

# Separated Variables on Plane Algebraic Curves

Manfred Buchacher

Institut für Algebra  
Johannes Kepler Universität Linz

Supported by the LIT with the grant LIT-2022-11-YOU-214

Let  $r \in \mathbb{C}(x, y)$ , and  $p \in \mathbb{C}[x, y]$ .

Let  $r \in \mathbb{C}(x, y)$ , and  $p \in \mathbb{C}[x, y]$ .

## Problem

Solve

$$r + q(x, y)p = f(x) - g(y)$$

for  $q, f, g \in \mathbb{C}(x, y)$ .

Some **field theoretic** interpretations

Some **field theoretic** interpretations

Intersections of fields

Some **field theoretic** interpretations

Intersections of fields

$$q(x, y)p = f(x) - g(y)$$

Some **field theoretic** interpretations

Intersections of fields

$$f(x) \equiv g(y) \pmod{p}$$

Some **field theoretic** interpretations

Intersections of fields

$$\mathbb{C}(x) \cap \mathbb{C}(y) \pmod{p}$$



Some **field theoretic** interpretations

Intersections of fields

$$\mathbb{C}(\mathbf{x}) \cap \mathbb{C}(\mathbf{y}) \pmod{p}$$

Field membership

Some **field theoretic** interpretations

Intersections of fields

$$\mathbb{C}(x) \cap \mathbb{C}(y) \pmod{p}$$

Field membership

$$r + q(x, y)p = f(x)$$

Some **field theoretic** interpretations

Intersections of fields

$$\mathbb{C}(x) \cap \mathbb{C}(y) \pmod{p}$$

Field membership

$$r \equiv f(x) \pmod{p}$$

Some **field theoretic** interpretations

Intersections of fields

$$\mathbb{C}(x) \cap \mathbb{C}(y) \pmod{p}$$

Field membership

$$r \in \mathbb{C}(x) \pmod{p}$$

Some **field theoretic** interpretations

Intersections of fields

$$\mathbb{C}(x) \cap \mathbb{C}(y) \pmod{p}$$

Field membership

$$r \in \mathbb{C}(x) \pmod{p}$$

Sum-decomposition

Some **field theoretic** interpretations

Intersections of fields

$$\mathbb{C}(x) \cap \mathbb{C}(y) \pmod{p}$$

Field membership

$$r \in \mathbb{C}(x) \pmod{p}$$

Sum-decomposition

$$r + q(x, y)p = f(x) - g(y)$$

Some **field theoretic** interpretations

Intersections of fields

$$\mathbb{C}(x) \cap \mathbb{C}(y) \pmod{p}$$

Field membership

$$r \in \mathbb{C}(x) \pmod{p}$$

Sum-decomposition

$$r \equiv f(x) - g(y) \pmod{p}$$

Some **field theoretic** interpretations

Intersections of fields

$$\mathbb{C}(\mathbf{x}) \cap \mathbb{C}(\mathbf{y}) \pmod{p}$$

Field membership

$$r \in \mathbb{C}(\mathbf{x}) \pmod{p}$$

Sum-decomposition

$$r \in \mathbb{C}(\mathbf{x}) + \mathbb{C}(\mathbf{y}) \pmod{p}$$



These problems arise in

- enumerative combinatorics
- computer vision
- parameter identification in ODE models
- algebraic independence of solutions of ODEs
- designing diffractive optical systems

Define

$$F(\mathfrak{r}, \mathfrak{p}) := \{(f, g) \in \mathbb{C}(\mathfrak{x}) \times \mathbb{C}(\mathfrak{y}) : f - g \in \mathfrak{r} + \langle \mathfrak{p} \rangle\}.$$

Define

$$F(r, p) := \{(f, g) \in \mathbb{C}(x) \times \mathbb{C}(y) : f - g \in r + \langle p \rangle\}.$$

Prop

Let  $(f, g)$  be any element of  $F(r, p)$ .

