

## 1 Strings on Curved Space:

$$S = \frac{1}{4\pi\alpha'} \int_M d^2\sigma \sqrt{g} \left( i\epsilon^{ab} B_{\mu\nu}(X) \partial_a X^\mu \partial_b X^\nu + \dots \right), \quad (1)$$

$$T^a_a = -\frac{1}{2\alpha'} \beta_{\mu\nu}^G g^{ab} \partial_a X^\mu \partial_b X^\nu + \dots, \quad (2)$$

$$\beta_{\mu\nu}^G = \alpha' R_{\mu\nu} - \frac{1}{4} \alpha' H_{\mu\lambda\omega} H_\nu^{\lambda\omega} + \dots + \mathcal{O}(\alpha'^2) \quad (3)$$

We want to verify the coefficient of  $\alpha' H^2$  term in  $\beta_{\mu\nu}^G$ ; for convenience we've omitted non-related terms in the above expressions.

Note that at  $\mathcal{O}(\alpha')$  such term does not depend on the metric  $G_{\mu\nu}$ , and it depends only on the field strength  $H = dB$ , not the potential  $B$ , hence it's safe to assume:

$$G_{\mu\nu} = \eta_{\mu\nu}, \quad B_{\mu\nu} = \frac{1}{3} H_{\mu\nu\rho} X^\rho, \quad H = \text{const}, \quad (4)$$

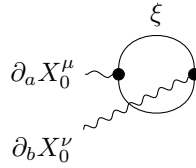
$$i\epsilon^{ab} B_{\mu\nu}(X) \partial_a X^\mu \partial_b X^\nu = \frac{i}{3} H_{\mu\nu\rho} X^\rho \epsilon^{ab} \partial_a X^\mu \partial_b X^\nu, \quad (5)$$

We consider small perturbation away from the classical saddle:  $X = X_0 + \xi$ , then the 1-loop effective action is obtained by integrating over  $\mathcal{O}(\xi^2)$  terms in the perturbed action<sup>1</sup>:

$$\Gamma^{(1)}[X_0] = -\ln \int \mathcal{D}\xi e^{-S^{(2)}[X_0, \xi]}, \quad (6)$$

$$\begin{aligned} \mathcal{L}^{(2)} &= \frac{i}{3} H_{\mu\nu\rho} \epsilon^{ab} \left( \xi^\rho \partial_a X_0^\mu \partial_b \xi^\nu + \xi^\rho \partial_a \xi^\mu \partial_b X_0^\nu + X_0^\rho \partial_a \xi^\mu \partial_b \xi^\nu \right) \\ &\sim \frac{i}{3} H_{\mu\nu\rho} \epsilon^{ab} \left( \xi^\rho \partial_a X_0^\mu \partial_b \xi^\nu - \xi^\rho \partial_a X_0^\nu \partial_b \xi^\mu - \xi^\mu \partial_a X_0^\rho \partial_b \xi^\nu \right) \\ &= \frac{i}{3} H_{\mu\nu\rho} \epsilon^{ab} \cdot 3\xi^\rho \partial_a X_0^\mu \partial_b \xi^\nu \\ &= i H_{\mu\nu\rho} \epsilon^{ab} \partial_a X_0^\mu (\xi^\rho \partial_b \xi^\nu) \end{aligned} \quad (7)$$

Here we've used the anti-symmetric properties of  $H_{\mu\nu\rho}$ ,  $\epsilon^{ab}$ , and ignored any total derivative after integration by parts. This term introduces a cubic interaction vertex in the free background; therefore,  $\Gamma^{(1)}$  can be expressed in the following diagram<sup>2</sup>:



$$\sim \frac{1}{2!} \left( \frac{1}{\alpha'} \right)^2 \int d^2p \left( i H_{\mu\nu\rho} \epsilon^{ab} \partial_a X_0^\mu i p_b \right) \frac{2}{p^4} \left( -\frac{\alpha'}{2} \right)^2 \left( i H_{\mu'\nu\rho} \epsilon^{a'b'} \partial_{a'} X_0^{\mu'} i p_{b'} \right) \quad (8)$$

<sup>1</sup>Reference: Prof. Xi Yin's String Notes, see also [arXiv:0812.4408](https://arxiv.org/abs/0812.4408).

<sup>2</sup>References:

- David Tong, *String Theory*;
- Callan & Thorlacius, *Sigma Models and String Theory*;
- Timo Weigand, *Introduction to String Theory*.

$$= \frac{2}{2!} \left( \frac{1}{\alpha'} \right)^2 \left( -\frac{\alpha'}{2} \right)^2 H_{\mu\lambda\omega} H_{\nu}{}^{\lambda\omega} \partial_a X_0^\mu \partial_b X_0^\nu \int d^2p \frac{p^2 g^{ab} - p^a p^b}{p^4} \quad (9)$$

$$= \frac{2}{2!} \left( -\frac{1}{2} \right)^2 H_{\mu\lambda\omega} H_{\nu}{}^{\lambda\omega} \partial_a X_0^\mu \partial_b X_0^\nu \left( \frac{1}{2} g^{ab} \right) \int d^2p \frac{1}{p^2} \quad (10)$$

$$= \frac{2}{2!} \left( -\frac{1}{2} \right)^2 \left( \frac{1}{2} \right) H_{\mu\lambda\omega} H_{\nu}{}^{\lambda\omega} \partial_a X_0^\mu \partial_b X_0^\nu g^{ab} \int d^2p \frac{1}{p^2} \quad (11)$$

$$= \frac{1}{8} H_{\mu\lambda\omega} H_{\nu}{}^{\lambda\omega} g^{ab} \partial_a X_0^\mu \partial_b X_0^\nu \int d^2p \frac{1}{p^2} \quad (12)$$

Here the  $\left(\frac{1}{\alpha'}\right)^2$  coefficient comes from the vertices, while  $\left(-\frac{\alpha'}{2}\right)^2$  comes from the propagators. The  $p^a p^b$  integral provides an additional  $\left(\frac{1}{2}\right)$  factor. The overall normalization is chosen to match the  $\alpha' R_{\mu\nu}$  coefficient in  $\beta_{\mu\nu}^G \subset T_a^a$ , which is  $\frac{1}{1!} \times \left(-\frac{1}{2}\right) \times 1 = -\frac{1}{2}$ . Therefore, we have:

$$T_a^a \supset \frac{1}{8} H_{\mu\lambda\omega} H_{\nu}{}^{\lambda\omega} g^{ab} \partial_a X_0^\mu \partial_b X_0^\nu, \quad (13)$$

$$\beta_{\mu\nu}^G \supset -\frac{1}{4} \alpha' H_{\mu\lambda\omega} H_{\nu}{}^{\lambda\omega} \quad (14)$$

■

**[2] Classical Solutions of 11D SUGRA:** Following the convention of *Polchinski*, we have bosonic action:

$$S = \frac{1}{2\kappa^2} \int \left( d^{11}x \sqrt{-g} \mathcal{R} - \frac{1}{2} G \wedge * G - \frac{1}{6} C \wedge G \wedge G \right), \quad (15)$$

Here  $G = dC$ : a 4-form field. In components, the numerical coefficients would be  $\frac{1}{2} \mapsto \frac{1}{2 \times 4!} = \frac{1}{48}$ , and  $\frac{1}{6} \mapsto \frac{1}{6 \times 3! \times 4! \times 4!} = \frac{1}{20736}$ .

