# Chapter 5 The Link Layer and LANs

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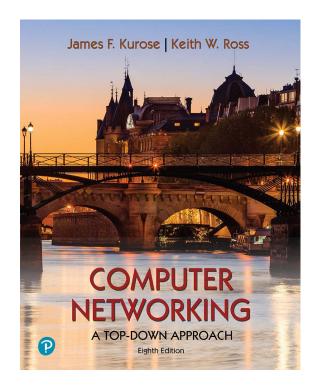
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## Computer Networking: A Top-Down Approach

8<sup>th</sup> edition Jim Kurose, Keith Ross Pearson, 2020

## Link layer and LANs: our goals

- understand principles behind link layer services:
  - error detection, correction
  - sharing a broadcast channel: multiple access
  - link layer addressing
  - local area networks:
     Ethernet, VLANs
- datacenter networks

 instantiation, implementation of various link layer technologies



## Link layer, LANs: roadmap

- introduction
- error detection, correction
- multiple access protocols
- LANs
  - addressing, ARP
  - Ethernet
  - switches
  - VLANs
- link virtualization: MPLS
- data center networking



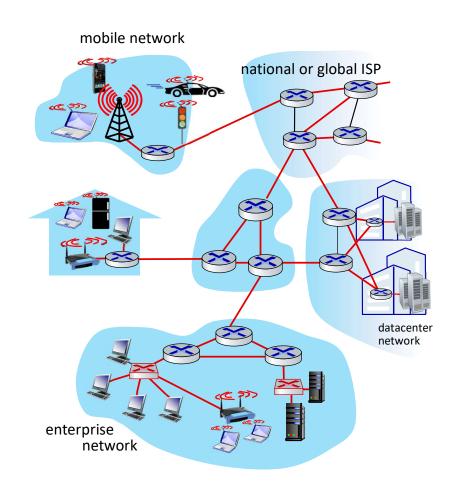
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## Link layer: introduction

#### terminology:

- hosts and routers: nodes
- communication channels that connect adjacent nodes along communication path: links
  - wired
  - wireless
  - LANs
- layer-2 packet: frame, encapsulates datagram

link layer has responsibility of transferring datagram from one node to physically adjacent node over a link



## Link layer: context

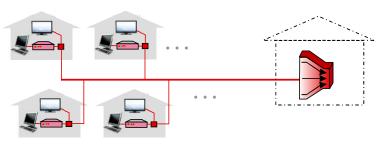
- datagram transferred by different link protocols over different links:
  - e.g., WiFi on first link, Ethernet on next link
- each link protocol provides different services
  - e.g., may or may not provide reliable data transfer over link

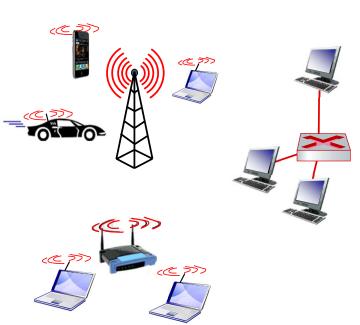
#### transportation analogy:

- trip from Princeton to Lausanne
  - limo: Princeton to JFK
  - plane: JFK to Geneva
  - train: Geneva to Lausanne
- tourist = datagram
- transport segment = communication link
- transportation mode = link-layer protocol
- travel agent = routing algorithm

## Link layer: services

- framing, link access:
  - encapsulate datagram into frame, adding header, trailer
  - channel access if shared medium
  - "MAC" addresses in frame headers identify source, destination (different from IP address!)
- reliable delivery between adjacent nodes
  - we already know how to do this!
  - seldom used on low bit-error links
  - wireless links: high error rates
    - <u>Q:</u> why both link-level and end-end reliability?





## Link layer: services (more)

#### flow control:

pacing between adjacent sending and receiving nodes

#### error detection:

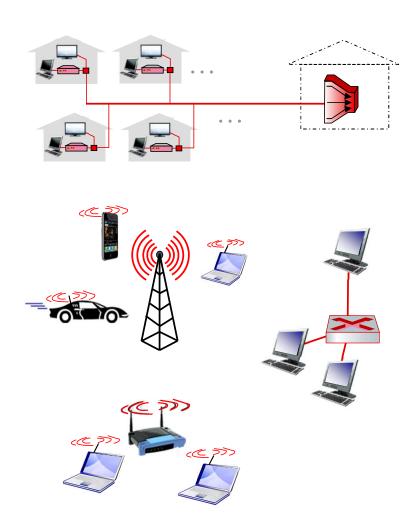
- errors caused by signal attenuation, noise.
- receiver detects errors, signals retransmission, or drops frame

#### error correction:

receiver identifies and corrects bit error(s) without retransmission

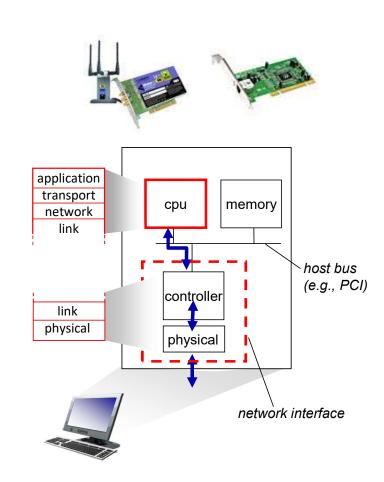
#### half-duplex and full-duplex:

 with half duplex, nodes at both ends of link can transmit, but not at same time

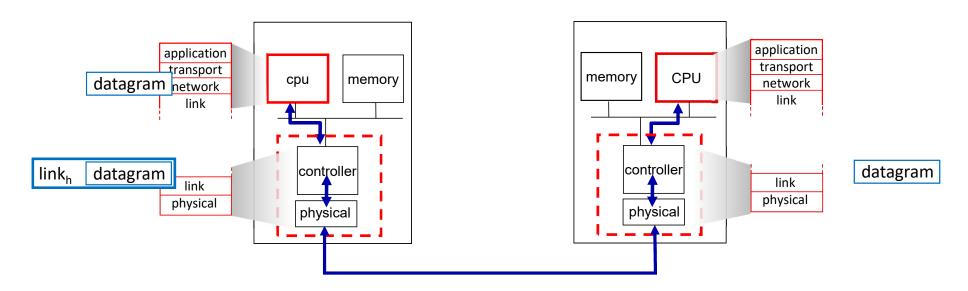


## Where is the link layer implemented?

- in each-and-every host
- link layer implemented in network interface card (NIC) or on a chip
  - Ethernet, WiFi card or chip
  - implements link, physical layer
- attaches into host's system buses
- combination of hardware, software, firmware



## Interfaces communicating



#### sending side:

- encapsulates datagram in frame
- adds error checking bits, reliable data transfer, flow control, etc.

