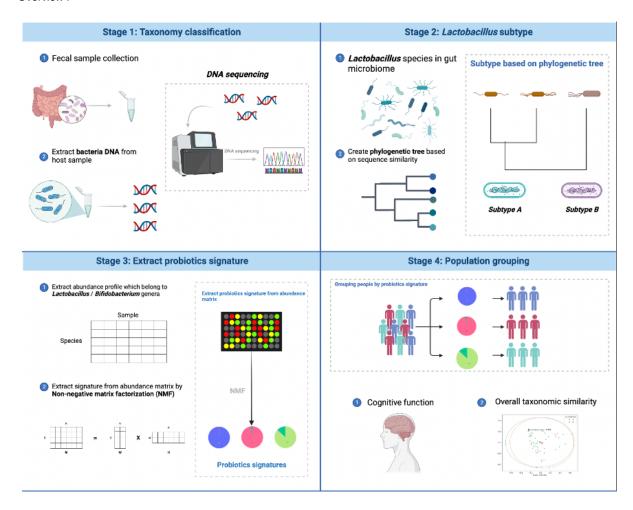


Probiotics signature decomposition tutorial

• Overview:



- · Requirement:
 - 1. NMF: https://renozao.github.io/NMF/master/PAGE-INSTALLATION.html
 - $2. \ \textbf{ConsensusClusteringPlus}: \underline{https://bioconductor.org/packages/release/bioc/html/ConsensusClusterPlus.html}$
 - 3. curatedMetagenomicData (optional):

https://bioconductor.org/packages/release/data/experiment/html/curatedMetagenomicData.html

- 4. Sklearn : https://scikit-learn.org/stable/install.html
- 5. Nimfa: https://github.com/mims-harvard/nimfa
- 6. Skbio: https://scikit.bio/
- 7. Matplotlib, Seaborn, Plotly

- Section :
 - 1. Create Weighted / unweighted Unifrac distance.
 - 2. Lactobacillus subtype.
 - 3. Evaluate the optimal k for NMF.
 - 4. Decompose the probiotic signature.
 - 5. Consensus clustering.
 - 6. Visualization.

1. Create weighted Unifrac distance:

- · Requirement:
 - 1. calculate_unifrac.R : https://github.com/biobakery/MetaPhlAn/blob/4beta/metaphlan/utils/calculate_unifrac.R
 - 2. The phylogenetic tree file (.nwk) :

https://github.com/biobakery/MetaPhlAn/blob/4beta/metaphlan/utils/mpa_v30_CHOCOPhlAn_201901_species_tree.nwk

- 3. metaphlan output file: The merge abundance from metaphlan.
- Usage:

Rscript calculate_unifrac.R metaphlan_output.txt mpa_v30_CHOCOPhlAn_201901_species_tree.nw

2. Lactobacillus subtype:

- Objective: Concatenate the low prevalence Lactobacillus abundance into subtype to increase the abundance & prevalence
 of Lactobacillus.
- Reference: A taxonomic note on the genus Lactobacillus: Description of 23 novel genera, emended description of the genus Lactobacillus Beijerinck 1901, and union of Lactobacillaceae and Leuconostocaceae
- · Requirement:
 - 1. Subtype reference table.
 - 2. Lactobacillus abundance matrix.
- ▼ Function :

```
def concat_species_into_subtype(self,input_matrix,reference_df,genus='Lactobacillus',speci
"""
    Merge abundance from rate species into subtype. (ex : Lactobacillus genus)

Args:
    input_matrix (pd.DataFrame): Target abundance matrix. row is species, column i reference_df (pd.DataFrame): Table with subtype information. Please make sure species_colname (str, optional): Colname of species in reference_df. Defaults subtype_colname (str, optional): Colname of subtype in reference_df. Defaults

Returns:
    subtype_matrix (pd.DataFrame): Abundance matrix of each subtype.
    subtype_dict (dict) : Dict of subtype and its components. Key is subtype, valu
"""

speceis2subtype = dict(zip([x.replace(' ','_') for x in reference_df['species'].va subtype_dict = defaultdict(list)
```

```
# subtype_dict format like : {'Levilactobacillus' : ['Lactobacillus_acetotolerans'
for species in input matrix.index :
    if species in speceis2subtype :
        subtype = speceis2subtype[species]
    else :
        subtype = 'no phylogroup'
    if subtype == 'no phylogroup' :
        subtype_dict[genus + '_others'].append(species)
    else :
        subtype_dict[subtype+'_subtype'].append(species)
subtype_matrix = pd.DataFrame(np.zeros([len(subtype_dict),input_matrix.shape[1]]),
index = list(subtype_dict.keys()), columns=input_matrix.columns)
for subtype in subtype_dict :
    target_species = list(set(subtype_dict[subtype]).intersection(input_matrix.ind
    subtype_matrix.loc[subtype,:] = input_matrix.loc[target_species,:].sum()
return subtype_matrix, subtype_dict
```