Define

$$F(r, p) := \{(f, g) \in \mathbb{C}(x) \times \mathbb{C}(y) : f - g \in r + \langle p \rangle\}.$$

Prop

Let  $(f, g)$  be any element of  $F(r, p)$ . Then

$$F(r, p) = (f, g) + F(0, p).$$

Define

$$F(r, p) := \{(f, g) \in \mathbb{C}(x) \times \mathbb{C}(y) : f - g \in r + \langle p \rangle\}.$$

## Prop

Let  $(f, g)$  be any element of  $F(r, p)$ . Then

$$F(r, p) = (f, g) + F(0, p).$$

Furthermore,

$$F(p) \equiv F(0, p)$$

is a simple field.

The problem of computing  $F(r, p)$  splits into

- 1) finding a **generator** of  $F(p)$ , and
- 2) determining **any** element of  $F(r, p)$ .

The problem of computing  $F(r, p)$  splits into

- 1) finding a **generator** of  $F(p)$ , and
- 2) determining **any** element of  $F(r, p)$ .

## Strategy

The non-linear problem of solving

$$r + qp = f - g$$

is reduced to a **linear** problem. The reduction is based on the computation of the **poles** of  $f$  and  $g$  and their **multiplicities**.

1) Finding a generator of  $F(p)$



1) Finding a generator of  $F(p)$

Poles

There is a generator  $(f, g) \in F(p)$  such that

$$f(\infty) = \infty.$$

There is a generator  $(f, g) \in F(p)$  such that

$$f(\infty) = \infty.$$

If  $(s_1, s_2)$  is a root of  $p$ , then

$$f(s_1) = \infty \quad \text{iff} \quad g(s_2) = \infty.$$

There is a generator  $(f, g) \in F(p)$  such that

$$f(\infty) = \infty.$$

If  $(s_1, s_2)$  is a root of  $p$ , then

$$f(s_1) = \infty \quad \text{iff} \quad g(s_2) = \infty.$$

## Def

Let  $\sim$  be the smallest equivalence relation on  $\{p = 0\}$  such that

$$(x_0, y_0) \sim (x_1, y_1) \quad \text{whenever} \quad x_0 = x_1 \text{ or } y_0 = y_1.$$

There is a generator  $(f, g) \in F(p)$  such that

$$f(\infty) = \infty.$$

If  $(s_1, s_2)$  is a root of  $p$ , then

$$f(s_1) = \infty \quad \text{iff} \quad g(s_2) = \infty.$$

## Def

Let  $\sim$  be the smallest equivalence relation on  $\{p = 0\}$  such that

$$(x_0, y_0) \sim (x_1, y_1) \quad \text{whenever} \quad x_0 = x_1 \text{ or } y_0 = y_1.$$

The equivalence class of  $(x_0, y_0)$  is called the **orbit** of  $(x_0, y_0)$ .

## Thm

The coordinates of the orbit of  $\infty$  are **poles** of  $f$  and  $g$ , respectively. The orbit is **finite**, and it is **exhaustive**.

## Thm

The coordinates of the orbit of  $\infty$  are **poles** of  $f$  and  $g$ , respectively. The orbit is **finite**, and it is **exhaustive**. If

$$F(p) \cong \mathbb{C},$$

it might however be infinite.

1) Finding a generator of  $F(p)$

Multiplicities



The problem is reduced to one for **homogeneous** polynomials by studying  $p$  **locally** at the elements of the **orbit** of  $\infty$ .

Any  $\omega \in \mathbb{Z}^2$  induces a **grading** on  $\mathbb{C}[x, y]$  by

$$\omega(ax^i y^j) = \omega_x i + \omega_y j.$$

The **leading part** of  $p(x, y)$  is the sum of terms of maximal (weighted) degree  $\omega(p)$ . It is denoted by  $lp_\omega(p)$ .