Variation of the action yields the EOMs of our theory<sup>3</sup>; Note that:

$$\delta \sqrt{-g} = \frac{1}{2} \sqrt{-g} g^{\mu\nu} \delta g_{\mu\nu} = -\frac{1}{2} \sqrt{-g} g_{\mu\nu} \delta g^{\mu\nu} \quad (16)$$

$\frac{\delta S}{\delta g^{\mu\nu}}$  is easier to compute in components; note that the  $C \wedge G \wedge G$  term does not depend on  $g^{\mu\nu}$ , therefore it does not contribute to the EOM. We have the usual Einstein's equations:

$$R_{\mu\nu} - \frac{1}{2} \mathcal{R} g_{\mu\nu} = \kappa^2 T_{\mu\nu}, \quad (17)$$

$$\begin{aligned} T_{\mu\nu} &= \frac{1}{\kappa^2} \left( \frac{4}{48} G_{\mu\sigma_1\sigma_2\sigma_3} G_{\nu}{}^{\sigma_1\sigma_2\sigma_3} - \frac{1}{2} g_{\mu\nu} \cdot \frac{1}{48} G^{\sigma_1\sigma_2\sigma_3\sigma_4} G_{\sigma_1\sigma_2\sigma_3\sigma_4} \right) \\ &= \frac{1}{12\kappa^2} \left( G_{\mu\sigma_1\sigma_2\sigma_3} G_{\nu}{}^{\sigma_1\sigma_2\sigma_3} - \frac{1}{8} g_{\mu\nu} G^{\sigma_1\sigma_2\sigma_3\sigma_4} G_{\sigma_1\sigma_2\sigma_3\sigma_4} \right) \end{aligned} \quad (18)$$

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<sup>3</sup>Reference: [arXiv:hep-th/9912164](https://arxiv.org/abs/hep-th/9912164). I would like to thank *Lucy Smith* for many helpful discussions.

On the other hand,  $\frac{\delta S}{\delta C}$  is best carried out using differential forms:

$$\begin{aligned}
0 = \delta_C S &= -\frac{1}{2\kappa^2} \int \left( \delta G \wedge *G + \frac{1}{6} (\delta C \wedge G \wedge G - 2C \wedge \delta G \wedge G) \right) \\
&= -\frac{1}{2\kappa^2} \int \left( \delta(dC) \wedge *G + \frac{1}{6} (\delta C \wedge G \wedge G + 2\delta(dC) \wedge C \wedge G) \right) \\
&= -\frac{1}{2\kappa^2} \int \left( -(-1)^3 \delta C \wedge d *G + \frac{1}{6} (\delta C \wedge G \wedge G - 2(-1)^3 \delta C \wedge d(C \wedge G)) \right) \quad (19) \\
&= -\frac{1}{2\kappa^2} \int \delta C \wedge \left( d *G + \frac{1}{6} (G \wedge G + 2(G \wedge G - C \wedge d^2 C)) \right) \\
&= -\frac{1}{2\kappa^2} \int \delta C \wedge \left( d *G + \frac{1}{2} G \wedge G \right),
\end{aligned}$$

$$d *G + \frac{1}{2} G \wedge G = 0 \quad (20)$$

(a) We hope to find a spacetime solution which is *maximally symmetric* in *some* directions; assume that these directions form a  $d$ -dimensional sub-manifold  $\mathcal{M}_d$  with:

$$\begin{aligned}
\text{Coordinates: } & x^{\mu'}, \mu' \in \Delta \subset \{0, 1, \dots, 11\}, \\
\text{Induced metric: } & g' = g|_{\mathcal{M}_d}
\end{aligned} \quad (21)$$

The entire spacetime is then a direct product:  $\mathcal{M}_d \times \widetilde{\mathcal{M}}_{11-d}$ . For  $\mathcal{M}_d$  to be maximally symmetric, we expect that  $\kappa^2 T_{\mu'\nu'} = -\Lambda g'_{\mu'\nu'}$ , i.e. the  $G$ -field serves as a cosmological constant  $\Lambda$ . By staring at (18) we find that this can be achieved with<sup>4</sup>:

$$d = 4, \quad G_{\sigma_1 \sigma_2 \sigma_3 \sigma_4} = \alpha \sqrt{|g'|} \epsilon_{\sigma_1 \sigma_2 \sigma_3 \sigma_4}, \quad G^{\sigma_1 \sigma_2 \sigma_3 \sigma_4} = \alpha \frac{\text{sgn } g'}{\sqrt{|g'|}} \epsilon^{\sigma_1 \sigma_2 \sigma_3 \sigma_4}, \quad \{\sigma_i\} \subset \Delta, \quad (22)$$

$$G_{\dots \sigma \dots} = 0, \quad \sigma \notin \Delta, \quad (23)$$

$$T_{\mu\nu} = (\text{sgn } g') \frac{\alpha^2}{12\kappa^2} \left( 3! g'_{\mu\nu} - \frac{4!}{8} g_{\mu\nu} \right) = (\text{sgn } g') \frac{\alpha^2}{2\kappa^2} \left( g'_{\mu\nu} - \frac{1}{2} g_{\mu\nu} \right), \quad (24)$$

$$\Lambda g_{\mu\nu} = \mp (\text{sgn } g') \frac{\alpha^2}{4\kappa^2} g_{\mu\nu}, \quad \begin{cases} -: \mu = \mu', \nu = \nu' \in \Delta, & \sim \mathcal{M}_4 \\ +: \mu, \nu \notin \Delta, & \sim \widetilde{\mathcal{M}}_7 \end{cases} \quad (25)$$

Matter EOM is trivially satisfied due to anti-symmetry. We see that the other component  $\widetilde{\mathcal{M}}_7$  is also maximally symmetric, but with an opposite sign in its cosmological constant.

The field equations in  $\mathcal{M}_4$  and  $\widetilde{\mathcal{M}}_7$  are both of the form  $R_{\mu\nu} \propto g_{\mu\nu}$ . For  $\text{sgn } g' = -1$  i.e. Lorentzian signature, the solution is flat, AdS or dS, depending on the sign of  $\Lambda$ ; for  $\text{sgn } g' = +1$ , the solution is flat, spherical or hyperbolic. Therefore, we have:

$$\begin{aligned}
\text{sgn } g' = -1, \quad \Lambda_{4,7} &= \pm \frac{\alpha^2}{4\kappa^2}, \quad \mathcal{M}_4 = \text{AdS}_{3,1}, \quad \widetilde{\mathcal{M}}_7 = S^7 \\
\text{sgn } g' = +1, \quad \Lambda_{4,7} &= \mp \frac{\alpha^2}{4\kappa^2}, \quad \mathcal{M}_4 = S^4, \quad \widetilde{\mathcal{M}}_7 = \text{AdS}_{6,1}
\end{aligned} \quad (26)$$

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<sup>4</sup>This is in fact the famous *Freund-Rubin ansatz*; see Wikipedia: *Freund-Rubin compactification*, and also the original paper: Freund & Robin, *Dynamics of Dimensional Reduction*, 1980.

(b) Global supersymmetries of a theory with the above  $\text{AdS}_{4/7} \times S^{4/7}$  background are given by the solutions of:

$$0 = \delta_\eta \psi^\mu \equiv D^\mu \eta(x), \quad \eta: \text{spinor}, \quad (27)$$

$$\begin{aligned} D^\mu &= \nabla^\mu + \frac{1}{288} G_{\nu\rho\sigma\lambda} (\Gamma^{\mu\nu\rho\sigma\lambda} - 8g^{\mu\nu}\Gamma^{\rho\sigma\lambda}) \\ &= \nabla^\mu + \frac{1}{288} G_{\nu'\rho'\sigma'\lambda'} (\Gamma^{\mu\nu'\rho'\sigma'\lambda'} - 8g^{\mu\nu'}\Gamma^{\rho'\sigma'\lambda'}) \\ &= \nabla^\mu + \alpha \begin{cases} \frac{-8 \times 3!}{288} (-\Gamma^\mu \gamma_5) = \frac{1}{6} \Gamma^\mu \gamma_5, & \mu = \mu' \in \Delta, \quad \sim \mathcal{M}_4 \\ \frac{4!}{288} (-\Gamma^\mu) = -\frac{1}{12} \Gamma^\mu, & \mu \notin \Delta, \quad \sim \widetilde{\mathcal{M}}_7 \end{cases} \end{aligned} \quad (28)$$