#### receiving side:

- looks for errors, reliable data transfer, flow control, etc.
- extracts datagram, passes to upper layer at receiving side

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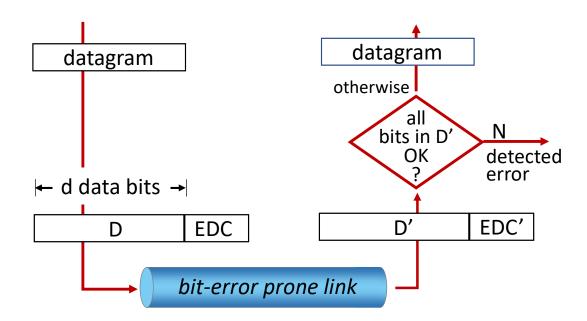


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## **Error detection**

EDC: error detection and correction bits (e.g., redundancy)

D: data protected by error checking, may include header fields



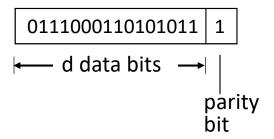
Error detection not 100% reliable!

- protocol may miss some errors, but rarely
- larger EDC field yields better detection and correction

## Parity checking

#### single bit parity:

detect single bit errors



Even parity: set parity bit so there is an even number of 1's

#### two-dimensional bit parity:

detect and correct single bit errors

no errors: 10101 | 1 11110 | 0 01110 | 1 10101 | 0 detected and correctable single-bit error:  $\begin{array}{c|c}
 & 10101|1 \\
\hline
 & 10110|0 \\
\hline
 & 10110|1 \\
\hline
 & 10101|0
\end{array}$ 

<sup>\*</sup> Check out the online interactive exercises for more examples: http://gaia.cs.umass.edu/kurose\_ross/interactive/

## Internet checksum (review)

*Goal:* detect errors (i.e., flipped bits) in transmitted segment

#### sender:

- treat contents of UDP segment (including UDP header fields and IP addresses) as sequence of 16-bit integers
- checksum: addition (one's complement sum) of segment content
- checksum value put into UDP checksum field

#### receiver:

- compute checksum of received segment
- check if computed checksum equals checksum field value:
  - not equal error detected
  - equal no error detected. But maybe errors nonetheless? More later ....

## Cyclic Redundancy Check (CRC)

- more powerful error-detection coding
- D: data bits (given, think of these as a binary number)
- G: bit pattern (generator), of *r+1* bits (given)



*goal*: choose r CRC bits, R, such that <D,R> exactly divisible by G (mod 2)

- receiver knows G, divides <D,R> by G. If non-zero remainder: error detected!
- can detect all burst errors less than r+1 bits
- widely used in practice (Ethernet, 802.11 WiFi)

## Cyclic Redundancy Check (CRC): example

#### We want:

 $D \cdot 2^r XOR R = nG$ 

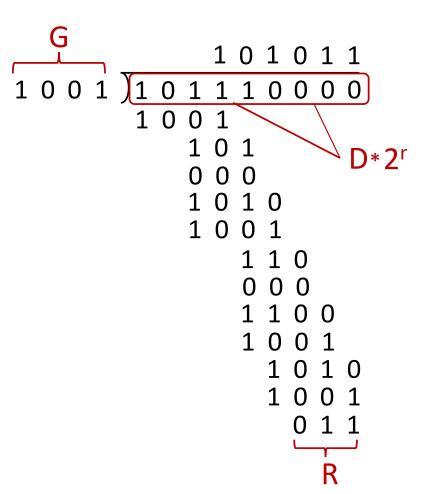
or equivalently:

 $D \cdot 2^r = nG XOR R$ 

#### or equivalently:

if we divide D.2<sup>r</sup> by G, want remainder R to satisfy:

$$R = remainder \left[ \frac{D \cdot 2^r}{G} \right]$$



<sup>\*</sup> Check out the online interactive exercises for more examples: http://gaia.cs.umass.edu/kurose\_ross/interactive/

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## Multiple access links, protocols

#### two types of "links":

- point-to-point
  - point-to-point link between Ethernet switch, host
  - PPP for dial-up access
- broadcast (shared wire or medium)
  - old-fashioned Ethernet
  - upstream HFC in cable-based access network
  - 802.11 wireless LAN, 4G/4G. satellite



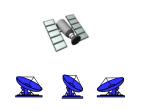
shared wire (e.g., cabled Ethernet)



shared radio: 4G/5G



shared radio: WiFi



shared radio: satellite



humans at a cocktail party (shared air, acoustical)

## Multiple access protocols

- single shared broadcast channel
- two or more simultaneous transmissions by nodes: interference
  - collision if node receives two or more signals at the same time

#### multiple access protocol

- distributed algorithm that determines how nodes share channel,
   i.e., determine when node can transmit
- communication about channel sharing must use channel itself!
  - no out-of-band channel for coordination

## An ideal multiple access protocol

given: multiple access channel (MAC) of rate R bps desiderata:

- 1. when one node wants to transmit, it can send at rate R.
- 2. when M nodes want to transmit, each can send at average rate R/M
- 3. fully decentralized:
  - no special node to coordinate transmissions
  - no synchronization of clocks, slots
- 4. simple

