

April 6, 2017  
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# **Planning and Localization Using Contacts**

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May 2017

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*Submitted in partial fulfillment of the requirements  
for the degree of Masters of Computer Science.*

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April 6, 2017  
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**Keywords:**

## **Abstract**

A short summary.

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## **Acknowledgments**

This thesis and my work in graduate school would not be possible without the collaboration and guidance of many intelligent people. First, I would like to thank my advisors. Both Howie and Reid have provided me with support and guidance, and most importantly have forced me to justify my theories and led me to many new concepts in robotics. Their advising styles were different, but worked amazingly well together, and I am a smarter person thanks to their influence.

I would like to thank the senior PhD students who I sat near and from whom I absorbed knowledge. Discussions with Glenn, Arun, and Chao frequently inspired me. In addition, discussions and help from Guillaume, Alex, Matt, Julian, Ky, Elena, Breelyn, and many others have both directly influenced my work and generally improved my robotics knowledge. The former graduate students now at Hebi designed and provided the hardware that first inspired my snake arm planning goals and they have continued to support and improve the hardware.

I also would like to thank Shiyuan Chen, with whom I have extensively collaborated over the course of this thesis and whose work was heavily used in the localization section of this thesis.

I owe so much to my parents for providing me with a scientifically rich childhood, always encouraging me to excel.

And of course I would like to thank the love of my life, Katie Brennan, for agreeing to two years of long distance during this masters program.

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# Chapter 1

## Introduction

Contacting the world can provide stability, support, and sensory information. Humans benefit from touching the world in situations from blindly detecting an object in the back of the refrigerator, to leaning on a stair railing. In the recent DARPA robotics challenge top robotics research teams from around the world submitted robots to attempt an obstacle course of challenges. Many of these robots fell on the stairs. Not a single robot used the railing [3]. Even drunk people know to use railings, so there is plenty of room for improvement in robotics.

However, in robotics there are good reasons to avoid contact with the environment. Hitting the world can provide large forces that destabilize or break the robot. Relying on contacts can be dangerous as slight alterations in the world or errors in robot position may drastically alter the contact forces. Instead of firmly grasping a handle, a few centimeters of error have caused robots to embarrassingly grasp air and fall over [? ]. Even when working entirely in simulation contacts between rigid bodies cause problems for physics engines.

Contacts introduce non-smooth discontinuities, which presents a challenge for many tools in the roboticists arsenal. The benefits of contacts occur on a measure-zero manifold in the robot's configuration space. For example, hand rests comfortably on a table at a specific configuration of shoulder, elbow, and wrist positions. A slight extension of the elbow while fixing all other joints leads to the hand puncturing the table, while a slight contractions pulls the hand into the air and the table might as well not exist. Random sampling and gradient decent are two

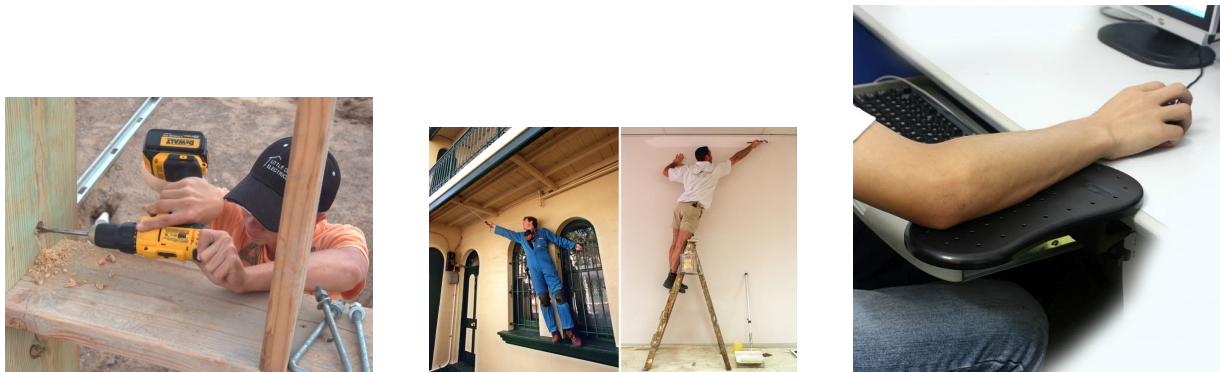


Figure 1.1: Humans using contact to improve reach, accuracy, and stability

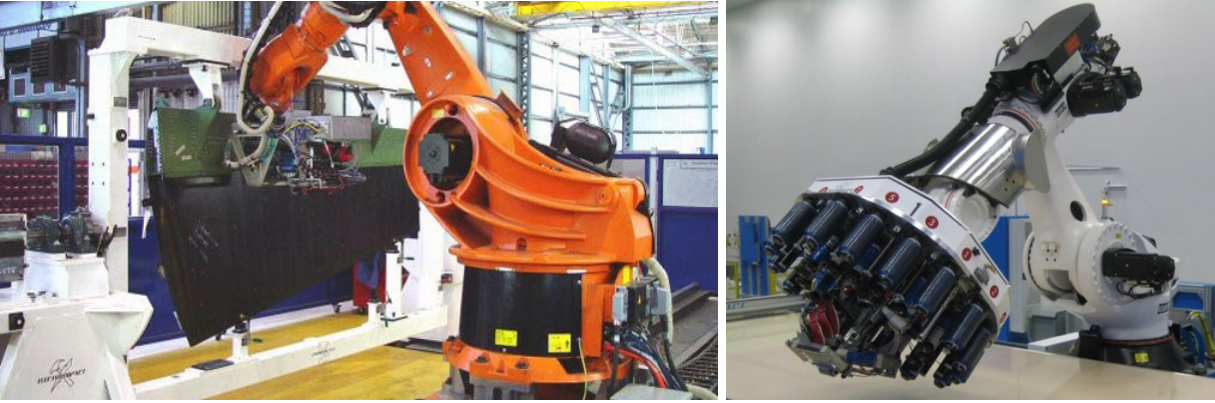


Figure 1.2: Robots working on the outside of parts held firmly in jigs

techniques that appear in the solution to many robotics challenges, but both have difficulty with these measure-zero contact manifolds. This thesis explores and extends techniques of sampling based and gradient methods to the problems of localization and planning, with the addition of contact forces and measurements.

## 1.1 Motivation

My personal motivation for the topics covered in this thesis come, in part, from my past experience as a robotics engineering building robots that build airplanes. Currently, large robotic arms equipped with expensive, specialized end effectors perform a variety of tasks for aerospace manufacturing, including drilling holes, inserting fasteners, milling, carbon fiber placement and layup, and sealant application. While there are many potential directions of research to improve these already impressive machines, two striking issues are addressed by my work.

### 1.1.1 Jigs are More Expensive Than Robots

Robots that perform one type of task on one section of an airplane can cost millions of dollars. However the jig that holds the airplane section while the robot works can cost more than the robot. These jigs may have more actuators and tighter tolerances than the robotic system. When the robot begins work on a new section, before ever making a measurement the jig has already located the part to within a few inches. A few scripted measurement with a probe, programmed by an engineer, are sufficient to fully localize the part to within the needed tolerances. When amortized over many airplanes the per-part cost drops. However, this process is inflexible and poorly suited to low-rate manufacturing.

If the robot could localize the part from a wide range of part configurations, and if the robot could reason about internal assembly tolerances then jigs could be made more cheaply, robots would become more versatile, less part-specific programming would be required, and machining accuracy could improve.



Figure 1.3: Workers crawling in the confined spaces of an airplane wing

### 1.1.2 Robots Stay on the Outside

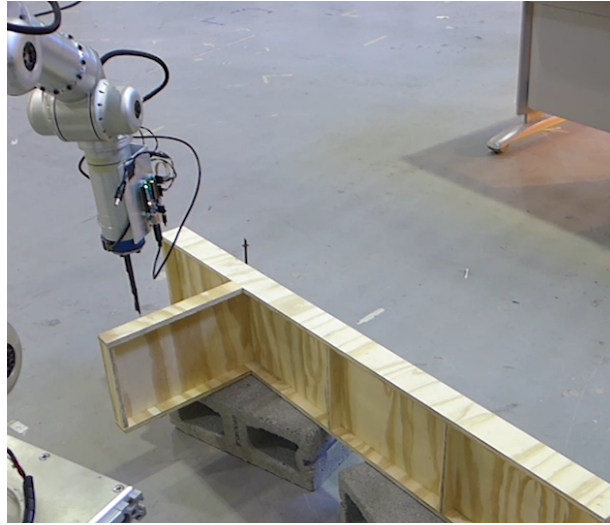
Large robotic arms cannot fit in the confined spaces of an aircraft. While a team of people may include workers on both the inside and outside, large robots are limited to just the outside.

## 1.2 Approach

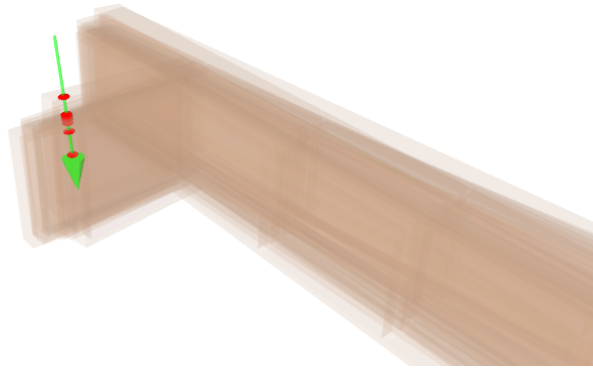
The work in this thesis address two separate problems. The first is the task of localizing an object through measurements made using contact measurement. The second is the task of planning a trajectory for a robotic arm that is too long to support its own cantilevered weight, and so must rest on the environment for support. Unifying the two problems is the challenges associated with contacts.

### 1.2.1 Localization

In the localization task the robot must estimate the pose  $X$  of an object based on a set of measurements  $Z_t = \{z_1, \dots, z_t\}$  made by probing the object. The robot chooses where to probe, and the measurements are a function of the object and chosen measurement action. A triangular mesh describes the object geometry and a frame is attached to this mesh. The state  $X$  is the  $SE(3)$  transformation from a fixed world frame to this part frame. This is a 6-dimensional state stored as position  $(x, y, z)$  and orientation angles  $(\alpha, \beta, \gamma)$ . Initially it is assumed the geometry is rigid, thus the state can be fully described as the  $SE(3)$  configuration of a frame attached to the part 3.1. Later, this is generalized to parts with internal uncertainty 3.2. A further assume is that the part is fixed in space relative to a world frame and that probing actions do not perturb the state.



(a)



(b)

Figure 1.4: **(a)**: The robot performing a touch measurement  
**(b)**: The belief of the part location and measurement

Rather than calculating a single best estimate of the pose, a probability distribution represents the uncertainty in knowledge. Estimating the probability distribution is important both for choosing effective measurements and knowing when the part is localized sufficiently. For feasibility, this probabilistic belief is represented numerically by a list of points drawn from the true distribution called *particles*. A measurement updates the belief using a *particle filter* [55].

However particle filters behave poorly both when the dimensionality of the system grows large and when measurements become precise [33]. In the problems considered there is a large initial uncertainty, and achieving high tolerance performance necessitates measurements with low uncertainty. Updating the belief after performing such a measurement will eliminate most particles, leaving a poor representation of the posterior. This problem is called particle starvation.

This thesis first presents a solution to particle starvation during touch localization (section 3.1) using a particle filter with an alternate update procedure that is able to combine accurate measurements with the prior. This particle filter is then generalized to parts with internal uncertainty (section 3.2).

To minimize the time taken to localize the part, the robot should choose informative measurement actions. To achieve this, many actions are sampled and the one with the highest expected *information gain* is selected. Fully predicting this is also computationally expensive, thus previous methods using this metric introduce delays during which the robot pauses between measurements and yet still only samples a small number of actions. This approach involves a fast approximation for information gain that takes advantage of the discretized belief from the particle filter (section 3.3). This enables the sampling hundreds of potential measurement actions in a few seconds.

