

# Visual Learning with Weak Supervision

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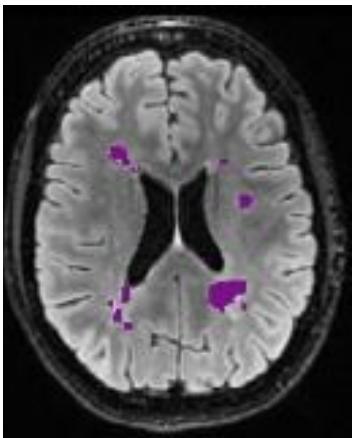
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- [1] Cicek, Safa, Alhussein Fawzi, and Stefano Soatto. SaaS: Speed as a supervisor for semi-supervised learning. *Proceedings of the European Conference on Computer Vision (ECCV)*. 2018.
- [2] Cicek, Safa, and Stefano Soatto. Input and Weight Space Smoothing for Semi-supervised Learning. *Proceedings of the IEEE International Conference on Computer Vision (ICCV) Workshops*. 2019.
- [3] Cicek, Safa, and Stefano Soatto. Unsupervised domain adaptation via regularized conditional alignment. *Proceedings of the IEEE International Conference on Computer Vision (ICCV)*. 2019.
- [4] Cicek, Safa, Zhaowen Wang, Hailin Jin, Stefano Soatto, Generative Feature Disentangling for Unsupervised Domain Adaptation. *Proceedings of the European Conference on Computer Vision (ECCV) Workshops*. (2020).
- [5] Cicek, Safa, Ning Xu, Zhaowen Wang, Hailin Jin, Stefano Soatto, Spatial Class Distribution Shift in Unsupervised Domain Adaptation. *Asian Conference on Computer Vision (ACCV)*. 2020.
- [6] Wong Alex, Safa Cicek, Stefano Soatto, Learning Topology from Synthetic Data for Unsupervised Depth Completion, *IEEE Robotics and Automation Letters (RAL)*. 2021.
- [7] Wong Alex, Safa Cicek, Stefano Soatto, Targeted Adversarial Perturbations for Monocular Depth Prediction. *Conference on Neural Information Processing Systems (NeurIPS)*. 2020.

# Visual Perception



[1] He, Kaiming, et al. "Delving deep into rectifiers: Surpassing human-level performance on imagenet classification." Proceedings of the IEEE international conference on computer vision. 2015.

# Manual annotation is expensive.

## Image Classification



Siamese Cat



French Bulldog

[1]

[1] Deng, Jia, et al. "Imagenet: A large-scale hierarchical image database." 2009 IEEE conference on computer vision and pattern recognition. Ieee, 2009.

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## Image Classification

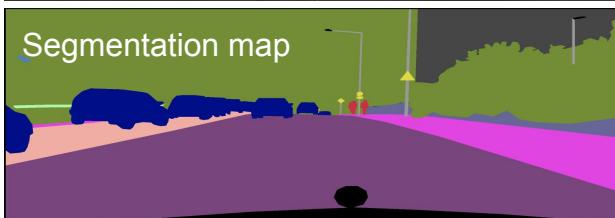


[1]

## Semantic Segmentation



[2]



[1] Deng, Jia, et al. "Imagenet: A large-scale hierarchical image database." 2009 IEEE conference on computer vision and pattern recognition. Ieee, 2009.

[2] Cordts, Marius, et al. "The cityscapes dataset for semantic urban scene understanding." *Proceedings of the IEEE conference on computer vision and pattern recognition*. 2016.

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Image Classification

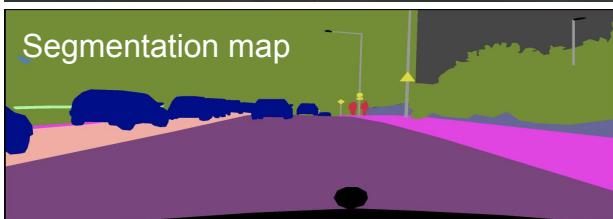


[1]

Semantic Segmentation



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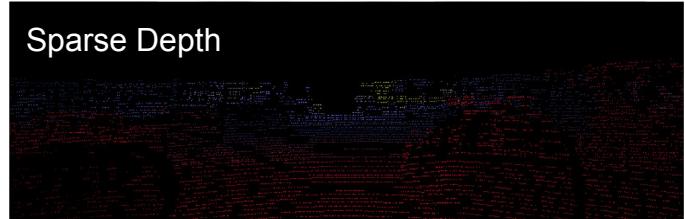


Sparse to Dense Depth Completion



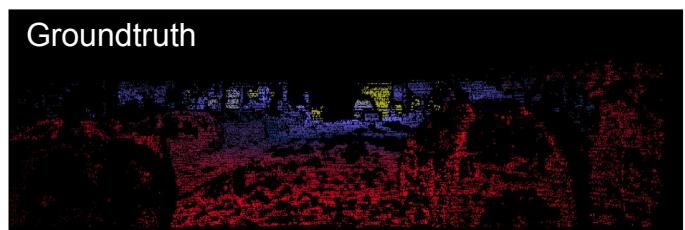
[3]

Sparse Depth



100

Groundtruth



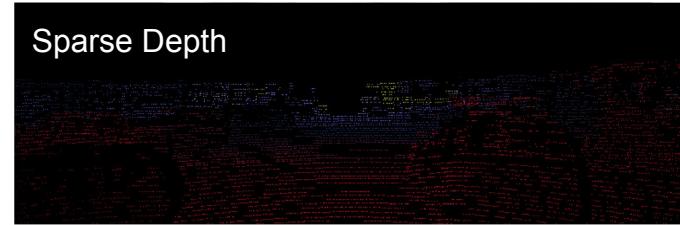
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[1] Deng, Jia, et al. "Imagenet: A large-scale hierarchical image database." 2009 IEEE conference on computer vision and pattern recognition. Ieee, 2009.

[2] Cordts, Marius, et al. "The cityscapes dataset for semantic urban scene understanding." *Proceedings of the IEEE conference on computer vision and pattern recognition*. 2016.

[3] Uhrig, N. Schneider, L. Schneider, U. Franke, T. Brox, A. Geiger. Sparsity invariant cnns. 3DV 2017.

# Unlabeled Real Data



[1] Cordts, Marius, et al. "The cityscapes dataset for semantic urban scene understanding." *Proceedings of the IEEE conference on computer vision and pattern recognition*. 2016.

[2] J. Uhrig, N. Schneider, L. Schneider, U. Franke, T. Brox, A. Geiger. Sparsity invariant cnns. 3DV 2017.

# Unlabeled Real Data + Labeled Virtual Data



Sparse Depth

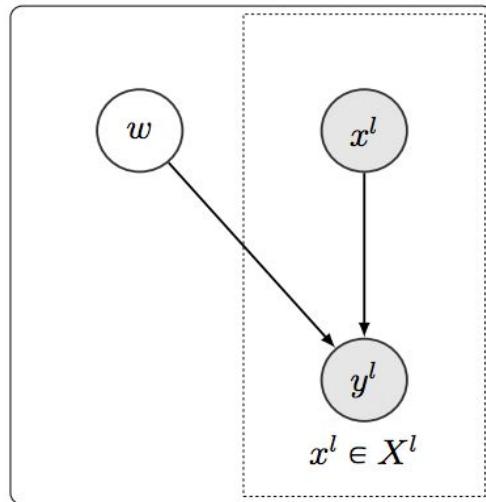


[1] Richter, Stephan R., et al. "Playing for data: Ground truth from computer games." European conference on computer vision. Springer, Cham, 2016.

[2] Y. Cabon, N. Murray, M. Humenberger. Virtual KITTI 2. Preprint 2020.

# Dependency of Unlabeled Data Labels and Model Parameters

Discriminative supervised



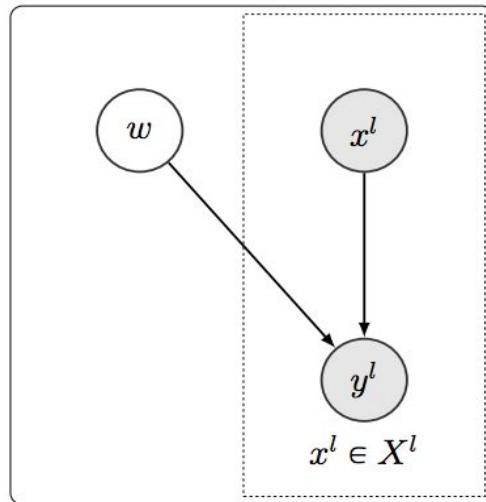
- Shaded variables are fully observed.

[1] Chapelle, Olivier, Bernhard Scholkopf, and Alexander Zien. "Semi-supervised learning (chapelle, o. et al., eds.; 2006)." IEEE Transactions on Neural Networks 20.3 (2009): 542-542.

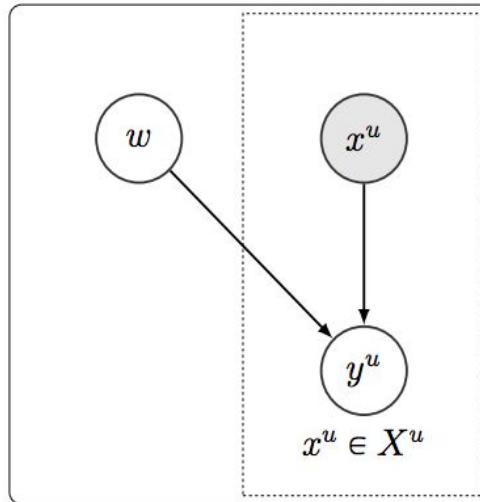
[2] Koller, Daphne, and Nir Friedman. Probabilistic graphical models: principles and techniques. MIT press, 2009.

# Dependency of Unlabeled Data Labels and Model Parameters

Discriminative supervised



Discriminative unsupervised



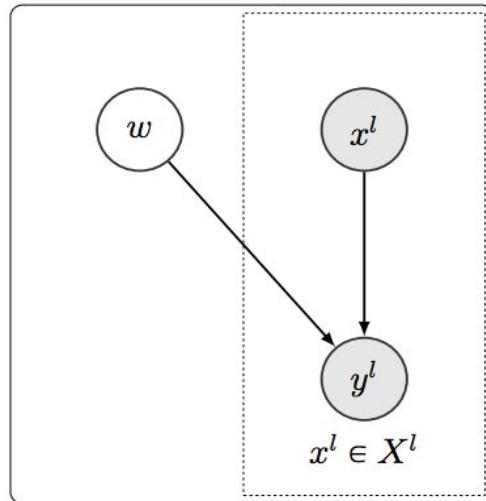
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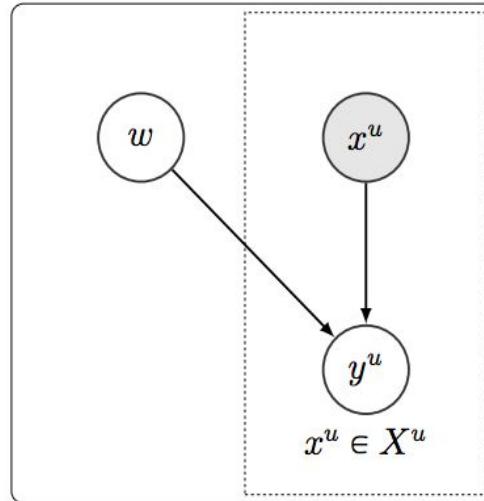
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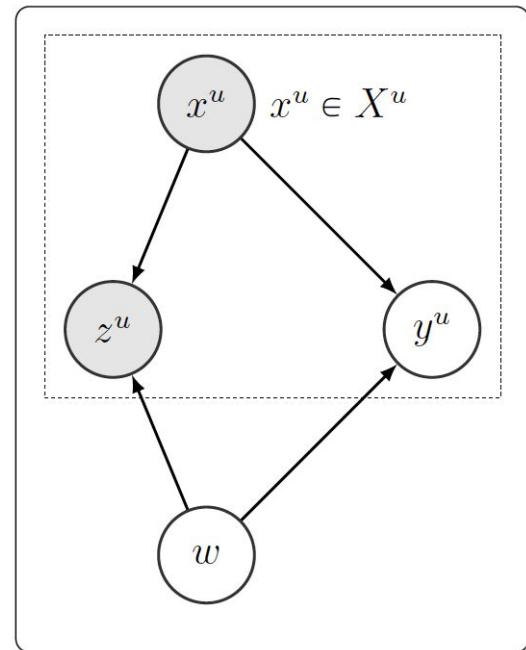
Discriminative supervised



Discriminative unsupervised



Discriminative Regularized



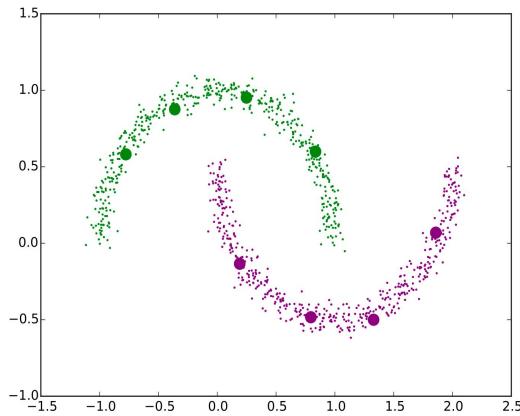
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[2] Koller, Daphne, and Nir Friedman. Probabilistic graphical models: principles and techniques. MIT press, 2009.

# Max-margin (Cluster, Low-density) Assumption

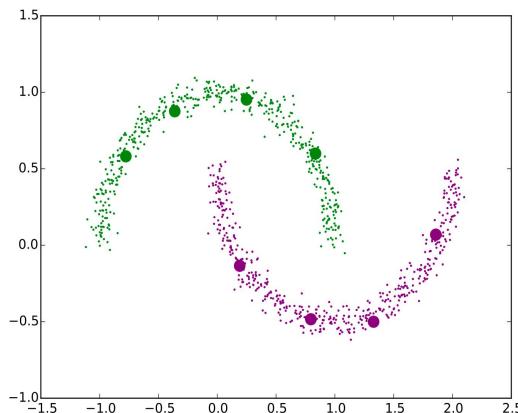
Data



- Large circles (4+4) are labeled samples.
- Small dots are unlabeled samples.

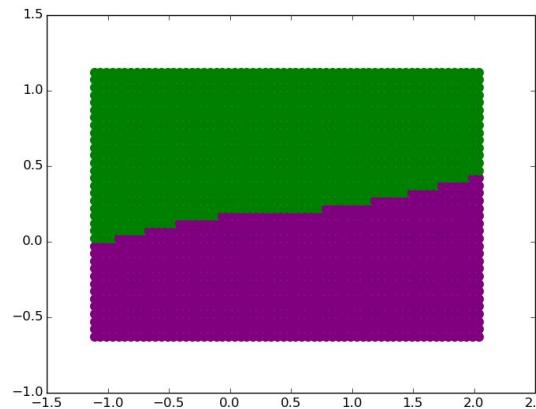
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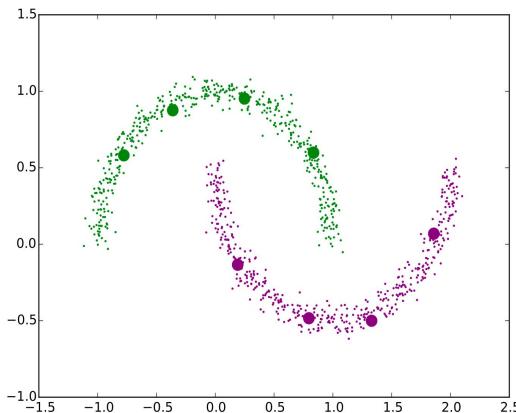
Learned Decision Boundaries



- Without regularization, only using labeled samples.

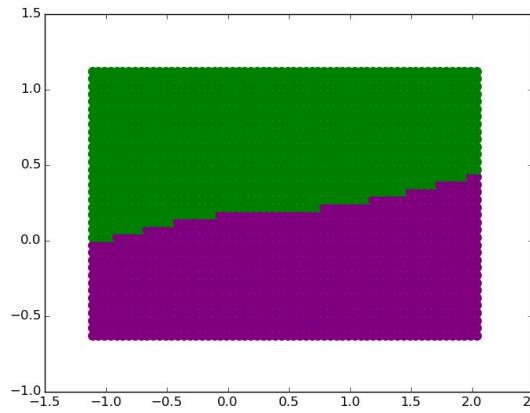
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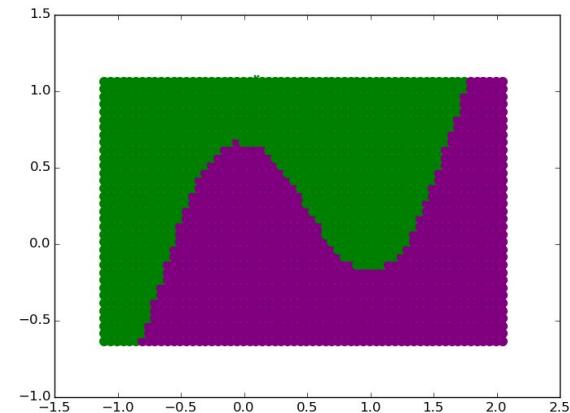


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Learned Decision Boundaries



- Without regularization, only using labeled samples.

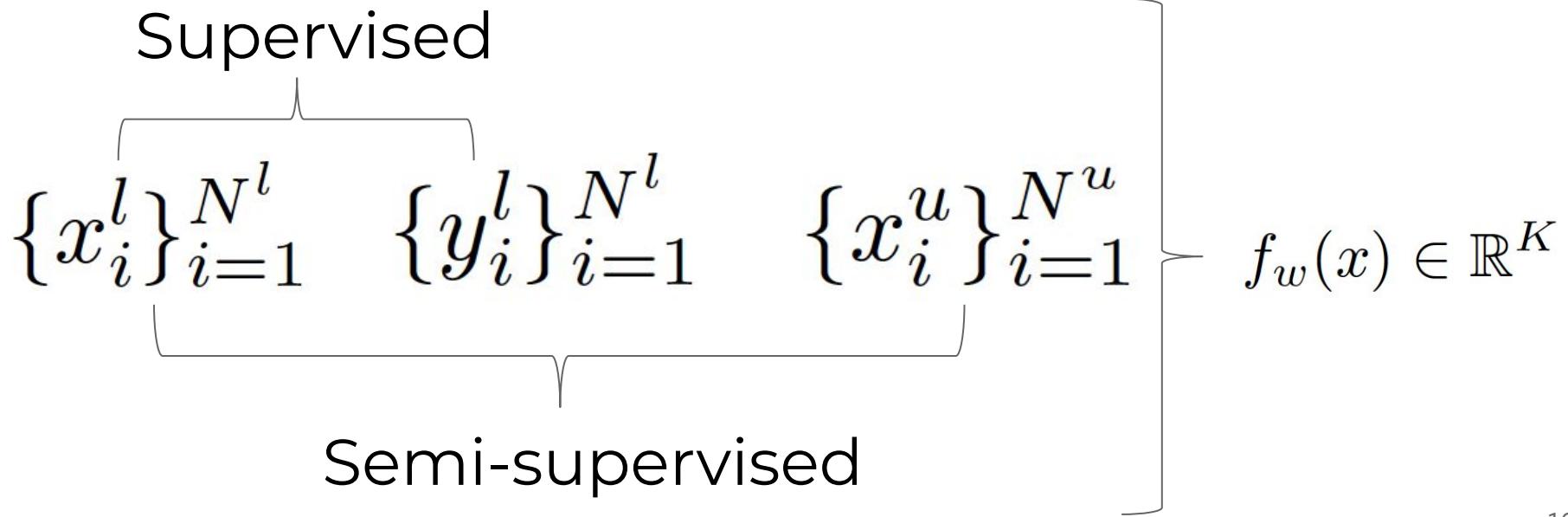


- With regularization (e.g. VAT [1]), also using unlabeled samples.

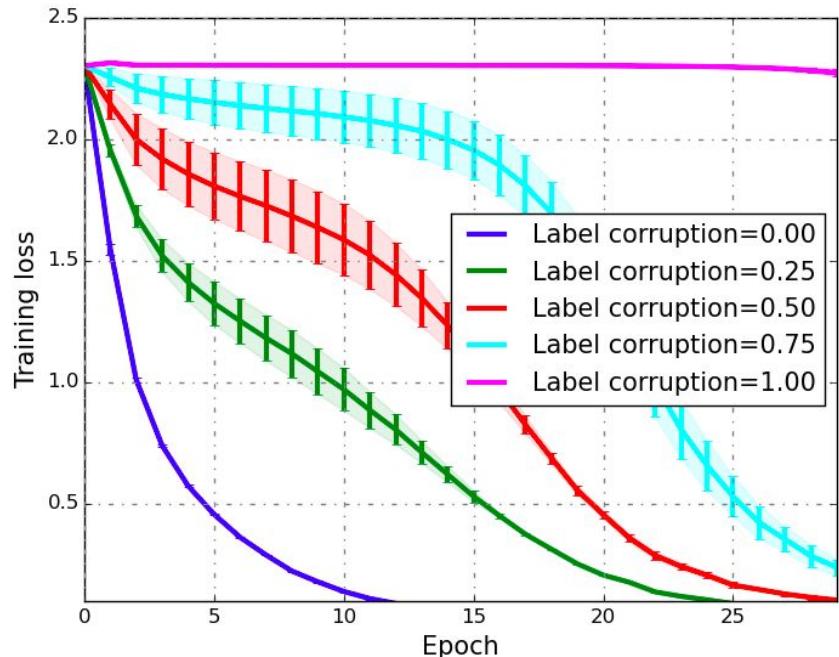
[1] Miyato, T., Maeda, S.-i., Koyama, M., and Ishii, S. (2017). Virtual adversarial training: a regularization method for supervised and semi-supervised learning. arXiv preprint arXiv:1704.03976.

# SaaS: Speed as a Supervisor for Semi-supervised Learning

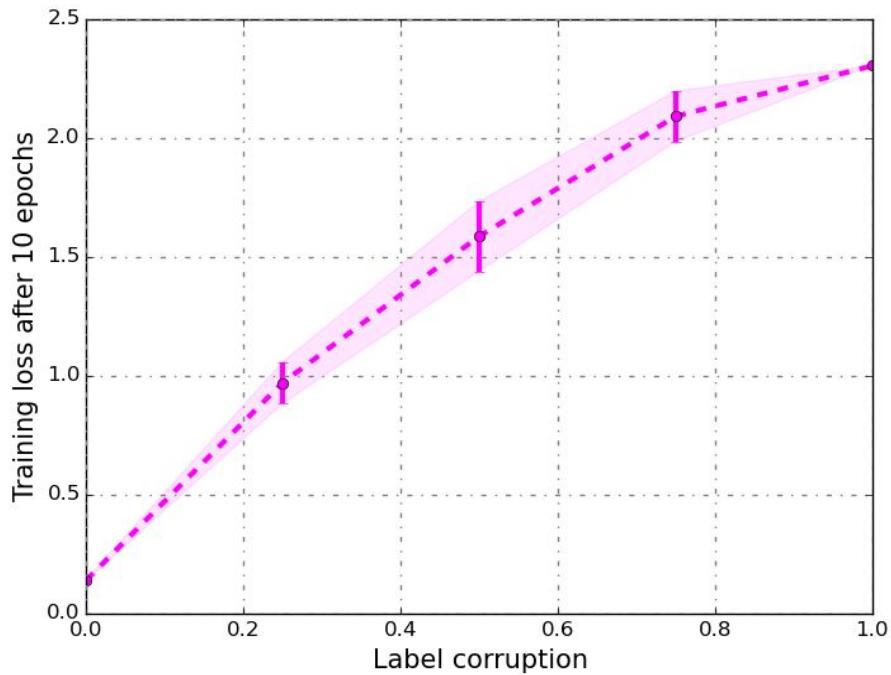
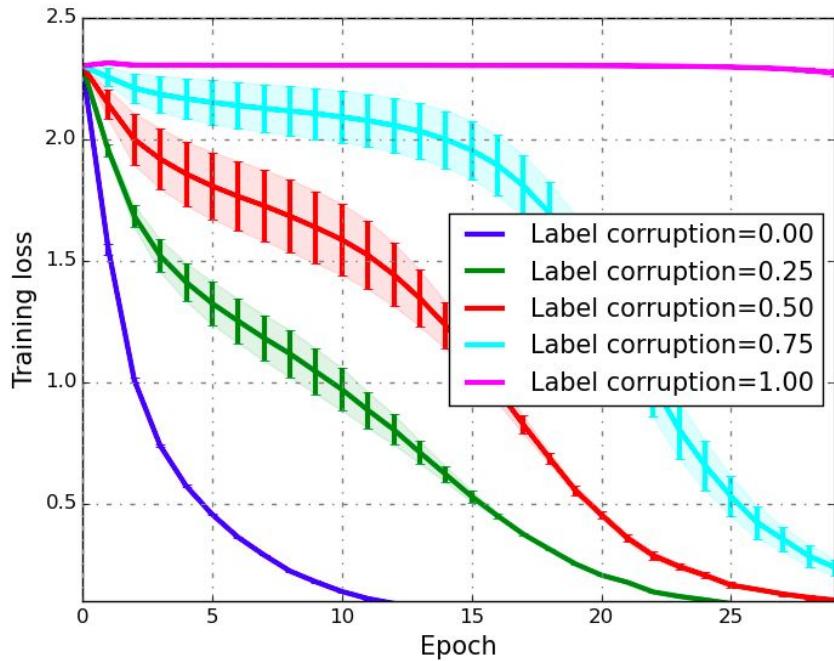
# Semi-supervised Learning



# SaaS: Speed as a Supervisor for Semi-supervised Learning



# SaaS: Speed as a Supervisor for Semi-supervised Learning



# SaaS

$$P^u \sim \mathcal{N}(0, I)$$

Select learning rates  $\eta$  for the weights  $\eta_w$  and label posteriors  $\eta_{P^u}$

**Phase I:** Estimate  $P^u$

**while**  $P^u$  has not stabilized **do**

$$P^u = \Pi(P^u) \text{ (project posterior onto the probability simplex)}$$

$$w_1 \sim \mathcal{N}(0, I)$$

$$\Delta P^u = 0$$

// Run SGD for  $T$  steps (on the weights) to estimate loss decrease

**for**  $t = 1 : T$  **do**

$$w_{t-\frac{1}{2}} = w_{t-1} - \eta_w \nabla_{w_{t-1}} (\ell(B_t^u, P^u; w_{t-1}) + \beta q(B_t^u; w_{t-1}))$$

$$w_t = w_{t-\frac{1}{2}} - \eta_w \nabla_{w_{t-\frac{1}{2}}} \ell(B_t^l, P^l; w_{t-\frac{1}{2}})$$

$$\Delta P^u = \Delta P^u + \nabla_{P^u} \ell(B_t^u, P^u; w_t)$$

// Update the posterior distribution

$$P^u = P^u - \eta_{P^u} \Delta P^u$$

**Phase II:** Estimate the weights.

$$\hat{y}_i^u = \arg \max_i P_i^u \quad \forall i = 1, \dots, N^u$$

$$w_1 \sim \mathcal{N}(0, I)$$

**while**  $w$  has not stabilized **do**

$$w_{t-\frac{1}{2}} = w_{t-1} - \eta_w \nabla_{w_{t-1}} \frac{1}{|B_t^u|} \sum_{i=1}^{|B_t^u|} \ell(x_i^u, \hat{y}_i^u; w_{t-1})$$

$$w_t = w_{t-\frac{1}{2}} - \eta_w \nabla_{w_{t-\frac{1}{2}}} \frac{1}{|B_t^l|} \sum_{i=1}^{|B_t^l|} \ell(x_i^l, y_i^l; w_{t-\frac{1}{2}})$$

- Inner loop to measure ease of training for the current pseudo-labels.

- Outer loop to update the pseudo-labels.

$$P^u \sim \mathcal{N}(0, I)$$

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$$w_t = w_{t-\frac{1}{2}} - \eta_w \nabla_{w_{t-\frac{1}{2}}} \frac{1}{|B_t^l|} \sum_{i=1}^{|B_t^l|} \ell(x_i^l, y_i^l; w_{t-\frac{1}{2}})$$

- Inner loop to measure ease of training for the current pseudo-labels.

# Objective Function

$$\mathcal{L}_T(P^u) = \frac{1}{T} \sum_{t=1}^T \frac{1}{|B_t^u|} \sum_{i=1}^{|B_t^u|} - \underbrace{\langle \log f_{w_t}(x_i^u), P_i^u \rangle}_{\ell(x_i^u, P_i^u; w_t)}$$

$P^u \in \mathbb{R}^{N^u \times K}$

$P_i^u[k] = P(y_i = k | x_i), \quad k = 1, \dots, K$

$$P^u = \arg \min_{P^u} \frac{1}{T} \sum_{t=1}^T \ell(B_t^u, P^u; w_{t-1})$$

$\underbrace{\qquad\qquad\qquad}_{\frac{1}{|B_t^u|} \sum_{i=1}^{|B_t^u|} \ell(g_i(x_i^u), P_i^u; w_{t-1})}$

- Cumulative loss: area under the loss curve up to a small number of epochs.

# Degenerate Solutions to Cumulative Loss

- Supervision quality correlates with learning speed in *expectation* not in every *realization*.