Note that we've replaced the  $G$  indices with  $\mathcal{M}_4$  indices, since  $G$  vanish in  $\widetilde{\mathcal{M}}_7$  directions; due to anti-symmetry, the  $G$ -term can be reduced to simple  $\Gamma^\mu$  multiplications according to the  $\mu$ -direction<sup>5</sup>. Furthermore, the spin connection in  $\nabla^\mu$  is also block diagonalized, same as  $g_{\mu\nu}$ ; hence there is a natural separation of variable<sup>6</sup>:

$$\eta = \eta'(x') \eta''(x''), \quad D_{\mu'} \eta' = 0, \quad D_{\mu''} \eta'' = 0, \quad (29)$$

$$\mu', \eta', x' \sim \mathcal{M}_4, \quad \mu'', \eta'', x'' \sim \widetilde{\mathcal{M}}_7, \quad (30)$$

Due to the presence of an additional  $\Gamma$ ,  $D_{\mu'} \eta' = 0$  has only 4 linearly independent solutions labeled by  $\mu'$ , while  $D_{\mu''} \eta'' = 0$  is  $\text{Spin}(8)$  (or  $\text{Spin}(7,1)$ , depending on the signature) invariant, and has  $\frac{8 \times 7}{2} = 28$  linearly independent solutions<sup>7</sup>. Hence the total number of SUSYs is  $4 + 28 = 32$ , for  $\text{AdS}_{4/7} \times S^{4/7}$  background.

### 3 SUSY Sigma Models via Superspace:

$$\begin{aligned} D_{\bar{\theta}} \mathbf{X}^\nu &= (\partial_{\bar{\theta}} + \bar{\theta} \partial_{\bar{z}}) (X^\nu + i\theta \psi^\nu + i\bar{\theta} \tilde{\psi}^\nu + \theta \bar{\theta} F^\nu) \\ &= i\tilde{\psi}^\nu - \theta F^\nu + \bar{\theta} \bar{\partial} X^\nu - i\theta \bar{\theta} \bar{\partial} \psi^\nu, \end{aligned} \quad (31)$$

$$D_{\theta} \mathbf{X}^\mu = i\psi^\mu + \bar{\theta} F^\mu + \theta \partial X^\mu + i\theta \bar{\theta} \partial \tilde{\psi}^\mu,$$

$$\begin{aligned} D_{\bar{\theta}} \mathbf{X}^\nu D_{\theta} \mathbf{X}^\mu &= (i\tilde{\psi}^\nu - \theta F^\nu + \bar{\theta} \bar{\partial} X^\nu - i\theta \bar{\theta} \bar{\partial} \psi^\nu) (i\psi^\mu + \bar{\theta} F^\mu + \theta \partial X^\mu + i\theta \bar{\theta} \partial \tilde{\psi}^\mu) \\ &= -\tilde{\psi}^\nu \psi^\mu - i\theta (\tilde{\psi}^\nu \partial X^\mu + \psi^\mu F^\nu) + i\bar{\theta} (\psi^\mu \bar{\partial} X^\nu - \tilde{\psi}^\nu F^\mu) \\ &\quad - \theta \bar{\theta} (\bar{\partial} X^\nu \partial X^\mu + \tilde{\psi}^\nu \partial \tilde{\psi}^\mu - (\bar{\partial} \psi^\nu) \psi^\mu + F^\nu F^\mu), \end{aligned} \quad (32)$$

$$\begin{aligned} G_{\mu\nu}(\mathbf{X}) &= G_{\mu\nu} + (i\theta \psi^\lambda + i\bar{\theta} \tilde{\psi}^\lambda + \theta \bar{\theta} F^\lambda) \partial_\lambda G_{\mu\nu} + \frac{1}{2} \left\{ i\theta \psi^\rho \partial_\rho, i\bar{\theta} \tilde{\psi}^\sigma \partial_\sigma \right\} G_{\mu\nu} \\ &= G_{\mu\nu} + (i\theta \psi^\lambda + i\bar{\theta} \tilde{\psi}^\lambda) G_{\mu\nu, \lambda} + \theta \bar{\theta} (F^\lambda G_{\mu\nu, \lambda} + \psi^\rho \tilde{\psi}^\sigma G_{\mu\nu, \rho\sigma}), \end{aligned} \quad (33)$$

<sup>5</sup>Reference for  $\Gamma$ -matrices and spinors: *Polchinski* Vol. II, Appendix B. I'm a bit confused about all the complicated conventions, therefore the coefficients might be off by some factors...

<sup>6</sup>See [arXiv:hep-th/9912164](https://arxiv.org/abs/hep-th/9912164) for more detailed discussions.

<sup>7</sup>Reference: Achilleas Passias, *Aspects of Supergravity in Eleven Dimensions*.

Note that  $\int d^2\theta = \partial_\theta \bar{\partial}_\theta$ , hence we need only focus on the  $\theta\bar{\theta}$  term in the Lagrangian:

$$\begin{aligned}
4\pi S_G &= \int d^2z d^2\theta G_{\mu\nu}(\mathbf{X}) D_{\bar{\theta}} \mathbf{X}^\mu D_\theta \mathbf{X}^\nu = \int d^2z d^2\theta (-\theta\bar{\theta}) \left( G_{\mu\nu} (\partial X^\mu \bar{\partial} X^\nu + \dots) + \dots \right) \\
&= \int d^2z \left( G_{\mu\nu} \left( \partial X^\mu \bar{\partial} X^\nu + \tilde{\psi}^\nu \partial \tilde{\psi}^\mu - (\bar{\partial} \psi^\nu) \psi^\mu + F^\nu F^\mu \right) \right. \\
&\quad \left. + \tilde{\psi}^\nu \psi^\mu \left( F^\lambda G_{\mu\nu,\lambda} + \psi^\rho \tilde{\psi}^\sigma G_{\mu\nu,\rho\sigma} \right) \right. \\
&\quad \left. - G_{\mu\nu,\lambda} \left( \psi^\lambda (\psi^\mu \bar{\partial} X^\nu - \tilde{\psi}^\nu F^\mu) + \tilde{\psi}^\lambda (\tilde{\psi}^\nu \partial X^\mu + \psi^\mu F^\nu) \right) \right)
\end{aligned} \tag{34}$$

Similar result holds for the  $B$  contribution  $S_B$ . We see that there is no  $\partial F$  term in the action, hence  $F$  is not dynamical and can be integrated out; we have:

$$0 = \delta_F S = \delta_F (S_G + S_B), \tag{35}$$

$$\begin{aligned}
4\pi \delta S_G &= \int d^2z \left( 2G_{\mu\nu} F^\mu \delta F^\nu + G_{\mu\nu,\lambda} (\tilde{\psi}^\nu \psi^\mu \delta F^\lambda - \tilde{\psi}^\nu \psi^\lambda \delta F^\mu - \tilde{\psi}^\lambda \psi^\mu \delta F^\nu) \right) \\
&= \int d^2z \left( 2F_\lambda + (G_{\mu\nu,\lambda} - G_{\lambda\mu,\nu} - G_{\lambda\nu,\mu}) \tilde{\psi}^\nu \psi^\mu \right) \delta F^\lambda \\
&= \int d^2z \left( 2F_\lambda - 2\Gamma_{\lambda\mu\nu} \tilde{\psi}^\nu \psi^\mu \right) \delta F^\lambda,
\end{aligned} \tag{36}$$

$$\begin{aligned}
4\pi \delta S_B &= \int d^2z \left( 0 + (B_{\mu\nu,\lambda} + B_{\lambda\mu,\nu} + B_{\nu\lambda,\mu}) \tilde{\psi}^\nu \psi^\mu \right) \delta F^\lambda = \int d^2z H_{\lambda\mu\nu} \tilde{\psi}^\nu \psi^\mu \delta F^\lambda, \\
F_\lambda &= \left( \Gamma_{\lambda\mu\nu} - \frac{1}{2} H_{\lambda\mu\nu} \right) \tilde{\psi}^\nu \psi^\mu,
\end{aligned} \tag{37}$$

$$F^\lambda = \left( \Gamma_{\mu\nu}^\lambda - \frac{1}{2} H_{\mu\nu}^\lambda \right) \tilde{\psi}^\nu \psi^\mu, \tag{38}$$

Here we've used the (anti-)symmetry of  $G_{\mu\nu}$  and  $B_{\mu\nu}$ , and we adopt the convention that the Levi-Civita connection  $\Gamma_{\mu\nu}^\lambda = \Gamma_{\nu\mu}^\lambda = G^{\lambda\lambda'} \Gamma_{\lambda'\mu\nu}$ ; similar holds for  $B_{\mu\nu}$  and  $H_{\mu\nu}^\lambda$ .