Figure 1.4 shows the experimental setup. A 7-DOF robotic arm equipped with a touch probe performs measurements on an object (a). These measurements are used to update the belief (b). The belief is then used to determine good information gathering future measurement actions.

### 1.2.2 Motion Planning

The motion planning task considered here involves planning trajectories for a robotic arm through an environment. The robot arm begins at initial joint angles and the goal is to apply torques on the joints to move the end effector of the robot to some specified location in the workspace. When planning, the robot arm kinematics and dynamics, joint torque limits, and the environment are known. Of particular interest is the dynamics model, as it models contact forces at specified locations on the robot.

When not in contact with the environment the robot dynamics obey the manipulator arm equation [38]. However, when in contact, additional forces are applied to the arm obeying a spring model: The force is proportional to the penetration distance, in the direction pushing the arm out of the environment. To maintain stability in the model, the simulated environment is kept squishy with a spring constant significantly lower than the physical reality.

In the tasks considered in this thesis, the robot is not capable of reaching the goal point without using contact points, thus the motion planner must make use of contacts. To ensure this the arm used is long and thin, the torque limit is low, and goal positions are chosen far from the base of the arm. Thus the motors alone are not able to overcome the weight of the cantilevered robot arm.

Two approaches are used to plan: trajectory optimization and a sample-based planner. In the trajectory optimization framework a function determines the cost of a trajectory, and an initial trajectory is iteratively improved using gradient descent until a local optimum is achieved. The obvious choice for a cost function would penalize not reaching the goal and penalize joint torques, either through soft or hard constraints. However due to the local nature of contacts, potentially useful contacts that are not yet made have no influence on the cost function, and these solutions will never be discovered. The solution is an augmentation of the dynamics model, allowing contact forces at a distance. This provides a much better conditioned cost function, at the expense of accurately modeling the world. Towards the end of optimization the model parameters are altered to enforce a realistic solution.

When initialized well, this optimization approach produces trajectories that keep joint torques low by making and breaking contacts. However, the cost function is still littered with non-optimal local minima, and escaping these proves difficult. Thus a sampled based planner is employed to generate trajectories that can either directly be used, or that serve as a decent initialization for the optimization approach. Again the thin contact manifold presents problems. Sampled based planners rely on generating trajectories to connect probabilistically sampled configurations, but the thin contact manifold renders naive approaches useless. The solution used augments the extension function of a sampled based planner, causing extensions to make progress towards their goal while staying on the contact manifold as necessary.



## Chapter 2

### Related Work

#### 2.1 Current Methods for Localizing Objects Using Contact Sensors

Before performing operations on parts for manufacturing, it is crucial that the position and orientation of that part are known to within some tolerance. While there are many methods of localizing a part, this work uses a touch probe to actively gain information through measurements on the part surface. Though it is possible to ignore uncertainty in some tasks, the problems addressed in this work provide capabilities by explicitly reasoning over the belief distribution of the part's state. One approach, the particle filter, maintains this distribution through a finite set of samples. A second approach, the Kalman filter, maintains an analytic expression for the belief distribution. Both approaches require adaptations for use in contact localization.

##### 2.1.1 Particle Filters

Particle filters, touch measurements, and maximal information gain measurement selection have all been used in localization tasks. Since their introduction particle filters have been popular due to their ease of implementation and ability to model complex distributions, process models, and measurement models [55]. However, for a measurement with low uncertainty there exists only a thin manifold of states consistent with that measurement, yielding a low probability of any particle existing on that manifold, leading to particle starvation [54]. Particle starvation is described in detail in Chapter 3.1.2.

To address particle starvation, Koval introduced the Manifold Particle Filter, using different sampling methods depending on the volume of the space consistent with a measurement [32, 33]. This allows a quick update of the belief when the contact sensor is not in contact with the part, and only requires addressing the harder thin manifold update problem when contact is made. Koval used multiple methods when updating from measurements when on this thin manifold, and shows that rejection sampling requires the fewest restrictions on prior knowledge of the environment, but naive rejection sampling is time consuming. His efficient methods require direct sampling from the contact manifold, which is not feasible for a complex part.

Petrovskaya focused on global localization of objects via touch [41] and introduced the Series

Scaling algorithm to overcome particle starvation. The Series Scaling algorithm adaptively alters the particle density depending on the complexity of the posterior. Multiple passes through the measurement data are used and the precision of the modeled belief is scaled from low to high, avoiding unnecessarily precise estimates in exceedingly unlikely regions of belief space. This is complementary to our approach, and implementing multiple passes on our methods could lead to a faster update process.

Much of the recent work on touch measurements uses a robotic hand with contact sensors. In these works evaluation of both actual and simulated measurements required collision checking between two meshes which is computationally expensive.

Hebert et. al. use geometric (CAD) models of objects such as screwdrivers and door handles as well as the geometric model of their robotic arm to autonomously choose touch actions that localize objects sufficiently to perform everyday tasks, such as grasping and opening doors. Their algorithm greedily selects the next best touch action from a list of candidate actions to maximize information gain [22].

Javdani shows that selecting the next touch to maximize information gain is submodular under assumptions of a static object and an action cost independent of object and robot state, explaining the effectiveness of the greedy approach [25]. This provides a sound theoretical basis for our approach. Javdani demonstrates the computation of information gain is time consuming and proposes an alternative method of hypothesis pruning. Our formulation computes the expected information gain about two orders of magnitude faster, and thus we are able to evaluate many more potential measurement actions and model the belief using more particles.

Recently, there have been a variety of approaches that allow robots to localize objects solely with contact sensors. Different contact sensors have been explored and developed, including basic binary sensors, 6-axis force and torque sensors [12], soft tactile sensor arrays [21], and bio-inspired fingertips [15]. Localization with laser sensors has also been used in the high-precision CNC localization, where a 3D point cloud is acquired in order to estimate the transformation between the actual and planned pose [44]. The localization approach presented here can be generalized to these sensors which can distinguish between contact and free-of-contact states.

### 2.1.2 Kalman Filters

Alternative approaches to modeling touch localization adapt a Kalman Filter to model the belief distribution. A Kalman filter requires a Gaussian belief of the estimated state, which is inaccurate in touch localization. For example, multi-modal distributions appear when it is ambiguous whether a close edge or far edge was touched.

A Kalman filter also requires a linear measurement models, which does not exist for touch localization, so this too must be approximated. The Extended Kalman Filter performs first-order linear approximations of the measurement model, but this diverges for pose estimation with large initial error [10]. The Unscented Kalman Filter approximates the measurement model through evaluation at many “sigma” points chosen by tuning parameters. As the pose likelihood given a measurement varies by many orders of magnitude in different dimensions, these parameters are sensitive and unreliable. Srivatsan constructs a linear measurement model using two measurements and assuming the correspondence between the probe tip and the touched point on the object [51]. This allows direct use of a Kalman filter with a true measurement model. However,

the true correspondence is unknown, and must be approximated, for example through Iterative Closest Point (ICP) methods.

## 2.2 Contacts in Planning

Higher level tasks involve frequent making and breaking of contacts: walking requires footstep placement, manufacturing involves part handling, and household tasks need object manipulation. The wide variety of sub-problems lead to different approaches to robotic reasoning and execution of actions with contacts. In some tasks the the robot must decide between a known set of fixed contact locations, while in other tasks the contact space is continuous. Contacts may be a necessity or they may be optional.

In many path planning any contact with the environment is considered a collision and thus valid paths have no interaction with the environment. However environmental contacts can reduce joint torques, damp vibrations, and stabilize an arm. Despite these benefits contacts are usually avoided because they bring algorithmic complexity during the planning stage and physical danger to the robot and environment if executed improperly. The increased planning complexity is due to the contact configurations being a measure-zero manifold in the robot configuration space, rendering naive planning in configuration space ineffective.

### 2.2.1 Bracing, Climbing, and Walking

The benefits of bracing have been studied since the 1990s. Lew and Book proposed bracing a micro/macro manipulator with small precise arm mounted on the end of a long course arm and demonstrated bracing of the macro arm against multiple locations of the environment can damp vibration caused by the micro arm [5] [35]. Hollis and Hammer explored a similar micro/macro robot design and demonstrated  $1\mu m$  accuracy, well over an order of improvement compared to their unbraced manipulator [23]. Both of these works did not address the planning problem as both contact locations and robot trajectories were manually specified.

Given a sequence of contact modes for each link, Greenfield computed joint torques to produce desired dynamic behavior and applied this to a climbing snake robot [20]. Bretl et al. [6] developed algorithms for climbing robots that first select contact locations then create collision free trajectories for the robot's limbs between these contact locations.

The recent DARPA Robotics Challenge showcased state of the art walking robots. Rather than solving for a trajectory and contact locations simultaneously some approaches have separated these two problems. The dominant planning architectures hierarchically separated stages of contact planning. Highest, and slowest, in the hierarchy a planner decides the path for a simplified model of the robot through the workspace using heuristic costs. Lower down in the hierarchy, a footstep planner determines the contact locations for feet to best achieve the higher level trajectory. Still further down, another planner determines joint trajectories to move the feet into the requested footstep locations while maintaining stability. [11] [3] Tonneau et al [56] approaches from the opposite direction and first generates a trajectory then considers a discrete set of contacts close to that trajectory.

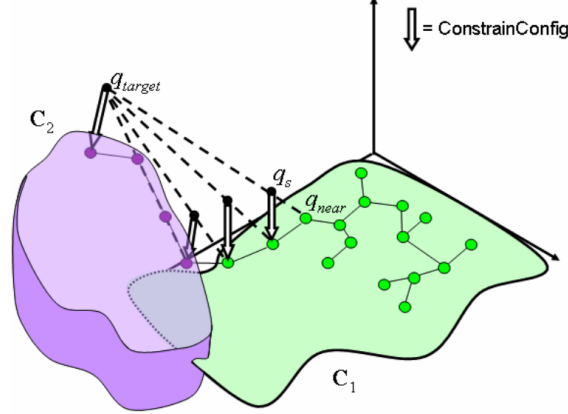


Figure 2.1: Constrained Bidirectional Rapidly Exploring Random Tree (CBiRRT) extending the tree onto a measure-zero valid manifold in configuration space

## 2.2.2 Sample based planning

Sampling based planners probabilistically probe the configuration space searching for feasible paths. The valid configuration space is never explicitly represented, and all that is needed is a function that determines whether a specific configuration is valid. The Rapidly-exploring Random Tree (RRT) and Probabilistic Roadmaps (PRMs) are sampling based planner which build graphs of valid trajectories (edges) between points in configuration space (nodes). To grow these graphs a new point in configuration space is sampled, and the closest point on the existing graph is extended towards this sampled point, as shown in Algorithm 1. [34]

---

**Algorithm 1**  $T = (V, E) \leftarrow \text{RRT}(x_{start})$

---

- 1:  $T \leftarrow \text{InitTree}(x_{start})$
  - 2: **while** GoalNotReached( $T, X_{goal}$ ) **do**
  - 3:    $x_{sample} \in X \leftarrow \text{Sample}()$
  - 4:    $x_{nearest} \leftarrow \text{Nearest}(T, x_{sample})$
  - 5:    $T \leftarrow \text{Extend}(x_{nearest}, x_{sample}, T)$
  - 6: **end while**
- 

In the most basic implementation, the Extend function constructs a linearly trajectory in configuration space from  $x_{nearest}$  towards  $x_{sample}$ , and terminates the extension either when  $x_{sample}$  is reached or the trajectory enters an invalid region. [34] This extension step requires augmentation to be useful in planning with contacts. Sampling based planners will never sample directly on the measure-zero contact manifold, and linearly extending a contact configuration to a new sampled point will immediately leave the contact manifold, immediately entering an invalid region in configuration space, producing no progress.

