

Permissionless

Permissioned

Anyone can  
be a consensus  
node

$N \rightarrow$  variable  
over time  
↓ anyone

Only authorized  
parties can be  
consensus nodes



Attendance

e-GOV

chameleon  
hashing

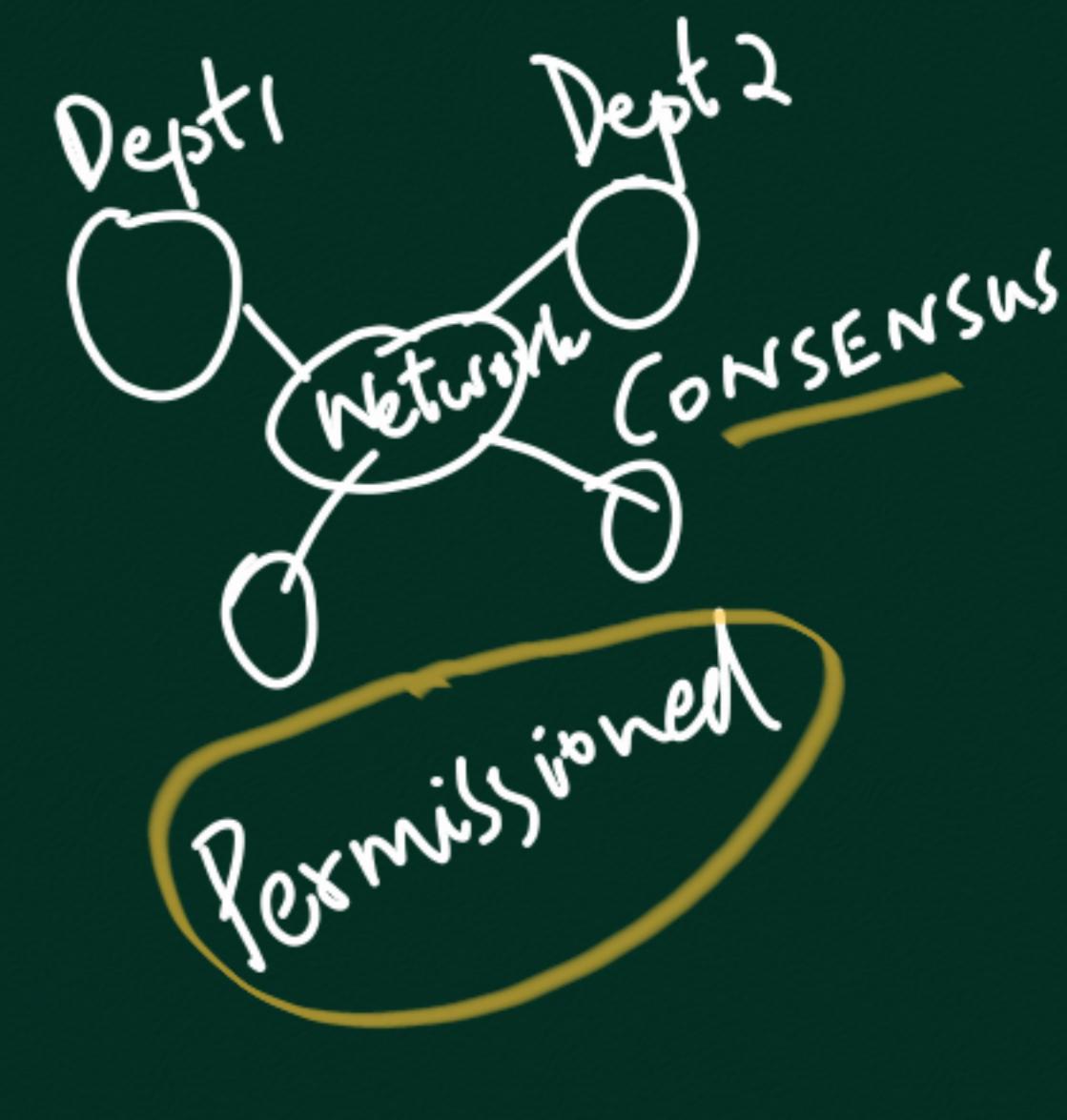
- Collecting tax
- Land records

Property: One Smart Contract

Prop. ID:  
Owner: ...  
Dt. of Purchase  
Prile  
Validity:



