

# Modelling Uncertainty in the Risk of Intensive Care Unit Readmission I: Data Extraction and Modelling

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January 20, 2021

## 1 SCOPE AND AIMS

This document provides a written overview of the first phase of the project. The aims of this phase were twofold:

1. To extract a dataset from the MIMIC-III database of surgical ICU patients, consisting of a clearly defined outcome measure (ICU readmission), and a range of predictors.
2. To compare the performance of a range of published models for the prediction of ICU readmission risk and identify the best model to take forward. This will form the prediction model at the core of a system for quantifying uncertainty and dealing with missing data.

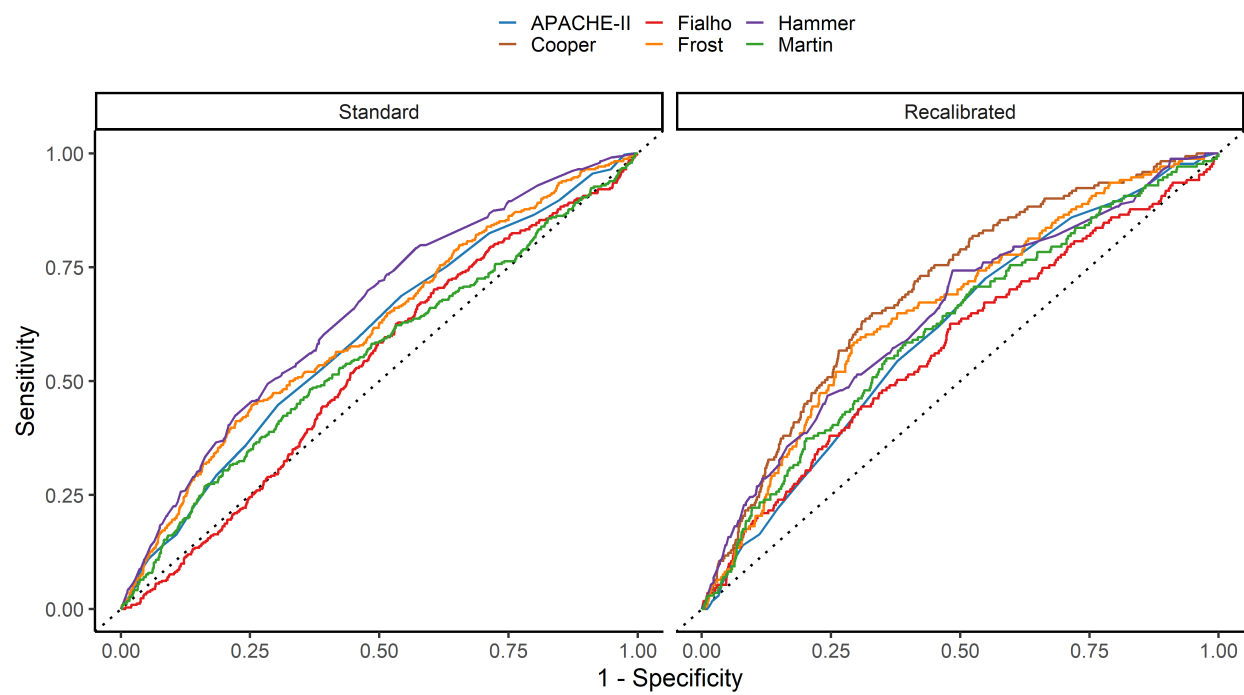


Figure 1

## 2 INTRODUCTION

### 2.1 ICU readmission

### 2.2 Readmission prediction models

## 3 METHODS

### 3.1 Data Source

### 3.2 Inclusion criteria

### 3.3 Outcome measure

### 3.4 Candidate models

### 3.5 Model comparisons

### 3.6 Recalibration

### 3.7 Novel model

## 4 RESULTS

### 4.1 