SEM EDS performance parameters

June 1, 2023

1 1. Import the packages

[ ]:
```
import hyperspy.api as hs
import numpy as np
import pandas as pd

from helper_functions import elementlines, nearestlines, theoretical_energy
```

2 3. Select spectra, an specify settings like i_b, E_0, ICR, and PT

[ ]:
```
##### SEM Apreo March 2023 #####
path = '../../Masteroppgave/2023-03-08_EDS-Apreo/exports/'
# All data files are available in the repository at:
# https://github.com/brynjarmorka/eds-sem-bulk-corrections/tree/main/data

##### GaAs #####
elements = ['Ga', 'As']
lines_of_interest = ['Ga_Ka', 'As_Ka', 'Ga_La', 'As_La', 'Ga_Kb', 'As_Kb']
fiori_peaks = ['Ga_La', 'As_La', 'Ga_Ka']
line_ratio_list = [['As_Ka', 'As_La'], ['Ga_Ka', 'Ga_La'], ['Ga_La', 'As_La']]

# setting file name, beam current, input count rate and process time
file_current_ICR_PT = [
    ['GaAs_05kV_25pA.emsa', 25, 880, 6], # 0
    ['GaAs_10kV_25pA.emsa', 25, 1750, 6], # 1
    ['GaAs_15kV_25pA.emsa', 25, 3300, 6], # 2
    ['GaAs_30kV_25pA.emsa', 25, 8000, 6], # 3
    ['GaAs_30kV_50pA.emsa', 50, 16400, 6], # 4
]

##### GaSb #####
# Sb_Ka has to high energy
# elements = ['Ga', 'Sb']
# lines_of_interest = ['Ga_Ka', 'Ga_Kb', 'Ga_La', 'Sb_La', 'Sb_Lb1']
# fiori_peaks = ['Ga_La', 'Sb_La', 'Ga_Ka', 'Sb_Lb1']
```

```
# line_ratio_list = [['Ga_Ka', 'Ga_La'], ['Sb_La', 'Sb_Lb1'], ['Ga_La',␣
 ↪'Sb_La']]

# file_current_ICR_PT = [
#     ['GaSb_05kV_50pA.emsa', 50, 1080, 6], # 0
#     ['GaSb_10kV_50pA.emsa', 50, 2300, 6], # 1
#     ['GaSb_15kV_50pA.emsa', 50, 5700, 6], # 2
#     ['GaSb_15kV_200pA.emsa', 200, 22000, 6], # 3
#     ['GaSb_15kV_400pA.emsa', 400, 42000, 6], # 4
#     ['GaSb_30kV_50pA.emsa', 50, 17000, 6], # 5
#     ['GaSb_30kV_50pA_noPPUC.emsa', 50, 17000, 6], # 6
#     ['GaSb_30kV_50pA_processTime1.emsa', 50, 17000, 1], # 7
#     ['GaSb_30kV_50pA_processTime2.emsa', 50, 17000, 2], # 8
#     ['GaSb_30kV_50pA_processTime4.emsa', 50, 17000, 4], # 9
#     ['GaSb_30kV_400pA_processTime1.emsa', 400, 160000, 1], # 10
# ]

# change ´number´ to change file
number = 4

file = file_current_ICR_PT[number][0]
beam_current = file_current_ICR_PT[number][1]
input_count_rate = file_current_ICR_PT[number][2]
process_time = file_current_ICR_PT[number][3]
```

[ ]:
```
# common settings
make_info_on_all_lines = True
zero_peak_end_index = 40
model_background_order = 6
```

3 3. Import the data with HyperSpy, set the elements in the spectrum, and slice off the noise peak

[ ]:
```
def load_data(path, file, elements, zero_peak_end_index, plot_s=False):
    """Loading data with HS and adding the elements, eventually removing the␣
 ↪zero peak"""
    s = hs.load(path + '/' + file, signal_type='EDS_SEM')
    s.add_elements(elements)
    if zero_peak_end_index is not None:
        s = s.isig[zero_peak_end_index:]

    Vacc = s.metadata.Acquisition_instrument.SEM.beam_energy
    x = s.axes_manager[0].axis  # x-axis in keV
    name = f'{file[:-5]}'
    if plot_s:
        s.plot(xray_lines=True)
```

```
    return s, Vacc, x, name
```

[ ]:
```
s, Vacc, x, name = load_data(path, file, elements, zero_peak_end_index)
```