Substitute  $F_\lambda$  into  $S$ , collect the  $\psi^0, \psi^2, \tilde{\psi}^2$  and  $\psi^2 \tilde{\psi}^2$  terms respectively, and we have:

$$\begin{aligned}
4\pi S &= \int d^2z \left( (G_{\mu\nu} + B_{\mu\nu}) \partial X^\mu \bar{\partial} X^\nu \right. \\
&\quad \left. + (G_{\mu\nu} + \cancel{B_{\mu\nu}}) \left( \tilde{\psi}^\mu \partial \tilde{\psi}^\nu - (\bar{\partial} \psi^\mu) \psi^\nu \right) \right. \\
&\quad \left. - (G_{\mu\nu,\lambda} + B_{\mu\nu,\lambda}) \left( \psi^\lambda \psi^\mu \bar{\partial} X^\nu + \tilde{\psi}^\lambda \tilde{\psi}^\nu \partial X^\mu \right) \right. \\
&\quad \left. + G_{\mu\nu} F^\mu F^\nu - 2 \left( \Gamma_{\lambda\mu\nu} - \frac{1}{2} H_{\lambda\mu\nu} \right) \tilde{\psi}^\nu \psi^\mu F^\lambda \right. \\
&\quad \left. + (G_{\mu\nu,\rho\sigma} + B_{\mu\nu,\rho\sigma}) \tilde{\psi}^\nu \psi^\mu \psi^\rho \tilde{\psi}^\sigma \right) \\
&= \int d^2z \left( (G_{\mu\nu} + B_{\mu\nu}) \partial X^\mu \bar{\partial} X^\nu \right. \\
&\quad \left. + G_{\mu\nu} \left( \tilde{\psi}^\mu \partial \tilde{\psi}^\nu + \psi^\mu \bar{\partial} \psi^\nu \right) - (G_{\mu\nu,\lambda} + B_{\mu\nu,\lambda}) \left( \psi^\lambda \psi^\mu \bar{\partial} X^\nu + \tilde{\psi}^\lambda \tilde{\psi}^\nu \partial X^\mu \right) \right. \\
&\quad \left. - F_\lambda F^\lambda + (G_{\mu\nu,\rho\sigma} + B_{\mu\nu,\rho\sigma}) \psi^\mu \psi^\rho \tilde{\psi}^\nu \tilde{\psi}^\sigma \right)
\end{aligned} \tag{39}$$

Here we've performed some integration by parts to clean up the result. Note that some terms involving  $B_{\mu\nu}$  vanish conveniently (up to integration by parts) due to anti-symmetry.

The  $\psi^2, \tilde{\psi}^2$  terms in the integrand can be further simplified as follows:

$$\begin{aligned}
\mathcal{L}_{\psi^2} &= G_{\mu\nu} \psi^\mu \bar{\partial} \psi^\nu - (G_{\mu\nu, \lambda} + B_{\mu\nu, \lambda}) \psi^\lambda \psi^\mu \bar{\partial} X^\nu \\
&= G_{\mu\nu} \psi^\mu \bar{\partial} \psi^\nu - (G_{\mu[\nu, \lambda]} + B_{\mu[\nu, \lambda]}) \psi^\lambda \psi^\mu \bar{\partial} X^\nu \\
&= G_{\mu\nu} \psi^\mu \bar{\partial} \psi^\nu - \left( -\Gamma_{\lambda\mu\nu} + \frac{1}{2} H_{\lambda\mu\nu} \right) \psi^\lambda \psi^\mu \bar{\partial} X^\nu \\
&= G_{\mu\nu} \psi^\mu \left( \bar{\partial} \psi^\nu + \left( \Gamma_{\rho\sigma}^\nu - \frac{1}{2} H_{\rho\sigma}^\nu \right) \psi^\rho \bar{\partial} X^\sigma \right) \\
&= G_{\mu\nu} \psi^\mu \left( \bar{\partial} \psi^\nu + \left( \Gamma_{\rho\sigma}^\nu + \frac{1}{2} H_{\rho\sigma}^\nu \right) \psi^\sigma \bar{\partial} X^\rho \right) = G_{\mu\nu} \psi^\mu \bar{\mathcal{D}} \psi^\nu, \\
\mathcal{L}_{\tilde{\psi}^2} &= G_{\mu\nu} \tilde{\psi}^\mu \partial \tilde{\psi}^\nu - (G_{\mu\nu, \lambda} + B_{\mu\nu, \lambda}) \tilde{\psi}^\lambda \tilde{\psi}^\mu \partial X^\nu \\
&= G_{\mu\nu} \tilde{\psi}^\mu \left( \partial \tilde{\psi}^\nu + \left( \Gamma_{\rho\sigma}^\nu - \frac{1}{2} H_{\rho\sigma}^\nu \right) \tilde{\psi}^\sigma \partial X^\rho \right) = G_{\mu\nu} \tilde{\psi}^\mu \mathcal{D} \tilde{\psi}^\nu,
\end{aligned} \tag{40}$$

For the  $\psi^2 \tilde{\psi}^2$  term, recall that  $R_{\mu\nu\rho\sigma} = e_\mu [\nabla_\rho, \nabla_\sigma] e_\nu$ ,  $\nabla_\sigma e_\nu = e_\lambda \Gamma_{\sigma\nu}^\lambda$ , and we have:

$$\begin{aligned}
\mathcal{L}_{\psi^2 \tilde{\psi}^2} &= \psi^\mu \psi^\nu \tilde{\psi}^\rho \tilde{\psi}^\sigma \left( G_{\mu\rho, \nu\sigma} + B_{\mu\rho, \nu\sigma} + \left( \Gamma_{\lambda\mu\rho} - \frac{1}{2} H_{\lambda\mu\rho} \right) \left( \Gamma_{\nu\sigma}^\lambda - \frac{1}{2} H_{\nu\sigma}^\lambda \right) \right) \\
&= \psi^\mu \psi^\nu \tilde{\psi}^\rho \tilde{\psi}^\sigma \left( G_{\mu\rho, \nu\sigma} + \Gamma_{\lambda\mu\rho} \Gamma_{\nu\sigma}^\lambda + B_{\mu\rho, \nu\sigma} - \frac{1}{2} \left( \Gamma_{\mu\rho}^\lambda H_{\lambda\nu\sigma} + \Gamma_{\nu\sigma}^\lambda H_{\lambda\mu\rho} \right) + \frac{1}{4} H_{\mu\rho}^\lambda H_{\lambda\nu\sigma} \right) \\
&= \mathcal{L}_G + \mathcal{L}_B + \frac{1}{4} H_{\mu\rho}^\lambda H_{\lambda\nu\sigma} \psi^\mu \psi^\nu \tilde{\psi}^\rho \tilde{\psi}^\sigma,
\end{aligned} \tag{41}$$