Berenson developed a variation of an RRT planner capable of handling measure-zero manifolds by projecting invalid path extensions onto the valid configuration space [4]. The Constrained Bi-directional RRT (CBiRRT) performs a linear extension from  $x_{nearest}$  towards  $x_{sample}$

as before, producing an intermediate point  $x_s$ . However if  $x_s$  is an invalid configuration, a projection function is used to potentially create a valid configuration.

$$x_s^{new} \leftarrow Projection(x_s) \quad (2.1)$$

If  $x_s^{new}$  is valid and is making progress toward  $x_{sample}$ , the extension algorithm continues. If either the *Projection* function failed to produce a valid configuration, or the extension toward  $x_s^{new}$  moves the trajectory further from  $x_{sample}$  then extension is terminated and the RRT algorithm continues with a new randomly sampled point. In CBiRRT the method of projection must be provided by the user.

### 2.2.3 Trajectory Optimization

In contract to sampling based planners, trajectory optimization addresses the path planning problem by incrementally improving an initial trajectory. Trajectory optimization uses a cost function to assign a cost to every trajectory. Iteratively the trajectory is adjusted to reduce the cost. While a large class of work focuses on trajectory optimization with unknown dynamics, in this thesis it is assumed the dynamics are known, and the guiding approach is to assume the dynamics are locally linear and perform updates to the parameters of the trajectory based on the gradient of the cost function. This approach will converge to local, but not necessarily global, minimal cost trajectories. Contact forces present an initial challenge, as the assumption of locally linear dynamics no longer holds.<sup>5</sup>

Deits et. al. handles the foot placement contacts for walking robots through mixed-integer optimization [11]. In a path for a walking robot there are an integer number of footstep placements, but the trajectories of the feet and robot are continuous. One approach is to fix the number of footsteps and run a purely continuous optimization over the trajectories. This continuous optimization is then repeated for each integer number of footsteps considered plausible. To reduce the number of optimization, their approach assigns a cost to each footstep allowing for faster pruning. This approach works because the integer parameter is relatively simple, i.e. once the right foot steps the only next contact to consider is the left foot.

Both Posa et. al. [43] and Mordatch et. al. [37] handle the discontinuous contacts by smoothing. Both formulations assume a given set of locations on the robot which are allowed to make contact with the given environment. Both works write the cost of a trajectory as a function of both joint torques and contact forces, thus the contact forces are a slack variable, and treated as parameters of the trajectory. Of course in reality a robot cannot choose the contact force applied, as the force is generated by electron repulsion as a function of the distance between the environment and the robot. However, this inaccurate physics model smooths the cost function and allows the robot to discover the benefits of contacts. Additional constraints are imposed to ensure the final trajectory is physically feasible.

Posa et. al. is primarily concerned with large impact forces, and writes the problem as a constrained optimization. At each iteration the optimizer alters both the joint torques and the desired contact forces to improve the cost. However this optimization problem has a set of complementarity constraints which enforce that each section of the robot is either in contact and can receive a contact force, or it not in contact.

Mordatch et. al. designed a cost function that continuously models both the benefit and cost of adding contacts and is able to produce trajectories which add and break contacts. This formulates the problem as a purely unconstrained optimization, with all physical constraints being added to the cost function. The parameters of this cost function are both the joint torques and a set of continuous “contact” slack variables, one for each section of the robot that is allowed to make contact. For each section, the contact variable can be intuitively be thought of as trade off between the benefits and the challenges of making contact with the environment. Increasing the contact variable increases the cost as a function of the distance between the robot section and the environment. Increasing the contact variable decreases the cost as it allows contact forces to compensate when joint torques would be necessary. Again, of course in reality there is no partial contact. If a section of a robot is some distance away from the environment, no contact force is applied. However this formulation of force at a distance makes the benefit of adding a contact visible to the gradient of the cost function. To ensure the final trajectory is feasible, the cost of physically infeasible partial contacts is increased dramatically towards the end of the optimization.

## Chapter 3

# Localization using Contacts

Many robotic tasks require precisely localizing an object, for which tactile sensing is an appealing sensing modality. As motivation, consider touch localization of a partially manufactured part that requires additional machining operations. In order to handle objects with complex shape, prior information of the object shape is used, and most previous work assumes that the geometry (CAD) model will match the real object exactly.

However, during the manufacturing and assembly processes there are tolerances between different sections of the assembly. A *datum* is defined as a geometric constraint within the object that is used as the reference to define the location of one section of the part with respect to another section. The tolerance is the allowed deviation of the actual manufactured dimensions from the nominal designed dimensions. It is assumed a part can be divided into precisely manufactured sections, and the introduced method focuses on handling errors due to imprecise machining over large distances and non-critical components, as well as assembly tolerances.

The introduction of tolerance increases the degrees of freedom (DOFs) of the system, as prior to measurement the true dimensions of the full part are unknown. These internal DOFs can be modeled as transformations with uncertainty between sections of the object. For objects with internal tolerances, perfectly localizing a single datum will not necessarily reduce the uncertainty of the full system sufficiently to perform the desired task. On the other hand, it is usually only necessary to localize a subset of the sections of an object.

In this localization problem, the task is to estimate the pose of a goal feature given multiple measurements obtained through probing. These probing measurements are modeled as a Markov process, where each measurement corresponds to a single action/observation pair. This model eliminates the need to store all of the past measurements. A particle filter numerically stores and updates the belief [55].

Figure 3.1 shows a visualization of the initial (3.1a) and final (3.1b) beliefs of the poses of the sections of the object. The task is to drill a hole, shown as a green cylinder, at a specific location defined by offsets from other sections. Internal tolerances prevent simply treating the entire system as a rigid body.

This chapter first considers rigid-body particle filtering for high-precision localization (section 3.1), which overcomes the particle starvation problem and serves as the basis for this chapter [47]. The datum-based particle filter is then introduced to generalize this rigid-body approach for objects with coupled rigid sections (section 3.2). Two related but different approaches are

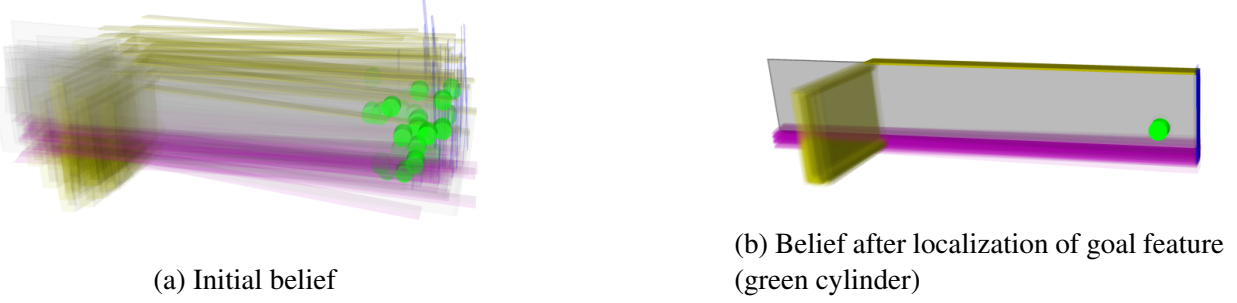


Figure 3.1: Visualization of the belief of the pose of all sections of the part

**(a):** The prior belief of the poses before localization. The uncertainty of the goal feature is too high to perform the task.

**(b):** The belief of the poses after performing measurements to localize the goal feature. The pose of the goal feature is now known well enough to perform the task. Although the bottom edge (purple) and perpendicular section still have noticeable error, precise localization of these features is not needed.

proposed for this problem. The first approach maintains a single particle filter system that stores the full joint distribution of the coupled datums, while the second approach simplifies the relationships by assuming independence between the distribution of the internal transformations and the pose of the sections, and models the system using separate coupled particle filters.

This chapter then generalizes the technique of choosing informative measurement actions to accommodate objects with coupled sections. To achieve this, many potential measurement actions are sampled and the action with the highest expected information gain is selected. Fully predicting the information gain over the continuous belief is computationally expensive, so similar to other approaches [25] this work involves a fast approximation for information gain that takes advantage of the discretized belief from the particle filter (section 3.3). This chapter concludes with demonstrations of the method on a simulated part (section 3.4).

### 3.1 Rigid-Body Object Localization

During my thesis I collaborated heavily with Shiyuan Chen on the task of autonomous localization. The rigid body particle filter developed in this section is mostly his work. The datum-based particle filter described later relies heavily on the framework and methods developed for rigid-body localization, and this section provides an overview of the relevant details [? ]. The task is to determine the pose of an object by choosing and performing touch measurements, given the geometry of the object and prior belief over the distribution of poses.

The geometry of the object to be localized is stored in a STL file using a triangular mesh, defined in the *part frame*. The pose of the object can then be defined as the transformation between this *part frame* and the *world frame* of the workspace. The object is assumed to be fixed in the workspace during measurement and localization, thus the configuration will not change during the localization process.

In order to estimate the true distribution of the pose, each particle in the particle filter rep-



resents a single potential pose  ${}^i x \in SE(3)$  of the object. For a rigid body, the pose includes both translational dimensions  $(x, y, z)$  and rotational Euler angles  $(\alpha, \beta, \gamma)$ . The state is a 6D vector  $(x, y, z, \alpha, \beta, \gamma)$  in the configuration space. The particle filter updates based on a set of measurements  $M_t = \{m_1, \dots, m_t\}$  made by the robot directly on the object.

### 3.1.1 Measurement Model

A measurement action,  $\mathcal{M}$  is defined by a start point  $\mathcal{A}_p$  for the probe and a linear trajectory vector  $\mathcal{A}_v$  both in  $\mathbb{R}^3$ . The measurement value  $m$  is the distance the probe travels in the direction of  $\mathcal{A}_v$  until contact is made. The point of contact can then be recovered by  $\mathcal{A}_p + m \frac{\mathcal{A}_v}{\|\mathcal{A}_v\|}$ . The entire information obtained from the measurement  $t$  is  $z_t = \{\mathcal{M}_t, m_t\}$ . Measurement error exists due to sensor error and robot uncertainty.

### 3.1.2 Problems with the standard particle filter

A common method of updating particles based on a measurement is importance sampling [54]. In importance sampling each particle is weighted by the probability of the measurement conditioned on the state that particle represents. This is usually followed by resampling, where particles are redrawn from the set of weighted particles with probability proportional to their weights. The effectiveness of importance sampling relies on the existence of multiple particles consistent with the measurement, such that inconsistent particles will have low weights and be unlikely to be resampled, but a sufficient number of particles will be resampled to model the true belief of the state.

Importance sampling tends to break down in situations with accurate measurements and low densities of particles. This is because when a measurement is consistent with the true prior belief yet no particles are consistent with the measurement, a situation called *particle starvation*, resampling will yield a set of particles that does not model the true posterior belief. A more accurate sensor measurement is consistent with a smaller volume of state space, thus a higher density of particles is required. For higher dimensional state spaces and more accurate sensors the number of particles required becomes prohibitively computationally expensive. This leads to the counter-intuitive result that particle filters tend to perform worse as measurement accuracy increases [33].

### 3.1.3 Rejection Sampling Method

An alternate approach is to use rejection sampling. Rejection sampling does not require a high density of particles to avoid particle starvation and failure of rejection sampling is far easier to notice and resolve. Most importantly, we can increase the limits of state dimensionality and measurement accuracy that can be handled efficiently.

Rejection sampling generates independent samples from a density  $f$  by sampling from a different distribution  $g$ . A constant  $M$  is determined such that  $f(x) \leq Mg(x) \forall x$ . A sample  $x^*$  drawn from  $g(x)$  is accepted with probability  $f(x^*)/Mg(x^*)$  and rejected otherwise. The process is repeated until the desired number of samples has been accepted. We wish to sample

particles from the posterior  $bel(x_{t+1})$  but cannot do so directly. Instead we sample from our continuous prior belief  $bel(x)$  and possibly reject based on the measurement.

*Broad Particles:* We first reconstruct the continuous prior belief by broadening each of the particles. We apply a *Gaussian kernel* to the particles, with the kernel covariance proportional to the covariance of the particle states. This reduces particle starvation, as even if no prior particle is consistent with the measurement, the continuous belief generated fills in the gaps between particles.