# Degenerate Solutions to Cumulative Loss

- Supervision quality correlates with learning speed in expectation not in every realization.

- Posterior of label estimates should live in probability simplex.
- Entropy minimization [1,2]
- Cumulative loss should be small for augmented unlabeled data.

$$P^u \in \mathcal{S}$$

$$H_Q(w) = \sum_{i=1}^{N^u} -\underbrace{\langle f_w(x_i^u), \log f_w(x_i^u) \rangle}_{q(x_i^u; w)}$$

[1] Grandvalet, Yves, and Yoshua Bengio. "Semi-supervised learning by entropy minimization." Advances in neural information processing systems. 2005.

[2] Krause, Andreas, Pietro Perona, and Ryan G. Gomes. "Discriminative clustering by regularized information maximization." Advances in neural information processing systems. 2010.

# Degenerate Solutions to Cumulative Loss

- Supervision quality correlates with learning speed in expectation not in every realization.
  - Posterior of label estimates should live in probability simplex.
  - Entropy minimization [1,2]
  - Cumulative loss should be small for augmented unlabeled data.
  - A strong network can fit to completely random labels [3].
    - So, we measure the speed after a few epochs of training.

$$P^u \in \mathcal{S}$$

$$H_Q(w) = \sum_{i=1}^{N^u} -\underbrace{\langle f_w(x_i^u), \log f_w(x_i^u) \rangle}_{q(x_i^u; w)}$$

$$\min_{w, P^u} \sum_{i=1}^N \ell(x_i, P_i^u; w)$$

This is not equivalent to our optimization.

[1] Grandvalet, Yves, and Yoshua Bengio. "Semi-supervised learning by entropy minimization." Advances in neural information processing systems. 2005.

[2] Krause, Andreas, Pietro Perona, and Ryan G. Gomes. "Discriminative clustering by regularized information maximization." Advances in neural information processing systems. 2010.

[3] Zhang, Chiyuan, et al. "Understanding deep learning requires rethinking generalization." arXiv preprint arXiv:1611.03530 (2016).

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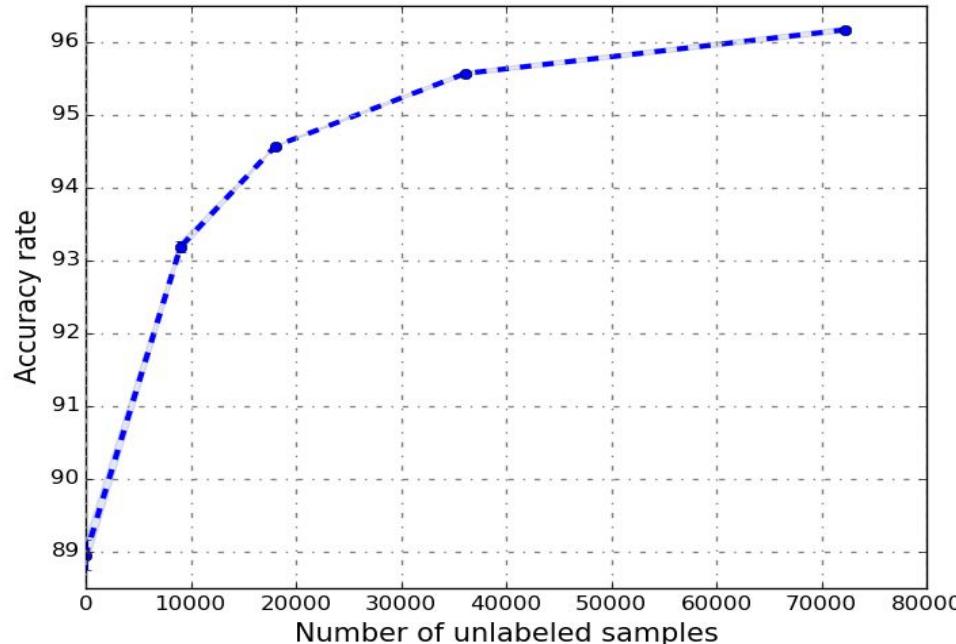
- Learn the model weights from the final pseudo-labels.

# Empirical Evaluations

	CIFAR10-4K	SVHN-1K
Error rate by supervised baseline on test data	$17.64 \pm 0.58$	$11.04 \pm 0.50$
Error rate by SaaS on unlabeled data	$12.81 \pm 0.08$	$6.22 \pm 0.02$
Error rate by SaaS on test data	$10.94 \pm 0.07$	$3.82 \pm 0.09$

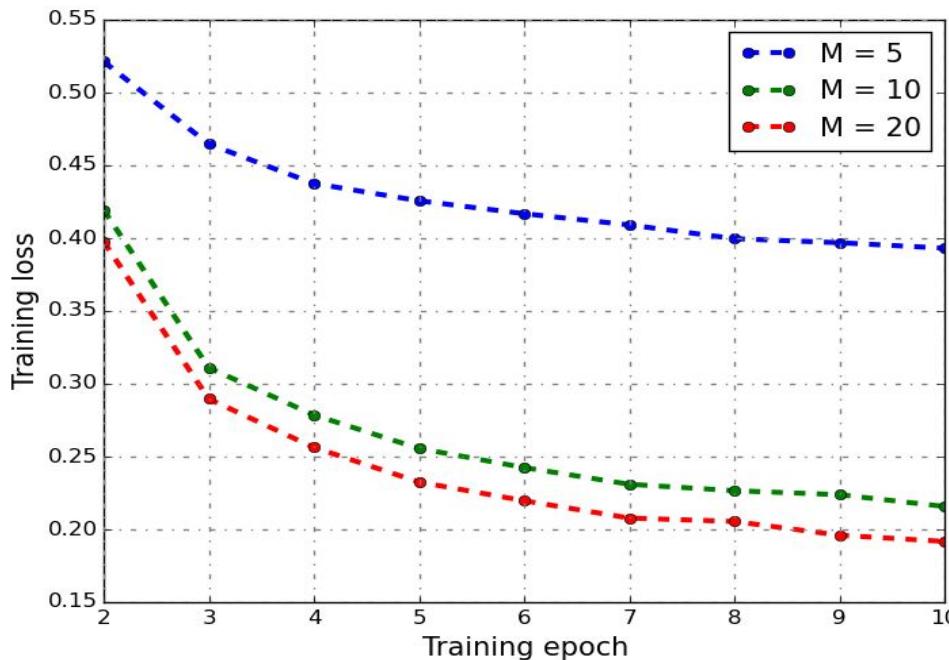
- Comparison to the baseline.

# Empirical Evaluations



- The more unlabeled data the better generalization.

# Empirical Evaluations



- M is the number of pseudo-label updates.
- SaaS finds labels training on which is faster.

# Empirical Evaluations

	Mean Teacher [1]	VAT [2]	SaaS
SVHN-1K	3.95	3.86	<b>3.82 ± 0.09</b>
CIFAR-4K	12.31	<b>10.55</b>	10.94 ± 0.07

- Comparison to state of the art.

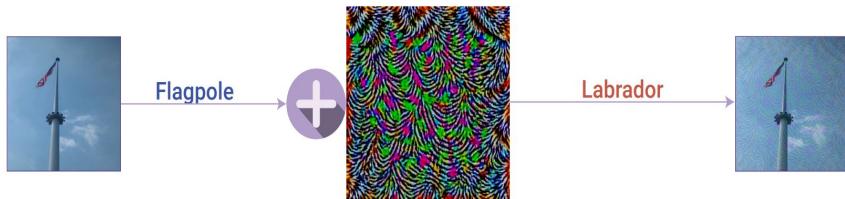
[1] Tarvainen, A. and Valpola, H. (2017). Mean teachers are better role models: Weight-averaged consistency targets improve semi-supervised deep learning results.

[2] Miyato, T., Maeda, S.-i., Koyama, M., and Ishii, S. (2017). Virtual adversarial training: a regularization method for supervised and semi-supervised learning. arXiv preprint arXiv:1704.03976.

# Input and Weight Space Smoothing for Semi-supervised Learning

[1] Cicek, Safa, and Stefano Soatto. Input and Weight Space Smoothing for Semi-supervised Learning. Proceedings of the IEEE International Conference on Computer Vision (ICCV) Workshops. 2019.

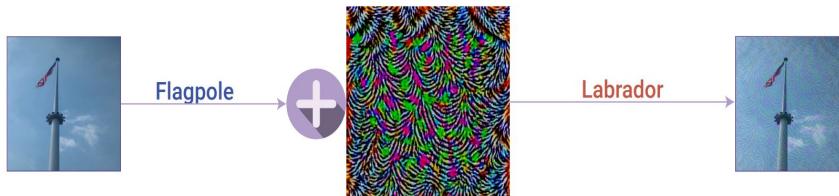
# Motivation for Input and Weight Space Smoothing



Moosavi-Dezfooli, Seyed-Mohsen, et al. "Universal adversarial perturbations." *Proceedings of the IEEE conference on computer vision and pattern recognition*. 2017.

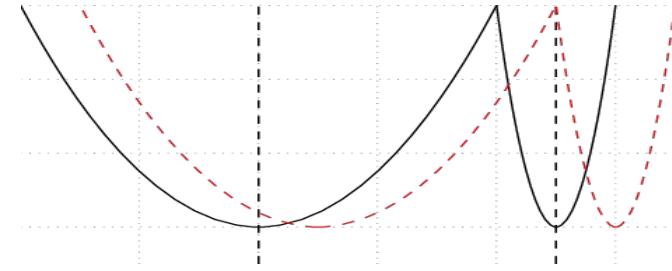
- Small adversarial perturbations are nuisances for the tasks that we are interested in.

# Motivation for Input and Weight Space Smoothing



Moosavi-Dezfooli, Seyed-Mohsen, et al. "Universal adversarial perturbations." *Proceedings of the IEEE conference on computer vision and pattern recognition*. 2017.

- Small adversarial perturbations are nuisances for the tasks that we are interested in.



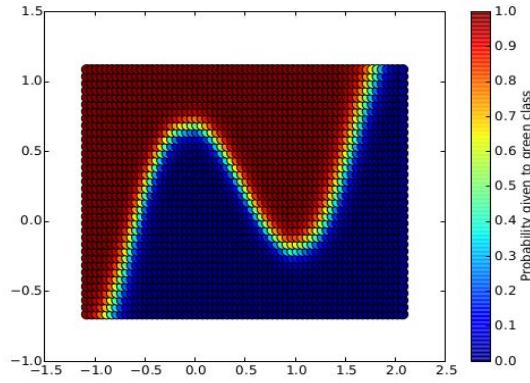
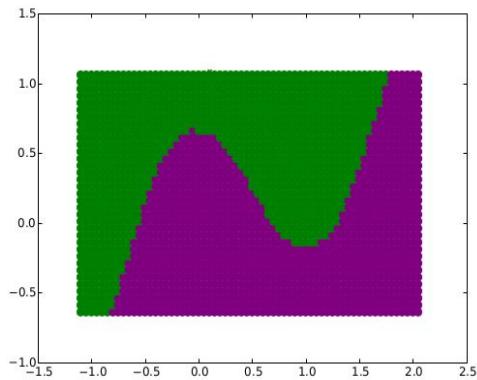
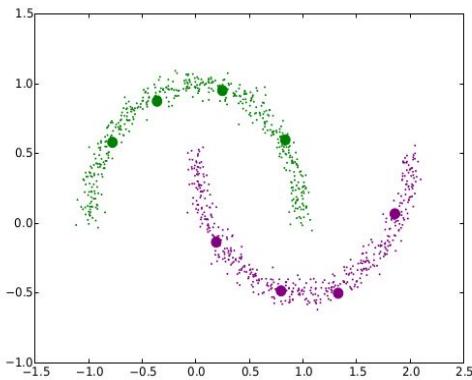
Keskar, N. S., et. al.. (2016). On large-batch training for deep learning: Generalization gap and sharp minima.

- Converging to a flat-minimum improves generalization [1, 2].

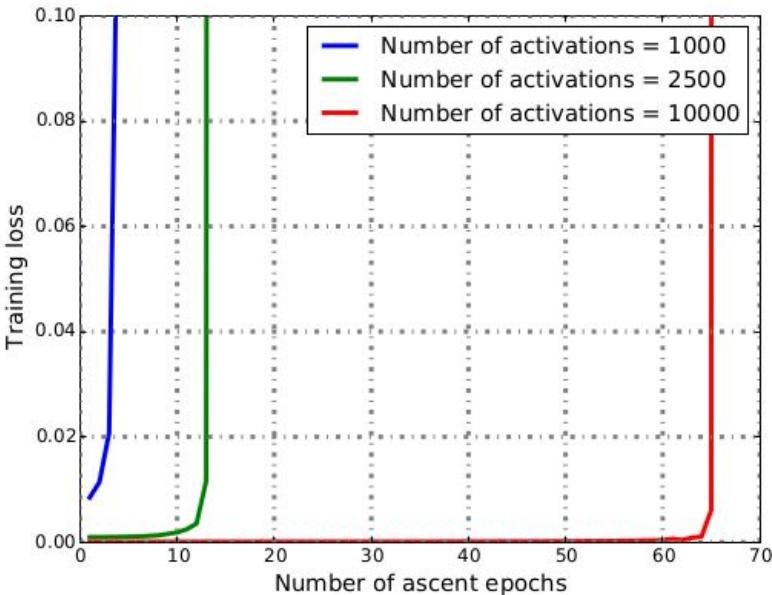
[1] Hochreiter, Sepp, and Jürgen Schmidhuber. "Flat minima." *Neural Computation* 9.1 (1997): 1-42.

[2] Chaudhari, Pratik, et al. "Entropy-sgd: Biassing gradient descent into wide valleys." *Journal of Statistical Mechanics: Theory and Experiment* 2019.12 (2019): 124018.

# Input Smoothing and Weight Smoothing do not Imply Each Other.



# Input Smoothing and Weight Smoothing do not Imply Each Other.



- Over-parameterized networks are more robust to adversarial noises in the weight space even when they have the same decision boundary (i.e. the same input smoothness).

# Comparison to State of the art

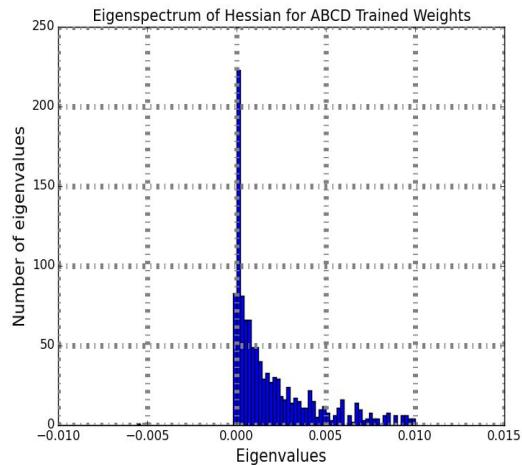
	Mean Teacher [1]	VAT [2]	Ours
SVHN	3.95	3.86	<b>3.53 ± 0.24</b>
CIFAR	12.31	10.55	<b>9.28 ± 0.21</b>

[1] Tarvainen, A. and Valpola, H. (2017). Mean teachers are better role models: Weight-averaged consistency targets improve semi-supervised deep learning results.

[2] Miyato, T., Maeda, S.-i., Koyama, M., and Ishii, S. (2017). Virtual adversarial training: a regularization method for supervised and semi-supervised learning. arXiv preprint arXiv:1704.03976.

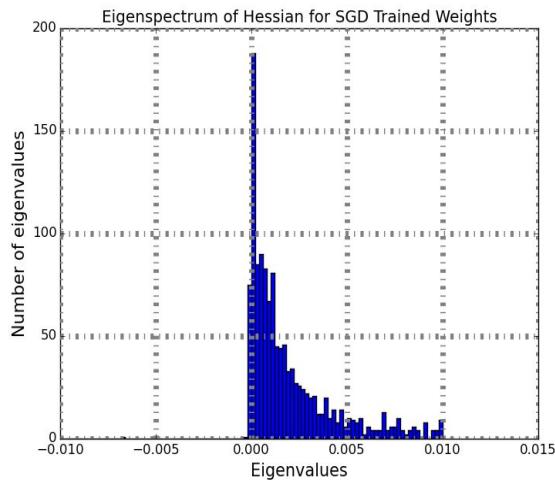
# Hessians of the Converged Models

ABCD Trained



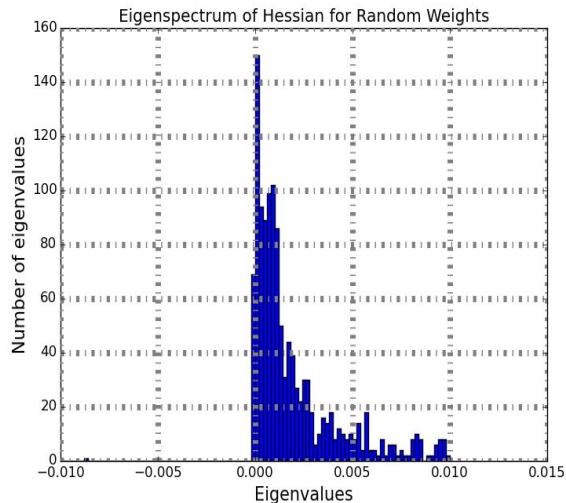
**262** almost 0 eigenvalues

SGD Trained



**226** almost 0 eigenvalues

Random Weights

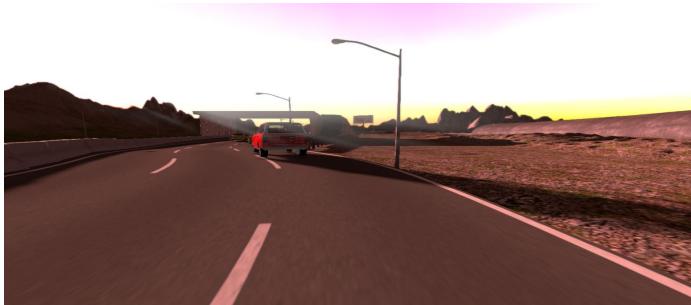


**185** almost 0 eigenvalues

# Unsupervised Domain Adaptation via Regularized Conditional Alignment

# Unsupervised Domain Adaptation (UDA)

Synthetic Source



$$(x^s, y^s) \sim P^s$$



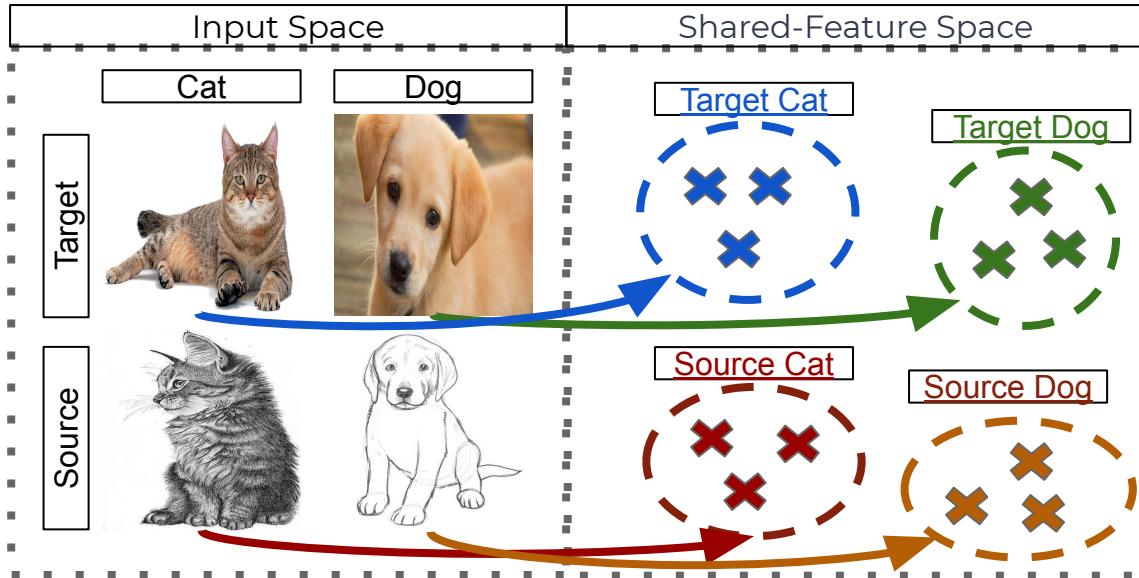
Real Target



$$x^t \sim P_x^t$$



# Shared-Feature Space for UDA

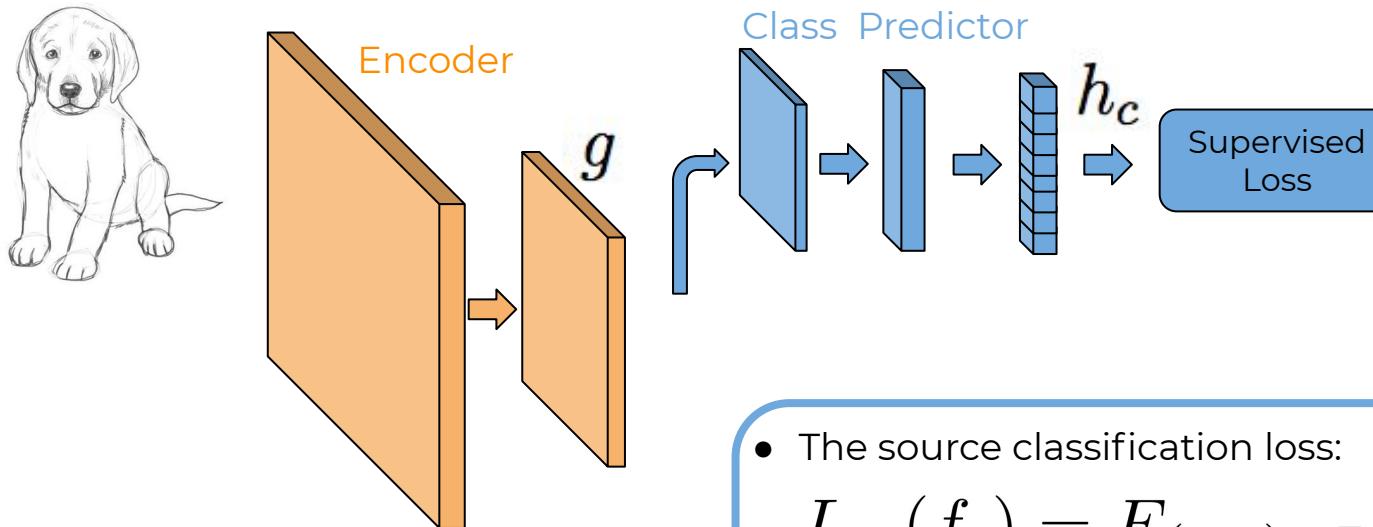


- Moment matching between source and target features (e.g. MMD) [1,2]:

$$\left\| \frac{1}{N^s} \sum_{i=1}^{N^s} g(x_i^s) - \frac{1}{N^t} \sum_{i=1}^{N^t} g(x_i^t) \right\|$$

[1] Eric Tzeng, Judy Hoffman, Ning Zhang, Kate Saenko, and Trevor Darrell. Deep domain confusion: Maximizing for domain invariance. arXiv preprint arXiv:1412.3474, 2014.  
[2] Mingsheng Long, Yue Cao, Jianmin Wang, and Michael I Jordan. Learning transferable features with deep adaptation networks. arXiv preprint arXiv:1502.02791, 2015.

# Standard Approach to UDA

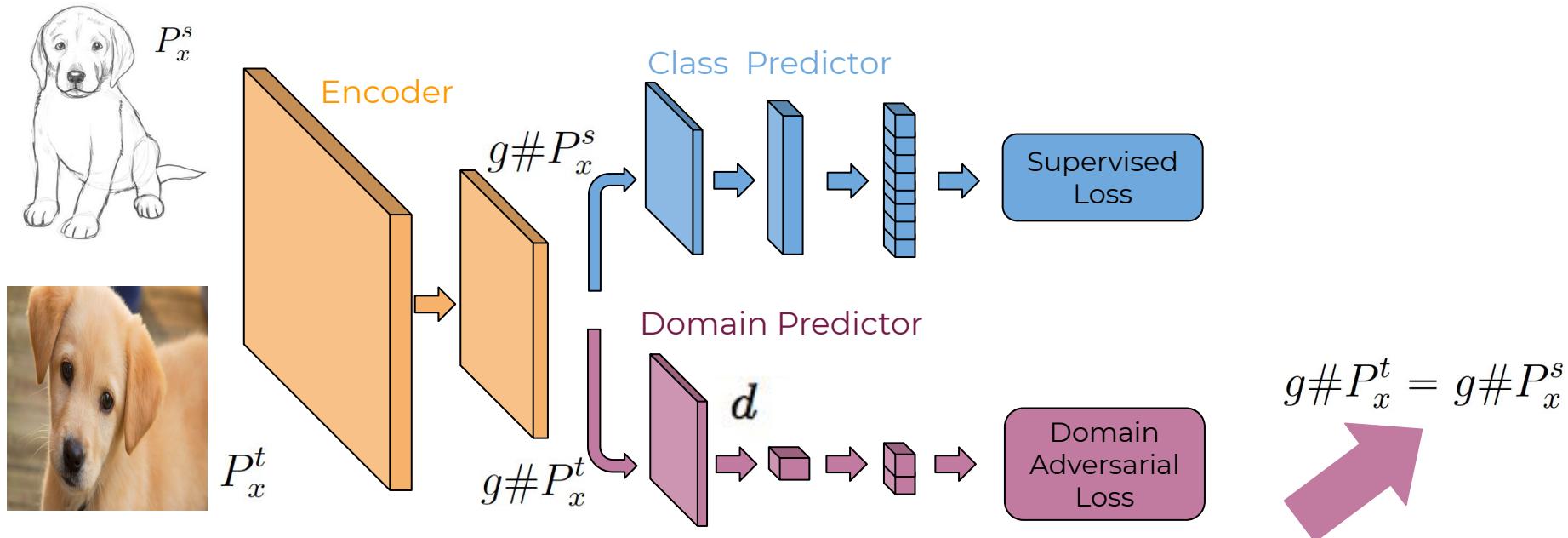


- The source classification loss:

$$L_{sc}(f_c) = E_{(x,y) \sim P^s} \ell_{CE}(f_c(x), y)$$

$$f_c = h_c \circ g$$

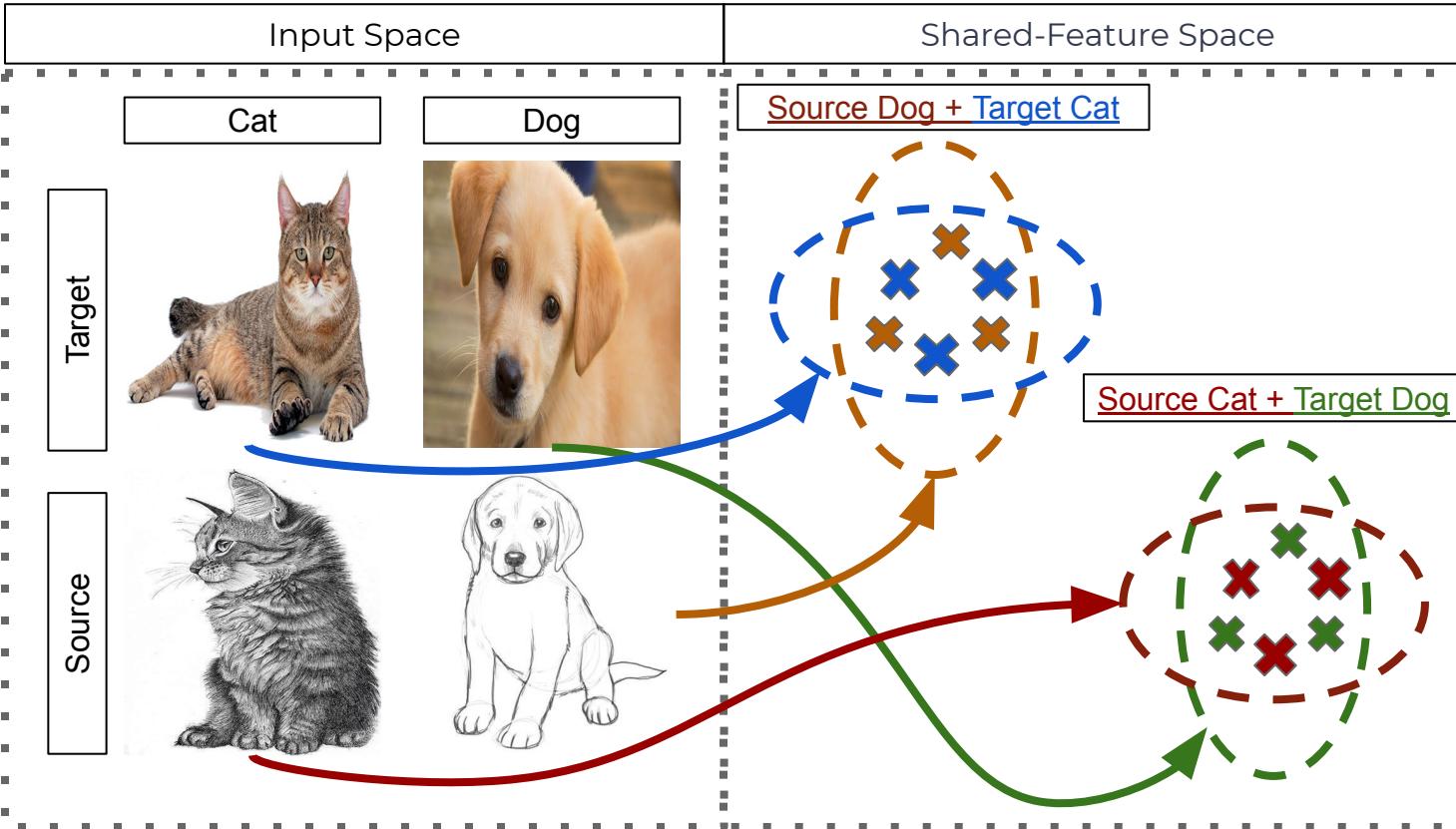
# Standard Approach to UDA



- The domain alignment loss:

