

# Kinetic Data Structures

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# Content – 4 Hours

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- Performance Issues
- Maximum
- Sorted List
- Convex Hull
- Delaunay Triangulation
- Alpha Complexes
- Application to Protein Folding

# Motivation

- Maintain a configuration of moving objects.
- Each object has a flight plan.
- Applications:
  - Collision detection in robotics
  - Animation
  - Physical simulation
  - Mobile and wireless networks

# Motion

- $p(t) = (x(t), y(t))$ : Position of  $p$  at time  $t$  in  $[0, 1]$ .
- $x(t)$ ,  $y(t)$  are polynomials in  $t$ .
- Degree  $d$  of motion: max degree of  $x()$ ,  $y()$ .
- Linear motion:  $d = 1$ .
- Trajectory of objects can change, for example it can be piecewise linear.
- Objects can be added and/or deleted at any time.
- To keep things simple we will most of the time assume that all trajectories are linear and that all objects exist between  $t_{\text{start}} = 0$  and  $t_{\text{end}} = 1$ .

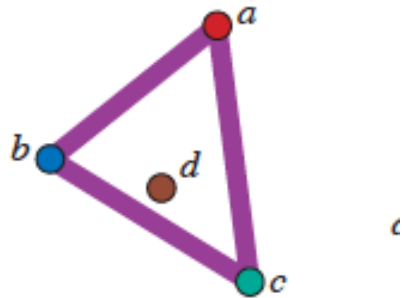
# Dealing with Motion - Alternatives

- **Brute Force**: Fix a time step  $\Delta t$ . Recompute everything from scratch every  $\Delta t$ .
- **Dynamic**: If only a fraction of objects moves, delete these objects after each time step and insert them at their new positions. Need for dynamic updates (deletions and insertions). Problems if  $\Delta t$  too big or too small.
- **Kinetic**: Do the updating only when the combinatorial structure changes. Need to bookkeep when such changes may occur.

# General Framework

- The task is to maintain a **data structure** of a continuously moving (subset of) objects.
- A set of **certificates** validates the combinatorial structure of current configuration.
- A failure of a certificate at a given time indicates an **event** where the combinatorial structure changes.
- Events are stored in a heap, ordered by time.
- For a given event, data structure and certificate updates are carried out. New events corresponding to failures of new certificates are inserted into the heap.

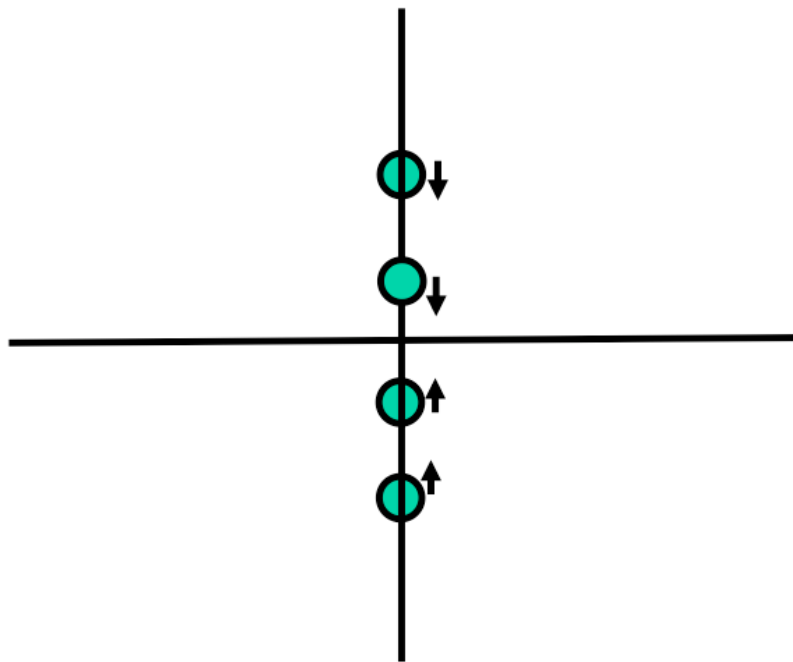
# General Framework



- Events occur when one of the certificates seizes to hold. Data structure needs to be updated, new certificates must be added, their failure times need to be determined.

- Certificates:
  - $c$  to the left of  $ab$
  - $d$  to the left of  $ab$
  - $a$  to the left of  $bc$
  - $d$  to the left of  $bc$
  - $b$  to the left of  $ca$
  - $d$  to the left of  $ca$
- Data structure:
  - Circular double-linked list of CH vertices