[ ]:
```
# these are temporary arrays used to show the effect of the calibrations
scale_list = [s.axes_manager[0].scale]
offset_list = [s.axes_manager[0].offset]
energy_res_list = [s.metadata.Acquisition_instrument.SEM.Detector.EDS.
 ↪energy_resolution_MnKa]
```

4 4. Calculate the Duane-Hunt limit, and slice the spectrum to the limit

[ ]:
```
# # Duane-Hunt method to find the real E_0
def calculate_duane_hunt(s=s, buffer_start=2, buffer_end=0.1,␣
 ↪xaxis_plot_buffer=0.5, dh_plot=False):
    x_max = s.axes_manager[0].high_value  # highest x-axis value in keV, used␣
 ↪in Duane-Hunt
    if Vacc > x_max:
        print(f'Vacc={Vacc} > x_max={x_max}, Duane-Hunt not possible')
        return np.nan
    else:
        s_dh = s.deepcopy()
        # making the lin fit of the background right before Vacc
        dh_start = Vacc-buffer_start
        dh_end = Vacc-buffer_end
        s_end = s_dh.isig[dh_start:dh_end] # slice with keV
        m_end = s_end.create_model(auto_background=False)
        m_end.add_polynomial_background(order=1)
        m_end.fit()

        x_s_end = s_dh.isig[dh_start-xaxis_plot_buffer:
 ↪dh_end+xaxis_plot_buffer].axes_manager[0].axis
        dh_bg_zero_index = np.argmin(np.abs(m_end[-1].function(x_s_end) * s_dh.
 ↪axes_manager[0].scale))

        dh_limit = x_s_end[dh_bg_zero_index]
        print(f'Duane-Hunt limit: {dh_limit:.3f} keV')

        return dh_limit
```

[ ]:
```
dh_limit = calculate_duane_hunt(dh_plot=False)
```

```
Vacc=30.0 > x_max=20.27, Duane-Hunt not possible
```

[ ]:
```
# Using Duane-Hunt to slice the spectrum
def use_dh_to_slice_spectrum(dh_limit=dh_limit, s=s, plot=False):
    if np.isnan(dh_limit):
        print('No Duane-Hunt limit found, not slicing the spectrum')
    else:
        s = s.isig[:dh_limit]
        print(f'Spectrum sliced at {dh_limit:.2f} keV')
        if plot:
            s.plot(xray_lines=True)
    return s
```

[ ]:
```
s = use_dh_to_slice_spectrum(dh_limit, plot=False)
x = s.axes_manager[0].axis  # x-axis in keV, after slicing
```

```
No Duane-Hunt limit found, not slicing the spectrum
```

5 5. Make a model of the spectrum, and fit it to the data

[ ]:
```
# creating a model and fitting it
def make_model(s=s, model_background_order=model_background_order,␣
 ↪plot_m=False):
    m = s.create_model(auto_background=False)
    m.add_polynomial_background(order=model_background_order)
    m.fit_background()
    m.fit(bounded=True)
    if plot_m:
        m.plot(plot_components=True)
    return m
```

[ ]:
```
m = make_model(s=s, model_background_order=model_background_order, plot_m=False)
```

[ ]:
```
def remove_lines_not_in_model(m=m, lines_of_interest=lines_of_interest,␣
 ↪line_ratio_list=line_ratio_list, fwtm_to_fwhm_lines=fwtm_to_fwhm_lines):
    lines_in_model = [c.name for c in m][:-1] # not including the background

    lines_to_remove = []
    for line in lines_of_interest:
        if line not in lines_in_model:
            # print(f'NB!: {line} not in model')
            lines_to_remove.append(line)

    fwtm_to_fwhm_to_remove = []
    for line in fwtm_to_fwhm_lines:
        if line not in lines_in_model:
            # print(f'NB!: {line} not in model, fwtm/fwhm not possible')
            fwtm_to_fwhm_to_remove.append(line)
```

```
    line_ratio_to_remove = []
    for linepair in line_ratio_list:
        if linepair[0] not in lines_in_model or linepair[1] not in␣
↪lines_in_model:
            # print(f'NB!: {linepair} not in model, ratio not possible')
            line_ratio_to_remove.append(linepair)

    # remove the lines from the lists
    for line in lines_to_remove:
        lines_of_interest.remove(line)
    for linepair in line_ratio_to_remove:
        line_ratio_list.remove(linepair)
```