### 3.1.4 Fast Evaluation of Sampled States

States sampled from this continuous prior are then rejected if they are inconsistent with the measurement. While the formulation of rejection sampling allows us to model complicated measurement error, we implement a binary measurement model. We reject all sampled particles where the measured point is sufficiently far from all faces of the object. We define “sufficiently far” as more than 3 standard deviations of the sensor measurement noise. As a low uncertainty measurement will accept only a thin manifold in state space, the probability of sampling a particle consistent with the measurement may be low, and a lot of sampling may be required, therefore we desire the rejection process to be fast.

To reduce the computational cost per sampled state we use discretized space, known as a *distance field* [14], to precompute and cache the minimum unsigned distance  $D_f(p)$  from point  $p$  in voxelized space to the object surface  $\partial S \subseteq \mathbb{R}^3$ :

$$D_f(p) = \min_{q \in \mathbb{R}^3} (\|p - q\| + f(q)) \quad (3.1)$$

$$f(q) = \begin{cases} 0, & \text{if } q \in \partial S \\ \infty & \text{otherwise.} \end{cases} \quad (3.2)$$

As the object is fixed during the localization process, voxelization can be done for the entire piece based on the given CAD mesh model in the precomputation step.

*Voxelization:* Voxelization is the key part to transform the mesh model to axis-aligned discretized space, which can be stored and accessed easily as a standard array. The array form of the CAD model can greatly facilitate the computation of the distance field, as described below. Each voxel is assumed to be a cube in 3D space. A fast 3D Triangle-Box Overlap method [2] is used to label the voxels that overlap the mesh triangles of the object surface. The voxel map is then mapped to a binary-valued 3D array  $f(q)$ , where each value is either 0 or  $\infty$  depending on whether the corresponding voxel overlaps the object surface.

*Voxelized Distance Field:* The computation of distance field  $D_f(p)$  takes the input of the computed binary array  $f(q)$  (Eq. 3.2), and a linear-time algorithm for 3D distance field construction [14] is then used. The resulting distance field is also stored in an array for constant time access during the evaluation of sampled states.

*Fast Evaluation:* Different configurations result in different poses of the object in the workspace, which makes it difficult to compute the distance field directly in the world frame. Instead, the computation of the voxel map and distance field is relative to the object frame, where the object is assumed fixed during the entire localization. Each measurement  $M_t$  in the workspace is then

transformed into the part frame, where the transform  $T(x_{t+1})$  comes from the pose of the sampled state  $x_{t+1}$ . Therefore, by transforming back to the object frame, all measurements on this same object can share the same distance field, where the minimal unsigned distance  $dist_u(M_t, S)$  between each measurement  $M_t$  and the object  $S(x_{t+1})$  can be obtained directly:

$$dist_u(M_t, S(x_{t+1})) = D_f(T(x_{t+1})^{-1}M_t) \quad (3.3)$$

The signed distance  $dist(M_t, S(x_t))$  between the probe and the object can be obtained from the unsigned distance, as shown in Eq. 3.4, by checking whether the voxel is inside or outside of the object. For the manifold shape object, ray-casting is applied from the corresponding voxel in a certain direction: the voxel is inside of the object only if the number of intersections between the ray and mesh is odd

$$dist(M_t, S(x_t)) = \begin{cases} dist_u(M_t, S(x_t)) - r_p, & \text{if } M_t \notin S \\ -dist_u(M_t, S(x_t)) - r_p, & \text{otherwise.} \end{cases} \quad (3.4)$$

The unsigned distance  $dist_u(M_t, S)$  is always 0 in the ideal case, however, when evaluating a sampled state, only those that satisfy  $|dist(M_t, S)| > T_d$  will be rejected, where  $T_d$  is the tolerance selected according to the measurement uncertainty of the touch probe and the robot. If the distance is within the tolerance, ray-casting is then applied to check intersections from the start point  $\mathcal{A}_p$  along the path vector  $\mathcal{A}_v$  in order to determine whether the path is free of collision with other parts of the object.

When the measurement is very accurate, in order to sample enough particles from the prior belief, a large number of states will get rejected, which makes the ray-casting for all sampled states computationally expensive. Instead, early rejection is applied using a greater distance  $dist_u(M_t, S) + r_p$  before the computation of signed distance.

### 3.1.5 Improvements on the Particle Filter

*Adaptive Voxelization:* When the workspace is large enough, it will not be feasible to compute a distance transform for the entire workspace while maintaining a sufficiently small voxel size due to the huge memory requirements. Instead, the distance field for each measurement is generated prior to the update. As the belief of the object's pose is represented as a distribution, the range of the distance field  $DF$  for each measurement  $M_t$  is selected so that:

$$\xi < \int_{T(x_t)^{-1}M_t \in DF} bel(x_t) dx \quad (3.5)$$

The voxel size is adjusted accordingly while keeping the number of voxels fixed. When there is large uncertainty the voxels will become larger to improve the sample speed. When the uncertainty is low the precision is increased.

*Adaptive Bandwidth:* The selection of the Gaussian kernel bandwidth  $h$  is important during the sampling process. A larger bandwidth is needed when the variance of  $bel(x_t)$  is large for a fixed number of particles; otherwise a smaller bandwidth is preferred to avoid over-smoothing. Silvermans rule of thumb estimator [50] is used to dynamically adjust the bandwidth:  $h(t) =$

$(\frac{4}{(d+2)n})^{1/(d+4)}\hat{\sigma}_t$ , where  $\hat{\sigma}_t$  is the standard deviation of the sampled states and number of dimensions  $d = 6$ .

*Adaptive Sample Size:* The number of particles is determined by *Kullback-Leibler divergence* (KL-divergence) which measures the difference between the sample-based maximum likelihood estimate  $\hat{p}$  and the true distribution  $p$ :

$$D_{KL}(\hat{p}, p) = \sum_x \hat{p}(x) \log \frac{\hat{p}(x)}{p(x)}. \quad (3.6)$$

Suppose that the true distribution is given by a discrete multinomial distribution with  $k$  different bins, it can be shown that with probability  $1 - \delta$ , the KL-divergence is less than or equal to  $\epsilon$  when the sample size  $n$  is given by [16]:

$$n = \frac{1}{2\epsilon} \chi_{k-1, 1-\delta}^2 \quad (3.7)$$

$$\approx \frac{k-1}{2\epsilon} \left( 1 - \frac{2}{9(k-1)} + \sqrt{\frac{2}{9(k-1)} z_{1-\delta}} \right)^3 \quad (3.8)$$

where  $\chi_{k-1, 1-\delta}^2$  is the upper  $1 - \delta$  quantile of  $\chi^2$ -distribution with  $k - 1$  degrees of freedom, and  $z_{1-\delta}$  is the upper  $1 - \delta$  quantile of the normal distribution.

Therefore, the number of particles  $n$  can be adjusted according to the number  $k$  of bins with support, as shown in Eq. 3.3. The bins are implemented as a multidimensional grid with fixed size in the configuration space. During sampling, the number  $k$  of occupied bins is counted whenever the newly sampled state falls into an empty bin. The current sample size is counted and each increment of  $k$  will result in an update of desired sample size  $n$ . When the actual number of particles reaches the desired value or a predefined maximal limit, whichever is smaller, the sampling process finishes.

Figure 3.2 shows the comparison between the particle filters with different improvements that are mentioned above. The simulation uses a mesh model with 39444 triangles. The set of measurements used for each method is fixed and predefined. The baseline method represents the particle filter that only implements adaptive voxelization while using fixed kernel bandwidth  $h = 0.0035$  and fixed number of particles ( $n = 500$ ). Adaptive Bandwidth improves the convergence accuracy significantly compared to the baseline method, while Adaptive Sample Size generally leads to poorer convergence with faster speed. The combined method applies all of the improvements above. It can achieve both faster and more accurate convergence compared to the other methods.

## 3.2 Datum Based Particle Filter

The particle filter localization method presented above assumes that the object matches its CAD model exactly. However, this is usually not the case, due to tolerances in the manufacturing and assembly processes. To handle manufacturing deviations, features on parts are not located with respect to the part frame, but with respect to datums, (edges, surfaces, and holes) on the actual “as

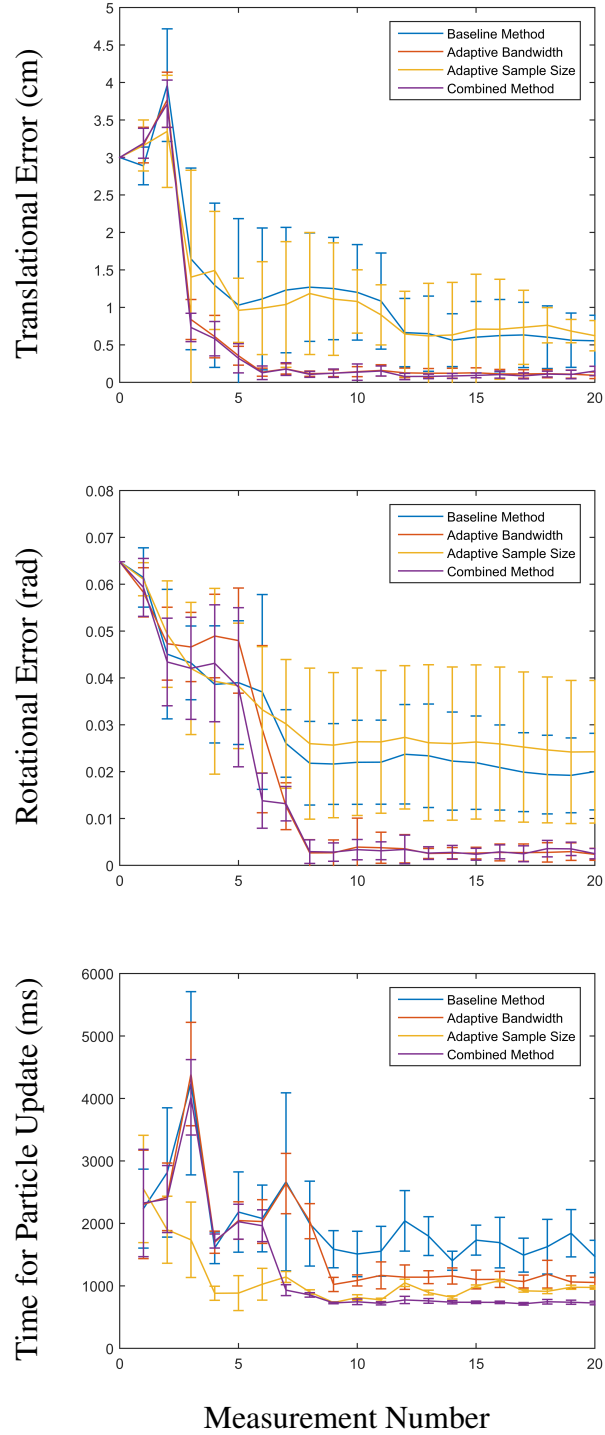


Figure 3.2: Comparison of the time and accuracy of the particle filter update step when using Adaptive Bandwidth and Adaptive Sample Size

built” part. Incorporating the notion of datums, and their relationships, adds complexity because the relationship between the datum and the CAD model contains uncertainty. Thus, measuring one section of the assembly provides only uncertain updates to other sections, dependent on the specified tolerances. The following formulation treats these as semi-rigid parts, where each complete part is composed of rigid *sections*, coupled through a probabilistic distribution of transformations connecting the section frames. The datum based particle filter is introduced to allow updates on the belief of all sections of a part using the prior distribution of coupling transformations, and a measurement on a single section.