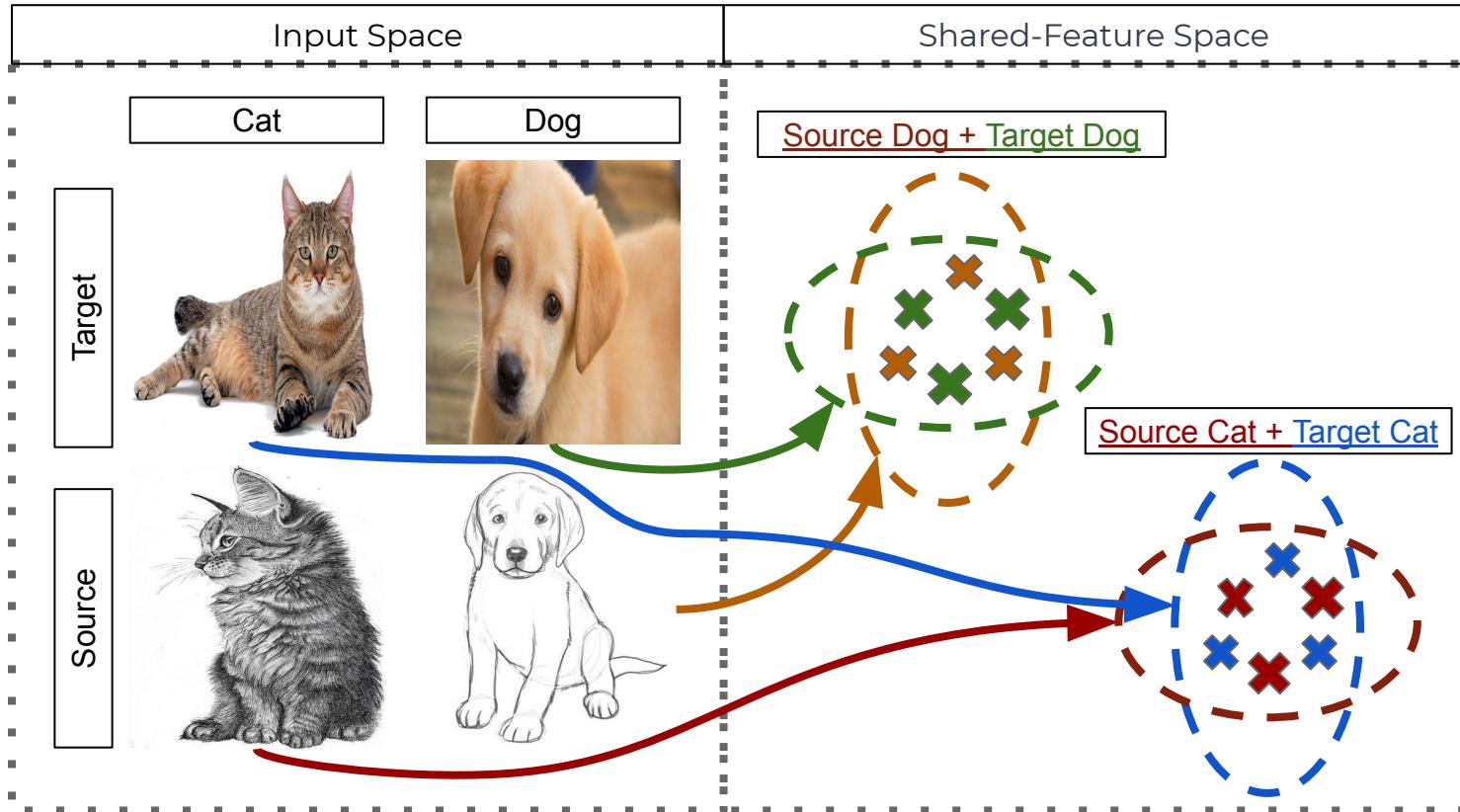
$$L_{da}(g, d) = \max_g \min_d \mathbb{E}_{x \sim P_x^s} \ell_{CE}(d(g(x)), [1, 0]) + \mathbb{E}_{x \sim P_x^t} \ell_{CE}(d(g(x)), [0, 1])$$

# DANN Aligns Marginal Distributions!

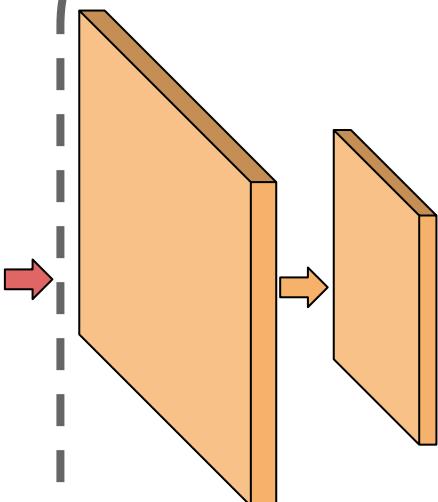


- Adversarial domain alignment (e.g. DANN) [1]

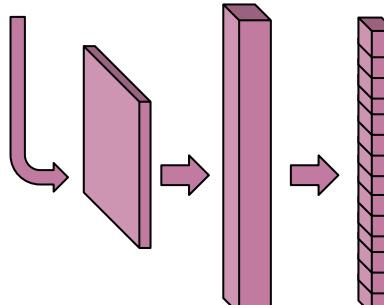
# Conditional Alignment



# Proposed Method



Encoder:  
Set it to target  
dog



Joint Predictor:  
Set it to source  
dog

Joint  
domain-class  
label  
Source Dog  
Source Cat  
...  
Target Dog  
Target Cat  
...

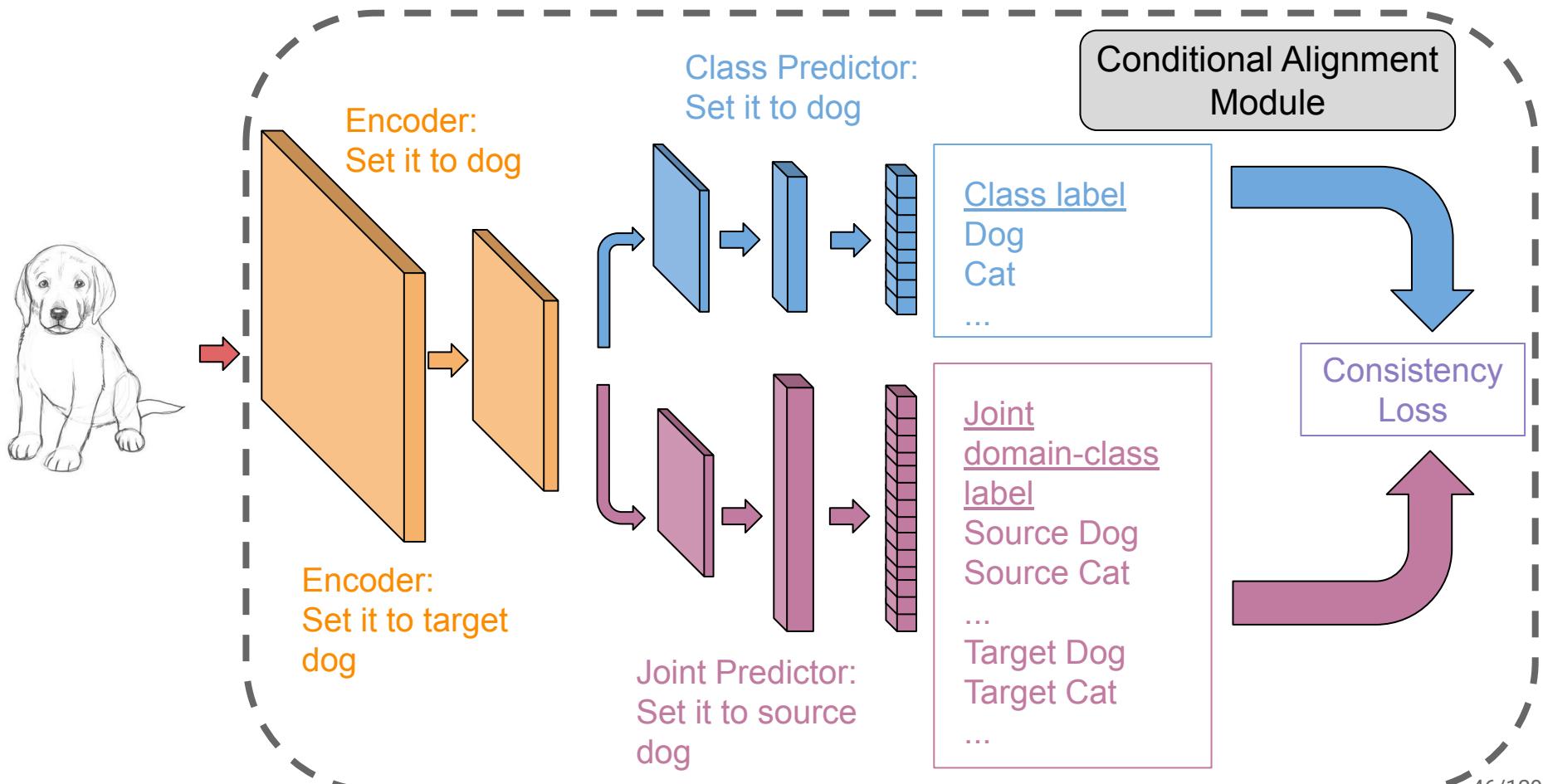
Conditional Alignment  
Module

- The joint source and target classification losses:

$$L_{jsc}(h_j) = E_{(x,y) \sim P^s} \ell_{CE}(h_j(g(x)), [y, \mathbf{0}])$$

$$L_{jtc}(h_j) = E_{x \sim P_x^t} \ell_{CE}(h_j(g(x)), [\mathbf{0}, \hat{y}])$$

# Proposed Method



# Proposed Method

- The joint source and target classification losses:

$$L_{jsc}(h_j) = E_{(x,y) \sim P^s} \ell_{CE}(h_j(g(x)), [y, \mathbf{0}])$$

$$L_{jtc}(h_j) = E_{x \sim P_x^t} \ell_{CE}(h_j(g(x)), [\mathbf{0}, \hat{y}])$$

- The joint source and target alignment losses:

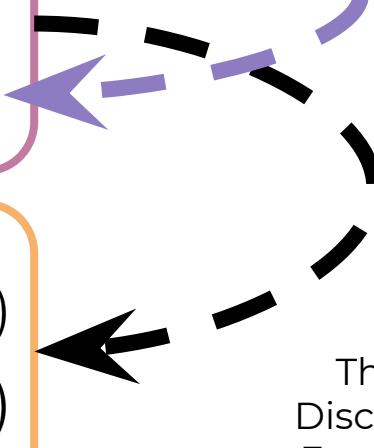
$$L_{jsa}(g) = E_{(x,y) \sim P^s} \ell_{CE}(h_j(g(x)), [\mathbf{0}, y])$$

$$L_{jta}(g) = E_{x \sim P_x^t} \ell_{CE}(h_j(g(x)), [\hat{y}, \mathbf{0}])$$

- Pseudo-labels:

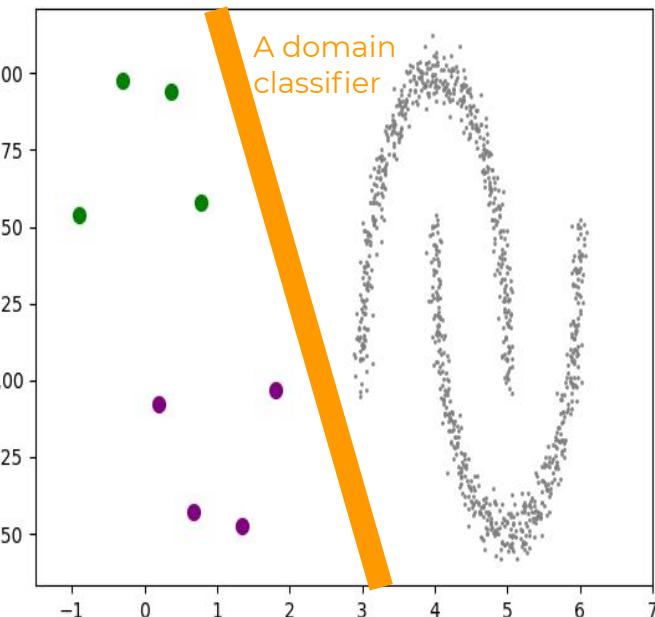
$$\hat{y} = e_k$$

$$k = \arg \max_k f_c(x)[k]$$



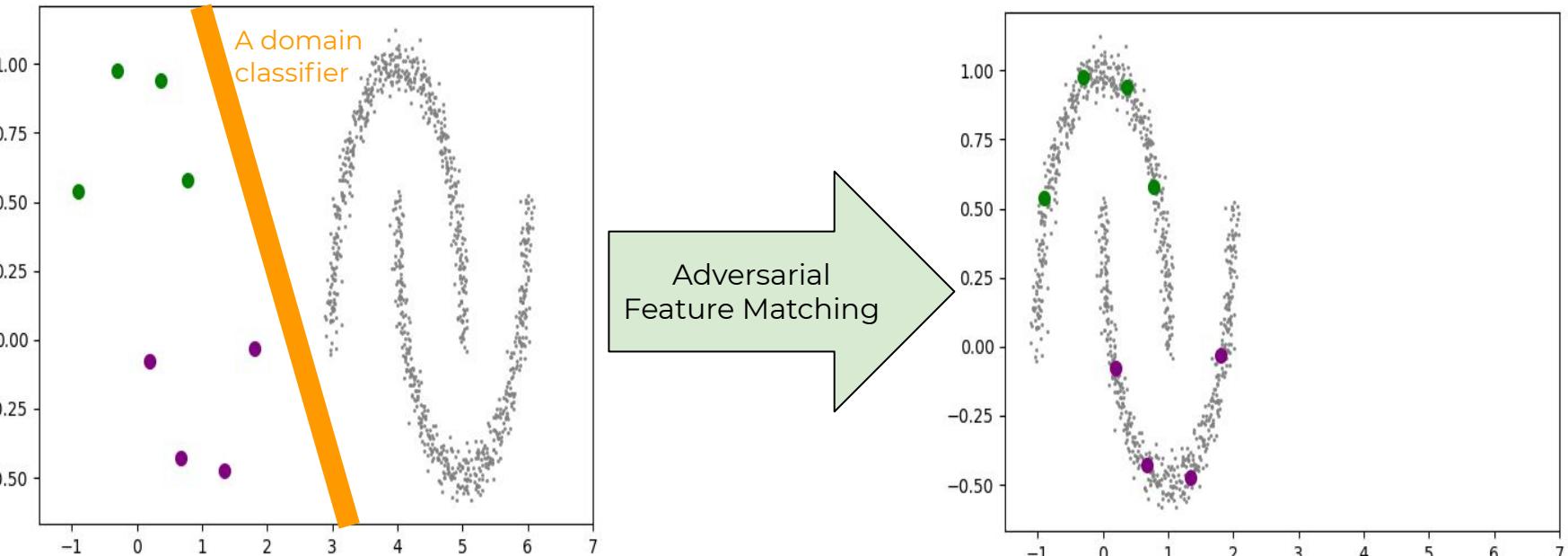
The Joint  
Discriminator  
Feedback for  
Feature Alignment

# Exploiting Unlabeled Data with SSL Regularizers



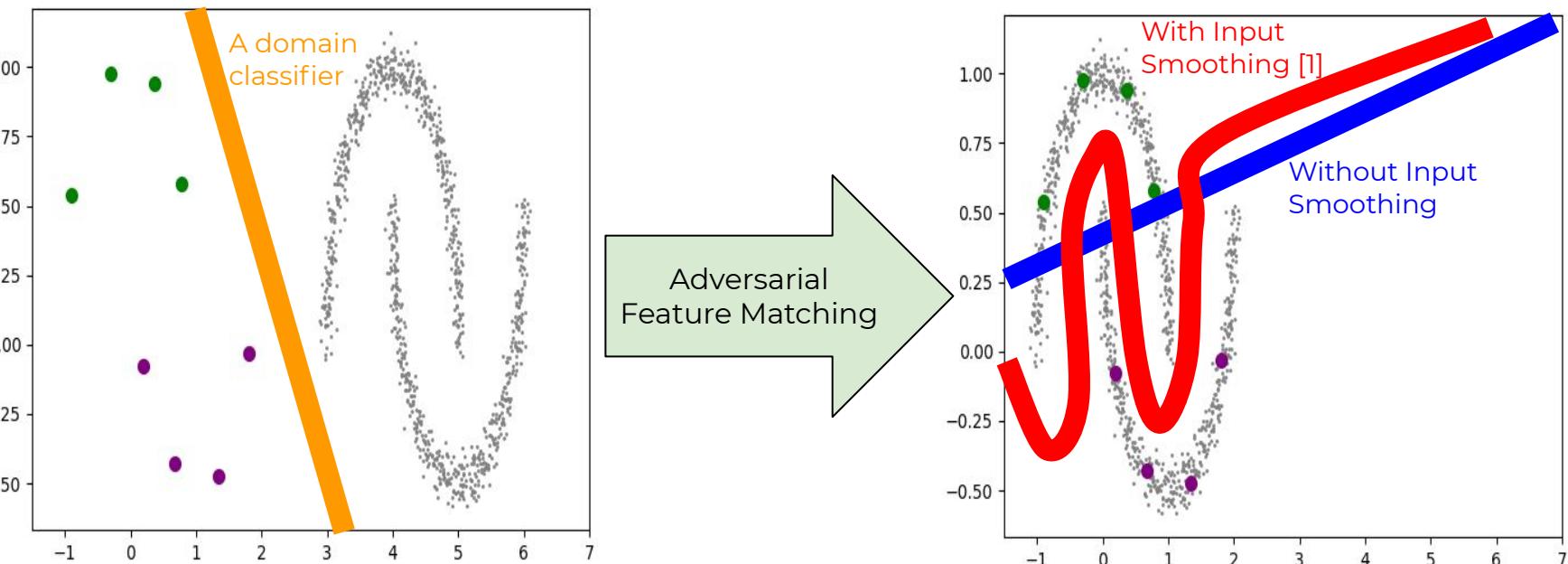
- Gray dots are the learned features for the unlabeled target samples.
- Purple/Green circles are the learned features for the labeled source samples.

# Exploiting Unlabeled Data with SSL Regularizers



- Gray dots are the learned features for the unlabeled target samples.
- Purple/Green circles are the learned features for the labeled source samples.

# Exploiting Unlabeled Data with SSL Regularizers



- Gray dots are the learned features for the unlabeled target samples.
- Purple/Green circles are the learned features for the labeled source samples.

[1] Takeru Miyato, Shin-ichi Maeda, Masanori Koyama, and Shin Ishii. Virtual adversarial training: a regularization method for supervised and semi-supervised learning. arXiv preprint arXiv:1704.03976, 2017.

# Analysis

**Proposition 1.** *The optimal joint predictor  $h_j$  minimizing  $L_{jsc}(h_j) + L_{jtc}(h_j)$  for any feature  $z$  with non-zero measure either on  $g\#P_x^s(z)$  or  $g\#P_x^t(z)$  is*

$$h_j(z)[i] = \frac{g\#P^s(z, y = e_i)}{g\#P_x^s(z) + g\#P_x^t(z)}$$

$$h_j(z)[i + K] = \frac{g\#P^t(z, y = e_i)}{g\#P_x^s(z) + g\#P_x^t(z)} \text{ for } i \in \{1, \dots, K\}$$

**Theorem 1.** *The objective  $L_{jsa}(g) + L_{jta}(g)$  is minimized for the given optimal joint predictor if and only if*

$$g\#P^s(z|y = e_k) = g\#P^t(z|y = e_k)$$

$$g\#P^s(z|y = e_k) > 0 \Rightarrow g\#P^s(z|y = e_i) = 0 \text{ for } i \neq k \text{ for any } y = e_k \text{ and } z.$$

# Comparison to SOA UDA Methods

Source dataset	MNIST	SVHN	CIFAR	STL	SYN-DIGITS	MNIST
Target dataset	SVHN	MNIST	STL	CIFAR	SVHN	MNIST-M
DANN [1]	60.6	68.3	78.1	62.7	90.1	94.6
VADA + IN [2]	73.3	94.5	78.3	71.4	94.9	95.7
Ours	<b>89.19</b>	<b>99.33</b>	<b>81.65</b>	<b>77.76</b>	<b>96.22</b>	<b>99.47</b>
Source-only	44.21	70.58	79.41	65.44	85.83	70.28
Target-only	94.82	99.28	77.02	92.04	96.56	99.87

[1] Yaroslav Ganin and Victor Lempitsky. Unsupervised domain adaptation by backpropagation. arXiv preprint arXiv:1409.7495, 2014.

[2] Rui Shu, Hung H Bui, Hirokazu Narui, and Stefano Ermon. A dirt-t approach to unsupervised domain adaptation. arXiv preprint arXiv:1802.08735, 2018.

# Disentangled Image Generation for Unsupervised Domain Adaptation

# Image Translation Approach



Segmentation map

$y$



Generated source image

$$x \sim P^g(x|y, d = 0)$$



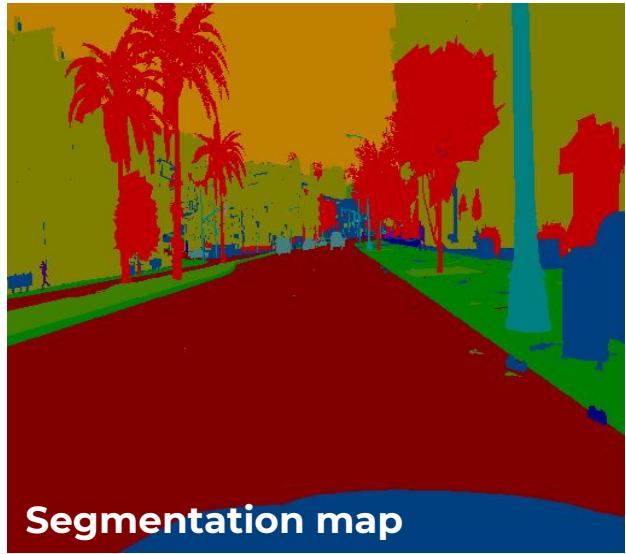
Generated target image

$$x \sim P^g(x|y, d = 1)$$

- We generate the images using GauGAN [1].

[1] Park, Taesung, et al. "Semantic image synthesis with spatially-adaptive normalization." Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition. 2019.

# Image Translation Approach



$y$



In reality, Cityscapes (Germany)  
do not have palm trees 😊



$x \sim P^g(x|y, d = 1)$

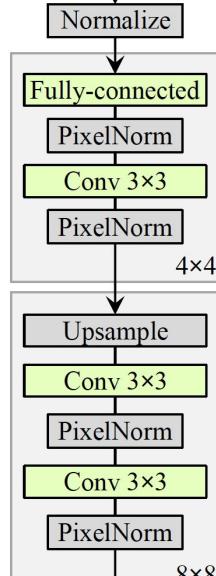
- We generate the images using GauGAN [1].

[1] Park, Taesung, et al. "Semantic image synthesis with spatially-adaptive normalization." Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition. 2019.

# StyleGAN

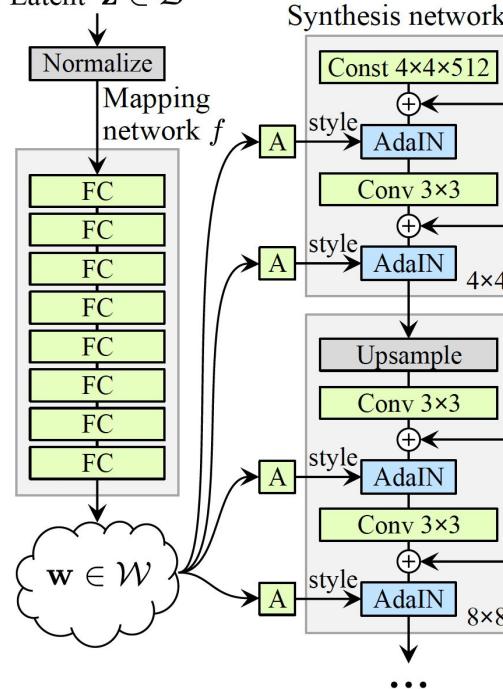
$$z \sim N(0, I)$$

Latent  $z \in \mathcal{Z}$



(a) Traditional

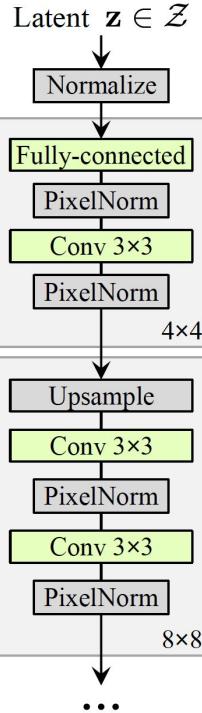
Latent  $z \in \mathcal{Z}$



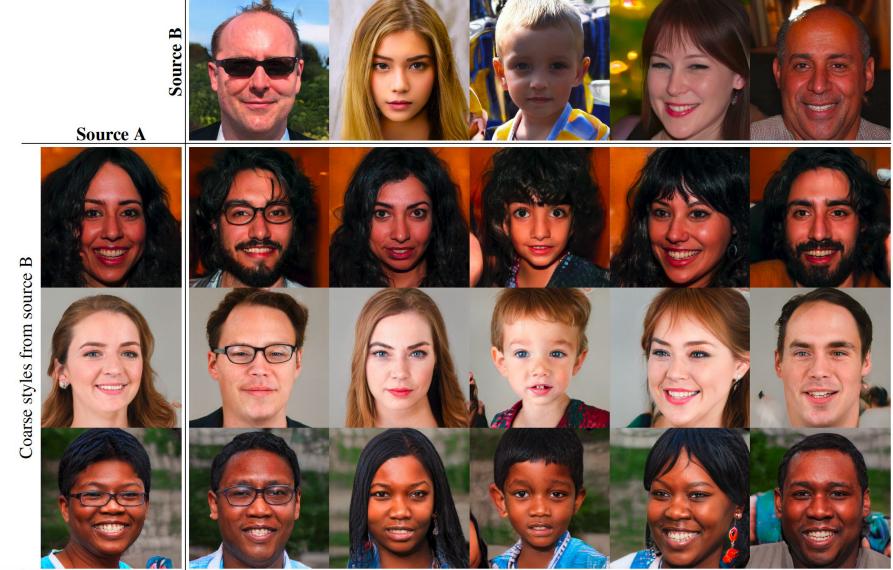
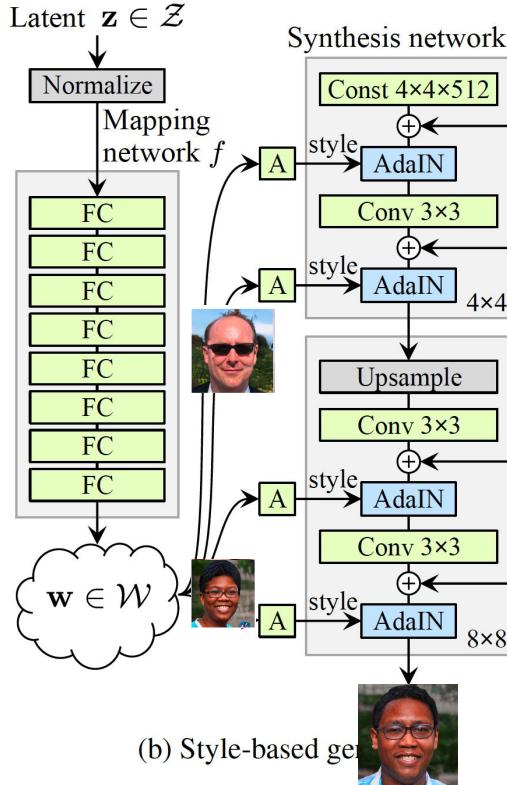
(b) Style-based generator