## MAC protocols: taxonomy

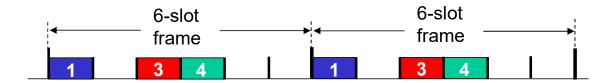
#### three broad classes:

- channel partitioning
  - divide channel into smaller "pieces" (time slots, frequency, code)
  - allocate piece to node for exclusive use
- random access
  - channel not divided, allow collisions
  - "recover" from collisions
- "taking turns"
  - nodes take turns, but nodes with more to send can take longer turns

## Channel partitioning MAC protocols: TDMA

#### TDMA: time division multiple access

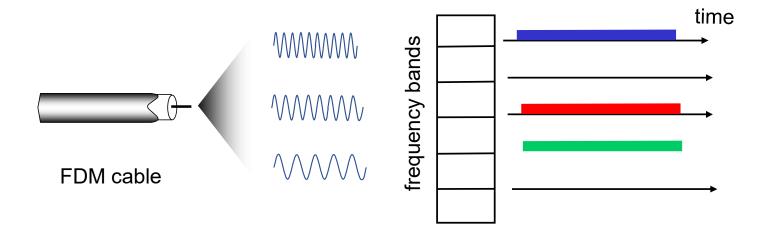
- access to channel in "rounds"
- each station gets fixed length slot (length = packet transmission time) in each round
- unused slots go idle
- example: 6-station LAN, 1,3,4 have packets to send, slots 2,5,6 idle



## Channel partitioning MAC protocols: FDMA

#### FDMA: frequency division multiple access

- channel spectrum divided into frequency bands
- each station assigned fixed frequency band
- unused transmission time in frequency bands go idle
- example: 6-station LAN, 1,3,4 have packet to send, frequency bands 2,5,6 idle



## Random access protocols

- when node has packet to send
  - transmit at full channel data rate R.
  - no a priori coordination among nodes
- two or more transmitting nodes: "collision"
- random access MAC protocol specifies:
  - how to detect collisions
  - how to recover from collisions (e.g., via delayed retransmissions)
- examples of random access MAC protocols:
  - ALOHA, slotted ALOHA
  - CSMA, CSMA/CD, CSMA/CA

## Slotted ALOHA

#### assumptions:

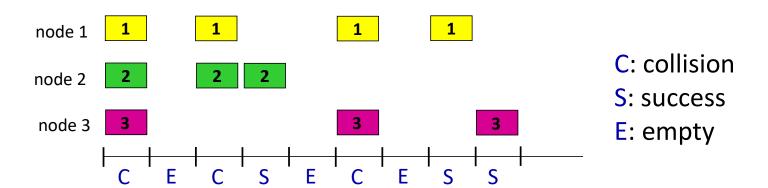
- all frames same size
- time divided into equal size slots (time to transmit 1 frame)
- nodes start to transmit only slot beginning
- nodes are synchronized
- if 2 or more nodes transmit in slot, all nodes detect collision

#### operation:

- when node obtains fresh frame, transmits in next slot
  - *if no collision:* node can send new frame in next slot
  - *if collision:* node retransmits frame in each subsequent slot with probability *p* until success

randomization - why?

## Slotted ALOHA



#### Pros:

- single active node can continuously transmit at full rate of channel
- highly decentralized: only slots in nodes need to be in sync
- simple

#### Cons:

- collisions, wasting slots
- idle slots
- nodes may be able to detect collision in less than time to transmit packet
- clock synchronization

## Slotted ALOHA: efficiency

efficiency: long-run fraction of successful slots (many nodes, all with many frames to send)

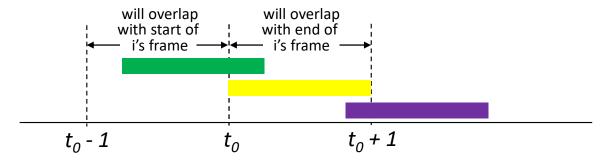
- suppose: N nodes with many frames to send, each transmits in slot with probability p
  - prob that given node has success in a slot =  $p(1-p)^{N-1}$
  - prob that any node has a success =  $Np(1-p)^{N-1}$
  - max efficiency: find  $p^*$  that maximizes  $Np(1-p)^{N-1}$
  - for many nodes, take limit of  $Np^*(1-p^*)^{N-1}$  as N goes to infinity, gives:

```
max\ efficiency = 1/e = .37
```

at best: channel used for useful transmissions 37% of time!

## Pure ALOHA

- unslotted Aloha: simpler, no synchronization
  - when frame first arrives: transmit immediately
- collision probability increases with no synchronization:
  - frame sent at  $t_0$  collides with other frames sent in  $[t_0-1,t_0+1]$



pure Aloha efficiency: 18%!

## CSMA (carrier sense multiple access)

#### simple CSMA: listen before transmit:

- if channel sensed idle: transmit entire frame
- if channel sensed busy: defer transmission
- human analogy: don't interrupt others!

#### CSMA/CD: CSMA with collision detection

- collisions detected within short time
- colliding transmissions aborted, reducing channel wastage
- collision detection easy in wired, difficult with wireless
- human analogy: the polite conversationalist

## **CSMA**: collisions

- collisions can still occur with carrier sensing:
  - propagation delay means two nodes may not hear each other's juststarted transmission
- collision: entire packet transmission time wasted
  - distance & propagation delay play role in in determining collision probability

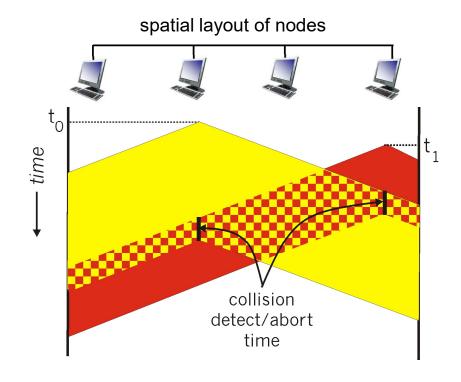




t<sub>1</sub>

## CSMA/CD:

- CSMA/CS reduces the amount of time wasted in collisions
  - transmission aborted on collision detection