Any  $\omega \in \mathbb{Z}^2$  induces a **grading** on  $\mathbb{C}[x, y]$  by

$$\omega(ax^i y^j) = \omega_x i + \omega_y j.$$

The **leading part** of  $p(x, y)$  is the sum of terms of maximal (weighted) degree  $\omega(p)$ . It is denoted by  $lp_\omega(p)$ .

The weighted degrees of the terms of

$$p = xy - 1 - y - x^2y - x^2y^2$$

with respect to  $\omega = (1, -2)$  are

$$-1, 0, -2, 0, -2.$$

Any  $\omega \in \mathbb{Z}^2$  induces a **grading** on  $\mathbb{C}[x, y]$  by

$$\omega(ax^i y^j) = \omega_x i + \omega_y j.$$

The **leading part** of  $p(x, y)$  is the sum of terms of maximal (weighted) degree  $\omega(p)$ . It is denoted by  $lp_\omega(p)$ .

The weighted degrees of the terms of

$$p = xy - 1 - y - x^2y - x^2y^2$$

with respect to  $\omega = (1, -2)$  are

$$-1, 0, -2, 0, -2.$$

Its leading part is

$$lp_\omega(p) = -1 - x^2y.$$

Let us consider the **example** with

$$p = xy - 1 - y - x^2y - x^2y^2.$$

Let us consider the **example** with

$$p = xy - 1 - y - x^2y - x^2y^2.$$

The elements of the orbit of  $\infty$  are

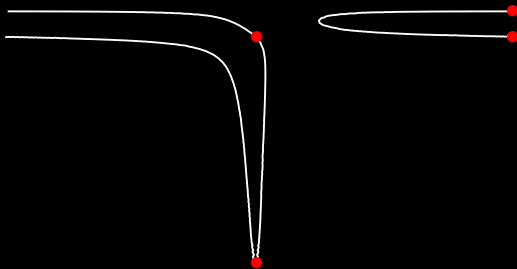
$$(\infty, 0), (\infty, -1), (0, -1) \quad \text{and} \quad (0, \infty).$$

Let us consider the **example** with

$$p = xy - 1 - y - x^2y - x^2y^2.$$

The elements of the orbit of  $\infty$  are

$$(\infty, 0), (\infty, -1), (0, -1) \quad \text{and} \quad (0, \infty).$$



The leading part of  $p$  associated with  $(\infty, 0)$  is

$$lp_{\omega}(p) = -1 - x^2y.$$



The leading part of  $p$  associated with  $(\infty, 0)$  is

$$\text{lp}_\omega(p) = -1 - x^2y.$$

Since

$$F(\text{lp}_\omega(p)) = \mathbb{C}((x^2, -y^{-1})),$$

there is a  $k \in \mathbb{N}$  such that

$$(m(\infty, f), m(0, g)) = k \cdot (2, 1).$$

The analysis of the other poles is done analogously. It results in the following 1-parameter family for their multiplicities.

f		g	
$\infty$	$2k$	$\infty$	$k$
$0$	$2k$	$0$	$k$
		$-1$	$2k$

Making the ansatz

$$f = \frac{f_0 + f_1x + \cdots + f_4x^4}{x^2} \quad \text{and} \quad g = \frac{g_0 + g_1y + \cdots + g_4y^4}{y(1+y)^2}$$

and

$$q = \frac{q_{0,0} + q_{1,0}x + \cdots + q_{2,1}x^2y}{x^2y(1+y)^2}$$

we find that

$$F(p) = \mathbb{C} \left( \left( \frac{(1-x)^2(1+x+x^2)}{x^2}, -\frac{(1+y+y^2)^2}{y(1+y)^2} \right) \right).$$

## Thm

If  $F(p)$  is non-trivial, then choosing  $k = 1$  results in the multiplicities of the poles of a generator.

If  $F(p)$  is non-trivial, then every orbit is finite.

The question of how the procedure can be turned into an algorithm raises the following

If  $F(p)$  is non-trivial, then every orbit is finite.

