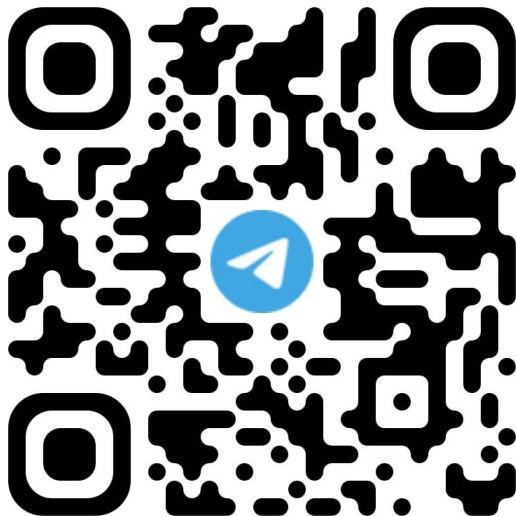


# String Match Algorithms

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# Telegram Chat



- Alphabet  $\{a_1, a_2, \dots, a_A\}$
- String  $S$ :  $s_1 s_2 \dots s_k$
- Substring  $S[i : j]$ :  $s_i s_{i+1} \dots s_{j-1}$
- Prefix  $S[: j]$ :  $s_1 s_2 \dots s_j$
- Suffix  $S[j : ]$ :  $s_j s_{j+1} \dots s_k$

# Pattern Matching Task

Input:

- String  $T$  (target):  $t_1 t_2 \dots t_n$
- String  $P$  (pattern):  $p_1 p_2 \dots p_m$ ,  $m \leq n$

Output:

- Substring  $T[i : j]$ :  $T[i, j] = P$ .

# Pattern Matching Algorithms: Simple Approaches

- Naive Algorithm
- Boyer-Moore Algorithm
- Knuth-Morris-Prath (prefix function)
- Aho-Corasick algorithm
- Rabin-Karp algorithm (hashing)

# Naive Algorithm

## Idea

Try to check every substring for matching!

T (target): *acbcdcbaabcacb*, P (pattern): *bcacb*

T:	a	c	b	c	d	c	b	a	a	b	c	a	c	b
P:	b	c	a	c	b									

# Naive Algorithm

## Idea

Try to check every substring for matching!

T (target): *acbcdcbaabcacb*, P (pattern): *bcacb*

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P:		b	c	a	c	b								

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P:			b	c	a	c	b							



# Naive Algorithm

## Idea

Try to check every substring for matching!

T (target): *acbcdcbabcacb*, P (pattern): *bcacb*

T:	a	c	b	c	d	c	b	a	a	b	c	a	c	b
P:			b	c	a	c	b							

Complexity:  $O(T \cdot P)$

# Boyer-Moore Algorithm

## Idea

Learn from character comparisons to skip pointless alignments

T:	a	c	b	c	d	c	b	c	a	b	c	a	c	b
P:			b	c	a	c	b							

Alignments - left-to-right order

Comparisons - right-to-left order

# Boyer-Moore Algorithm: Bad character rule

Upon mismatch, skip alignments until

a. Mismatch becomes a match

T:	a	c	b	c	d	c	b	a	a	b	c	a	c	b
P:			d	c	a	c	b							

# Boyer-Moore Algorithm: Bad character rule

Upon mismatch, skip alignments until  
a. Mismatch becomes a match

T:	a	c	b	c	d	c	b	a	a	b	c	a	c	b
P:					d	c	a	c	b					

# Boyer-Moore Algorithm: Bad character rule

Upon mismatch, skip alignments until  
b. P moves past mismatched character

T:	a	c	b	c	d	c	b	a	a	b	c	a	c	b
P:			b	c	a	c	b							

# Boyer-Moore Algorithm: Bad character rule

Upon mismatch, skip alignments until  
b. P moves past mismatched character

T:	a	c	b	c	d	c	b	a	a	b	c	a	c	b
P:						b	c	a	c	b				

# Boyer-Moore Algorithm: Good suffix rule

t - substring matched by the inner loop. Skip alignments until  
a. There are no mismatches between P and t

T:	a	c	b	c	d	c	b	a	a	b	c	a	c	b
P:			c	b	a	c	b							

# Boyer-Moore Algorithm: Good suffix rule

t - substring matched by the inner loop. Skip alignments until  
a. There are no mismatches between P and t