[ ]:
```
remove_lines_not_in_model()
```

6 6. Calibrate the offset and scale

[ ]:
```
def sort_lines(lines_of_interest):
    """Sort lines_of_interest by area, taking area from m[line].A.value
    Used because the calibrate_energy_axis(calibrate='resolution') use the␣
↪first line,
    and using the strongest line gives a good reference energy for the function␣
↪by
    Newbury and Fiori (1978), documented in Goldstein (2018), Eq. ??.
    """
    lines_of_interest = sorted(lines_of_interest, key=lambda x: m[x].A.value,␣
↪reverse=True)
    # for l in lines_of_interest:
        # print(f'{l}: {m[l].A.value:.2f}')
    return lines_of_interest
```

[ ]:
```
lines_of_interest = sort_lines(lines_of_interest)
all_lines = [l.name for l in m if not l.isbackground]
all_lines = sort_lines(all_lines)
```

[ ]:
```
def calibrate_axis(m=m, s=s, rounds=2, xray_lines=lines_of_interest):
    """Calibrating the scale and offset of the energy axis."""
    print('Calibrating energy axis (with many elements it can take multiple␣
↪minutes)')

    for i in range(rounds):
        print(f'Calibrating offset and scale, round {i+1} of {rounds}')
        m.calibrate_energy_axis(calibrate='offset', xray_lines=xray_lines)
        offset_list.append(s.axes_manager[0].offset)
        m.calibrate_energy_axis(calibrate='scale', xray_lines=xray_lines)
        scale_list.append(s.axes_manager[0].scale)
```

```
    print(f'Scale: {scale_list[-1]:.6f} eV/px \nOffset: {offset_list[-1]:.6f} keV')

    return scale_list[-1], offset_list[-1]
```

[ ]:
```
scale, offset = calibrate_axis(rounds=2, xray_lines=lines_of_interest)
# using all lines gives basically the same result
# scale2, offset2 = calibrate_axis(rounds=1, xray_lines=all_lines)
```

```
Calibrating energy axis (with many elements it can take multiple minutes)
Calibrating offset and scale, round 1 of 2
Calibrating offset and scale, round 2 of 2
Scale: 0.010046 eV/px
Offset: 0.193846 keV
```

7 7. Calibrate the energy resolution

[ ]:
```
def calibrate_resolution(m=m, s=s, rounds=2, xray_lines=lines_of_interest[:3]):
    """
    Calibrating the energy resolution, i.e. the energy resolution at the Mn Ka line.
    NB! The reference line is the first line in xray_lines, thus the first line should be well defined.

    Using the three strongest lines to first calibrate the width, then estimate the energy resolution,
    which is done with only the first line in lines_of_interest
    """
    for i in range(rounds):
        print(f'Calibrating energy resolution, round {i+1} of {rounds}')
        m.calibrate_energy_axis(calibrate='resolution', xray_lines=xray_lines)
        energy_res_list.append(s.metadata.Acquisition_instrument.SEM.Detector.EDS.energy_resolution_MnKa)

    print(f'Calibrated energy resolution: {energy_res_list[-1]:.3f} eV')
    return energy_res_list[-1]
```

[ ]:
```
energy_resolution = calibrate_resolution()
```

```
Calibrating energy resolution, round 1 of 2
Calibrating energy resolution, round 2 of 2
Calibrated energy resolution: 128.676 eV
```

[ ]:
```
def print_calibration_info(scls=scale_list, offs=offset_list, eres=energy_res_list):
    # make pretty print of calibration info
```

```
    infos = [' ', 'Scale [eV/channel]', 'Offset [keV]', 'E-res [eV]']
    row1 = ['Current', f'{scls[-1]:.6f}', f'{offs[-1]:.6f}', f'{eres[-1]:.3f}']
    row2 = ['Original', f'{scls[0]:.6f}', f'{offs[0]:.6f}', f'{eres[0]:.3f}']
    row3 = ['Δ original', f'{(scls[-1] - scls[0])/scls[-2]*100:.3f} %',
    f'{(offs[-1] - offs[0])/offs[-2]*100:.3f} %', f'{(eres[-1] - eres[0])/
↪eres[0]*100:.3f} %']
    row4 = ['Δ last step', f'{(scls[-1] - scls[-2])/scls[-2]*100:.3f} %',
    f'{(offs[-1] - offs[-2])/offs[-2]*100:.3f} %', f'{(eres[-1] - eres[-2])/
↪eres[-2]*100:.3f} %']

    for i in range(len(infos)):
        print(f'{infos[i]:<20}{row1[i]:<15}{row2[i]:<15}{row3[i]:<15}{row4[i]:
↪<15}')
```