Usage:

```
ps = probiotic_signature(metadata,abundance_matrix,distance_matrix)
subtype_matrix,subtype_dict = ps.concat_species_into_subtype(input_matrix,reference_df)
```

3. Evaluate the optimal k for NMF:

- Objective : Select optimal number of component for NMF decomposition.
- · Requirement:
 - 1. Abundance matrix.
 - 2. nimfa & sklearn package
- **▼** Function :

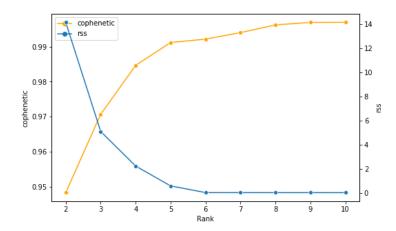
```
def evaluate_nmf_component(self,input_matrix,min_k=2,max_k=10) :
    """
    Evaluate optimal k component for NMF decomposition processing.

Args:
    input_matrix (numpy.ndarray) : The original matrix for NNF (V).
    k_min (int, optional): The minimum component number. Defaults to 2.
    k_max (int, optional): The maximum component number. Defaults to 10.
"""
    nmf = nimfa.Nmf(input_matrix,rank=max_k, max_iter=200)
    nmf_fit = nmf()
    #evaluation
    rank_list = list(range(min_k,max_k+1))
    evalation = nmf.estimate_rank(rank_range=[x for x in rank_list],n_run=100)
    #output the estimation result
    measurements = ['rss','evar','dispersion','cophenetic','kl']
    measurement_table = pd.DataFrame({'Rank' : rank_list})
```

```
for m in measurements :
            measurement\_table[m] = [evalation[x][m] for x in rank_list]
        return measurement_table
def plot_nmf_rank(self, measurement_table,
                    measurement_1 = 'cophenetic',
                    measurement_2 = 'rss',
                    fig_output_path='nmf_evaluation.pdf',
                    fig_format='pdf') :
        .....
        Visualation of NMF rank evaluation.
        Args:
            measurement_table (pd.DataFrame): The measurement result, including Rank, rss,
            measurement_1 (str, optional): The first measurement of NMF rank. Defaults to
            measurement_2 (str, optional): The second measurement of NMF rank. Defaults to
            fig_output_path (str, optional): The figure output path. Defaults to 'nmf_eval
            fig_format (str, optional): The figure output format. Defaults to 'pdf'.
        if measurement_1 not in measurement_table.columns :
            print("Please confirm %s in measurement table !" % measurement_1)
            return
        if measurement_2 not in measurement_table.columns :
            print("Please confirm %s in measurement table !" % measurement_2)
            return
        plt.figure(figsize=(8,5))
        g = sns.lineplot(data=measurement_table,x ='Rank',y=measurement_1, color="orange",
        sns.lineplot(data=measurement_table,x = 'Rank',y=measurement_2, ax=g.axes.twinx(),
        g.legend(handles=[Line2D([], [], marker='o', color="orange", label=measurement_1),
                        Line2D([], [], marker='o', label=measurement_2)])
        plt.savefig(fig_output_path,dpi=300,format=fig_format)
```

• Usage:

```
measure_df = ps.evaluate_nmf_component(subtype_matrix.to_numpy(), k_min=2, k_max=10)
ps.plot_nmf_rank(measure_df)
```



• The measure_df including following measurements for different rank / k :

```
    rss :
    evar :
    dispersion :
    cophenetic :
    k1 :
```

3.1 Evaluate the optimal k for NMF (R version):

- · Requirement:
 - 1. Abundance matrix.
 - 2. NMF package.
- Usage:

```
library(NMF)
library(ggplot2)
lacto_df = read.table('/home/bruce1996/repo/Microbiome_health_indicator/tutorial/data/lact
bifido_df = read.table('/home/bruce1996/repo/Microbiome_health_indicator/tutorial/data/bif
ranks <- 2:7
bifido_mat <- as.matrix(bifido_df)</pre>
i0 <- which(colSums(bifido_mat) == 0)</pre>
i_na <- which(colSums(is.na(bifido_mat)) > 0)
nmf_input = bifido_mat[, -c(col_0, col_na)] + 10 ** -8
bifido_estim.coad <- nmf(nmf_input,ranks,nrun = 5,.opt='v')</pre>
bifido_p = plot(bifido_estim.coad) + ggtitle('Clustering evaluation of Bifidobacterium')
ggsave('/home/bruce1996/repo/Microbiome_health_indicator/tutorial/nmf_evaluation/bifido_nm
lacto_mat <- as.matrix(lacto_df)</pre>
col_0 <- which(colSums(lacto_mat) == 0)</pre>
col_na <- which(colSums(is.na(lacto_mat)) > 0)
nmf_input = lacto_mat[, -c(col_0, col_na)] + 10 ** -8
lacto_estim.coad <- nmf(nmf_input,ranks,'lee',nrun = 5,.opt='v')</pre>
lacto_p = plot(lacto_estim.coad) + ggtitle('Clustering evaluation of Lactobacillus subtype
ggsave('/home/bruce1996/repo/Microbiome_health_indicator/tutorial/nmf_evaluation/lacto_nmf
```

