

# URL Coursework 2

## StackGAN++: Realistic Image Synthesis with Stacked Generative Adversarial Networks [1]

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## Area of Research of the Paper

### **Generative Adversarial Networks (GANs)** for Realistic Image Synthesis

- High-resolution images ( $256 \times 256$  pixels)
- Two tasks:
  - *Unconditional Image Generation*
  - *Text-to-Image Synthesis* (Conditional Image Generation)

- **GAN Training Instability:**

- Sensitive to hyperparameters
- Can suffer from non-convergence

- **Mode Collapse:**

- Limited variety of generated samples
- Fail to capture full diversity of the training data

- **Limited to low-resolution images:**

- Training GANs for high-resolution images is especially difficult and unstable.
- Low overlap between model and data distributions → Poor gradients

- 1 **Conditioning Augmentation (CA):** Improve sample diversity by augmenting the image-text pairs.
- 2 **StackGAN:** Two GAN frameworks for conditional and unconditional image synthesis with high resolution ( $256 \times 256$ ):
  - **StackGAN-v1:** Two-stage GAN
  - **StackGAN-v2:** Multi-stage GAN with a tree-like structure

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# Conditioning Augmentation (CA)

## Core Idea

Augment the text conditioning to improve sample diversity and stabilize GAN training

- The latent space for text embeddings,  $\phi_t$ , is high-dimensional
- Limited data causes discontinuity in the latent data manifold
- CA samples a new embedding  $\hat{c}$  from a Gaussian distribution:

$$\hat{c} = N(\mu_\theta(\phi_t), \Sigma_\theta(\phi_t))$$

- To further enforce smoothness over the conditioning manifold and avoid overfitting, a regularization term is added to the loss:

$$\mathcal{L}_{KL} = D_{KL}(N(\mu_\theta(\phi_t), \Sigma_\theta(\phi_t)) || N(0, I))$$

## Core Idea

Decompose text-to-image generation into a sketch-refinement process

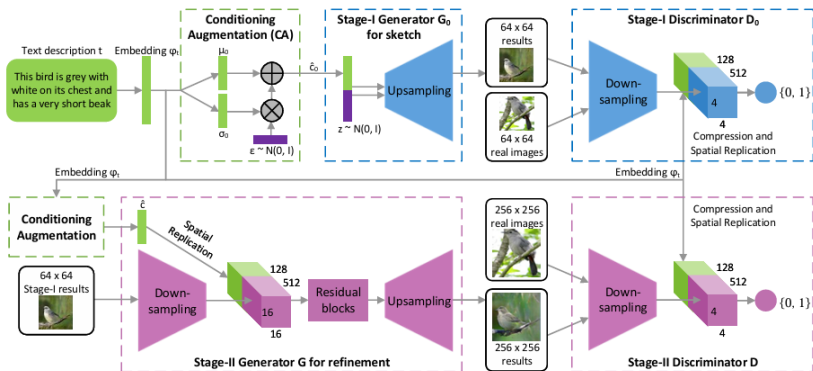


Figure: StackGAN-v1 Architecture (Source: [1])



## Core Idea

A more general, end-to-end multi-stage framework with a tree-like structure

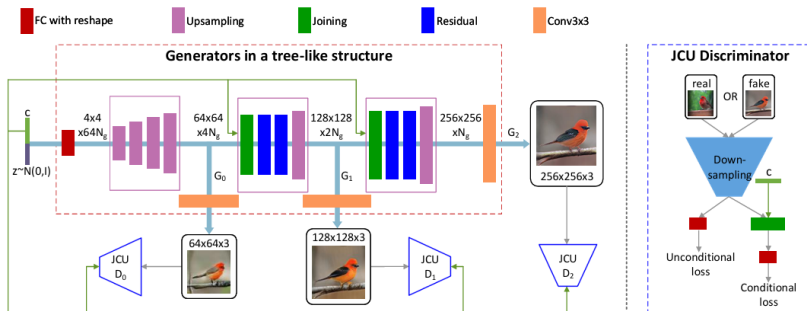


Figure: StackGAN-v2 Architecture and JCU Discriminators (Source: [1])

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# Experimental Setup

## Datasets:

- *Text-to-Image (Conditional)*:
  - CUB-200-2011 (Birds)
  - Oxford-102 (Flowers)
  - MS COCO (Challenging general scenes)
- *Unconditional Generation*:
  - LSUN (Bedroom, Church)
  - ImageNet (Dog, Cat subsets)

## Evaluation Metrics:

- **Inception Score (IS)**: Measures image quality and diversity. Higher is better.
- **Fréchet Inception Distance (FID)**: Measures similarity between generated and real image distributions. Lower is better.
- **Human Rank (HR)**: User studies to assess perceptual quality and text-image alignment. Lower is better.
- **t-SNE Visualizations**: To check for mode collapse and sample diversity.

**StackGAN-v1 significantly outperforms prior text-to-image models.**

- **Higher Resolution:** StackGAN-v1 generates 256x256 images.
- **Improved IS:** e.g., on CUB, StackGAN-v1 (3.70) vs. GAN-INT-CLS (2.88).
- **Drastically Lower FID\*:** FID\* (on 64x64 resized images) shows better distribution matching. e.g., on CUB, StackGAN-v1 (35.11) vs. GAN-INT-CLS (68.79).
- **Better Human Rank (HR):** Indicates more realistic and text-relevant images.

# Qualitative Results: Text-to-Image

## **CUB Dataset (Birds):**

## **Oxford-102 (Flowers) & COCO:**

StackGAN-v1 and v2 produce much more detailed and realistic images compared to GAN-INT-CLS (64x64) and GAWWN (128x128, often blurry without part annotations).