# StyleGAN

$$z \sim N(0, I)$$

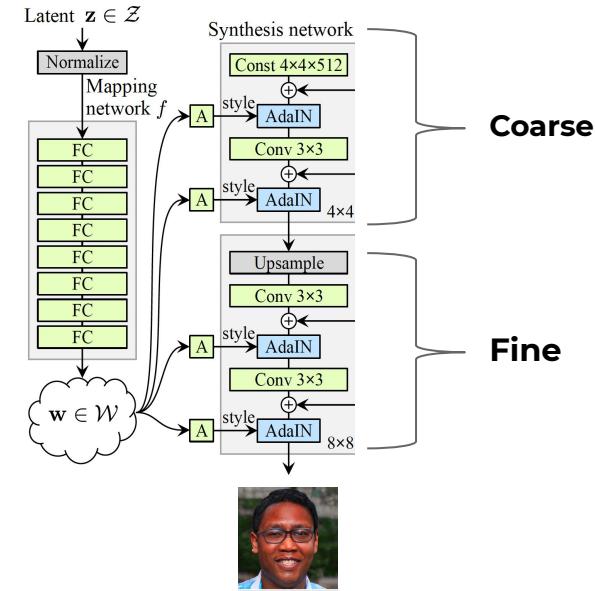


(a) Traditional

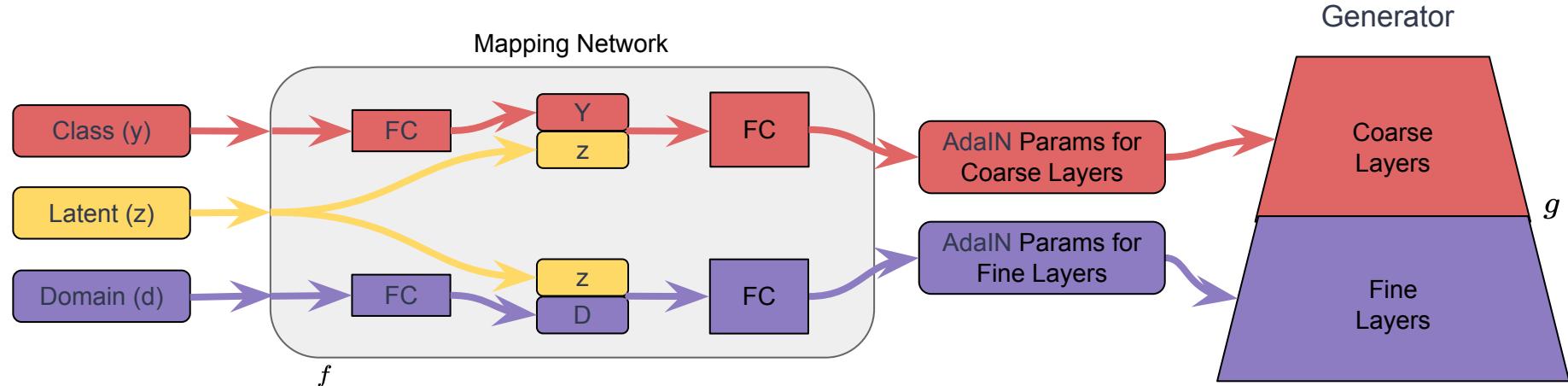


Style Mixing

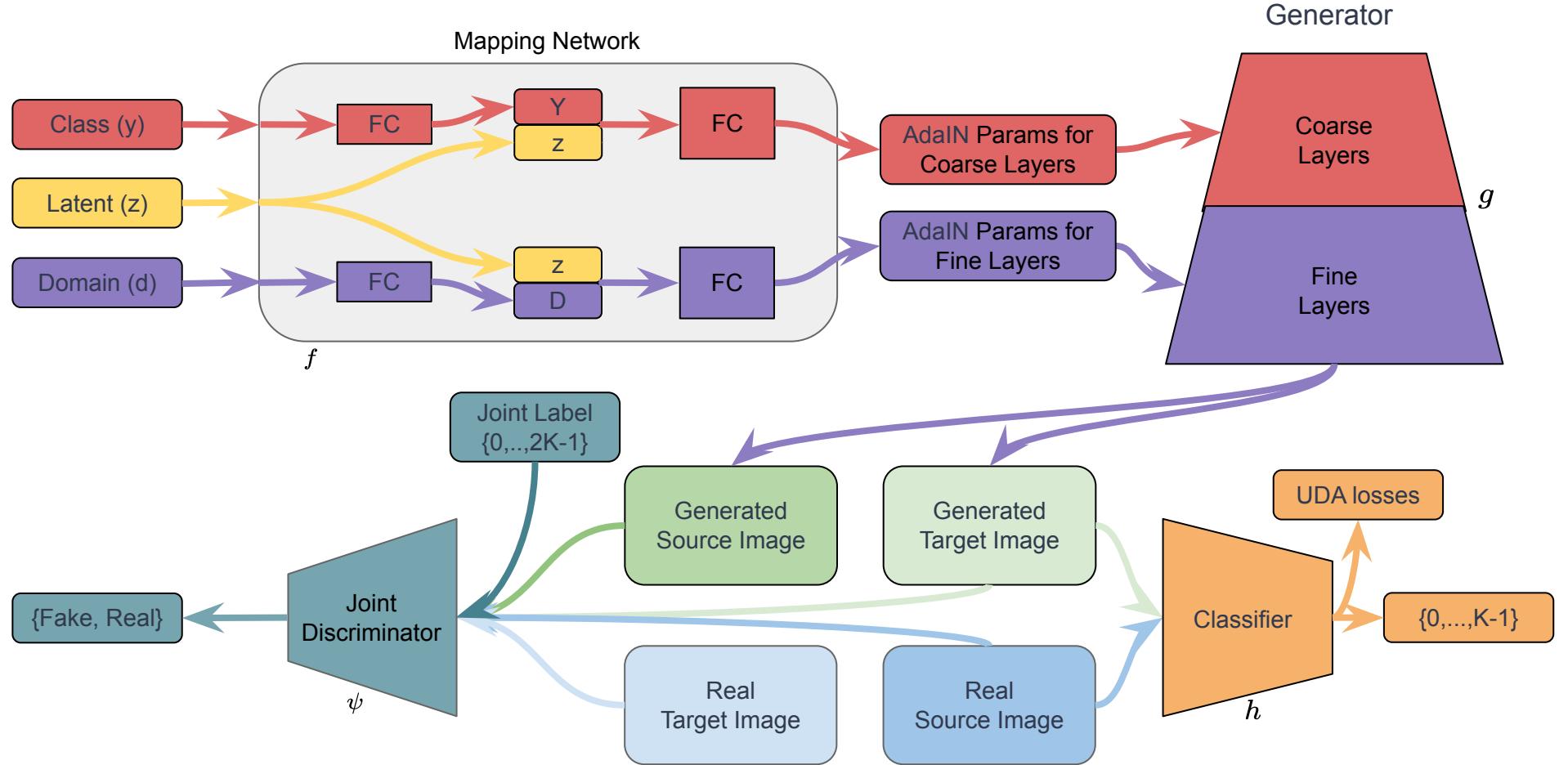
# Mixing Learned Styles for Multiple Domains



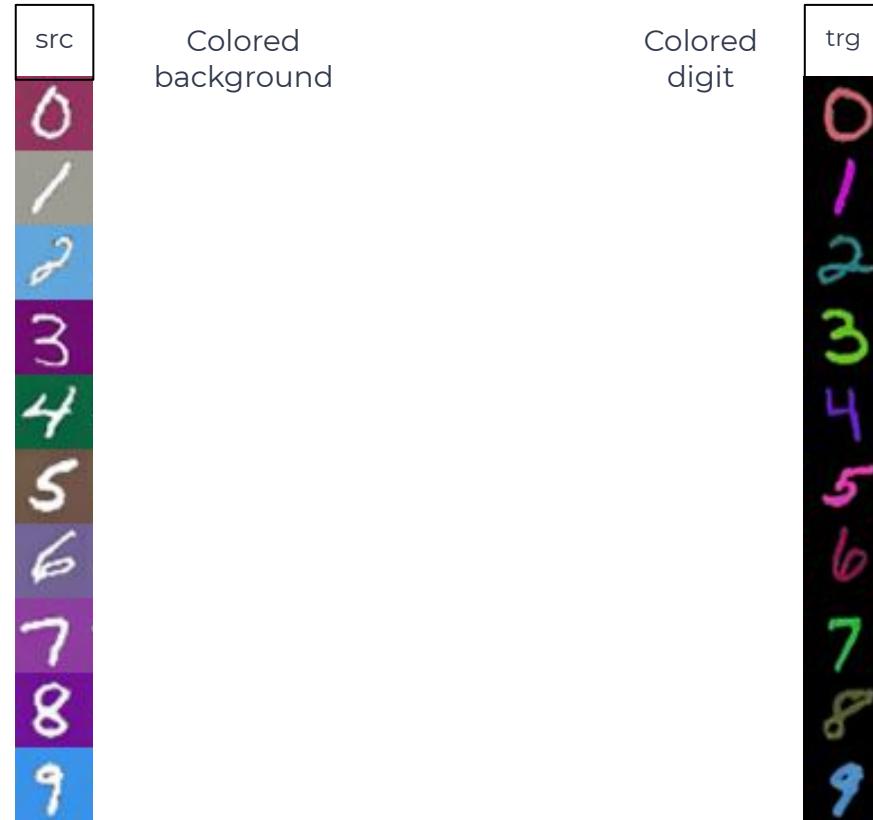
# Explicit Regularization for UDA



# Explicit Regularization for UDA



# Colored Background and Colored Digit Datasets



[1] Gonzalez-Garcia, Abel, Joost Van De Weijer, and Yoshua Bengio. "Image-to-image translation for cross-domain disentanglement." *Advances in neural information processing systems*. 2018.

# Interpolation of the *Fine* Layer Parameters

src	interpolated										trg
0	0	0	0	0	0	0	0	0	0	0	0
1	1	1	1	1	1	1	1	1	1	1	1
2	2	2	2	2	2	2	2	2	2	2	2
3	3	3	3	3	3	3	3	3	3	3	3
4	4	4	4	4	4	4	4	4	4	4	4
5	5	5	5	5	5	5	5	5	5	5	5
6	6	6	6	6	6	6	6	6	6	6	6
7	7	7	7	7	7	7	7	7	7	7	7
8	8	8	8	8	8	8	8	8	8	8	8
9	9	9	9	9	9	9	9	9	9	9	9

Generated source and target images have the same class label.

# Interpolation of the **Fine** Layer Parameters

src	interpolated	trg
0 0 0 0 0	0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0
1 1 1 1 1	1 1 1 1 1 1 1 1 1	1 1 1 1 1 1 1 1 1
2 2 2 2 2	2 2 2 2 2 2 2 2 2	2 2 2 2 2 2 2 2 2
3 3 3 3 3	3 3 3 3 3 3 3 3 3	3 3 3 3 3 3 3 3 3
4 4 4 4 4	4 4 4 4 4 4 4 4 4	4 4 4 4 4 4 4 4 4
5 5 5 5 5	5 5 5 5 5 5 5 5 5	5 5 5 5 5 5 5 5 5
6 6 6 6 6	6 6 6 6 6 6 6 6 6	6 6 6 6 6 6 6 6 6
7 7 7 7 7	7 7 7 7 7 7 7 7 7	7 7 7 7 7 7 7 7 7
8 8 8 8 8	8 8 8 8 8 8 8 8 8	8 8 8 8 8 8 8 8 8
9 9 9 9 9	9 9 9 9 9 9 9 9 9	9 9 9 9 9 9 9 9 9

Generated source and target images have  
the **same** class label.

src	interpolated	trg
0 0 0 0	0 0 0 0 0 0 0 5	
1 1 1 1	1 1 1 1 1 1 1 1 1 0	
2 2 2 2	2 2 2 2 2 2 2 2 2 7	
3 3 3 3 3	3 3 3 3 3 3 3 3 3 2	
4 4 4 4 4	4 4 4 4 4 4 4 4 4 9	
5 5 5 5 5	5 5 5 5 5 5 5 5 5 5 9	
6 6 6 6 6	6 6 6 6 6 6 6 6 6 5	
7 7 7 7 7	7 7 7 7 7 7 7 7 7 1	
8 8 8 8 8	8 8 8 8 8 8 8 8 8 3	
9 9 9 9 9	9 9 9 9 9 9 9 9 9 5	

Generated source and target images have  
**different** class labels.

# Interpolation of the **Coarse** Layer Parameters

src	interpolated	trg
	0 0 0 0 0 0 0 0 0 0	0
	/ / / / / / / / / /	1
	2 2 2 2 2 2 2 2 2 2	2
	3 3 3 3 3 3 3 3 3 3	3
	4 4 4 4 4 4 4 4 4 4	4
	5 5 5 5 5 5 5 5 5 5	5
	6 6 6 6 6 6 6 6 6 6	6
	7 7 7 7 7 7 7 7 7 7	7
	8 8 8 8 8 8 8 8 8 8	8
	9 9 9 9 9 9 9 9 9 9	9

Generated source and target images have  
the same class label.

# Interpolation of the **Coarse** Layer Parameters

src	interpolated	trg
0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	1	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	2	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2
3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3	3	3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3
4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	4	4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4
5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	5	5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5
6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6	6	6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6
7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7	7	7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7
8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	8	8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8
9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9	9	9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9

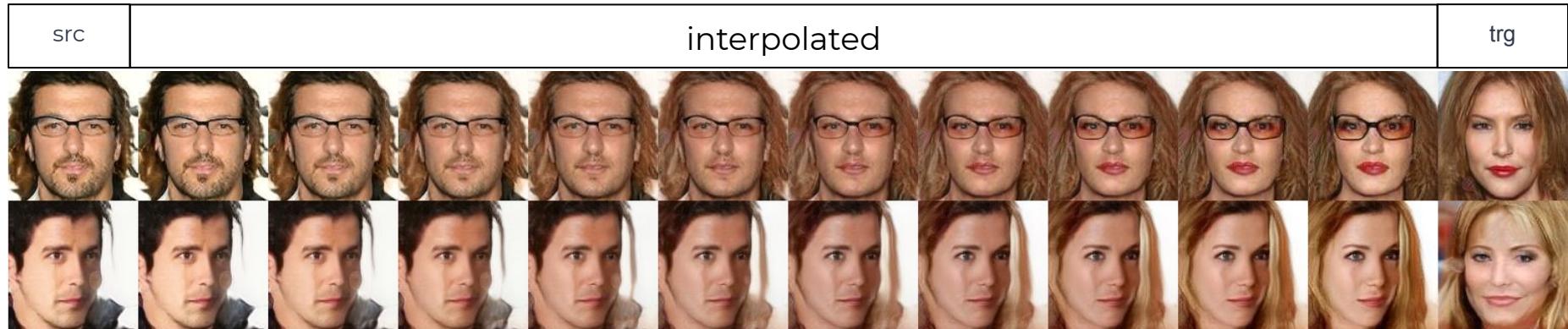
Generated source and target images have the **same** class label.

src	interpolated	trg
0 0 0 0 0 B B B B B B B B B B	5	0 0 0 0 0 D B B B B B B B B B B
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	1	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	7	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2
3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3	2	3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3
4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	9	4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4
5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	9	5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5
6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6	5	6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6
7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7	1	7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7
8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	3	8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8
9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9	5	9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9

Generated source and target images have the **different** class labels.

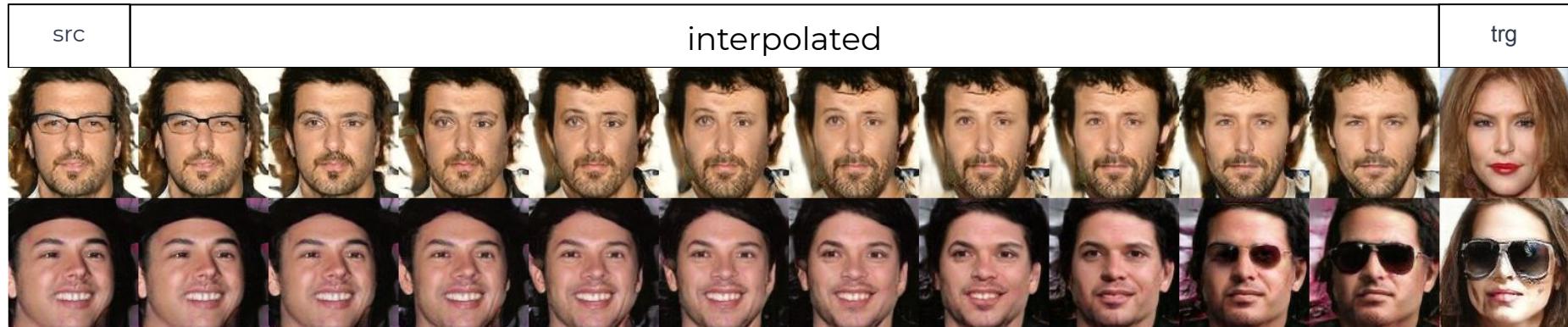
# Interpolation of the *Fine* Layer Parameters

Fine (Gender) Control

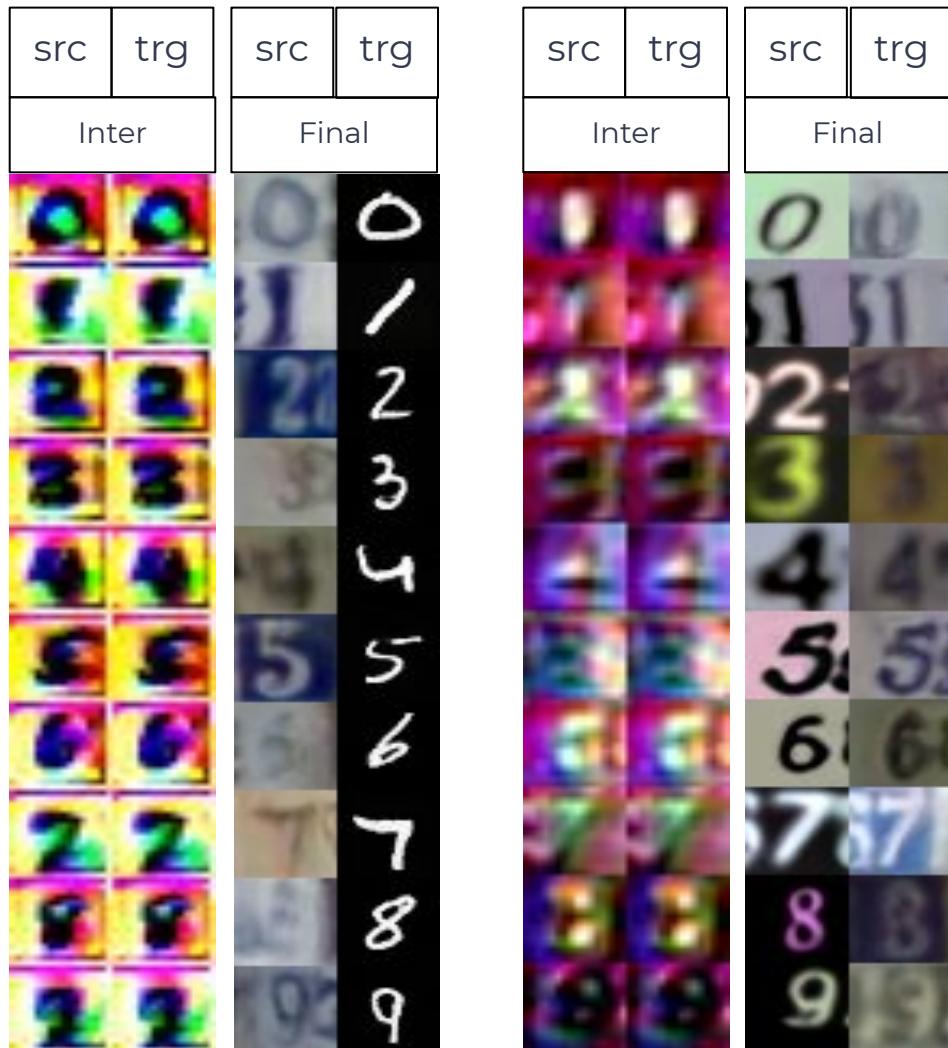


# Interpolation of the **Coarse** Layer Parameters

Coarse (Eyeglass) Control



# Learned Shared Representations at the Intermediate Layers:



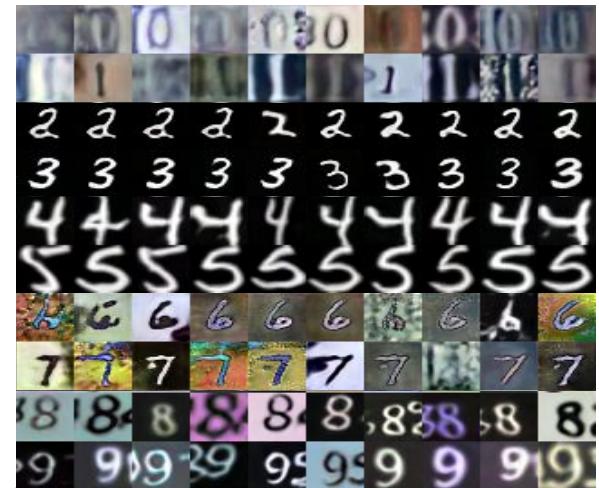
# Results in MSDA Benchmarks



SYN-DIGITS, MNIST, USPS,  
SVHN -> MNIST-M



SYN-DIGITS, MNIST, USPS,  
MNIST-M -> SVHN



SVHN, MNIST, USPS,  
MNIST-M -> SYN-DIGITS

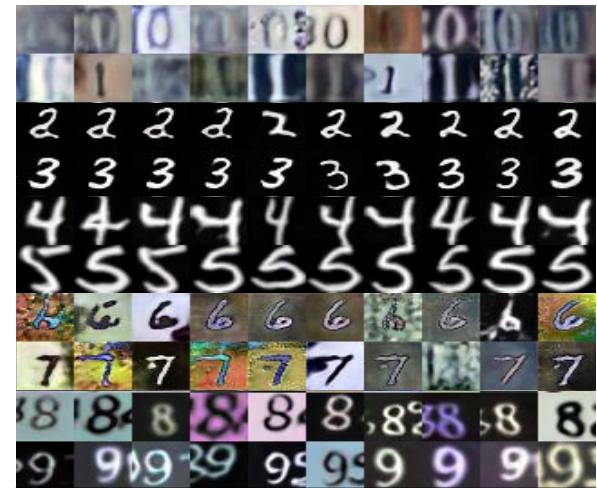
# Results in MSDA Benchmarks



SYN-DIGITS, MNIST, USPS,  
SVHN -> MNIST-M



SYN-DIGITS, MNIST, USPS,  
MNIST-M -> SVHN



SVHN, MNIST, USPS,  
MNIST-M -> SYN-DIGITS

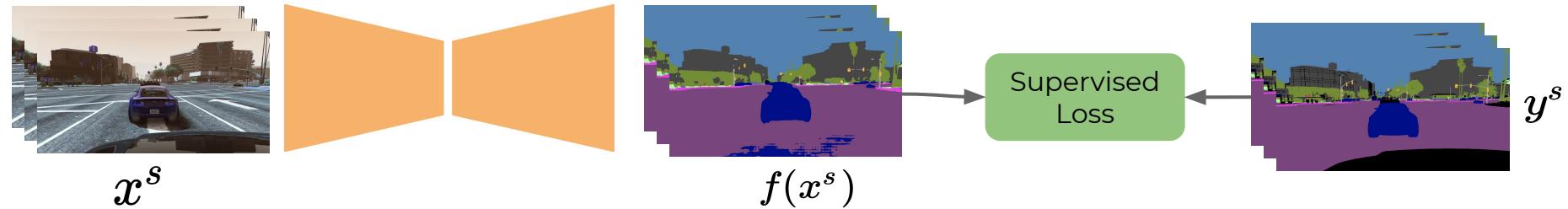
Target dataset	SVHN	SYN-DIGITS	MNIST	USPS	MNIST-M
DCTN [1]	77.5	NR	NR	NR	70.9
M <sup>3</sup> SDA [2]	81.32	89.58	98.58	96.14	72.82
Ours	<b>90.71</b>	<b>98.91</b>	<b>99.65</b>	<b>97.20</b>	<b>98.45</b>

[1] Xu, Ruijia, et al. "Deep cocktail network: Multi-source unsupervised domain adaptation with category shift." *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*. 2018.

[2] Peng, Xingchao, et al. "Moment matching for multi-source domain adaptation." *Proceedings of the IEEE International Conference on Computer Vision*. 2019.

# Spatial Class Distribution Shift in Unsupervised Domain Adaptation: Local Alignment Comes to Rescue

# Standard Approach to UDA

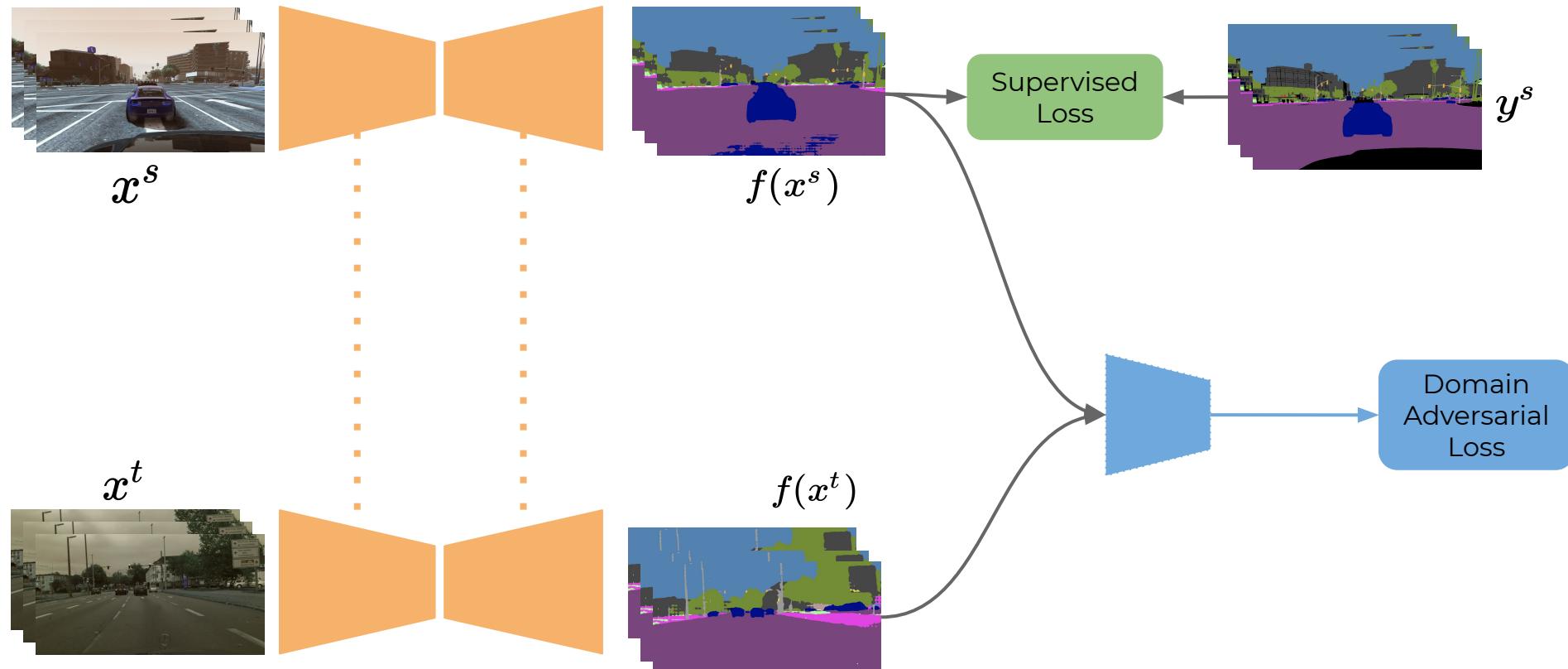


- Source classification loss

$$L_{ce}(P^s; f) := \mathbb{E}_{(x^s, y^s) \sim P^s} \frac{1}{HW} \sum_{i=1}^H \sum_{j=1}^W \ell_{CE}(f(x^s)_{ij}; y^s_{ij})$$

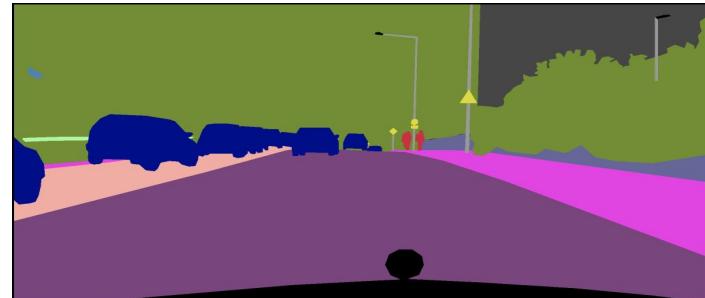
[1] Vu, Tuan-Hung, et al. "Advent: Adversarial entropy minimization for domain adaptation in semantic segmentation." *Proceedings of the IEEE conference on computer vision and pattern recognition*. 2019.

# Standard Approach to UDA

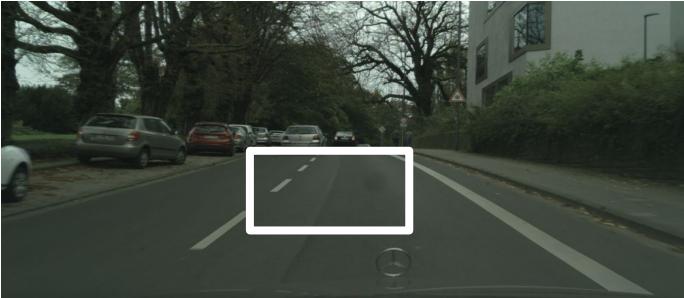
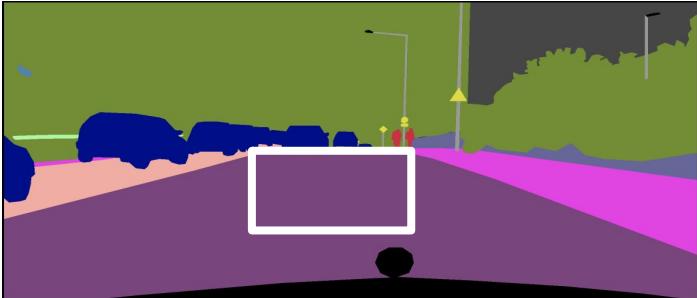


[1] Vu, Tuan-Hung, et al. "Advent: Adversarial entropy minimization for domain adaptation in semantic segmentation." *Proceedings of the IEEE conference on computer vision and pattern recognition*. 2019.