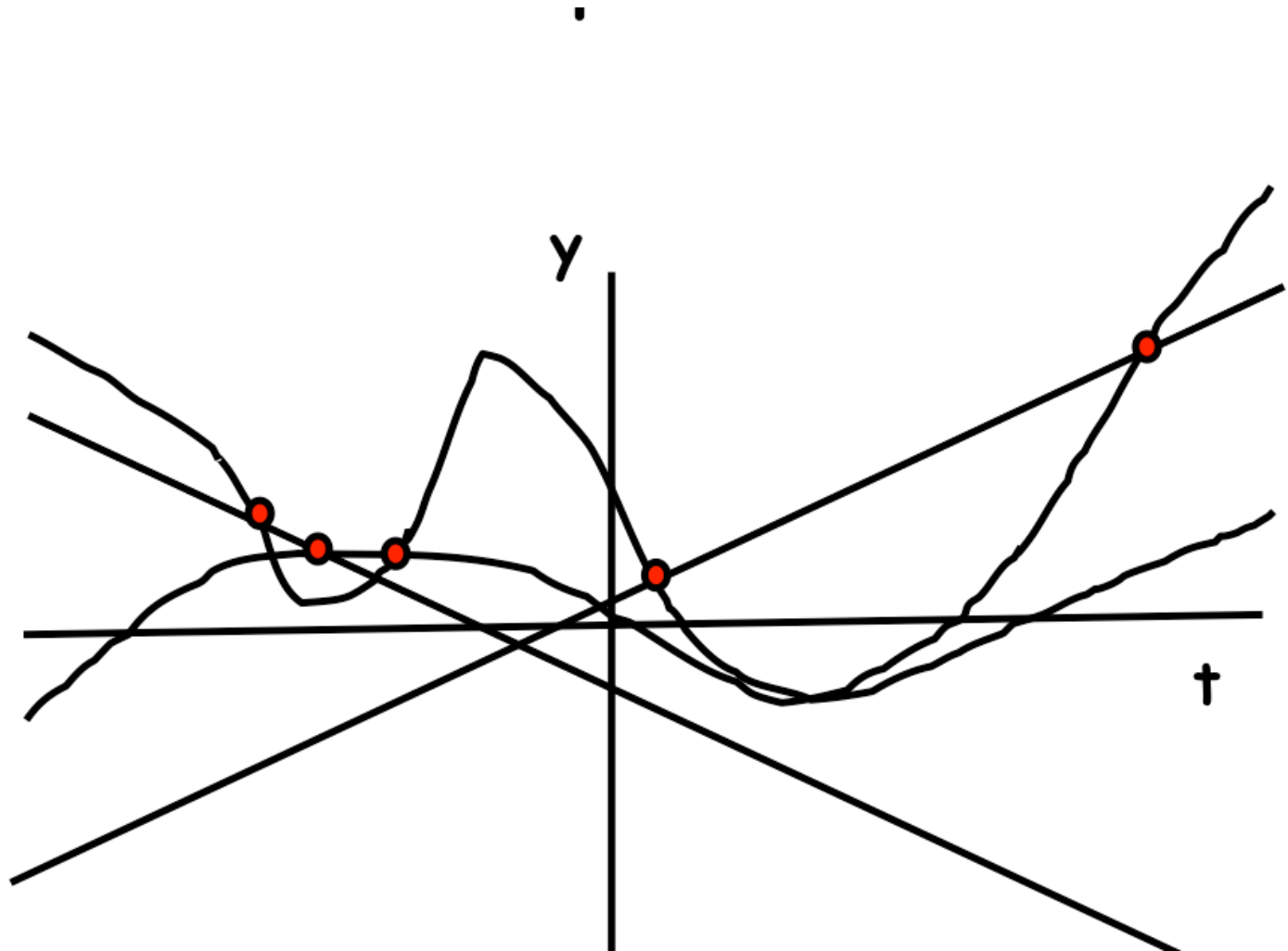
# Maximum Maintenance



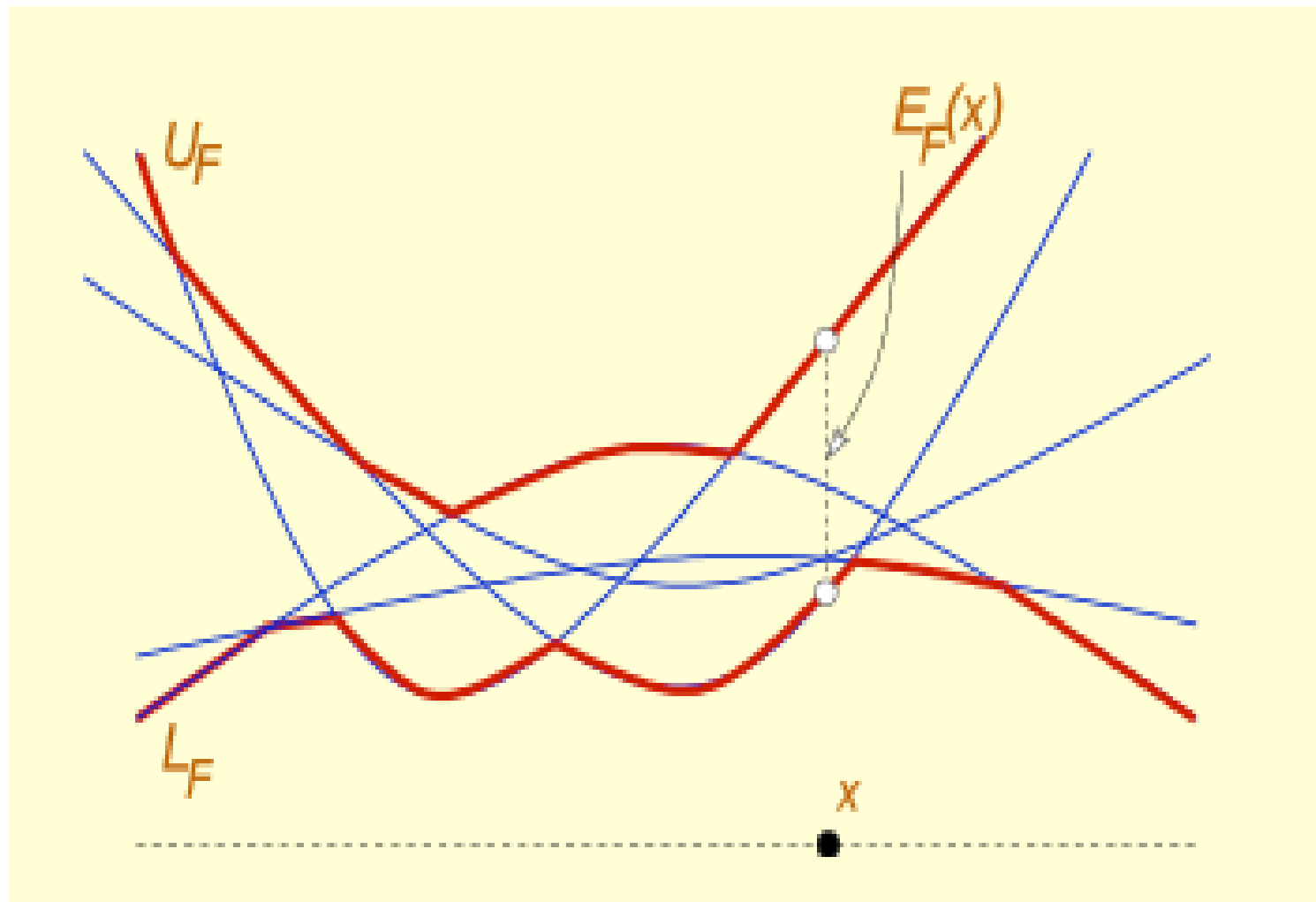
- Points move up and down in some more or less complicated manner.
- Manner of movement (e.g., direction, speed, acceleration) can change. The time of next change is known.
- We want to keep track of the top point.



# Upper Envelope



# Upper and Lower Envelopes



# Maximum Upper Envelope Approach?

- If trajectories are linear, then the size of the upper envelope is  $O(n)$ .
- What certificates are needed? Events?
- Upper envelopes can be determined in  $O(n \log n)$  time.  
How?
- Similar bounds can be derived if every pair of trajectories intersects at most  $s$  times, for some fixed integer  $s$ .
- Problem: Change of a single trajectory requires the recomputation of the entire upper envelope.