## Ethernet CSMA/CD algorithm

- 1. NIC receives datagram from network layer, creates frame
- 2. If NIC senses channel:

if idle: start frame transmission.

if busy: wait until channel idle, then transmit

- 3. If NIC transmits entire frame without collision, NIC is done with frame!
- 4. If NIC detects another transmission while sending: abort, send jam signal
- 5. After aborting, NIC enters binary (exponential) backoff:
  - after mth collision, NIC chooses K at random from {0,1,2, ..., 2<sup>m</sup>-1}. NIC waits K·512 bit times, returns to Step 2
  - more collisions: longer backoff interval

## CSMA/CD efficiency

- T<sub>prop</sub> = max prop delay between 2 nodes in LAN
- t<sub>trans</sub> = time to transmit max-size frame

$$efficiency = \frac{1}{1 + 5t_{prop}/t_{trans}}$$

- efficiency goes to 1
  - as  $t_{prop}$  goes to 0
  - as  $t_{trans}$  goes to infinity
- better performance than ALOHA: and simple, cheap, decentralized!

## "Taking turns" MAC protocols

#### channel partitioning MAC protocols:

- share channel efficiently and fairly at high load
- inefficient at low load: delay in channel access, 1/N bandwidth allocated even if only 1 active node!

#### random access MAC protocols

- efficient at low load: single node can fully utilize channel
- high load: collision overhead

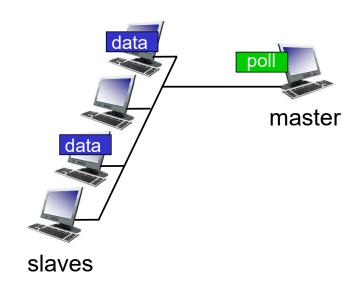
## "taking turns" protocols

look for best of both worlds!

## "Taking turns" MAC protocols

#### polling:

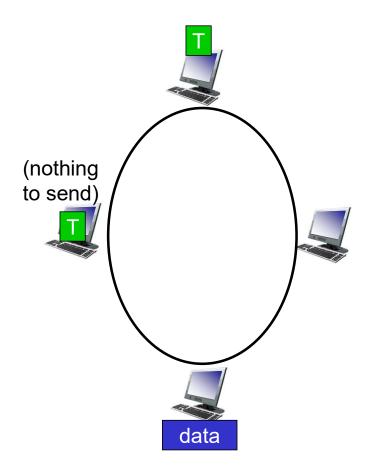
- master node "invites" other nodes to transmit in turn
- typically used with "dumb" devices
- concerns:
  - polling overhead
  - latency
  - single point of failure (master)



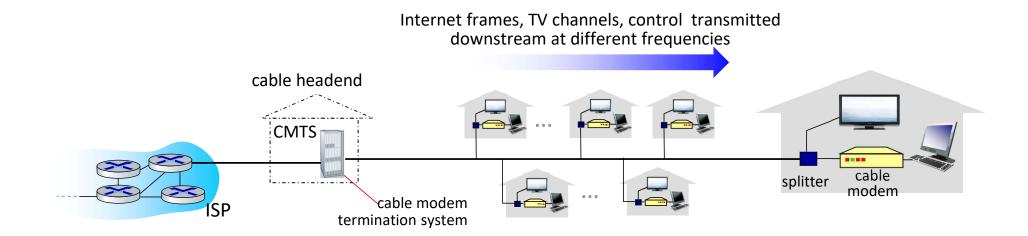
## "Taking turns" MAC protocols

#### token passing:

- control token passed from one node to next sequentially.
- token message
- concerns:
  - token overhead
  - latency
  - single point of failure (token)

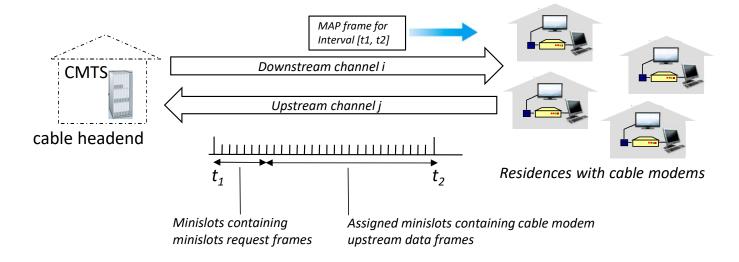


## Cable access network: FDM, TDM and random access!



- multiple downstream (broadcast) FDM channels: up to 1.6 Gbps/channel
  - single CMTS transmits into channels
- multiple upstream channels (up to 1 Gbps/channel)
  - multiple access: all users contend (random access) for certain upstream channel time slots; others assigned TDM

#### Cable access network:



#### **DOCSIS:** data over cable service interface specificaiton

- FDM over upstream, downstream frequency channels
- TDM upstream: some slots assigned, some have contention
  - downstream MAP frame: assigns upstream slots
  - request for upstream slots (and data) transmitted random access (binary backoff) in selected slots

## Summary of MAC protocols

- channel partitioning, by time, frequency or code
  - Time Division, Frequency Division
- random access (dynamic),
  - ALOHA, S-ALOHA, CSMA, CSMA/CD
  - carrier sensing: easy in some technologies (wire), hard in others (wireless)
  - CSMA/CD used in Ethernet
  - CSMA/CA used in 802.11
- taking turns
  - polling from central site, token passing
  - Bluetooth, FDDI, token ring

# Link layer, LANs: roadmap

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  - Ethernet
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  - VLANs
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#### MAC addresses