# Spatial-class-distribution Shift



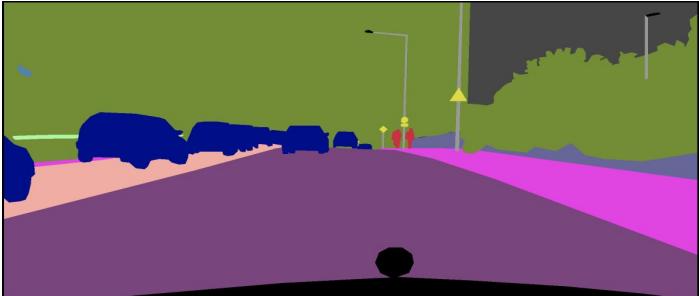
# Spatial-class-distribution Shift



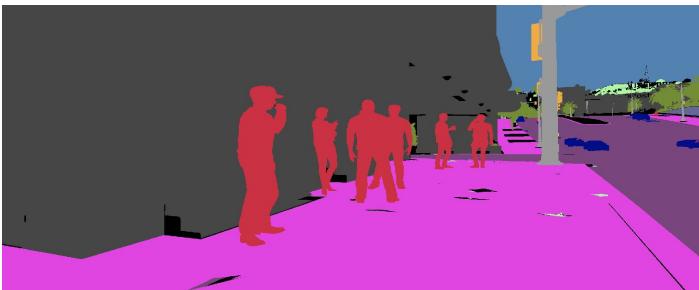
# Spatial-class-distribution Shift



# Spatial-class-distribution Shift

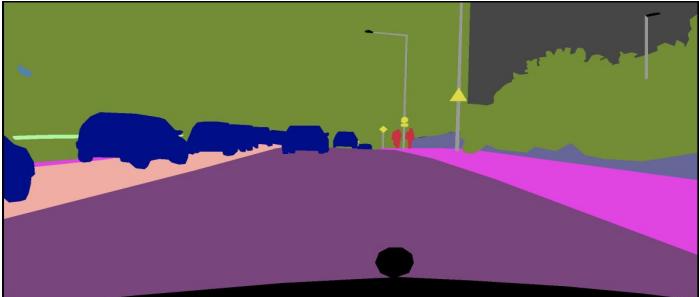


Domain-I (Cityscapes):  
Images are captured  
from dashcam view  
and scenarios are  
realistic.

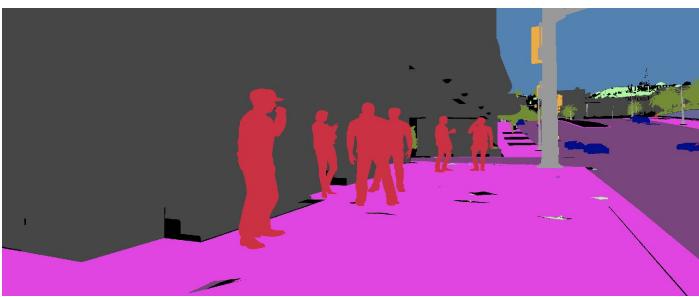


Domain-II (GTA5):  
Images are captured  
in unrealistic scenarios  
e.g. vehicle driving on  
the sidewalk.

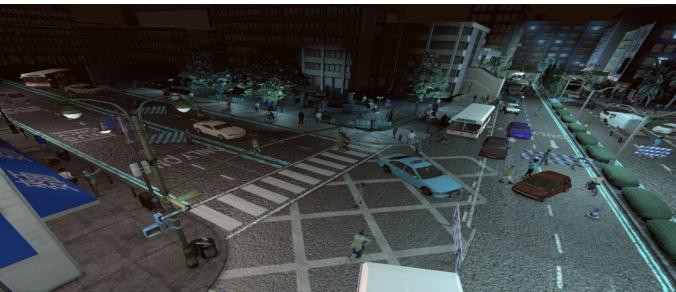
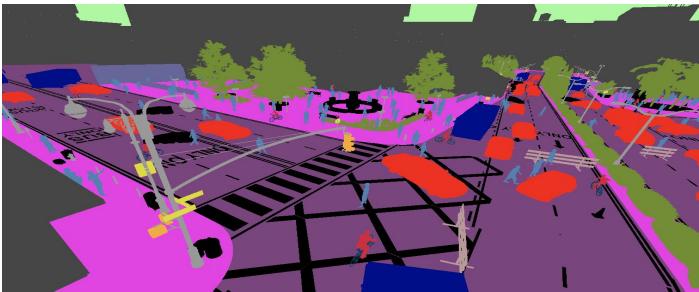
# Spatial-class-distribution Shift



Domain-I (Cityscapes):  
Images are captured from dashcam view and scenarios are realistic.

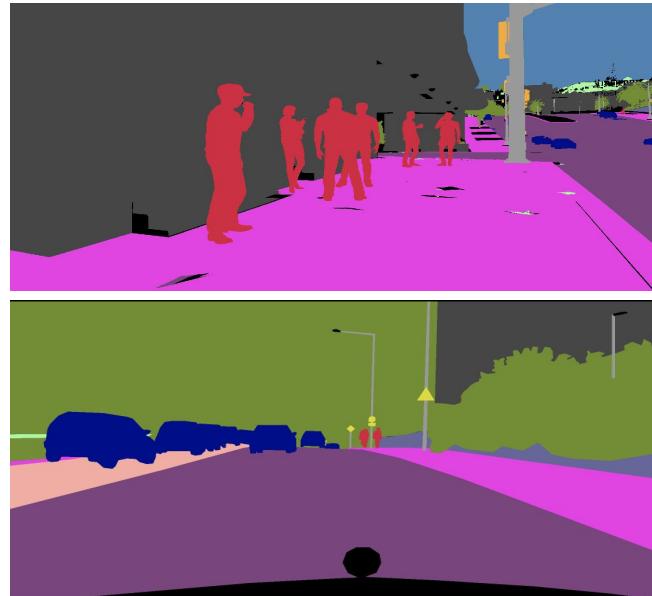
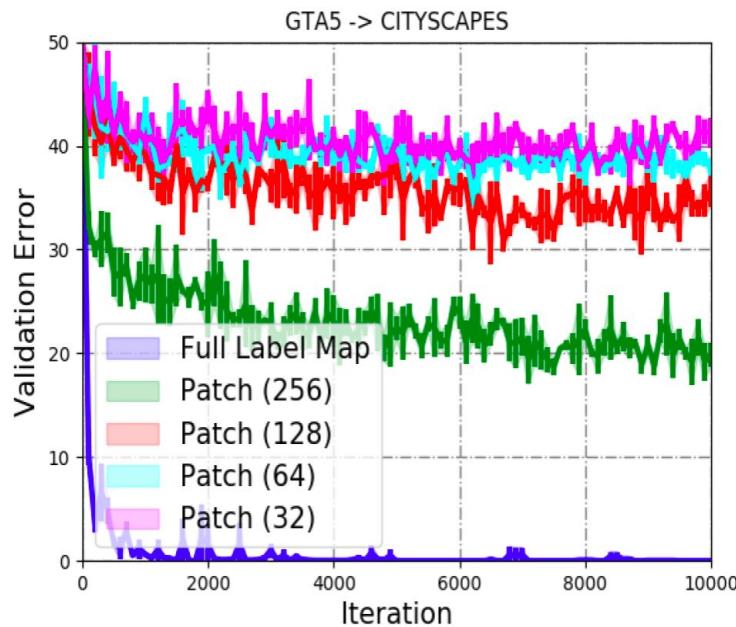


Domain-II (GTA5):  
Images are captured in unrealistic scenarios e.g. vehicle driving on the sidewalk.



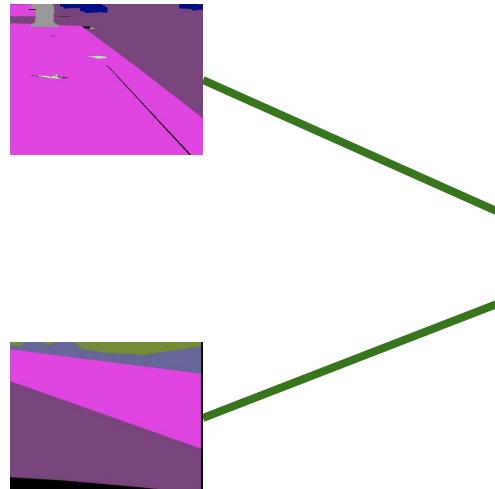
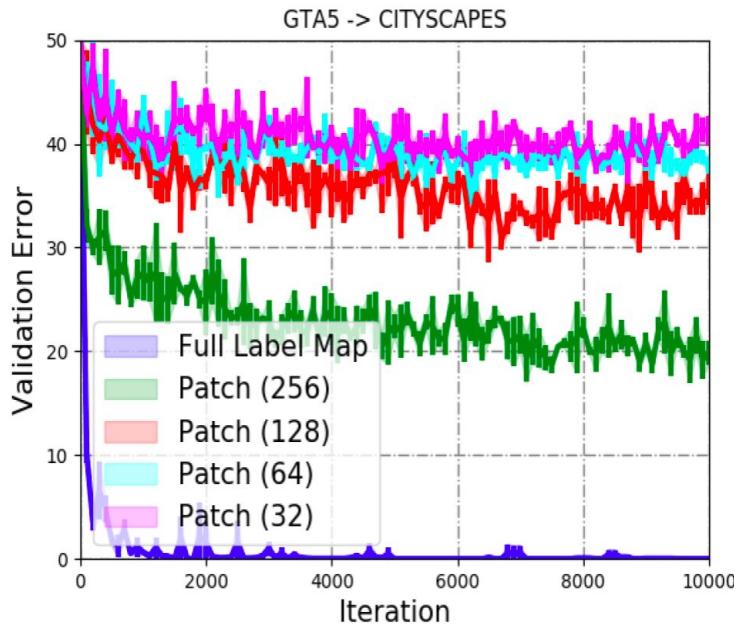
Domain-III (SYNTHIA):  
Images are captured with random camera views.

# Spatial-class-distribution shift correlates with the receptive field.



- Validation errors for a binary classifier trained to distinguish binary domain labels from **segmentation** maps.

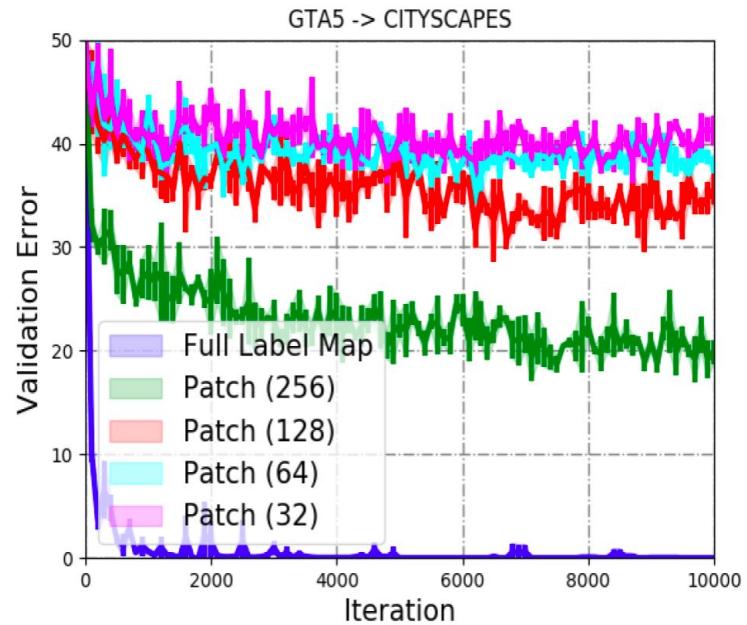
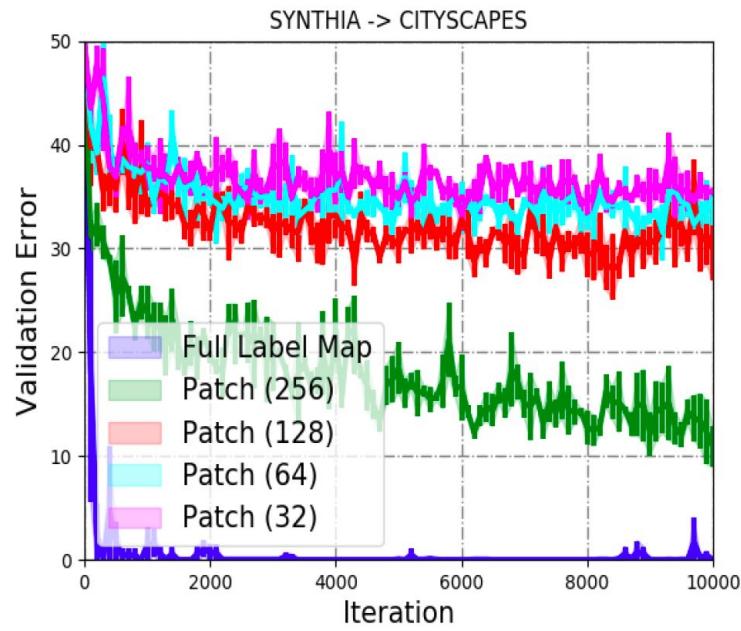
# Spatial-class-distribution shift correlates with the receptive field.



What is the domain:  
GTA5 or  
Cityscapes?

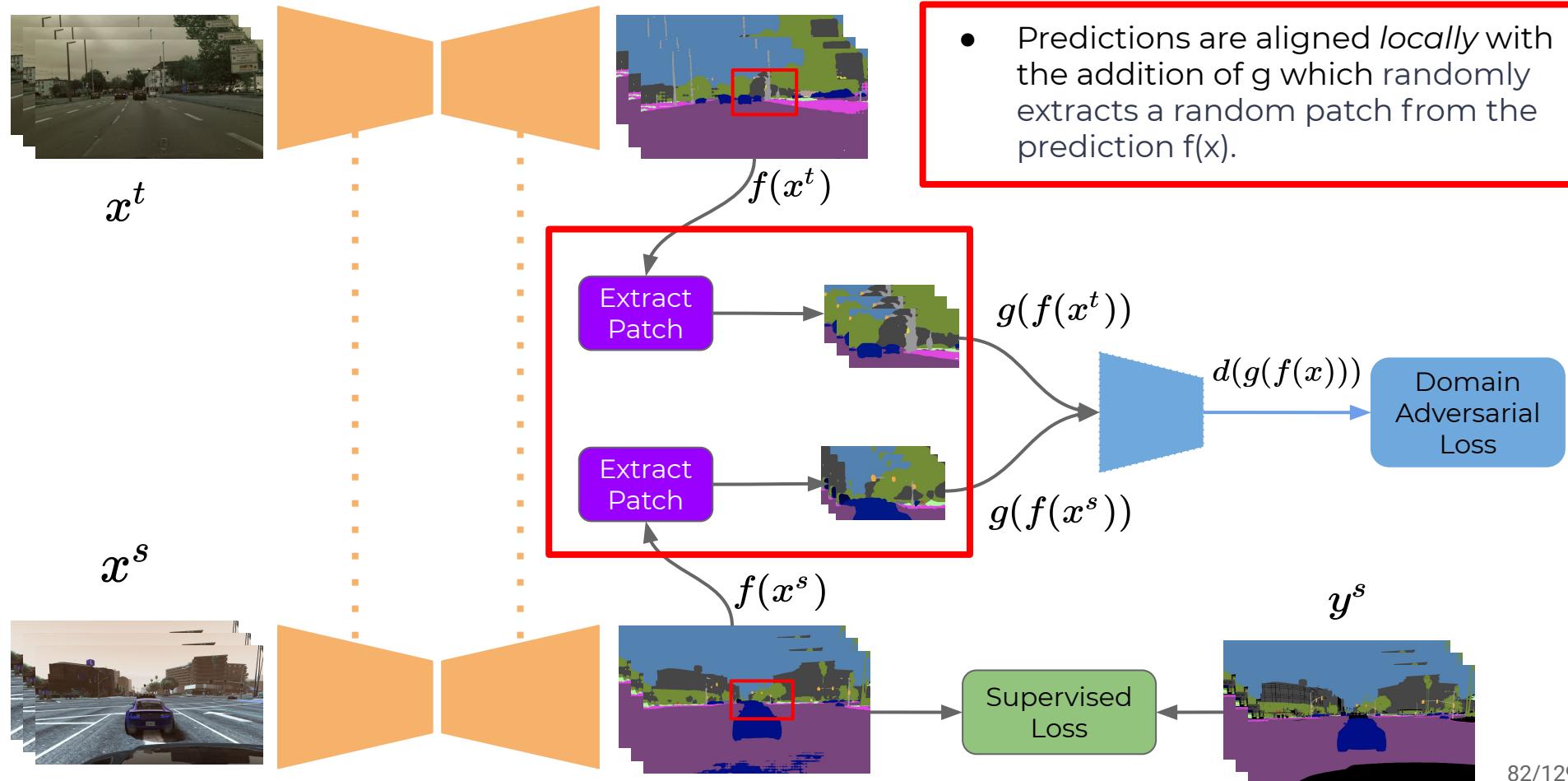
- Domain is less identifiable for smaller receptive fields.

# Spatial-class-distribution shift correlates with the receptive field.



- Errors for SYNTHIA are slightly lower due to the larger spatial-class shift between SYNTHIA and Cityscapes.

# Proposed Method



# Objective Functions

- Adversarial domain alignment loss from [1]:

$$L_{advent}(P_x^s, P_x^t; f, d) := \mathbb{E}_{x^s \sim P_x^s, x^t \sim P_x^t} \ell_{CE}\left(\bar{\psi}(x^s), [0, 1]\right) + \ell_{CE}\left(\bar{\psi}(x^t), [1, 0]\right)$$

$$\min_f \max_d L_{ce}(P^s; f) - \lambda L_{advent}(P_x^s, P_x^t; f, d)$$

where  $\bar{\psi}(x) := d(g(h(f(x))))$      $h(y_{kij}) = -y_{kij} \log y_{kij}$      $d : x \mapsto \mathbb{R}^2$

$g$  randomly extracts a patch of size  $i < H$  and  $j < W$

# Quantitative Results: Comparison to SOA

<u>Method</u>	<u>Road</u>	<u>SW</u>	<u>Build</u>	<u>Wall*</u>	<u>Fence*</u>	<u>Pole*</u>	<u>TL</u>	<u>TS</u>	<u>Veg.</u>
AdvEnt [1]	87.0	44.1	79.7	9.6	0.6	24.3	4.8	7.2	80.1
A+E [1]	85.6	42.2	79.7	8.7	0.4	25.9	5.4	8.1	80.4
MRKLD[2]	67.7	32.2	73.9	10.7	1.6	37.4	22.2	31.2	80.8
Ours	90.6	51.34	81.96	11.77	0.32	29.51	11.72	12.38	82.69
<u>Method</u>	<u>Sky</u>	<u>PR</u>	<u>Rider</u>	<u>Car</u>	<u>Bus</u>	<u>Motor</u>	<u>Bike</u>	<u>mIoU</u>	<u>mIoU-13</u>
AdvEnt [1]	83.6	56.4	23.7	72.7	32.6	12.8	33.7	40.8	47.6
A+E [1]	84.1	57.9	23.8	73.3	36.4	14.2	33.0	41.2	48.0
MRKLD[2]	80.5	60.8	29.1	82.8	25.0	19.4	45.3	43.8	50.1
Ours	84.7	58.57	24.73	81.94	36.37	17.11	41.75	<b>44.84</b>	<b>51.99</b>

[1] Vu, Tuan-Hung, et al. "Advent: Adversarial entropy minimization for domain adaptation in semantic segmentation." *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*. 2019.

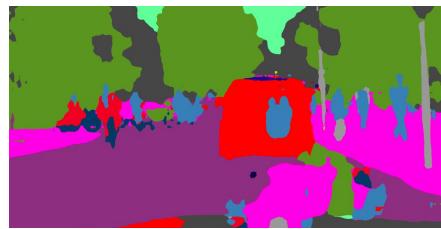
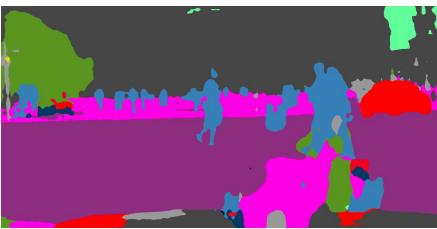
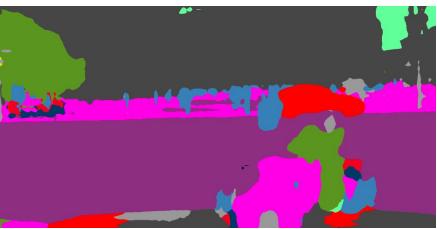
[2] Zou, Yang, et al. "Confidence regularized self-training." *Proceedings of the IEEE International Conference on Computer Vision*. 2019.

# SYNTHIA -> Cityscapes

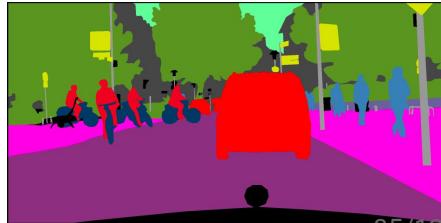
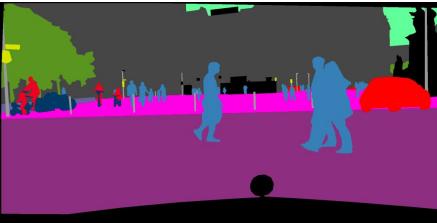
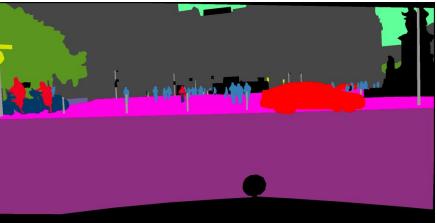
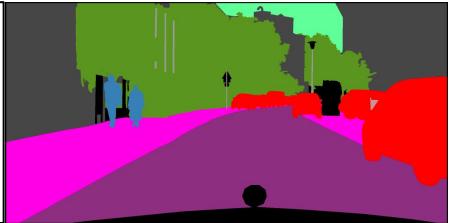
road	sidewalk	building	wall	fence	pole	light	sign	vegetation	sky
person	rider	car	bus	motor	bike	other			



Baseline



Truth

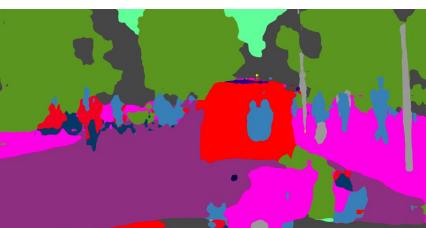
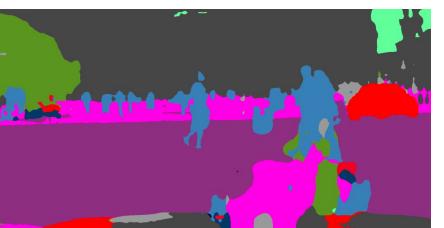


# SYNTHIA -> Cityscapes

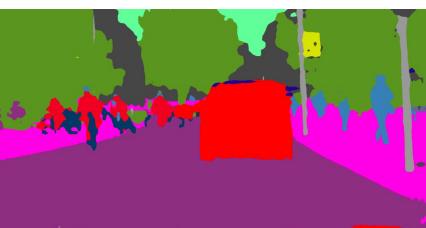
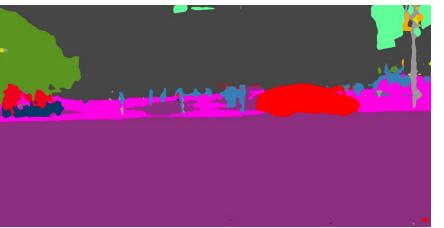
road person	sidewalk rider	building car	wall bus	fence motor	pole bike	light other	sign	vegetation	sky
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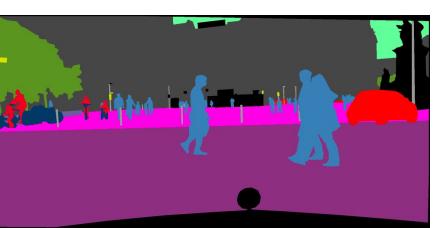
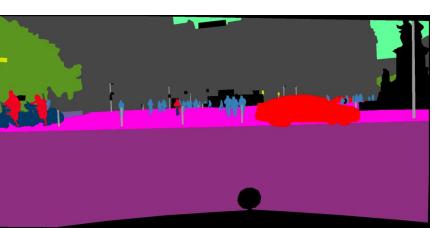
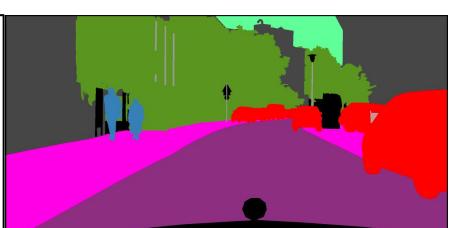
Baseline



Ours



Truth



# Entropy of Predictions

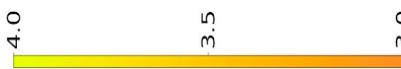
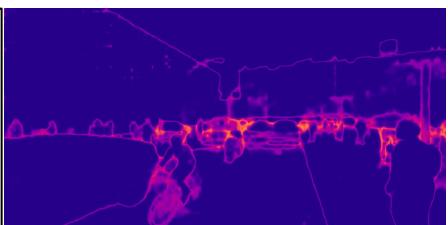
SYNTHIA



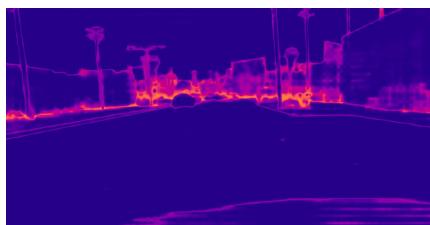
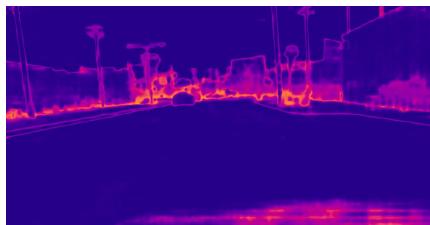
Source-only



Ours



GTA5



# Entropy of Predictions

SYNTHIA



Cityscapes



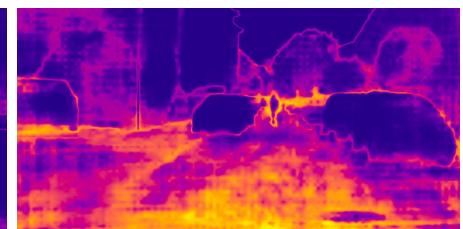
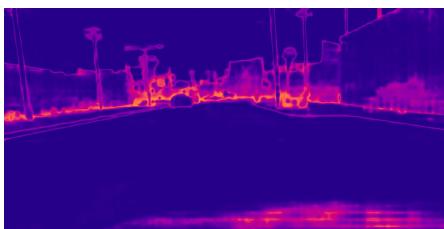
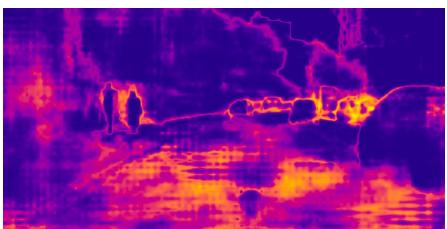
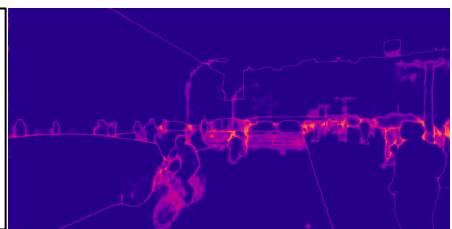
GTA5



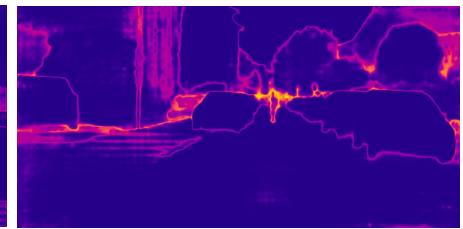
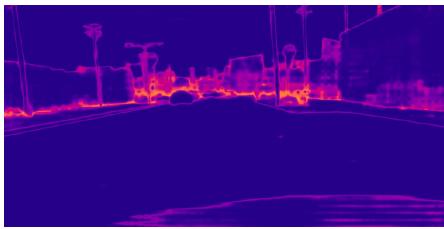
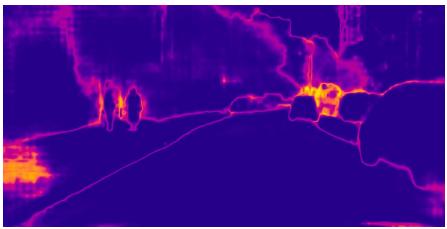
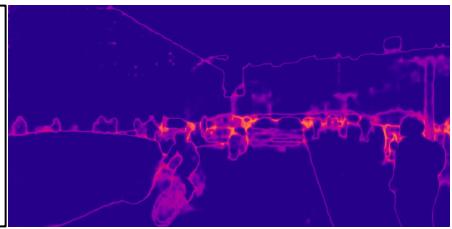
Cityscapes



