

## B. Roker - Exempt Not-Held NMS stocks

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For C. Schtumer

Reporting Period 2019-12-01 to 2020-05-31

### Orders - Exempt Not-Held NMS stocks

Order ID	Type	Venue	Time of Transaction (UTC)
90889133	Directed	TYSX	2019-12-25 07:05:22
5049232997	Non-Directed	FBAR	2019-12-25 07:05:22
9080289134	Non-Directed	YWTS	2019-12-25 07:05:22
148427393	Directed	RTFL (XRFT)	-
3192665	Directed	IMHO	2019-12-25 07:05:22
			2019-12-25 07:05:22
3719384	Directed	JTLK	2019-12-25 07:05:22
3719385	Directed	BTAM	2019-12-25 07:05:22