### 3.2.1 Datum Representation

The formulation introduced here treats the overall part as composed of separate, known sections. The problem is to precisely localize some feature which cannot be measured *directly* (e.g. a location to drill a hole) with respect to given datums (other sections). To localize the goal feature, certain datums must be localized in certain dimensions. For instance, Figure 3.3a shows a hole feature referenced to the top and right edges datums of the part. The true part configuration is shown in gray in 3.3b and 3.3c. In this example, it is necessary to localize the top edge’s vertical position and orientation, but not its in-page or horizontal position. Similarly, the right edge only must be localized horizontally.

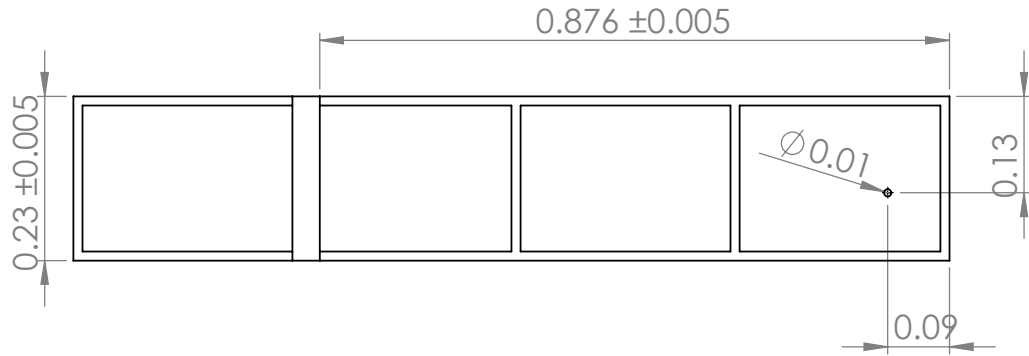
Two approaches are now introduced; the first explicitly represents the joint probability distribution between the sections, and the second stores separate, independent probability distributions for each section. Figures 3.1 and 3.3 visualize the independent-state particle filter. The full-state particle filter produces similar images.

The rest of this chapter uses the following notation.  $X_t^k$  is the set of  $N$  particles representing the belief of section  $k$  at time step  $t$ . Frequently  $t$  is omitted when implicit. Each particle is a configuration for a single section  $X^k = \{x^k\}_{j=1}^N$ . The omission of  $k$  indicates all necessary particles to represent the belief of the part:  $X = \{X^k\}$  and  $x = \{x^k\}$ .

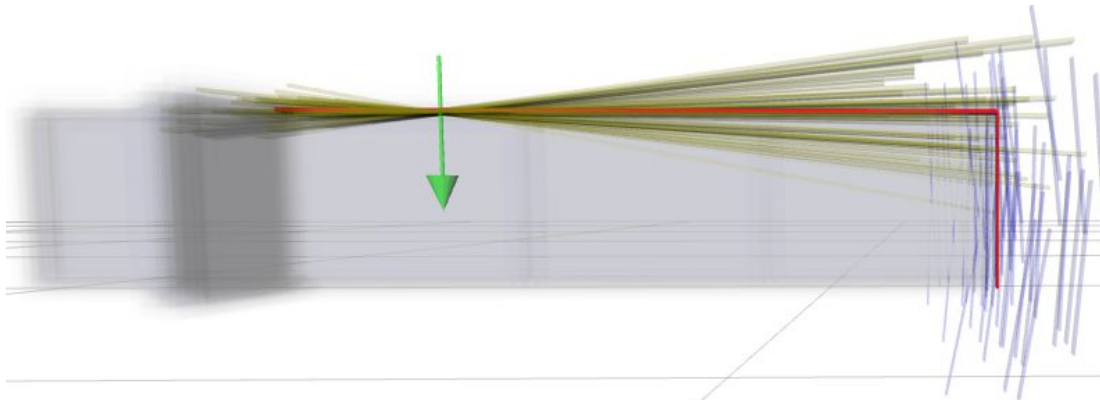
### 3.2.2 Geometric Relationships

Geometric relationships are defined between two or more part sections. The existence of the tolerance introduces uncertainty to these relationships, which are modeled as a distribution of transformations in the configuration space between the pose of each section. More generally, the conditional probability  $p(x_t^k | \mathbf{x}_t)$  represents the belief of the pose of section  $k$  given the poses of the other sections.

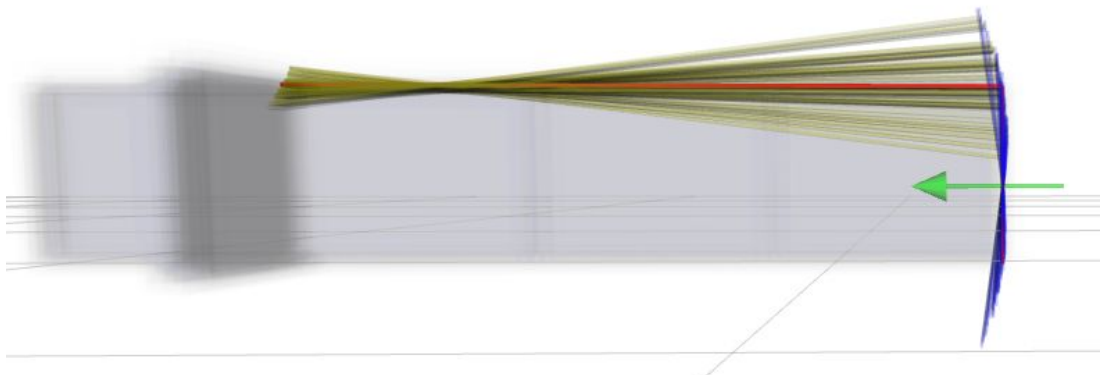
A measurement is made on a single section at each step by a touch probe. Let the measurement on the section  $k$  at step  $t$  be  $\mathcal{M}_t^k$ , then the posterior of section  $k$  is  $p(x_t^k | \mathbf{x}_t, M_t^k)$ . In the following algorithms, the section that a measurement contacts is known. This assumption is reasonable if the uncertainty of the prior belief is small compared to the physical size of each section, and measurement are not chosen on the boundary between sections. If this assumption does not hold, localization can be performed for the whole object using the methods of section 3.1 to get better estimate, before considering the object as a combination of coupled sections.



(a) CAD



(b) Update from a measurement on the top datum



(c) Update from another measurement on the right datum

Figure 3.3: Visualization of independent-state particle filter

**(a):** Side view of the CAD drawing with dimensions (simplified for clarity). This drawing indicates the nominal distance between the top and bottom edge is 0.23m, with a symmetric tolerance of 5mm. This drawing also defines a hole with a 1cm diameter, and the top edge as its vertical datum and side edge as its horizontal datum.

**(b):** The beliefs of the top (green) and right (blue) edges of the part are shown. The true part location is shown in gray. The measurement (arrow) on the top section partially localized the top edge. For clarity in the image, the belief of the other sections are not shown, and only 50 of the 500 particles are shown.

**(c):** A following measurement (arrow) on the right edge further localizes the part. This measurement provides information on the right edge directly, and the top edge indirectly.

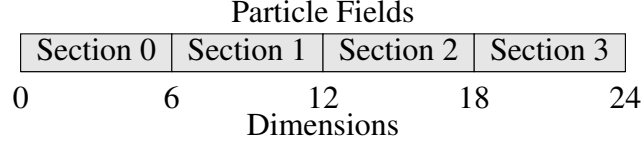


Figure 3.4: A 24-dimensional particle for object with 4 sections

### 3.2.3 Full-State Representation

During my thesis I collaborated heavily with Shiyuan Chen for the task of autonomous localization. In the work on datum-based localization we had alternative approaches. Shiyuan mainly focused on the full-state approach described in this section, while I focused on the independent-state approach described next. Thus much of the credit for the work in this section belongs to Shiyuan.

The full-state method presented maintains the full joint probability distribution between sections using a single set of full-state particles:  $X_t = \{^j x_t\}$ . Thus, each particle represents a combination of the poses of all of the sections (see Fig. 3.4), which is drawn from the prior joint distribution  $bel(x_0)$ .

Instead of applying a Gaussian kernel in 6D configuration space as done in section 3.1, the continuous prior is estimated by Gaussian Mixtures in the full  $6n$ -dimensional configuration space, with the kernel covariance proportional to the covariance of the sampled states. This is achieved by applying kernel density estimation techniques. One popular method for the bandwidth selection is the Silverman’s rule-of-thumb estimator[50]. Other techniques are discussed in more detail in [49].

Although it seems at first that the high dimensional state space will require a prohibitively huge number of particles to approximate the true distribution, in practice, this has been found to not be the case. Largely, this is due to the small internal tolerances compared to the uncertainty of the pose of the object as a whole, so particles tend to cluster in a small subset of the full state space. Note that if there were no uncertainty in the transformation between sections, then the system reduces to a single 6 dimensional state space. In addition, the Gaussian kernel applied to the particles is capable of creating broad beliefs in specific dimensions[47], thus this particle filter is able to model the mixture of precisely localized, and poorly localized dimensions. Finally, the sample acceptance probability,  $p(z_t|x_t)$ , depends only on the 6 dimensional subspace corresponding to the pose of the section being touched, and although only a thin manifold is accepted with high probability, the particle starvation challenge is no worse than previously addressed in 3.1.

At any time step  $t$  the belief of the state  $bel(\mathbf{x}_t) = p(\mathbf{x}_t|Z_t, x_0)$ , and  $x_t^k$  is the portion of  $x_t$  representing the pose of section  $k$ . During rejection sampling, new full-state samples  $x_{t+1}$  are drawn from the estimated  $\hat{bel}(\mathbf{x}_t)$  directly. The probability of accepting a sample is based on the measurement  $M_t^k$  on section  $k$ , and is computed by extracting the 6D pose  $x_{t+1}^k$  from each full-state sample  $x_{t+1}$ . Equation ?? and ?? are then used for the rejection sampling, except that the transform from the extracted  $x_{t+1}^k$  is used to transform the measurement. If  $dist(M_t^k, S_k(x_{t+1}^k))$  is sufficiently large, this full-state sample  $\mathbf{x}_{t+1}$  is rejected, otherwise accepted. Note that the full-state sample  $\mathbf{x}_{t+1}$  is accepted based on  $p(M_t^k|x_{t+1}^k)$ , and the CAD model used for distance field



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**Algorithm 2** Full-State Particle Filter

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**Input:** number of particles  $N$  and number of sections  $n$

**Input:** particles  $\mathbf{X}_t$

**Input:** observation  $m_t^i$

**Input:** meshes  $S = \{S_k\}_{k=1}^n$

**Output:** particles  $\mathbf{X}_{t+1} = \{^j\mathbf{x}_{t+1}\}_{j=1}^N$

```

1: build distance field  $D_f(p)$ 
2:  $j \leftarrow 1$ 
3: while  $j \leq N$  do
4:    $\mathbf{x} \sim p(\mathbf{x}|\mathbf{X}_t)$   $\triangleright x = \{x^k\}_{k=1}^n$ 
5:    $dist \leftarrow D_f(T(x^i)^{-1}m_t^i)$ 
6:   if  $dist \leq \xi$  then
7:      $^jx_{t+1} \leftarrow x$ 
8:      $j \leftarrow j + 1$ 
9:   end if
10: end while
```

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$k$  is the mesh for that particular section,  $S_k$  (shown in Algorithm 2). For simplicity, the pseudo-code does not include the adaptively adjusted sample size based on KL-divergence[47][16].

### 3.2.4 Independent-State Representation

The first approach described above tracks the updates of both the pose of each datum and the their transformations by maintaining a full-state representation of the distribution. An alternative is to maintain the probability distribution for each section separately. Instead of using a full high-dimensional particle filter for the full object, individual 6-dimensional particle filters are used for each individual section under the approximation that the belief over transformations between sections are fixed and independent. While this loses information compared with the full joint belief, in practice this loss is acceptable.

