

Combinator Calculus

CS242

Lecture 2

```
fun innerproduct(a, b, n):  
  c := 0  
  for i := 1 step 1 until n do  
    c := c + a[i] * b[i]  
  return c
```

- Statements operate on invisible state
 - Computes word-at-a-time by repetition of assignment/modification
 - Requires names for arguments, iterator, return value
-

```
let innerproduct = zip |> (map *) |> (reduce +)
```

- Built from composable functions (map, reduce, pipe)
- Operates on whole conceptual units (lists), no repeated steps
- No names for arguments or temporaries

Combinator Calculus

- Calculus:
“A method of computation or calculation in a special notation”
- Combinator:
“A primitive function without free variables”

Overview

- A variable-free programming language using only functions
- A simple Turing-complete computational formalism
- A starting point for more involved languages
- And something different!

SKI Calculus

$$I\ x \rightarrow x$$

$$K\ x\ y \rightarrow x$$

$$S\ x\ y\ z \rightarrow (x\ z)\ (y\ z)$$

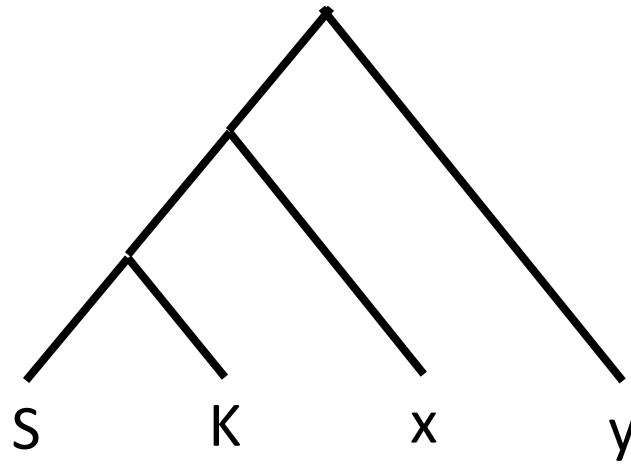
Definition

- The *terms* of the SKI calculus are the smallest set such that
 - S, K, and I are terms
 - If x and y are terms, then x y is a term
- Terms are trees, not strings
 - Parentheses show association where necessary
 - In the absence of parentheses, association is to the left
 - i.e., $S x y z = (((S x) y) z)$

Example

$S K x y$

$((S K) x) y$



Context Free Grammar

$\text{Expr} \rightarrow S$

$\text{Expr} \rightarrow K$

$\text{Expr} \rightarrow I$

$\text{Expr} \rightarrow \text{Expr Expr}$

$\text{Expr} \rightarrow S \mid K \mid I \mid \text{Expr Expr}$

Rewrite Rules

- The three rules of the SKI calculus are an example of a *rewrite system*
 - Any expression (or subexpression) that matches the left-hand side of a rule can be replaced by the right-hand side
- The symbol \rightarrow stands for a single rewrite
- The symbol \rightarrow^* stands for the reflexive, transitive closure of \rightarrow

$$I\ x \rightarrow x$$

$$K\ x\ y \rightarrow x$$

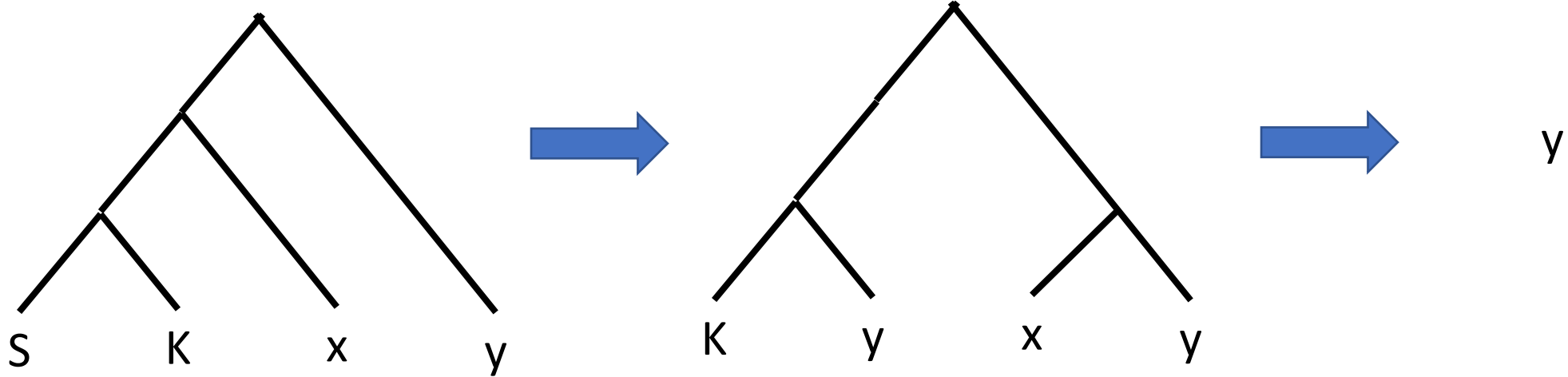
$$S\ x\ y\ z \rightarrow (x\ z)\ (y\ z)$$

Example

$$S \ K \ x \ y \rightarrow (K \ y) \ (x \ y) \rightarrow y$$

Example

$$S K x y \rightarrow (K y) (x y) \rightarrow y$$



Another Example

$$S \mid I x \rightarrow (I x) (I x) \rightarrow x (I x) \rightarrow x x$$

So ...

$$(S \mid I) (S \mid I) \rightarrow (I (S \mid I)) (I (S \mid I)) \rightarrow (S \mid I) (I (S \mid I)) \rightarrow (S \mid I) (S \mid I)$$

What a Strange Language!