# Upper Envelope in $O(n \log n)$ time

- Use divide-and-conquer with merging requiring  $O(n)$  time.
- Use plane sweep.

# Maximum Maintain a Sorted List?

- $\Omega(n^2)$  events but only  $O(n)$  configuration changes (new top element).
- A kinetic data structure is said to be **efficient** if the ratio between certificate failures (internal and external events) and the number of configuration changes (external events) is  $O(\log^c n)$  where  $c$  is a constant.
- Sorted list is not an efficient kinetic data structure for Maximum Maintenance.

# What Else Can Be Measured?

- **Responsive**: Failing certificates can be processed in  $O(\log^c n)$  where  $c$  is a constant.
- **Compact**: Number of certificates should be  $O(n \log^c n)$  where  $c$  is a constant.
- **Local**: Each object is in  $O(\log^c n)$  certificates where  $c$  is a constant. So when an object changes its trajectory, not too many certificates are affected.

# Maximum Maintenance Using Upper Envelope

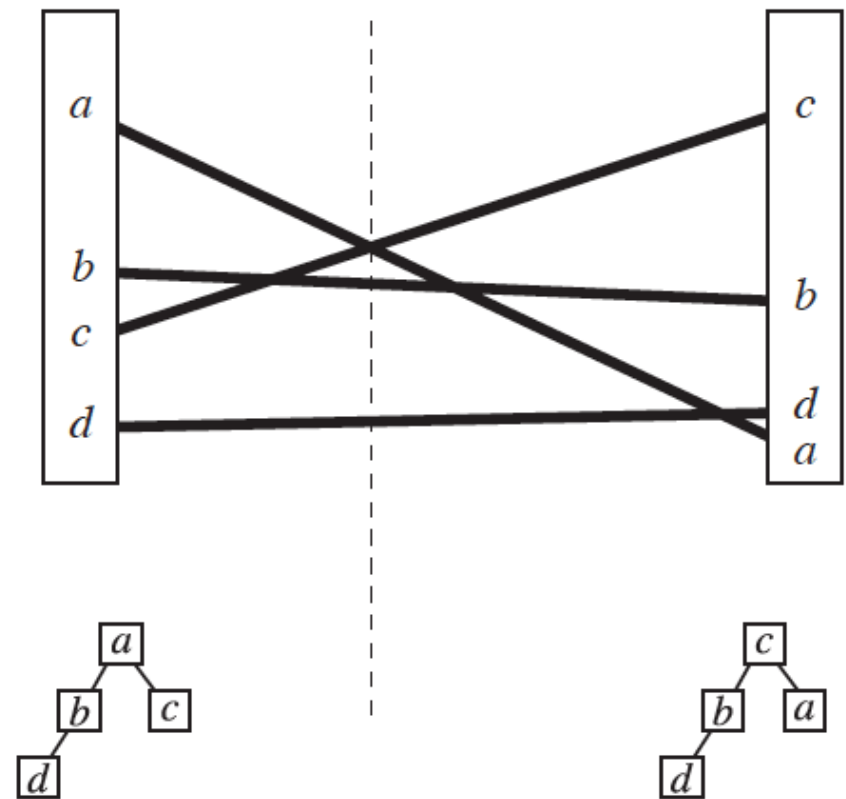
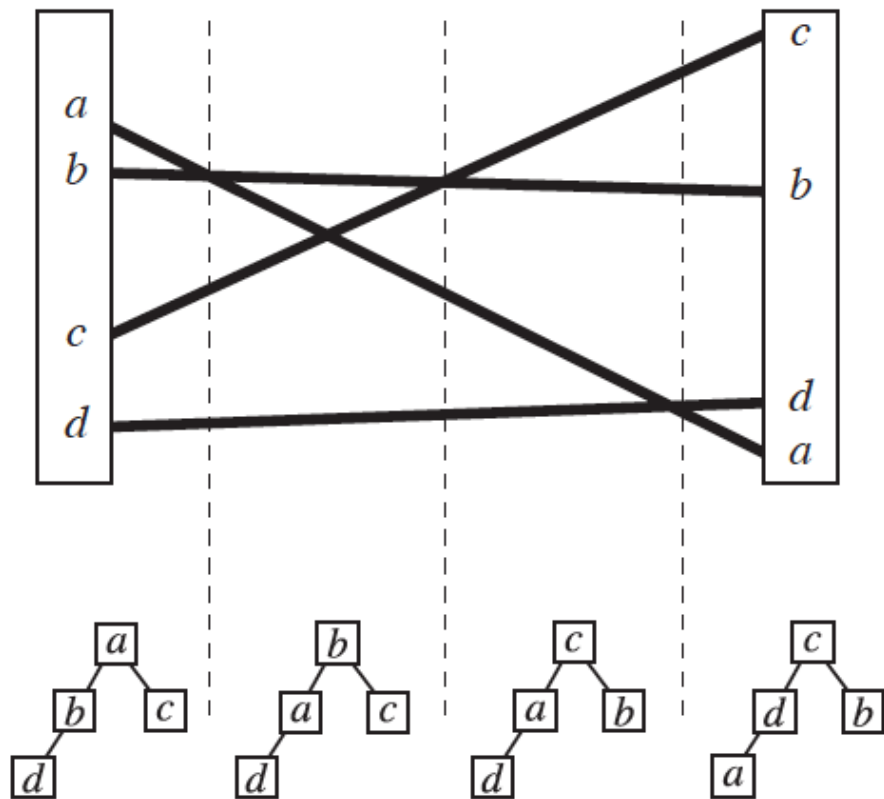
- Keep upper envelope with the information which point is maximum explicitly given
  - Responsive: Yes
  - Compact: Yes
  - Local: No
  - Efficient: Yes

# Maximum Maintenance Using Sorted List

- Keep a certificate for each pair of neighbouring points. Events occur when points swap.
- Responsive: Yes,
  - swap in  $O(1)$  time,
  - (lazy) heap deletions and insertions in  $O(\log n)$ .
- Compact: Yes,  $O(n)$ ,  $n-1$  certificates at any time.
- Local: Yes,  $O(1)$ , each point in at most 2 certificates.
- Efficient: No.