The question of how the procedure can be turned into an algorithm raises the following

## Problem

Compute an upper bound on the size of a finite orbit.

Before we explain how to compute  $F(r, p)$ ,  
let us consider the following simpler problem.

Before we explain how to compute  $F(r, p)$ ,  
let us consider the following simpler problem.

## Problem

Solve

$$r + q(x, y)p = f(x)$$

for  $q, f \in \mathbb{C}(x, y)$ .



2) Determining an element of  $F(r, p)$

2) Determining an element of  $F(r, p)$

Poles

Let  $(s_1, s_2)$  be a root of  $p$  such that

$$r(s_1, s_2) = f(s_1) - g(s_2).$$

Let  $(s_1, s_2)$  be a root of  $p$  such that

$$r(s_1, s_2) = f(s_1) - g(s_2).$$

Then

$$f(s_1) = \infty \quad \text{or} \quad g(s_2) = \infty \quad \text{if} \quad r(s_1, s_2) = \infty.$$

Let  $(s_1, s_2)$  be a root of  $p$  such that

$$r(s_1, s_2) = f(s_1) - g(s_2).$$

Then

$$f(s_1) = \infty \quad \text{or} \quad g(s_2) = \infty \quad \text{if} \quad r(s_1, s_2) = \infty.$$

If

$$r(s_1, s_2) < \infty, \quad \text{then} \quad f(s_1) = \infty \quad \text{iff} \quad g(s_2) = \infty.$$

Do the orbits of the poles of  $r$  provide all poles of  $f$  and  $g$ ?

Do the orbits of the poles of  $r$  provide all poles of  $f$  and  $g$ ?

No!

Do the orbits of the poles of  $r$  provide all poles of  $f$  and  $g$ ?

No!

It is also necessary to consider the orbits of its **roots** if  $F(p)$  is non-trivial, and the **finite orbits** if  $F(p)$  is trivial.



Do the orbits of the poles of  $r$  provide all poles of  $f$  and  $g$ ?

No!

It is also necessary to consider the orbits of its **roots** if  $F(p)$  is non-trivial, and the **finite orbits** if  $F(p)$  is trivial.

**Prop** [Bell, Moosa, Topaz, Bellaïche]

If  $F(p)$  is trivial, then the number of finite orbits is finite.

2) Determining an element of  $F(r, p)$

Multiplicities

(Upper) bounds on the multiplicities are derived from

- the local behavior of  $r$ ,
- its (weighted) degrees  $\omega(r)$ ,
- and the generators of  $F(\mathrm{lp}_\omega(\mathfrak{p}))$ .

Let  $(x_1, y_1)$  and  $(x_2, y_2)$  be roots of  $p$ .

Let  $(x_1, y_1)$  and  $(x_2, y_2)$  be roots of  $p$ .

## Problem

Decide whether  $(x_2, y_2)$  is in (some part of) the orbit of  $(x_1, y_1)$ .

Let  $(x_1, y_1)$  and  $(x_2, y_2)$  be roots of  $p$ .

## Problem

Decide whether  $(x_2, y_2)$  is in (some part of) the orbit of  $(x_1, y_1)$ .

## Problem

How do the semi-algorithms generalize?

## References

- *Separating variables in bivariate polynomial ideals*
- *Separating variables in bivariate polynomial ideals: the local case*
- *Separated variables on plane algebraic curves*

## References

- *Separating variables in bivariate polynomial ideals*
- *Separating variables in bivariate polynomial ideals: the local case*
- *Separated variables on plane algebraic curves*
- *Galoisian structure of large steps walks confined in the first quadrant*, Pierre Bonnet and Charlotte Hardouin



## References

- *Separating variables in bivariate polynomial ideals*
- *Separating variables in bivariate polynomial ideals: the local case*
- *Separated variables on plane algebraic curves*
- *Galoisian structure of large steps walks confined in the first quadrant*, Pierre Bonnet and Charlotte Hardouin

Thank you!