

Automatic Generation of DFA Minimization Problems

Gregor Sönnichsen

Universität Bayreuth

16. Juli 2020

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1. stelle BA vor
2. trägt Namen
3. warum die Beschäftigung
4. kurz in kleiner Motivation erläutern

Automata theory is a classical topic in computer science curricula.
Minimization of DFAs is a typical task for students:

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Automatic Generation of DFA Minimization Problems

└ Introduction

└ Introduction

Bekannt, AT klassischer Teil von inf-bezogenen Lehrplänen
DFA-Minimierung typische Aufgabe, einfache Gründe: Alg. ist...

-

¹<https://www.rindlerwahn.de/zeitdiebe-besiegen-und-mehr-lebenszeit-gewinnen>

Automata theory is a classical topic in computer science curricula.
Minimization of DFAs is a typical task for students:

- ▶ sufficiently easy to understand
- ▶ practical applications
- ▶ understanding can be tested easily

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- ▶ ... free up precious time for exercise constructors (if a generator is implemented)
- ▶ ... yield a deeper insight in the nature of such problems



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Introduction

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DFA-Minimierung typische Aufgabe, einfache Gründe: Alg. ist...

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So ergibt sich dann auch...

1. gibt Aufgabenersteller Zeit für andere Dinge
2. lässt auf interessante Einsichten hoffen
-> Schwierigkeit

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Introduction

Problem definition and approach

Generating Minimal DFAs

Extending Minimal DFAs

Live Demonstration and Conclusion

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Automatic Generation of DFA Minimization Problems

└ Problem definition and approach

└ Outline

1. mit intro fertig
2. Den Ansatz in zwei Abschnitten im Detail erklären
3. im Anschluß wird es noch eine... und abschließend Resume ziehen

Introduction

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Problem definition

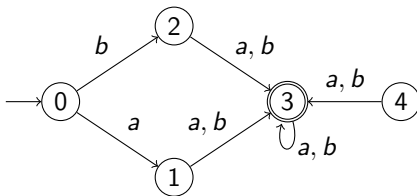
Preliminaries

We say a state q is *unreachable*, iff there is no word $w \in \Sigma^*$ such that $\delta^*(s, w) = q$.

A state pair $q_1, q_2 \in Q$ is called *equivalent*, iff $\sim_A(q_1, q_2)$ is true, where

$$q_1 \sim_A q_2 \Leftrightarrow_{\text{def}} \forall z \in \Sigma^*: (\delta^*(q_1, z) \in F \Leftrightarrow \delta^*(q_2, z) \in F)$$

Example



Theorem

A DFA is minimal, iff it has neither unreachable nor equivalent states.

Automatic Generation of DFA Minimization Problems

Problem definition and approach

Problem definition

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Theorem

A DFA is minimal, iff it has neither unreachable nor equivalent states.

gehe davon aus, dass Def. DFA, Überföhrungsfkt. usw. bekannt ist

- w, gelesen von ... aus, in ... fñhrt
- die folgende Relation für die beiden wahr ist
- q_1, q_2 haben also das selbe Akzeptanzverhalten, egal welches Wort von den beiden Zuständen aus gelesen wird
- weil sie schon für die selben Symbole in den jeweils selben Zustand führen
- ein DFA ist minimal; dieses T. ist unweigerlich mit Hopcrofts Alg. verbunden

Problem definition

Hopcroft's Minimization Algorithm

MinimizeDFA(A)

1. Compute all unreachable states
2. Remove all unreachable states and their transitions
3. Compute all inequivalent state pairs ($\not\sim_A$)

1: **function** FindEquivPairs(A)

2: $i \leftarrow 0$

3: $m(0) \leftarrow \{(p, q), (q, p) \mid p \in F, q \notin F\}$

4: **do**

5: $i \leftarrow i + 1$

6: $m(i) \leftarrow \{(p, q), (q, p) \mid (p, q) \notin \bigcup m(\cdot) \wedge$

7: $\exists \sigma \in \Sigma: (\delta(p, \sigma), \delta(q, \sigma)) \in m(i - 1)\}$

8: **while** $m(i) \neq \emptyset$

9: **return** $\bigcup m(\cdot)$

4. Merge all equivalent state pairs



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Automatic Generation of DFA Minimization Problems