Ledger available  
to public



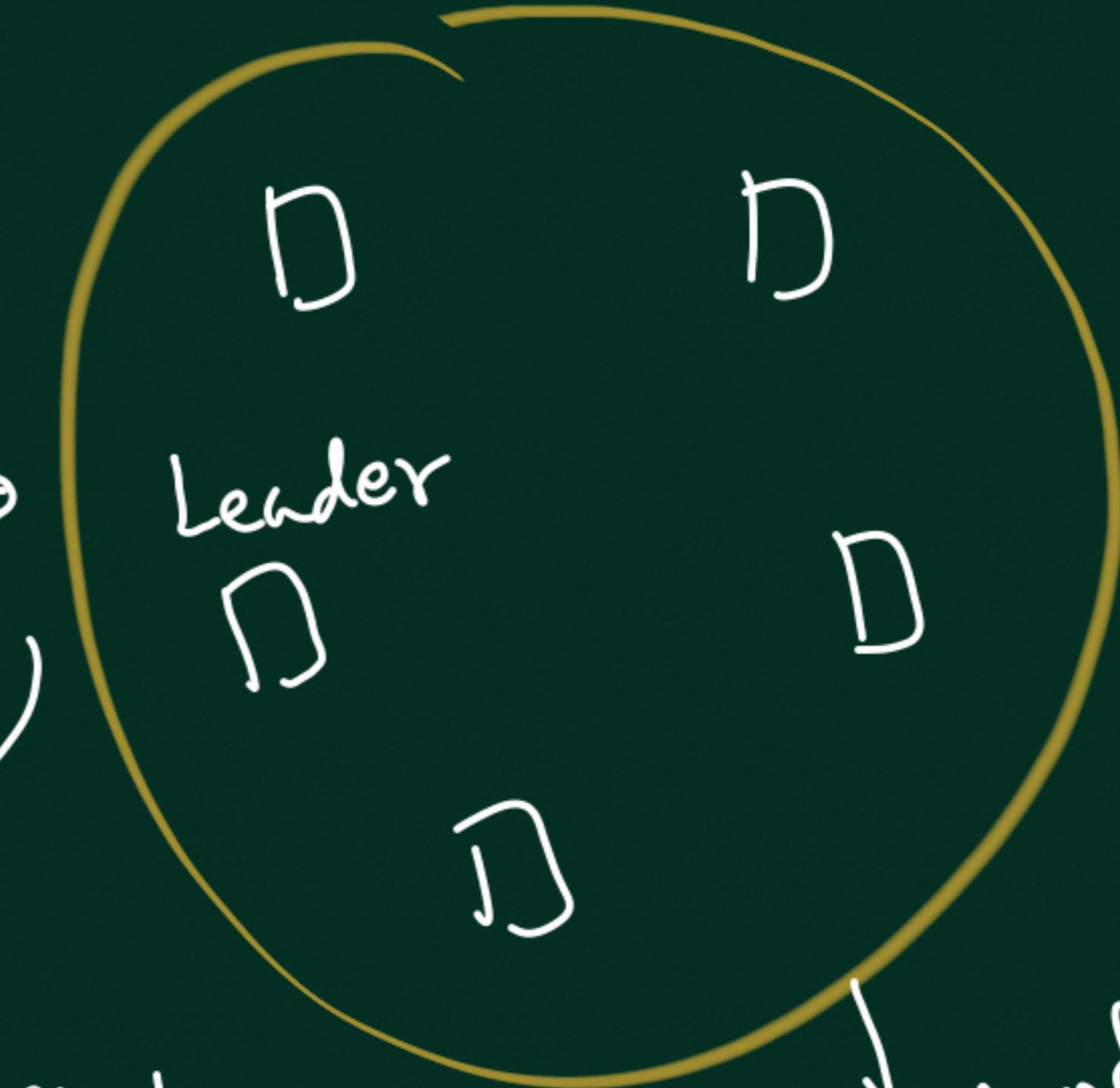