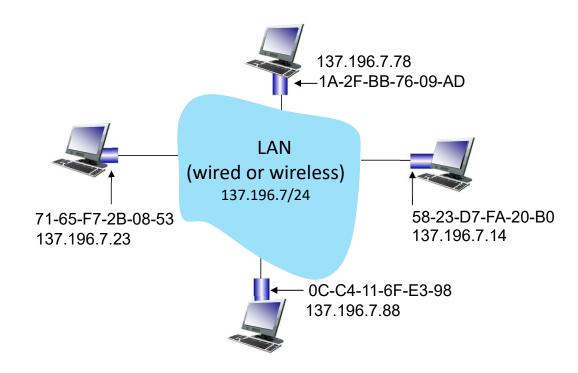
- 32-bit IP address:
  - network-layer address for interface
  - used for layer 3 (network layer) forwarding
  - e.g.: 128.119.40.136
- MAC (or LAN or physical or Ethernet) address:
  - function: used "locally" to get frame from one interface to another physically-connected interface (same subnet, in IP-addressing sense)
  - 48-bit MAC address (for most LANs) burned in NIC ROM, also sometimes software settable
  - e.g.: 1A-2F-BB-76-09-AD

hexadecimal (base 16) notation (each "numeral" represents 4 bits)

#### MAC addresses

#### each interface on LAN

- has unique 48-bit MAC address
- has a locally unique 32-bit IP address (as we've seen)

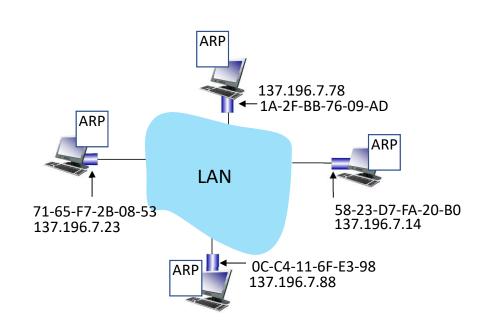


#### MAC addresses

- MAC address allocation administered by IEEE
- manufacturer buys portion of MAC address space (to assure uniqueness)
- analogy:
  - MAC address: like Social Security Number
  - IP address: like postal address
- MAC flat address: portability
  - can move interface from one LAN to another
  - recall IP address not portable: depends on IP subnet to which node is attached

## ARP: address resolution protocol

Question: how to determine interface's MAC address, knowing its IP address?



ARP table: each IP node (host, router) on LAN has table

 IP/MAC address mappings for some LAN nodes:

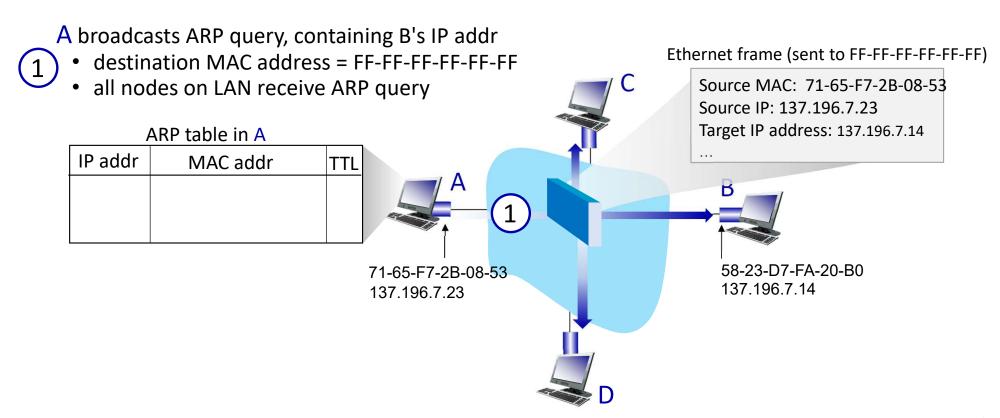
< IP address; MAC address; TTL>

 TTL (Time To Live): time after which address mapping will be forgotten (typically 20 min)

### ARP protocol in action

#### example: A wants to send datagram to B

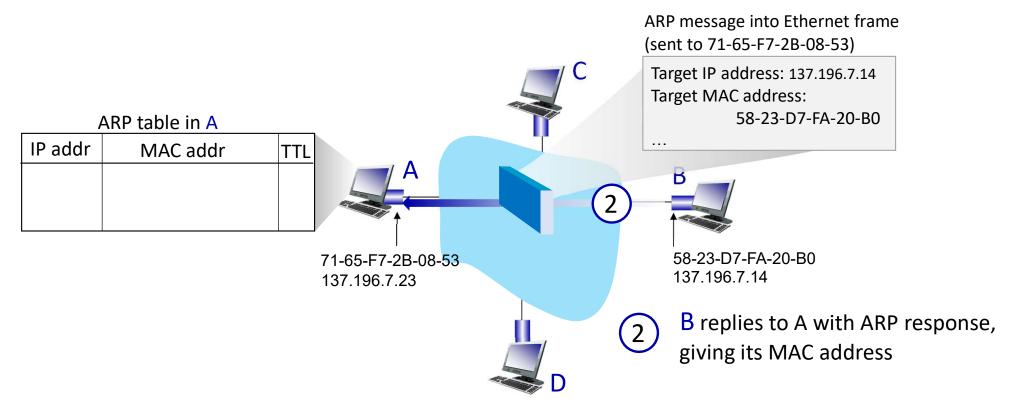
• B's MAC address not in A's ARP table, so A uses ARP to find B's MAC address



#### ARP protocol in action

#### example: A wants to send datagram to B

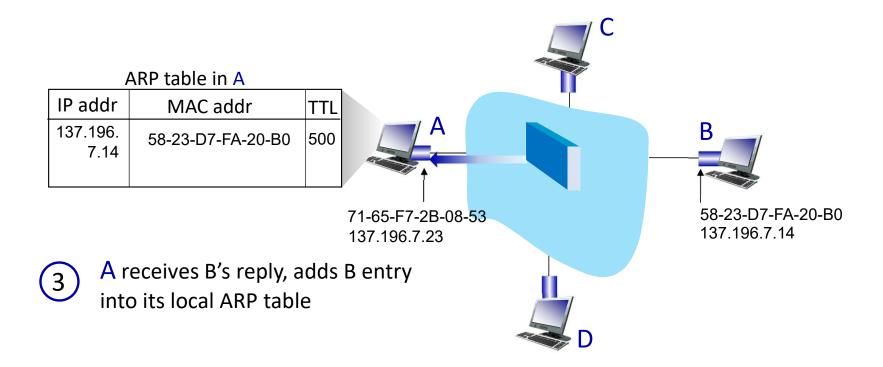
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### ARP protocol in action