└ Problem definition and approach

└ Problem definition

gehe auch hier davon aus
zur Erinnerung

-


wir init. eine Menge $m(0)$ mit Zustandspaaren, von denen je genau ein Zustand akzeptierend ist

-

neue Menge $m(i)$; Zustandspaare (p, q) , (p, q) in keiner vorher ber. Menge, und Paar $\delta p \sigma, \delta q \sigma$ ist in Vorgängermenge

Problem definition
Hopcroft's Minimization Algorithm

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4. Merge all equivalent state pairs
```

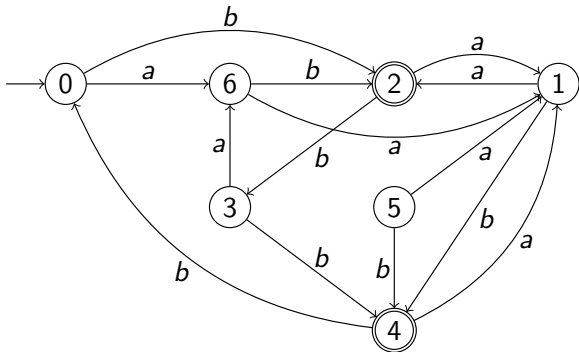


²<http://www.cs.cornell.edu/gries/banquets/symposium40/images/faculty/jehyoung.jpg>

Problem definition

A sample DFA minimization task. . .

Task: Consider the below shown deterministic finite automaton A:



Apply the minimization algorithm and illustrate for each state pair of A during which FindEquivPairs-iteration it was marked. Draw the resulting automaton.

Automatic Generation of DFA Minimization Problems

└ Problem definition and approach

└ Problem definition

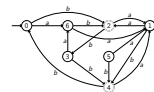
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auf dieser Folie... Bsp DFA-Minimierungsaufgabe

Problem definition

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Problem definition

... and its solution

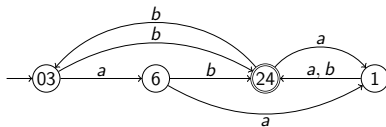
Solution:

Step 1: Detect and eliminate unreachable states.

State 5 is unreachable.

Step 2: Apply FindEquivPairs to A and merge equivalent state pairs:

	0	1	2	3	4	6
0	■	1	0		0	2
1	■	■	0	1	0	1
2	■	■	■	0		0
3	■	■	■	■	0	2
4	■	■	■	■	■	0
6	■	■	■	■	■	■



Automatic Generation of DFA Minimization Problems

└ Problem definition and approach

└ Problem definition

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Problem definition
and its solution

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4	■	■	■	■	■	0
6	■	■	■	■	■	■



Lsg, die dadurch zustandekommt, dass man Hop. Min.alg. anwendet

Problem Definition and Approach

DFAMinimization

Given : A DFA A_{task} .

Task : Compute $A_{sol} = \text{MinimizeDFA}(A_{task})$.

Main question: How to generate instances of DFAMinimization?

Automatic Generation of DFA Minimization Problems

└ Problem definition and approach

└ Problem Definition and Approach

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Eine Min.aufgabe können wir wie folgt formalisieren

-
- in Anlehnung an H.Min.alg.
-
- in meiner Arbeit... Reihe an Parametern, mit denen man versch. Eig. des gen. Problems beeinfl. kann
-
- Trial-and-Error-Methode

Problem Definition and Approach

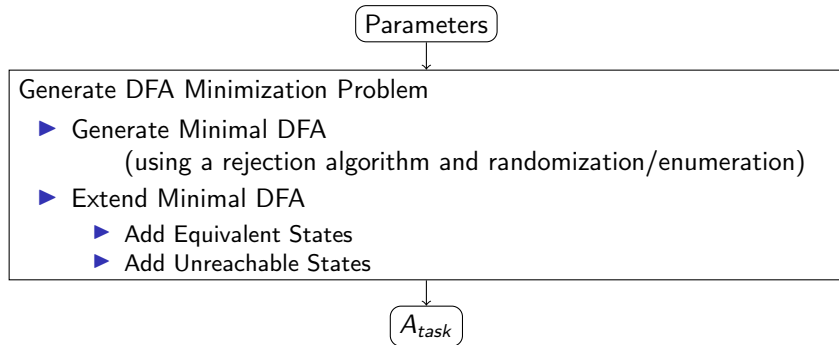
DFAMinimization

Given : A DFA A_{task} .

Task : Compute $A_{sol} = \text{MinimizeDFA}(A_{task})$.

Main question: How to generate instances of DFAMinimization?

Idea: First generate A_{sol} , then add equivalent, then unreachable states.



Automatic Generation of DFA Minimization Problems

└ Problem definition and approach

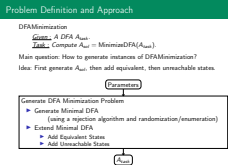
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- Generating Minimal DFAs
 - Outline

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Generating Minimal DFAs

Rejection Algorithm

Approach: Generate test DFAs until they match the demanded properties.

- 1: **function** GenNewMinDFA (n_s, k, n_F, d, p)
- 2: $I \leftarrow$ all DFAs in DB_{found} matching n_s, k, n_F
- 3: **while** True **do**
- 4: generate DFA A_{test} with $|Q|, |\Sigma|, |F|$ matching n_s, k, n_F