$$\begin{aligned}
\mathcal{L}_G &= \psi^\mu \psi^\nu \tilde{\psi}^\rho \tilde{\psi}^\sigma (G_{\mu\rho, \nu\sigma} + \Gamma_{\lambda\mu\rho} \Gamma_{\nu\sigma}^\lambda) \\
&= \psi^{[\mu} \psi^{\nu]} \tilde{\psi}^{[\rho} \tilde{\psi}^{\sigma]} (G_{\mu\rho, \nu\sigma} + \Gamma_{\lambda\mu\rho} \Gamma_{\nu\sigma}^\lambda) \\
&= \frac{1}{2} \psi^\mu \psi^\nu \tilde{\psi}^\rho \tilde{\psi}^\sigma \left\{ \left( \frac{1}{2} (G_{\mu\rho, \nu\sigma} - G_{\mu\sigma, \nu\rho}) + \Gamma_{\lambda\mu\rho} \Gamma_{\nu\sigma}^\lambda \right) - (\dots)_{\rho \leftrightarrow \sigma} \right\} \\
&= \frac{1}{2} R_{\mu\nu\rho\sigma} \psi^\mu \psi^\nu \tilde{\psi}^\rho \tilde{\psi}^\sigma,
\end{aligned} \tag{42}$$

$$\mathcal{L}_B = \frac{1}{2} \nabla_\rho H_{\mu\nu\sigma} \psi^\mu \psi^\nu \tilde{\psi}^\rho \tilde{\psi}^\sigma,$$

Therefore, the total action is:

$$\begin{aligned}
S &= \frac{1}{4\pi} \int d^2 z \left( (G_{\mu\nu} + B_{\mu\nu}) \partial X^\mu \bar{\partial} X^\nu \right. \\
&\quad \left. + G_{\mu\nu} \left( \tilde{\psi}^\mu \mathcal{D} \tilde{\psi}^\nu + \psi^\mu \bar{\mathcal{D}} \psi^\nu \right) \right. \\
&\quad \left. + \left( \frac{1}{2} R_{\mu\nu\rho\sigma} + \frac{1}{2} \nabla_\rho H_{\mu\nu\sigma} + \frac{1}{4} H_{\mu\rho}^\lambda H_{\lambda\nu\sigma} \right) \psi^\mu \psi^\nu \tilde{\psi}^\rho \tilde{\psi}^\sigma \right)
\end{aligned} \tag{43}$$

#### 4 Mixed Anomaly Between Diffeomorphism and Axial $U(1)$ Symmetry:



☞ PAST WORK, AS TEMPLATE ☞

**5** BRST Quantization of Bosonic String:

$$S = S^X + S^{bc}, \quad (44)$$

$$S^X = \frac{1}{2\pi\alpha'} \int d^2z \partial X^\mu \bar{\partial} X_\mu, \quad S^{bc} = \frac{1}{2\pi} \int d^2z (b \bar{\partial} c + \tilde{b} \partial \tilde{c}) \quad (45)$$

This is the gauge fixed action. The corresponding BRST transformation is listed in *Polchinski*; for each of the subsystems, we have its energy-momentum:

$$T^X(z) = -\frac{1}{\alpha'} : \partial X^\mu \partial X_\mu :, \quad \tilde{T}^X(\bar{z}) = -\frac{1}{\alpha'} : \bar{\partial} X^\mu \bar{\partial} X_\mu :, \quad (46)$$

$$T^{bc}(z) = :(\partial b)c: - 2\partial(bc), \quad \tilde{T}^{bc}(\bar{z}) = :(\bar{\partial} \tilde{b})\tilde{c}: - 2\bar{\partial}(\tilde{b}\tilde{c}), \quad (47)$$

(a) To get the energy-momentum of  $S$ , let's visit each of the subsystems respectively; first, BRST transformation of  $X$  is given by:

$$\delta X^\mu = i\epsilon (c\partial + \tilde{c}\bar{\partial})X^\mu \quad (48)$$

Compared with the conformal transformation<sup>8</sup>:  $\delta X^\mu = -\epsilon (v\partial + \tilde{v}\bar{\partial})X^\mu$ , we see that they are in fact identical under the equivalence  $-\epsilon v \sim i\epsilon c$ ,  $-\epsilon \tilde{v} \sim i\epsilon \tilde{c}$ , hence we can simply follow the derivation of conformal current and write down  $\delta S^X$ 's contribution to the conserved current:

$$j^X = c(z) T^X(z) \quad (49)$$

The transformation of  $b, c$  is less obvious; for holomorphic current, we need only focus on the holomorphic part of  $S^{bc}$ ; on-shell variation yields:

$$0 = \delta S^{bc} = \left( \frac{1}{2\pi} \int d^2z (-\bar{\partial} c \delta b - \bar{\partial} b \delta c) \right)_{=0} + \frac{1}{2\pi} \int d^2z \bar{\partial}(b \delta c) = \frac{1}{2\pi} \int d^2z \bar{\partial} \epsilon (-ibc \partial c) \quad (50)$$

Here we've plugged in  $\delta c = i\epsilon(z, \bar{z}) c \partial c$ , and we have moved  $\bar{\partial} \epsilon$  to the beginning of the expression, while respecting the anti-commuting nature of  $\epsilon$ . With a conventional  $i$  coefficient (which agrees with the convention of  $j^X$ ), we have  $bc$ 's contribution to the conserved current:

$$j^{bc} = i(-ibc \partial c) = bc \partial c \quad (51)$$

Note that  $j^{bc}$  is, in fact, related to the energy-momentum (at least classically):

$$\frac{1}{2} c T^{bc} = \frac{1}{2} c (\partial b) c - c \partial(bc) = -c \partial(bc) = -cb \partial c = bc \partial c = j^{bc} \quad (52)$$

Hence we have the classical BRST current:

$$j(z) = c(z) \left( T^X + \frac{1}{2} T^{bc} \right) \quad (53)$$

□

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<sup>8</sup>We follow the convention of *Polchinski* unless otherwise stated.



For a quantum version, redefine  $j(z)$  with normal ordering<sup>9</sup>, and we have:

$$T(z) j(0) \sim T^X(z) T^X(0) c(0) + T^{bc}(z) c T^X(0) + T^{bc}(z) :bc \partial c:_{(0)}, \quad (54)$$

$$\text{where } T^X(z) T^X(0) c(0) \sim \left( \frac{D}{2z^4} + \frac{2}{z^2} T^X(0) + \frac{1}{z} \partial T^X(0) \right) c(0), \quad (55)$$

Here we've used the fact that  $X$  and  $b, c$  is de-coupled in the gauge-fixed action, hence their OPE is trivial. Also, we've expanded the first term using  $TT$  OPE of the free boson. Additionally, note that  $c(z)$  is primary with weight  $(-1, 0)$ , we have:

$$\begin{aligned} T^{bc}(z) c T^X(0) &\sim \{T^{bc}(z) c(0)\} T^X(0) \\ &\sim \left( \frac{-1}{z^2} c(0) + \frac{1}{z} \partial c(0) \right) T^X(0), \end{aligned} \quad (56)$$