T:	a	c	b	c	d	c	b	a	a	b	c	a	c	b
P:						c	b	a	c	b				



# Boyer-Moore Algorithm: Good suffix rule

t - substring matched by the inner loop. Skip alignments until  
b. P moves past t

T:	a	c	b	c	d	c	b	a	a	b	c	a	c	b
P:			b	c	a	c	b							

# Boyer-Moore Algorithm: Good suffix rule

t - substring matched by the inner loop. Skip alignments until  
b. P moves past t

T:	a	c	b	c	d	c	b	a	a	b	c	a	c	b
P:							b	c	a	c	b			

# Boyer-Moore Algorithm

We can precalculate the number of alignments we can skip:

P:	b	b	a	d	c
a	1	2	-	1	2
b	-	-	1	2	3
c	1	2	3	4	-
d	1	2	3	-	1

Complexity (worst-case):  $O(T \cdot P)$

Complexity (best-case):  $O(T/P)$

# Knuth-Morris-Pratt Algorithm

## Definition

**Prefix function** for string  $S$ : max length  $n$  ( $n < |S|$ ) of prefix  $S[:n]$  that equals to suffix  $S[-n:]$ .

Example: what is prefix function for *abracadabra*?

*PrefixFunction(abracadabra) = 4*: *abra* is the prefix and suffix

# Knuth-Morris-Pratt Algorithm

We can calculate PrefixFunction for all prefixes:

Letter	a	b	r	a	c	a	d	a	b	r	a
PrefixFunction	1	0	0	1	0	1	0	1	2	3	4

# Knuth-Morris-Pratt Algorithm: PrefixFunction calculation

abacab  $\rightarrow$  abacab**a**

$PrefixFunction(\underline{abacab}) = 2$

How to calculate  $PrefixFunction(abacaba)$ ?

$PrefixFunction(\underline{abacaba}) = PrefixFunction(abacab) + 1!$

# Knuth-Morris-Pratt Algorithm: PrefixFunction calculation

acaacbacaac  $\rightarrow$  acaacbacaac**a**

$PrefixFunction(\underline{acaac}b\underline{acaac}) = 5$ .

$S[6] = b \neq a = S[12]$

But:  $PrefixFunction(\underline{acaac}b\underline{aca}) = 3 = PrefixFunction(\underline{acaac}) + 1$

Idea

We can recalculate PrefixFunction recursively from prefixes!

# Knuth-Morris-Pratt Algorithm

- Precalculate prefix function for prefixes of  $P$ .
- Calculate  $PrefixFunction(P\$T)$  ( $\$$  is separator) iteratively for each character
- Find prefix  $U$ :  $PrefixFunction(U) = Length(P)$

Example:  $P = banana$ ,  $T = ana$

Letter	a	n	a	\$	b	a	n	a	n	a
PrefixFunction	0	0	1	0	0	1	2	3	2	3

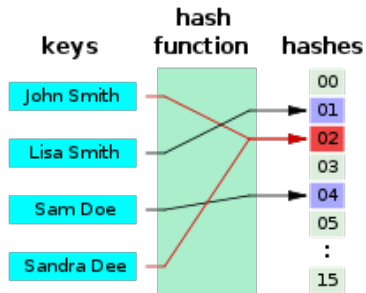
Complexity -  $O(T + P)$ .



# Rabin-Karp algorithm: hashing

## Idea

Translate string (k-mer) to hash!



# Rabin-Karp algorithm: glossary

- Alphabet  $A$ :  $\{a_1, \dots, a_A\}$ .
- Number presentation:  $\{\alpha_1, \dots, \alpha_A\}$ .
- String  $T$ :  $s_1 \dots s_k$ , its number presentation:  $\sigma_1 \dots \sigma_k$
- String polynom  $N(S) = (\sigma_1 A^{k-1} + \sigma_2 A^{k-2} + \dots + \sigma_k)$
- String hash  $H(i) = N(i) \bmod M$ , where  $A$  and  $M$  are coprime.