- 5: **if** A_{test} not minimal **or** $d \neq \mathcal{D}(A_{test})$ **then**
- 6: **continue**
- 7: **if** $p = 1$ **and** A_{test} is not planar **then**
- 8: **continue**
- 9: **if** A_{test} is isomorph to any DFA in I **then**
- 10: **continue**
- 11: save A_{test} and its respective properties in DB_{found}
- 12: **return** A_{test}



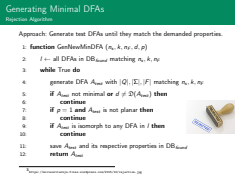
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Automatic Generation of DFA Minimization Problems

Generating Minimal DFAs

Generating Minimal DFAs

1. while-Schleife
2. test DFA generieren, für die schon drei Parameter garantiert stimmen, nämlich im Einzelnen
3. restl. Eig. werden in z. 5-10, bsp. 5 minimalität
4. wenn es Fragen zu den and. get. Eig. gibt, dann kann ich hier gerne im Anschluss genauere Erläuterungen geben
5. Hier möchte.. nur auf test DFA Generierung in z.4 näher eingehen



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³<https://moviewriternyu.files.wordpress.com/2015/10/rejection.jpg>

Generating Minimal DFAs

Test DFA Generation

We will restrict ourselves to $Q = [0, n_s - 1]$, $\Sigma = [0, k - 1]$, $s = 0$.

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Automatic Generation of DFA Minimization Problems

└─ Generating Minimal DFAs

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Im Rahmen dieser Arbeit

Generating Minimal DFAs

Test DFA Generation

We will restrict ourselves to $Q = [0, n_s - 1]$, $\Sigma = [0, k - 1]$, $s = 0$.

Generation...

(a) by randomization:

$$F = \text{random_subset}(Q)$$
$$\delta(q, \sigma) = \text{choose_one}(Q) \quad \forall q \in Q, \sigma \in \Sigma$$

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Generating Minimal DFAs

Generating Minimal DFAs

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Ich werde hier zwei Methoden zur Gen vorstellen. Die Gen. per Randomisierung funkt. hier wie folgt

-

Für die Tr.fkt. wird für jede Komb. eines Zustands und eines Symbols ein zufälliger Zustand ausgewählt

Generating Minimal DFAs

Test DFA Generation

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(b) by enumeration: An *enumeration state* $s_{n_s, k, n_F} = (F_F, F_\delta)$ has the following semantics:

$$\begin{aligned} F_F[i] &= 1 \Leftrightarrow_{\text{def}} i \in F \\ F_\delta[i * k + j] &= q \Leftrightarrow_{\text{def}} \delta(i, j) = q \end{aligned}$$

Example state: $s_{4,2,2} = (0110)_2 \ (10 \ 13 \ 22 \ 03)_4$

Automatic Generation of DFA Minimization Problems

Generating Minimal DFAs

Generating Minimal DFAs

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verwalten wir für eine gegebene Enumeration einen

-

parametrisiert mit

-

wenn i nicht akz., dann $FF[i] = 0$; für alle Zustände definiert

-

2. Feld speichert für alle Komb. eines Zustands und eines Symbols zu welchem Zustand man gelangt

-

Unten angedeutet; Felder als Zahlen interpretieren; durch gewisse Inkrementierungsfkt. von einem DFA zum nächsten gelangen; nicht weiter ausführen

Generating Minimal DFAs

Test DFA Generation

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Automatic Generation of DFA Minimization Problems

└─ Extending Minimal DFAs

└─ Outline

Kapitel der Lös.DFA Gen. abgeschlossen; widmen uns Erweiterung dieser DFAs hin zu Aufgaben-DFAs

-

Beschäftigung zunächst, gemäß vorhin vorg. Reihenfolge, mit Hinz. äqu. Z.

Outline

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Extending Minimal DFAs