As in the rigid body particle filter described in 3.1, a sample in the particle filter for section  $k$  represents a  $SE(3)$  pose of the geometry of section  $k$ . The transformation information between different sections are defined explicitly. The prior belief on the transformation from section  $k$  to section  $j$  is  $bel(T_k^j)$ , which is a distribution over  $SE(3)$  transformations. A measurement on a single section updates all individual particle filters related through a defined transformation distribution. Given a measurement  $M^k$  on the section  $k$ , the updated belief becomes  $p(x_{t+1}^j | T_k^j, M^k)$  for a related section  $j$ .

The update to the belief  $bel(x_t^k)$  given a measurement performed on section  $k$  itself is identical to the particle filter update for the rigid object. Since  $T_k^k$  is the identity with probability 1, the updated belief can be written as:

$$bel(x^k) = p(x^k | T_k^k, M^k) = p(x^k | M^k) \quad (3.9)$$

For a section  $j$  that references section  $k$  ( $k \neq j$ ), each new sample  $x_{t+1}^j$  is drawn from the prior of its corresponding particle filter  $j$ .  $x_{t+1}^j$  is then transformed from the frame of section  $j$  to the

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**Algorithm 3** Independent-State Particle Filter

---

**Input:** number of particles  $N$  and number of sections  $n$

**Input:** sets of particles  $\mathbf{X}_t = \{X_t^k\}_{k=1}^n$

**Input:** observation  $m_t^i$

**Input:** meshes  $S = \{S_k\}_{k=1}^n$ ,

**Input:** transformations  $\{p(T_i^k)\}_{k=1}^n$

**Output:** particles  $\mathbf{X}_{t+1} = \{X_{t+1}^k\}_{k=1}^n = \{x_{t+1}^k\}$

1: build distance field  $D_f(p)$  for section  $S_i$

2: **for**  $k = 1, \dots, n$  **do**

3:      $j \leftarrow 1$

4:     **while**  $j \leq N$  **do**

5:          $x \sim p(x^k | X_t^k)$

6:          $T_i^k \sim p(T_i^k)$

7:          $\tilde{x} \leftarrow T_i^k \times x$

8:          $dist \leftarrow D_f(T(\tilde{x})^{-1} M_t^i)$

9:         **if**  $dist \leq \xi$  **then**

10:              $^j x_t^k \leftarrow x$

11:              $j \leftarrow j + 1$

12:         **end if**

13:     **end while**

14: **end for**

---

frame of section  $k$ :

$$\tilde{x}^k = {}^i T_j^k \times x^j \quad (3.10)$$

where  ${}^i T_j^k \sim \text{bel}(T_j^k)$  is a sampled transformation from the distribution  $\text{bel}(T_j^k)$ . As the measurement was performed on section  $k$ , the geometry of section  $k$  is used rather than  $j$  when computing the consistency with the measurement. The sampled particle is accepted with probability  $p(M_t | \tilde{x}^k)$ . The above process is repeated until the desired number of particles have been accepted (shown in Algorithm 3).

### 3.3 Predicting Effective Measurement Actions

Performing measurements is expensive, so it is important to choose the measurement action that provides the most *information gain* on the goal feature. Each action is treated as a probabilistic decision over a set of particles approximating the belief of the goal feature. This is an approximation for the information gain for the underlying, continuous belief distribution. The best measurement may not be on the goal feature, and it may be impossible to even measure the goal feature directly. This formulation predicts the information gain on the goal feature for both a measurement directly on the goal feature, or indirectly for a measurement on datums or other sections of the part.

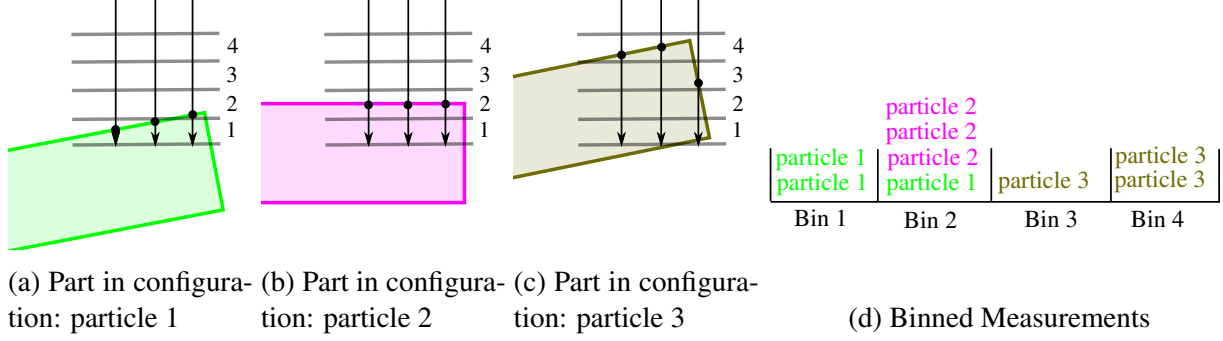


Figure 3.5: Binning of potential measurement on particles for use in calculating information gain. The three arrows represent the nominal measurement action  $\mathcal{M}$  with simulated deviation  $\delta_j$ . The horizontal lines divide the measurement values into the numbered bins.

### 3.3.1 Information Gain

Given  $X^G$ , a set of particles representing the belief of the goal feature, the *information gain* from a measurement action  $\mathcal{M}$  is defined as the expected reduction of entropy.

$$IG(X^G|\mathcal{M}) = H(X^G) - H(X^G|\mathcal{M}) \quad (3.11)$$

$H(X^G)$  is the entropy of the particles and  $H(X^G|\mathcal{M})$  is the entropy of the particles conditioned on the measurement action.

The entropy of a discrete distribution of states depends only on the probabilities of each state occurring.

$$H(X^G) = - \sum_i w_i \log w_i \quad (3.12)$$

where  $w_i$  is the weight of particle  $i$ .

To calculate the conditional entropy,  $H(X^G|\mathcal{M})$ , the measurement action  $\mathcal{M}$  is simulated on the part distribution. Performing a measurement action yields a continuous distribution of measurement value.  $\mathcal{W}$  samples are drawn from this distribution for each particle:

$$m_{i,j} = \text{Simulate}(\{\mathcal{M} + \delta_j\}^i, X^G) + \eta_j \quad (3.13)$$

$$j \in \{1, 2, \dots, \mathcal{W}\} \quad (3.14)$$

$$i \in \{1, 2, \dots, N\} \quad (3.15)$$

where  $\delta_j$  is the deviation from the nominal measurement action, *Simulate* computes the value for a measurement action applied to the part in a specific configuration, and with  $\eta_j$  as measurement noise.

### Measurement Simulation

To predict the measurement value obtained from a measurement action:  $p(m_j)$ , the measurement uncertainty is again approximated by a discrete sampling of the continuous distribution. For a

single measurement action, and for each particle a sampling of measurement values is drawn from the distribution of measurement values that would be obtained if that particle was the true state.

The sensor measurement will indicate a distance  $z$  traveled along the measurement action  $\mathcal{A}$  until reaching the part. We start by examining the distance from the start point along the vector until the first intersection with the part. Though a crude approximation of the true measurement value, the benefit of this model is that given a measurement action and part pose, the measurement value can be calculated as the intersection of a ray and a triangular mesh. Due to their heavy use in computer graphics, ray-mesh intersection algorithms have been heavily optimized and can be computed in parallel.

*Measurement Width:* While a ray is infinitely thin, the touch probe’s spherical tip has a non-zero diameter, and thus will cast a cylinder rather than a ray. The true value returned by our sensor is the smallest distance until any contact with the part. Ray casting approximates the measurement cylinder by discrete uniformly spaced rays on the cylinder exterior, with the measurement value as the lowest ray-mesh intersection distance from this set.

*Measurement Error:* Error is caused both by inaccurate start positions and orientations due to robot positioning error, as well as inaccuracies in the sensor. In the most extreme cases error may cause a measurement to move from barely hitting an edge to completely missing the part. Thus it is clear neither adding a constant error term, nor a dependent Gaussian error will accurately model the error.

Instead discrete general method models this error. For each measurement action we make many simulated measurements where we perturb the initial conditions according to an error model for the robot and perturb the measured value according to a model of the sensor. Because our ray-mesh intersection method is cheap, the additional cost these extra simulations add is acceptable.

## Bins

$H(X^G|\mathcal{M})$  is calculated by dividing the continuous values  $m_{i,j}$  into discrete bins,  $b_k$ . The conditional entropy of this measurement action is then:

$$H(X^G|\mathcal{M}) = \sum_k p(b_k) H(X^G|b_k) \quad (3.16)$$

where  $p(b_k)$  is the prior probability that this measurement will fall into bin  $b_k$  and  $H(X|b_k)$  is the entropy of the particles within bin  $b_k$ . The likelihood of a bin is computed by summing the weights of the measurements in that bin. Defining the weight of the bin,  $W_k$  as:

$$W_k = \sum_{i,j} \mathbb{1}(m_{i,j} \in b_k) \cdot w_i \quad (3.17)$$

then:

$$p(b_k) = \frac{W_k}{\sum_{i,j} w_i} \quad (3.18)$$

$$= \frac{W_k}{\mathcal{W}} \quad (3.19)$$

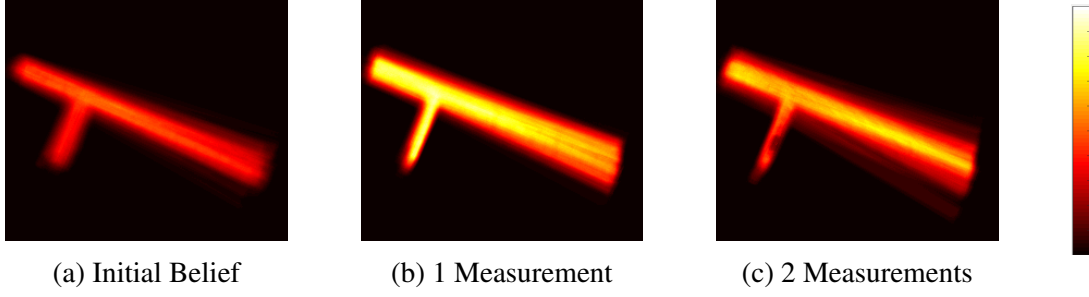


Figure 3.6: Heat maps showing information gain

Given a bin, the probability of a specific particle is:

$$p({}^iX|b_k) = \frac{\sum_j \mathbb{1}(m_{i,j} \in b_k) \cdot w_i}{W_k} \quad (3.20)$$

Then the entropy of the bin can be calculated:

$$H(X|b_k) = - \sum_i p({}^iX|b_k) \log(p({}^iX|b_k)) \quad (3.21)$$

Figure 3.5 visualizes this binning process. Three measurement actions (arrows)  $\mathcal{M} + \delta_j$  are simulated on three configurations of the part ( ${}^ix = \text{particle } i$ ). The intersection between the simulated measurement action and the part determines the measurement value  $m_{i,j}$ . These measurement values are sorted into bins.

Figure 3.6 visualizes the densely computed information gain for a grid of parallel rays as the “temperature” in a heat map. Initially **(a)** probing into the page is likely to miss the part, so information gain is low for all these measurements and we instead probe sideways. After this first measurement **(b)** the uncertainty is reduced, and probing into the page is more likely to hit the part and provide information. Once this second measurement is performed **(c)**, another measurement in the same place will not provide more information.

Adaptations are made to this process to accommodate the two representations as described next:

### 3.3.2 Information for Full-State Representation

The full-state representation does not maintain a set of particles over just the goal feature, but rather each particle,  $X$ , represents the full  $6 \times n$  state. Using these full-state particles for  $X^G$  above provides a good metric for localizing every section of the part, but a poor metric for localizing the goal feature. This metric would often suggest to perform measurements on non-datum features that are irrelevant to the location of the goal feature. The error in this metric is due to

$$H(X^G|\mathcal{M}) \neq H(X|\mathcal{M}) \quad (3.22)$$

One approach is to incorporate domain knowledge when designing the full-state representation, by including only the relevant datums necessary for a particular task. Then, any information

on this limited full state will be reduction of uncertainty of at least one datum required for the task.