# Rabin-Karp algorithm: pattern search

$$T : \dots \sigma_i \overbrace{\sigma_{i+1} \dots \sigma_{i+m}}^{H(T[i:i+m])} \sigma_{i+m+1} \dots$$
$$\underbrace{\hspace{10em}}_{H(T[i+1:i+m+1])}$$

Searching pattern  $P$  in  $T$ ,  $length(P) = m$

Pattern is found when  $H(T[i : i + m]) = H(P)$

# Rabin-Karp algorithm: pattern search

$$T : \dots \sigma_i \overbrace{\sigma_{i+1} \dots \sigma_{i+m}}^{H(T[i:i+m])} \sigma_{i+m+1} \dots$$
$$\underbrace{\hspace{10em}}_{H(T[i+1:i+m+1])}$$

Searching pattern  $P$  in  $T$ ,  $\text{length}(P) = m$

Pattern is found when  $H(T[i : i + m]) = H(P)$

- Complexity:  $O(T + P)$  - best case,  $O(T + P + kP)$  for  $k$  matches.

# Rabin-Karp algorithm: pattern search

$$T : \dots \overbrace{\sigma_i \sigma_{i+1} \dots \sigma_{i+m}}^{H(T[i:i+m])} \sigma_{i+m+1} \dots$$
$$\underbrace{\sigma_{i+1} \dots \sigma_{i+m} \sigma_{i+m+1}}_{H(T[i+1:i+m+1])}$$

Searching pattern  $P$  in  $T$ ,  $length(P) = m$

Pattern is found when  $H(T[i : i + m]) = H(P)$

- Complexity:  $O(T + P)$  - best case,  $O(T + P + kP)$  for  $k$  matches.
- Beware of hash collisions: for  $T[i : i + m] \neq T[j : j + m]$   $H(T[i : i + m]) = H(T[j : j + m])$ .

# Rabin-Karp algorithm: hashing

$$T : \dots \sigma_i \overbrace{\sigma_{i+1} \dots \sigma_{i+m}}^{N(T[i:i+m])} \sigma_{i+m+1} \dots$$

$\mathbf{N(T[i + 1:i + m + 1])}$

$$N(T[i : i + m]) = (\sigma_i \cdot A^m + N(T[i + 1 : i + m]))$$

$$N(T[i + 1 : i + m]) = N(T[i : i + m]) - \sigma_i \cdot A^m$$

$$\mathbf{N(T[i + 1:i + m + 1])} = A \cdot N(T[i + 1 : i + m]) + \sigma_{i+m+1}$$

$$\mathbf{N(T[i + 1:i + m + 1])} = A \cdot (N(T[i : i + m]) - \sigma_i \cdot A^m) + \sigma_{i+m+1}$$

# Rabin-Karp algorithm: example

Alphabet:  $a, b, c, d$

Number presentation: 0, 1, 2, 3

Pattern  $P$ :  $adcca$

$$H(P) = (\sigma_0 A^4 + \sigma_1 A^3 + \sigma_2 A^2 + \sigma_3 A^1 + \sigma_4) = 0 \cdot 4^4 + 3 \cdot 4^3 + 2 \cdot 4^2 + 2 \cdot 4^1 + 0 = 232$$

# Rabin-Karp algorithm: example

$$H(P) = 232$$

T:	b	c	a	d	c	c	a	a	a	b	c	a	c	b
P:	a	d	c	c	a									

$$T(0) = T(\text{bcadc}) = 1 \cdot 4^4 + 2 \cdot 4^3 + 0 \cdot 4^2 + 3 \cdot 4^1 + 2 = 398 \neq 232$$



# Rabin-Karp algorithm: example

$$H(P) = 232$$

T:	b	c	a	d	c	c	a	a	a	b	c	a	c	b
P:		a	d	c	c	a								

$$T(0) = T(\text{bcadc}) = 1 \cdot 4^4 + 2 \cdot 4^3 + 0 \cdot 4^2 + 3 \cdot 4^1 + 2 = 398 \neq 232$$

$$T(1) = T(\text{cadcc}) = (T(0) - 1 \cdot 4^4) \cdot 4 + 2 = (398 - 1 \cdot 4^4) \cdot 4 + 2 = 570 \neq 232$$