The last term in (54) can be brute-forced as follows:

$$T^{bc}(z) :bc \partial c:_{(0)} = (:(\partial b) c: - 2 \partial (:bc:))_{(z)} :bc \partial c:_{(0)}, \quad (57)$$

$$\begin{aligned} :(\partial b) c:_{(z)} :bc \partial c:_{(0)} &\sim :(\overbrace{(\partial b) c_{(z)}}^{\text{}} \overbrace{bc \partial c_{(0)}}^{\text{}}): + :(\overbrace{(\partial b) c_{(z)}}^{\text{}} \overbrace{bc \partial c_{(0)}}^{\text{}}): + :(\overbrace{(\partial b) c_{(z)}}^{\text{}} \overbrace{bc \partial c_{(0)}}^{\text{}}): \\ &\quad + :(\overbrace{(\partial b) c_{(z)}}^{\text{}} \overbrace{bc \partial c_{(0)}}^{\text{}}): + :(\overbrace{(\partial b) c_{(z)}}^{\text{}} \overbrace{bc \partial c_{(0)}}^{\text{}}): \\ &\sim \frac{-1}{z^2} (+1) :c_{(z)} b \partial c_{(0)}: + \frac{-2}{z^3} (-1) :c_{(z)} bc_{(0)}: + \frac{1}{z} (+1) : \partial b_{(z)} c \partial c_{(0)}: \\ &\quad + \frac{-1}{z^2} \cdot \frac{1}{z} (+1) \partial c(0) + \frac{-2}{z^3} \cdot \frac{1}{z} (-1) c(0) \\ &\sim \frac{-1}{z^2} (-j^{bc}(0) + \mathcal{O}(z^2)) + \frac{2}{z^3} \left( z j^{bc}(0) + \frac{z^2}{2} :bc \partial^2 c:_{(0)} + \mathcal{O}(z^3) \right) \\ &\quad + \frac{1}{z} (:(\partial b) c \partial c:_{(0)} + \mathcal{O}(z)) + \frac{-1}{z^3} \partial c(0) + \frac{2}{z^4} c(0) \\ &\sim \frac{4}{2z^4} c(0) + \frac{-1}{z^3} \partial c(0) + \frac{3}{z^2} j^{bc}(0) + \frac{1}{z} : (bc \partial^2 c + (\partial b) c \partial c) :_{(0)}, \\ &\sim \frac{4}{2z^4} c(0) + \frac{-1}{z^3} \partial c(0) + \frac{3}{z^2} j^{bc}(0) + \frac{1}{z} \partial j^{bc}(0), \end{aligned} \quad (58)$$

$$\begin{aligned} :bc:_{(z)} :bc \partial c:_{(0)} &\sim :(\overbrace{bc_{(z)}}^{\text{}} \overbrace{bc \partial c_{(0)}}^{\text{}}): + :(\overbrace{bc_{(z)}}^{\text{}} \overbrace{bc \partial c_{(0)}}^{\text{}}): + :(\overbrace{bc_{(z)}}^{\text{}} \overbrace{bc \partial c_{(0)}}^{\text{}}): \\ &\quad + :(\overbrace{bc_{(z)}}^{\text{}} \overbrace{bc \partial c_{(0)}}^{\text{}}): + :(\overbrace{bc_{(z)}}^{\text{}} \overbrace{bc \partial c_{(0)}}^{\text{}}): \\ &\sim \frac{1}{z} (+1) :c_{(z)} b \partial c_{(0)}: + \frac{1}{z^2} (-1) :c_{(z)} bc_{(0)}: + \frac{1}{z} (+1) :b_{(z)} c \partial c_{(0)}: \\ &\quad + \frac{1}{z} \cdot \frac{1}{z} (+1) \partial c(0) + \frac{1}{z^2} \cdot \frac{1}{z} (-1) c(0) \end{aligned}$$

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<sup>9</sup>Normal ordering between  $\geq 3$  operators is in fact *not* associative; this directly leads to the ambiguity we are about to discover. See *Di Francesco et al* for more detailed discussions. Naïvely,  $:bc \partial c:_{(0)}$  is *defined* as  $b(0) c(z_1) \partial c(z_2)$  while  $z_1, z_2 \rightarrow 0$ , with singular terms subtracted; however, different ways of taking the limit might lead to different results. For example, we can first take  $z_1 \rightarrow 0$  then  $z_2 \rightarrow 0$ , or we can first take  $z_1 \rightarrow z_2$  then  $z_2 \rightarrow 0$ . This two procedures will differ by  $\frac{3}{2} \partial^2 c(z)$ , which is precisely the correction we are about to find out. *I suppose this is somehow related to topology, e.g. braid group?*

$$\begin{aligned}
:bc:(z):bc\partial c:(0) &\sim \frac{1}{z}(-j^{bc}(0)) + \frac{-1}{z^2}(zj^{bc}(0)) + \frac{1}{z}(j^{bc}(0)) + \frac{1}{z^2}\partial c(0) + \frac{-1}{z^3}c(0) \\
&\sim \frac{-1}{z^3}c(0) + \frac{1}{z^2}\partial c(0) + \frac{-1}{z}j^{bc}(0),
\end{aligned} \tag{59}$$

$$\partial(:bc:)(z):bc\partial c:(0) \sim \frac{6}{2z^4}c(0) + \frac{-2}{z^3}\partial c(0) + \frac{1}{z^2}j^{bc}(0), \tag{60}$$

$$T^{bc}(z):bc\partial c:(0) \sim \frac{-8}{2z^4}c(0) + \frac{3}{z^3}\partial c(0) + \frac{1}{z^2}j^{bc}(0) + \frac{1}{z}\partial j^{bc}(0), \tag{61}$$

$$T(z)j(0) \sim ((55) + (56) + (61)) \sim \frac{D-8}{2z^4}c(0) + \frac{3}{z^3}\partial c(0) + \frac{1}{z^2}j(0) + \frac{1}{z}\partial j(0), \tag{62}$$

We see that  $j(z)$  defined with naïve normal ordering is *almost* but *not quite* a primary. It differs from primary OPE at  $\mathcal{O}(\frac{1}{z^4})$  and  $\mathcal{O}(\frac{1}{z^3})$ . However, it is possible to make it into a primary by adding extra terms that do not interfere with current conservation  $\bar{\partial}j = 0$ . To cancel the  $\frac{3}{z^3}\partial c(0)$  term, notice that  $b(z)\partial^2 c(0) \sim \frac{2}{z^3}$ , therefore it may be helpful to look at:

$$\begin{aligned}
T(z)\partial^2 c(0) &\sim T^{bc}(z)\partial^2 c(0) \sim \partial_w^2(T^{bc}(z)c(w))_{w \rightarrow 0} \\
&\sim \partial_w^2\left(\frac{-1}{(z-w)^2}c(w) + \frac{1}{z-w}\partial c(w)\right)_{w \rightarrow 0} \\
&\sim \frac{-12}{2z^4}c(0) + \frac{-2}{z^3}\partial c(0) + \frac{1}{z^2}\partial^2 c(0) + \frac{1}{z}\partial^3 c(0),
\end{aligned} \tag{63}$$

Again we've used  $Tc$  OPE of the primary  $c(w)$ . We see that indeed, the  $\frac{1}{z^3}\partial c(0)$  term can be canceled by shifting  $j(z)$ :

$$j(z) \mapsto j(z) + \frac{3}{2}\partial^2 c(z), \quad j(z) = cT^X + :bc\partial c: + \frac{3}{2}\partial^2 c, \tag{64}$$

$$T(z)j(0) \sim \frac{D-26}{2z^4}c(0) + \frac{1}{z^2}j(0) + \frac{1}{z}\partial j(0), \tag{65}$$

We see that  $j(z)$  defined in this way is a primary of weight  $(1,0)$  in  $D=26$ . This is the quantum BRST current.  $\square$

(b) For  $jj$  OPE, we have:

$$j = cT^X + j', \quad j' \equiv j^{bc} + \frac{3}{2}\partial^2 c, \quad j^{bc} = \frac{1}{2}:cT^{bc}: = :bc\partial c:, \tag{66}$$

$$\begin{aligned}
j_z j_0 &\sim : \{T_z^X T_0^X\} c_z c_0 : + : \{c_z j'_0\} T_z^X : + : \{j'_z c_0\} T_0^X : + j'_z j'_0 \\
&\sim : \{T_z^X T_0^X\} c_z c_0 : + : \{c_z j_0^{bc}\} T_z^X : + : \{j_z^{bc} c_0\} T_0^X : + j'_z j'_0,
\end{aligned} \tag{67}$$