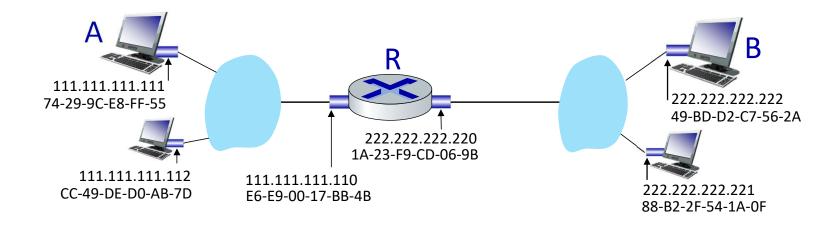
#### example: A wants to send datagram to B

• B's MAC address not in A's ARP table, so A uses ARP to find B's MAC address

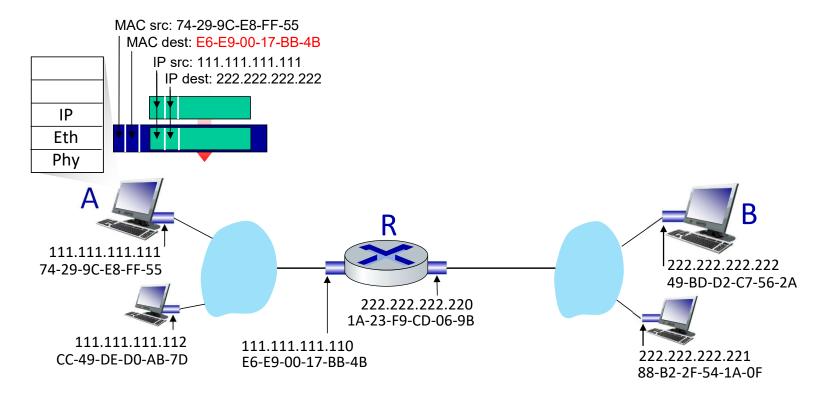


#### walkthrough: sending a datagram from A to B via R

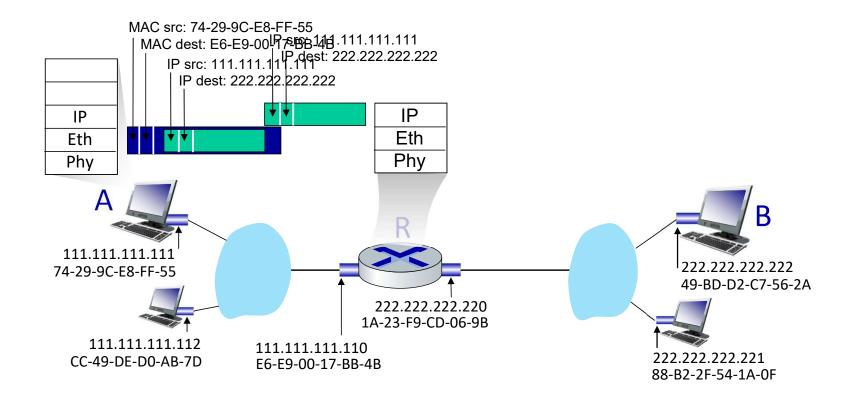
- focus on addressing at IP (datagram) and MAC layer (frame) levels
- assume that:
  - A knows B's IP address
  - A knows IP address of first hop router, R (how?)
  - A knows R's MAC address (how?)



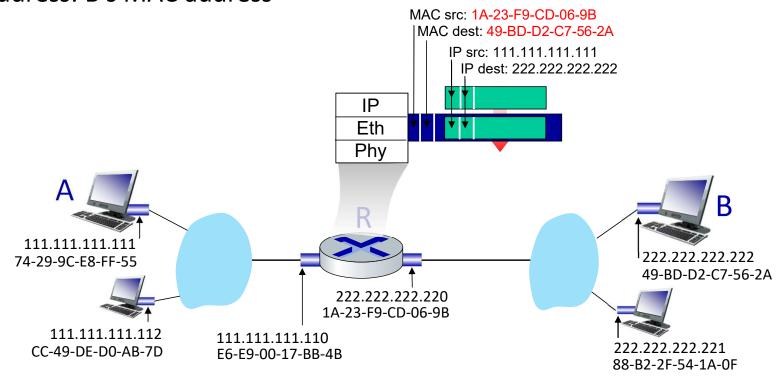
- A creates IP datagram with IP source A, destination B
- A creates link-layer frame containing A-to-B IP datagram
  - R's MAC address is frame's destination



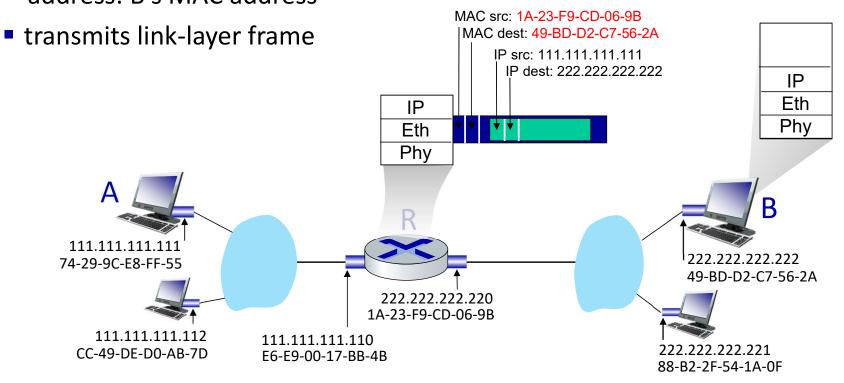
- frame sent from A to R
- frame received at R, datagram removed, passed up to IP