[ ]:
```
print_calibration_info()
```

	Current	Original	Δ original	Δ last step
Scale [eV/channel]	0.010046	0.010000	0.461 %	0.282 %
Offset [keV]	0.193846	0.200000	-3.134 %	-1.293 %
E-res [eV]	128.676	130.000	-1.019 %	0.031 %

8 8. Calibrate the energy and width of the peaks

[ ]:
```
def calibrate_lines(m=m, rounds=2, xray_lines='all'):
    """Calibrating the energy and width of the specified lines in m."""
    for i in range(rounds):
        print(f'Calibrating peak positions, round {i+1} of {rounds}')
        m.calibrate_xray_lines(calibrate='energy', xray_lines=xray_lines,␣
↪kind='single') # use kind='multi' for better results? Dunno
        m.calibrate_xray_lines(calibrate='width', xray_lines=xray_lines,␣
↪kind='single')
```

[ ]:
```
calibrate_lines(rounds=2, xray_lines=lines_of_interest)
```

```
Calibrating peak positions, round 1 of 2
Calibrating peak positions, round 2 of 2
```

9 9. Calculate Fiori P/B, peak intensities, FWHMs, and peak deviations

[ ]:
```
def make_lines_info(m=m, all_lines=make_info_on_all_lines, sort_by='Area',␣
↪lines_of_interest=lines_of_interest):
    lines_info = {}
    for i in range(len(m) - 1): # last component is the background
        if (all_lines == True) or (m[i].name in lines_of_interest):
            lines_info[m[i].name] = {
```

```
                'Theoretical E [keV]': theoretical_energy(m[i].name),
                'Calibrated E [keV]': np.round(m[i].centre.value, 4),
                'Area': np.round(m[i].A.value, 1),
                'Fiori P/B': np.round(m[i].A.value / (m[-1].function(m[i].
↪centre.value) * scale), 1),
                'FWHM [eV]': np.round(m[i].fwhm * 1000, 3),
                'Sigma [keV]': np.round(m[i].sigma.value, 4),
                'Height': np.round(m[i].height * scale, 1),
                'FWHM(Mn Ka)' : np.round(np.sqrt(2.5*(5898.7 - m[i].centre.
↪value*1000) + (m[i].fwhm*1000)**2), 3),
            }
    lines_info = pd.DataFrame(lines_info).T
    lines_info['Delta E [eV]'] = np.round((lines_info['Calibrated E [keV]'] -␣
↪lines_info['Theoretical E [keV]'] ) * 1000, 2)
    lines_info = lines_info.sort_values(by=sort_by, ascending=False)
    return lines_info
```

[ ]:
```
lines_info = make_lines_info(all_lines=make_info_on_all_lines, sort_by='Area')
lines_info
```

[ ]:

	Theoretical E [keV]	Calibrated E [keV]	Area	Fiori P/B	\
Ga_La	1.0980	1.1000	332711.7	585.8	
Ga_Ka	9.2517	9.2537	301654.4	761.5	
As_Ka	10.5436	10.5456	183007.1	621.5	
As_La	1.2819	1.2839	140489.7	236.2	
Ga_Lb1	1.1249	1.1249	55576.2	97.2	
Ga_Kb	10.2642	10.2662	38822.9	123.6	
As_Kb	11.7262	11.7282	26698.9	117.4	
As_Lb1	1.3174	1.3174	23467.4	39.1	
Ga_Ll	0.9573	0.9573	18099.5	33.1	
Ga_Lb3	1.1948	1.1948	15338.0	26.4	
Ga_Ln	0.9842	0.9842	8347.7	15.2	
As_Ll	1.1196	1.1196	6924.7	12.1	
As_Lb3	1.3860	1.3860	6700.0	11.0	
As_Ln	1.1551	1.1551	2710.0	4.7	

	FWHM [eV]	Sigma [keV]	Height	FWHM(Mn Ka)	Delta E [eV]
Ga_La	66.866	0.0284	46960.0	128.327	2.0
Ga_Ka	162.634	0.0691	17505.2	134.396	2.0
As_Ka	172.548	0.0733	10009.8	134.743	2.0
As_La	72.009	0.0306	18412.9	129.315	2.0
Ga_Lb1	67.993	0.0289	7714.3	128.676	0.0
Ga_Kb	161.035	0.0684	2275.3	122.529	2.0
As_Kb	181.136	0.0769	1391.1	135.043	2.0
As_Lb1	71.444	0.0303	3100.0	128.676	0.0
Ga_Ll	64.838	0.0275	2634.5	128.676	0.0
Ga_Lb3	69.266	0.0294	2089.9	128.676	0.0

```
Ga_Ln      65.355       0.0278   1205.5      128.676          0.0
As_Ll      67.895       0.0288    962.6      128.676          0.0
As_Lb3     72.634       0.0308    870.6      128.676          0.0
As_Ln      68.546       0.0291    373.1      128.676          0.0
```