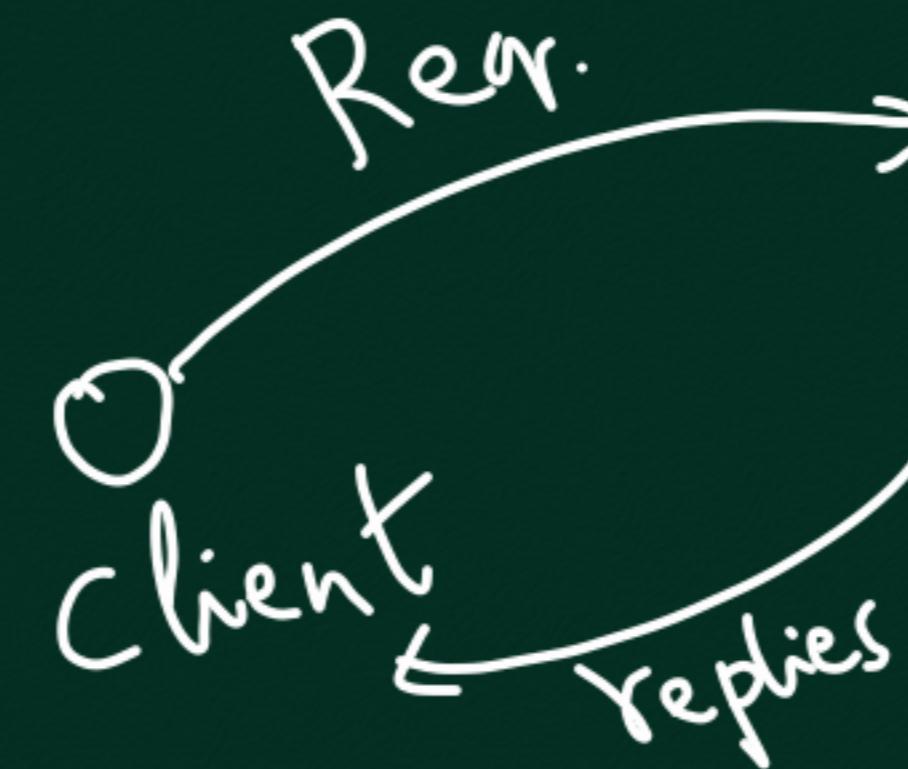
Lamport,  
N. Lynch (?)