4. Decompose the probiotic signature

- Objective : Decompose the matrix to k components.
- Requirement:
 - 1. Abundance matrix.
 - 2. nimfa & sklearn package
 - 3. Optimal k number.
- ▼ Function :

```
def finger_print_proportion(self, x, w, h):
        Calculate the contribution of each NMF decompose component.
        Args:
            x (np.array): The original matrix.
            w (np.array): The weight matrix of NMF decomposition.
            h (np.array): The coefficient matrix of NMF decomposition
        Returns:
            proportion_matrix (np.array): The contribution of each component.
        n_finger_print = w.shape[1]
        n_sample = w.shape[0]
        proportion_matrix = np.zeros([n_sample,n_finger_print])
        for i in range(n_sample) :
            total = sum(x[i,:])
            if total == 0 :
                continue
            else :
                for j in range(n_finger_print) :
                    ab = sum(np.dot(w[i,j],h[j,:]))# type: ignore
                    proportion_matrix[i,j] = ab / total
        return proportion_matrix
def sklearn_decompose_probiotics_signature(self,input_matrix,k,prefix) :
        Decompose k signatures from input abundance matrix.
        Args:
            input_matrix (pd.DataFrame) : The origin matrix (n_species * n_sample) to be d
            k (int): Number of component expected to be decomposed.
        X = input_matrix.T.to_numpy() # n_sample * n_species
        #sklearn.decomposition version
        nmf_model = NMF(n_components=k, init='random', random_state=0,max_iter=1000)
        nmf_model.fit(X)
        W = nmf_model.transform(X) # n_sample * n_component
        H = nmf_model.components_ # n_component * n_species
        finger_print_matrix = self.finger_print_proportion(X,W,H) # n_sample * n_component
        index = [prefix + ' signature' + str(x) for x in range(1,k+1)]
        # format signature coefficient matrix (n_component * n_species)
        sig_coefficient = pd.DataFrame(H.T,index=input_matrix.index,columns=index)
        # format signature weight matrix (n_component * n_sample)
        finger_print_df = pd.DataFrame(finger_print_matrix.T,index=index,columns=input_mat
        finger_print_df[finger_print_df > 1] = 1
        finger_print_df[finger_print_df < 0] = 0</pre>
        return finger_print_df, sig_coefficient
```

```
def nimfa_decompose_probiotics_signature(self,input_matrix,k,prefix) :
        Decompose k signatures from input abundance matrix.
        Args:
            input_matrix (pd.DataFrame) : The origin matrix (n_species * n_sample) to be d
            k (int): Number of component expected to be decomposed.
        X = input_matrix.T.to_numpy() # n_sample * n_species
        #Nimfa version
        nmf_model = nimfa.Nmf(X,rank=k, max_iter=1000)
        nmf_fit = nmf_model()
        W = np.array(nmf_fit.basis()) # n_sample * n_component
        H = np.array(nmf_fit.coef()) # n_component * n_species
        finger_print_matrix = self.finger_print_proportion(X,W,H) # n_sample * n_component
        index = [prefix + ' signature' + str(x) for x in range(1,k+1)]
        # format signature coefficient matrix (n_component * n_species)
        sig_coefficient = pd.DataFrame(H.T,index=input_matrix.index,columns=index)
        # format signature weight matrix (n_component * n_sample)
        finger_print_df = pd.DataFrame(finger_print_matrix.T,index=index,columns=input_mat
        finger_print_df[finger_print_df > 1] = 1
        finger_print_df[finger_print_df < 0] = 0</pre>
        return finger_print_df, sig_coefficient
```

• Usage:

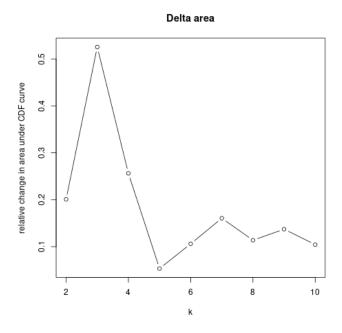
lacto_proportion_matrix, lacto_coef_matrix = ps.nimfa_decompose_probiotics_signature(subty bifido_proportion_matrix, bifido_coef_matrix = ps.nimfa_decompose_probiotics_signature(bif