- A language of functions
 - Functions are all there is to work with
- Minimalist
 - Typical of languages designed for study
 - Clears away the complexity of “real” languages
 - Allows for very direct illustration of key ideas

Programming

- Recursion
- Conditionals
- Data structures

Recursion

- $(S \mid I) (S \mid I)$ is a non-terminating expression
 - Can always be rewritten, since it rewrites to itself
 - A form of looping
- Proper recursion is just a little more involved

$$x = S (K f) (S \mid I)$$

Then $S \mid I x \rightarrow^* x x = S (K f) (S \mid I) x \rightarrow^* f (x x) \rightarrow^* f (f (x x)) \rightarrow^* \dots$

Conditionals

- To have branching behavior, we need Booleans.
- We use an *encoding*.
 - We choose combinators to represent true, false, or, and, etc.
 - We need to check that our choices are consistent with the expected behavior of Boolean tests and branching

Booleans

- Represent true (false) by a function that given two arguments picks the first (second)
- Combines Boolean with if-then-else
- True $T\ x\ y \rightarrow x$
- False $F\ x\ y \rightarrow y$
- $T = K$
- $F = S\ K$

Boolean Operations

- Let B be a Boolean (T or F)
- $\text{Not}(B) = B \text{ F T}$

Boolean Operations

- Let B be a Boolean (T or F)
- $B1 \text{ OR } B2 = B1 \text{ T } B2$

Boolean Operations

- Let B be a Boolean (T or F)
- $B_1 \text{ AND } B_2 = B_1 \cdot B_2$ $\neg(B_1 \text{ AND } B_2) = \neg B_1 \vee \neg B_2$ (S K)

Example

$(\text{NOT } F) \text{ AND } T = (F \ F \ T) \ T \ F$

Abstraction

- Our encodings of Booleans and Boolean operators are *abstractions*
- We require that the Boolean operators produce valid representations of Booleans if they are applied to Boolean inputs
 - But nothing explicitly enforces this property
 - It is up to use to choose an encoding so that this property holds

Integers

N applies its first argument N times to its second argument

$$n \ f \ x = f^n(x)$$

$$0 \ f \ x = x \quad \text{so } 0 = S \ K$$

$$\text{Succ } n \ f \ x = f \ (n \ f \ x) \quad \text{Succ} = S \ (S \ (K \ S) \ K)$$

$$\begin{aligned} S \ (S \ (K \ S) \ K) \ n \ f \ x &\rightarrow (S \ (K \ S) \ K \ f) \ (n \ f) \ x \rightarrow ((K \ S) \ f) \ (K \ f) \ (n \ f) \ x \rightarrow \\ S \ (K \ f) \ (n \ f) \ x &\rightarrow ((K \ f) \ x) \ ((n \ f) \ x) \rightarrow f \ ((n \ f) \ x) = f \ (n \ f \ x) \end{aligned}$$

Order of Evaluation

- More than one rule may apply to an expression
- A process for choosing where to apply the rules is a *reduction strategy*
 - Each rule application is one reduction
- Most languages have a fixed reduction/evaluation order
 - So people forget that there might be more than one choice
 - But any program transformation (e.g., for optimization) is based on changing the order in which computation happens

Order of Evaluation

- What is a good reduction strategy?
 - There are multiple sensible choices
- In general, applying rules as close to the root as possible will terminate if any reduction strategy terminates
 - By avoiding work on expressions that are thrown away (e.g., by K)
 - And reducing the potential for parallelism

