

# A polynomial algorithm for the parity game

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April 7, 2022

## Abstract

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For any integers  $i \leq j$ , write  $[i, j]$  for the set of integers  $\{i, i + 1, \dots, j\}$ .

A parity game between two players, Player 0 and Player 1, can be described by a tuple  $\mathcal{G} = (X = [1, n] = X_0 \sqcup X_1, E = [1, m], \Omega : X \rightarrow [1, d])$  with  $(n, m, d) \in \mathbb{N}^3$ .  $(X, E)$  is a directed graph.  $X$  is a set of  $n$  nodes and  $E$  a set of  $m$  directed edges such that each node has at least one successor node. The set of nodes  $X$  is partitioned into a set of nodes  $X_0$  belonging to Player 0 and a set of nodes  $X_1$  belonging to Player 1. The function  $\Omega : X \rightarrow [1, d]$  assigns an integer label called priority to each node of the graph. A play is an infinitely long trajectory  $(x_0, x_1, \dots)$  generated from some starting node  $x_0$ : at any time step  $t$ , the player to which the node  $x_t$  belongs chooses  $x_{t+1}$  among any of the outgoing edges of  $E$  starting from  $x_t$ . The winner of the game is decided from the infinite sequence of priorities  $(\Omega(x_0), \Omega(x_1), \dots)$  occurring through the play: if the highest priority occurring infinitely often is even (resp. odd), then Player 0 (resp. Player 1) wins.

It is known (cf. Zielonka [1998]) that there exist optimal strategies that are positional (i.e. that are mapping from nodes to outgoing edges). In particular, when both players follow these positional strategies from some node  $x_0$ , the play follows a (potentially empty) path followed by an infinitely-repeated cycle  $(x_1, \dots, x_{c(x_0)})$  for some  $c(x_0) \in [1, n]$ .

The goal of this paper is to describe a polynomial algorithm for computing optimal strategies for both players.

## 1 An incremental procedure

Consider a parity game  $\mathcal{G}$  that has at least two different priorities. Let  $p$  be the maximal priority and let  $i \equiv p \pmod 2$  be the corresponding player. In this section, we shall consider the sub-problem whether Player  $i$  can win the game with priority  $p$  or whether Player  $1 - i$  can force Player  $i$  to cycle in nodes with priorities (strictly) lower than  $p$  (in  $\mathcal{G}$ , Player  $1 - i$  may win or lose, but if he loses, it will be with a priority lower than  $p$ ).

Consider the total payoff game  $\mathcal{G}' = (X, E, g)$  with cost function

$$\forall x, g(x) = (-1)^p \mathbf{1}_{\Omega(x)=p},$$

in which Player 0 wants to maximize the total cost on induced infinitely-long trajectories while Player 1 wants to minimize it.

For any node  $x$ , define the possible transitions:

$$\text{succ}(x) = \{ y; (x, y) \in E \}.$$

Let  $N$  and  $M$  be the set of decision rules respectively for Player 0 and Player 1:

$$\begin{aligned} M &= \{ \mu : X_0 \rightarrow X ; \forall x \in X_0, \mu(x) \in \text{succ}(x) \}, \\ N &= \{ \mu : X_1 \rightarrow X ; \forall x \in X_1, \mu(x) \in \text{succ}(x) \}. \end{aligned}$$

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For any pair of decision rules  $\mu \in M$  and  $\nu \in N$ , let  $P_{\mu,\nu}$  be the corresponding transition matrix

$$\begin{aligned} \forall x \in X_0, \forall y \in X, P_{\mu,\nu}(x, y) &= \mathbb{1}_{y=\mu(x)}, \\ \forall x \in X_1, \forall y \in X, P_{\mu,\nu}(x, y) &= \mathbb{1}_{y=\nu(x)}. \end{aligned}$$

Consider the Bellman operators associated to this total payoff game:

$$\begin{aligned} T_{\mu,\nu}v &= g + P_{\mu,\nu}v, \\ Tv &= \max_{\mu \in M} \min_{\nu \in N} \tilde{T}_{\mu,\nu}v. \end{aligned}$$

Consider the following functions

$$\begin{aligned} v_{\mu,\nu} &= \lim_{k \rightarrow \infty} (T_{\mu,\nu})^k 0, \\ v_* &= \lim_{k \rightarrow \infty} T^k 0. \end{aligned}$$

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The motivation for introducing the total payoff game  $\mathcal{G}'$  is the following simple observation:

**Lemma 1.** *Player  $i$  can win the parity game  $\mathcal{G}$  with priority  $p$  from some starting node  $x$  if and only if  $|v_*(x)| = \infty$  in the total payoff game  $\mathcal{G}'$ .*

**Corollary 1.** *Player  $1 - i$  can force Player  $i$  to cycle on nodes with priorities lower than  $p$  from some starting node  $x$  if and only if  $|v_*(x)| < \infty$  in the total payoff game  $\mathcal{G}'$ .*

To provide an efficient algorithm for parity games, we shall need to deepen the above observation. For concreteness, assume from now on that the maximal priority  $p$  is even (the odd case is similar). Therefore  $i = 0$  and for all  $x$ ,  $g(x) \in \{0, 1\}$ .