5. Consensus clustering:

- Objective : Separate the sample / patient into different cluster.
- · Requirement:
 - 1. Signature proportion matrix.
 - 2. ConsensusClusterPlus package.
- Usage:

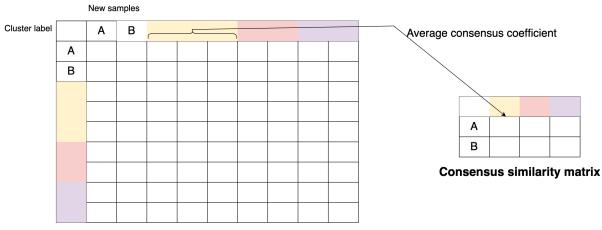
```
#output the result of consensus clustering
n_cluster = 4
df = as.data.frame(res[[n_cluster]]$consensusClass)
colnames(df) = c('cluster')
cm = consensus_matrix(res, n_cluster)
write.table(cm, file = output_p, sep = '\t', quote = F)
```

• Evaluation:



6. Determine new sample cluster based on previous clustering result

- Objective: Determine the proper cluster for new participant / samples which not involve in previous consensus clustering.
- Requirement :
 - 1. The matrix for consensus clustering. (signature proportion matrix)
 - 2. Previous clustering result. (New sample is assigned to ${\tt None}$)
 - 3. ConsensusClusterPlus package.
- illustration:



Consensus matrix

▼ Function :

```
get_consensus_matrix <- function(consensus_clustering_result,consensus_number){</pre>
  # Extract the consensus matrix from ConsensusClusteringPlus output.
  #
  # Args:
      consensus_clustering_result (list): The ConsensusClusteringPlus output.
  # consensus_number (int): The number of cluster.
  m = consensus_clustering_result[[consensus_number]]$consensusMatrix
  samples = names(consensus_clustering_result[[consensus_number]]$consensusClass)
  df = as.matrix(m)
  rownames(df) = samples
  colnames(df) = samples
  return(df)
}
determine_new_sample_cluster <- function(exp_m, metadata, cluster_colnames='cluster', new_
  # Evaluation new sample cluster based on previous clustering result.
  #
  # Args:
  # exp_m (matrix): The origin matrix for ConsensusClusteringPlus.
  # metadata (data.frame): The data.frame including the patient metadata.
  # cluster_colnames (str, optional): The colname of clustering result. Defaults to 'clust
  # new_sample_label (str, optional): The element in clustering result column for new / no
  cluster_label <- unique(metadata[[cluster_colnames]]) # Unique cluster labels are clust</pre>
  cluster_label <- setdiff(cluster_label, new_sample_label)</pre>
  consensus_res = ConsensusClusterPlus(exp_m, maxK=10, reps=50, pItem=0.8, pFeature=1,
                              clusterAlg="hc",
                              distance = 'euclidean',
                              seed=1262118388.71279,
                              plot="png")
  consensus_matrix <- get_consensus_matrix(consensus_res,length(cluster_label))</pre>
  #subset the sample list
  new_samples = rownames(metadata)[metadata$cluster == new_sample_label]
  #Create a blank consensus similarity matrix
  cluster_consensus_sim <- matrix(0, nrow = length(new_samples), ncol = length(cluster_lab</pre>
```

```
rownames(cluster_consensus_sim) <- new_samples
colnames(cluster_consensus_sim) <- cluster_label

for (sample in new_samples) {
   for (cluster in cluster_label) {
     cluster_sample_list <- rownames(metadata)[metadata[[cluster_colnames]] == cluster]
     # Calculate the average consensus for new sample to cluster_sample_list (samples in cluster_consensus_sim[sample, cluster] <- mean(consensus_matrix[sample, cluster_sample)
   }
}
return(cluster_consensus_sim)
}</pre>
```

Usage:

```
#new
library(readxl)
library(ConsensusClusterPlus)
repo_dir = "/home/bruce1996/repo/Microbiome_health_indicator/"
exp_m = read.table(paste0(repo_dir,"tutorial/sig_matrix/sig_proprotion_matrix.txt"),
                   header = T, row.names = 1, sep = '\t', encoding = "UTF-8")
tmp = read_excel(paste0(repo_dir,"tutorial/data/TPMIC_Diagnosis_297_KCF_0704.xlsx"))
metadata = as.data.frame(tmp)
rownames(metadata) = metadata$ID
metadata = metadata[colnames(exp_m),]
exp_m = as.matrix(exp_m)
##########
metadata$cluster = sample(c('a','b','c','d'),dim(metadata)[1],replace=TRUE)
cluster_colnames = 'cluster'
new_sample_label = 'd'
res = determine_new_sample_cluster(exp_m = exp_m ,metadata = metadata,new_sample_label = '
```