# Maximum Maintenance Using Kinetic Swapping Heap

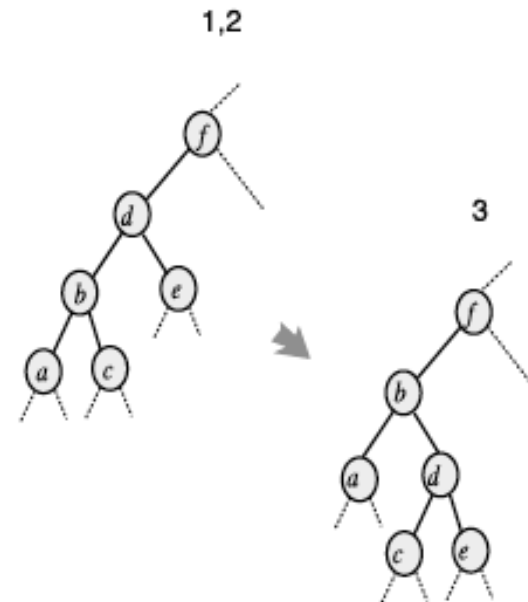
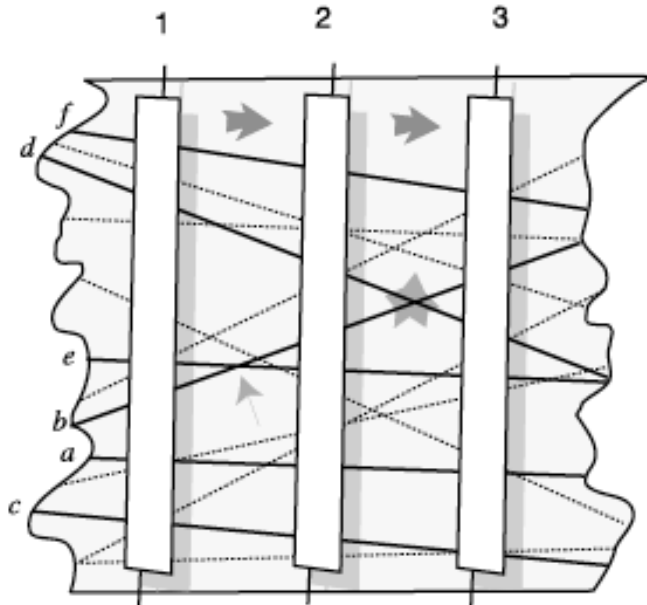


# Maximum Maintenance Using Kinetic Swapping Heap

- Keep a certificate for each father-child pair. Events occur when swap is needed.
  - Responsive: Yes, swap in  $O(1)$  time and (lazy) deletions and insertions in the certificate heap take  $O(\log n)$ .
  - Compact: Yes,  $O(n)$  certificates
  - Local: Yes,  $O(1)$ , each point is in at most 3 certificates.
  - Efficient: Difficult to control since the number of internal and external events can vary even if the start and end configurations match.

# Maximum Maintenance Using Kinetic Heater

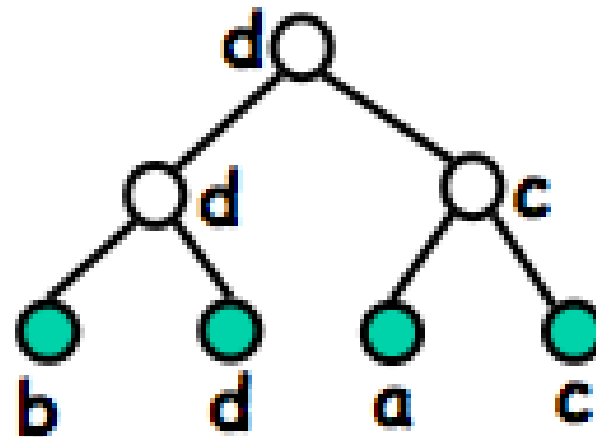
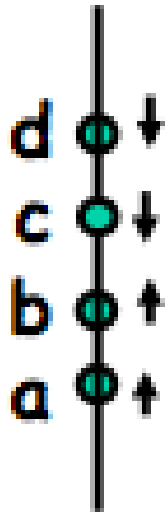
- Insert as in a BST using random key. Use rotations to obtain a heap w.r.t. priorities.



# Maximum Maintenance Using Kinetic Heater

- Keep a certificate for each father-child pair. Events occur when rotation is needed.
  - Responsive: Yes,  $O(\log n)$
  - Compact: Yes,  $O(n)$
  - Local: Yes,  $O(1)$
  - Efficient: somewhat complicated, see Basch

# Tournament Tree



# Tournament Tree

- Certificates: For each internal node, keep track of when its two children flip.
- Responsive: Replace the winner and update the events up the tournament tree. Each of these events needs to be (de)scheduled on the event heap. In total requires  $O(\log^2 n)$  time.
- Local: Each point is in  $O(\log n)$  certificates.
- Compact: Number of certificates is  $O(n)$ .

# Tournament Tree

- Efficient?
  - External events: The configuration changes when the winner at the root changes. The root can change  $O(n)$  times.
  - Internal events: The children of the root can change  $O(n/2)$  times, the grandchildren of the root can change  $O(n/4)$  times, etc. The total number of internal events is therefore  $O(n \log n)$ .

# Kinetic Sorted List

- Maintain a list of the elements in sorted order, with the certificates enforcing the order between adjacent elements.
- When a certificate fails, two elements are swapped.
- At most three certificates must be updated, the certificate of the swapped pair, and the two certificates involving the swapped elements and the elements before and after the swapped pair.



