Synchronization (I)

---- Race Condition & Mutual exclusion

Example

Shared variable:

int count =0;

Code for P1:

count++;

Code for P2:

count--;

Race Condition (The order of execution of instructions influencing the result produced)

```
count++ is compiled to
       register1 = count
      register1 = register1 + 1
      count = register1
count-- is compiled to
       register2 = count
      register2 = register2 - 1
      count = register2
\blacksquare Consider these executions interleaving ("count = 0" initially):
       S0: P1 executes register1 = count {register1 = 0}
       S1: P1 executes register1 = register1 + 1 \{\text{register1} = 1\}
       S2: P2 executes register2 = count \{register2 = 0\}
       S3: P2 executes register2 = register2 - 1 {register2 = -1}
       S4: P1 executes count = register1 {count = 1}
       S5: P2 executes count = register2 \{\text{count} = -1\}
```

Observations

- Concurrent access to shared data may result in data inconsistency (i.e., the results are different from different execution sequences → un-predictable)
- Maintaining data consistency requires mechanisms to ensure mutual exclusive access to shared data by processes/threads.

Critical-Section (CS)

- A system consist of n processes (threads) P0, P1, ... Pn-1.
- Each process has a segment of code, called critical section (CS), in which the process may be changing common variables, updating a shared table, writing a shared file, and so on.
- Ideally, when one process is executing in its CS, no other process is to be allowed to execute in its CS.

Solution to Critical-Section Problem: Requirements

Mutual Exclusion - If process P_i is executing in its critical section, then no other processes can be executing in their critical sections.

Progress (no starvation) - If no process is executing in its critical section and there exists some process P that wishes to enter their critical section, then the selection of P to enter the critical section cannot be postponed indefinitely.

Peterson's Solution

- Two process (thread) solution
- Assume that the LOAD and STORE instructions are atomic; that is, cannot be interrupted.
- The two processes share two variables: int turn;Boolean flag[2]
- The variable *turn* indicates whose turn it is to enter the critical section.
- The *flag* array is used to indicate if a process wishes to enter the critical section. flag[i] = true implies that process P_i wishes to!

Algorithm for Process P_i

```
flag[i] = TRUE;

turn = j; //j=1-i is the ID of other process

while (flag[j] && turn == j);

..... critical section .....

flag[i] = FALSE;

..... remainder section ....
```

Single-wood Bridge



Generalization: Solution to CS Problem Using Locks

acquire lock

critical section

release lock

remainder section



Synchronization Hardware

- Many systems provide hardware support for critical section code
- Uniprocessors could disable interrupts
 - Currently running code would execute without preemption
 - Not effective or too inefficient on multiprocessor systems
- Modern machines provide special atomic hardware instructions
 - Test memory word and set value (atomic TestAndSet)
 - Swap contents of two memory words (atomic Swap)

TestAndSet Instruction

Definition:

```
boolean TestAndSet (boolean *target)
{
   boolean rv = *target;
   *target = TRUE;
   return rv:
}
```

Solution using TestAndSet

- Shared boolean variable lock., initialized to false.
- Solution:

```
while (TestAndSet (&lock));
... critical section ...
lock = FALSE;
... remainder section ...
```

Swap Instruction

```
Definition:
     void Swap (boolean *a, boolean *b)
           boolean temp = *a;
           *a = *b;
           *b = temp:
```

Solution using Swap

- Shared Boolean variable lock initialized to FALSE; Each process has a local Boolean variable key
- Solution:

```
key = TRUE;
while ( key == TRUE) Swap (&lock, &key );
... critical section ...
lock = FALSE;
... remainder section ...
```

Semaphore

- An abstract data type
 - \square Semaphore S integer variable
 - Two standard operations modify S: wait() and signal()
 Originally called P() and V()
- Can only be accessed via two (atomic) operations

```
wait (S) {
     while (S <= 0); //blocked
     S--;
}
signal (S) {
     S++;
}</pre>
```

Usage of Semaphores

- Binary semaphore integer value can range only between 0 and 1; can be simpler to implement
 - Also known as mutex (i.e., mutual exclusive) locks
 - Provides mutual exclusion

```
Semaphore mutex; // initialized to 1 wait (mutex); ... Critical Section ... signal (mutex); ... remainder section ...
```

Counting semaphore – integer value can range over an unrestricted domain