## **Evaluations (first is validation; second is test):**

#### Task 1:

```
processed 51362 tokens with 5942 phrases; found: 5646 phrases; correct: 4627.
accuracy: 80.87%; (non-0)
accuracy:
          96.12%; precision:
                              81.95%; recall:
                                               77.87%; FB1:
                                                             79.86
             LOC: precision: 89.14%; recall:
                                               84.43%; FB1:
                                                             86.72
                                                                    1740
            MISC: precision: 85.84%; recall:
                                               75.60%; FB1:
                                                             80.39
                                                                   812
             ORG: precision: 71.58%; recall:
                                              72.86%; FB1:
                                                             72.21
                                                                    1365
             PER: precision: 81.09%; recall:
                                              76.11%; FB1:
                                                             78.52
processed 46435 tokens with 5648 phrases; found: 5292 phrases; correct: 4000.
accuracy: 75.47%; (non-0)
accuracy:
         94.47%; precision: 75.59%; recall: 70.82%; FB1:
                                                            73.13
             LOC: precision: 86.37%; recall: 79.80%; FB1:
                                                             82.95
                                                                    1541
            MISC: precision: 73.10%; recall: 65.81%; FB1:
                                                             69.27
                                                                    632
             ORG: precision: 65.42%; recall:
                                              65.50%; FB1:
                                                             65.46
                                                                    1663
             PER: precision: 76.85%; recall: 69.20%; FB1: 72.83
                                                                    1456
```

#### Task 2:

```
accuracy: 89.70%; (non-0)
accuracy: 97.87%; precision: 90.61%; recall: 89.53%; FB1:
                                                            90.07
                             93.79%; recall: 93.79%; FB1:
             LOC: precision:
                                                            93.79
                                                                   1837
                                             79.39%; FB1:
                                                           81.02
            MISC: precision: 82.71%; recall:
                                                                   885
             ORG: precision: 85.69%; recall: 81.28%; FB1: 83.43
                                                                   1272
             PER: precision: 94.57%; recall: 96.36%; FB1: 95.46
processed 46435 tokens with 5648 phrases; found: 5582 phrases; correct: 4846.
accuracy: 87.03%; (non-0)
          96.86%; precision:
                             86.81%; recall: 85.80%; FB1:
                                                            86.30
accuracy:
             LOC: precision: 89.07%; recall: 90.83%; FB1:
                                                            89.94
                                                                   1701
            MISC: precision: 71.57%; recall: 69.94%; FB1:
                                                            70.75
                                                                   686
             ORG: precision: 83.70%; recall: 80.07%; FB1:
                                                                   1589
                                                            81.85
             PER: precision: 94.02%; recall: 93.38%; FB1: 93.70
                                                                   1606
```

What is the precision, recall, and F1 score on the validation data?

I think this is because GloVe embedding is pre-trained on a large corpus and it doesn't have to learn
word representations from scratch. There is also reduced overfitting due to GloVe embeddings
being pretrained. I also think that since we are dealing with BiDirectional LSTMs having the GloVe
embeddings allows better context from both directions.

## Task 3:

```
processed 51362 tokens with 5942 phrases; found: 4826 phrases; correct: 3132.
accuracy: 50.37%; (non-0)
accuracy: 91.39%; precision:
                              64.90%; recall: 52.71%; FB1:
                                                             58.17
             LOC: precision: 79.99%; recall:
                                              75.29%; FB1:
                                                             77.57
                                                                    1729
            MISC: precision: 68.97%; recall: 60.74%; FB1:
                                                             64.59
                                                                    812
                              60.67%; recall: 47.05%; FB1:
                                                             53.00
                                                                    1040
             ORG: precision:
             PER: precision: 44.82%; recall: 30.29%; FB1:
                                                             36.15
processed 46435 tokens with 5648 phrases; found: 4184 phrases; correct: 2361.
accuracy: 42.23%; (non-0)
          89.37%; precision:
                              56.43%; recall: 41.80%; FB1:
                                                             48.03
accuracy:
             LOC: precision:
                              73.36%; recall:
                                              73.14%: FB1:
                                                             73.25
                                                                    1663
            MISC: precision:
                              58.32%; recall:
                                               53.42%; FB1:
                                                             55.76
                                                                    643
                              55.50%; recall:
                                               34.92%; FB1:
                                                             42.87
                                                                    1045
             ORG: precision:
             PER: precision: 22.33%; recall: 11.50%; FB1:
                                                             15.18
                                                                    833
```

What is the reason behind the poor performance of the transformer?

- I think this is because transformers are normally suited to perform well with very large datasets.

Overfitting is also possible on smaller datasets like the one we are using.

#### Task 1: BiDirectional LSTM Model

### Data Loading:

I loaded the CoNLL-2003 dataset to the script and generated a word2idx dictionary based off of the training set. Only words occurring 3 or more times were included. I also added PAD and UNK tokens to the dictionary. I then converted the words to their respective indices. I do a similar process for the NER tags. Then I removed the unnecessary columns. There is also a class for the data so that it is properly formatted. I also use Dataloaders to properly batch the data.

#### Model:

There is an embedding layer that transforms word indices to vectors of a fixed size and we use the vocab size to define the size of the input. Next there is a bidirectional LSTM layer which is a type of RNN and this bidirectional aspect is captured with lstm\_hidden\_dim // 2. This means that the hidden dimension is split between the two directions

There is a dropout layer with zeros out some elements of the tensor randomly. This is here to prevent overfitting. There is also a linear layer that changes the output of the LSTM layer to a specified dimension. Next is a ELU function that is applied to the output of the linear layer because it allows for the model to learn more complex patterns. Lastly it has another linear layer that changes the ELU layer output to be equal to the number of entity tags. This model also has a method to indicate how the input data should move through the model. The model is instantiated with the hyperparameters required by the homework assignment.

## Training:

Before I create the training loop I establish the loss function and optimizer to be used. I use Cross-Entropy Loss and the ignore\_index parameter to ignore the PAD token. I use the Adam optimizer for this assignment with a learning rate of .001. In the actual training loop I am iterating for 20 epochs. I also include batch processing where each batch contains a set or group of sentences and their corresponding tags. The gradient is then cleared. After tag\_scores holds the logits the model produced.

I then save the model. Then I evaluate the model using the conlleval script.

# Task 2: Using GloVe word embeddings

#### GloVe:

I load the GloVe embeddings file glove.6B.100d.txt. Then I split the content into the words and their respective embeddings. I append these values to a vocab list and an embedding list.

# Embedding Layer:

I prepared the embedding layer but converted the vocab and their embeddings to NumPy array. I then add PAD and UNK tokens to the vocab. I then needed to add embeddings for these special tokens. I gave PAD 0s and UNK the means of all embeddings. Finally I create an embedding layer from the embeddings and freeze the embeddings during training.

# Data Prep:

I then load the CoNLL-2003 dataset like in task 1 and create a word2idx definition. This dictionary then goes through preprocessing where it is lowercase and then converted to indices. Similar to task 1 I create a class for the datasets and a DataLoader that incorporates padding and batching.

## Model:

Same implementation as task 1 but I make sure to use the GloVe embeddings.

The rest of the implementation is the same as task 1.