Adding Equivalent States (1)

We now want to add states r_1, \dots, r_{n_e} to the solution DFA, such that every r_i is equivalent to a state e in the solution DFA:

$$\forall i \in [1, n_e]: \exists e \in Q_{sol}: r_i \sim_A e$$

Whenever we add a state r_i , we will first choose an $e \in Q_{sol}$, then we add the transitions of r_i .

Automatic Generation of DFA Minimization Problems

Extending Minimal DFAs

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Sei n_E die gewünschte Anzahl an paarweise versch. äqu. Zustandspaaren.

-

In diesem Verfahren werden die r_i einzeln nacheinander hinzugefügt

Extending Minimal DFAs

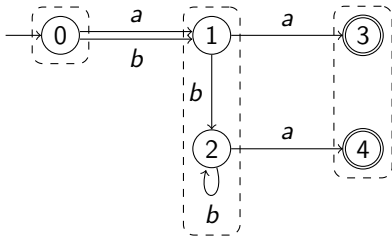
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Example



Automatic Generation of DFA Minimization Problems

Extending Minimal DFAs

Extending Minimal DFAs

im Bsp könnten wir zunächst Zst. 2 als orig. aussuchen, und dann r1 gedanklich zu dessen Äqu. hinzufügen

-
dann würden wir jetzt die Tr. hinzufügen, dabei beginnen wir mit den ausgehenden Tr.

Extending Minimal DFAs

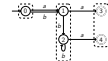
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Extending Minimal DFAs

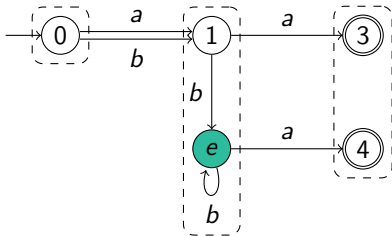
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Extending Minimal DFAs
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Extending Minimal DFAs

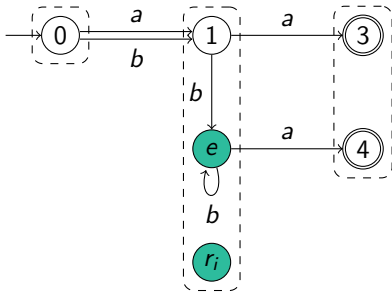
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Extending Minimal DFAs

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Extending Minimal DFAs
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Example

Extending Minimal DFAs

Adding Equivalent States (2) - Outgoing Transitions

Observation:

$$r_i \sim_A e \implies \forall \sigma \in \Sigma: [\delta(r_i, \sigma)]_{\sim_A} = [\delta(e, \sigma)]_{\sim_A}$$

Consequently:

R1: For each symbol $\sigma \in \Sigma$ choose exactly one state $q \in [\delta(e, \sigma)]_{\sim_A}$ and set $\delta(r_i, \sigma) = q$.

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Automatic Generation of DFA Minimization Problems

Extending Minimal DFAs

Extending Minimal DFAs

..dann sind folglich auch diejenigen Zust. äqu., wenn wir ein bel. Symbol von r_l und e aus lesen

-
und setze diesen Zustand als Endzustand für $\delta(r_l, \sigma)$

-
So konnten wir mit dieser Regel erreichen, dass jede Transition von r_l in dieselbe Äqu.kl. führt, die wir err. würden, wenn wir der korresp. Tr. von e folgten

Extending Minimal DFAs

Adding Equivalent States (2) - Outgoing Transitions

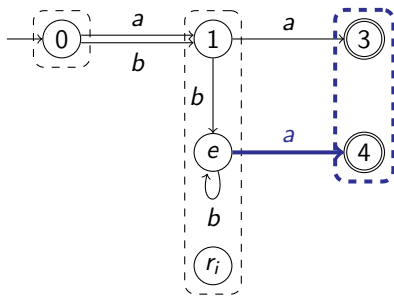
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Automatic Generation of DFA Minimization Problems

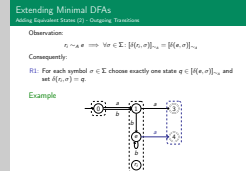
Extending Minimal DFAs

Extending Minimal DFAs

..dann sind folglich auch diejenigen Zust. äqu., wenn wir ein bel. Symbol von r_i und e aus lesen

-
und setze diesen Zustand als Endzustand für $\delta(r_i, \sigma)$

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So konnten wir mit dieser Regel erreichen, dass jede Transition von r_i in dieselbe Äqu.kl. führt, die wir err. würden, wenn wir der korresp. Tr. von e folgten



Extending Minimal DFAs

Adding Equivalent States (2) - Outgoing Transitions

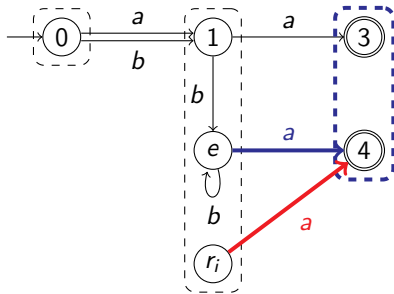
Observation:

$$r_i \sim_A e \implies \forall \sigma \in \Sigma: [\delta(r_i, \sigma)]_{\sim_A} = [\delta(e, \sigma)]_{\sim_A}$$

