

# URL Coursework 2

## StackGAN++: Realistic Image Synthesis with Stacked Generative Adversarial Networks [1]

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## Generative Adversarial Networks (GANs) for Realistic Image Synthesis

This paper focuses on generating high-quality, high-resolution images using GANs. Specifically, it addresses:

- **Text-to-Image Synthesis:** Generating photo-realistic images from textual descriptions (e.g., "a red bird with a short beak").
- **Conditional Image Generation:** Creating images based on various conditions, including text or class labels.
- **Unconditional Image Generation:** Synthesizing diverse images from random noise, learning the underlying data distribution.

The core approach involves **Stacked/Multi-Stage GAN architectures** to progressively refine images from low to high resolution (e.g., up to 256x256 pixels).

# Problem Addressed

- **Generating High-Resolution Images:**

- Training GANs for high-resolution (e.g., 256x256) images is notoriously difficult and unstable.
- High-dimensional image spaces make it hard for model and data distributions to overlap, leading to poor gradients.

- **GAN Training Instability:**

- GANs are sensitive to hyperparameters and can suffer from non-convergence.

- **Mode Collapse:**

- Generators often produce a limited variety of samples, failing to capture the full diversity of the training data.

- **Limitations of Prior Work:**

- Most previous methods were limited to low-resolution images.
- Achieving higher resolutions often required strong supervision beyond text (e.g., object part locations).
- Super-resolution techniques could only add minor details and couldn't fix major defects in low-resolution inputs.

# Contributions: StackGAN & StackGAN++

The paper introduces two main frameworks:

## StackGAN-v1:

- A two-stage GAN for text-to-image synthesis generating 256x256 photo-realistic images.
  - Stage-I: Low-resolution sketch (64x64).
  - Stage-II: High-resolution refinement (256x256), correcting defects.
- **Conditioning Augmentation (CA):** A novel technique to stabilize conditional GAN training and improve sample diversity by creating smoother conditioning manifolds.

## StackGAN-v2:

- An advanced multi-stage GAN for both conditional and unconditional generation.
- **Tree-like Structure:** Multiple generators and discriminators for different image scales.
- **Joint Approximation of Multiple Distributions:** Stabilizes training by modeling related distributions at different scales.
- **Color-Consistency Regularization:** Ensures coherence across scales.

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# StackGAN-v1: Two-Stage Text-to-Image Synthesis

**Core Idea:** Decompose text-to-image generation into a sketch-refinement process.

Figure: StackGAN-v1 Architecture (Source: [1])

- **Stage-I GAN:**

- Input: Text description 't' + noise 'z'.
- Uses **Conditioning Augmentation (CA)** on text embedding ' $\phi_t$ ' to get ' $\hat{c}_0$ '. CA samples ' $\hat{c}_0$ ' from ' $N(\mu_0(\phi_t), \Sigma_0(\phi_t))$ ', adding KL divergence regularization.
- Generator ' $G_0$ ': Produces a low-resolution image (64x64) focusing on rough shapes and colors.
- Discriminator ' $D_0$ ': Distinguishes real image-text pairs from fake ones.

- **Stage-II GAN:**

- Input: Stage-I image + text 't' (again via CA to get ' $\hat{c}$ ').
- Generator ' $G$ ': An encoder-decoder with residual blocks. Upsamples Stage-I result to high-resolution (256x256), correcting defects and adding details.

# StackGAN-v2: Multi-Stage General Image Synthesis

**Core Idea:** A more general, end-to-end multi-stage framework with a tree-like structure.

**Figure:** StackGAN-v2 Architecture for Conditional Synthesis (Source: [1])

- **Tree-like Structure:**

- Input: Noise 'z' (unconditional) or '(z, c)' (conditional, 'c' is e.g., text embedding).
- Multiple generators (' $G_0$ ,  $G_1$ ,  $G_z$ ') produce images at increasing scales (e.g., 64x64, 128x128, 256x256).
- Each ' $G_i$ ' has a corresponding discriminator ' $D_i$ '.