10 10. Calculate the relevant peak ratios

[ ]:
```
def peak_ratio(line1, line2, m=m):
    # give the K to L ratio of a line, e.g. 'Ga_Ka' to 'Ga_La'
    try:
        m[line1]
        m[line2]
    except ValueError:
        print('line not in model:', line1, line2)
        return np.nan
    return np.round(m[line1].A.value / m[line2].A.value, 3)


def calculate_all_line_ratios():
    line_ratios = {}
    for line_pair in line_ratio_list:
        pair_name = line_pair[0] + '/' + line_pair[1]
        line_ratios[pair_name] =  peak_ratio(line_pair[0], line_pair[1])
    line_ratios = pd.DataFrame(line_ratios, index=['Line ratio']).T
    return line_ratios
```

[ ]:
```
line_ratios = calculate_all_line_ratios()
print(line_ratios)
```

```
            Line ratio
As_Ka/As_La       1.303
Ga_Ka/Ga_La       0.907
Ga_La/As_La       2.368
```

11 11. Save the results in a DataFrame in a “.csv” file

[ ]:
```
def dead_time(s=s, m=m):
    real_time = s.metadata.Acquisition_instrument.SEM.Detector.EDS.real_time
    live_time = s.metadata.Acquisition_instrument.SEM.Detector.EDS.live_time
    dead_time_percent = (real_time - live_time)/real_time*100
    print(f'Dead time: {dead_time_percent:.1f}%')
    return round(dead_time_percent, 1)
```

[ ]:
```
dead_time_percent = dead_time(s=s, m=m)
```

```
Dead time: 43.7%
```

[ ]:
```
def make_output():
    key_output = pd.DataFrame({
        'Name': [name],
        'Nominal beam energy [kV]': [Vacc],
        'Beam current [pA]': [beam_current],
        'Process time' : [process_time],
        'ICR' : [input_count_rate],
        'Dead time [%]': [dead_time_percent],
        'Live time [s]': [round(s.metadata.Acquisition_instrument.SEM.Detector.
 ↪EDS.live_time, 1)],
        'Duane-Hunt limit [kV]': [dh_limit],
        'Scale [keV]': [scale],
        'Offset [keV]': [offset],
        'Energy resolution [eV]': [energy_resolution],
    })
    for ratio_pair in line_ratios.T.columns:
        key_output[f'Ratio ({ratio_pair})'] = line_ratios.T[ratio_pair][0]

    for line in fiori_peaks:
        try:
            key_output[f'Fiori PB ({line})'] = lines_info.loc[line, 'Fiori P/B']
        except KeyError:
            key_output[f'Fiori PB ({line})'] = np.nan

    return key_output
```

[ ]:
```
key_output = make_output()
key_output.T
```

[ ]:

	0
Name	GaAs_30kV_50pA
Nominal beam energy [kV]	30.0
Beam current [pA]	50
Process time	6
ICR	16400
Dead time [%]	43.7
Live time [s]	120.0
Duane-Hunt limit [kV]	NaN
Scale [keV]	0.010046
Offset [keV]	0.193846
Energy resolution [eV]	128.675916
Total counts	1930388.0
Background counts	757476.8
FWTM/FWHM (Ga_Ka)	1.829
FWTM/FWHM (Ga_La)	1.907
Ratio (As_Ka/As_La)	1.303
Ratio (Ga_Ka/Ga_La)	0.907

```
Ratio (Ga_La/As_La)             2.368
Fiori PB (Ga_La)                585.8
Fiori PB (As_La)                236.2
Fiori PB (Ga_Ka)                761.5
```

[ ]:
```python
def save_output():
    # save the output-df to a csv file
    key_output.T.to_csv(f'results/{name}_output.csv')

    # saves the line info df to another csv file
    save_lines_info = True
    if save_lines_info:
        lines_info.to_csv(f'results/lines_info/{name}_lines_info.csv')
```

[ ]:
```python
save_output()
```

[ ]:
```python
# ###### snakkes.
# ![Image](https://folk.ntnu.no/brynjamm/marathon_dabz.gif)
```