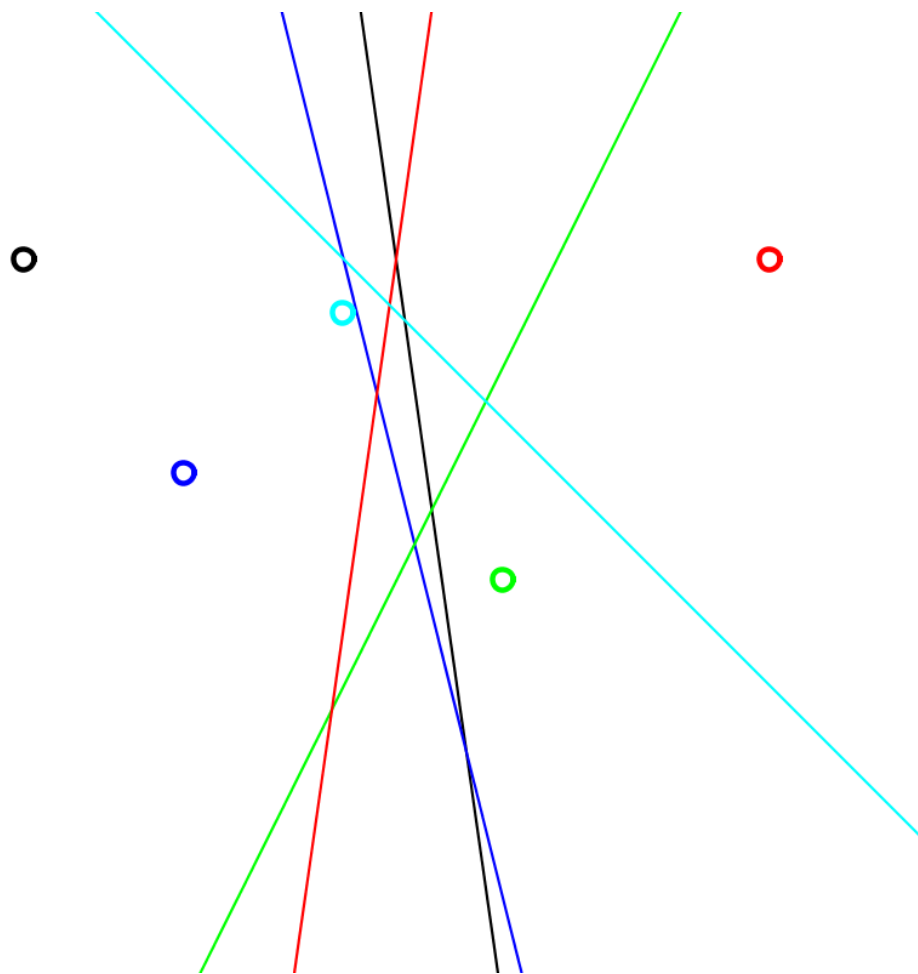
# Kinetic Sorted List

- Responsive: a certificate failure causes one swap (which takes  $O(1)$  time) and  $O(1)$  certificate changes which take  $O(\log n)$  time to reschedule (heap operations).
- Local: every element is involved in at most 2 certificates.
- Compact: there are exactly  $n-1$  certificates for a list of  $n$  elements
- Efficient: no extraneous internal events, every change in the ordering of the elements causes exactly one certificate failure.

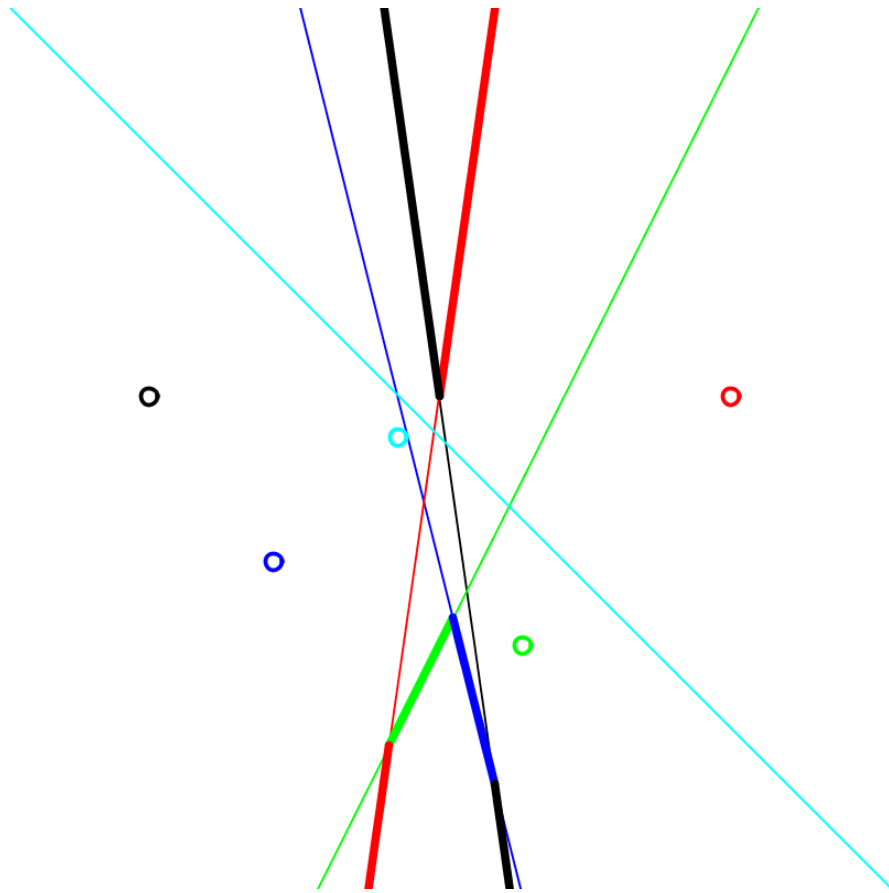
# Convex Hull

- Maintain the convex hull of points moving in the plane.
- Compute upper and lower convex hull separately.

$$(a, b) \Leftrightarrow y = ax + b$$



# Upper and Lower Envelopes



- Maintain the lower and upper envelopes of a set of continuously changing lines (translations and slope changes).