An approach that does not require pruning irrelevant sections from the full state involves combining particles that produce similar configuration for the goal feature. To calculate  $H(X^G|\mathcal{M})$  using particles  $X$ , measurement actions are used to sort the particles into bins as in described in section 3.3.1. Then, particles that produce sufficiently similar goal feature configurations are treated as identical particles when computing entropy, by combining these into groups  $L$ .

$$p({}^L X|b_k) = \frac{\sum_{i,j} \mathbb{1}(m_{i,j} \in b_k) \mathbb{1}(i \in L) \cdot w_i}{W_k} \quad (3.23)$$

$$H(X|b_k) = - \sum_L p({}^L X|b_k) \log(p({}^L X|b_k)) \quad (3.24)$$

These group can be constructed by discretizing the space of possible configurations for the goal feature. An issue which this thesis does not address is the balance of a requiring a reasonably small number of particles while maintaining sufficient density for this discretization of goal feature configuration to produce meaningful group sizes.

### 3.3.3 Information for Independent-State Representation

The independent-state representation does maintain the set of goal feature particles,  $X^G$ , however additional steps are needed when computing Eq. 3.13. When simulating  $\mathcal{M}$ , the robot will measure some section,  $\mathcal{S}$ , of the part. Simulating the measurement using  $X^S$  is straightforward, but leads to computing  $IG(X^S|\mathcal{M})$ , which is not the desired metric  $IG(X^G|\mathcal{M})$ .

The independent-state representation makes the approximation that the distribution of transformations between sections are fixed and independent, and this approximation is used to achieve the desired metric. A temporary set of particles  $\tilde{X}^S$  is created by sampling transforms  $T_G^S$  and applying these transforms to  $X^G$ .  $\tilde{X}^S$  is used in Eq. 3.13 to generate sample measurement values  $\tilde{m}_{i,j}$ , which are used in the calculation for bin entropy  $H(X|b_k)$ . While the independence approximation could be used again in the calculation of bin probabilities  $p(b_k)$ , this approximation is not needed. Measurements  $m_{i,j}$  calculated using  $X^S$  are used to calculate  $p(b_k)$ .

## 3.4 Experiments

The following experiments validate both the full-state representation approach and independent-state representation approach in simulation. The software was implemented in ROS using C++. These experiment simulate a specific task which is common in manufacturing: localizing a target location, defined by datums, to drill a hole on an object. The datum-based particle filter was simulated on a structural component used in aircraft. This is the same object as used in the original rigid-body particle filter paper[47], with adaptations made to allow internal degrees of freedom. The object is composed of 5 precisely manufactured sections, and tolerance between sections was determined by engineering drawings (for precisely defined features), and educated guesses (for loosely defined relationships).

### 3.4.1 Measurement Selection

The target hole is localized by measuring its referenced datums. Specifically, the pose of the hole feature (green cylinder) in figure 3.1 is defined by an offset distance from the top and right sections shown in figure 3.3a, and the axis of the hole is orthogonal to the front plane. The hole does not exist yet, and thus cannot be measured directly. In order to localize the hole location precisely without direct measurement on the hole, it is assumed that the transformations between the target location and its defining datum sections have very small uncertainty along some dimensions, e.g. the vertical distance between the center of the hole and the top plane.

At each step, the measurement is simulated using ray-mesh intersection algorithms[47]. Potential measurement actions are sampled in the workspace. The information gain for each measurement is calculated based on the current estimated pose of each section. For each measurement performed, candidate actions are evaluated until 500 actions with non-zero information gain have been modeled. Only the measurement action with the largest expected information gain is “performed” in simulation and used to update the belief.

### 3.4.2 Simulation Results

Both proposed approaches are compared in similar settings. A total of 20 measurements are simulated during each trial. For the full-state representation, a maximum of 800 particles are used. For the independent-state representation, a maximum of 500 particles are used for each section. The simulation uses 5 mesh models for different sections. After each update, the average estimated pose of the hole is computed by averaging the hole poses produced from all particles.

Figure 3.7 shows the comparison between the full-state particle filter and independent-state particle filter. Translational error and rotational error are defined between the estimated pose of the hole and its true state. From the simulation, the errors of the estimated pose decreases rapidly for both approaches after each new measurement is applied on the system.

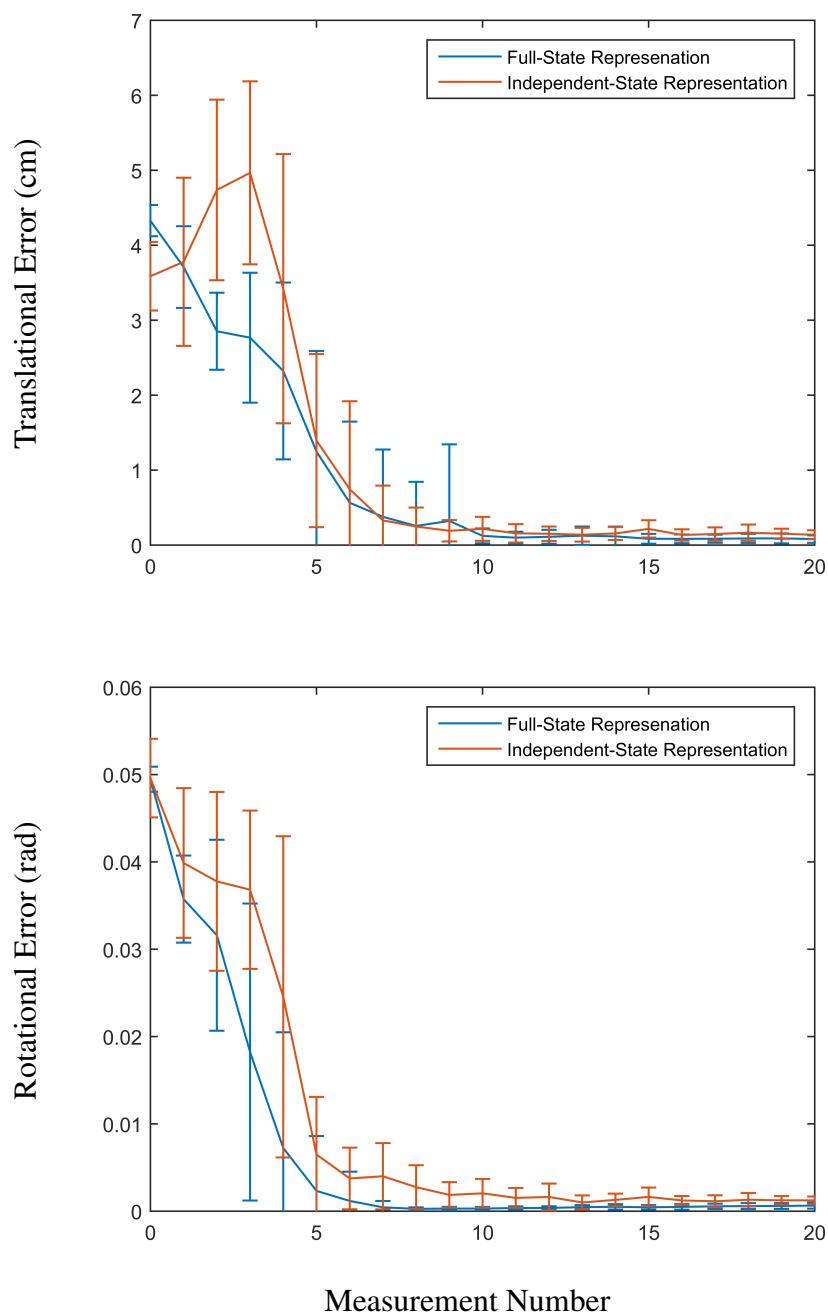


Figure 3.7: Comparison of the accuracy of the update step when using full-state particle filter and independent-state particle filter



## Chapter 4

# Planning with Contacts for Support

To reach into confined spaces robot arms must be thin, long, and maneuverable. A thin robot may have small actuators with limited torque such that the joints cannot support the weight of the outstretch cantilevered arm. However confined spaces are filled with places to rest for support, and contact forces can compensate for low joint torque. Robot motion planners that take advantage of support enable new capabilities for long thin arms.

This chapter considers motion planning for a robot arm that may experience contact forces. The motion planner's goal is to output a trajectory of joint torques that will start with the robot arm in an initial configuration in joint space, and end with the end effector in a specified location. Each joint can only apply a limited torque, and the trajectory must respect these limits. The arm experiences forces due to gravity, inertial, friction, and also interaction with the environment. This dynamics model is described in detail in section 4.1. Contact forces can be helpful by balancing out gravity, or detrimental by blocking a desired motion. Contact forces prove difficult due to their large but local effect.

Section 4.2 describes a solution to the motion planning task that uses trajectory optimization and is strongly based on Contact Invariant Optimization [37]. The problem formulation includes a function that assigns a cost to each trajectory, and uses the gradient of this cost function to incrementally improve the trajectory. Typically a trajectory is penalized for large joint torques and for not reaching the goal, however that formulation provides insufficient gradient information when contacts are involved. The more accurate dynamics model is augmented, making it less accurate but better conditioned for optimization.

Section 4.3 describes a sampled-based planner capable of using contact forces.

### 4.1 Robot Dynamics

When not in contact with the environment the robot model follows the manipulator arm equation [38]:

$$M(\theta)\ddot{\theta} + C(\theta, \dot{\theta})\dot{\theta} + N(\theta, \dot{\theta}) = \tau \quad (4.1)$$

$M$  and  $C$  are determined through the robot's known mass characteristics.  $N$  includes both gravity and frictional terms. In practice  $M$ ,  $C$ , and  $N$  do not need precise estimation, because closed loop controllers on the robot can compensate for errors.

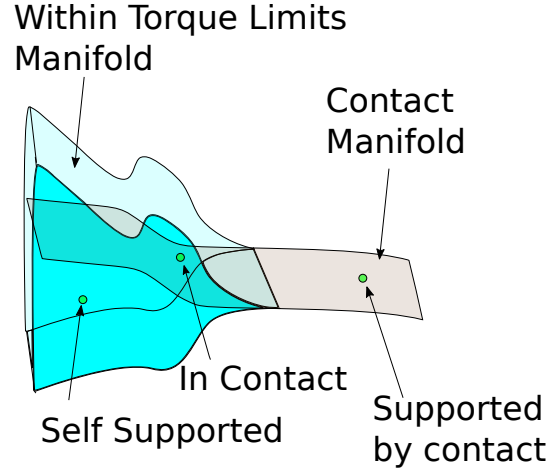


Figure 4.1: Illustration of valid configuration space for an arm potentially supported by contacts

The robot arm has defined sections which may be in contact with the environment. For simplicity in calculations, these contact sections are approximated by spheres. The environment is modeled as a triangular mesh, and contact occurs when a contact section intersection the mesh. The contact force is determined by a spring model, and so the magnitude is proportional to the penetration distance with direction pushing the section out of the environment. The physical robot and environment are both constructed of metal, so while a large spring constant provides a more accurate model this also causes numerical instabilities. Each trajectory is simulated as a series of discrete time steps, and a large spring constant will result in giant forces for even the slight penetration this discretization introduces.

## 4.2 Trajectory Optimization

Trajectories for a robotic arm are created through trajectory optimization. The key approach used here is softening of contacts through the introduction of auxiliary variables which drastically smooth the cost function used at the expense of increasing the dimensionality of the state space. The formulation used in the paper follows from Mordatch's work [37].