Descriptive statistics

### 4.2 Discrimination

### 4.3 Calibration

### 4.4 Variables retained in novel model

## 5 DISCUSSION

### 5.1 Model performance

### 5.2 Next steps

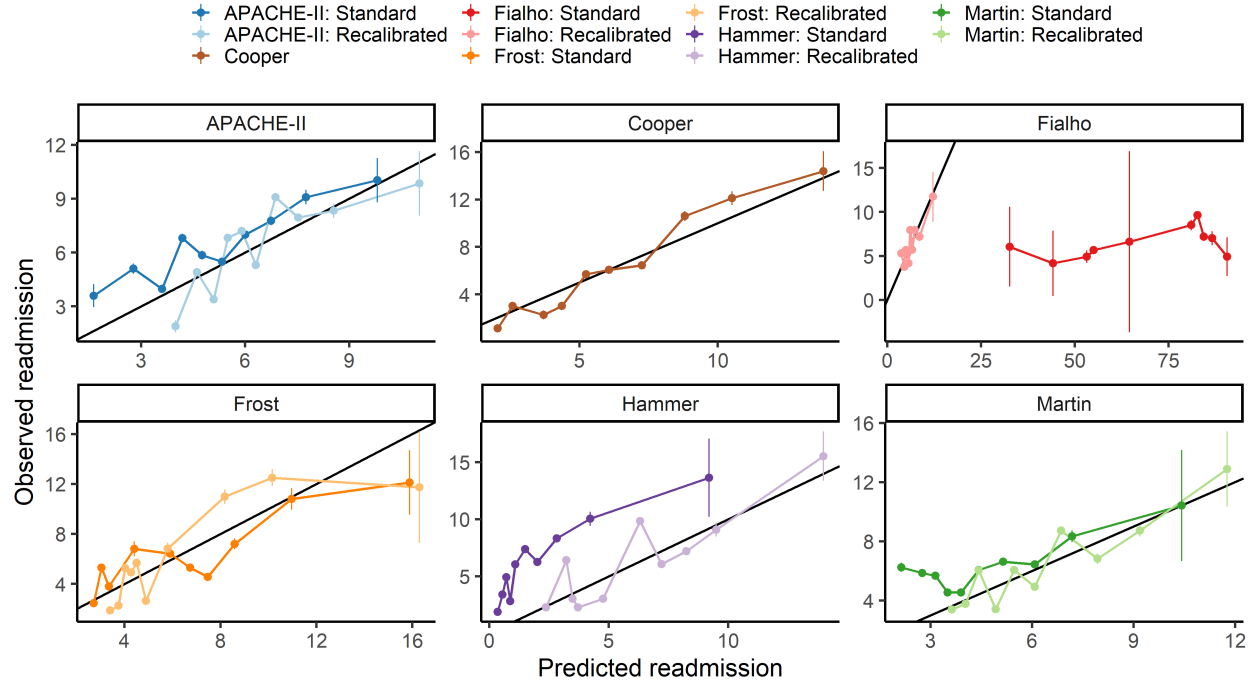


Figure 2

Table 1

| Variable         | No Readmission | Readmitted to ICU |
|------------------|----------------|-------------------|
| N                | 4944 (93.5%)   | 342 (6.5%)        |
| Sex              |                |                   |
| Male             | 3121 (63.1%)   | 207 (60.5%)       |
| Female           | 1823 (36.9%)   | 135 (39.5%)       |
| General surgery  | 1167 (23.6%)   | 136 (39.8%)       |
| Cardiac surgery  | 2423 (49%)     | 93 (27.2%)        |
| Hyperglycaemia   | 475 (9.6%)     | 35 (10.2%)        |
| Severe anaemia   | 35 (0.7%)      | 1 (0.3%)          |
| APACHE-II > 20   | 2397 (48.5%)   | 164 (48%)         |
| Positive fluid   |                |                   |
| balance >5L      | 768 (15.5%)    | 95 (27.8%)        |
| No ambulation    | 3882 (78.5%)   | 298 (87.1%)       |
| ICU stay >5 days | 1244 (25.2%)   | 124 (36.3%)       |