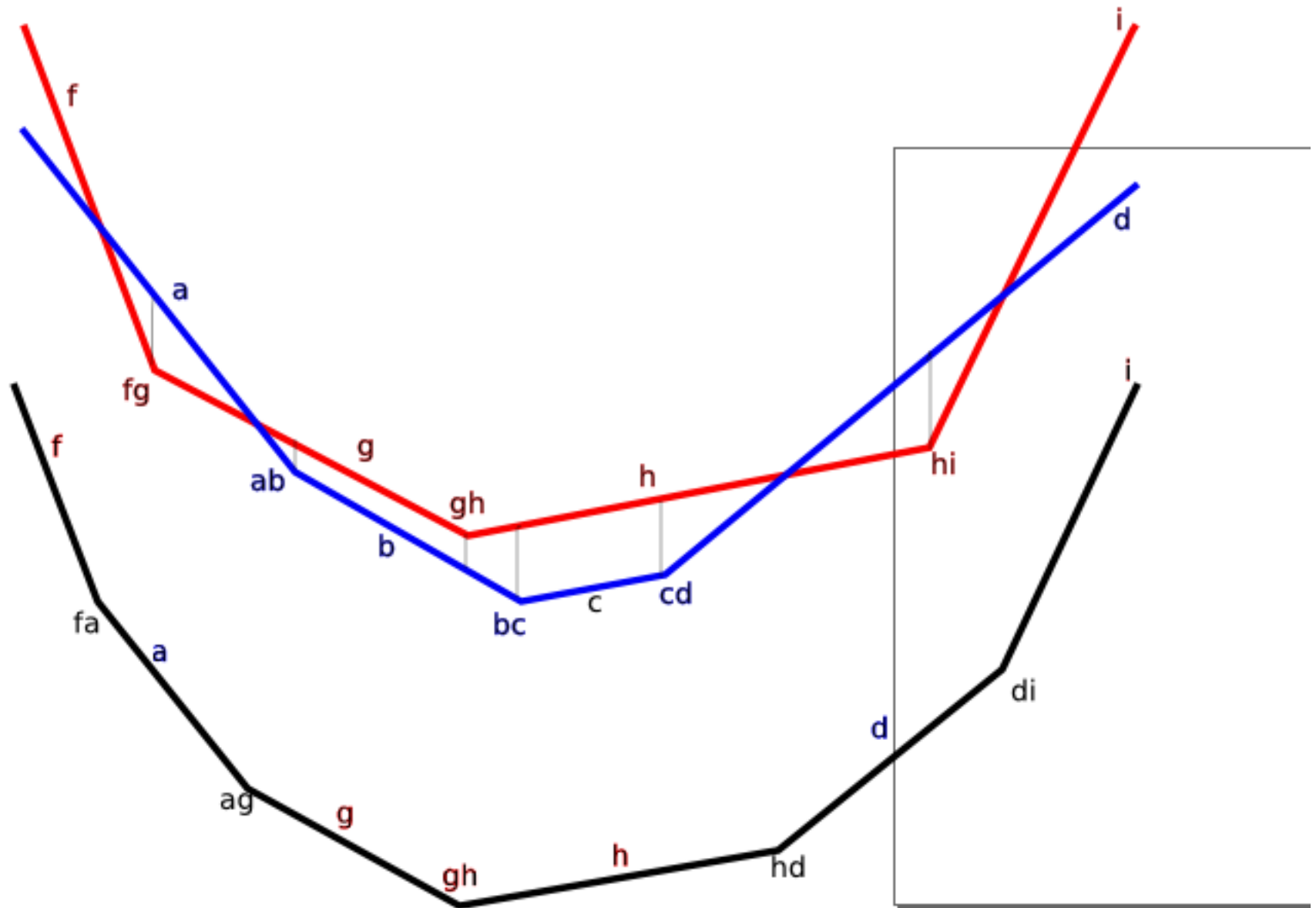
# Static Divide-and-Conquer

- Suppose that we know a red upper envelope for  $n/2$  lines and a blue upper envelope for the remaining  $n/2$  envelopes.
- Upper envelopes are represented as chains of vertices and edges using doubly connected lists.
- Each red vertex knows its **contender**: the blue edge above or below it.
- Similarly for the blue vertices.
- We also need to know the slopes of half-lines on the left and half-lines on the right.

# Merging

- Scan red and blue chains from left to write.
- Assume: Previous vertex added to the new chain was blue.
- Scanned vertex is blue:
  - Contender is below, add the blue vertex.
  - Contender is above, add the intersection as red.
- Scanned vertex is red:
  - Contender is below, add the intersection as red.
  - Contender is above, continue scanning.

# Merging Blue and Red Chains



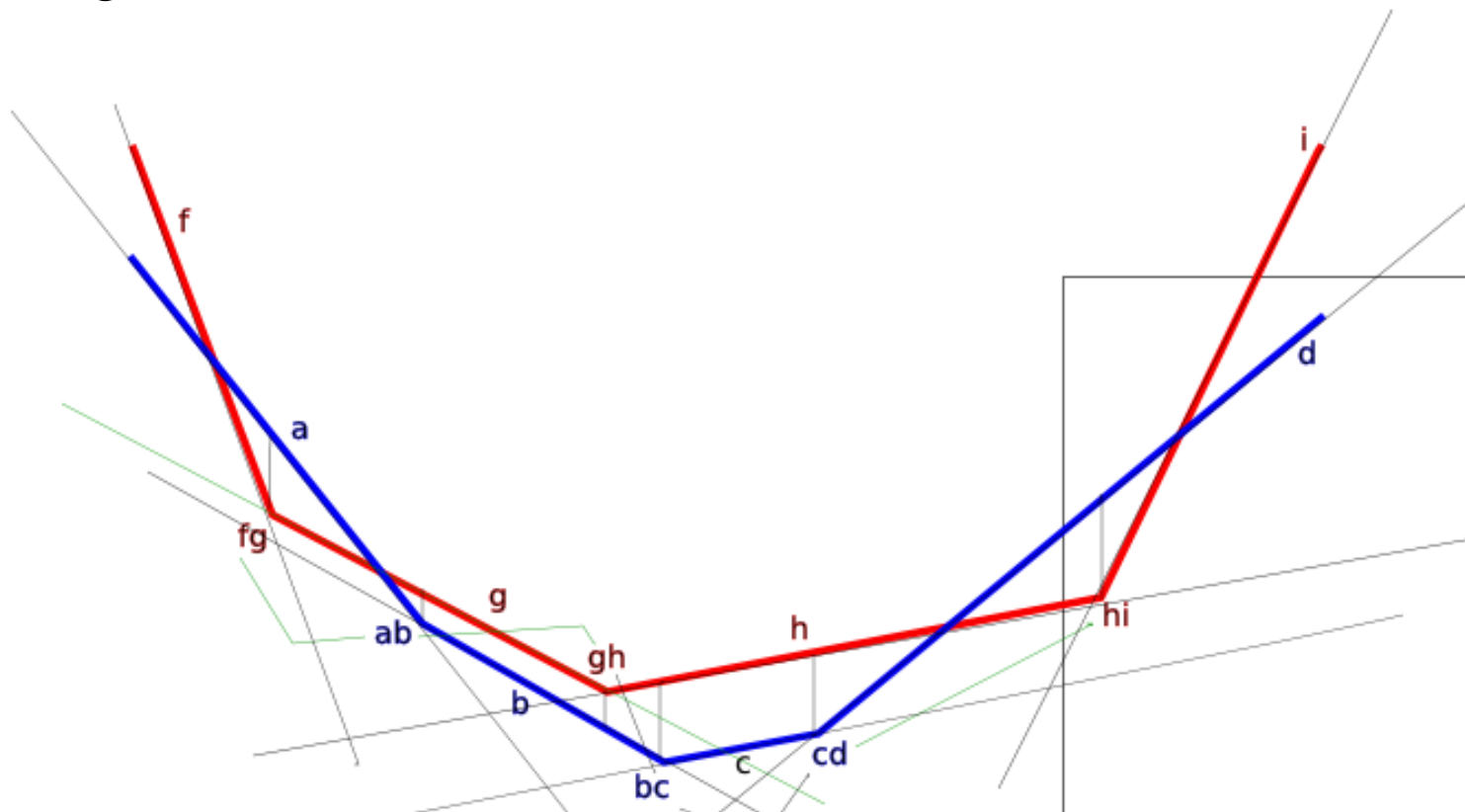
# Kinetization

- Keep a record of entire computation in a balanced binary tree.
- Each node is in charge of maintaining the upper envelope of two upper envelopes computed by its children.
- If an event creates a change, the event is processed through the tree.
- Certificates?



