bytes into and out of nanoarq. This allows nanoarq to function on embedded systems without requiring intimate knowledge of a given communication peripheral block, as well as on larger systems like desktop computers, across multiple operating systems, etc.

Error Correction

nanoarq does not currently support any form of forward error correction (e.g. Reed-Solomon, Turbocodes, etc.). A FEC encoding and reconstruction phase would be an interesting future addition to support environments that have an extremely high retransmission cost.

2 Protocol

2.1 Connection State Machine

nanoarq optionally provides stateful connection services for establishing, maintaining, and closing a connection to the peer endpoint. The state machine, detailed in figure 1, is similar to TCP but differs slightly. nanoarq has no concept of a client or a server, so the LISTEN state present in TCP does not exist. There is also no distinction between connecting and resetting, so the difference between RST and SYN is uninteresting.

2.2 Frame Layout

All frames, including header and footer contents, are encoded using COBS.

Explain and cite COBS

2.3 Flow Control

3 Physical Organization

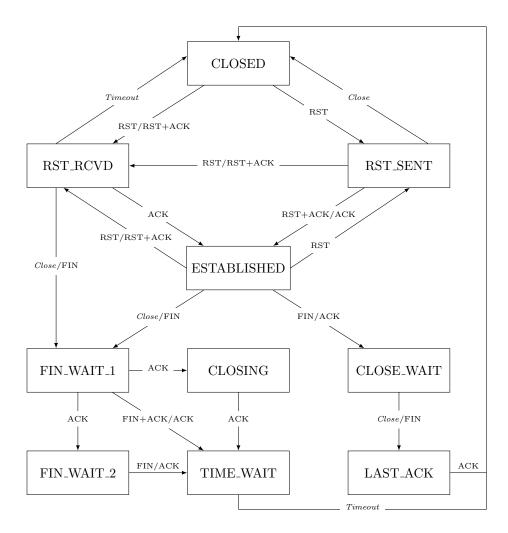


Figure 1: nanoarq state machine

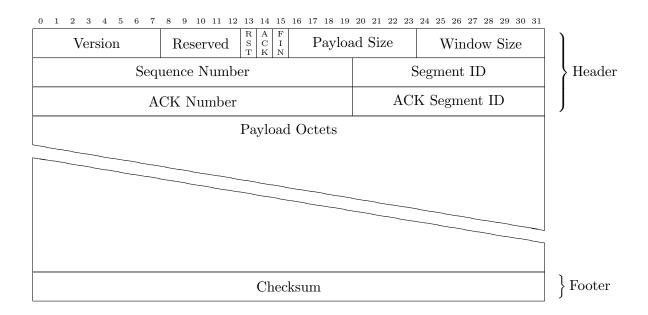


Figure 2: nanoarq frame layout