Source-only

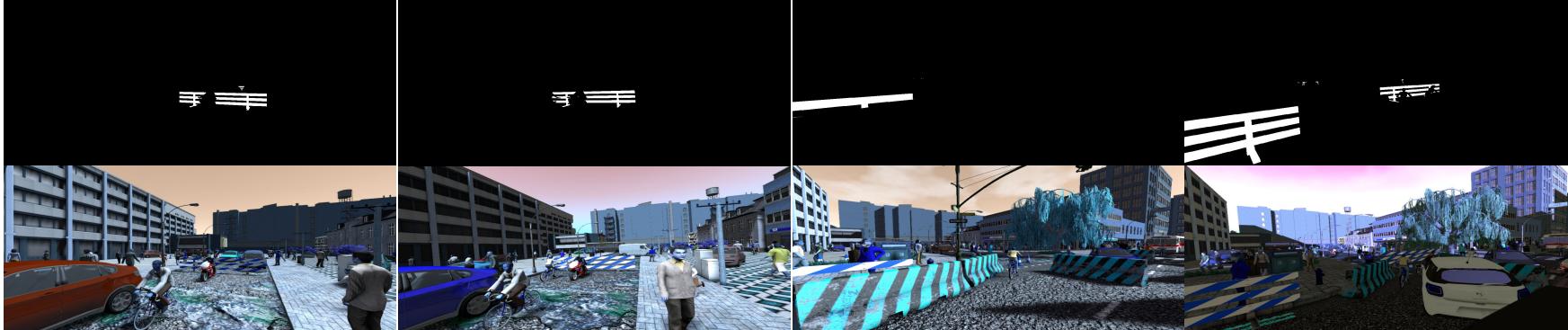


Ours



# Failure Cases

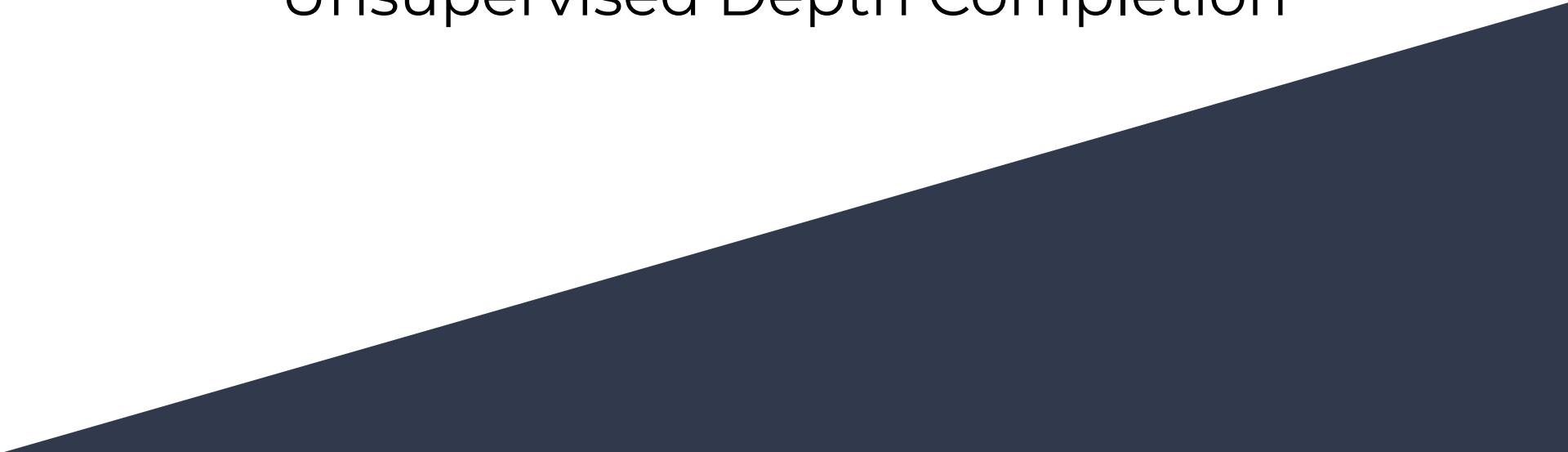
SYNTHIA



Cityscapes



# Learning Topology from Synthetic Data for Unsupervised Depth Completion



# Sparse to Dense Depth Completion

Sparse Points from LIDAR



Image



Sparse Depth

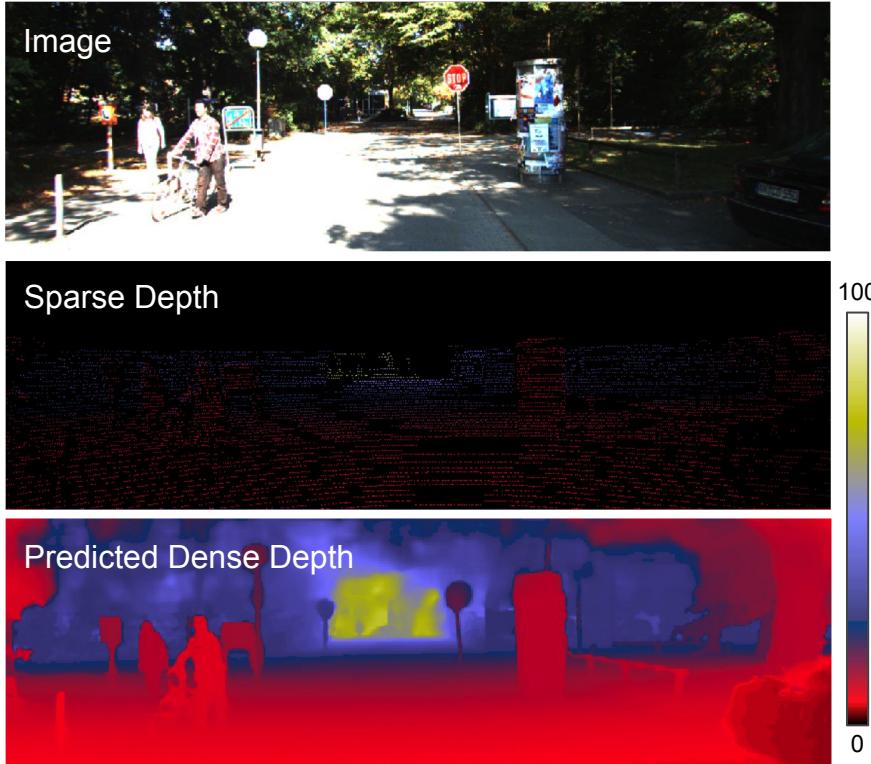
Sparse Points from VIO\*



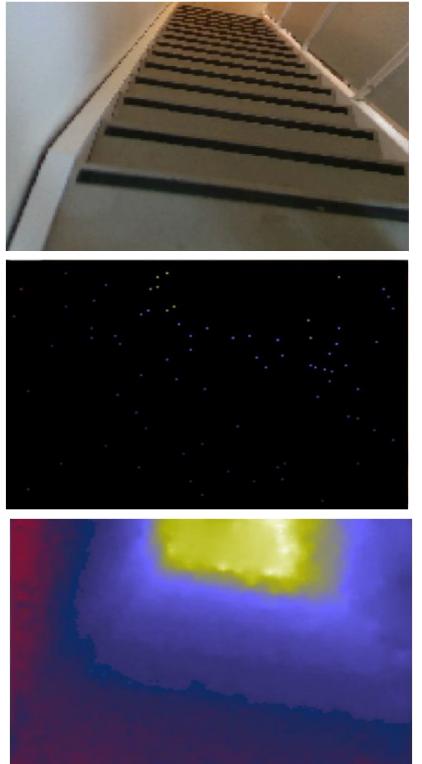
\*VIO: Visual Inertial Odometry

# Sparse to Dense Depth Completion

Sparse Points from LiDAR



Sparse Points from VIO



# Unsupervised Domain Adaptation (UDA)

Synthetic Source



$$(x^s, y^s) \sim P^s$$

$$KL(P^s || P^t) \gg 0$$

Real Target



$$x^t \sim P_x^t$$

[1] J. Uhrig, N. Schneider, L. Schneider, U. Franke, T. Brox, A. Geiger. Sparsity invariant cnns. 3DV 2017.

[2] Y. Cabon, N. Murray, M. Humenberger. Virtual KITTI 2. Preprint 2020.

# Bypassing the Photometric Domain Gap

Synthetic Source

[2]



$$(x^s, y^s) \sim P^s$$

$$KL(P^s || P^t) \approx 0$$

Real Target

[1]



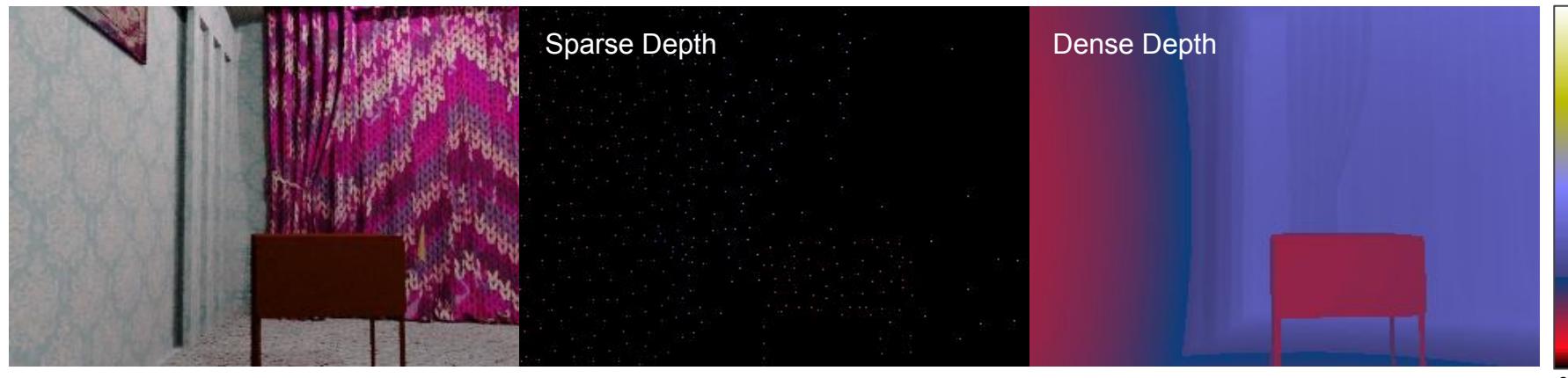
$$x^t \sim P_x^t$$

[1] J. Uhrig, N. Schneider, L. Schneider, U. Franke, T. Brox, A. Geiger. Sparsity invariant cnns. 3DV 2017.

[2] Y. Cabon, N. Murray, M. Humenberger. Virtual KITTI 2. Preprint 2020.

# Bypassing the Photometric Domain Gap

[1]

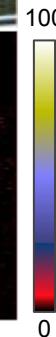


Can we learn to infer the dense topology of the scene given only sparse points?

# The Sparsity Problem



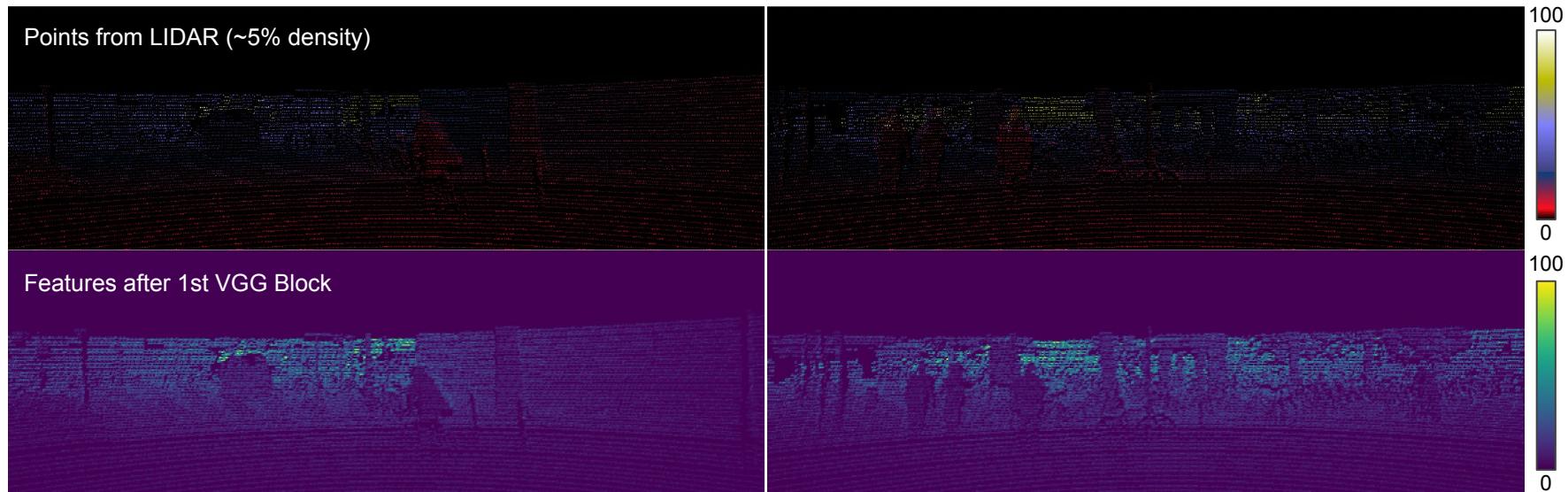
Points from LIDAR (~5% density)



Points Tracked by VIO (~0.5% density)

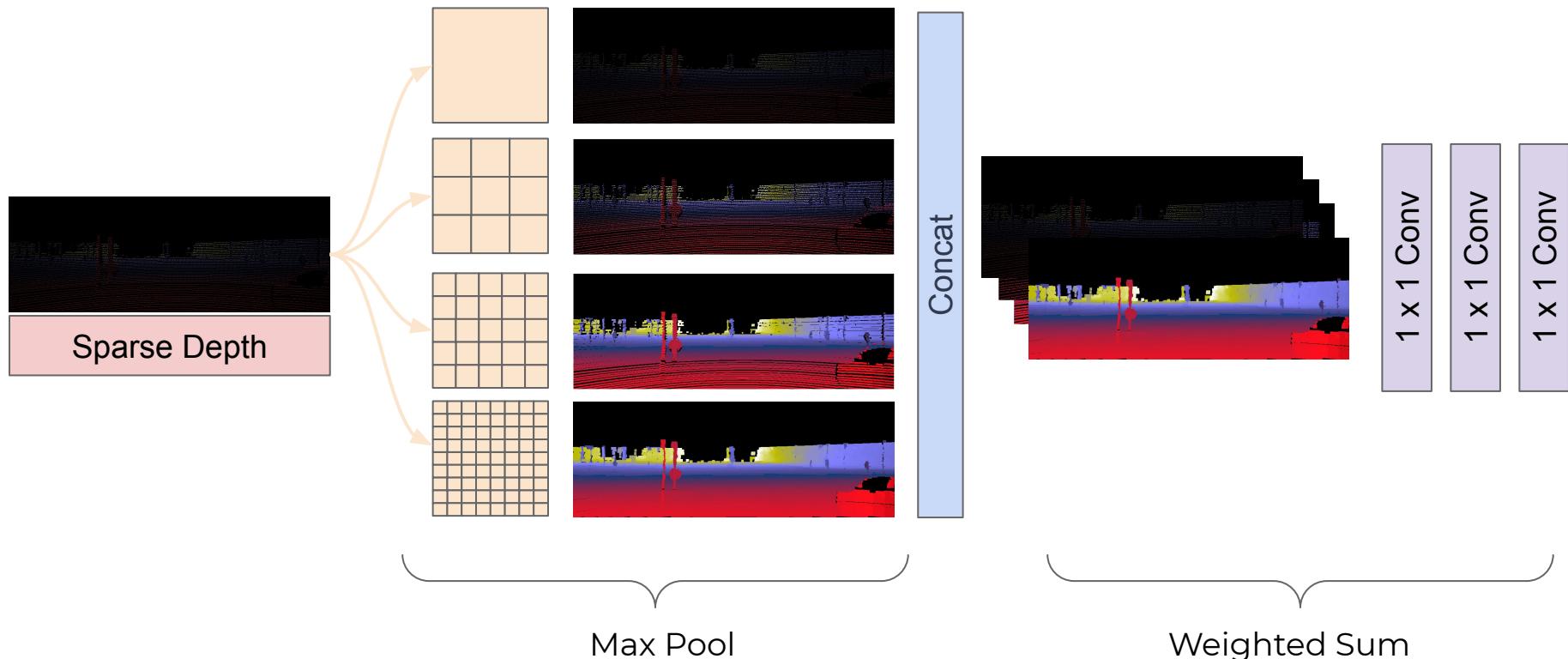


# The Sparsity Problem



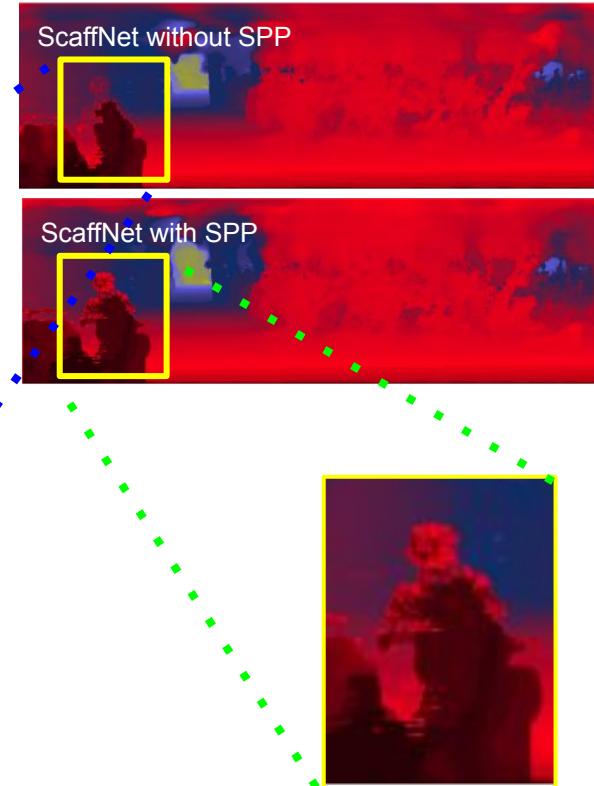
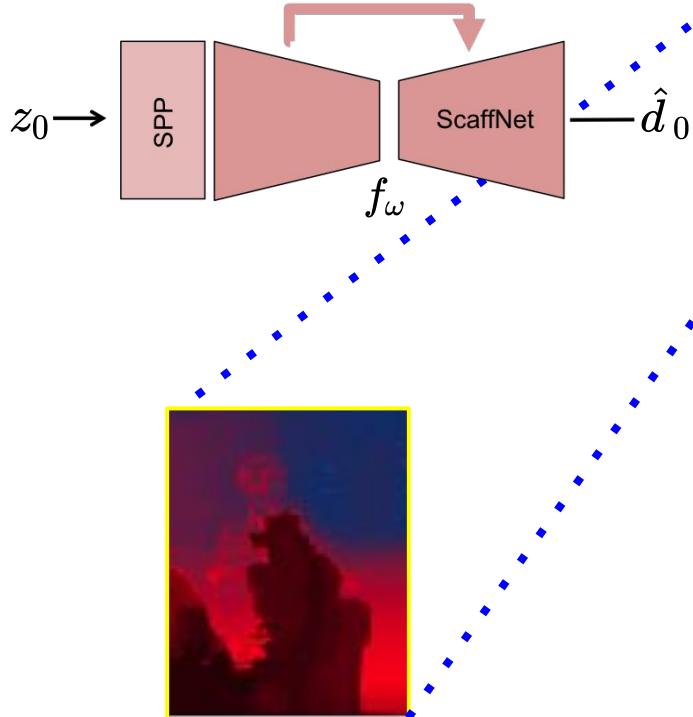
Feature maps are still sparse after the first convolution block.

# Spatial Pyramid Pooling (SPP)

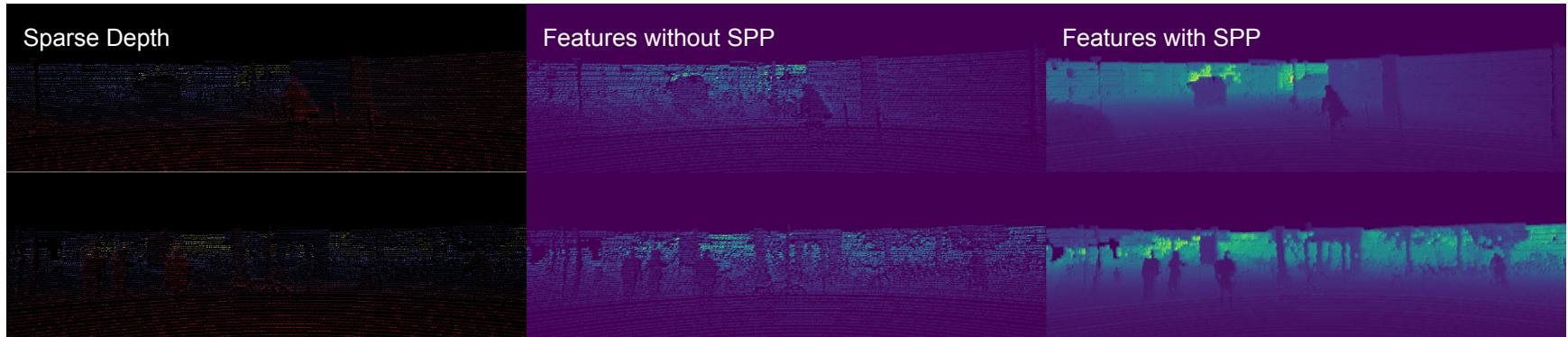
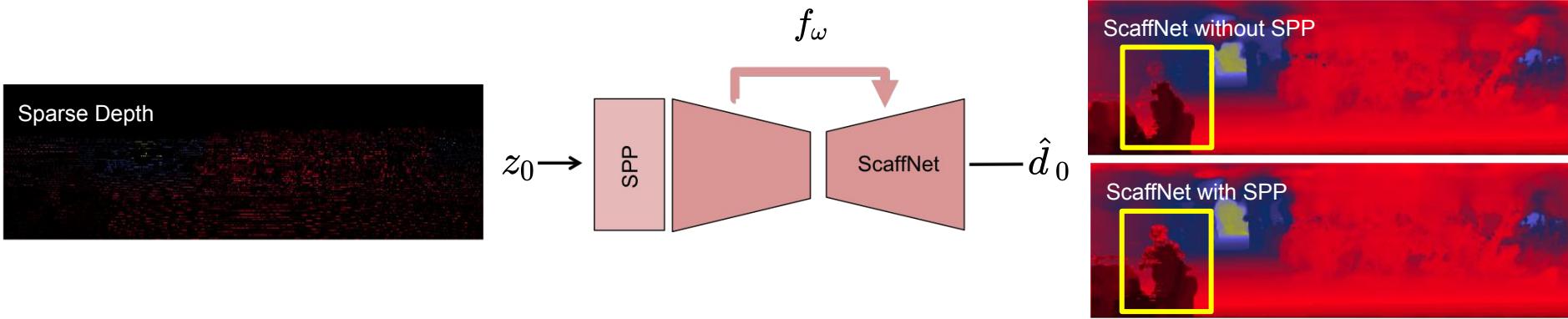


# ScaffNet

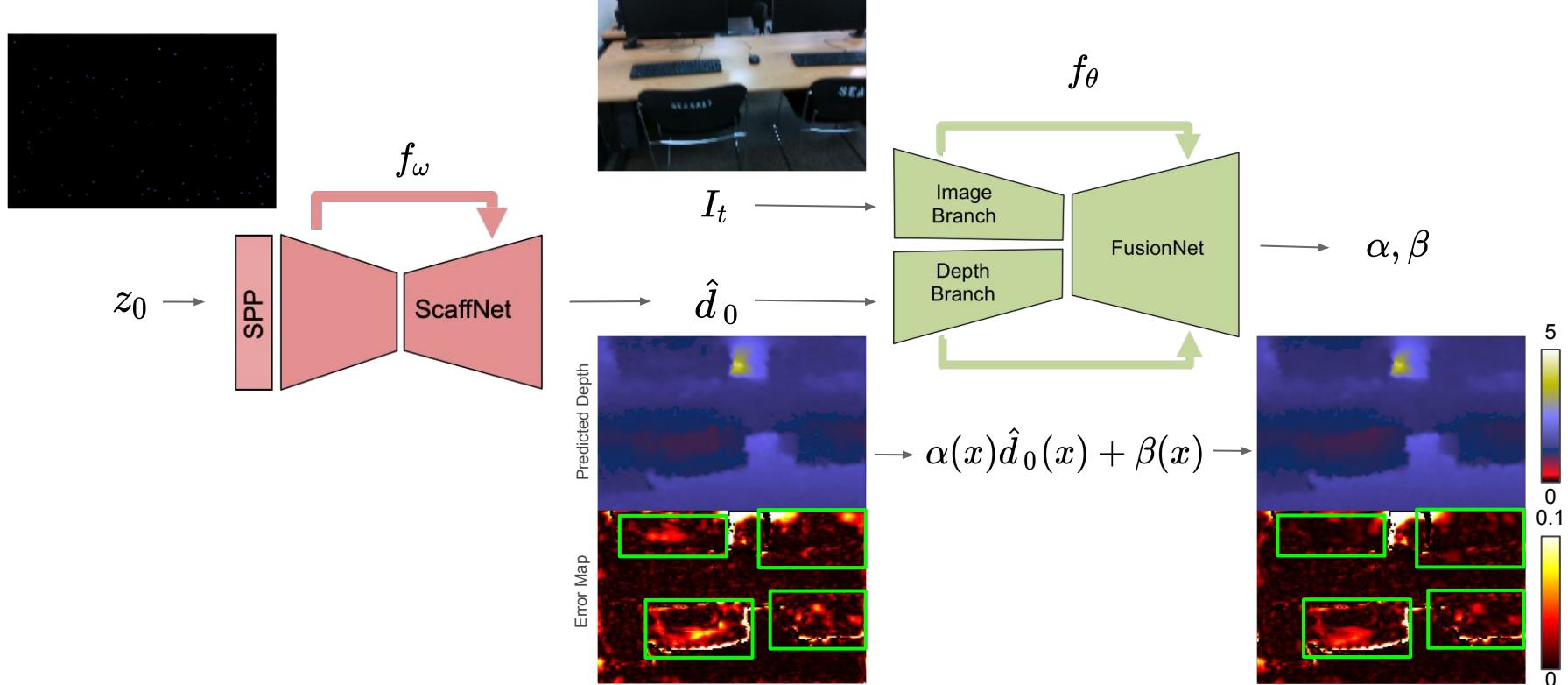
$$l_0 = \frac{1}{|\Omega|} \sum_{x \in \Omega} \left| \frac{f_\omega(z_0(x)) - d_0(x)}{d_0(x)} \right|$$