Liskov



Server

Single  
server  
(not  
distri.)



redundancy

looks like  
single server

X Fail

→ Server-1

ACK

→ Server-2

$x=0$

Distrub.

Threat Model: Specifies what can go wrong

- FAIL-STOP : A node can fail and stop responding
- FAIL-STOP-RESTART: Fail , but later restarts with state at time of failure
- BYZANTINE FAULTS: Any behaviour deviating from specified protocols. (allows malicious behavior)

Network Model: Specifies delays (latencies) and message loss properties

- SYNCHRONOUS: Known upper bound on max. delay a msg will experience.
- PARTIALLY SYNCHRONOUS: There is an upper bound on delay, but this value is unknown to the protocol.
- ASYNCHRONOUS: No upper bound on msg delay

SAFETY: "Nothing bad can happen"

Ex: Once a log entry has been made  
it will remain forever

LIVENESS: "Without much delay, there  
will be a response to any request"  
↓  
from D.S.  
"Good things happen after"

RAFT



Fail-stop-restart

PBFT



Byzantine Faults

Hyperledger  
Fabric

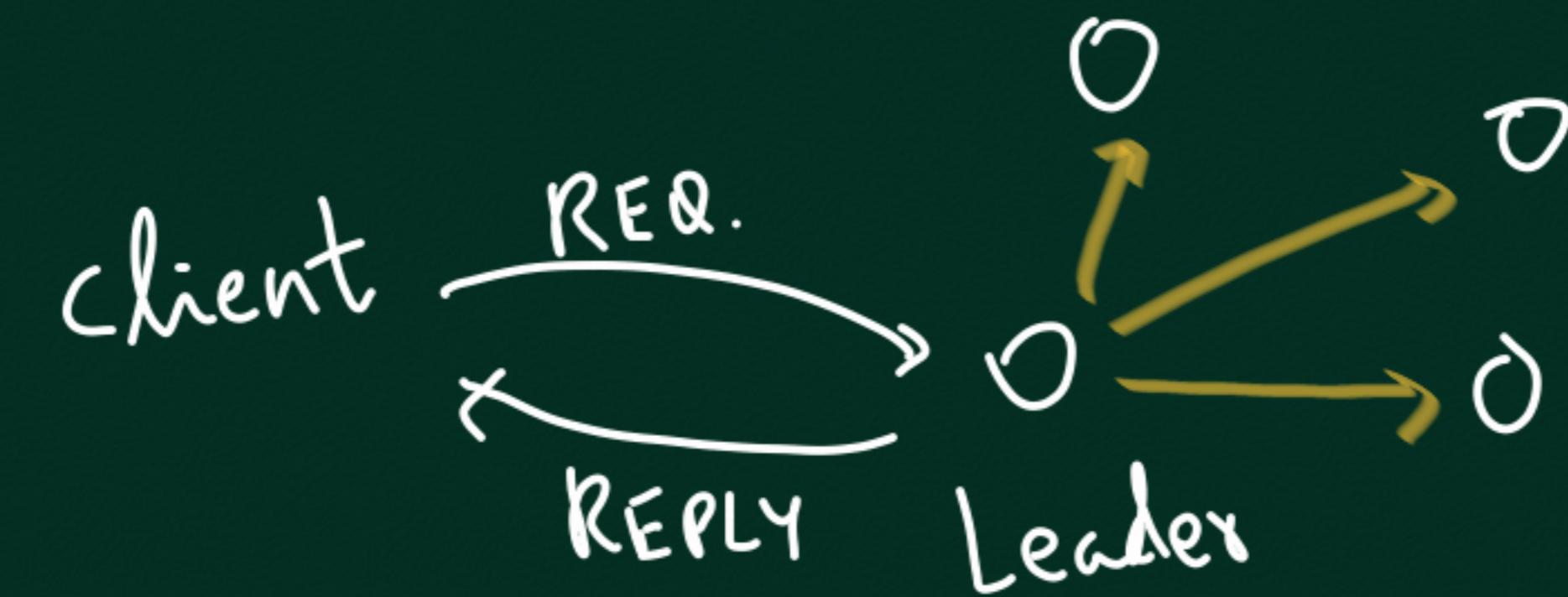
R3-CORDA

Quorum  
(from  
Ethereum)