Consequently:

R1: For each symbol $\sigma \in \Sigma$ choose exactly one state $q \in [\delta(e, \sigma)]_{\sim_A}$ and set $\delta(r_i, \sigma) = q$.

Example



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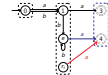
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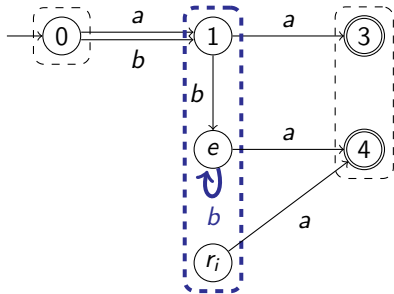
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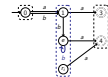
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Example



Extending Minimal DFAs

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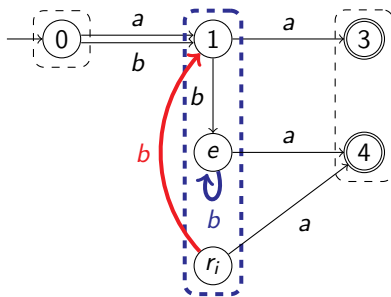
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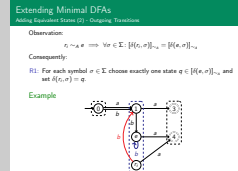
Extending Minimal DFAs

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Extending Minimal DFAs

Adding Equivalent States (3) - Ingoing Transitions

First observation: Since r_i must be reachable, we require $|d^-(r_i)| \geq 1$.

Let q be a state s.t. $\delta(q, \sigma) = p$ and we want $\delta(q, \sigma) = r_i$.

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└ Extending Minimal DFAs

└ Extending Minimal DFAs

rl kann nicht Startzustand sein, daher nicht in Erreichbarkeits-Bed. aufgenommen
-
wir müssen Tr. klauen, weil es keine Tr. mit 'losen' Enden gibt- arbeiten mit kompletten DFAs

Extending Minimal DFAs

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q must remain in its equivalence class

$\Rightarrow p$ must be in $[r_i]_{\sim_A} = [e]_{\sim_A}$

$\Rightarrow q$ has to have a transition to some state in $[r_i]_{\sim_A} = [e]_{\sim_A}$

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Furthermore, we see that p must have at least 2 *ingoing elements*.

$$\text{in}(q) = |d^-(q)| + \begin{cases} 1 & \text{if } s = q \\ 0 & \text{else} \end{cases}$$

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Extending Minimal DFAs

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im Wesentlichen: p muss freie eingehende Tr. haben

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$$\text{in}(q) = |d^-(q)| + \begin{cases} 1 & \text{if } s = q \\ 0 & \text{else} \end{cases}$$

R2: Choose at least one $((q, \sigma), p) \in \delta$ with $[p] = [e]$ and $\text{in}(p) \geq 2$.
Remove $((q, \sigma), p)$ from δ and add $((q, \sigma), r_i)$.

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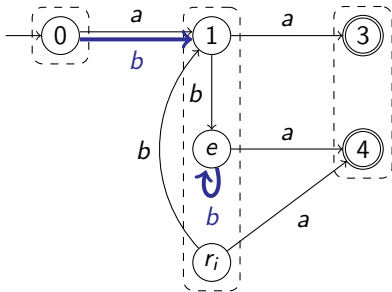
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Extending Minimal DFAs

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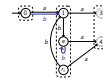
Example



Automatic Generation of DFA Minimization Problems

Extending Minimal DFAs

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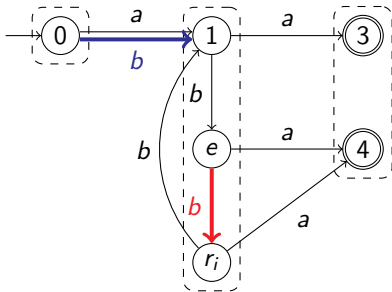


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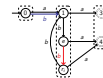
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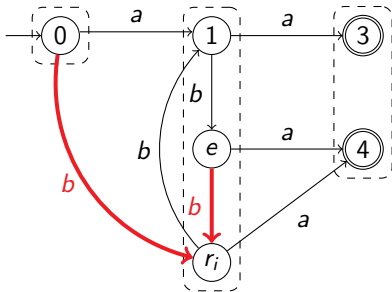


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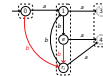
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Automatic Generation of DFA Minimization Problems

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Extending Minimal DFAs

Adding Unreachable States

Reminder: We say a state q is *unreachable*, iff there is no word $w \in \Sigma^*$ such that $\delta^*(s, w) = q$.