# StackGAN-v2 vs. StackGAN-v1 & Unconditional SOTA

- **StackGAN-v2 often improves FID over StackGAN-v1**, e.g., CUB FID: 15.30 (v2) vs 51.89 (v1).
- **StackGAN-v2 IS generally higher or competitive.**
- **Less Mode Collapse in StackGAN-v2:**
- **Unconditional Generation:** StackGAN-v2 outperforms SOTA like DCGAN, WGAN-GP in quality and resolution (256x256).

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## Testing importance of StackGAN-v1 design choices on CUB dataset (Table 4 in paper).

- **Necessity of Stacked Structure:**

- Stage-I GAN direct 256x256 output: Poor IS (3.02) vs. StackGAN-v1 (3.70). Visually much worse (Fig. 11 in paper).

- **Effect of Conditioning Augmentation (CA):**

- Stage-I GAN (64x64) IS: 2.66 (no CA)  $\rightarrow$  2.95 (with CA).
- Without CA, 256x256 Stage-I GAN collapses (Fig. 11 in paper). CA stabilizes and improves diversity.

- **Inputting Text at Both Stages ("Text twice"):**

- StackGAN-v1 256x256 IS: 3.45 (text only Stage-I)  $\rightarrow$  3.70 (text at both stages).
- Stage-II benefits from re-processing text.



## Testing importance of StackGAN-v2 design choices on CUB (Table 5) and other datasets.

- **Multi-Scale/Multi-Stage Architecture:**

- 'StackGAN-v2-G3' (only final 256x256 generator): IS drops from 4.04  $\rightarrow$  3.49.
- 'StackGAN-v2-all256' (all generators output 256x256): IS drops to 2.89.
- Visuals (Fig. 14 in paper) show severe mode collapse or poor quality for these baselines.

- **Joint Conditional/Unconditional (JCU) Discriminators:**

- 'StackGAN-v2-no-JCU' (conventional conditional D): IS drops from 4.04  $\rightarrow$  3.77.

- **Color-Consistency Regularization:**

- Qualitatively (Fig. 15 in paper): Improves color consistency across scales for unconditional generation.
- Quantitatively (ImageNet Dog): IS drops from 9.55  $\rightarrow$  9.02 without it.
- Not critical for text-to-image due to strong text conditioning.

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# Pros (Improvements over Competing Methods)

- **Achieves Higher Resolution (256x256) with Photo-Realism:**
  - StackGAN-v1 was pioneering in generating 256x256 images from text, a significant leap from previous 64x64 or 128x128 results.
- **Superior Image Quality and Diversity:**
  - Consistently better IS, FID, and human preference scores compared to prior text-to-image methods (GAN-INT-CLS, GAWWN).
  - StackGAN-v2 further improves stability and quality (especially FID) over StackGAN-v1 and SOTA unconditional GANs.
- **More Stable GAN Training:**
  - Conditioning Augmentation (CA) in StackGAN-v1 stabilizes conditional GANs.
  - StackGAN-v2's joint multi-distribution approximation and tree structure lead to more stable training and reduced mode collapse.
- **General Framework (StackGAN-v2):**
  - Applicable to both conditional (text-to-image, class-conditional) and unconditional image generation tasks.
- **Ability to Correct Defects:** The multi-stage approach allows later stages to refine and correct errors or omissions from earlier stages.

# Cons (Limitations of the Proposed Method)

- **Failure Cases Still Exist:**

- While significantly improved, the methods can still produce imperfect images (e.g., blurry parts, unnatural shapes, minor artifacts), especially for complex text or scenes. StackGAN-v2 failures are generally "milder."

- **Convergence on Complex Datasets (StackGAN-v2):**

- StackGAN-v2's end-to-end joint training can be harder to converge on highly complex datasets (like COCO) compared to StackGAN-v1's simpler stage-by-stage optimization.
- StackGAN-v1 sometimes yields slightly more appealing images on COCO by human rank, despite v2's better stability.

- **Computational Cost:**

- Training multiple generators and discriminators in StackGAN-v2 is computationally intensive. StackGAN-v1, while two-stage, might have lower peak memory.

- **Dependence on Text Embedding Quality:**

- The quality of generated images is highly dependent on the quality of the input text embeddings from the pre-trained text encoder.

- **Subtle Mode Collapses:** While large-scale mode collapses are

# Conclusions

- **Stacked/Multi-Stage GANs are Highly Effective:**
  - Decomposing high-resolution image synthesis into progressive, manageable sub-problems (low-to-high resolution) is a key strategy for success.
- **StackGAN-v1 Advanced Text-to-Image Synthesis:**
  - First to achieve 256x256 photo-realistic images from text, with Conditioning Augmentation (CA) improving stability and diversity.
- **StackGAN-v2 Offers Generality, Stability, and Quality:**
  - Its tree-like architecture, joint multi-distribution approximation, and color-consistency regularization lead to more stable training, reduced mode collapse, and often higher quality for both conditional and unconditional tasks.
- **Significant Progress in Realistic Image Generation:**
  - The paper demonstrates a substantial leap in GANs' capability to generate detailed, high-resolution images.
- **Future Directions:** Despite progress, achieving perfect realism, coherence for all inputs, and efficient training for extremely complex scenarios remain open challenges.

# Thank you for your attention!

Any questions?



Han Zhang, Tao Xu, Hongsheng Li, Shaoting Zhang, Xiaogang Wang, Xiaolei Huang, and Dimitris Metaxas.

Stackgan++: Realistic image synthesis with stacked generative adversarial networks, 2018.