A trajectory  $s$  is a series of robot states  $s_t$  at increasing points in time. Each state  $s_t$  con-

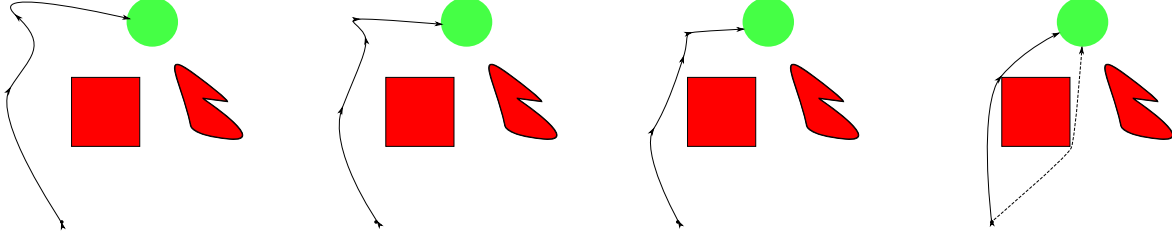


Figure 4.2: Optimizing the shortest path trajectory from an initial path converges to a local best, but possibly misses the globally best solution.

tains the joint configuration  $\theta_t$  as well as an auxiliary continuous variable  $c_t$  for each section of the robotic arm allowed to make contact with the environment. The variables  $c_t$  regulate the magnitude of the normal and frictional contact forces and will be describe in detail later in this document. At first these  $c$  variables seem unnecessary as the forward kinematics specified by the joint angles  $\theta$  determine the locations of the robot, and therefore determine the contacts between the robot and the environment. However slight changes in these joint configurations result in slight motion of the robot which may result in huge changes in contact forces. Additionally without artificial terms in the cost function to model contact, the cost function is blind to potential contacts that may be close and useful.

### 4.2.1 Auxiliary Variables

### 4.2.2 Cost Function

The optimal solution  $s^*$  is computed by minimizing the cost function of the form

$$L(s) = L_{ContactViolation} + L_u \\ + L_{ObjectPenetration} + L_{Goal}$$

$L_{ObjectPenetration}$  and  $L_{Goal}$  are straightforward, while the interplay between  $L_{ContactViolation}$  and  $L_u$  provide the interesting structure allowing the optimization to find paths which use supporting contacts.

#### Cost $L_{ContactViolation}$

Each section of the robot able to make contact has an associated variable  $c_t > 0$  at each time step.  $c$  intuitively represents the strength of the contact forces. Since if the robot is not physically in contact with the world there will not be a contact force the cost  $L_{ContactViolation}$  is introduced

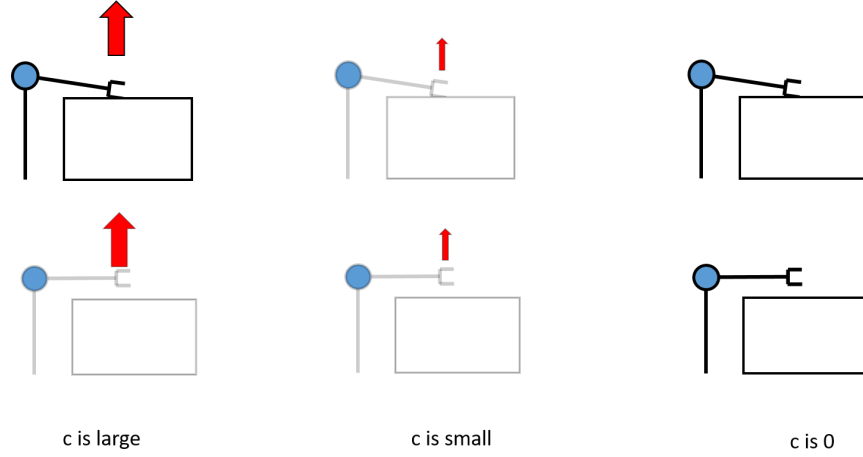


Figure 4.3: Arm configuration and simulated (not realistic) contact forces for a variety of arm configurations and continuous contact variables

to penalize non-zero values of  $c$  when the robot is not in contact.

$$L_{ContactViolation} = \sum_t \sum_i c_{t,i} d_{t,i}$$

Non-zero values for  $c$  are intentionally allowed when the robot is not in contact with the environment even though this is not physically realistic as this makes the cost function smooth. However when optimizing  $c_{t,i}$  will tend towards 0 unless the robot is in contact.

Figure 4.3 shows a robot arm in a variety of configurations and with several values of contact variables. The transparent configurations are physically infeasible and suffer a  $L_{ContactViolation}$  cost. To ensure the final optimized trajectory is feasible, the cost is weighted highly towards the end of optimization, thus the optimization will not converge on any of the transparent configurations.

### Cost $L_u$

The cost  $L_u$  penalizes the input torque necessary to follow the trajectory specified. With no contacts these inputs could be calculated directly from the arm inverse dynamics. As discussed the physical contact forces are extremely sensitive to robot configuration, so to soften the forces we instead compute the contact forces that minimize the joint torque. When the trajectory is executed on the robot the joint controller will take responsibility for finding the slight adjustments in configuration to reach the desired contact forces. The path planner does not have to worry about the minute adjustments for a model that will not match reality to that detail anyway. Instead this path planner just needs to estimate the best contact forces possibly, according to the the following quadratic programming problem:

$$f, u = \operatorname{argmin}_{\tilde{f}, \tilde{u}} ||J^T \tilde{f} + \tilde{u} - \tau_{Free}|| + \tilde{f}^T W \tilde{f} + \tilde{u}^T R \tilde{u}$$

The input control regularization  $R$  is chosen based on the desired penalization of joint inputs. The contact force regularization  $W$  is dependent on the values of  $c$ , with

$$W_{j,j} = \frac{1}{c_{i,t}^2 + 1}$$

If  $c$  is large then the robot is in contact, the force regularization is small, so the contact force can be large. If  $c$  is small the robot is not near any contact location, the force regularization is large, thus the contact force is heavily penalized and will be small.

Figure 4.3 shows a robot arm in a variety of configurations and with several values of contact variables. The red arrows indicate the simulated contact force. If the contact variable is large, a large force can be applied to reduce joint torques even when there is no physical contact. This serves to reduce the cost due to joint torques. The competing cost  $L_{ContactViolation}$  prevents this contact variable from growing too large for infeasible contacts.

### Other Cost Terms

$L_{Obstacle}$  penalizes penetration of the robot into the environment which is calculated using the robot forward kinematics and then collision detection.

$L_{Goal}$  is a penalty on the last robot state  $s_T$  on the distance of the robot end effector from the goal location.

## 4.3 Sample Based Planning

### 4.3.1 RRTs and their variants

### 4.3.2 Adaptation to Encourage Contacts

Given:

$X \in \mathbb{R}^d :$	d-dimensional configuration space
$X_{obs} \subset X :$	obstacles in the configuration space
$X_{free} = X \setminus X_{obs} :$	free space
$x_{start} \in X_{free} :$	starting configuration
$X_{goal} \subset X_{free} :$	set of goal configurations
$policy : X \times X \rightarrow TX$	policy to goal, maps to tangent space (velocity). Previous formulation was wrong, as n

The goal is to find a path in  $X_{free}$  from  $x_{start}$  to  $x_{goal} \in X_{goal}$ .

In many environments, it is relatively easy to compute a reactive policy that can make forwards progress towards a goal while avoiding collision with obstacles, such as a potential field approach, but such policies are prone to getting stuck in local minima/dead ends. PolicyRRT is a variant of RRT that seeks to combine the efficient, goal directed trajectory generation of reactive policies with the ability of RRT to find paths around local minima/dead ends. PolicyRRT fundamentally behaves like a standard RRT by growing a tree by iteratively extending towards a

---

**Algorithm 4**  $T = (V, E) \leftarrow \text{policyRRT}(x_{start})$

---

```

1:  $T \leftarrow \text{InitTree}(x_{start})$ 
2: while  $\text{GoalNotReached}(T, X_{goal})$  do
3:    $x_{rand} \in X \leftarrow \text{Sample}()$ 
4:    $x_{nearest} \leftarrow \text{Nearest}(T, x_{rand})$ 
5:    $T \leftarrow \text{followPolicy}(x_{nearest}, x_{rand}, T, \text{extensionLimit})$ 
6:   if  $\text{ExtensionSuccessful}$  then
7:      $T \leftarrow \text{followPolicy}(x_{new}, x_{goal} \in X_{goal}, T, \infty)$ 
8:   end if
9: end while

```

---



---

**Algorithm 5**  $T = (V, E) \leftarrow \text{followPolicy}(x_{begin}, x_{end}, T, \text{iterLimit})$

---

```

1:  $T_{new} \leftarrow \text{InitTree}(x_{begin})$ 
2:  $x_{prev} \leftarrow x_{begin}$ 
3:  $x_{new} \leftarrow \text{policy}(x_{prev}, x_{end})$ 
4:  $i \leftarrow 0$  MovingTowardsGoal( $x_{new}, T_{new}$ ) and  $[2] \text{ Nearest}(T, x_{new}) == x_{begin}$  and  $[2] i <$ 
    $\text{iterLimit}$  do
5:  $T_{new} \leftarrow \text{AddNode}(x_{new}, x_{prev}, T_{new})$ 
6:  $x_{prev} \leftarrow x_{new}$ 
7:  $x_{new} \leftarrow \text{policy}(x_{prev}, x_{end})$ 
8:  $i \leftarrow i + 1$ 
9:
10:  $T \leftarrow \text{AddTreeToTree}(T_{new}, T, x_{begin})$ 

```

---

randomly sampled configuration from the nearest point in a search tree  $T$ . PolicyRRT uses the reactive policy to move towards the random sample, potentially enabling the planner to avoid collisions with obstacles during the extension. When PolicyRRT is successful in extending towards the sample (does not collide with an obstacle and does not get stuck in a local minima) it then follows the policy to extend towards the goal. To avoid oversampling regions associated with local minima, when PolicyRRT extends towards a point from some vertex  $v \in T$  PolicyRRT halts extension when the trajectory leaves the Voronoi cell of  $v$ . Extension is also halted once the policy ceases to make progress (reaches a local minima) or exceeds a maximum number of iterations (only when extending towards  $x_{rand}$ ?)

PolicyRRT is beneficial when a policy that would yield a path all the way from  $x_{start}$  and  $x_{goal}$  is difficult to find but finding a policy that can make some progress while avoiding obstacles, but may get stuck in local minima is relatively easy. An example of such a policy is a **potential field**: a cost function is constructed by placing attractors at the goals and repulsions at obstacles and the policy is to the gradient of this cost. Potential fields are generally much simpler to compute than the minima-free navigation functions, but cannot be guaranteed to produce a path. Augmenting the gradient descent policy with an RRT can help find paths out of any local minima.

Similarly augmenting an RRT with a reactive policy can assist in navigating narrow passages. Because the policy will naturally flow through corridors PolicyRRT no longer requires drawing samples inside the narrow corridor itself, as drawing a sample from the far side may suffice to draw the policy through the corridor.

## 4.4 Experiments

### 4.4.1 Simulation and Robot Model

### 4.4.2 Robot Snakes on a Plane

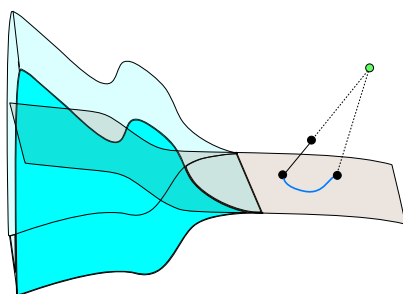


Figure 4.4: Illustration of Gradient RRT extension on the contact manifold



## Chapter 5

# Conclusion and Future Work

This paper introduced the *datum-based particle filter*, which provides a method to localize a task location defined by datums on an object with internal degrees of freedom. This method stores the belief as the belief over the poses of multiple rigid sections comprising the object using a particle filter, and selects measurement actions using the metric of information gain. Two implementations are described: a high dimensional particle filter capturing the full state, and multiple particle filters coupled through the tolerances between sections. The techniques to avoid particle starvation during rigid body localization have been extended to both implementations of the datum-based particle filter. Information gain of a potential measurement action is approximated as a discrete probabilistic decision process over the particles comprising the belief. The formulation presented distinguishes between useful information which updates the belief of the target feature, and information which only improves the belief of non-datum sections.



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April 6, 2017

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genre=article{&}doi=10.1080/00207549208948105{&}magic=  
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