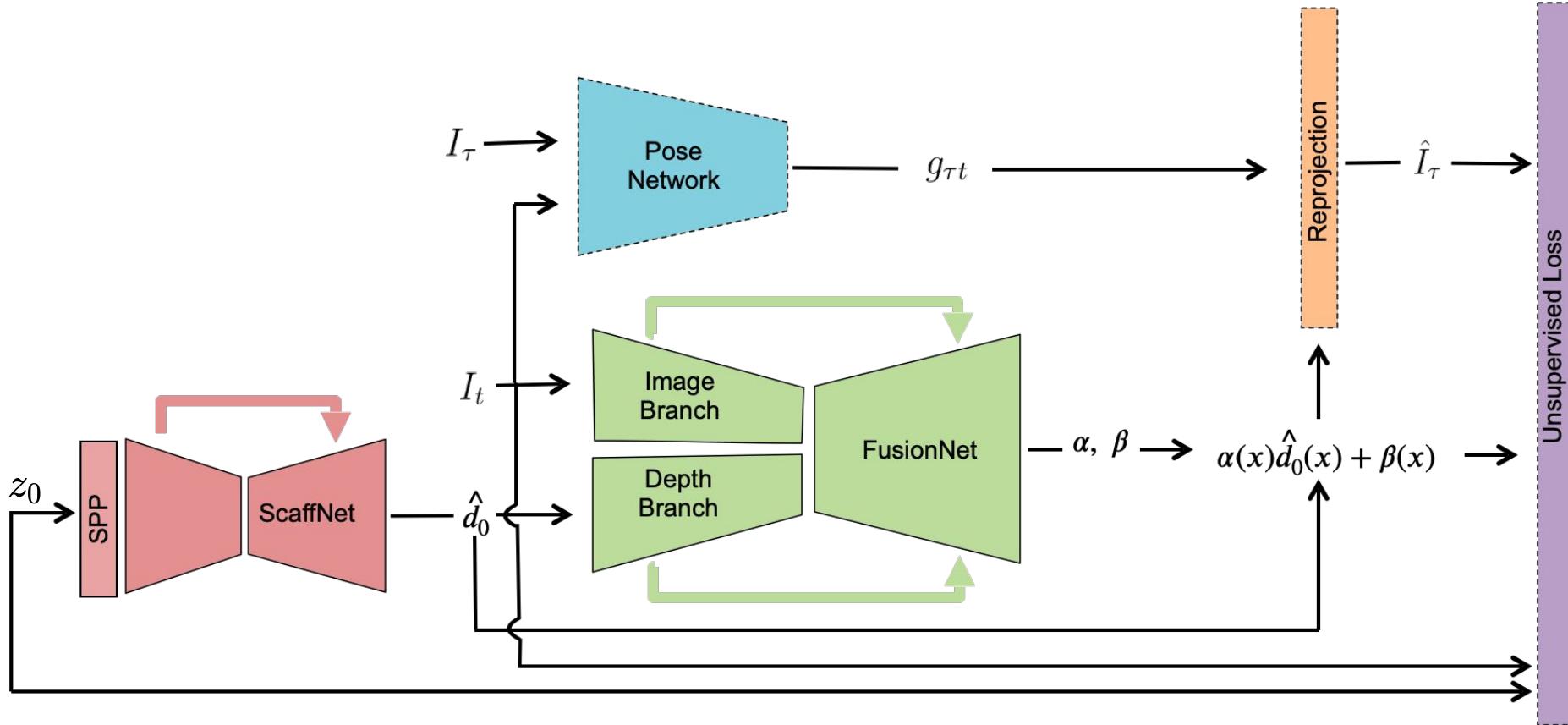
# ScaffNet



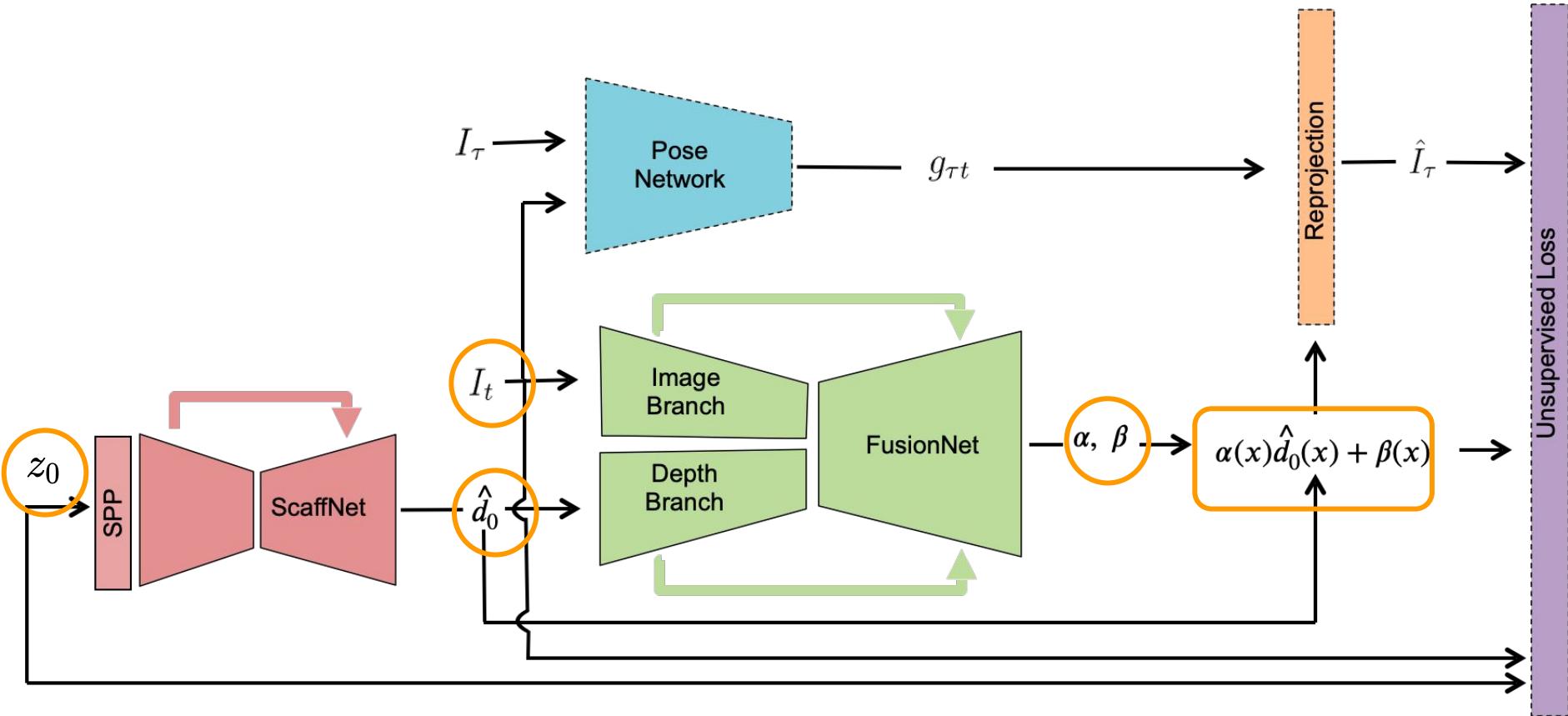
# Bringing the Image Back



# FusionNet



# FusionNet

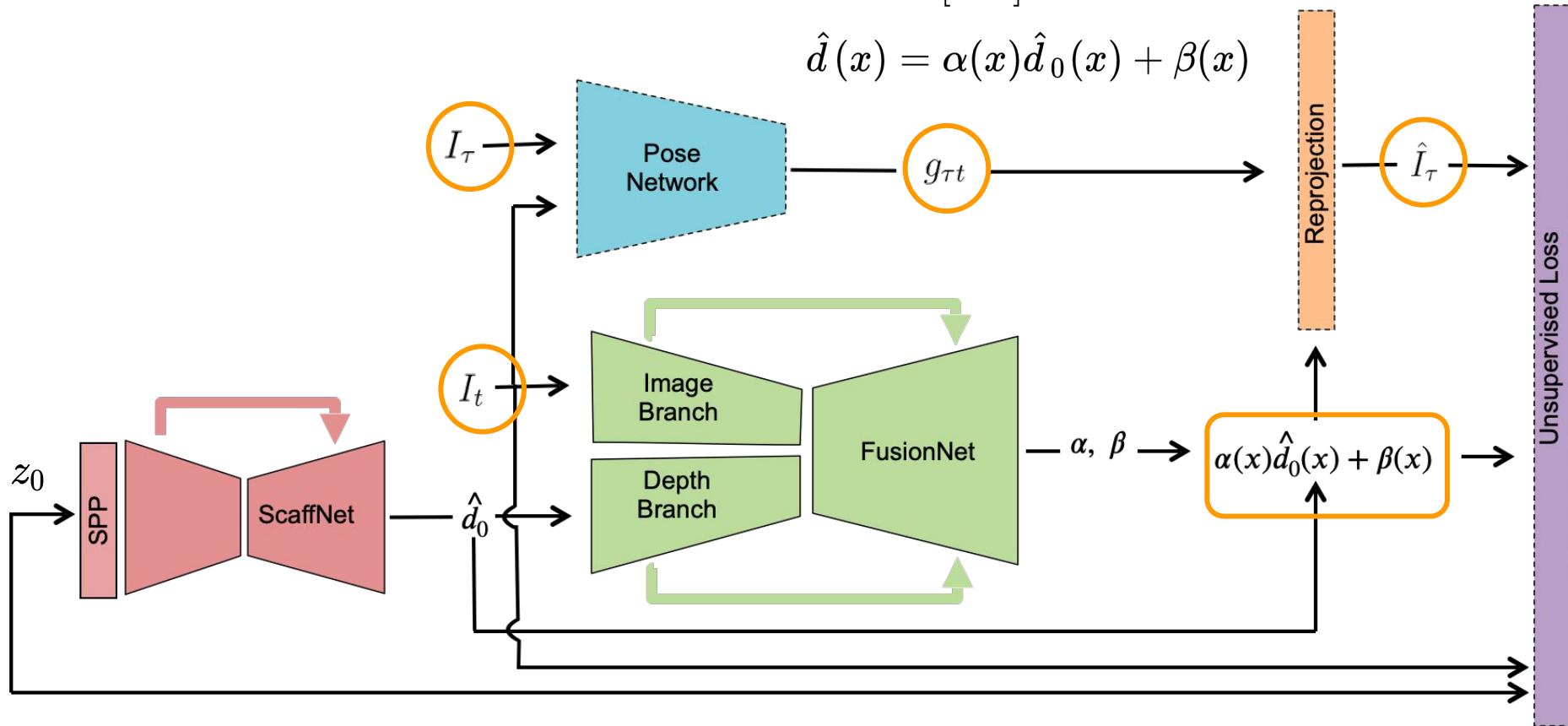


# FusionNet

$$\hat{I}_\tau(x) = I_\tau(\pi g_{\tau t} K^{-1} \bar{x} \hat{d}(x))$$

$$\bar{x} = [x \ 1]^\top$$

$$\hat{d}(x) = \alpha(x)\hat{d}_0(x) + \beta(x)$$

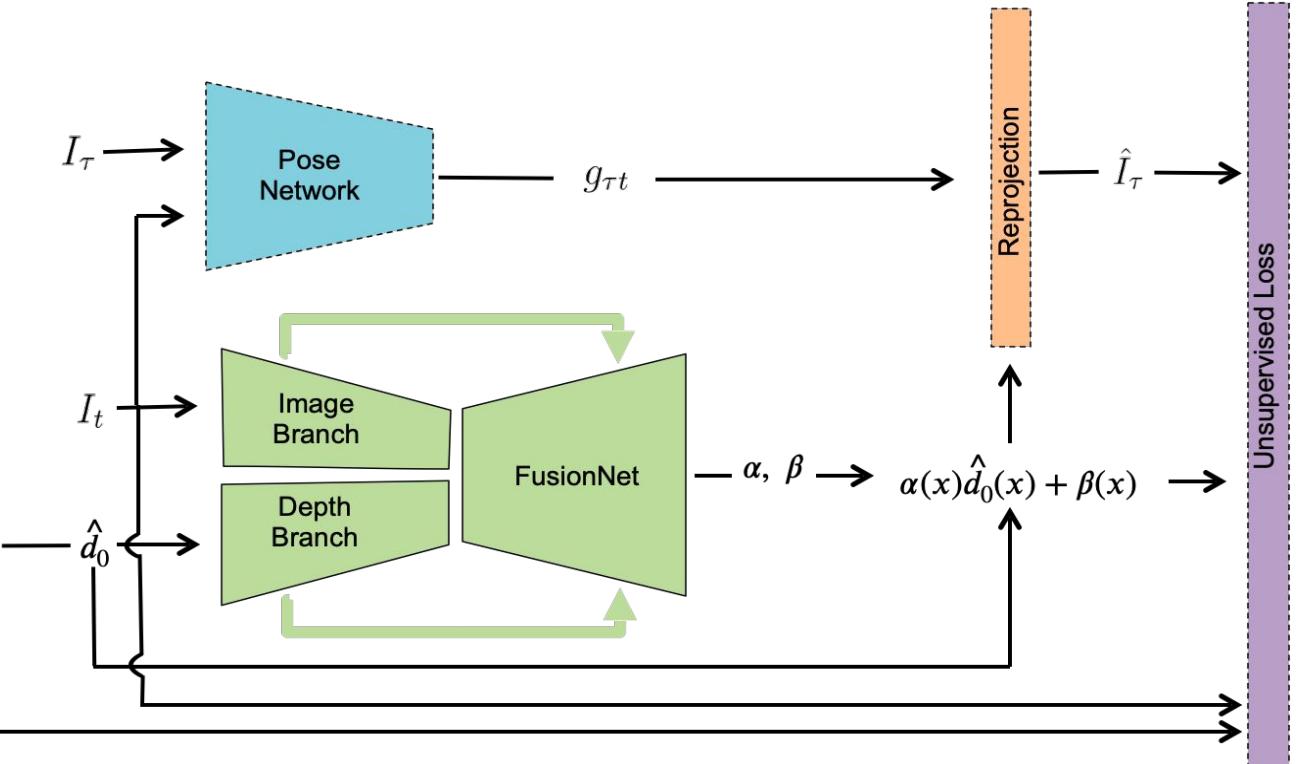
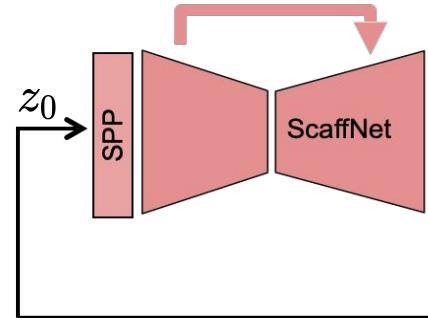


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$$\bar{x} = [x \ 1]^\top$$

$$\hat{d}(x) = \alpha(x)\hat{d}_0(x) + \beta(x)$$



$$\mathcal{L} = \underbrace{w_{ph} \frac{1}{|\Omega|} \ell(I_t(x), \hat{I}_\tau(x))}_{\text{photometric consistency}} + \underbrace{w_{sz} \frac{1}{|\Omega_z|} |z_0(x) - \hat{d}(x)|}_{\text{sparse depth consistency}} + \underbrace{w_{sm} \frac{1}{|\Omega|} \lambda |\nabla \hat{d}(x)|}_{\text{local smoothness}} + \underbrace{w_{tp} \sum_{x \in \Omega} W(x) |\hat{d}(x) - \hat{d}_0(x)|}_{\text{topology prior}}$$

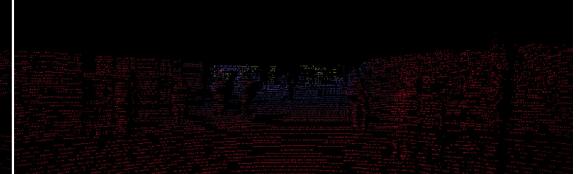
# Qualitative Results

[1]

KITTI

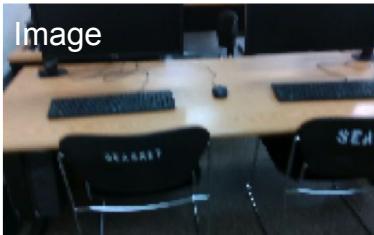


Sparse Depth



[2]

VOID



# Quantitative Results

Method	Parameters	MAE	RMSE	iMAE	iRMSE
<b>ScaffNet</b>	<b>~1.4M</b>	<b>318.41</b>	<b>1425.53</b>	<b>1.39</b>	<b>5.01</b>
[1]	~27.8M	358.92	1384.85	1.60	4.32
[2]	~18.8M	347.17	1310.03	n/a	n/a
[3]	~9.7M	305.06	1239.06	1.21	3.71
<b>FusionNet</b>	<b>~7.8M</b>	<b>286.35</b>	<b>1182.81</b>	<b>1.18</b>	<b>3.55</b>

Metric	Definition
MAE	$\frac{1}{ \Omega } \sum_{x \in \Omega}  \hat{d}(x) - d_{gt}(x) $
RMSE	$\left( \frac{1}{ \Omega } \sum_{x \in \Omega}  \hat{d}(x) - d_{gt}(x) ^2 \right)^{1/2}$
iMAE	$\frac{1}{ \Omega } \sum_{x \in \Omega}  1/\hat{d}(x) - 1/d_{gt}(x) $
iRMSE	$\left( \frac{1}{ \Omega } \sum_{x \in \Omega}  1/\hat{d}(x) - 1/d_{gt}(x) ^2 \right)^{1/2}$

[1] F. Ma, G. V. Cavalheiro, S. Karaman. Self-Supervised Sparse-to-Dense: Self-Supervised Depth Completion from LiDAR and Monocular Camera. ICRA 2019.

[2] Y. Yang, A. Wong, S. Soatto. Dense Depth Posterior (DDP) from Single Image and Sparse Range. CVPR 2019.

[3] A. Wong, X. Fei, S. Tsuei, S. Soatto. Unsupervised Depth Completion from Visual Inertial Odometry. R-AL 2020, and ICRA, 2020.

# Quantitative Results -- Indoor

Method	Parameters	MAE	RMSE	iMAE	iRMSE
[1]	~27.8M	198.76	260.67	88.07	114.96
[2]	~18.8M	151.86	222.36	74.59	112.36
[3]	~9.7M	85.05	169.79	48.92	104.02
<b>ScaffNet</b>	<b>~1.4M</b>	<b>70.16</b>	<b>156.99</b>	<b>42.78</b>	<b>91.48</b>
<b>FusionNet</b>	<b>~7.8M</b>	<b>59.53</b>	<b>119.14</b>	<b>35.72</b>	<b>68.36</b>

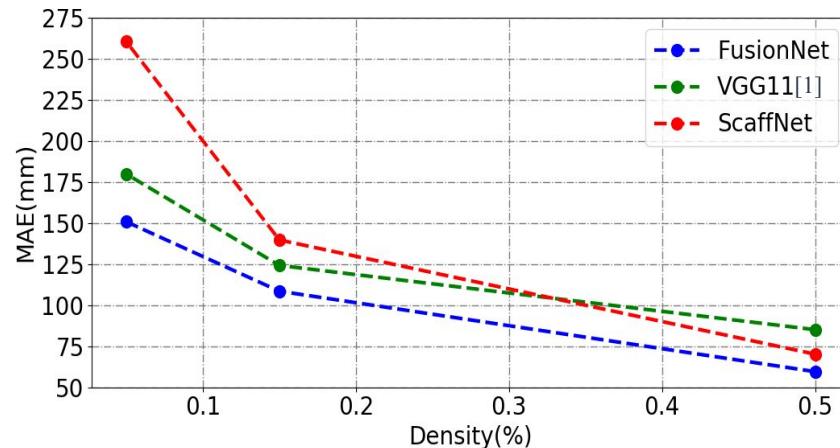
Metric	Definition
MAE	$\frac{1}{ \Omega } \sum_{x \in \Omega}  \hat{d}(x) - d_{gt}(x) $
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# Quantitative Results -- Indoor



- MAE for various density levels.

# Targeted Adversarial Perturbations for Monocular Depth Prediction

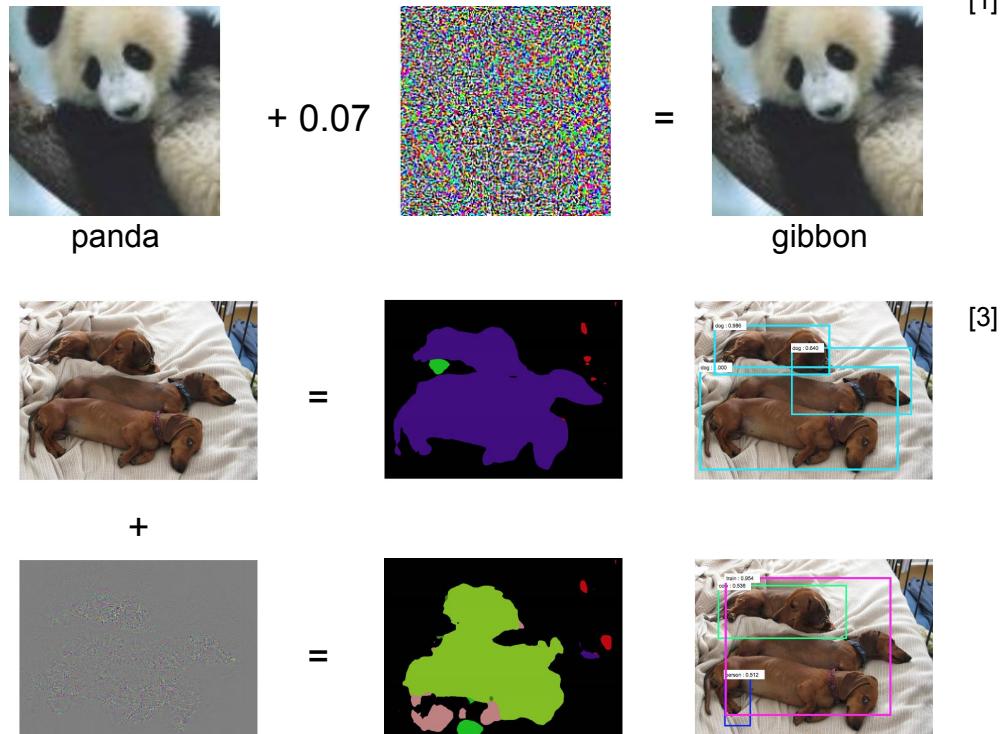
# Adversarial Perturbations



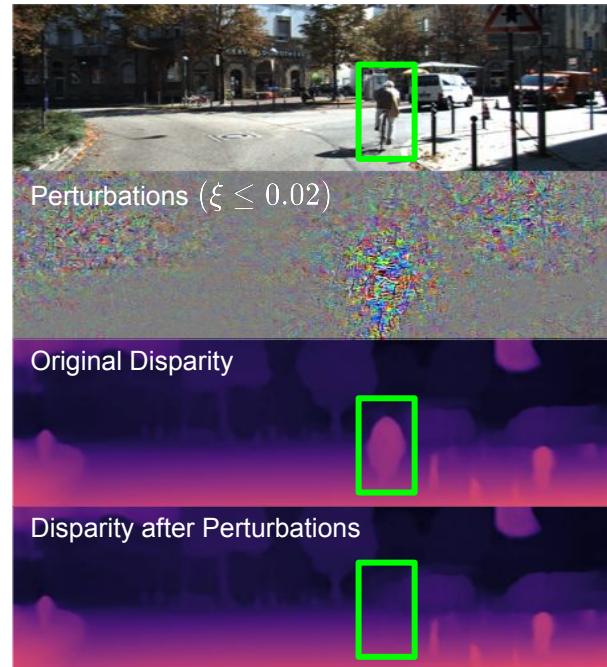
[1] I. Goodfellow, J. Shlens, C. Szegedy. Explaining and Harnessing Adversarial Examples. ICLR 2015.

[2] C. Xie, J. Wang, Z. Zhang, Y. Zhou, L. Xie, A. Yuille. Adversarial Examples for Semantic Segmentation and Object Detection. ICCV 2017.

# Adversarial Perturbations



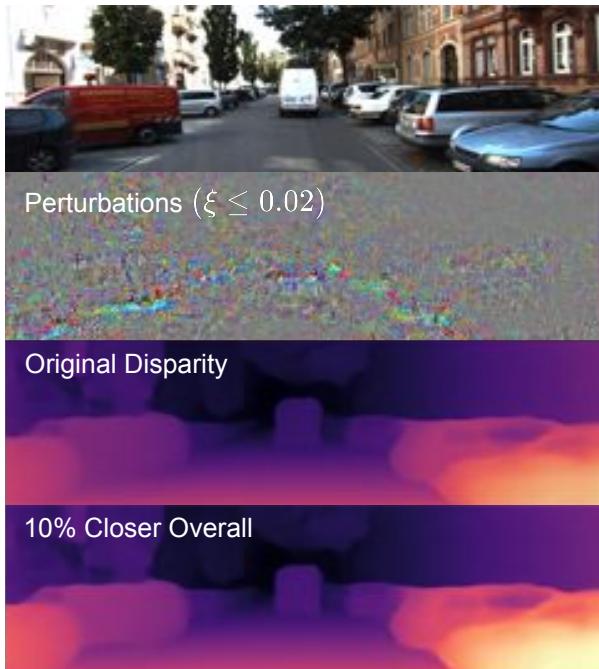
Targeted Attacks on  
Monocular Depth Prediction Networks



[1] I. Goodfellow, J. Shlens, C. Szegedy. Explaining and Harnessing Adversarial Examples. ICLR 2015.

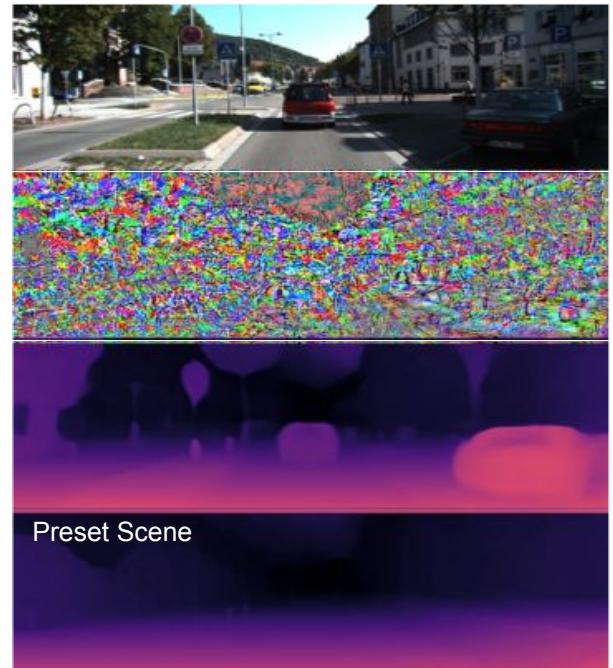
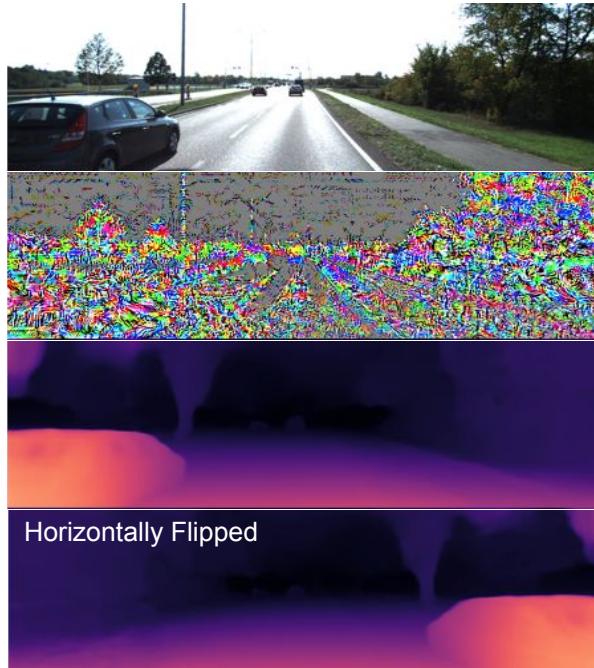
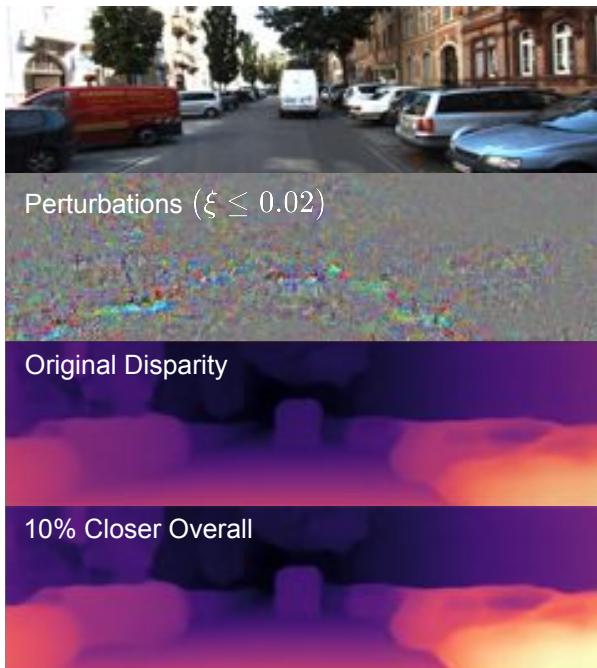
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# Attacking the Entire Scene



(i) scaling the entire scene by a factor of  $1 + \alpha$

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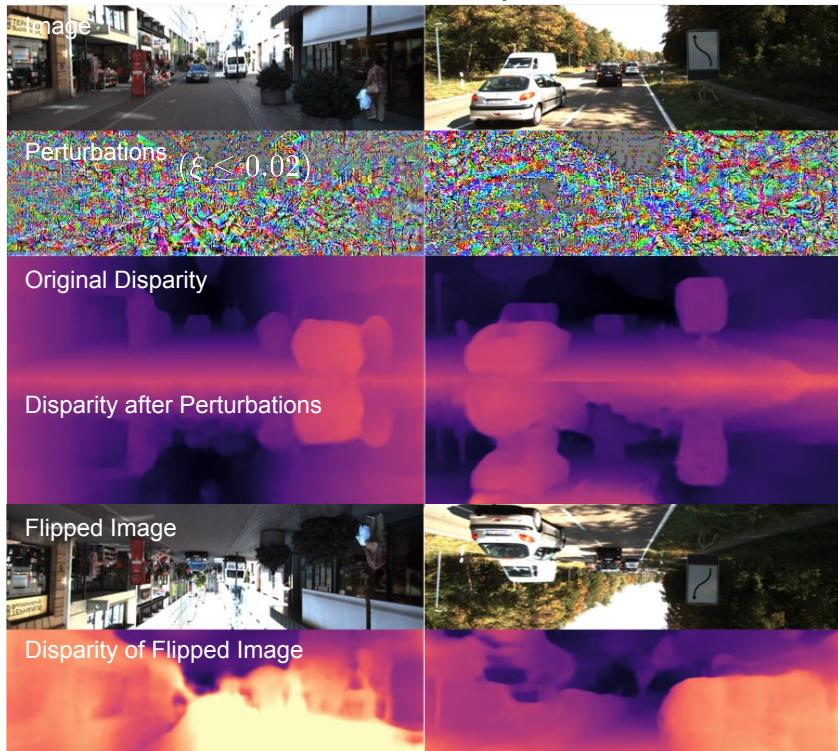
(i) scaling the entire scene by a factor of  $1 + \alpha$