Confluence

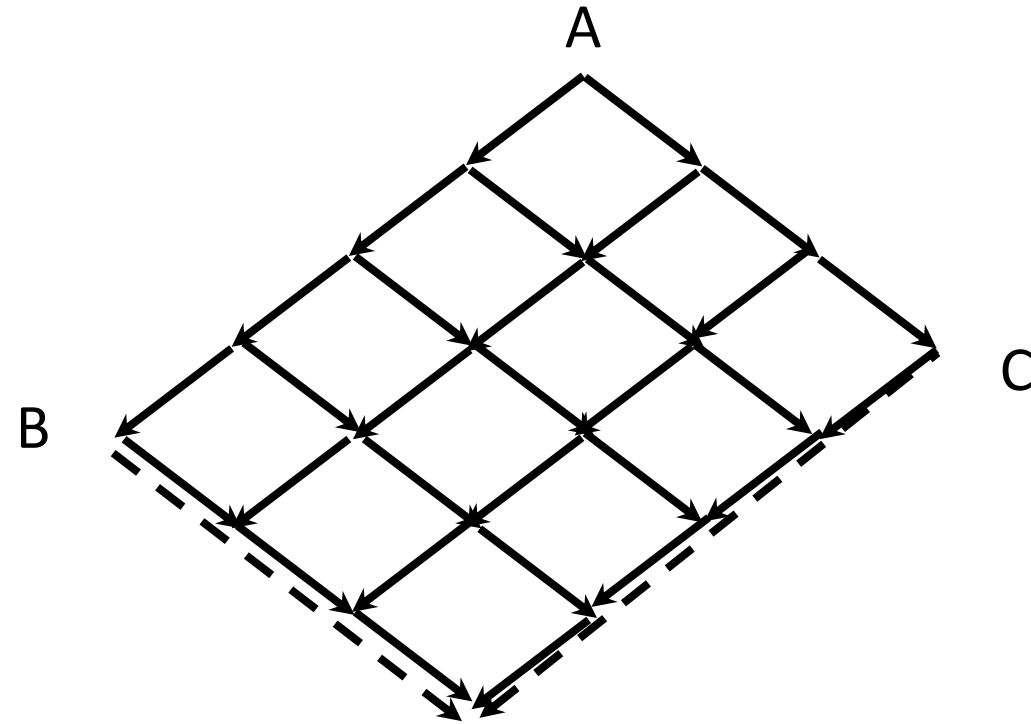
- But could different choices of evaluation order change the result of the program?
- The answer is no!
- A set of rewrite rules is *confluent* if for any expression E_0 , if $E_0 \rightarrow^* E_1$ and $E_0 \rightarrow^* E_2$, then there exists E_3 such that $E_1 \rightarrow^* E_3$ and $E_2 \rightarrow^* E_3$.

Proving Confluence

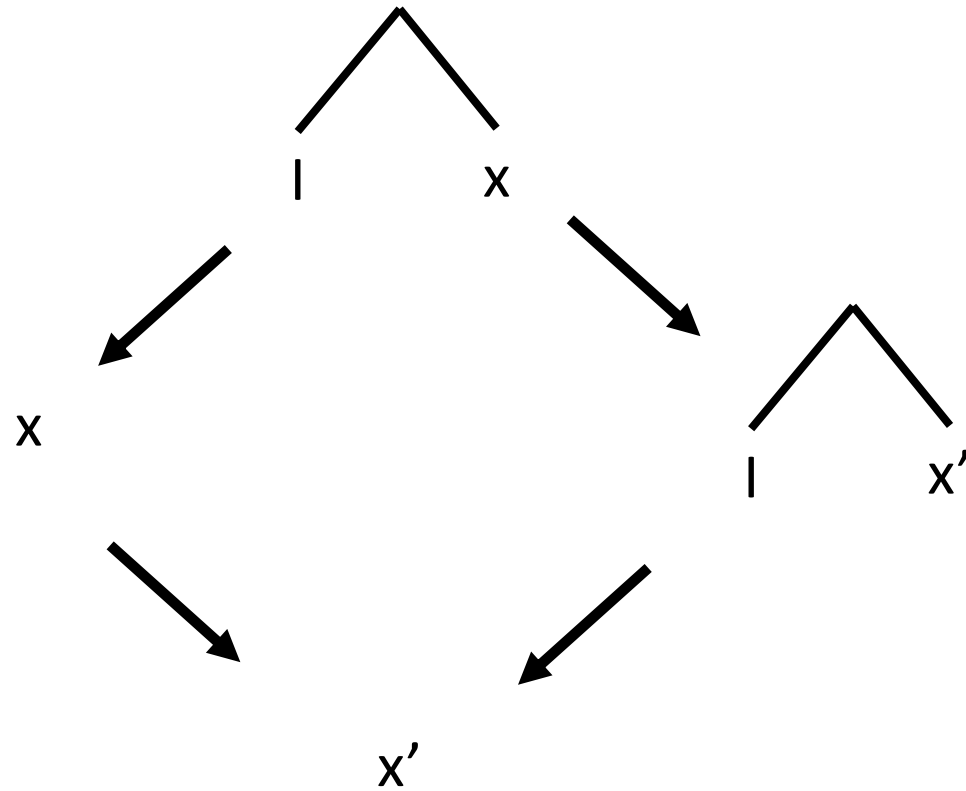
Thm: If for all A , $A \rightarrow B$ & $A \rightarrow C$ implies there exists a D such that $B \rightarrow D$ and $C \rightarrow D$ (in one step), then \rightarrow is confluent.

Proof: Assume $A \rightarrow^* X$ & $A \rightarrow^* Y$. The proof is by induction on the length of the derivations.