CDAC

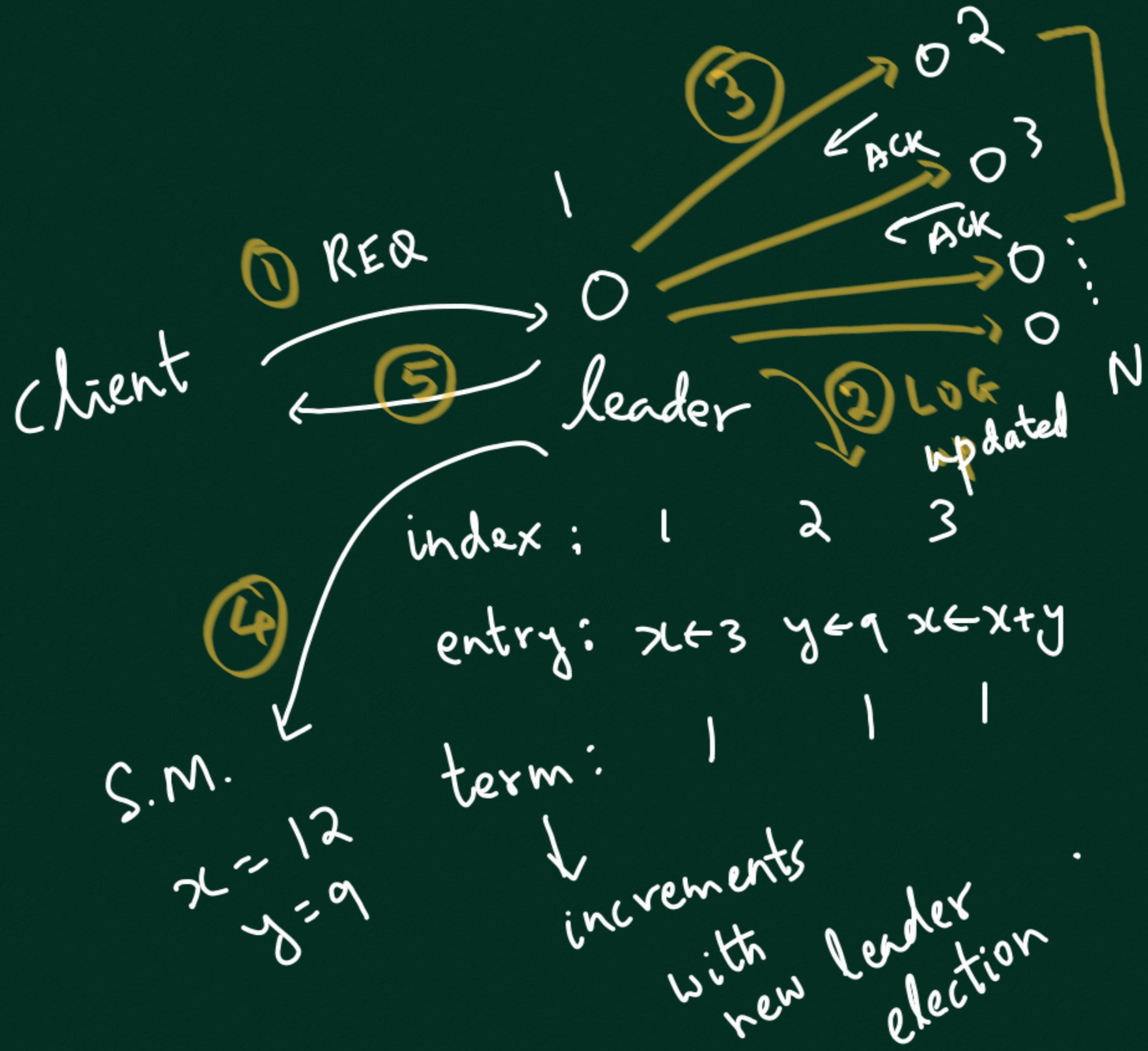
# RAFT (2014, ONGARO et al.)

FAIL-STOP-RESTART



SAFETY : Never return an incorrect result  
(from RAFT paper) under threat model, network partition,  
packet loss, message reordering, message  
delays

AVAILABILITY (LIVENESS) : Fully functional as long as a  
MAJORITY of servers are operational and  
can communicate with each other and the client



Intuition: Wait for  $> \frac{N}{2}$  nodes to ACK,  
 then commit, update  
 state machine (local)  
 and reply to client