# Rabin-Karp algorithm: example

$$H(P) = 232$$

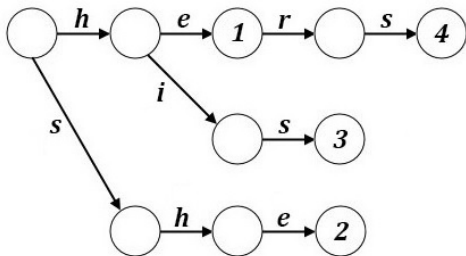
T:	b	c	a	d	c	c	a	a	a	b	c	a	c	b
P:			a	d	c	c	a							

$$T(0) = T(\text{bcadc}) = 1 \cdot 4^4 + 2 \cdot 4^3 + 0 \cdot 4^2 + 3 \cdot 4^1 + 2 = 398 \neq 232$$

$$T(1) = T(\text{cadcc}) = (T(0) - 1 \cdot 4^4) \cdot 4 + 2 = (398 - 1 \cdot 4^4) \cdot 4 + 2 = 570 \neq 232$$

$$T(2) = T(\text{adcca}) = (T(1) - 2 \cdot 4^4) \cdot 4 + 0 = (570 - 2 \cdot 4^4) \cdot 4 + 0 = 232 = 232$$

# Trie



Words: he, she, his, hers

Adding a word, searching a word -  $O(n)$

[https://neerc.ifmo.ru/wiki/index.php?title=Алгоритм\\_](https://neerc.ifmo.ru/wiki/index.php?title=Алгоритм_)

# Aho-Corasick algorithm

Input:

- $T$  - target
- $m$  pattern strings:  $P_1, \dots, P_m$

Output:

- All occurrences of  $P_1, \dots, P_m$  in  $T$ .

# Aho-Corasick algorithm

Input:

- $T$  - target
- $m$  pattern strings:  $P_1, \dots, P_m$

Output:

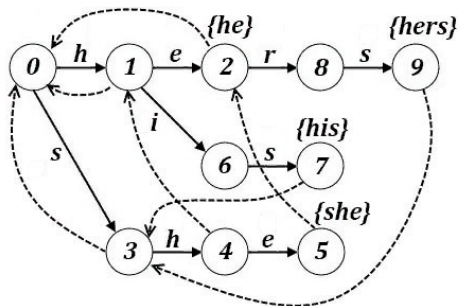
- All occurrences of  $P_1, \dots, P_m$  in  $T$ .

## Idea

Build trie from  $P_1, \dots, P_m$  with suffix links.

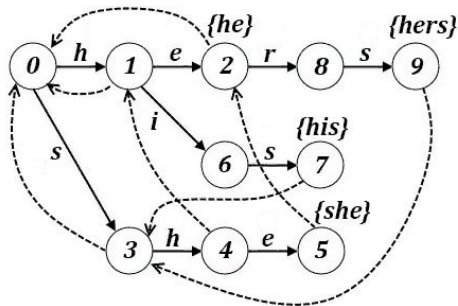
Extend *PrefixFunction* via links in tree.

# Aho-Corasick algorithm: Suffix links



Adding **suffix links** to the trie:  
Each node is assigned a pointer to the string that is the longest string suffix at that node.

# Aho-Corasick algorithm



The main idea is to immediately display all patterns that end in the processed text character. All such patterns are suffixes of each other.

T:	s	h	e	r	s
----	---	---	---	---	---

Path for search :

$\rightarrow s \rightarrow h \rightarrow e \rightarrow \text{suffixlink} \rightarrow r \rightarrow s$

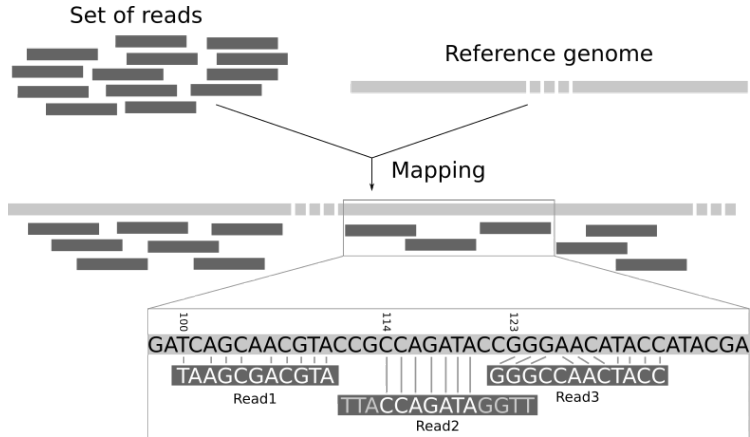
# Aho-Corasick algorithm Complexity

- $Length(T) = m$
- $\sum (Length(P_i)) = n$
- $z$  - number of occurrences of patterns