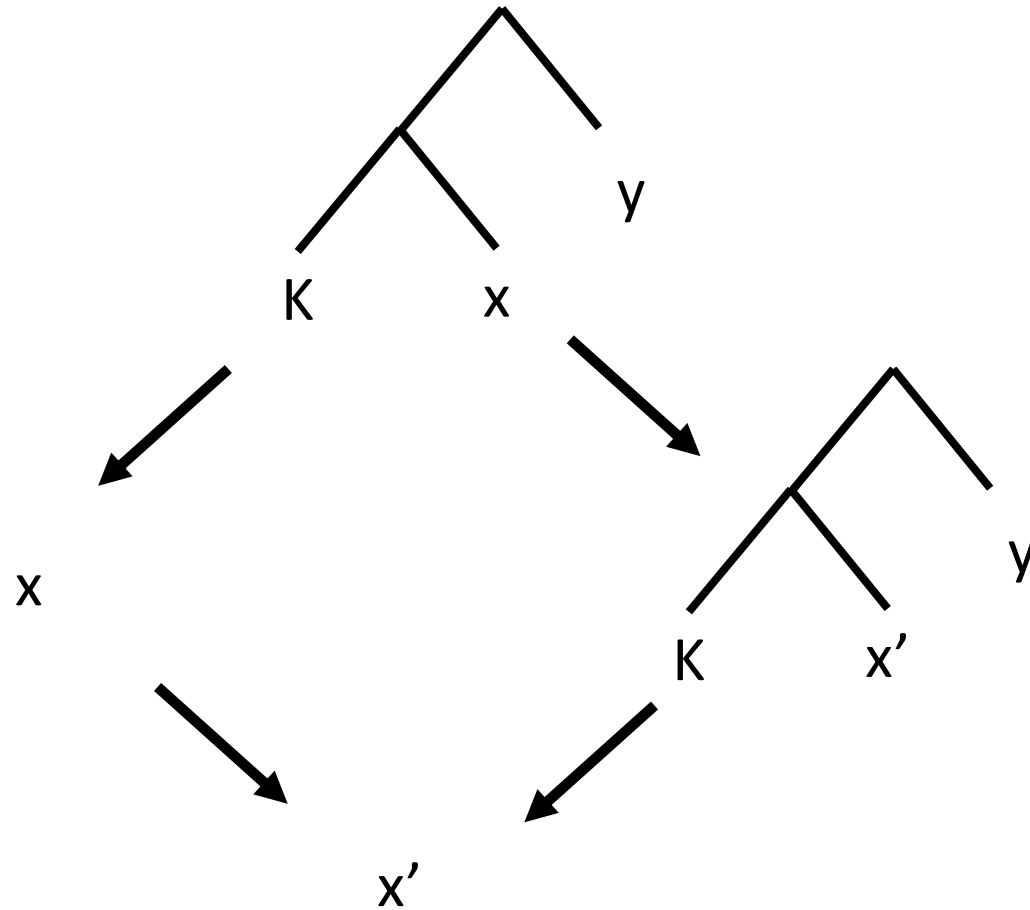
Diagram



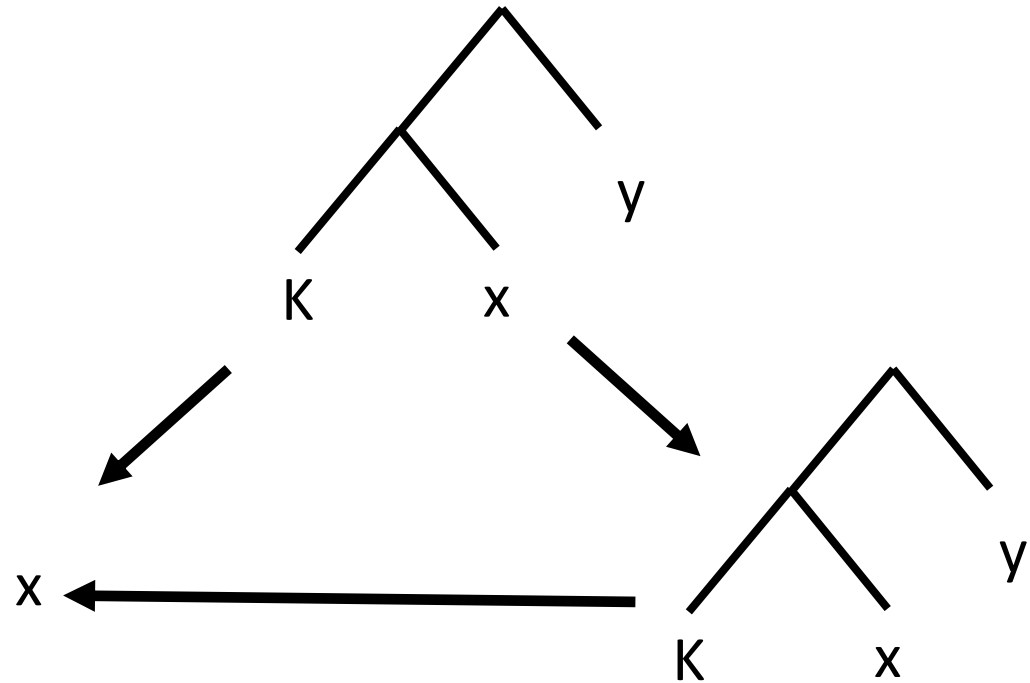
