

## B. Roker - Held NMS Stocks

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For C. Shtumer

Reporting Period 2019-12-01 to 2020-02-29

### Orders - Held NMS Stocks

Order ID	Type	Venue	Time of Transaction (UTC)
00471	Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
13453	Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
29087	Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
17318	Non-Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
07551	Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
08905	Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
29812	Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
31151	Non-Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
27354	Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
31949	Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
11926	Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
30057	Non-Directed	Venue One	-

Order ID	Type	Venue	Time of Transaction (UTC)
		Venue Two	-
		Venue Three	-
		Venue Four	-
23653	Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
31757	Non-Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
07426	Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
14985	Non-Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
06215	Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
21659	Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
06606	Non-Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
30785	Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
08610	Non-Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
02209	Non-Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
11490	Non-Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
13442	Non-Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-

Order ID	Type	Venue	Time of Transaction (UTC)
15921	Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
14499	Non-Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
14698	Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
23072	Non-Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
29692	Non-Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-
28311	Directed	Venue One	-
		Venue Two	-
		Venue Three	-
		Venue Four	-