From now on, for convenience and clarity, we will use subscripts to denote variable dependence:  $c_z = c(z)$ . Let's compute this term by term. We have:

$$\begin{aligned}
: \{T_z^X T_0^X\} c_z c_0 : &\sim : \left( \frac{D}{2z^4} + \frac{2}{z^2}T_0^X + \frac{1}{z}\partial T_0^X \right) \left( z\partial c_0 + \frac{z^2}{2}\partial^2 c_0 + \frac{z^3}{6}\partial^3 c_0 \right) c_0 : \\
&\sim - \left( \frac{D}{2z^3}c\partial c_0 + \frac{D}{4z^2}c\partial^2 c_0 + \frac{D}{12z}c\partial^3 c_0 + \frac{2}{z}:T^X c\partial c_0: \right),
\end{aligned} \tag{68}$$

$$\begin{aligned}
j_z^{bc} c_0 &\sim \frac{1}{2} :c T^{bc} :_z c_0 \sim \frac{1}{2} c_z \{ :T^{bc} :_z c_0 \} \sim \frac{1}{2} c_z \{ T_z c_0 \} \\
&\sim -\frac{1}{2} \left( \frac{-1}{z^2} c_0 + \frac{1}{z} \partial c_0 \right) (c_0 + z \partial c_0) \sim 0,
\end{aligned} \tag{69}$$

$$j_0^{bc} c_z \sim 0, \tag{70}$$

$$\begin{aligned}
j_z' j_0' &\sim j_z^{bc} j_0^{bc} + \frac{3}{2} j_z^{bc} \partial^2 c_0 + \frac{3}{2} \partial^2 c_z j_0^{bc} \\
&\sim \frac{1}{2} :c T^{bc} :_z j_0^{bc} + \frac{3}{2} (j_z^{bc} \partial^2 c_0 + \partial^2 c_z j_0^{bc}),
\end{aligned} \tag{71}$$

The task is now reduced to calculating terms in the above  $j'j'$  OPE, which can be laboriously computed following a similar procedure as before. Note that there will be a  $\frac{1}{z} :c T^{bc} : \partial c_0$  term which combines with the  $\frac{2}{z} :c T^X : \partial c_0$  term in (68). In total, we obtain the final  $jj$  OPE:

$$j_z j_0 \sim -\frac{D-18}{2z^3} c \partial c_0 - \frac{D-18}{4z^2} c \partial^2 c_0 - \frac{D-26}{12z} c \partial^3 c_0 \tag{72}$$

(c) Following the convention of *Polchinski*, expand  $X^\mu, b, c$  into modes  $\alpha_n^\mu, b_n, c_n$ , then a generic level 2 state of an open string can be created as<sup>10</sup>:

$$\begin{aligned}
|\psi\rangle &= (e_{\mu\nu} \alpha_{-1}^\mu \alpha_{-1}^\nu + \beta_\mu \alpha_{-1}^\mu b_{-1} + \gamma_\mu \alpha_{-1}^\mu c_{-1} \\
&\quad + \eta b_{-1} c_{-1} + e_\mu \alpha_{-2}^\mu + \beta b_{-2} + \gamma c_{-2}) |k; 0\rangle
\end{aligned} \tag{73}$$

Here  $e_{\mu\nu}$  is chosen to be symmetric since  $\alpha_{-1}^\mu \alpha_{-1}^\nu$  commutes. By acting on  $L_0$  (expanded in modes), we find that  $m^2 = -k^2 = \frac{1}{\alpha'} = l_s$ : massive.

The BRST charge  $Q = \frac{1}{2\pi i} \oint (dz j(z) - d\bar{z} \tilde{j}(z))$  can also be expanded in modes; note that:

$$Q^2 = \frac{1}{2} \{Q, Q\} \propto \oint \frac{dz}{2\pi i} \text{Res}_{z' \rightarrow z} j(z') j(z) + (\text{conjugate}) \tag{74}$$

Compared with the  $jj$  OPE, we see that  $Q$  is nilpotent iff.  $D = 26$ , i.e. the critical dimension of bosonic string theory. This condition is necessary for consistent BRST quantization.

The physical states are firstly,  $Q$ -closed; i.e.

$$Q_B |\psi\rangle = 0 \implies 4l_s k^\mu e_{\mu\nu} + l_s k_\nu \eta + e_\nu = 0, \quad 2\sqrt{2} l_s k^\mu + e_\nu^\nu e_\mu = 0, \quad \beta_\mu = \beta = 0, \tag{75}$$

This is also the negative-norm states.

On the other hand,  $Q$ -exact states generate gauge transformations; this gives:

$$\gamma_\nu \mapsto \gamma_\nu + \gamma'_\nu, \quad \gamma \mapsto \gamma + \gamma', \quad \eta \mapsto \eta + \eta', \quad e_{\mu\nu} \mapsto e_{\mu\nu} + l_s (\beta'_\mu k_\nu + \beta'_\nu k_\mu), \tag{76}$$

Here  $\beta'_\mu, \gamma'_\nu, \gamma', \eta'$  are arbitrary gauge parameters. For closed string the result can be obtained by the doubling trick, i.e. by introducing anti-holomorphic modes  $\tilde{\alpha}, \tilde{b}, \tilde{c}$  and imposing reality conditions. The result is similar.  $\blacksquare$

<sup>10</sup>Reference: Bram M. Wouters, *BRST quantization and string theory spectra*.

## 6 Linear Dilaton CFT:

For  $z \mapsto z + \epsilon(z)$ , we have:

$$\delta X^\mu = -\epsilon \partial X^\mu - \bar{\epsilon} \bar{\partial} X^\mu - \frac{\alpha' V^\mu}{2} (\partial \epsilon + \bar{\partial} \bar{\epsilon}) \quad (77)$$

Note that the  $\alpha'$  term has no dependence on  $X$ .

(a) For simplicity, assume for now  $X = X(z)$ : holomorphic. Note that the  $\alpha'$  term comes from the transformation of *internal* degrees of freedom. We have:

$$X'(z') - X(z) = -\frac{\alpha' V}{2} \partial \epsilon + \mathcal{O}(\epsilon^2), \quad (78)$$

This is a first order approximation of the finite transformation  $z \mapsto z' = w(z)$ .

To obtain a full expression, notice that for the above transformation to be a *symmetry*, the action should be invariant under  $X(z) \mapsto X'(z)$ :

$$S' = S \implies \int d^2 z \partial X'^\mu \bar{\partial} X'_\mu(z, \bar{z}) \stackrel{(*)}{=} \int d^2 z' \partial' X'^\mu \bar{\partial}' X'_\mu(z', \bar{z}') = \int d^2 z \partial X^\mu \bar{\partial} X_\mu(z, \bar{z}) \quad (79)$$

Here at (\*) we use the diff-invariant property of the action. Restore the anti-holomorphic component and insert (78), then we find that in order to cancel the  $\mathcal{O}(\epsilon^2)$  terms, we have to fix:

$$X'(z') - X(z) = -\frac{\alpha' V}{2} \left( \partial \epsilon - \frac{1}{2} (\partial \epsilon)^2 + \mathcal{O}(\epsilon^3) \right) \quad (80)$$

The above process can be done order by order, in the end we obtain that<sup>11</sup>:

$$\begin{aligned} X'(z', \bar{z}') - X(z, \bar{z}) &= -\frac{\alpha' V}{2} \left( \partial \epsilon - \frac{1}{2} (\partial \epsilon)^2 + \frac{1}{3} (\partial \epsilon)^2 - \dots \right) + (\text{conjugate}) \\ &= -\frac{\alpha' V}{2} \ln(1 + \partial \epsilon) + (\text{conjugate}) \\ &= -\frac{\alpha' V}{2} \ln \left( \frac{dz' d\bar{z}'}{dz d\bar{z}} \right) \end{aligned} \quad (81)$$

(b) Perform the usual Noether's procedure on the free boson action, and we have:

$$\delta \mathcal{L} \propto \frac{1}{\alpha'} (\partial \delta X^\mu \bar{\partial} X_\mu + \partial X^\mu \bar{\partial} \delta X_\mu) \sim \bar{\partial} \epsilon \left( V^\mu \partial^2 X^\mu - \frac{1}{\alpha'} \partial X^\mu \bar{\partial} X_\mu \right) \quad (82)$$

Here we've plugged in the holomorphic part of  $\delta X^\mu$ , used integration by parts to move  $\bar{\partial}$  before  $\epsilon$ , and collected the  $\bar{\partial} \epsilon$  coefficients. This gives:

$$T(z) = -\frac{1}{\alpha'} : \partial X^\mu \bar{\partial} X_\mu : + V^\mu \partial^2 X^\mu \quad (83)$$

---

<sup>11</sup>I would like to thank Lucy Smith for helpful discussions. A better recipe to find finite transformations is to consider its properties under composition, which will lead to some constraints that can be solved to obtain the result.