(ii) symmetrically flipping the entire scene

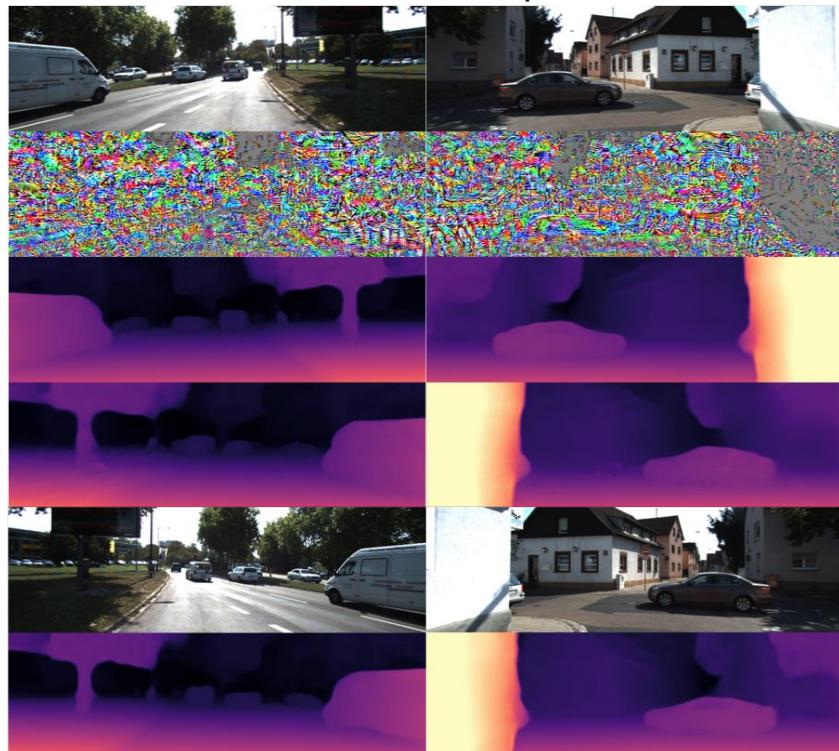
(iii) altering the entire scene to a preset scene

# Strong Bias on Scene Orientation

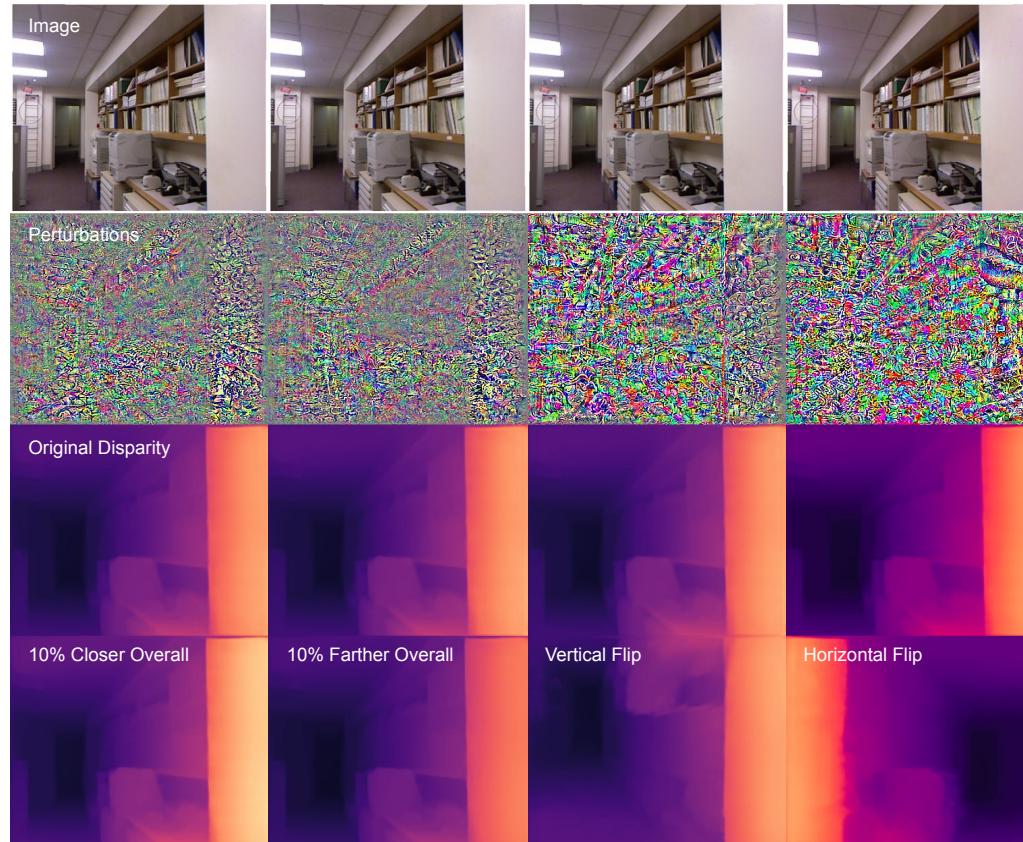
Vertical Flip



Horizontal Flip

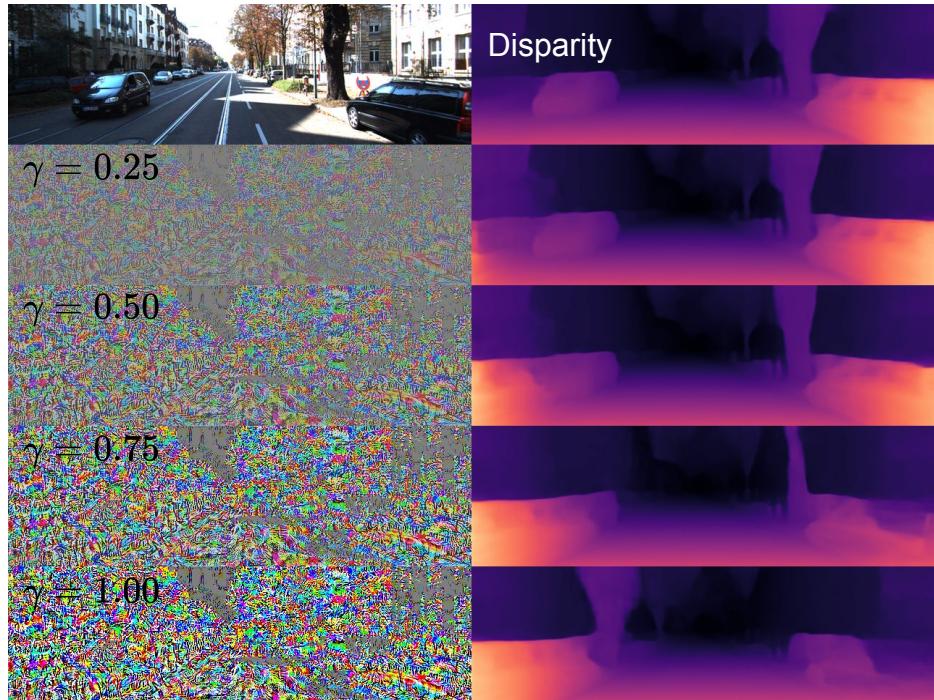


# Adversarial Attacks in Indoor Scenes



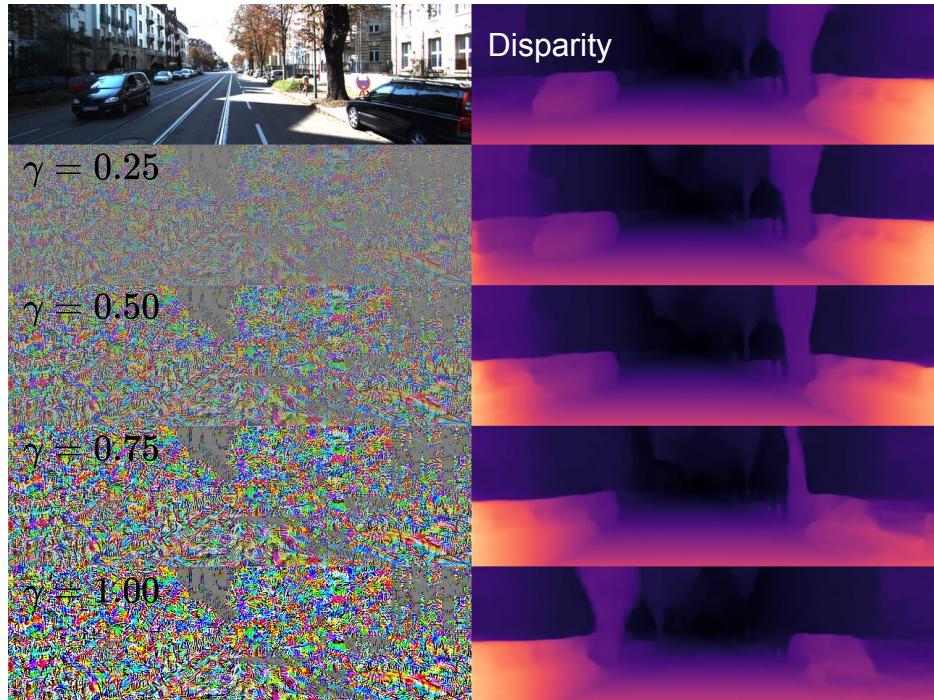
# Linear Operations:

$$f_d(x + \gamma v(x))$$

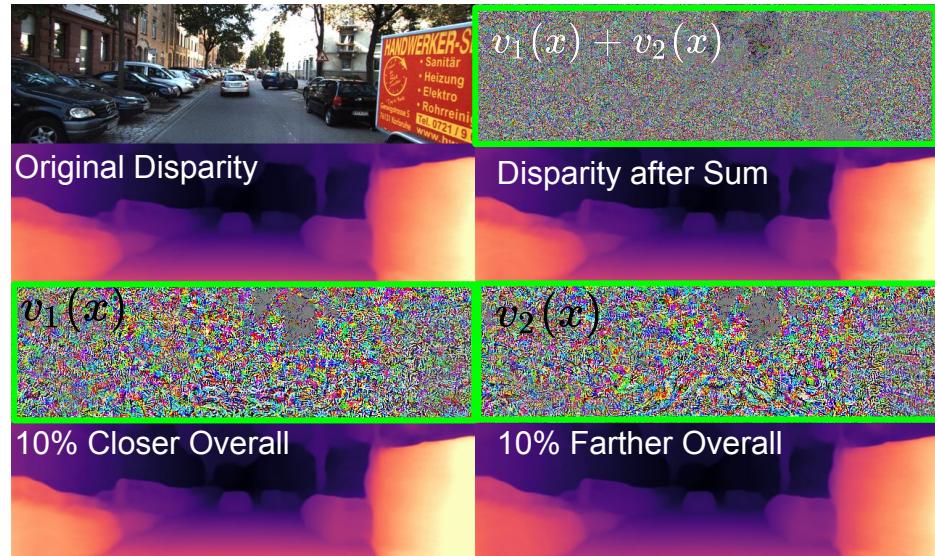


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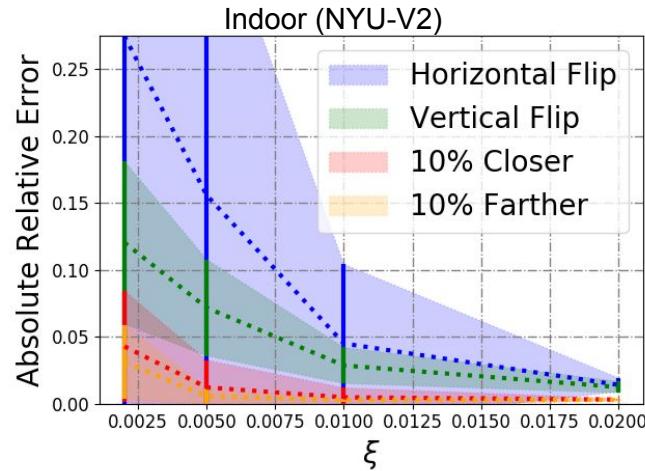
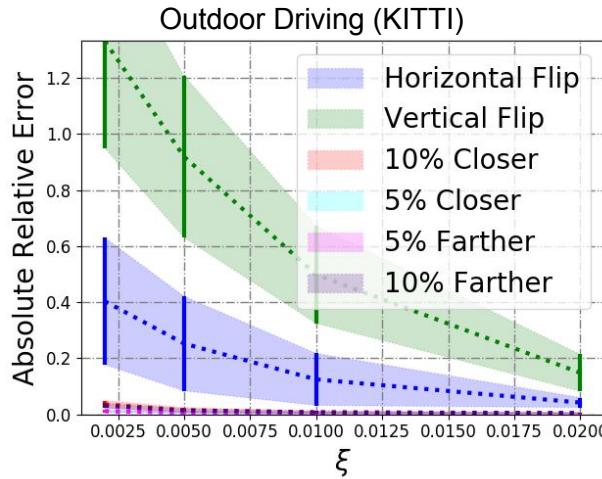
$$f_d(x + v_1(x) + v_2(x))$$



$$||v_1(x)|| \approx ||v_2(x)|| \gg ||v_1(x) + v_2(x)||$$

# Quantitative Results

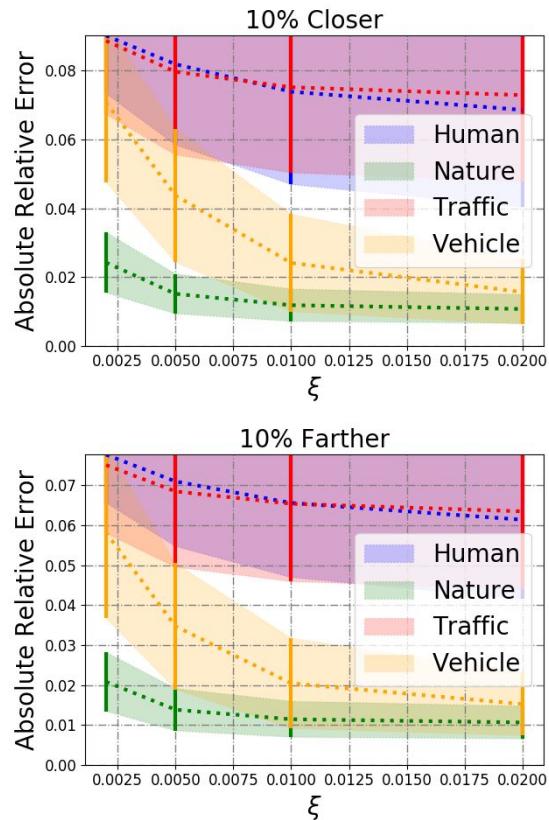
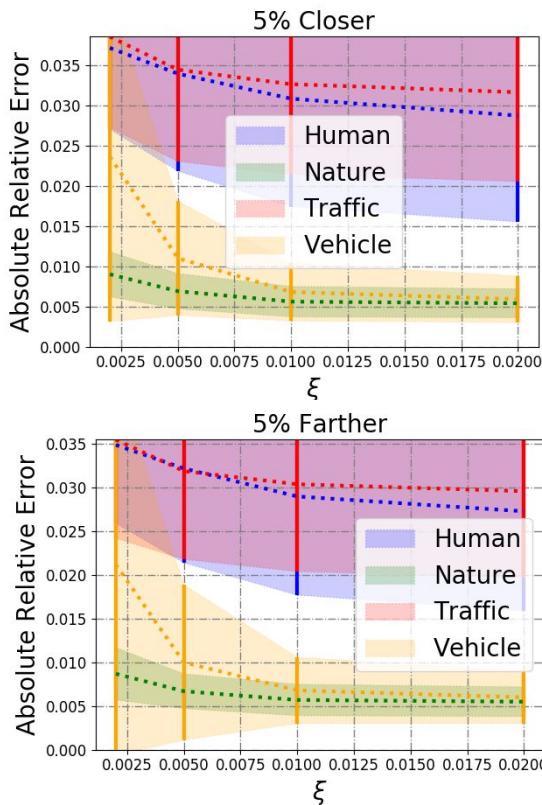
## Symmetrically Flipping the Scene



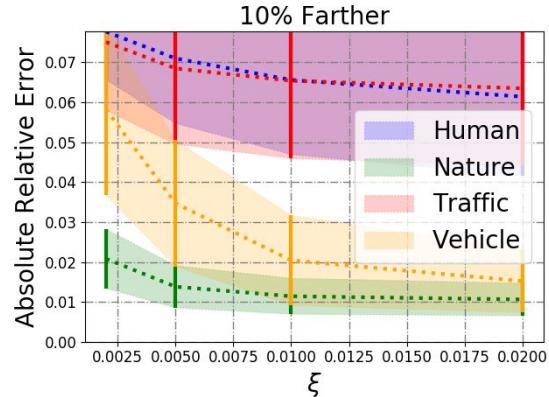
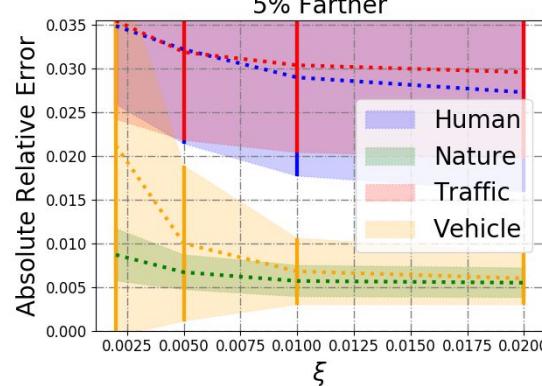
$$\text{ARE} = \|\mathbf{f}_d(\mathbf{x} + \mathbf{v}(\mathbf{x})) - \mathbf{d}^t(\mathbf{x})\|_1 / \mathbf{d}^t(\mathbf{x})$$

# Quantitative Results

## Category Conditioned Scaling



$$\text{ARE} = \|\mathbf{f}_d(\mathbf{x} + \mathbf{v}(\mathbf{x})) - \mathbf{d}^t(\mathbf{x})\|_1 / \|\mathbf{d}^t(\mathbf{x})\|$$



# Localized Attacks on the Scene

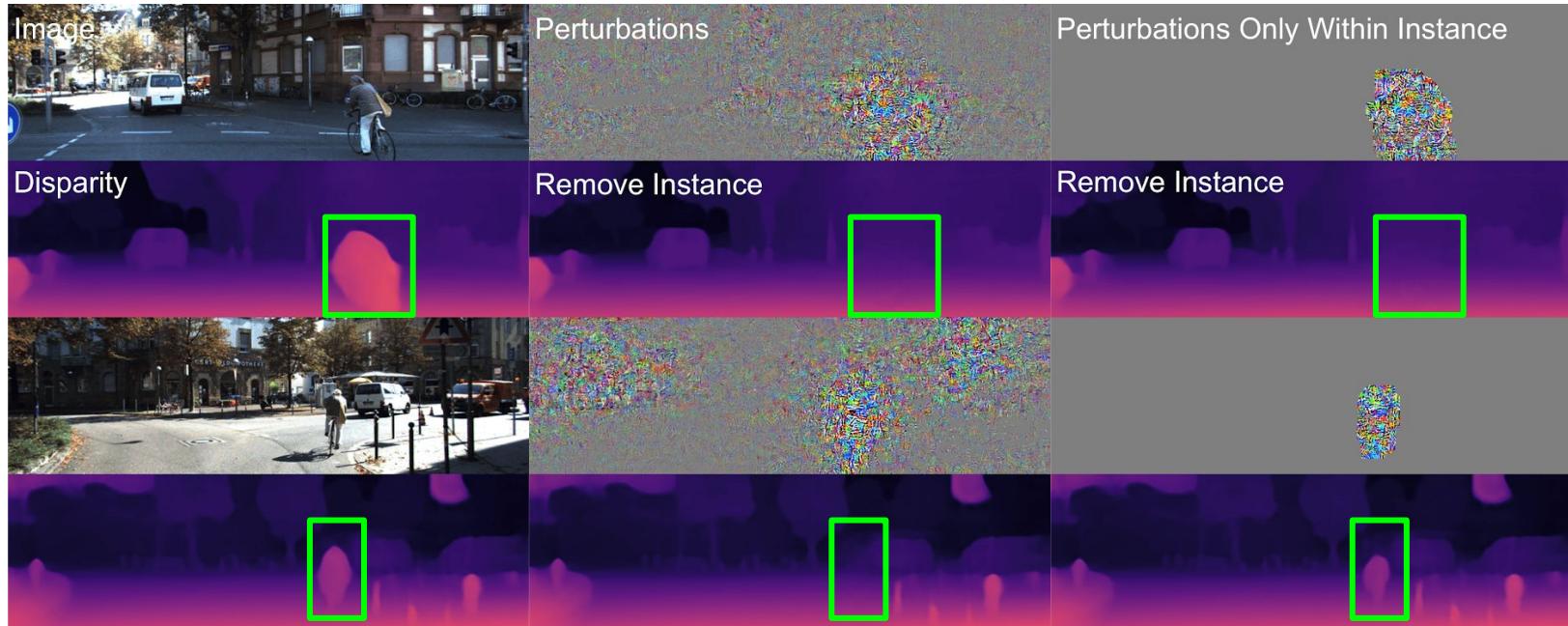


(i) removing specific instances from the scene

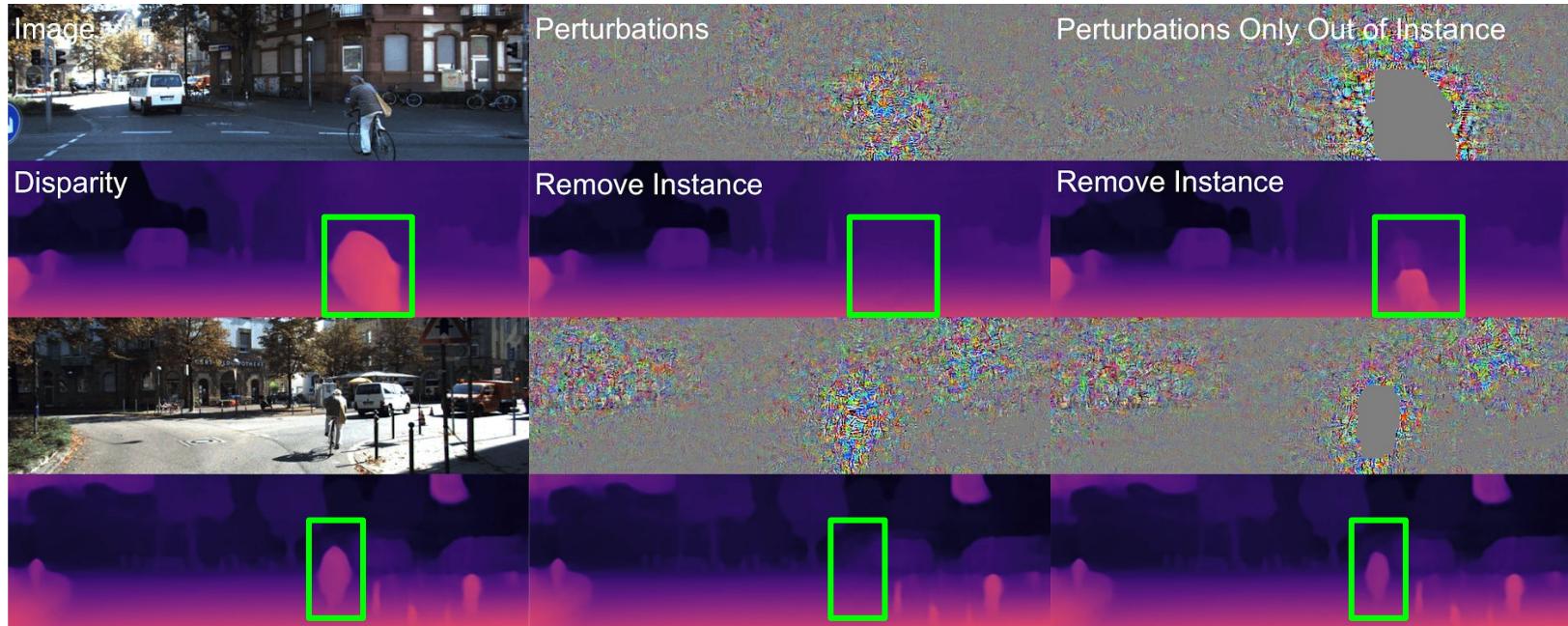


(ii) moving specific instances to different regions of the scene

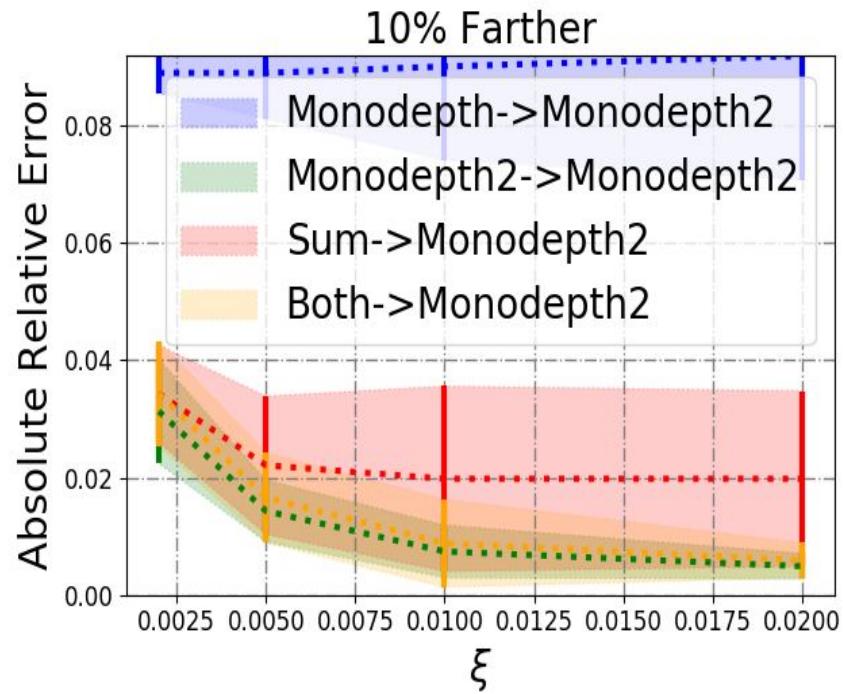
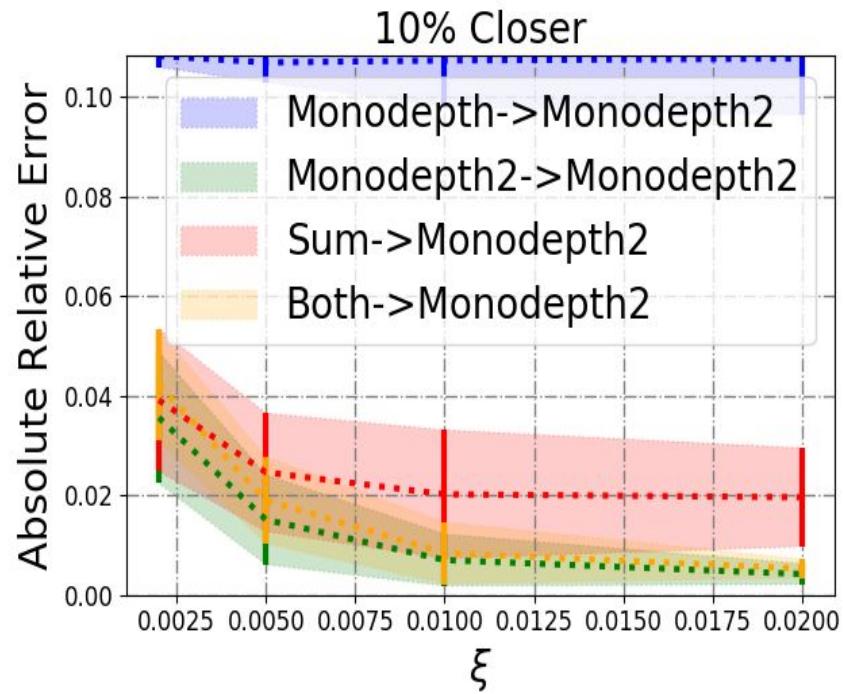
# Instance Conditioned Removing



# Instance Conditioned Removing



# Transferability



- Fool Monodepth2 [1] with perturbations from Monodepth [2]

[1] C. Godard, O. Mac Aodha, M. Firman, and G. J. Brostow. Digging into self-supervised monocular depth estimation. ICCV 2019.

[2] C. Godard, O. Mac Aodha, G. J. Brostow. Unsupervised Monocular Depth Estimation with Left-Right Consistency. CVPR 2017.

# Concluding Remarks

- SSL-semantic:
  - The proposed *speed of training* criterion shows promising results.
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  - These perturbations may not cause harm in a practical transportation application.
  - *The existence of adversaries is an opportunity.*

# Acknowledgments

- My advisor, Prof. Stefano Soatto.
- Committee, Prof. Lieven Vandenberghe, Prof. Paulo Tabuada, Prof. Guy Van den Broeck.
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  - Ning Xu, Zhaowen Wang and Hailin Jin from Adobe Research.