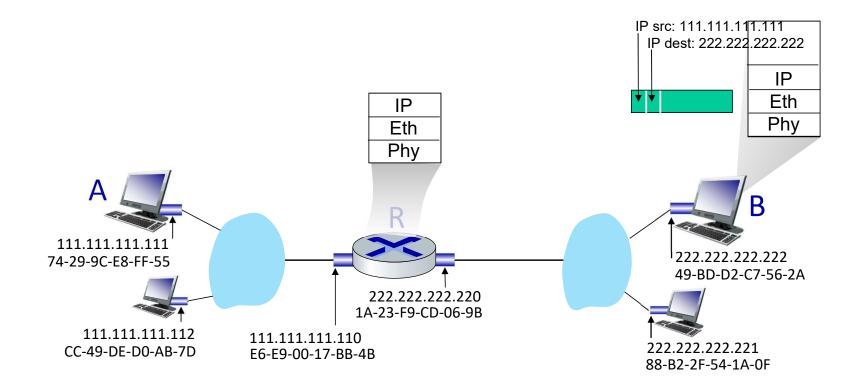
- R determines outgoing interface, passes datagram with IP source A, destination B to link layer
- R creates link-layer frame containing A-to-B IP datagram. Frame destination address: B's MAC address



- R determines outgoing interface, passes datagram with IP source A, destination B to link layer
- R creates link-layer frame containing A-to-B IP datagram. Frame destination address: B's MAC address



- B receives frame, extracts IP datagram destination B
- B passes datagram up protocol stack to IP



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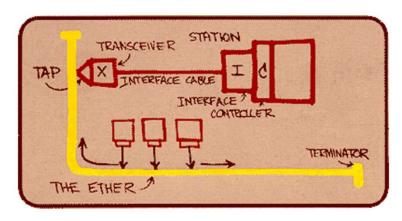


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#### **Ethernet**

"dominant" wired LAN technology:

- first widely used LAN technology
- simpler, cheap
- kept up with speed race: 10 Mbps 400 Gbps
- single chip, multiple speeds (e.g., Broadcom BCM5761)



Metcalfe's Ethernet sketch

## Ethernet: physical topology

- bus: popular through mid 90s
  - all nodes in same collision domain (can collide with each other)
- switched: prevails today
  - active link-layer 2 switch in center
  - each "spoke" runs a (separate) Ethernet protocol (nodes do not collide with each other)



#### Ethernet frame structure

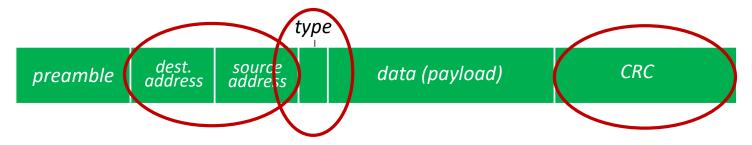
sending interface encapsulates IP datagram (or other network layer protocol packet) in <a href="Ethernet frame">Ethernet frame</a>



#### preamble:

- used to synchronize receiver, sender clock rates
- 7 bytes of 10101010 followed by one byte of 10101011

#### Ethernet frame structure (more)



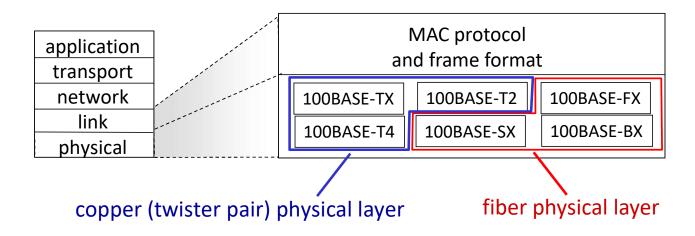
- addresses: 6 byte source, destination MAC addresses
  - if adapter receives frame with matching destination address, or with broadcast address (e.g., ARP packet), it passes data in frame to network layer protocol
  - otherwise, adapter discards frame
- type: indicates higher layer protocol
  - mostly IP but others possible, e.g., Novell IPX, AppleTalk
  - used to demultiplex up at receiver
- CRC: cyclic redundancy check at receiver
  - error detected: frame is dropped

### Ethernet: unreliable, connectionless

- connectionless: no handshaking between sending and receiving NICs
- •unreliable: receiving NIC doesn't send ACKs or NAKs to sending NIC
  - data in dropped frames recovered only if initial sender uses higher layer rdt (e.g., TCP), otherwise dropped data lost
- Ethernet's MAC protocol: unslotted CSMA/CD with binary backoff

#### 802.3 Ethernet standards: link & physical layers

- many different Ethernet standards
  - common MAC protocol and frame format
  - different speeds: 2 Mbps, 10 Mbps, 100 Mbps, 1Gbps, 10 Gbps, 40 Gbps
  - different physical layer media: fiber, cable



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- LANs
  - addressing, ARP
  - Ethernet
  - switches
  - VLANs
- link virtualization: MPLS
- data center networking



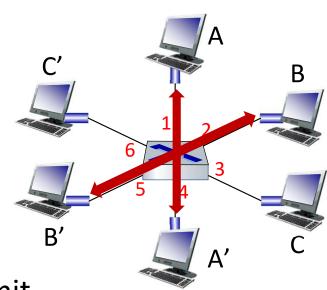
a day in the life of a web request

#### **Ethernet switch**

- Switch is a link-layer device: takes an active role
  - store, forward Ethernet frames
  - examine incoming frame's MAC address, selectively forward frame to one-or-more outgoing links when frame is to be forwarded on segment, uses CSMA/CD to access segment
- transparent: hosts unaware of presence of switches
- plug-and-play, self-learning
  - switches do not need to be configured

### Switch: multiple simultaneous transmissions

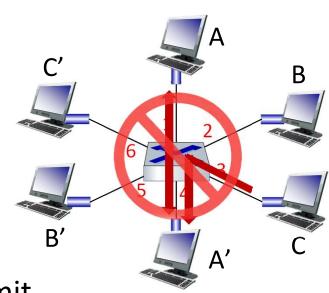
- hosts have dedicated, direct connection to switch
- switches buffer packets
- Ethernet protocol used on each incoming link, so:
  - no collisions; full duplex
  - each link is its own collision domain
- switching: A-to-A' and B-to-B' can transmit simultaneously, without collisions



switch with six interfaces (1,2,3,4,5,6)

