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1. (20 points)

(a) **Answer:***Proof.* We have

$$\begin{aligned}
2^{-l(j)} &\leq p(j) < 2^{-l(j)+1} \\
\Rightarrow -l(j) &\leq \log_2 p(j) < -l(j) + 1 \\
\Rightarrow l(j) &\geq -\log_2 p(j) > l(j) - 1.
\end{aligned}$$

Thus,  $l(j)$  is the lowest integer that is greater than or equal to  $-\log_2 p(j)$ . Therefore, we can conclude that  $l(j) = \lceil -\log_2 p(j) \rceil$ , so the expected code length satisfies  $L(C) \leq H(X) + 1$ .  $\square$

(b) **Answer:**

*Proof.* Let's prove the result by contradiction. First, suppose that  $C$  is not a prefix code. That is, let  $i, j \in \mathcal{X} : i < j$  and assume that  $C(i)$  is a prefix of  $C(j)$ , i.e.,  $C(i)$  appears in the first  $l(i)$  bits of  $C(j)$ . Then  $\hat{F}(i)$  and  $\hat{F}(j)$  also share the first  $l(i)$  bits. Thus, we have

$$\hat{F}(j) - \hat{F}(i) < 2^{-l(i)}. \quad (1)$$

But we also have

$$\begin{aligned}
\hat{F}(j) - \hat{F}(i) &= \sum_{k=i}^{j-1} p(k) && \text{by the definition of } \hat{F}(j) \\
&\geq p(i) \\
&\geq 2^{-l(i)} && \text{by the definition of } l(i).
\end{aligned} \quad (2)$$

With (1) and (2), we have a contradiction. Therefore,  $C$  is a prefix code.  $\square$