Confluence of SKI: Case I x



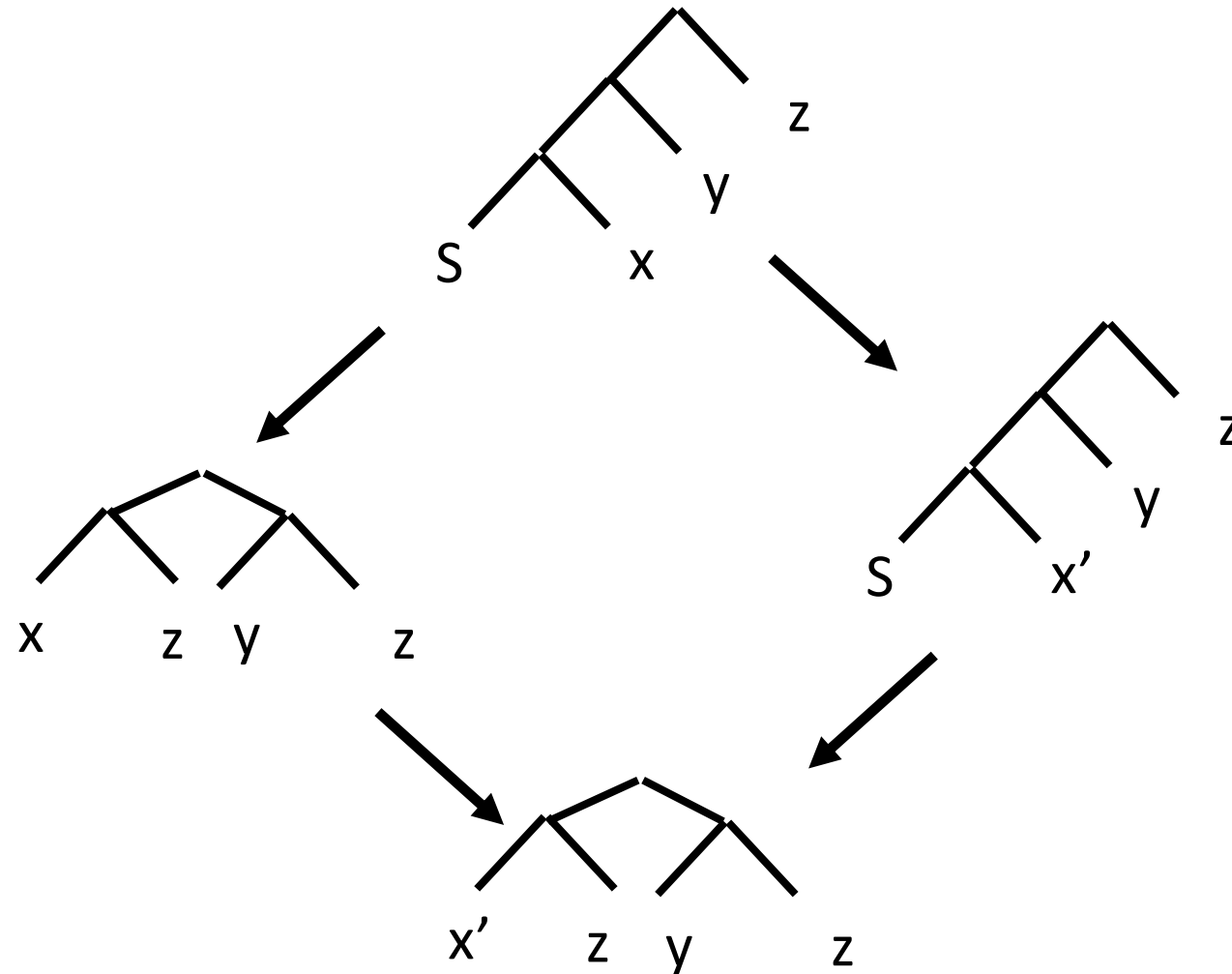
Case $K \ x \ y$ (1 of 2)