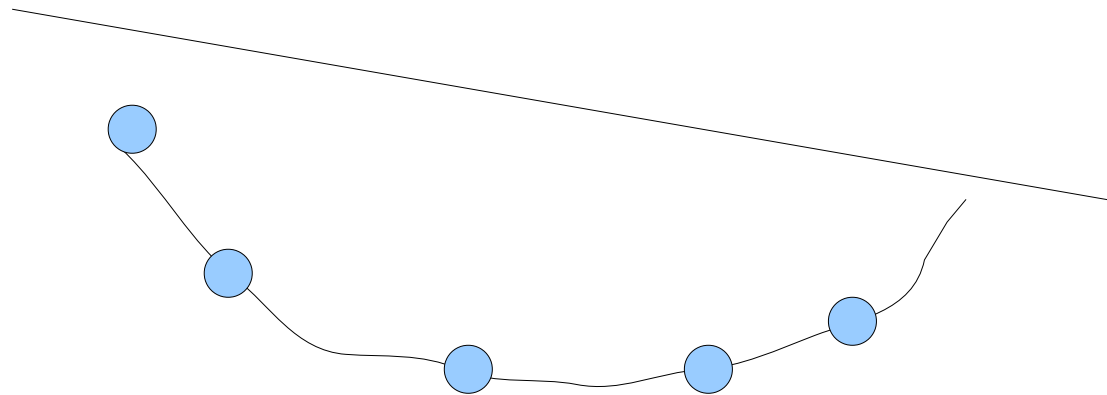
# Certificates

- x-certificates: exist for pairs of x-consecutive vertices. Remain true until x-order changes or vertices cease to be x-consecutive.
- y-certificate: y-position (above, below) of contender edge w.r.t. its vertex. Remains true until y-position changes or the edge is no longer a contender of the vertex.



# Problems?

- An edge could be a contender of  $O(n)$  vertices in the other chain.
- This KDS is not local.



| Name      | Comparison                        | Condition(s)   |
|-----------|-----------------------------------|--|
| $x[ab]$   | $[ab <_x cd]$                     | $cd = ab.next$<br>$\chi(ab) \neq \chi(cd)$                         |
| $yli[ab]$ | $[ab <_y \text{ or } >_y ce(ab)]$ | $b \cap ce(ab) \neq \emptyset$                                     |
| $yri[ab]$ | $[ab <_y \text{ or } >_y ce(ab)]$ | $a \cap ce(ab) \neq \emptyset$                                     |
| $yt[ab]$  | $[ce(ab) <_y ab]$                 | $a <_s ce(ab) <_s b$<br>$ce(ab) <_y ab$                            |
| $slt[ab]$ | $[a <_s ce(ab)]$                  |  |
| $srt[ab]$ | $[ce(ab) <_s b]$                  |  |
| $sl[ab]$  | $[b <_s ce(ab)]$                  | $b <_s ce(ab)$<br>$ab <_y ce(ab)$<br>$\chi(ab) \neq \chi(ab.next)$ |
| $sr[ab]$  | $[ce(ab) <_s a]$                  | $ce(ab) <_s a$<br>$ab <_y ce(ab)$<br>$\chi(ab) \neq \chi(ab.prev)$ |

x-successive vertices, each from different chain

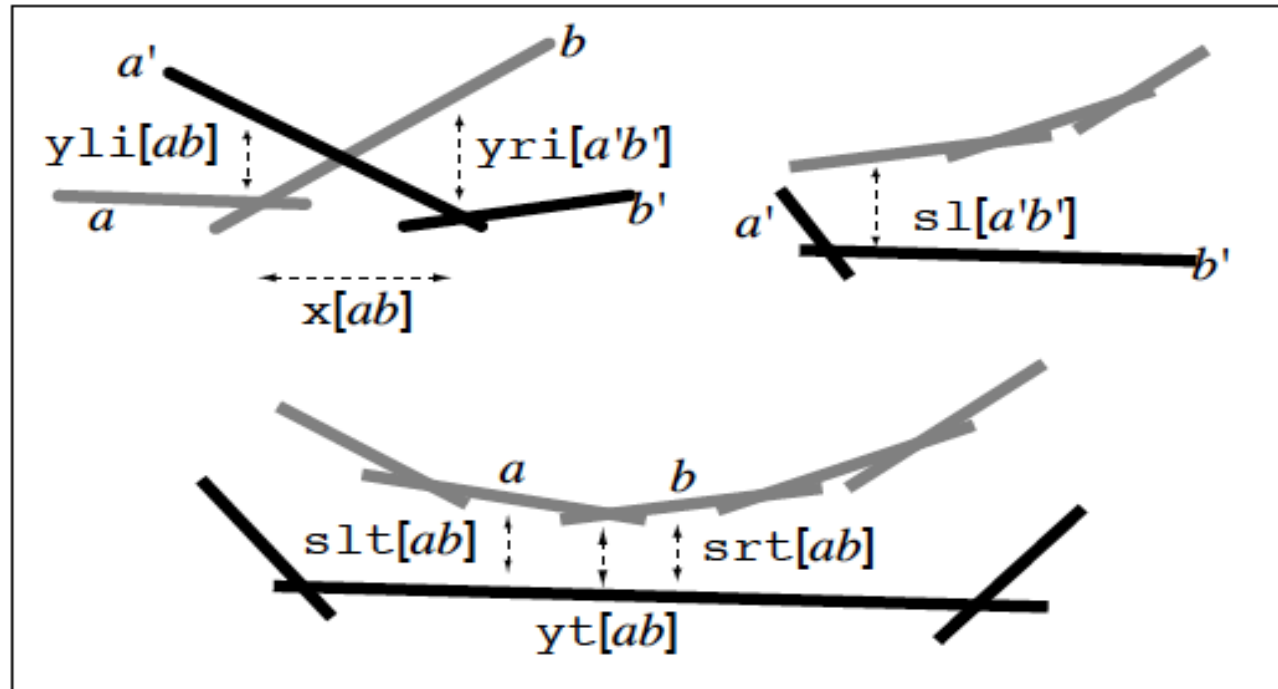
contender of a vertex preceeding intersection, contender defines this intersection