```
1: function AddUnrStates ( $A, n_u, c$ )
2:    $U \leftarrow \emptyset$ 
3:   for  $n_u$  times do
4:     let  $q$  be the new state
5:     steal ingoing tr. from a random subset of  $U \times \Sigma$ 
6:     add outgoing tr. to  $|\Sigma|$  random states
7:     add  $q$  to  $U$ 
8:   return  $A$ 
```

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Live Demonstration and Conclusion

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Automatic Generation of DFA Minimization Problems

└─ Live Demonstration and Conclusion

└─ Outline

Outline

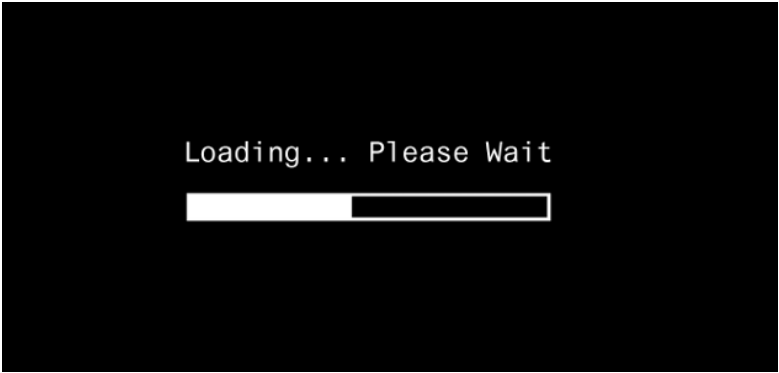
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⁴<https://sagamer.co.za/wp-content/uploads/2015/03/loading-please-wait.png>

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- Automatic Generation of DFA Minimization Problems
 - └ Live Demonstration and Conclusion
 - └ Live Demonstration



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 \Rightarrow capping the max. degree?
- ▶ investigate planarity and drawing algorithms
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Definition

We will call a word w *distinguishing word* of p, q , iff

$$\delta^*(p, w) \in F \Leftrightarrow \delta^*(q, w) \notin F$$

Lemma

Iff $(p, q) \in m(n)$, the shortest distinguishing word of p, q has length n .

Lemma

If FindEquivPairs has done n iterations and terminated (so $\mathfrak{D}(A) = n$), then the longest word w , that is a shortest distinguishing word for any state pair, has length $\mathfrak{D}(A) - 1$.

Theorem

Given two DFAs A, A' . If both are accessible and $L(A) = L(A')$, then FindEquivPairs runs with the same number of iterations on them: $\mathfrak{D}(A) = \mathfrak{D}(A')$.

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