Let  $Z$  be the set of nodes from which Player 1 can ensure to visit only nodes with parity (strictly) smaller than  $p$  in  $\mathcal{G}$ , that is nodes with cost 0 in  $\mathcal{G}'$ .

$$Z = \{x \in X ; v_*(x) = 0\}.$$

It turns out that the  $Z$  can be computed in a simple way:

**Lemma 2.** *The set  $Z$  is equal to*

$$\{x \in X ; [T^n 0](x) = 0\}.$$

*Proof.* Call  $Z'$  the set just described in the lemma. First observe that the operator  $T$  is monotone and  $0 \leq T0$  (since costs are non-negative). Therefore, the sequence of functions  $(T^k 0)_{k \geq 0}$  is non-decreasing. An immediate consequence is that  $Z' \subset Z$ . Let us prove now that  $Z \subset Z'$  by contradiction. Assume that some  $x$  is in  $Z$  but not in  $Z'$ , i.e., that  $[T^n 0](x) = 0$  and  $v_*(x) > 0$ . This last inequality implies that Player 0 has a strategy that can ensure, whatever the decisions of Player 1, at least a cost of 1 from  $x$  (on the infinite play). Then, necessarily, Player 0 can also obtain it in  $j$  steps with  $j \in [1, n]$ , and thus  $[T^n 0](x) \geq [T^j 0](x) > 0$ , which is a contradiction.  $\square$

If  $Z$  is the empty set, then we know that the game  $\mathcal{G}$  is won from all nodes with priority  $p$ .

From now on, assume that  $Z$  is non-empty. For every node belonging to Player 1, define the following set of transitions:

$$\begin{aligned} \forall x \in X_1 \cap Z, N_x &= \{y \in \text{succ}(x) ; y \in Z\}, \\ \forall x \notin X_1 \cap Z, N_x &= \emptyset. \end{aligned}$$

Let  $Y \supset Z$  be the set of nodes from which Player 1 can prevent Player 0 to cycle infinitely often in nodes with priority  $p$ :

$$Y = \{ x \in X ; v_*(x) < \infty \} = \{ x \in X ; \exists \nu \in N, \forall \mu \in M, v_{\mu,\nu}(x) < \infty \}$$

For any  $x \in Y$ , Let  $F_x$  be the set of by which Player 1 can prevent Player 0 to cycle infinitely often in nodes with priority  $p$  from some starting node:

$$F_x = \{ \nu \in N ; \forall \mu \in M, v_{\mu,\nu}(x) < \infty \}.$$

The set of strategies  $F_x$  and the set  $Y$  can be characterized as follows:

**Lemma 3.** *We have*

$$\begin{aligned} F_x &= \{ \nu \in N ; \forall \mu \in M, \exists j \in [0, n], \exists y \in Z, \mathbf{1}_x(P_{\mu,\nu})^j = \mathbf{1}_y \}, \\ Y &= \{ x \in X ; \exists \nu \in N ; \forall \mu \in M, \exists j \in [0, n], \exists y \in Z, \mathbf{1}_x(P_{\mu,\nu})^j = \mathbf{1}_y \}. \end{aligned}$$

*Proof.* The characterization of  $F_x$  is a consequence of the fact that after  $j$  steps for some  $j \in [0, n]$ , the trajectory induced by  $\mu$  and  $\nu$  has necessarily entered its limiting cycle, that necessarily has value 0 (otherwise it would contradict the definition of  $F_Y$ ). The characterization of  $Y$  is a consequence of that of  $F_Y$ .  $\square$

In the parity game literature,  $Y$  is called the *1-attractor set* of  $Z$ . Given  $Z$ ,  $Y$  and  $F_Y$  can be computed in  $n$  steps as follows:

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In particular, we can observe that  $F_Y$  is a rectangular set.

## 2 An algorithm for the parity game

**Terminal condition:** If the game  $\mathcal{G}$  only contains only one priority  $p$ , then we know that for all nodes, the optimal priority is  $q$ .

**Recursion** When the game  $\mathcal{G}$  has at least two different priorities, let  $p$  be the maximal priority and let  $i \equiv p \bmod 2$  be the corresponding player. Let us consider the sub-problem whether Player  $i$  can win the game with priority  $p$  or whether Player  $1 - i$  can force Player  $i$  to cycle in nodes with priorities (strictly) lower than  $p$  (Player  $1 - i$  may win or lose, but if he loses, it will be with a priority lower than  $p$ ). This sub-problem can be cast as a mean payoff game  $\mathcal{G}' = (X, E, w)$  with cost function:

$$\forall x, g(x) = (-1)^p \mathbf{1}_{p(x)=p}.$$

We recursively solve the parity game restricted to the set  $A$ , i.e. the game  $\mathcal{G} \setminus (B \cup C)$ , a game which only contains priorities (strictly) lower than  $p$ , i.e. obtain for each node  $x \in A$  its optimal priority  $p_*(x)$ . From this, we can propagate ? this optimal priority from  $A$  to  $B$  by iterating (at most  $n$  times):

$$\begin{aligned} \forall x \in X_0 \cap B, \quad p_*(x) &= \max_{y:(x,y) \in E} p_*(y), \\ \forall x \in X_1 \cap B, \quad p_*(x) &= \min_{y:(x,y) \in E} p_*(y), \end{aligned}$$

where the max and min operators above use the order relation  $\preceq$  on priorities:

$$p \prec p' \Leftrightarrow (-2)^p < (-2)^{p'}.$$

As there is only one recursive call, and as the maximal priority necessarily decreases at each iteration, the above procedure takes at most  $d$  iterations, and

**Theorem 1.** *A parity game can be solved in polynomial time.*

## References

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