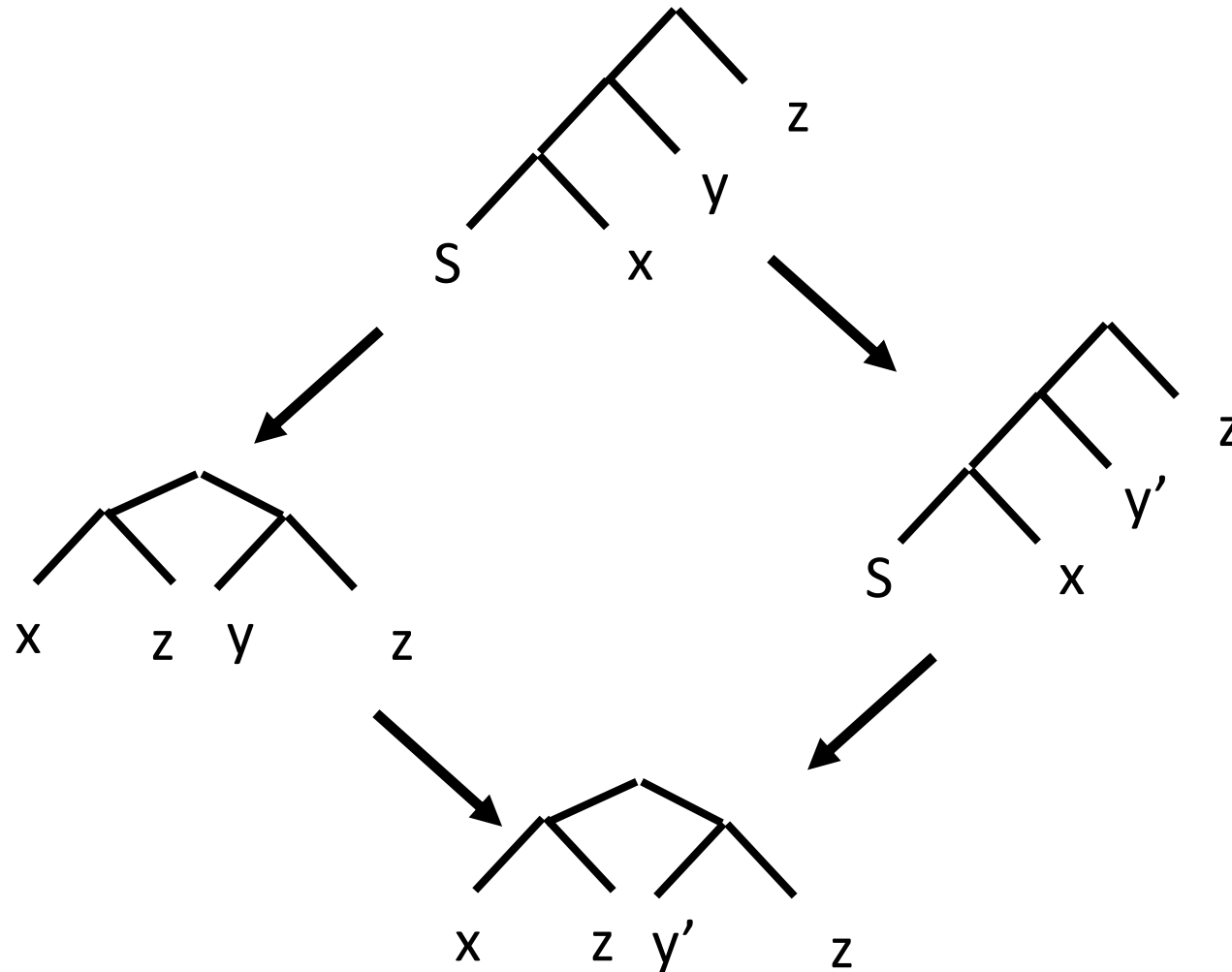
Case $K \ x \ y$ (2 of 2)



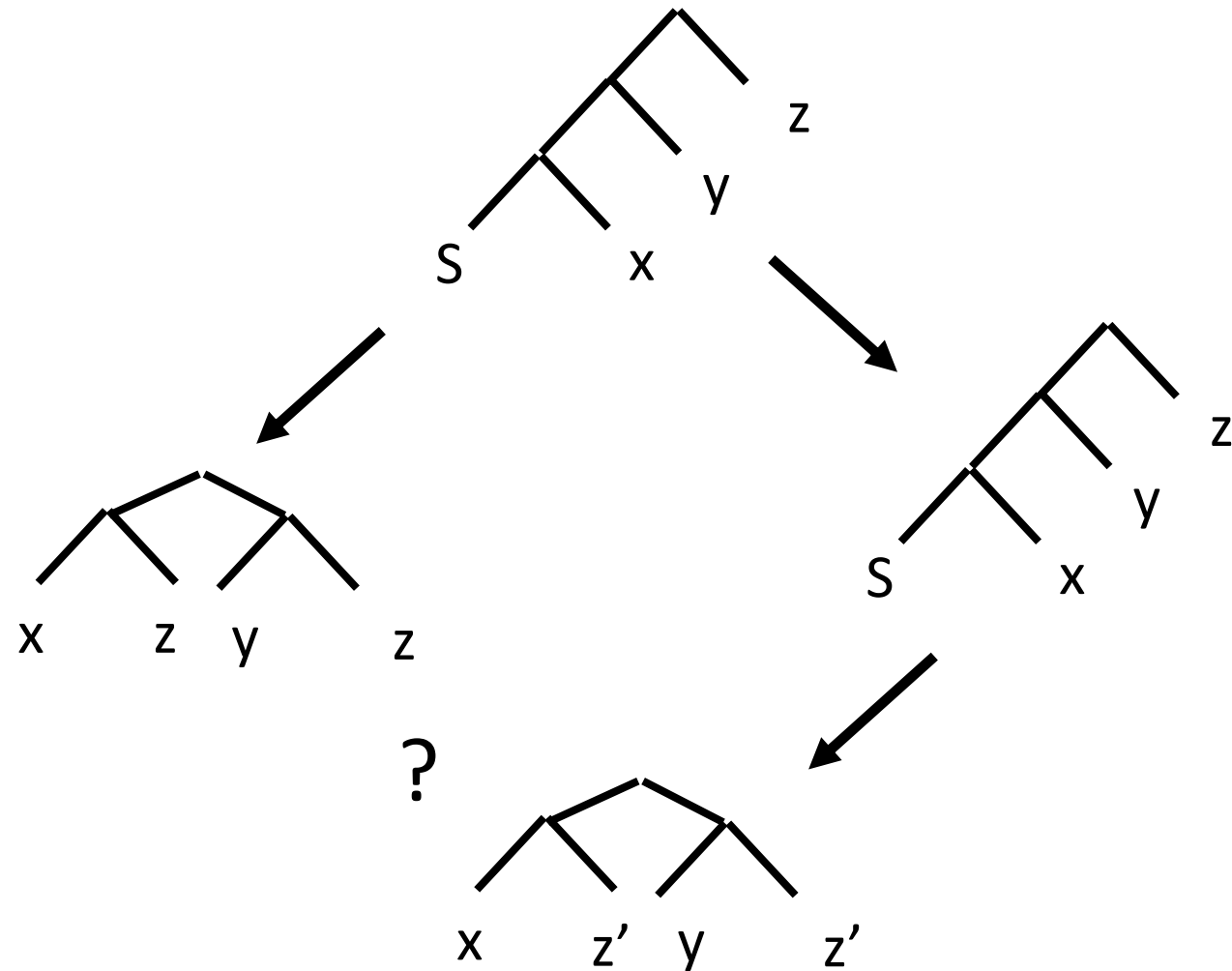
Case $S \ x \ y \ z$ (1 of 3)