contender of a vertex succeeding intersection, contender defines this intersection

contender of vertex ab is below ab,  
slope of the contender is between slopes of a and b

contender of vertex ab is above ab,  
slope of b is less than the slope of the contender,  
successor of ab must be in the other chain

contender of vertex ab is above ab,  
slope of a is greater than the slope of the contender,  
predecessor of ab must be in the other chain.



# Locality

- Claim: An edge  $e$  appears in  $O(1)$  certificates.
  - $e$  appears in a certificate because one of its end-vertices appears in a certificate.
  - $e$  appears in a certificate because it is a contender edge.

# Locality - Vertices

- Vertex of  $e$  can appear in at most 2  $x$ -certificates.
- Vertex of  $e$  can appear in all other certificates at most once – these certificates involve uniquely defined contenders of such vertices.

# Locality - Contenders

- Let  $e$  be a contender edge of some vertex.
  - Assume that  $e$  is intersected by the other chain.
    - Only  $yli[\dots]$  and  $yri[\dots]$  certificates can involve  $e$ .
    - $e$  is intersected by the other chain at most twice. Hence  $e$  occurs in  $O(1)$  certificates.
  - Assume that  $e$  is not intersected by the other chain.
    - If  $e$  is below the other chain, it can be involved in only one triplet of certificates:  $yt[\dots]$ ,  $slt[\dots]$  and  $srt[\dots]$ .
    - If  $e$  is above the other chain, it can be involved in at most one  $sl[\dots]$  certificate and at most one  $sr[\dots]$  certificate.

# Locality - Contenders

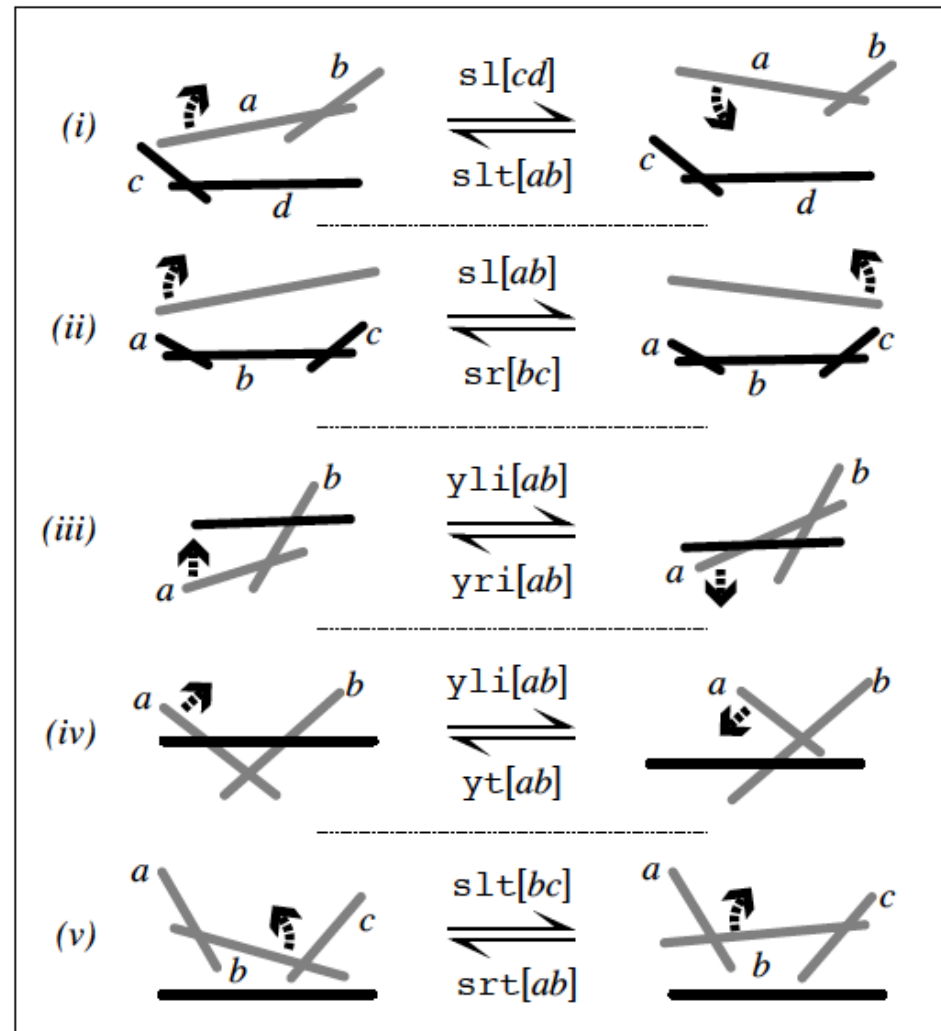
- Suppose that  $e$  is not intersected but is a contender of many vertices.
  - This can only involve  $sl[\dots]$  and  $sr[\dots]$  certificates.
  - The vertices that have  $e$  as their contenders are of the same color.
  - Only the rightmost vertex with  $e$  as its contender can be involved in a  $sl[\dots]$  certificate.
  - Only the leftmost vertex with  $e$  as its contender can be involved in a  $sr[\dots]$  certificate.

# Certificates - Correctness

- Let  $L$  denote the set of certificates and assume that they are valid for 2 different configurations.
- Have fun getting a contradiction :-)



# Maintenance



# Kinetization

- Keep a record of entire computation in a balanced binary tree.
- Each node is in charge of maintaining the upper envelope of two upper envelopes computed by its children.
- If an event creates a change, the event is processed through the tree.

# Kinetic Convex Hulls

- Responsive (processing of failing certificates)? Yes, in  $O(\log^2 n)$
- Compact (number of certificates)? Yes,  $O(n \log n)$
- Local (number of certificates involving any object)? Yes,  $O(\log n)$
- Efficient: Yes, see Bash for details.