Complexity:  $O(n + m + z)$



# Reads alignment



# Pattern Matching Algorithms: Advanced Approaches

## Idea

Time consuming text  $T$  preprocessing for fast pattern search

- Suffix Array
- Suffix Tree

# Suffix Array

$S : ABAABA\$$  (\$ - end of string)

# Suffix Array

$S$  : *ABAABA*\$ (\$ - end of string)

A	B	A	A	B	A	\$
0	1	2	3	4	5	6

# Suffix Array

$S$  : *ABAABA*\$ (\$ - end of string)

A	B	A	A	B	A	\$
0	1	2	3	4	5	6

index	suffix
0	<i>ABAABA</i> \$

# Suffix Array

$S$  : *ABAABA*\$ (\$ - end of string)

A	B	A	A	B	A	\$
0	1	2	3	4	5	6

index	suffix
0	<i>ABAABA</i> \$
1	<i>BAABA</i> \$

# Suffix Array

$S$  : *ABAABA*\$ (\$ - end of string)

A	B	A	A	B	A	\$
0	1	2	3	4	5	6

index	suffix
0	<i>ABAABA</i> \$
1	<i>BAABA</i> \$
2	<i>AABA</i> \$

# Suffix Array

$S$  : *ABAABA*\$ (\$ - end of string)

A	B	A	A	B	A	\$
0	1	2	3	4	5	6

index	suffix
0	<i>ABAABA</i> \$
1	<i>BAABA</i> \$
2	<i>AABA</i> \$
3	<i>ABA</i> \$



# Suffix Array

$S$  : *ABAABA*\$ (\$ - end of string)

A	B	A	A	B	A	\$
0	1	2	3	4	5	6

index	suffix
0	<i>ABAABA</i> \$
1	<i>BAABA</i> \$
2	<i>AABA</i> \$
3	<i>ABA</i> \$
4	<i>BA</i> \$

# Suffix Array

$S$  : *ABAABA*\$ (\$ - end of string)

A	B	A	A	B	A	\$
0	1	2	3	4	5	6

index	suffix
0	<i>ABAABA</i> \$
1	<i>BAABA</i> \$
2	<i>AABA</i> \$
3	<i>ABA</i> \$
4	<i>BA</i> \$
5	<i>A</i> \$

# Suffix Array

$S$  : *ABAABA*\$ (\$ - end of string)

A	B	A	A	B	A	\$
0	1	2	3	4	5	6

index	suffix
0	<i>ABAABA</i> \$
1	<i>BAABA</i> \$
2	<i>AABA</i> \$
3	<i>ABA</i> \$
4	<i>BA</i> \$
5	<i>A</i> \$
6	\$

# Suffix Array

$S$  : *ABAABA*\$ (\$ - end of string)

A	B	A	A	B	A	\$
0	1	2	3	4	5	6

index	suffix
0	<i>ABAABA</i> \$
1	<i>BAABA</i> \$
2	<i>AABA</i> \$
3	<i>ABA</i> \$
4	<i>BA</i> \$
5	<i>A</i> \$
6	\$

Sort

index	suffix
6	\$
5	<i>A</i> \$
2	<i>AABA</i> \$
3	<i>ABA</i> \$
0	<i>ABAABA</i> \$
4	<i>BA</i> \$
1	<i>BAABA</i> \$

# Suffix Array

$S : ABAABA\$$

A	B	A	A	B	A	\$
0	1	2	3	4	5	6

$T = ABA$

index	suffix
6	\$
5	A\$
2	AABA\$
3	ABA\$
0	ABAABA\$
4	BA\$
1	BAABA\$

# Suffix Array

$S : ABAABA\$$

A	B	A	A	B	A	\$
0	1	2	3	4	5	6

$T = ABA$

Binary search for lower bound!

index	suffix
6	\$
5	A\$
2	AABA\$
3	ABA\$
0	ABAABA\$
4	BA\$
1	BAABA\$

# Suffix Array

$S : ABAABA\$$

A	B	A	A	B	A	\$
0	1	2	3	4	5	6

$T = ABA$

Binary search for lower bound!

index	suffix
6	\$
5	A\$
2	AABA\$
3	ABA\$
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4	BA\$
1	BAABA\$