## Switch: multiple simultaneous transmissions

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- Ethernet protocol used on each incoming link, so:
  - no collisions; full duplex
  - each link is its own collision domain
- switching: A-to-A' and B-to-B' can transmit simultaneously, without collisions
  - but A-to-A' and C to A' can not happen simultaneously



switch with six interfaces (1,2,3,4,5,6)

# Switch forwarding table

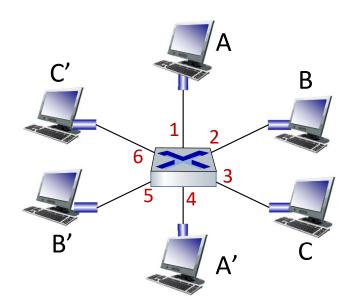
Q: how does switch know A' reachable via interface 4, B' reachable via interface 5?

<u>A:</u> each switch has a switch table, each entry:

- (MAC address of host, interface to reach host, time stamp)
- looks like a routing table!

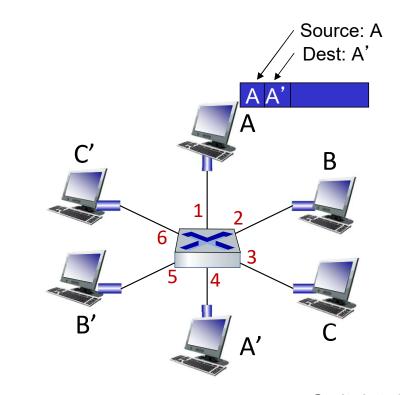
**Q**: how are entries created, maintained in switch table?

something like a routing protocol?



## Switch: self-learning

- switch *learns* which hosts can be reached through which interfaces
  - when frame received, switch "learns" location of sender: incoming LAN segment
  - records sender/location pair in switch table



MAC addr	interface	TTL
Α	1	60

Switch table (initially empty)

# Switch: frame filtering/forwarding

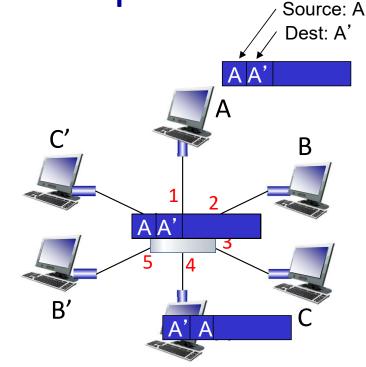
#### when frame received at switch:

- 1. record incoming link, MAC address of sending host
- 2. index switch table using MAC destination address

```
3. if entry found for destination then {
if destination on segment from which frame arrived
    then drop frame
    else forward frame on interface indicated by entry
}
else flood /* forward on all interfaces except arriving interface */
```

Self-learning, forwarding: example

- frame destination, A', location unknown: flood
- destination A location known: selectively send on just one link

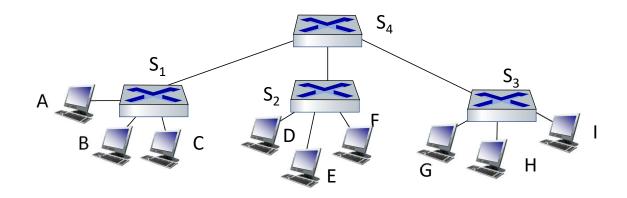


MAC addr	interface	TTL	
Α	1	60	S
A'	4	60	(in

switch table (initially empty)

### Interconnecting switches

self-learning switches can be connected together:

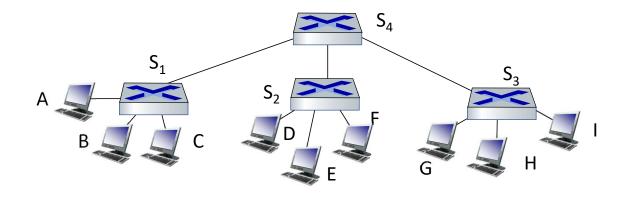


Q: sending from A to G - how does  $S_1$  know to forward frame destined to G via  $S_4$  and  $S_3$ ?

<u>A:</u> self learning! (works exactly the same as in single-switch case!)

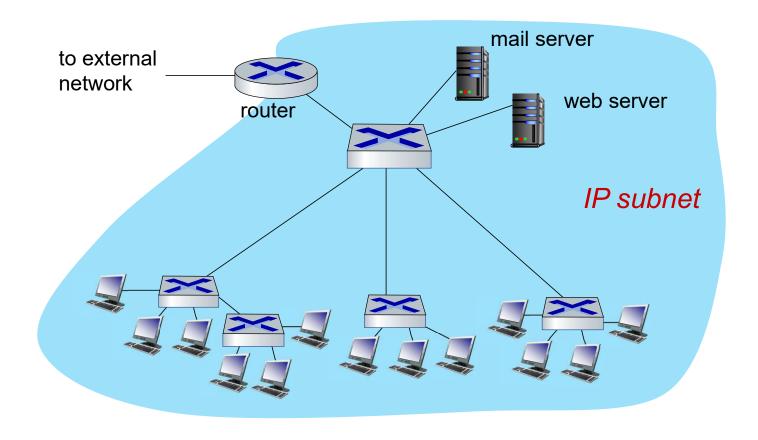
# Self-learning multi-switch example

Suppose C sends frame to I, I responds to C



 $\underline{Q}$ : show switch tables and packet forwarding in  $S_1$ ,  $S_2$ ,  $S_3$ ,  $S_4$ 

#### Small institutional network



#### Switches vs. routers

#### both are store-and-forward:

- routers: network-layer devices (examine network-layer headers)
- switches: link-layer devices (examine link-layer headers)

#### both have forwarding tables:

- routers: compute tables using routing algorithms, IP addresses
- switches: learn forwarding table using flooding, learning, MAC addresses