- **Joint Multi-Distribution Approximation:**

- Generators are jointly trained to approximate image distributions at multiple scales.
- For conditional tasks, discriminators ' $D_i$ ' have both unconditional (real vs fake image) and conditional (image-condition match vs mismatch) loss terms.

- **Color-Consistency Regularization:**



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# Experimental Setup

## Datasets:

- *Text-to-Image (Conditional)*:
  - CUB-200-2011 (Birds)
  - Oxford-102 (Flowers)
  - MS COCO (Challenging general scenes)
- *Unconditional Generation*:
  - LSUN (Bedroom, Church)
  - ImageNet (Dog, Cat subsets)

Figure: Statistics of Datasets  
(Source: [1])

## Evaluation Metrics:

- **Inception Score (IS)**: Measures image quality and diversity. Higher is better.
- **Fréchet Inception Distance (FID)**: Measures similarity between generated and real image distributions. Lower is better.
- **Human Rank (HR)**: User studies to assess perceptual quality and text-image alignment. Lower is better.
- **t-SNE Visualizations**: To check for mode collapse and sample diversity.

# Quantitative Results: Text-to-Image (StackGAN-v1)

**StackGAN-v1 significantly outperforms prior text-to-image models.**

**Figure:** Comparison of StackGAN-v1 with GAN-INT-CLS and GAWWN  
(Source: [1])

- **Higher Resolution:** StackGAN-v1 generates 256x256 images.
- **Improved IS:** e.g., on CUB, StackGAN-v1 (3.70) vs. GAN-INT-CLS (2.88).
- **Drastically Lower FID\*:** FID\* (on 64x64 resized images) shows better distribution matching. e.g., on CUB, StackGAN-v1 (35.11) vs. GAN-INT-CLS (68.79).
- **Better Human Rank (HR):** Indicates more realistic and text-relevant images.

# Qualitative Results: Text-to-Image

## CUB Dataset (Birds):

Figure: StackGANs vs. GAWWN vs. GAN-INT-CLS on CUB (Source: [1])

## Oxford-102 (Flowers) & COCO:

Figure: StackGANs vs. GAN-INT-CLS on Oxford-102 and COCO (Source: [1])

StackGAN-v1 and v2 produce much more detailed and realistic images compared to GAN-INT-CLS (64x64) and GAWWN (128x128, often blurry without part annotations).

# StackGAN-v2 vs. StackGAN-v1 & Unconditional SOTA

**Figure:** Comparison of StackGAN-v1 and StackGAN-v2 (Source: [1])

- **StackGAN-v2 often improves FID over StackGAN-v1**, e.g., CUB FID: 15.30 (v2) vs 51.89 (v1).
- **StackGAN-v2 IS generally higher or competitive.**
- **Less Mode Collapse in StackGAN-v2:**

**Figure:** t-SNE: StackGAN-v1 (a) has collapsed modes, StackGAN-v2 (b) does not. (Source: [1])

- **Unconditional Generation:** StackGAN-v2 outperforms SOTA like DCGAN, WGAN-GP in quality and resolution (256x256).

**Figure:** Unconditional generation on LSUN Bedroom by various GANs and StackGANs. (Source: [1])

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# Ablation Studies: StackGAN-v1 Components

**Testing importance of StackGAN-v1 design choices on CUB dataset (Table 4 in paper).**

- **Necessity of Stacked Structure:**

- Stage-I GAN direct 256x256 output: Poor IS (3.02) vs. StackGAN-v1 (3.70). Visually much worse (Fig. 11 in paper).

- **Effect of Conditioning Augmentation (CA):**

- Stage-I GAN (64x64) IS: 2.66 (no CA)  $\rightarrow$  2.95 (with CA).
- Without CA, 256x256 Stage-I GAN collapses (Fig. 11 in paper). CA stabilizes and improves diversity.

- **Inputting Text at Both Stages ("Text twice"):**

- StackGAN-v1 256x256 IS: 3.45 (text only Stage-I)  $\rightarrow$  3.70 (text at both stages).
- Stage-II benefits from re-processing text.