# Suffix Array

$S : ABAABA\$$

A	B	A	A	B	A	\$
0	1	2	3	4	5	6

$T = ABA$

Binary search for lower bound!

index	suffix
6	\$
5	A\$
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3	ABA\$
0	ABAABA\$
4	BA\$
1	BAABA\$



# Suffix Array

$S : ABAABA\$$

A	B	A	A	B	A	\$
0	1	2	3	4	5	6

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Binary search for lower bound!

index	suffix
6	\$
5	A\$
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$S : ABAABA\$$

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0	1	2	3	4	5	6

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Binary search for lower bound!

index	suffix
6	\$
5	A\$
2	AABA\$
3	ABA\$
0	ABAABA\$
4	BA\$
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# Suffix Array

$S : ABAABA\$$

A	B	A	A	B	A	\$
0	1	2	3	4	5	6

$T = ABA$

Binary search for lower bound!

index	suffix
6	\$
5	A\$
2	AABA\$
3	ABA\$
0	ABAABA\$
4	BA\$
1	BAABA\$

# Suffix Array

$S : ABAABA\$$

A	B	A	A	B	A	\$
0	1	2	3	4	5	6

$T = ABA$

Binary search for lower bound!

index	suffix
6	\$
5	A\$
2	AABA\$
3	ABA\$
0	ABAABA\$
4	BA\$
1	BAABA\$

# Suffix Array: upper bound

$S : ABAABA\$$

A	B	A	A	B	A	\$
0	1	2	3	4	5	6

$T = ABA$

Binary search for upper bound!

Complexity:  $O(P \log T)$

index	suffix
6	\$
5	A\$
2	AABA\$
3	ABA\$
0	ABAABA\$
4	BA\$
1	BAABA\$

# Suffix Array: upper bound

$S : ABAABA\$$

A	B	A	A	B	A	\$
0	1	2	3	4	5	6

$T = ABA$

Binary search for upper bound!

Complexity:  $O(P \log T)$

index	suffix
6	\$
5	A\$
2	AABA\$
3	ABA\$
0	ABAABA\$
4	BA\$
1	BAABA\$

# Suffix Array: upper bound

$S : ABAABA\$$

A	B	A	A	B	A	\$
0	1	2	3	4	5	6

$T = ABA$

Binary search for upper bound!

Complexity:  $O(P \log T)$

index	suffix
6	\$
5	A\$
2	AABA\$
3	ABA\$
0	ABAABA\$
4	BA\$
1	BAABA\$

# Suffix Array: mlr optimization

Searching for P: *bcacedc*

	a	v	d	v	a	c	d
l	b	c	a	a	d	v	w
	b	c	a	b	e	g	a
m	b	c	a	b	d	j	k
	b	c	a	c	a	b	c
r	b	c	a	c	e	d	c
	c	d	e	f	a	b	c

Best case complexity:  $O(P + \log T)$



# Suffix Tree

index	suffix
6	\$
5	A\$
2	AABA\$
3	ABA\$
0	ABAABA\$
4	BA\$
1	BAABA\$

# Suffix Tree



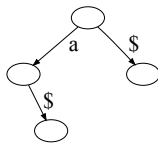
index	suffix
6	\$
5	A\$
2	AABA\$
3	ABA\$
0	ABAABA\$
4	BA\$
1	BAABA\$

# Suffix Tree



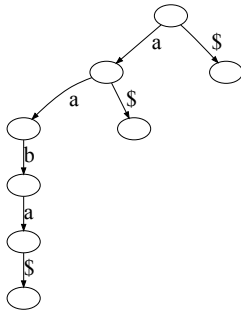
index	suffix
6	\$
5	A\$
2	AABA\$
3	ABA\$
0	ABAABA\$
4	BA\$
1	BAABA\$

# Suffix Tree



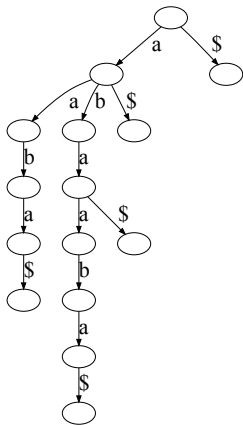
index	suffix
6	\$
5	A\$
2	AABA\$
3	ABA\$
0	ABAABA\$
4	BA\$
1	BAABA\$