Case $S \ x \ y \ z$ (2 of 3)



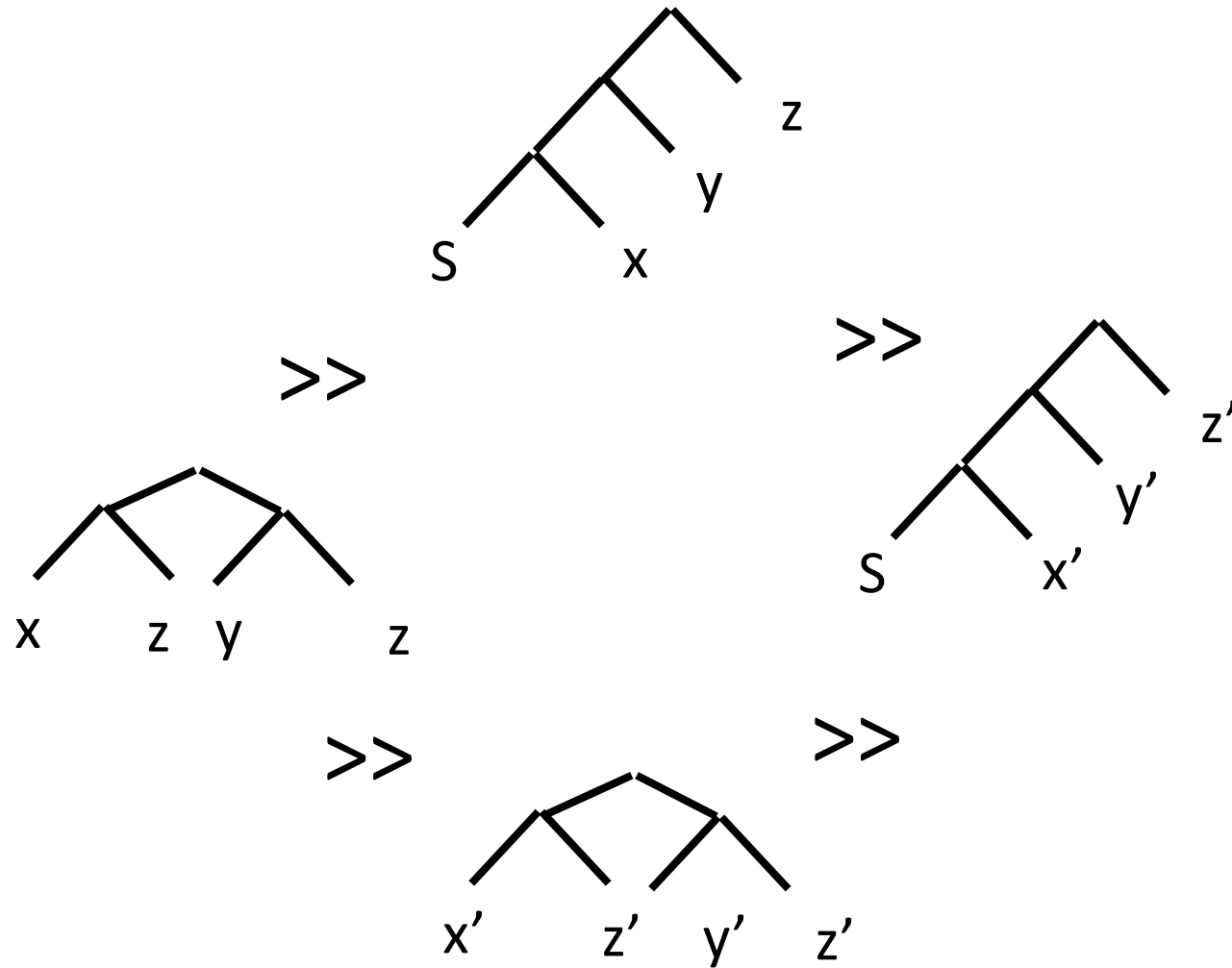
Case $S \ x \ y \ z$ (3 of 3)