**Figure:** Stage-I (rough sketch) vs. Stage-II (refined details) in StackGAN-v1.  
(Source: [1])

# Ablation Studies: StackGAN-v2 Components

**Testing importance of StackGAN-v2 design choices on CUB (Table 5) and other datasets.**

- **Multi-Scale/Multi-Stage Architecture:**

- 'StackGAN-v2-G3' (only final 256x256 generator): IS drops from 4.04  $\rightarrow$  3.49.
- 'StackGAN-v2-all256' (all generators output 256x256): IS drops to 2.89.
- Visuals (Fig. 14 in paper) show severe mode collapse or poor quality for these baselines.

- **Joint Conditional/Unconditional (JCU) Discriminators:**

- 'StackGAN-v2-no-JCU' (conventional conditional D): IS drops from 4.04  $\rightarrow$  3.77.

- **Color-Consistency Regularization:**

- Qualitatively (Fig. 15 in paper): Improves color consistency across scales for unconditional generation.
- Quantitatively (ImageNet Dog): IS drops from 9.55  $\rightarrow$  9.02 without it.
- Not critical for text-to-image due to strong text conditioning.

**Figure:** (Bottom row) Visual comparison of StackGAN-v2 ablations on CUB.





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# Pros (Improvements over Competing Methods)

- **Achieves Higher Resolution (256x256) with Photo-Realism:**

- StackGAN-v1 was pioneering in generating 256x256 images from text, a significant leap from previous 64x64 or 128x128 results.

- **Superior Image Quality and Diversity:**

- Consistently better IS, FID, and human preference scores compared to prior text-to-image methods (GAN-INT-CLS, GAWWN).
- StackGAN-v2 further improves stability and quality (especially FID) over StackGAN-v1 and SOTA unconditional GANs.

- **More Stable GAN Training:**

- Conditioning Augmentation (CA) in StackGAN-v1 stabilizes conditional GANs.
- StackGAN-v2's joint multi-distribution approximation and tree structure lead to more stable training and reduced mode collapse.

Figure: StackGAN-v2 (b) shows less mode collapse than v1 (a). (Source

- **General Framework (StackGAN-v2):**

- Applicable to both conditional (text-to-image, class-conditional) and

# Cons (Limitations of the Proposed Method)

- **Failure Cases Still Exist:**

- While significantly improved, the methods can still produce imperfect images (e.g., blurry parts, unnatural shapes, minor artifacts), especially for complex text or scenes. StackGAN-v2 failures are generally "milder."

**Figure:** Examples of failure cases from StackGAN-v1 (top) and StackGAN (bottom). (Source: [1])

- **Convergence on Complex Datasets (StackGAN-v2):**

- StackGAN-v2's end-to-end joint training can be harder to converge on highly complex datasets (like COCO) compared to StackGAN-v1's simpler stage-by-stage optimization.
- StackGAN-v1 sometimes yields slightly more appealing images on COCO by human rank, despite v2's better stability.

- **Computational Cost:**

- Training multiple generators and discriminators in StackGAN-v2 is computationally intensive. StackGAN-v1, while two-stage, might have

# Conclusions

- **Stacked/Multi-Stage GANs are Highly Effective:**
  - Decomposing high-resolution image synthesis into progressive, manageable sub-problems (low-to-high resolution) is a key strategy for success.
- **StackGAN-v1 Advanced Text-to-Image Synthesis:**
  - First to achieve 256x256 photo-realistic images from text, with Conditioning Augmentation (CA) improving stability and diversity.
- **StackGAN-v2 Offers Generality, Stability, and Quality:**
  - Its tree-like architecture, joint multi-distribution approximation, and color-consistency regularization lead to more stable training, reduced mode collapse, and often higher quality for both conditional and unconditional tasks.
- **Significant Progress in Realistic Image Generation:**
  - The paper demonstrates a substantial leap in GANs' capability to generate detailed, high-resolution images.
- **Future Directions:** Despite progress, achieving perfect realism, coherence for all inputs, and efficient training for extremely complex scenarios remain open challenges.

# Thank you for your attention!

Any questions?



Han Zhang, Tao Xu, Hongsheng Li, Shaoting Zhang, Xiaogang Wang, Xiaolei Huang, and Dimitris Metaxas.

Stackgan++: Realistic image synthesis with stacked generative adversarial networks, 2018.