# Suffix Tree



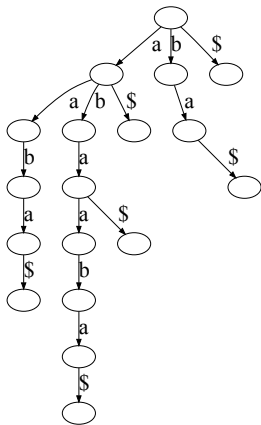
index	suffix
6	\$
5	A\$
2	AABA\$
3	ABA\$
0	ABAABA\$
4	BA\$
1	BAABA\$

# Suffix Tree



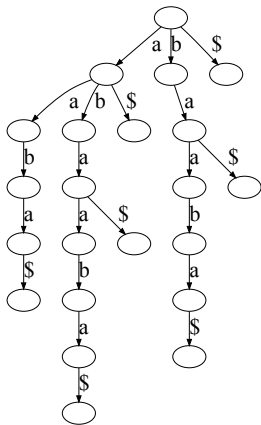
index	suffix
6	\$
5	A\$
2	AABA\$
3	ABA\$
0	ABAABA\$
4	BA\$
1	BAABA\$

# Suffix Tree



index	suffix
6	\$
5	A\$
2	AABA\$
3	ABA\$
0	ABAABA\$
4	BA\$
1	BAABA\$

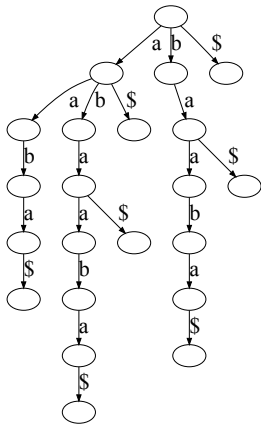
# Suffix Tree



index	suffix
6	\$
5	A\$
2	AABA\$
3	ABA\$
0	ABAABA\$
4	BA\$
1	BAABA\$

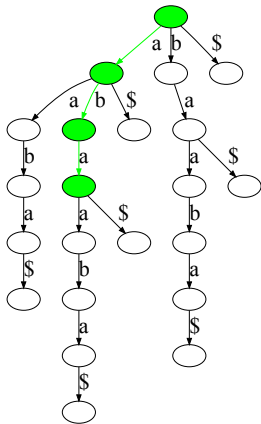


## Suffix Tree: finding pattern

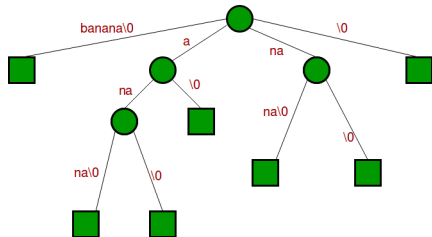
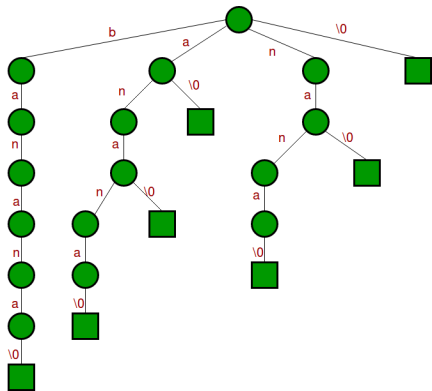


$$P = ABA$$

## Suffix Tree: finding pattern


$$P = ABA$$

# Suffix Tree: implicit suffix tree



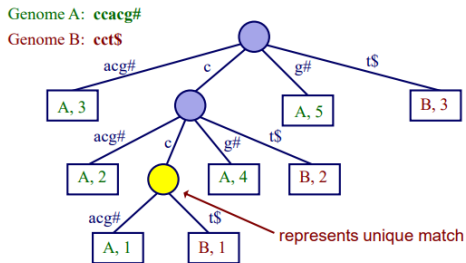
Ukkonen's algorithm for building an implicit suffix tree  
Complexity :  $O(T)$

<https://www.geeksforgeeks.org/pattern-searching-using-suffix-tree/>

# Suffix Tree based algorithms

## MUMmer

- Find Maximal Union Matching building united suffix tree from two genomes



<https://www.biostat.wisc.edu/bmi776/spring-18/lectures/long-alignment.pdf>

# Suffix Tree based algorithms

## REPuter

- Build Suffix Tree for finding maximal repeated pairs in genome using Gusfield algorithm