## How to elect new Leader with correct state?

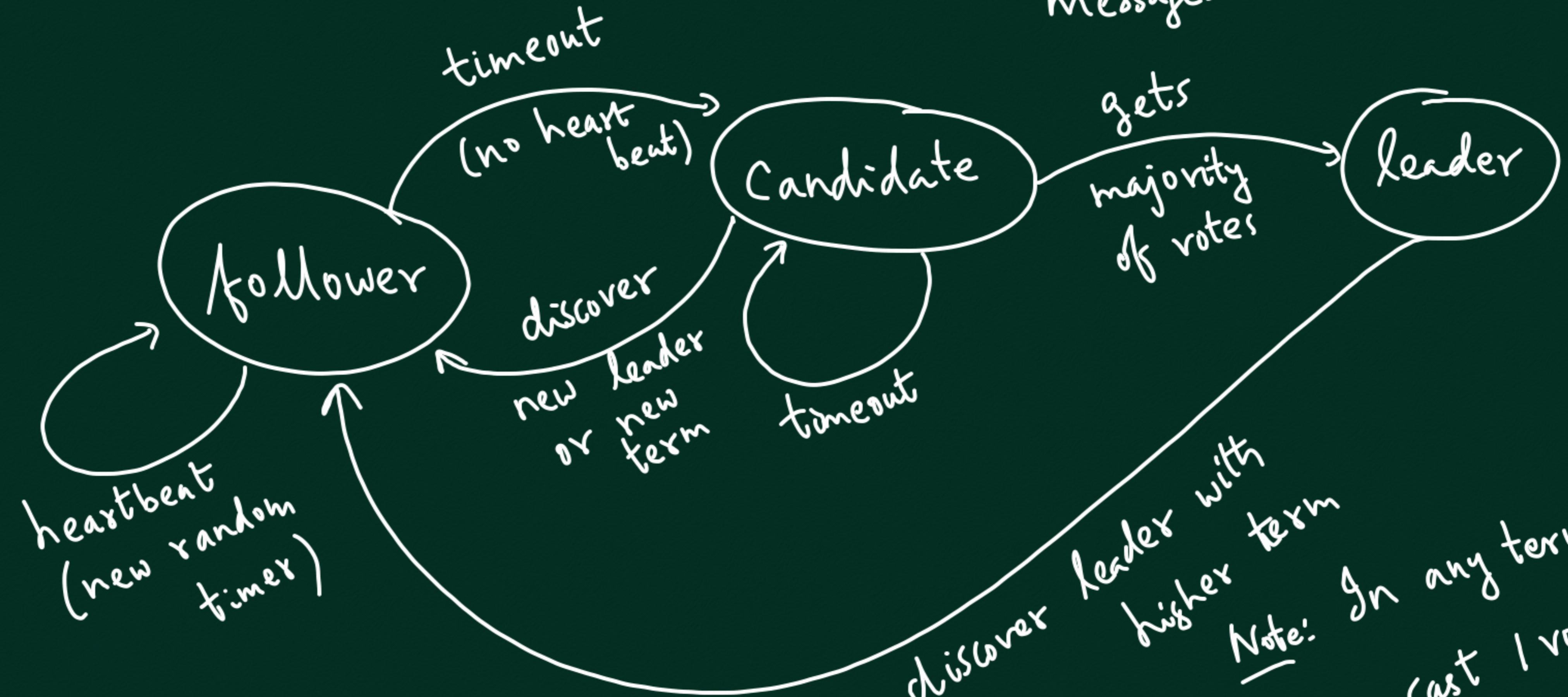
- Only consider FAIL-STOP (not FAIL-STOP-RESTART)
- Leader gets single req. from client every time,  
new request sent by client only after  
leader replies to client

i:	1	2	3	
Leader e:	$x \in 3$	$y \leftarrow q$	$x \leftarrow y$	$\times$ Fails
Node-2	✓	✓	✓	
Node- $\frac{(N+1)}{2}$	✓	✓	✓	
: N	✓	✓	✓	

volunteer

Idea: Someone volunteers to be a candidate for leader and shares his log. Other node votes only if its own log entries are a prefix of candidate's log.

State Diagram : Note: Leader sends periodic heart-beat messages



Note: In any term,  
a node can cast 1 vote  
at max.

E W R U H K Q S