With  $X_z^\mu X_0^\nu \sim -\frac{\alpha'}{2} \eta^{\mu\nu} \ln|z|^2$  unchanged, the  $TT$  OPE can be calculated following the usual procedure, as shown in great detail before. Here we can use the known result from free boson theory to speed up our calculation:

$$\begin{aligned} T_z T_0 &\sim (V_\mu \partial^2 X^\mu + T')_z (V_\mu \partial^2 X^\mu + T')_0 \\ &\sim V_\mu V_\nu \partial^2 X_z^\mu \partial^2 X_0^\nu + V_\mu \partial^2 X_z^\mu T'_0 + V_\mu T'_z \partial^2 X_0^\mu + T'_z T'_0 \end{aligned} \quad (84)$$

Here  $T'$  is the usual free boson stress tensor. Combining all terms yields:

$$T_z T_0 \sim \frac{D + 6\alpha' V^2}{2z^4} + \frac{2}{z^2} T_0 + \frac{1}{z} \partial T_0, \quad c = D + 6\alpha' V^2 \quad (85)$$

■

### 7 Bosonic Strings on $S^3$ :

For bosonic strings moving on  $S^3$  (radius  $R$ ) with background dilaton  $\Phi = \text{const.}$  and  $B$ -field:

$$B = R^2 \sin \theta (\psi - \sin \psi \cos \psi) d\theta \wedge d\phi \quad (86)$$

The corresponding  $\beta$ -functions and trace anomaly can be computed using the formulae given in *Polchinski*; here  $(\psi, \theta, \phi)$  is the usual spherical coordinates on  $S^3$ .

In fact, field strength:

$$H = dB = 2R^2 \sin \theta \sin \psi d\psi \wedge d\theta \wedge d\phi \quad (87)$$

While the spacetime curvature for a maximally symmetric sphere<sup>12</sup>:  $\mathcal{R}_{\mu\nu} = \frac{2}{R^2} g_{\mu\nu}$ ,  $\mathcal{R} = \frac{6}{R^2}$ . Plug in these results, and we have:

$$\beta^G = \beta^B = 0, \quad T_a^a \simeq -\frac{1}{2} \beta^\Phi \mathcal{R} = -\frac{D - 26 - \alpha' \mathcal{R}}{12} \mathcal{R} \quad (88)$$

(a) Compared with the trace anomaly formula of a CFT:  $T_a^a = -\frac{1}{12} c \mathcal{R}$ , where  $\mathcal{R}$  is the world-sheet Ricci scalar, we see that our theory is indeed conformally invariant with Weyl anomaly. Its central charge is given by:

$$c \simeq D - 26 - \alpha' \mathcal{R} = 3 - 26 - \frac{6\alpha'}{R^2} \quad (89)$$

This includes ghost contribution ( $-26$ ). If we do not gauge the conformal symmetry, then there will not be ghost contribution, and we will have  $c \simeq 3 - \frac{6\alpha'}{R^2}$ .

(b) The background  $B$  field given above is not single-valued on the  $\psi$  circle. Note that we've encountered such difficulty in electromagnetism with a multi-valued  $A^\mu(x)$ . In fact, the resolution for this issue is very similar to Dirac's quantization of the magnetic monopole<sup>13</sup>: by allowing the action  $S$  to be invariant modulo  $2\pi$ , since  $e^{-(S+2\pi i)} = e^{-S}$ .

<sup>12</sup>I would like to thank 林般 for some very helpful hints.

<sup>13</sup>Reference: J. J. Sakurai, *Modern Quantum Mechanics*.

More specifically, for  $\psi \mapsto \psi + 2\pi$ , we have:

$$2\pi i n = \Delta S = \frac{i}{2\pi\alpha'} \Delta \int_{\Sigma} X^* B = \frac{i}{2\pi\alpha'} \Delta \int_{X(\Sigma)} B = \frac{i}{2\pi\alpha'} \Delta \int_M H \quad (90)$$

$B$  is a 2-form in  $S^3$ ,  $X^*B$  denotes its pullback to the worldsheet, and  $X(\Sigma) \subset S^3$  denotes the embedding of  $\Sigma$  into  $S^3$ . Note that  $H$  is proportional to the volume form in  $S^3$ , hence we have:

$$\Delta \int_M H = 2R^2 \Delta \text{Vol}(M) = 2R^2 \mathbb{Z} \text{Vol}(S^3) = 2R^2 2\pi^2 \mathbb{Z} = 4\pi^2 R^2 \mathbb{Z} \quad (91)$$

This leads to the following quantization:

$$\frac{R^2}{\alpha'} = n \in \mathbb{Z}, \quad R \geq \sqrt{\alpha'} \geq (\alpha'/\ell)^{1/3} \quad (92)$$

In particular, in string units:  $\alpha' = 1$ , we have  $R \geq 1$ . ■

### 8 Anomalous Currents:

(a) For a conserved current in flat worldsheet to be anomalous in curved worldsheet, then its deviation from conservation must be proportional to the Ricci scalar:

$$\nabla_a j^a = QR, \quad Q = \text{const}. \quad (93)$$

The logic here is similar to the Weyl anomaly<sup>14</sup>:  $\nabla_a j^a$  is diff- and Poincaré-invariant with dimension 2, because we have preserved these symmetries, and it vanishes in the flat case; this leaves only one possibility —  $\nabla_a j^a \propto R$ : the Ricci scalar.

For conformal transformation  $z \mapsto z + \epsilon(z)$ ,  $\bar{z} \mapsto \bar{z} + \bar{\epsilon}(\bar{z})$ , we have:

$$\delta_\epsilon j(0) = -\text{Res}_{z \rightarrow 0} \epsilon(z) T(z) j(0) - \text{Res}_{\bar{z} \rightarrow 0} \bar{\epsilon}(\bar{z}) \tilde{T}(\bar{z}) j(0) \quad (94)$$

Hence the  $z^{-3}, \bar{z}^{-3}$  coefficients of the OPE reflect the  $\epsilon = z^2$ ,  $\bar{\epsilon} = \bar{z}^2$  transformation of  $j$ . By comparing the Weyl transformations<sup>15</sup>, this yields a total coefficient of  $4Q$ .

(b) For  $bc$  CFT with  $j = :cb:$ , the anomaly can be explicitly calculated using our results in (a), i.e. by calculating  $Tj$  OPE. Following the standard procedure<sup>16</sup>, we obtain that:

$$T_z j_0 \sim \frac{1-2\lambda}{z^3} + \mathcal{O}\left(\frac{1}{z^2}\right) \quad (95)$$

Note that the anti-holomorphic part is zero, therefore, we have:  $Q = \frac{1}{4}(1-2\lambda)$ . ■

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<sup>14</sup>See *Polchinski* for reference.

<sup>15</sup>Note that  $(\text{Conformal}) = (\text{Weyl}) + (\text{Translation})$ .

<sup>16</sup>For more detailed discussions, see Blumenhagen et al, *Basic Concepts of String Theory*.