

B. Roker - Options Customer Routing Report

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For C. Shtumer

Reporting Period 2019-12-01 to 2020-05-31

Orders - Options Customer Routing Report

| Order ID | Type | Venue | Time of Transaction (UTC) |
|------------|--------------|-------|---------------------------|
| 90889133 | Directed | TYSX | - |
| 5049232997 | Non-Directed | FBAR | - |
| 9080289134 | Non-Directed | YWTS | - |
| 148427393 | Directed | ROTF | - |
| 3192665 | Directed | IMHO | - |
| 3719384 | Directed | JTLK | - |
| 3719385 | Directed | BTAM | - |