**Table 2**

| Variable               | No Readmission  | Readmitted to ICU |
|------------------------|-----------------|-------------------|
| N                      | 4944 (93.5%)    | 342 (6.5%)        |
| Age                    | 64.2 $\pm$ 14.5 | 63.5 $\pm$ 14.5   |
| Sex                    |                 |                   |
| Male                   | 3121 (63.1%)    | 207 (60.5%)       |
| Female                 | 1823 (36.9%)    | 135 (39.5%)       |
| Elective admission     | 1980 (40%)      | 104 (30.4%)       |
| Admission source       |                 |                   |
| Operating theatre      | 2246 (45.4%)    | 120 (35.1%)       |
| Emergency room         | 769 (15.6%)     | 91 (26.6%)        |
| Other hospital         | 976 (19.7%)     | 69 (20.2%)        |
| Ward                   | 953 (19.3%)     | 62 (18.1%)        |
| APACHE-II score        | 10.4 $\pm$ 4.70 | 12.0 $\pm$ 4.87   |
| ICU stay >7 days       | 864 (17.5%)     | 98 (28.7%)        |
| Discharged after hours | 2874 (58.1%)    | 223 (65.2%)       |
| Acute renal failure    | 788 (15.9%)     | 125 (36.5%)       |

**Table 3**

| Variable               | No Readmission  | Readmitted to ICU |
|------------------------|-----------------|-------------------|
| N                      | 4944 (93.5%)    | 342 (6.5%)        |
| Age                    | 64.2 $\pm$ 14.5 | 63.5 $\pm$ 14.5   |
| Respiratory rate       | 18.4 $\pm$ 3.89 | 19.2 $\pm$ 3.91   |
| Blood urea nitrogen    | 22.8 $\pm$ 16.3 | 27.8 $\pm$ 20.9   |
| Serum glucose          | 129 $\pm$ 29.0  | 126 $\pm$ 29.2    |
| Serum chloride         | 105 $\pm$ 4.4   | 105 $\pm$ 4.7     |
| Hx atrial fibrillation | 1565 (31.7%)    | 129 (37.7%)       |
| Hx renal insufficiency | 261 (5.3%)      | 31 (9.1%)         |

**Table 4**

| Variable               | No Readmission  | Readmitted to ICU |
|------------------------|-----------------|-------------------|
| N                      | 4944 (93.5%)    | 342 (6.5%)        |
| Heart rate             | 83.9 $\pm$ 12.2 | 84.8 $\pm$ 13.4   |
| Temperature            | 36.8 $\pm$ 0.51 | 36.8 $\pm$ 0.55   |
| Oxygen saturation      | 96.8 $\pm$ 1.64 | 96.8 $\pm$ 1.69   |
| Mean arterial pressure | 81.2 $\pm$ 14.6 | 85.0 $\pm$ 16.0   |
| Platelets              | 221 $\pm$ 131   | 241 $\pm$ 154     |
| Lactic acid            | 1.68 $\pm$ 0.82 | 1.58 $\pm$ 0.82   |

**Table 5**

| Model     | AUC  | $\chi^2$ | AUC <sub>rc</sub> | $\chi^2_{rc}$ |
|-----------|------|----------|-------------------|---------------|
| APACHE-II | 0.60 | 296.8    | 0.61              | <b>6.29</b>   |
| Cooper    | —    | —        | 0.70              | <b>6.17</b>   |
| Fialho    | 0.53 | 19010.1  | 0.58              | <b>6.70</b>   |
| Frost     | 0.61 | 402.3    | 0.66              | 17.48         |
| Hammer    | 0.65 | 130      | 0.65              | <b>7.57</b>   |
| Martin    | 0.56 | 273.7    | 0.61              | <b>6.06</b>   |