A New Relation

- Define $X \gg Y$ if
 - $X \rightarrow Y$ via a rewrite at the root node
 - $X = A B$ and $A \gg A'$ and $B \gg B'$
- Clearly $A \gg^* B$ iff $A \rightarrow^* B$
- Thm: \gg has the one step diamond property.

Case S x y z (3 of 3)



Discussion

- Combinator calculus has the advantage of having no variables
 - Compositional!
- All computations are local rewrite rules
 - Compute by pattern matching on the shape and contents of a tree
 - All operations are local and there are few cases
 - No need to worry about variables, scope, renaming ...
- Many proofs of properties are easier in combinator systems
 - E.g., confluence

Discussion

- Combinator calculus has the disadvantage of having no variables
- Consider the S combinator: $S\ x\ y\ z \rightarrow (x\ z)\ (y\ z)$
- Note how z is “passed” to both x and y before the final application
- In a combinator calculus, this is the *only* way to pass information
 - In a language with variables, we would simply stash z in a variable and use it in x and y as needed
 - In a combinator-based language, z must be explicitly passed down to all parts of the subtree that need it

Discussion

- Thus, what can be done in one step with a variable requires many steps (in general) in a pure combinator system
- Why does this matter?
 - Combinator calculus is not a direct match to the way we build machines
 - Our machines have memory locations and can store things in them
 - Languages with variables take advantage of this

Discussion

- Another advantage of combinators is working at the function level
 - Avoid reasoning about individual data accesses
- A natural fit for parallel and distributed bulk operations on data
 - Map a function over all elements of a dataset
 - Reduce a dataset to a single value using an associative operator
 - Transpose a matrix
 - Convolve an image
 - ...
- Note that in parallel/distributed operations, variables can be a problem ...

History

- SKI calculus was developed by Schoenfinkel in the 1920's
 - One of Hilbert's students
- Rediscovered by Curry in the 1930's
- The properties of SKI were known before any computers were built ...

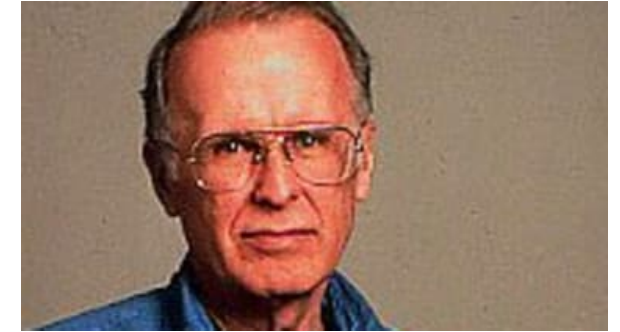


History

- First combinator-based programming language was APL
 - Designed by Ken Iverson in the 1960's
- Designed for expressing pipelines of operations on bulk data
 - Basic data type is the multidimensional array
- Trivia: Special APL keyboards accommodated the many 1 character combinators
 - APL programs can be unreadable strings of Greek letters
- Highly influential
 - On functional programming (several languages), successors to APL, MatLab, Map-Reduce



FP



- John Backus's Turing Award lecture brought new attention to combinator languages
- Backus developed FORTRAN, the first successful high-level language
- But late in his career he advocated for functional programming
 - Encouraging thinking at the function level
 - And getting away from a word-at-a-time model of computation
- Proposed FP, a combinator-based functional language
 - And emphasized an “algebra of programs”

FP's Algebra

- FP's basic data structure is the vector (or list)
- $\text{map } f [x, y, z] = [f x, f y, f z]$
- Combinators enable simple and powerful program transformations:

$$(\text{map } f) \circ (\text{map } g) = \text{map } (f \circ g)$$

- Think about how you could describe this transformation in C!

Summary

- Combinator calculi are among the simplest formal computation systems
- Also important in practice for large scale distributed/parallel programming
 - Where thinking in terms of bulk operations is beneficial
- Not used as a model for sequential computation
 - Where we often want to take advantage of temporary storage/variables
- Combinators are also important in program transformations
 - Much easier to design combinator-based transformation systems
 - Some compilers (Haskell's GHC) even translate into an intermediate combinator-based form for some optimizations